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## Oncogenic KRAS confers chemoresistance by upregulating NRF2

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#### Abstract

Oncogenic KRAS mutations found in 20–30% of all non-small cell lung cancers (NSCLC) are associated with chemoresistance and poor prognosis. Here we demonstrate that activation of the cell protective stress response gene NRF2 by KRAS is responsible for its ability to promote drug resistance. RNAi-mediated silencing of NRF2 was sufficient to reverse resistance to cisplatin elicited by ectopic expression of oncogenic KRAS in NSCLC cells. Mechanistically, KRAS increased NRF2 gene transcription through a TPA response element (TRE) located in the NRF2 promoter. In a mouse model of mutant KrasG12D-induced lung cancer, we found that suppressing the NRF2 pathway with the chemical inhibitor brusatol enhanced the antitumor efficacy of cisplatin. Co-treatment reduced tumor burden and improved survival. Our findings illuminate the mechanistic details of KRAS-mediated drug resistance and provide a preclinical rationale to improve the management of lung tumors harboring KRAS mutations with NRF2 pathway inhibitors.

#### **Keywords**

KRAS; NRF2; Brusatol; chemoresistance; TPA Response Element

#### Introduction

The RAS genes encode a family of membrane-associated 21 kDa GTP-binding proteins, including HRAS, KRAS and NRAS that control cell growth, differentiation, and apoptosis. By switching from the GTP-bound active form to the GDP-bound inactive form, RAS

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proteins function as a molecular switch to turn on or off their downstream effectors (1,2). Although each of the three *RAS* genes can be mutated in human cancers, *KRAS* mutations are the most common. Oncogenic *KRAS* mutations occur in approximately 30% of all cancer types and in 20–30% of non-small cell lung cancers (NSCLC) (3). These oncogenic mutations frequently occur as point mutations in codons 12, 13, or 61, each resulting in a protein with impaired GTPase activity, and therefore, constitutive activation of RAS signaling (3,4). A large body of literature has reported that cancers with oncogenic *KRAS* mutations are resistant to anti-cancer drug treatments and thus patients with these malignancies have poor prognoses (5–9). Mechanistically, chemoresistance may be explained by: (i) mutation or overexpression of a therapeutically targeted protein, (ii) inactivation of the drug, (iii) reduced drug uptake, (iv) enhanced efflux of the drug, or (v) the recovery of drug-induced DNA lesions by DNA repair enzymes (10).

NRF2 is a transcription factor that regulates the antioxidant response by inducing the expression of genes bearing an antioxidant response element (ARE) in their regulatory regions. Activation of the NRF2 pathway promotes cell survival during oxidative stress or xenobiotic insult (11–14). Importantly, many of the NRF2 target genes, including drug metabolizing enzymes, antioxidant enzymes, and drug transporters, play a crucial role in determining drug resistance (14). Examples of NRF2 target genes that may confer enhanced drug processing include: Glutamate-cysteine ligase (*GCLC/GCLM*), thioredoxin reductase 1 (*TXNRD1*), aldo-keto reductase (*AKR*), glutathione S-transferase (*GST*), multidrug resistance-associated protein 2 (*MRP2*), NAD(P)H quinone oxidoreductase 1 (*NQO1*), and heme oxygenase 1 (*HMOX1*).

It has been demonstrated that NRF2 has a dual role in cancer. First, NRF2 is involved in chemoprevention. Oxidative stress is implicated in the initiation and progression of cancer. Under oxidative stress, NRF2 induces the transcription of hundreds of cellular protective genes to combat potentially carcinogenic reactive intermediates. As evidence for this protective role, many chemopreventive compounds have been identified as NRF2 activators (11–15), and Nrf2-null mice are highly susceptible to chemical carcinogens and are no longer protected by chemopreventive compounds (16,17). Second, recent findings point to a "dark side" of NRF2 which promotes cancer (18). Many studies have shown that cancers can harbor somatic mutations in NRF2, KEAP1, or CUL3 that disrupt the KEAP1-mediated negative regulation of NRF2, resulting in a constitutive high level of NRF2 (19-22), which correlates with chemoresistance in cancer cells (13,18,23–26). Discovery of the cancerpromoting activity of NRF2 has prompted us to identify compounds that inhibit the NRF2 pathway (27). We previously identified a potent NRF2 pathway inhibitor, brusatol, which inhibits the NRF2-mediated protective response at sub-nanomolar concentrations. Brusatol treatment also enhances the efficacy of chemotherapeutics in an NRF2-dependent manner in both cell culture and murine A549 xenograft models.

Previous studies have demonstrated that NRF2 is primarily regulated at the protein level by the ubiquitin proteasome system (UPS). Under physiological conditions, NRF2 levels are low in all organs due to tight regulation by KEAP1, a substrate adaptor protein for a Cullin3-based E3 ubiquitin ligase (28–31). Under these basal conditions, this E3 ligase constantly targets NRF2 for ubiquitylation and subsequent proteasomal degradation. Upon activation of

