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The Diagnosis and Management of Mild Cognitive Impairment: A Clinical Review

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Abstract

Importance—Cognitive decline is a common and feared aspect of aging. Mild Cognitive Impairment (MCI) is defined as the “symptomatic pre-dementia stage” on the continuum of cognitive decline, characterized by objective impairment in cognition that is not severe enough to require help with usual activities of daily living.

Objective—To present evidence on the diagnosis, treatment, and prognosis of MCI, and to provide physicians with an evidence-based framework for caring for older MCI patients and their caregivers.

Evidence Acquisition—We searched PubMed for English-language articles in peer-reviewed journals and the Cochrane Library database through July 2014. Relevant references from retrieved articles were also evaluated.

Findings—The prevalence of MCI in adults aged 65 years is 10- 20%; risk increases with age, and men appear to be at higher risk than women. In older MCI patients, clinicians should consider depression, polypharmacy, and uncontrolled cardiovascular risk factors, all of which may increase risk for cognitive impairment and other negative outcomes. Currently, no medications have proven effective for MCI; treatments and interventions should be aimed at reducing cardiovascular risk factors and prevention of stroke. Aerobic exercise, mental activity, and social engagement may help decrease risk of further cognitive decline. Although patients with MCI are at greater risk of developing dementia compared with the general population, there is currently substantial variation in risk estimates (from <5% to 20% annual conversion rates), depending on the population studied. Current research is aimed at improving early detection and treatment of MCI, particularly in patients at high risk for progression to dementia.

Conclusions and Relevance—Cognitive decline and MCI have important implications for patients and their families, and will require that primary care clinicians be skilled in identifying and managing this common disorder as the number of older adults increases in coming decades. Current evidence supports aerobic exercise, mental activity, and cardiovascular risk factor control in patients with MCI.

The Patient's Story

Mrs J, age 81 years, with hypertension and hyperlipidemia, requested a referral to a neurologist, stating: “I am forgetting things I just heard.”

Mrs J and her husband began noticing mild memory problems 1.5 years earlier, and report slow progression since. Her husband noticed changes in problem solving and time management. Mrs J was easily distracted and had difficulty remembering recent conversations. She misplaced objects and spent time looking for them; she read and wrote less than before. She repeatedly asked how to do things on her computer and cell phone. Her husband reported that she exhibited no initiative, and that their home seemed more disorganized. She had difficulty planning dinner and her cooking was simpler. Both denied changes in language or speech. She continued to drive locally without accidents but had difficulty remembering directions to familiar places. Mrs J had no hallucinations or delusions. She slept well, her mood was fine, and she exhibited no behavioral problems or personality changes.

Functionally, she remained independent in all activities of daily living (ADLs). She had urinary frequency and over the past couple of months she had a few incidents of incontinence, especially when awakening from a nap. In instrumental activities of daily living (IADLs), Mr J had recently taken over paying bills. Finally, even with a compartmentalized pill-box, she occasionally forgot to take her medications (amlodipine 5 mg daily; losartan 50 mg twice daily; and ergocalciferol 1,000 units daily.)

Perspectives

Mrs J: (Asked when her memory first became a concern)...Would you believe I'm about to say: “I forget?”...It's just been gradual....I was asked to play my monthly bridge game ... and I declined....I thought: “I'll never remember the cards.”

Mild Cognitive Impairment (MCI) is a clinical stage on the continuum of cognitive decline between “normal aging” and dementia. It is characterized by impairment in cognition that is not severe enough to require help with ADLs / IADLs. Mrs J's declining memory has clearly affected daily life in ways that both she and her husband have noticed, but she has remained generally independent, and therefore has MCI.

Methods

We searched PubMed for English-language articles in peer-reviewed journals through July 2014 using: “Mild Cognitive Impairment / Diagnosis [MeSH]” or “Mild Cognitive Impairment / Treatment [MeSH]” or “Mild Cognitive Impairment / Therapy [MeSH]”. We also searched the Cochrane Library database using “Mild Cognitive Impairment”; we

reviewed the updated 2011 National Institute on Aging (NIA)—Alzheimer's Association (AA) diagnostic guidelines for dementia¹, MCI², and pre-clinical Alzheimer's disease (AD).³ Finally, we reviewed a recent analysis of diagnostic testing for AD from the Institute for Clinical and Economic Review⁴, and a Centers for Medicare and Medicaid Services (CMS) decision memo regarding beta-amyloid Positron Emission Tomography (PET) imaging for dementia.⁵ Our PubMed search yielded 4,977 unique articles; our Cochrane Library search yielded 22 systematic reviews, the titles and abstracts of which were examined for relevance. For each of the relevant articles identified, we then screened the references and checked related citations in PubMed. Randomized double-blind placebo-controlled trials (RCTs) with results reported as intention-to-treat analyses were considered highest quality data. Large prospective cohort studies, meta-analyses, and systematic literature reviews were also included as appropriate for supplementing the RCT results. Our results and discussion cite the articles that one of the authors found most relevant to the diagnosis and management of MCI. We developed recommendations using evidence from these sources, as well as our clinical experience.

Definitions and Diagnostic Criteria

In 2011, the NIA and the AA convened workgroups to revise the 1984 diagnostic criteria for dementia, as well as dementia due to AD. Diagnostic criteria for MCI, the “symptomatic, pre-dementia phase” of the trajectory of cognitive decline, were established (Box 1).² The key criteria that distinguish MCI from dementia are preservation of independence in functional abilities (i.e., ADLs and IADLs), and lack of significant impairment in social or occupational functioning. MCI sub-types are sometimes defined based on presence or absence of memory difficulties (amnesic vs. non-amnesic MCI)⁶ and the number of affected cognitive domains.²

The NIA-AA criteria define “MCI due to Alzheimer's disease,” as “those symptomatic but non-demented individuals whose primary underlying pathophysiology is AD.”² MCI due to AD is characterized by memory impairment, longitudinal decline in cognitive function, and lack of evidence for vascular, traumatic, or other medical causes of cognitive decline (Box 1). The NIA-AA guidelines also proposed research criteria for the use of biomarkers—measures of amyloid-beta (A β) deposition and of neuronal injury—to further refine the likelihood that a patient's MCI is due to AD, but these tests are not yet recommended for routine clinical use.²

Two other recently-developed clinical classification systems identify a symptomatic but non-demented stage of cognitive decline, but use different terminology than the NIA-AA criteria. The International Working Group (IWG) criteria use the terms “prodromal AD” or “predementia AD” to refer to individuals with cognitive impairment that is not severe enough to significantly affect activities of daily living⁷, while the new DSM-5 refers to this stage as “mild neurocognitive disorder.”⁸

Epidemiology and Risk Factors

Recent clinical and population-based samples suggest an MCI prevalence of 10-20% for adults aged 65 years,^{6,9} although lack of standardized diagnostic criteria and differences in

sample characteristics across studies have led to significant uncertainty around these estimates. Importantly, the likelihood that MCI will progress to dementia depends on the specific diagnostic criteria used and the setting in which the diagnosis is made (e.g., primary care, specialist clinic, or general population).¹⁰ Prevalence of MCI increases with age, and men appear to be at higher risk.^{9,11} Additional risk factors identified in some studies include lower educational level, vascular risk factors (e.g., diabetes and hypertension), Apolipoprotein E (APOE) e4 genotype, Vitamin D deficiency, sleep-disordered breathing¹², and prior critical illness (eg, sepsis).¹³

Evaluation of the Patient with Suspected MCI

Dr F: Somebody who tells me that their memory has always been bad... [is] less worrying to me than a patient like [Mrs J] who told me that over the past 1.5 years there's been a discrete and distinct decline in her ability to remember things. And, she had an informant...who agreed with that.

All patients with suspected MCI should undergo a comprehensive history and physical examination focusing on cognitive function, functional status, medications, neurological or psychiatric abnormalities, and laboratory testing. The main goals are to distinguish MCI from normal aging or dementia and to identify potentially reversible forms of MCI due to other conditions (e.g., depression, medication effects, thyroid disease, and B12 / folate deficiency) (Figure).

Cognitive Function

A history of cognitive changes over time, verified by knowledgeable informants, if available, is important for identifying the first diagnostic criterion, decline in cognitive function (Box 1).^{14,15} Critical features that may elucidate a cause are onset, trajectory, time-course, and nature of the cognitive symptoms. Very rapid cognitive decline (e.g., weeks to months) is not typical of MCI due to AD, and should raise concerns for other causes, such as neoplasm, metabolic disorders, or prion disease (Box 1). Patients and informants (such as family members) may report conflicting views regarding the presence and severity of cognitive symptoms, either from lack of insight, or because cognitive decline can be emotionally-charged and symptom report may be minimized to avoid difficult or “disrespectful” discussions.¹⁴

Patients with suspected MCI should have cognitive function assessments at baseline and follow-up visits. A recent USPSTF systematic review on screening for cognitive impairment in older adults examined a number of instruments for primary care settings.¹⁶ The review concluded that brief cognitive assessments can successfully detect dementia in primary care, but the sensitivity of those instruments for detecting MCI is generally lower, and it is still unclear whether early diagnosis of cognitive impairment improves important patient or caregiver outcomes.¹⁶

The Montreal Cognitive Assessment (MoCA) is a screening tool that was developed specifically for detection of MCI and takes about 10 minutes to administer.¹⁷ Using a cut-point of 25/26, the MoCA has a sensitivity of 80 to 100% and specificity of 50 to 76% for

detecting MCI.¹⁶The Mini-Mental State Exam (MMSE) has a sensitivity of 45 to 60% and specificity of 65 to 90% for detecting MCI using cut-points of 27 or 28.¹⁶A recent study directly comparing the MoCA and MMSE found the MoCA to be more sensitive for accurately differentiating individuals with MCI from those with normal cognition.¹⁸Clinicians may also consider the Mini-cog test (which combines the Clock Drawing Test with a 3-word recall test), as it also has acceptable test performance characteristics, and can be performed in 3 minutes.¹⁶ Referral for formal neuropsychological testing may help diagnose MCI in patients with subtle cognitive decline. Although Mrs. J scored in the normal range on the MMSE (29 out of 30), her formal neuropsychological testing showed objective deficits in memory function.

Clinicians can collect standardized information on cognitive function from informants using the Informant Questionnaire on Cognitive Decline in the Elderly (IQCODE),¹⁹ the Dementia Severity Rating Scale (DSRS),²⁰ and the AD8.²¹The NIA-AA MCI criteria note that scores on cognitive tests for individuals with MCI are typically 1 to 1.5 standard deviations below age- and education- adjusted normative means. However, it is emphasized that these score ranges should be considered guidelines, rather than firm cutoffs, for making an MCI diagnosis.²

Functional Status

Assessment of functional status determines whether a patient is independent (MCI), or whether cognitive decline is severe enough to require consistent help with daily activities (dementia). The Functional Activities Questionnaire²² is a brief standardized instrument for clinicians to obtain IADL information from an informant.⁶When using the FAQ, patients with MCI have more IADLs that “require assistance” compared to those with normal cognition (2.7 vs. 0.1, $p < .01$)²³, and using a cut-point of 6 points on the FAQ was found to have an 85% accuracy for distinguishing patients with MCI from those with dementia.²⁴Mrs. J was still generally independent, but had become slower and less efficient with customary activities (e.g., cooking and driving); increasing difficulties with finances may be another sensitive indicator of early cognitive decline.²⁵

Medication Review

Certain classes and combinations of medications can contribute to cognitive impairment,²⁶ so all current prescription and over-the-counter medications should be reviewed. Classes most likely to contribute to cognitive impairment include: 1) anticholinergics; 2) opiates; 3) benzodiazepines and nonbenzodiazepine hypnotics (e.g., zolpidem); 4) digoxin; 5) antihistamines; 6) tricyclic anti-depressants; 7) skeletal muscle relaxants; and 8) antiepileptics. Hormonal therapy (estrogen alone or estrogen plus progestin) for menopause has been shown to increase risk for the combined endpoint of MCI or dementia.²⁷In addition, hypotension related to intensive treatment of hypertension²⁸ and hypoglycemia related to intensive treatment of diabetes²⁹ may also contribute to cognitive decline.