the pathway by oxidative or electrophilic stress, the enzymatic activity of the E3 ligase is inhibited, resulting in stabilization of NRF2 and transcriptional activation of its target genes (13). Recently, another E3 ubiquitin ligase, β-TrCP-Skp1-Cul1-Rbx1, was also found to ubiquitylate Nrf2 (32-34). Additionally, we have identified another E3 ubiquitin ligase, HRD1, that compromises the NRF2-mediated cytoprotective mechanism during the pathogenesis of liver cirrhosis (35). All these studies indicate that NRF2 is controlled at the protein level through protein stability modulation. Furthermore, many NRF2 modulators, including small molecules and endogenous proteins, upregulate NRF2 signaling by increasing the stability and thus the protein level of NRF2 without affecting its mRNA level (13). Interestingly, a recent study reported in a murine model a 1.6-fold increase in the mRNA level of Nrf2 in response to activation of oncogenic alleles of Kras<sup>G12D</sup>, B-Raf<sup>V619E</sup>, and c-Myc<sup>ERT12</sup> (36). However, the molecular mechanisms underlying increased Nrf2 transcription were not reported. In the current study, we provide strong evidence that oncogenic KRAS transcriptionally upregulates the mRNA levels of NRF2 through a TRE enhancer located in the proximal promoter of NRF2. More importantly, we show that inhibition of the NRF2 pathway by brusatol was able to overcome KRAS-mediated chemoresistance, and thus enhanced the efficacy of cisplatin.

#### **Materials and Methods**

#### Cell lines and cell culture

HBE cells were obtained from Dr. Dieter Gruenert, whose lab generated and characterized them (37); the rest were purchased from the American Type Culture Collection (ATCC), where they were tested and authenticated by STR (short tandem repeat) and were maintained at 37°C in a humidified incubator containing 5% CO<sub>2</sub>. HEK293 cells were grown in modified Eagle's medium (MEM) supplemented with 10% fetal bovine serum (FBS), 1% L-glutamine, and 0.01% gentamicin, 0.1 mM nonessential amino acids (Cellgro) and 1mM sodium pyruvate (Gibco). HBE cells were maintained in MEM medium supplemented with 10% FBS, 1% L- glutamine, and 0.01% gentamicin. BEAS-2B cells were maintained in F-12 HAM's medium (Thermo Scientific) supplemented with 1% L-glutamine, 2 mg/ml insulin (Sigma), 10 μg/ml epidermal growth factor (EGF) (Fisher Scientific), 2.5 mg/ml transferrin (Sigma), 0.05 mM dexamethasone (Sigma), 10 μg/ml cholera toxin (LIST biological), and endothelial cell growth supplement (ECGS) (Millipore). NCI-H292, NCI-H23, NCI-H838, NCI-H1299, NCI-H1703, A549, and Calu-1 cells were maintained in RPMI 1640 supplemented with 10% FBS, 1% L-glutamine, and 0.01% gentamicin.

#### **Construction of recombinant DNA molecules**

The *KRAS<sup>WT</sup>*, *KRAS<sup>G12D</sup>* and *KRAS<sup>DN</sup>* expression vectors were constructed by cloning a PCR-generated fragment into pcDNA 3.1 (Invitrogen). Deletion fragments of the NRF2 promoter sequence were amplified by PCR from genomic DNA extracted from HBE cells and cloned into pGL4.22 (Promega). The fragment named "TRE", located between bases +267 to +273 in *NRF2*'s promoter sequence, including a sequence similar to AP-1 recognition site ("TGCGTCA"), was purchased (Sigma) and inserted into pGL4.22 after end-repairing and annealing the two oligos together. All the sequences were confirmed by direct nucleotide sequencing. See Supplementary Material and Methods for details.

#### Transfection of siRNA and cDNA

Transfection of cDNA was performed using Lipofectamine 2000 (Invitrogen). Hiperfect (Qiagen) was used for transfection of small interfering RNA (siRNA). NRF2 siRNA#3 (SI00657937), NRF2 siRNA#5 (SI0387289) and Control siRNA (1027281) were purchased from Qiagen. Both siRNA#3 and siRNA#5 were able to specifically reduce the Nrf2 protein level without off-target effects (Fig. S1). siRNA#5 was used for all the data presented in this manuscript.

#### Luciferase reporter gene assay

HEK293 cells were transfected with several deletion fragments of NRF2 promoter-luciferase constructs along with thymidine kinase (TK)-Renilla luciferase (internal control). Luciferase activities were measured with the dual-luciferase reporter assay system (Promega). Experiments were performed in triplicates.

### **Cell viability**

Cisplatin-induced toxicity was measured by functional impairment of mitochondria using 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) (Sigma) as previously described (38). Approximately  $1.5 \times 10^4$  NCI-H292 cells per well were seeded in a 96-well plate and transfected with either siRNA or vectors expressing  $KRAS^{DN}$ ,  $KRAS^{G12D}$  or  $KRAS^{WT}$  before treatment with the indicated concentrations of cisplatin for 48 hours. Experiments were done in triplicates.

#### mRNA extraction and real-time quantitative reverse transcription-PCR (qRT-PCR)

Total mRNA was extracted using TRIzol (Invitrogen) according to the manufacturer's instructions. cDNA was synthesized using equal amounts of mRNA and the Transcriptor first-strand cDNA synthesis kit (Promega). The detailed TaqMan probe and primer sequences can be seen in the Supplementary Materials and Methods. Real-time PCR (RT-PCR) was performed as previously described (38). Reactions for each sample were done in duplicate, and the experiment was repeated three times. Results are expressed as relative mRNA levels normalized to GAPDH.

Quantification of cDNA amounts for Nrf2, Keap1, Nqo1, Akr1b10, Akr1c1, Gclm, Hmox1 and  $\beta$ -actin from tissues was performed with KAPA SYBR FASR qPCR Kit (Kapa Biosystems). All primer sets were designed with Primer 3 free online software and synthesized by Sigma. Sequences can be found in the Supplementary Materials and Methods. The RT-PCR was performed as previously described (38). All reporter gene and RT-PCR analyses were done in duplicates and repeated in three independent experiments.