Neurological and Psychiatric Evaluation

Clinicians should perform a focused review of neurologic and psychiatric symptoms, a complete neurological exam, and a depression assessment in patients with suspected MCI.

Review of symptoms should probe vision and hearing problems, sleep-disordered breathing, behavioral or personality changes (which may suggest depression, thyroid disease, or frontotemporal dementia), visual hallucinations (Lewy-Body dementia, depression with psychotic features), numbness or tingling in the extremities (neuropathy), dizziness upon standing (orthostatic hypotension), changes in speech (stroke, Parkinson's disease [PD]), and changes in gait (stroke, normal pressure hydrocephalus [NPH], PD).¹⁴ A complete neurological exam, including orthostatic hypotension, extraocular movements, vision, hearing, speech, focal weakness, ability to stand from a chair, and gait, is useful for identifying potential contributors to cognitive decline, including stroke, PD, NPH or neuropathy due to toxins or vitamin deficiency.¹⁴

Depression is associated with cognitive impairment in older adults, and the relationship is likely bi-directional. Depression can be screened in older adults using assessment tools such as the Geriatric Depression Scale, on which a score of 6 is suggestive of depression.³⁰ Clinicians can query the informant about a patient's depressive and behavioral symptoms using the neuropsychiatric inventory.³¹

Diagnostic Testing

Neuroimaging

Structural—The NIA-AA diagnostic guidelines do not recommend routine neuroimaging in the typical clinical assessment of MCI, but do propose research criteria in which neuroimaging may help in determining MCI etiology and prognosis.² Some studies suggest that structural magnetic resonance imaging (MRI) may be useful for identifying MCI and those at greater risk for progression from MCI to dementia.^{6,32} Volumetric measures of the hippocampus that show atrophy, for instance, are suggestive of MCI and have been shown to correlate with likelihood of progression to dementia.³³ However, lack of standardization and validation for these measures limits their usefulness in clinical practice,³⁴ and they are not currently recommended for informing prognosis. Structural brain MRI may rule out other potential causes for cognitive decline, such as subdural hematoma, stroke, NPH, or tumor, so should be considered if the history, physical, or laboratory studies suggest one of these causes.³⁵

Functional and Amyloid Imaging—Fluorodeoxyglucose positron emission tomography (FDG-PET) can detect regions of hypometabolism in the brain which may be characteristic of MCI due to AD, AD dementia, or other causes for cognitive impairment.^{4,36} Most recently, PET imaging of the extent of A β plaques in the brain has become more feasible with the radiopharmaceutical tracer florbetapir,³⁷ and has been studied for its utility in identifying individuals with, or at high-risk for developing, AD.³⁸ A recent CMS review of PET amyloid imaging noted that this technology accurately identifies the presence of amyloid, but there is not yet sufficient evidence that the imaging results will affect medical decision-making or improve health outcomes for older adults with suspected MCI or AD.⁵ Use of the technology is therefore currently only recommended and covered by Medicare when used in the context of a research study.⁵

Laboratory Testing

Laboratory testing of complete blood count, electrolytes, glucose, calcium, thyroid function, vitamin B12, and folate is recommended to identify potentially reversible forms of MCI including infection, renal failure, hypo- or hypermagnesemia, hyperglycemia, hypo- or hypercalcemia, hypo- or hyperthyroidism, and B12 / folate deficiency. Laboratory testing for liver function, syphilis, Lyme titers (*Borrelia*), and HIV may reveal rarer causes for cognitive impairment.¹⁴ The proportion of dementia cases thought to be due to potentially reversible causes is about 9%.³⁹ While studies have suggested that levels of biomarkers in the cerebrospinal fluid (e.g., A β 42 and tau protein) may help identify patients with MCI who are more likely to progress to AD,⁴⁰ routine lumbar puncture is not generally recommended for clinical evaluation.⁴¹

Medical Therapy

We summarize potential interventions for MCI from recent clinical trials, systematic reviews, meta-analyses, and observational studies (Table). Participants in MCI clinical trials have often had more education, healthier behaviors, and less comorbidity (including lower rates of smoking, hypertension, and heart disease) compared with age-matched general populations. More trials in MCI patients with less favorable demographic and health profiles are needed to increase generalizability, especially regarding prognosis.

Pharmacologic Treatment of MCI

Currently, no drug has proven effective in treatment of MCI. Cholinesterase inhibitors have not been shown to decrease risk of progression from MCI to dementia at 1 and 3 years¹⁶, they have limited to no significant effects on cognitive function over the short-term (<12 months), and may substantially increase adverse effects (Table), based on a meta-analysis of 4 trials (n=1,960) and another of 9 trials (n=5,149).^{16,42} Consequently, cholinesterase inhibitors and memantine are not recommended for MCI treatment and there are currently no FDA-approved medications for MCI.^{16,42} Ginkgo biloba, a widely-used herbal supplement to improve cognition and memory, has not been shown in randomized trials to prevent cognitive decline in those with MCI or normal cognition.⁴³ Similarly, testosterone supplementation in older men showed no benefit for cognitive function in a randomized controlled trial.⁴⁴

Vascular Risk Factor Control

Stroke prevention and vascular risk factor control may reduce risk of progression from MCI to dementia, regardless of radiographic evidence of cerebrovascular injury.⁴⁵ An acute stroke and subclinical infarcts can accelerate cognitive decline and precipitate dementia in patients with MCI.^{46,47} Vascular contributions to cognitive impairment are common, and many MCI patients have pathological evidence of neurodegenerative and cerebrovascular disease.⁴⁸ Strategies for primary or secondary stroke prevention include blood pressure control, smoking cessation, statin therapy, anti-platelet therapy, and anticoagulation or antithrombotic therapy for atrial fibrillation.^{49,50}

Independent of clinical stroke prevention, blood pressure control may reduce dementia risk. In the Systolic Hypertension in Europe (Syst-Eur) trial of 2,418 adults (mean age 70 years), treatment of isolated systolic hypertension reduced incidence of dementia by 50% (3.8 vs. 7.7 cases per 1000 patient-years; $P=0.05$) over 2 years.⁵¹ A meta-analysis of 4 placebo-controlled trials ($n=16,595$) also suggested that anti-hypertensive treatment may reduce incident dementia (HR 0.87, 0.76–1.00; $p=0.045$).⁵² The robustness of the evidence base for antihypertensive therapy to prevent cognitive decline, particularly in the oldest old, is debated because several RCTs (including HYVET-COG)⁵² and meta-analyses have been negative. Elevated or worsening systolic blood pressure and cigarette smoking each increase the risk of cerebral white matter lesion progression, which is associated with cognitive decline in the domains of information processing speed and executive function.^{53,54} Trials have not established that statins or intensive glycemic control reduce the risk of dementia independent of stroke prevention.

The Eighth Joint National Committee (JNC 8) recently recommended treating hypertensive adults aged ≥ 60 years to a blood pressure goal of $<150/90$ mm Hg.⁵⁵ Given that higher variability in systolic blood pressure is associated with stroke, cerebral white matter lesion progression, lower hippocampal volume, and cognitive impairment, clinicians may consider medications that reduce BP variability (calcium channel blockers, thiazide diuretics) when selecting anti-hypertensive regimens, particularly in patients with marked BP variability,^{56,57} although this is unsettled.⁵⁸ It is important to avoid over-treatment of hypertension and diabetes because hypotension and hypoglycemia may increase the risk for cognitive decline and other patient harms.^{28,29}

Treatment of the Patient

Although there are no drugs proven or approved to treat MCI, optimizing patients' general medical and functional status, and providing counseling regarding issues such as driving and home safety, can maximize patient and caregiver well-being, and reduce risk for negative outcomes (Box 3). Individuals with MCI are at increased risk for gait dysfunction, mobility decline, and falls.⁵⁹ Gait assessment while performing an attention-demanding task (dual-task testing) may identify motor control and performance problems that could benefit from tailored interventions.⁵⁹ Optimizing visual and auditory acuity may enhance functioning as untreated vision and hearing problems are associated with cognitive decline.^{60,61} CPAP for patients with sleep-disordered breathing may also reduce risk for progression of cognitive decline,¹² although definitive clinical trials are still necessary.

While depressive and vegetative symptoms may cause or contribute to MCI, there is mixed evidence as to whether treatment of depression improves cognitive impairment, or decreases risk for incident MCI or dementia. Given increasing evidence of the negative impact of anti-cholinergic medications on cognitive function in older adults⁶², treatment with antidepressants that have significant anti-cholinergic properties (e.g., amitriptyline, nortriptyline, and paroxetine) should be avoided. A trial of withdrawing and simplifying medication regimens in older adults may lead to improvements in cognitive function.²⁶

Counseling on Behaviors

Dr F: Sometimes a physical therapy referral to facilitate aerobic exercise is usefulI also suggested that she stay mentally engaged...being out of the house and... around other people is a very important way of stimulating the brain...and helps preserve brain function.”

There is modest evidence from RCTs and clinical studies that various behavioral interventions, particularly aerobic exercise and mental activity, may have small, but beneficial effects on cognitive function in older adults with MCI (Table).¹⁶ Several RCTs of community-dwelling adults with or at risk for MCI have shown that home-based or professionally-supervised programs of aerobic exercise or resistance training modestly improve cognition, particularly executive function, over 18-months of follow-up (Table). The combination of aerobic exercise and mental activity may benefit MCI patients. The Mental Activity and eXercise (MAX) RCT of 126 inactive, older adults with memory complaints demonstrated that a 12-week program of combined physical plus mental activity was associated with small, significant improvements in global cognitive function regardless of the types of physical activity (aerobic vs. stretching/toning) and mental activity (intensive vs. educational videos).⁶³ Observational studies suggest that the Mediterranean diet also may reduce the risk of converting from MCI to dementia.⁶⁴

Observational studies suggest that social engagement may reduce the risk of cognitive decline and preserve memory, particularly in adults with <12 years of education or those with vascular disease.⁶⁵ Little is known about the effectiveness of multidisciplinary care programs or supportive care interventions (e.g., counseling, education, support groups) for patients with MCI or their families because well-designed RCTs are lacking and one RCT that included many patients with dementia was negative (Table).¹⁶ A few, small RCTs found that psychotherapy may modestly increase patients' acceptance of an MCI diagnosis and also provide knowledge, insight, acceptance, and coping skills for significant others,^{66,67} but larger RCTs are needed.

Small RCTs and clinical studies suggest that cognitive interventions may improve cognitive function moderately over 6 to 12 months for persons with MCI or mild dementia; however, improvements are often specific to targeted cognitive domains, may not be greater than active controls, and may not improve daily functioning (Table).^{16,68} Moreover, it is difficult to recommend specific components of cognitive interventions because of heterogeneity across studies.

An issue that often arises in patients with MCI is driving safety.⁶⁹ While there is general consensus among medical and transportation societies that those with moderate to severe dementia should not drive due to significantly increased risk for accidents, evidence of driving impairment for patients with MCI is less clear.^{69,70} The clinician should probe patient and family for indicators of driving impairment, including recent motor vehicle accidents or “near misses,” changes in the patient's driving behaviors (e.g., speed, ability to stay in lane, road sign comprehension), or episodes of getting lost in familiar areas.⁶⁹ Testing for deficits in visuospatial and executive function (Clock Drawing Task and Trail-making tests), cognitive domains thought to be important for driving safely, or formal driving

evaluation may provide useful information.⁷⁰ Patients are often reluctant to stop driving even on physician and/or family recommendation, so early and repeated discussions suggesting that driving cessation may be necessary, and referral to public transportation or other options may be useful in early counseling sessions. There is no legal requirement for physicians to report a patient with MCI to a Department of Motor Vehicles, but some states have mandatory reporting for patients with diagnosed dementia (see Web Resources).⁶⁹

Follow-Up

Serial assessments of cognition are recommended because “progressive cognitive decline provides additional evidence that the individual has ‘MCI due to AD.’”² Longitudinal follow-up and serial cognitive assessments are also useful since they allow a clearer assessment of a patient's true baseline and trajectory of cognitive function over time, and decrease the risk that poor performance on a single assessment due to anxiety, fatigue, or acute illness leads to a false positive diagnosis of MCI. However, the optimal timing, choice, and cost-effectiveness of longitudinal cognitive assessments are unclear. Tests of episodic memory identify MCI patients with high likelihood of progressing to AD within a few years.² General cognitive screening instruments (e.g., MoCA) are recommended for detecting dementia in individuals with suspected MCI.⁷¹ Serial assessments of daily functioning may identify MCI patients who are more likely to develop dementia⁷², may indicate incident dementia, and may identify need for additional resources.