#### Immunoblot analysis

Protein expression from cell lines and lung tissues was assessed by SDS-PAGE electrophoresis and immunoblotting as described previously (38). For details on sample collection see Supplementary Materials and Methods.

### Apoptotic cell death (TUNEL)

Briefly, lung epithelium tissue sections were pretreated with proteinase K (15 μg/ml) in10 mM Tris/HCl (PH 7.8) at 37°C for 30 min, and an *in situ* cell death detection kit (Roche, IN, USA) was used for detecting apoptotic cell death according to the manufacturer's instructions. Tissue sections were then costained with Hoechst and analyzed with a fluorescence microscope (Zeiss Observer.Z1 microscope with the Slidebook software).

#### Materials and antibodies

Cisplatin and U0126 were purchased from Sigma-Aldrich. HRP-conjugated secondary antibodies as well as primary antibodies against NRF2, KEAP1, p-ERK, ERK, KRAS, GCLM, HMOX1, AKR1B10, AKR1C1, NQO1, Ki67, Lamin-A, and GAPDH were purchased from Santa Cruz Biotechnology. Primary antibody against γ-H2Ax was purchased from Bethyl Laboratories, Inc. Primary antibody against 8-oxo-dG was purchased from Trevigen.

#### **Experimental animals**

6 week-old C57BL6 LSL-*Kras*<sup>G12D</sup> mice were purchased from Jackson Laboratory. The CCSP<sup>Cre</sup> mice were reported previously (39–41). CCSP<sup>Cre</sup> / LSL-*Kras*<sup>G12D</sup> mice were generated by cross breeding a mouse harboring the LSL-*Kras*<sup>G12D</sup> allele with a mouse containing Cre recombinase inserted into the Clara cell secretory protein (CCSP) locus. All mice were housed in specific, pathogen-free conditions and handled in accordance with the Institutional Animal Care policies. Mice were intraperitoneally injected with cisplatin and brusatol for both short and long term treatments. Tissues were harvested at the indicated time points and the mice were monitored daily for evidence of disease or death.

#### Tissue collection, hematoxylin and eosin (H&E) staining and immune-histochemistry (IHC)

Lung tissues were isolated at the indicated time points (Figure 4A). After images were collected, surface tumors were weighted and counted using a dissecting microscope. One half of the lung was directly frozen in liquid nitrogen and stored at  $-80^{\circ}$ C for total RNA extraction and for immunoblot analysis, and the other half was fixed in 10% buffered formalin and embedded in paraffin. 5  $\mu$ m sections were cut and stained with H&E. IHC analysis was performed as previously described (38).

#### Oxidative DNA damage

A monoclonal antibody against 8-Oxop7, 8-dihydro-2'-deoxyguanosine (8-oxo-dG) (Trevigen, Gaithersburg, MD) was used for the detection of oxidative DNA damage. The staining was performed as previously described (42).

## Detection of mRNA level in the fresh tumor lung tissue slides by double-stranded locked nucleic acid (LNA) probes

Four LNA probes were designed as previously described (43) to detect the relative gene expression levels of Nrf2, Hmox1, Nqo1 and Akr1c1 in both tumor and normal lung tissues. A  $\beta$ -actin probe and a random probe were designed as the positive and negative controls. The gold nanorod (GNR)-LNA complex solution including 0.1  $\mu$ M LNA probe and 2.5  $\times$ 

 $10^{11}$  GNR/ml was added to the lung slices in 24 well plates. After incubation for 8 h at 37°C, the slides were washed with PBS 3 times and imaged with an inverted fluorescence microscope with an HQ2 CCD camera. Data collection and imaging analysis were performed in Image J.

#### Statistical analysis

Results are presented as the mean  $\pm$  SEM of at least three independent experiments performed in duplicate or triplicate. Statistical tests were performed using SPSS 10.0. Unpaired Student's t-tests were used to compare the means of two groups. One-way analysis of variance was applied to compare the means of three or more groups. p < 0.05 was deemed significant.

#### Results

## Expression of oncogenic KRAS enhances cisplatin resistance by upregulating the NRF2mediated protective response

To show that chemoresistance observed in tumors expressing oncogenic KRAS is associated with activation of NRF2 signaling, we first compared the NRF2 protein level to that of the phosphorylated form of the extracellular signal-regulated kinase (p-ERK; a readout of KRAS activation) in several lung cell lines (Fig. S2). Their response to cisplatin-mediated toxicity was measured and LD<sub>50</sub>s are listed (Table S1). The coding regions of NRF2 and KRAS were sequenced and the status of each gene is listed; the mutation information of KEAP1 and TP53 was obtained from the literature (Table S1). By combining the results in Fig. S2 with the information in Table S1, we selected a human lung epithelial carcinoma cell line (NCI-H292) and an immortalized but not transformed human bronchial epithelial cell line (HBE) for further studies. Both NCI-H292 and HBE have no mutations in KEAP1, NRF2, TP53, or KRAS and have basal levels of p-ERK (Fig. S2). Overexpression of KRAS<sup>G12D</sup> or, to a lesser extent, wild type KRAS (KRAS<sup>wt</sup>) enhanced cell viability in response to cisplatin treatment, whereas overexpression of a dominant negative KRAS mutant (*KRAS<sup>DN</sup>*) reduced cell viability in both cell lines (Fig. 1A and 1C, upper panels). The effect of KRAS overexpression in cisplatin resistance was shown to be NRF2dependent, since it was lost when NRF2 expression was silenced by siRNA (Fig. 1A and 1C, lower panels). We noticed that the  $LD_{50}$  for HBE cells shifted from 9.6  $\mu$ M (Table S1) to 3 µM (Fig. 1C) after transfection with control siRNA, whereas transfection of control siRNA had no effect on the LD<sub>50</sub> of NCI-H292 (LD<sub>50</sub>=2.3, Table S1 and Fig. 1A). This might be due to the fact that HBE, a primary cell line, is more sensitive to the transfection reagent, compared to the cancer cell line NCI-H292.