No neuroimaging or laboratory test is currently recommended for predicting MCI progression to dementia in clinical practice.² Although specific brain imaging findings (e.g., A β deposition, medial temporal lobe atrophy, hippocampal atrophy, or hypoperfusion or hypometabolism in the temporoparietal cortex) are associated with an increased risk of progression, these findings currently lack specificity. Cerebrospinal fluid tests showing low levels of A β 42, elevated levels of tau, or a low A β 42 to tau ratio confer an increased likelihood of progressing to dementia; however, results may be ambiguous or contradictory for a given patient, may vary across sites, and diagnostic accuracy and positive predictive value are sub-optimal.^{40,73} It is not recommended to perform routine genetic testing for mutations in amyloid precursor protein, presenilin 1, or presenilin 2 in adults with cognitive changes presenting before age 65 or in the APOE e4 allele in older adults.

A common question is whether patients with suspected MCI require specialist consultations. Given the current limited evidence for effective MCI treatments, consultation would serve primarily to confirm the diagnosis and help identify reversible causes.

Prognosis

Mr J: You're worried... [whether] this thing is going to get worse, which it probably will, but at what rate? ... It's uncertainty of the future regarding all of these things, whether it's safety or whether it's decision making. And doctors don't seem to know either. It's very frustrating.

Prognosis is uncertain for patients with MCI and, as articulated by Mr. J, uncertainty about the future is a major source of worry. Although patients with MCI have a greater risk of

developing dementia compared with the general population, studies report substantial variability. Reported annual rates of MCI conversion to dementia span <5%⁷² to 12-20%⁹, depending on the country and population studied. Patients can be counseled that a minority will progress to dementia annually, however many patients with MCI (40-70%) may not progress to dementia even after 10 years.⁷⁴ Importantly, some MCI patients (15-20%) will have improved cognition 1-2 years later, although the latter group likely remains at increased risk of future cognitive decline.^{9,75}

Risk factors for MCI progression include older age, fewer years of education, stroke, diabetes, and amnesic MCI subtype.^{9,76} The presence of an APOE ε4 allele may modestly increase the risk of progressing from MCI to AD dementia.⁷⁷ Conversely, factors associated with increased likelihood of reverting from MCI to normal cognition include younger age, more years of education, higher baseline cognitive function, and non-amnesic single MCI type.⁷⁵ Ongoing research aims to identify those MCI patients most likely to progress to dementia, particularly AD dementia, in order to target interventions.

It is important to counsel that clinicians cannot confidently predict Mrs. J's individual risk of progressing to dementia. Guidelines recommend using deficit type (amnesic or non-amnesic MCI)⁶ and ruling out other causes of cognitive impairment (vascular, trauma, depression, medical comorbidity)² to estimate risk for progression. However, MCI is a clinical diagnosis that has variable predictive accuracy for AD dementia depending on the patient's age and the definition of MCI used. Moreover, MCI sub-type classification may not accurately predict brain pathology. Although amnesic MCI is thought to be a prodrome of AD dementia, 30% of amnesic MCI patients who develop dementia have a primary brain pathology that is not AD.⁷⁸

Existing models to predict risk of MCI progression have limitations, including poor discrimination and low positive predictive values. Overall, better prognostic models are needed that account for demographic, clinical, neuropsychiatric, biological and pathological heterogeneity in elders at risk for cognitive decline.⁷⁹

Competing risks are another important consideration: although patients with MCI have an increased risk of dementia, they also have greater mortality. Risk prediction models need to estimate the likelihood that MCI patients will develop dementia prior to dying from competing causes, especially cardiovascular disease and cancer. Unfortunately, clinicians currently have insufficient information regarding Mrs. J's absolute risk of developing dementia compared to her risks of dying from a non-dementia cause, or experiencing stable or improved cognition in the next few years.

Future Directions

Older adults fear cognitive decline³⁶, and most patients prefer testing that would indicate future AD risk.⁸⁰ These factors, combined with changes in diagnostic technologies for risk-stratification, portend that guidelines for the diagnosis and management of MCI will likely be in flux, and debated, over the next decade.

The limited efficacy of currently available interventions to prevent or delay progression of MCI to dementia⁷⁹ increases the importance of clinicians discussing the balance of benefits and risks of interventions, as well as patients' goals and preferences regarding medical interventions in later life. Given that there can be significant financial costs associated with diagnostic testing for MCI, as well as emotional distress and social stigma, some patients and families may prefer to forego the diagnostic evaluation.⁸¹ Clinical decision-making regarding when and how to diagnose and treat MCI (and pre-clinical AD) will likely change significantly if and when a safe and effective disease-modifying agent for pre-clinical AD and dementia is identified. In the meantime, primary care clinicians can support patients like Mrs. J in practicing healthy lifestyle behaviors, minimizing risks from polypharmacy and comorbidities, and counseling patients and families about how best to plan for the future.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Web Resources

Alzheimer's Association – <http://www.alz.org>

The Alzheimer's Association is a national advocacy organization that supports care and research related to Alzheimer's disease and other dementias. Their website provides information and links that can be useful for patients, caregivers, health care providers, and researchers who have questions regarding Mild Cognitive Impairment (MCI) and cognitive decline, including a telephone helpline (800-272-3900) and how to contact local Alzheimer's Association chapters.