As expected, overexpression of *KRAS<sup>G12D</sup>* and *KRAS<sup>WT</sup>* activated the ERK pathway as indicated by enhanced p-ERK, while total ERK remained the same (Fig. 1B and 1D). Interestingly, overexpression of *KRAS<sup>G12D</sup>* and *KRAS<sup>WT</sup>* also increased the levels of NRF2 and its target genes, GCLM and HMOX1 (Fig. 1B and 1D), indicating activation of the NRF2 pathway. Conversely, ectopic expression of *KRAS<sup>DN</sup>* slightly reduced p-ERK, NRF2, GCLM, and HMOX1 levels (Fig. 1B and 1D). Immunoblot analyses confirmed *NRF2* silencing by NRF2-siRNA since expression of NRF2, GCLM and HMOX1 were

dramatically reduced (Fig. 1B and 1D). Taken together, these results demonstrate that the KRAS-ERK pathway positively regulates the NRF2 pathway, indicating that KRAS-mediated cisplatin resistance may be due to activation of the NRF2 pathway.

## Kras<sup>G12D</sup>-induced lung tumor tissues have higher levels of Nrf2 and its target genes

To confirm that oncogenic KRAS upregulates the NRF2 pathway a murine lung cancer model (LSL-Kras<sup>G12D/+</sup>) was used. Cre-virus intratracheal infection of LSL Kras<sup>G12D/+</sup> mice for 8 weeks resulted in multiple lung adenomas. Tumor tissues from each mouse were pooled and Kras activation and the expression of Nrf2 and its target genes in tumor tissues were compared with those in normal lung tissues. As expected, Kras was activated in tumors, where marked elevation of p-Erk was only observed in tumor but not normal tissues. Comparatively, Erk was expressed equally in tumor vs. normal tissues (Fig. 2A). Remarkably, the expression of Nrf2 and its target genes Akr1b10, Akr1c1, Gclm, and Ngo1 was dramatically increased in tumor tissues as compared to the corresponding normal tissues. Notably, the activation of the Nrf2 pathway by oncogenic Kras was more substantial in mice than in cultured cells (compare Fig. 2A with Fig. 1B). Immunohistochemistry (IHC) analyses demonstrated that Nrf2 and Nqo1 were highly expressed in tumors compared to adjacent normal tissues or normal control lungs (Fig. 2B and S4). Additionally, the mRNA levels of Nrf2, Hmox1, Nqo1, Gclm, Akr1b10, and Akr1c1 were significantly higher in tumor than in normal tissues, whereas Keap1 mRNA was expressed at a similar level in both tissues (Fig. 2C). To further confirm the real-time RT-PCR data and to visualize the mRNA expression in tumor vs. normal tissues, we utilized our newly developed method, a gold nanorod-locked nucleic acid complex for single cell gene expression detection in living cells and tissues (44). The fluorescence intensities of Nrf2, Nqo1, Hmox1 and Akr1c1 were higher in tumor tissues compared to the adjacent normal tissues, whereas the signal for a random probe or β-actin was similar between both (Fig. 2D). Taken together, these results demonstrate that KrasG12D upregulates the Nrf2 pathway by increasing the level of Nrf2 mRNA.

#### KRAS transcriptionally activates NRF2 through the TRE

To understand how activation of the KRAS-ERK pathway upregulates the *NRF2* mRNA levels, we made a series of reporter constructs with truncated *NRF2* proximal promoters cloned upstream of a luciferase gene. *KRAS*<sup>G12D</sup> and *KRAS*<sup>wt</sup>, but not *KRAS*<sup>DN</sup>, significantly enhanced the luciferase activity in all constructs except for construct F5-R1, indicating that potential enhancer sequence(s) are flanked by primers F4 and F5 (+227 to +403) (Fig. 3A, left panel). Similarly, another set of reporter gene analyses localized enhancer sequence(s) to the R3-R4 region (+234 to +343) (Fig. S3). A computational search for enhancers identified a TRE sequence between +267 and +273. Therefore, the TRE sequence TGCGTAC flanked by 15 nucleotides on both sides was inserted into the luciferase reporter gene vector (TRE, Fig. 3A, right panel). TRE was upregulated by *KRAS*<sup>G12D</sup> and *KRAS*<sup>WT</sup>, not *KRAS*<sup>DN</sup> (Fig. 3A, right panel). Next, the TRE core sequence TGCGTCA was mutated to AACGTCA in both F3-R1 and F4-R1 constructs (F3-R1 Mu and F4-R1 Mu). *KRAS*<sup>G12D</sup> and *KRAS*<sup>WT</sup> were no longer able to enhance luciferase activities of F3-R1 Mu and F4-R1 Mu (Fig. 3A, right panel). These results demonstrate that KRAS upregulates *NRF2* mRNA through the TRE. To confirm that this KRAS-mediated transcriptional upregulation of NRF2 is through MEK-

ERK signaling, a MEK inhibitor U0126 was used. U0126 suppressed p-ERK and inhibited both basal and KRAS-induced NRF2, GCLM, and HMOX1 protein (Fig. 3B, left panel) and mRNA levels (Fig. 3B, right panel).

#### Brusatol cotreatment reduces tumor burden and enhances survival

To test if brusatol cotreatment is able to overcome KRAS tumor resistance to cisplatin, an LSL-Kras<sup>G12D/+</sup> murine lung cancer model was chosen. In order to generate consistent tumor numbers in the lung, CCSP<sup>Cre/Cre</sup> mice were crossed with LSL-Kras<sup>G12D/+</sup> to generate CCSP<sup>Cre/Cre</sup>/LSL-Kras<sup>G12D/+</sup> mice. These mice developed multiple lesions such as atypical adenomatous hyperplasia, adenoma, and adenocarcinoma by 16 weeks of age (39-41). Two sets of experiments were carried out: a short-term treatment experiment, which consisted of one treatment regimen (Fig 4A, left panel) to test if the combination of brusatol with cisplatin could sensitize cancer cells to overcome intrinsic resistance; and a long-term treatment experiment, which consisted of two treatment regimens (Fig 4A, right panel) to test the possible role of Nrf2 in long-term cisplatin-induced resistance (acquired resistance), as was previously observed in this model (10). Mice in the untreated control group had a median survival of 9 months and died by ten months (Fig 4B). In the cisplatin single agent treated group, all mice died at 13 months in the short-term (left panel) and 90% at 18 months in the long-term studies (right panel); brusatol single agent treatment showed similar effects. The survival of the cotreatment group significantly increased in both short-term and longterm experiments. Cotreatment increased the median survival from 11.5 months (single agent) to 15 months in the short term experiment, and from 12.5 and 13.5 (single agent) to 15.5 months in the long-term experiment. At the end of our experiments, 20% of the shortterm and 40% of the long-term mice in the cotreatment group survived beyond 20 months. Mice were harvested 40 days post-injection in the short-term group or 62 days post-injection in the long-term group for evaluation of the lungs. Morphological differences were readily apparent in the lung from the treated vs. untreated groups. The lungs in the cotreated groups showed the most normal tissue texture (Fig. 4C), had the lowest wet-lung weights (Fig. 4D), the lowest number of grossly visible surface tumors (Fig. 4E), and the smallest size of tumors (Figs. 4F and G) in both the short- and the long-term studies. In the short-term studies, only the untreated group had one tumor with a diameter > 5 mm, while in the longterm study there were no tumors > 5 mm in the cotreatment group, but 5 mice in the control, 2 mice in the cisplatin group, and 3 mice in the brusatol group had them (Fig. 4G). Next, we classified the histopathology of lesions observed in different groups (AAH and adenomas vs. adenocarcinomas). The percentage of mice with adenocarcinomas in the cotreatment group was relatively low (Table S2). The number of lesions was lowest in the cotreatment group (Fig. S7).

# Brusatol cotreatment enhances the efficacy of cisplatin through Nrf2 inhibition in *Kras*<sup>G12D</sup> tumors

As expected, brusatol treatment markedly suppressed the protein levels of Nrf2, Akr1b10, Akr1c1, Nqo1, and Gclm, without affecting proteins in the Kras pathway, as indicated by p-Erk (Fig. 5A). Cisplatin treatment resulted in a slight increase in p-Erk (Fig. 5A) consistent with previous findings (45). Brusatol also decreased the mRNA levels of *Nqo1*, *Akr1b10*, *Akr1c1*, *Hmox1*, and *Gclm* without affecting *Nrf2* and *Keap1* mRNA levels (Fig. 5B).

Brusatol and cisplatin cotreatment significantly reduced tumor volume, as measured by relative tumor area vs. total area of H&E stained lung tissue sections (Fig. 5C). A reduction of the Nrf2, Nqo1, and Akr1c1 protein levels was also confirmed by IHC analyses (Fig. 5D; Fig. S5 and S6). Cisplatin treatment decreased tumor cell proliferation and this was further reduced by cisplatin and brusatol cotreatment. γ-H2ax staining showed that the greatest DNA damage occurred in the tumors of mice cotreated with brusatol and cisplatin. Similarly, oxidative damage was the highest in the tumors of cotreated mice as measured by IHC staining of 8-oxo-dG. Measurement of apoptotic cell death using TUNEL analysis indicated that the largest degree of cell death occurred in the cotreatment group. Collectively, these results demonstrate that brusatol-mediated inhibition of the Nrf2 pathway enhanced the efficacy of cisplatin treatment through reduced cell proliferation, enhanced DNA damage, and increased apoptotic cell death.