Alzheimer's Disease Education and Referral Center -- <http://www.nia.nih.gov/alzheimers>

The Alzheimer's Disease Education and Referral Center (ADEAR) is an information clearinghouse sponsored by the National Institute on Aging (NIA), one of the institutes of the National Institutes of Health (NIH). ADEAR provides a wide range of free information on causes for cognitive decline, MCI, and dementia. The information is geared to patients, caregivers, and health care providers. There is information on ongoing research studies related to cognitive decline and dementia, including how to explore enrolling in NIH-sponsored research studies.

American Bar Association Commission on Law and Aging – http://www.americanbar.org/groups/law_aging.html

The American Bar Association Commission on Law and Aging provides extensive information on a wide range of legal issues that older adults, in general, and older adults with MCI, in particular, may need to face. These legal issues include: advance care planning, capacity assessment, health care decision-making, durable power of attorney, guardianship, and elder abuse. The website includes helpful charts on how relevant laws may differ by state.

Caring Connections – <http://www.caringinfo.org>

Caring Connections is a program and website run by the National Hospice and Palliative Care Organization (NHPCO) which aims to provide resources to improve care at the end of life. The website provides a wide range of information for people living with illness and their caregivers. State-specific information on advance directives and locating a hospice provider is available at the website, and there is also a telephone helpline (800-658-8898).

Administration on Aging – <http://www.aoa.gov>

The Administration on Aging (AoA) is a program run by the federal Department of Health and Human Services that provides home and community-based services for older adults. The AoA website has information and web links on government benefits, health and wellness programs, and long-term care services. An “eldercare locator” at the website can help identify local resources related to Alzheimer’s disease, caregiver programs, food and nutrition, and transportation.

National Highway Traffic Safety Administration – <http://www.nhtsa.gov>

The National Highway Traffic Safety Administration maintains educational resources for older drivers, their families, and physicians, including the *Physician’s Guide to Assessing and Counseling Older Drivers* (<http://www.nhtsa.gov/people/injury/olddrive/olddriversbook/pages/contents.html>) which details individual state laws regarding mandatory physician reporting requirements for patients with a dementia diagnosis.

Cognitive Function Assessment Instruments

Patient

Montreal Cognitive Assessment (MoCA) – http://www.mocatest.org/pdf_files/test/MoCA-Test-English_7_1.pdf

Mini-Cog Test – http://www.alz.org/documents_custom/minicog.pdf

Mini-Mental State Examination (MMSE) – the MMSE is copyrighted by Psychological Assessment Resources, Inc. Information on obtaining the test and permission to administer it can be found at: <http://www4.parinc.com/Products/Product.aspx?ProductID=MMSE-2>.

Informant

Informant Questionnaire on Cognitive Decline in the Elderly (IQCODE) – http://www.alz.org/documents_custom/shortiqcode_english.pdf

Dementia Severity Rating Scale (DSRS) – http://www.dementia-assessment.com.au/global/DSRS_Full.pdf

AD8 – http://knightadrc.wustl.edu/About_Us/PDFs/AD8form2005.pdf

Box 1**Criteria for the Diagnosis of Mild Cognitive Impairment (MCI)²**

1. Concern regarding a change in cognition from the patient, knowledgeable informant, or from a skilled clinician observing the patient
2. Objective evidence of impairment (from cognitive testing) in one or more cognitive domains, including memory, executive function, attention, language, or visuospatial skills
3. Preservation of independence in functional abilities (although individuals may be less efficient and make more errors at performing ADLs / IADLs than in the past)
4. No evidence of a significant impairment in social or occupational functioning (i.e., “not demented”)

Clinical Characteristics Suggestive that MCI is due to Alzheimer's Disease²

1. Memory impairment present
2. Progressive decline in cognition over months to years (very rapid decline may suggest prion disease, neoplasm, or metabolic disorders)
3. Lack of Parkinsonism and visual hallucinations (suggestive of dementia with Lewy bodies)
4. Lack of vascular risk factors and extensive cerebrovascular disease on brain imaging (suggestive of vascular cognitive impairment)
5. Lack of prominent behavioral or language disorders (suggestive of frontotemporal lobar degeneration)

Box 2**Descriptions of Cognitive Problems^{14,15}**

1. Changes in memory (is the patient misplacing things more, using notes and reminders more, repeating questions, having trouble keeping track of dates and appointments?)
2. Changes in language (word-finding difficulties?)
3. Changes in visuospatial function (new driving difficulties, including being slow to identify roadway hazards, late to apply brakes, or difficulty staying in lane?)
4. Changes in attention/executive function (easily distracted, new difficulties preparing meals or using household appliances, new difficulty writing checks, new safety concerns from family members?)

Box 3

Treating and Counseling Patients with MCI

Control of vascular risk factors, and prevention of stroke and subclinical brain injury
Hypertension present: control blood pressure and avoid hypotension
Diabetes present: control severe hyperglycemia and avoid severe hypoglycemia
Statin if indicated for primary or secondary stroke prevention
Atrial fibrillation present: initiate anti-coagulant or anti-thrombotic therapy if no contraindications
Beneficial behaviors
Abstain from heavy alcohol or illicit drug use
Engage in mental activity
Engage in physical activity
Stop smoking
Social Needs
Encourage and facilitate social interactions
Discuss living will, durable power of attorney, financial and long-term care plans
Provide community resources for patient and caregivers
Discuss driving safety
Discuss home safety, including kitchen safety, firearms, poisons, and potential fall risks
Prognosis and Follow-up
Discuss current evidence and uncertainty regarding MCI prognosis with patient and family
Arrange follow-up approximately every 6 months to assess changes in cognitive function and potential evolving needs for social support