## **Discussion**

In the present study, we found that oncogenic mutation of KRAS or KRAS over expression enhanced resistance of cells to cisplatin in an NRF2-dependent manner (Fig. 1). We then investigated the molecular mechanism of KRAS-mediated chemoresistance and found a novel mode of NRF2 activation by KRAS. Distinct from the previously defined UPSmediated NRF2 regulatory mechanisms, we demonstrate that KRAS is able to transcriptionally activate NRF2 though the KRAS-ERK pathway. A TRE enhancer sequence located between +267 to +273 of the NRF2 promoter was identified, and its upregulation by oncogenic KRAS was confirmed (Figs. 2 & 3). Using our newly established GNR-LNA technique for detecting single cell mRNA expression in living tissues, we observed a marked increase in the mRNA level of Nrf2 in lung tumor tissues compared to the adjacent normal tissues (Fig. 2D, Nrf2 panel), further confirming that activation of the Nrf2 pathway by KRAS is through enhanced Nrf2 mRNA expression. Notably, Kras-mediated upregulation of Nrf2 and its target genes was more robust in the in vivo murine system when tumor tissues were compared with normal tissues, than in the *in vitro* cell-based system when different forms of KRAS were ectopically expressed and their effects compared (Fig. 1–3). Additionally, we presented a potential means of mitigating KRAS-induced drug resistance through cotreatment with chemotherapeutics (cisplatin) and an NRF2 inhibitor (brusatol) (Figs. 4–5).

Cancer is the second leading cause of death in developed countries; it is responsible for about 600,000 deaths in America annually, and the incidence and mortality have been steadily increasing. Lung cancer is one of the most commonly diagnosed cancers, comprising 15–30% of total cancer cases. In NSCLC, the prevalence of oncogenic *KRAS* mutations is approximately 20–30% (3). Lung cancer is the leading cause of cancer-related death worldwide, with a five-year survival rate of less than 15%. The death rate for lung cancer has increased dramatically over the past several decades, even though death rates for other cancer types either remain the same or have decreased. Currently, radiation and platinum-based drugs are the standard treatments (46,47). However, the toxicity profiles and high rate of relapse with platinum compounds limit both their usefulness and effectiveness. Therefore, there is an urgent need to develop new adjuvants to enhance the efficacy of platinum-based treatments and circumvent chemoresistance.

Recent studies have clearly demonstrated the association between high NRF2 activity and chemoresistance in cancers. For example, somatic gain-of-function mutations of NRF2, or somatic loss-of-function mutations of either KEAP1 or CULLIN3 (CUL3) are frequently found in lung cancer. KEAPI mutations were identified at a frequency of 50% (6/12) or 19% (10/54) in NSCLC cancer cell lines or tumor samples, respectively. In addition, loss of heterozygosity at 19p13.2, where KEAP1 is located, was observed at a frequency of 61% or 41% in NSCLC cell lines (72 samples in total) or in tumor tissues (39 samples in total) (20). In a similar study, somatic mutations were found in 5/65 (8%) patients who had adenocarcinoma (ADC), squamous cell carcinoma (SCC), or large cell carcinoma (LCC) (21). Another study that looked at the NRF2 and KEAP1 protein levels in 304 NSCLC tissues reported that 26% of the studied cohort had high nuclear NRF2 levels, while 56% had low KEAP1 levels (22). Similarly, mutations in NRF2 that disrupt KEAP1-mediated repression can also result in high NRF2 activity. For example, NRF2 mutations were found in 10/125 (8.0%) lung cancer cases (48). In this study, we extended the previous findings that link high NRF2 expression in NSCLC with cisplatin resistance in KRAS positive lung cancers. As demonstrated, KRAS upregulated NRF2 mRNA, which activated NRF2mediated protective mechanisms, conferring cisplatin resistance.

Inhibiting the NRF2-mediated protective mechanism to enhance the efficacy of cancer therapeutics represents an innovative approach to cancer treatment. As reported previously, we isolated brusatol from Brucea javanica (L) Merr., a plant native to Southeast Asia and Australia, which inhibits NRF2 (27). We previously demonstrated that brusatol was able to sensitize a broad spectrum of cancer cell lines and A549-derived xenografts to many chemotherapeutic drugs in an NRF2-dependent manner (27). Here, we further explored the idea that brusatol can be developed as an adjuvant to enhance the efficacy of chemotherapeutic drugs using a pre-clinical lung adenocarcinoma model in CCSP<sup>Cre</sup>/LSL-Kras<sup>G12D</sup> mice where tumors were induced by oncogenic Kras. Compared to cisplatin or brusatol treatment alone, cotreatment of brusatol and cisplatin significantly reduced the number and the size of the tumors, and improved survival (Fig. 4). In addition to intrinsic resistance, we also demonstrated that brusatol is more effective in long-term cisplatininduced resistance (acquired resistance) (Fig. 4). Brusatol cotreatment inhibited the Nrf2 protective mechanism and led to decreased cell proliferation, enhanced oxidative DNA damage, and apoptotic cell death (Fig. 5). In the current study, we did not observe any adverse effects with the regimens used in the C57BL6 strain. However, higher doses of brusatol were observed to cause a decrease in the body weight of nude mice. Therefore, local delivery of brusatol, such as aerosol administration into the lung, may be superior over the systemic i.p. injection, which warrants further investigation. In summary, our results demonstrate that oncogenic activation of KRAS and KRAS amplification can activate the NRF2-mediated protective mechanism resulting in chemoresistance. Therefore, brusatol, an NRF2 inhibitor, can be used as an adjuvant to sensitize tumors with KRAS activation, in addition to those tumors resulting from KEAP1 or NRF2 mutations. This work provides a framework for the development of NRF2 inhibitors into therapeutic drugs to combat chemoresistance. Future studies investigating the contribution of KRAS-mediated transcriptional upregulation of NRF2 in chemoresistance using human lung tumor tissues will define the penetrance of this mechanism of resistance.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Acknowledgments**

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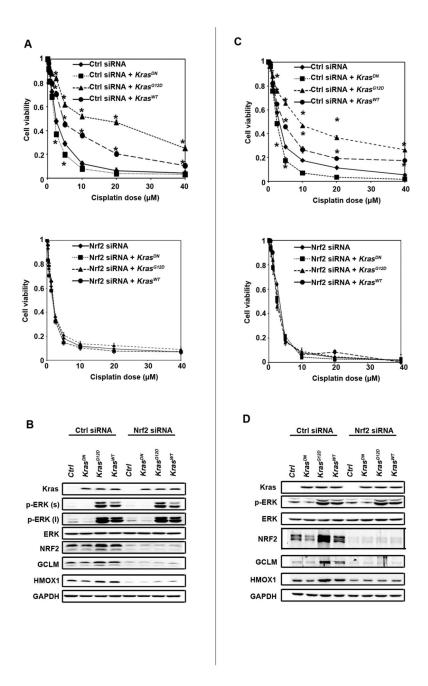


Figure 1. Expression of oncogenic KRAS enhances chemoresistance by upregulating the NRF2-mediated protective response. (A and C) Overexpression of the oncogenic form of KRAS protected NCI-H292 and HBE cells against cisplatin-mediated cell toxicity in an NRF2-dependent manner. NCI-H292 and HBE cells were transfected with control siRNA (upper panel) or NRF2 siRNA (lower panel) for 24 h, followed by transfection of an empty vector or the indicated KRAS mutant. At 24 h post-transfection of cDNA, the indicated dose of cisplatin was added and cell viability was measured 48 h after cisplatin treatment. Data are expressed as mean  $\pm$  SEM (\*p< 0.05, KRAS vs. control group). (B and D) A positive

relationship between activation of the KRAS pathway and the NRF2 pathway. An aliquot of cells, transfected and treated as described in (A and C), was harvested, and cell lysates were subjected to immunoblot analysis.

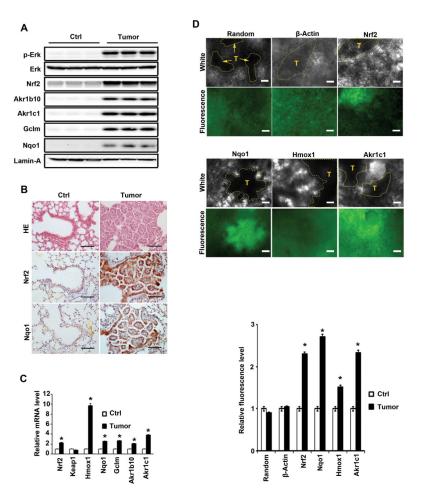


Figure 2. Kras<sup>G12D</sup>-induced lung tumor tissues have higher levels of Nrf2 and its target genes. (A) Activation of the Kras pathway in lung tumors correlated with higher levels of Nrf2, Gclm and Nqo1. Tissue lysates from Kras-induced tumors and normal lungs from age-matched mice were subjected to immunoblot analysis with the indicated antibodies. Each lane contains a pooled lung tumor sample or a piece of normal lung tissue from individual mice. (B) Nrf2 and Nqo1 were expressed at higher levels in lung tumor tissues than normal lung tissues. H&E staining (HE) and immunohistochemical analyses, with anti-Nrf2 or anti-Nqo1 antibodies, of lung tissue sections were performed (n=3 in each group; one representative image from each group is shown; scale bar: 100 µm). (C) The mRNA levels of Nrf2 and its target genes were elevated in the Kras-induced lung tumor tissues compared to normal lung tissues. Total RNAs were extracted from tumor and normal lung tissues. The relative mRNA level of the indicated genes was determined by quantitative real-time RT-PCR. Data are expressed as mean  $\pm$  SEM (\*p< 0.05 tumor vs. normal tissue, n=3 mice per group, the sample was run in duplicate). The experiment was repeated twice and similar results obtained. (D) Elevated mRNA levels of Nrf2 and its target genes were observed in real-time in Kras-induced tumor tissues, compared to the adjacent normal lung tissues. mRNA expression in fresh tissue slides was detected by gold nanorod-locked nucleic acid complexes. Representative bright field and fluorescence images of lung slice with random,

β-actin, Nrf2, Nqo11, Hmox1 and Akr1c1 probes are shown (n=3 mice per group). The yellow dashed line in the bright field delineates tumors (scale bar: 100 μm; upper panel). Relative fluorescence (florescence intensity/area) was plotted (lower panel). The data are expressed as mean ± SEM (\*p< 0.05 Kras-induced tumor vs. normal tissues).