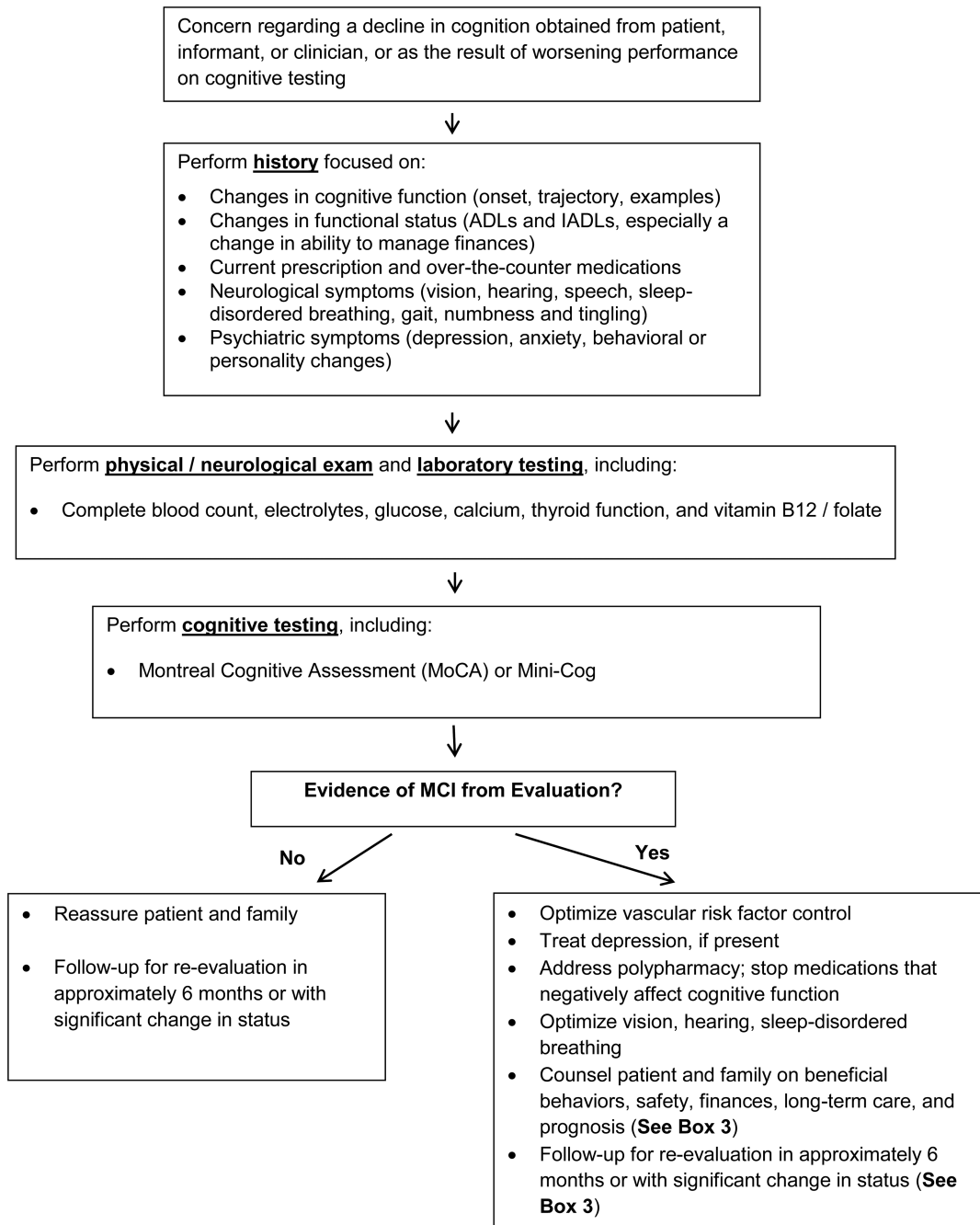


Figure. Suggested Approach to the Diagnosis and Management of Mild Cognitive Impairment

Table
Selected Randomized Controlled Trials of Interventions for MCI

Source	Patients	Mean Patient Age	Intervention	Trial Length	Primary Outcomes
Pharmacologic Treatments					
Cholinesterase inhibitors					
Salloway et al, ⁸² 2004	N=270 adults with MCI.	72 years	Donepezil 10 mg daily vs. placebo	24 weeks	No significant differences between treatment groups in the two primary outcomes, New York University Paragraph Delayed Recall test and the ADCS-CGIC-MCI.
Petersen et al, 2005 ⁸³	N=769 adults with amnesic MCI.	73 years	Donepezil 10 mg daily vs. 2000 IU of vitamin E daily vs. placebo	3 years	As compared with the placebo group, there were no significant differences in the probability of progression to Alzheimer's disease in the vitamin E group (hazard ratio, 1.02; 95 percent confidence interval, 0.74 to 1.41; P=0.91) or the donepezil group (hazard ratio, 0.80; 95 percent confidence interval, 0.57 to 1.13; P=0.42).
Doody et al, ⁸⁴ 2009	N=821 adults with amnesic MCI.	70 years	Donepezil 10 mg daily vs. placebo	48 weeks	The dual primary efficacy endpoint was not reached. At 48 weeks, there was a small, significant decrease in modified ADAS-cog (-0.90, SE, 0.37) favoring donepezil (P=0.01). Changes in CDR-SB scores were minimal and not significantly different between treatment groups.
Koontz et al, ⁸⁵ 2005	N=19 men with MCI.	71 years	Galantamine 12 mg twice daily vs. placebo	16 weeks	The primary outcome was the CANTAB. At 16 weeks, only one of the 6 sub-tests of the CANTAB (stockings of Cambridge) differed significantly between galantamine and placebo groups (8.3 ± 1.9 vs. 7.0 ± 1.4; P=0.023).
Behavioral Interventions					
Cognitive intervention					
Buschert et al, ⁸⁶ 2011	N=39 adults with MCI or mild AD.	73 years	Group-based multi-component cognitive intervention vs. active control	6 months	There were significant improvements in the ADAS-cog (P=0.02) and non-significant improvements in the MMSE (P=0.07) favoring the intervention MCI group.
Barnes et al, ⁸⁷ 2009	N=37 adults with MCI.	74 years	Intensive, computer-based cognitive training vs. passive computer activities	6 weeks	The primary outcome, Repeatable Battery for Assessment of Neuropsychological Status total scores, improved 0.36 standard deviations (SD) in the intervention group (P=0.097) compared with 0.03 SD in the control group (P=0.88) and the between-group difference was 0.33 SD (P=0.26).
Barnes et al, ⁶³ 2013	N=126 adults with memory complaints.	73 years	2 × 2 factorial design 4 groups: mental activity intervention (MA-I; intensive computer)/exercise intervention (EX-I; MA-I/exercise control (EX-C; stretching and toning), mental activity control (MA-C; educational DVDs)/EX-I, MA-C/EX-C.	12 weeks	Global cognitive scores improved significantly over time (mean, 0.16 SD; P < .001) but did not differ between groups in the comparison between the mental activity groups (P =0.17), the exercise groups (P =0.74), or across all 4 randomization groups (P=0.26).
Physical activity					