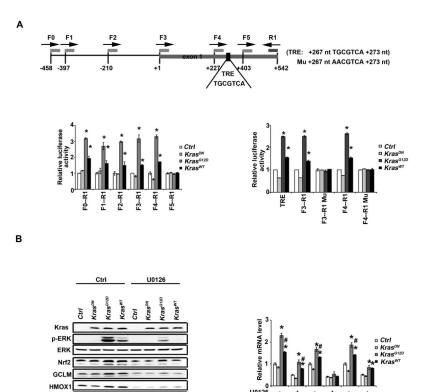


Figure 3. KRAS transcriptionally activates NRF2 through the TRE. (A) Identification of a TRE (267 nt TGCGTCA 273 nt) in the promoter of NRF2. The different human NRF2 promoter constructs were cloned upstream of a luciferase reporter gene (the sites where primer pairs bind are illustrated). These constructs were co-transfected into HEK293 cells alone with a control, KRAS<sup>DN</sup>, KRAS<sup>G12D</sup> or KRAS<sup>WT</sup> expression vector for 48h. Dual luciferase activities were measured. The experiment was repeated three times, each with triplicate samples. Data are expressed as mean  $\pm$  SEM (\*p< 0.05 Ctrl group vs. KRAS) (left panel). TRE refers to a construct where the TRE sequence TGCGTAC flanked by 15 nt on both sides was inserted into the cloning site of the luciferase reporter gene vector (left panel). F3-R1 Mu or F4-R1 Mu is a construct where the TRE sequence (TGCGTCA) in the F3-R1 or F4-R1 construct was mutated to AACGTCA. Dual luciferase activities with these constructs were measured as described (right panel). (B) KRAS upregulated NRF2 and its target genes through activation of ERK. HEK293 cells were either transfected with empty vector, KRAS<sup>DN</sup>, KRAS<sup>G12D</sup> or KRAS<sup>WT</sup> for 24 h. Cells were treated with 10 µM U0126 for 4 h after overnight starvation. mRNAs were extracted and the relative mRNA level of NRF2, GCLM, HMOX1 was then determined by quantitative real-time RT-PCR. The experiment was repeated three times, each with triplicate samples. The data are expressed as mean  $\pm$ SEM (\*p< 0.05 Ctrl group vs. KRAS) (upper panel). Cell lysates from another set of the same experiment were subjected to immunoblot analysis (lower panel).

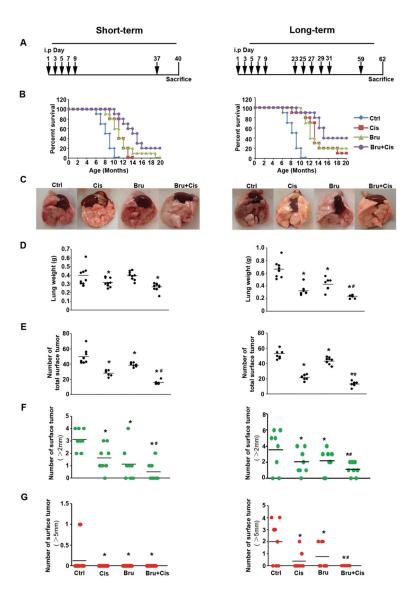


Figure 4. Brusatol cotreatment reduces tumor burden and enhances survival. Two sets of experiments were performed: short-term treatment (left panel) and long-term treatment (right panel). (A) Treatment regimens: 16 week-old  $CCSP^{Cre/Cre}/LSL$ -Kras $^{G12D/+}$  mice were injected i.p. with PBS (Ctrl), cisplatin (Cis), brusatol (Bru), or cisplatin plus brusatol (Bru+Cis) at the indicated time point (black arrows). (B) Kaplan Meier survival curves of  $CCSP^{Cre/Cre}/LSL$ -Kras $^{G12D/+}$  mice treated with Ctrl, Cis, Bru, or Bru+Cis. (C) The representative lung image of  $CCSP^{Cre/Cre}/LSL$ -Kras $^{G12D/+}$  mice from each treatment group (n=10) (D) Wet lung weights. (E) The total number of surface tumors. (F) The number of surface tumors > 2 mm. (G) The number of surface tumors > 5 mm (\*p< 0.05, Ctrl vs. treatment groups;  $^{\#}p$ < 0.05, Cis vs. Bru+Cis groups).

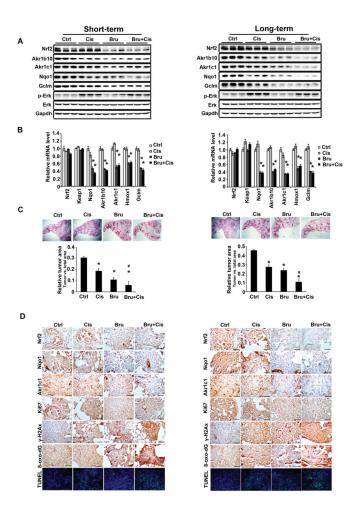


Figure 5.

Brusatol cotreatment enhances the efficacy of cisplatin through Nrf2 inhibition in Kras<sup>G12D</sup> tumors. Results from Short-term treatment (left panel) and long-term treatment (right panel) are shown. (A) Brusatol treatment significantly inhibited the Nrf2 signaling pathway. Lung tissue lysates from each group were subjected to immunoblot analysis with the indicated antibodies. Each lane contains a lung tissue sample from individual mice (the upper panel). (B) Brusatol inhibited the mRNA level of Nrf2 target genes. An aliquot of the same lung tissue sample was used for quantitative real-time RT-PCR to measure the relative mRNA level of Nrf2, Keap1, Nqo1, Akr1b10, Akr1c1, Hxom1 and Gclm. Data are expressed as mean  $\pm$  SEM. (n=3, \*p < 0.05 Ctrl vs. treated group). (C) The lowest tumor volume was observed in the cotreatment group (Bru+Cis). A representative H&E staining of lung tissues from each group (n=10) is shown. The ratio of tumor area/total lung area was quantified (\*p< 0.05 control vs. treatment groups, #p< 0.05 Cis vs. Bru+Cis groups). (D) IHC staining with Nrf2, NQO1, AKR1C1, Ki67, γ-H2Ax and 8-oxo-dG antibodies of lung tissue sections from CCSP<sup>Cre/Cre</sup>/LSL-Kras<sup>G12D/+</sup> mice treated with PBS, Cis, Bru, or Bru+Cis (scale bar: 100 µm). Lung sections were stained with TUNEL as a measurement of cell death and hoechst was included to label the nucleus. A representative image from each group (n=3) is shown.