Source	Patients	Mean Patient Age	Intervention	Trial Length	Primary Outcomes
Lautenschlager et al. ⁸⁸ 2008	N=170 adults with memory complaints, 60% of whom had MCI.	69 years	Home-based physical activity program vs. education and usual care	24 weeks	At 18 months: 0.73-Point improvement on the ADAS-cog among patients in the intervention group vs. a 0.04-point improvement for those receiving placebo (0.69-point treatment difference, $P=0.04$); At 6 months: 0.26-Point improvement on the ADAS-cog among patients in the intervention group vs. a 1.04-point decrease for those receiving placebo (1.3-point treatment difference, $P<0.001$). Results were similar in sub-group of patients with MCI. The intervention group also showed modest improvements in word list delayed recall (verbal memory) and CDR sum of boxes (functional impairment due to cognition).
Baker et al. ⁸⁹ 2010	33 adults with amnesic MCI.	70 years	High-intensity aerobic exercise vs. stretching control group	6 months	Compared with stretching, high-intensity aerobic exercise significantly improved performance on tests of executive function with stronger effects for women than men.
Suzuki et al. ⁹⁰ 2012	N=50 adults with amnesic MCI.	75 years	Multi-component exercise program vs. educational control	12 months	Patients in the exercise group showed superior improvements of cognitive function at treatment end for the Mini-Mental State Examination (group-by-time interaction $P=0.04$), the logical memory subset of the Wechsler memory scale-revised (group-by-time interaction $P=0.03$), and the letter verbal fluency test (group-by-time interaction $P=0.02$).
Nagamatsu et al. ⁹¹ 2012	N=86 women with subjective memory complaints.	75 years	Resistant training twice-weekly, aerobic training twice-weekly, or balance and tone training twice-weekly (control group)	26 weeks	Compared with the balance and tone training (control) group, the resistance training group significantly improved performance on the Stroop Test of executive function (mean change 1.4 seconds vs. 9.1 seconds, $P=0.04$). Changes in Stroop test performance did not differ significantly between the aerobic training and balance and tone training groups (mean change 1.4 seconds vs. 8.8 seconds, P -value not given).
Barnes et al. ⁶³ 2013	N=126 adults with memory complaints.	73 years	2 × 2 factorial design 4 groups: mental activity intervention (MA-I; intensive computer)/exercise intervention (EX-I; aerobic), MA-I/exercise control (EX-C; stretching and toning), mental activity control (MA-C; educational DVDs)/EX-I, MA-C/EX-C.	12 weeks	Global cognitive scores improved significantly over time (mean, 0.16 SD; $P < .001$) but did not differ between groups in the comparison between the mental activity groups ($P = 0.17$), the exercise groups ($P = 0.74$), or across all 4 randomization groups ($P = 0.26$).
Multi-disciplinary care					
Woolfs et al. ⁹² 2008	N=235 adults with a suspected diagnosis of dementia or a cognitive disorder, >15% of whom had MCI.	78 years	Integrated multidisciplinary diagnostic clinic vs. usual care	52 weeks	At 12 months, no significant difference between groupson change in mean score on the visual analogue scale of the EuroQd measure EQ-5D (5.2 points; 95%CI, -0.58 to 10.94 points).
Psychotherapeutic interventions					
Joosten-WeynBanningh et al. ⁶⁶ 2011	N=93 adults with MCI.	70 years	Group cognitive behavioral therapy for patients vs. assignment to waiting list (control group)	10 weeks	Primary outcome for patients: Acceptance assessed using a subscale of the Illness Cognition Questionnaire increased more in the intervention group compared to the waiting-list period ($P=0.03$) with an estimated between group difference of 3.49 (95%CI, -6.21 to -0.73; $P=0.01$).

Source	Patients	Mean Patient Age	Intervention	Trial Length	Primary Outcomes
Joosten-WeynBanningh et al, ⁶⁷ 2013	N=88 significant others of adults with MCI.	69 years	Group cognitive behavioral therapy for significant others vs. assignment to waiting list (control group)	10 weeks	Primary outcome for significant others: Sense of competence assessed with the Sense of Competence Questionnaire was not significantly different between the waiting list period and the intervention period (P=0.59).

Abbreviations: ADAS-cog, 11-item (70-point) Alzheimer Disease Assessment Scale-cognitive subscale (higher scores indicating greater severity of cognitive impairment). ADCS-CGIC-MCI, Alzheimer Disease Cooperative Study Clinician's Global Impression of Change for MCI. CDR-SB, Clinical Dementia Rating Sum of Boxes. CANTAB, Cambridge Automated Neuropsychiatric Test Assessment Battery.

Control of vascular risk factors, and prevention of stroke and subclinical brain injury
Hypertension present: control blood pressure and avoid hypotension
Diabetes present: control severe hyperglycemia and avoid severe hypoglycemia
Statin if indicated for primary or secondary stroke prevention
Atrial fibrillation present: initiate anti-coagulant or anti-thrombotic therapy if no contraindications
Beneficial behaviors
Abstain from heavy alcohol or illicit drug use
Engage in mental activity
Engage in physical activity
Stop smoking
Social Needs
Encourage and facilitate social interactions
Discuss living will, durable power of attorney, financial and long-term care plans
Provide community resources for patient and caregivers
Discuss driving safety
Discuss home safety, including kitchen safety, firearms, poisons, and potential fall risks
Prognosis and Follow-up
Discuss current evidence and uncertainty regarding MCI prognosis with patient and family
Arrange follow-up approximately every 6 months to assess changes in cognitive function and potential evolving needs for social support