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### Weighing the Evidence of Common Beliefs in Obesity Research

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#### **Abstract**

Obesity is a topic on which many views are strongly held in the absence of scientific evidence to support those views, and some views are strongly held despite evidence to contradict those views. We refer to the former as "presumptions" and the latter as "myths". Here we present nine myths and ten presumptions surrounding the effects of rapid weight loss; setting realistic goals in weight loss therapy; stage of change or readiness to lose weight; physical education classes; breast-feeding; daily self-weighing; genetic contribution to obesity; the "Freshman 15"; food deserts; regularly eating (versus skipping) breakfast; eating close to bedtime; eating more fruits and vegetables; weight cycling (i.e. yo-yo dieting); snacking; built environment; reducing screen time in childhood obesity; portion size; participation in family mealtime; and drinking water as a means of weight-loss. For each of these, we describe the belief and present evidence that the belief is widely held or stated, reasons to support the conjecture that the belief might be true, evidence to directly support or refute the belief, and findings from randomized controlled trials, if available. We conclude with a discussion of the implications of these determinations, conjecture on why so many myths and presumptions exist, and suggestions for limiting the spread of these and other unsubstantiated beliefs about obesity domain.

#### Introduction

Obesity is inextricably connected to individual beliefs about work ethic<sup>1</sup>, beauty<sup>2,3</sup>, selfdiscipline vs. wanton indulgence<sup>4</sup>, environmental sustainability<sup>5,6</sup>, culture and family<sup>5-7</sup>, and even morality<sup>5-10</sup>. At a superficial level, all individuals in industrialized societies have some daily contact with aspects of obesity. Passionate interests and inherent human tendency to seek explanations for phenomena combined with daily exposure oftentimes provoke the development of beliefs about obesity that are held with zeal despite limited evidence. Unfortunately, when the general public, mass media, government agencies, and even some scientists hold and espouse potentially false beliefs, research to examine the veracity of the beliefs may be stifled. We thus suggest that it is useful to review some common beliefs that in our estimation are unsupported by current evidence. We use the term myth to refer to beliefs held true despite the existence of substantial refuting evidence. We use the term *presumption* to refer to beliefs that are held to be true but for which convincing evidence does not yet exist to confirm or to disconfirm their legitimacy. The distinction between myths and presumptions is important, because the overriding message is different. For myths, the key message is: let us accept the data, leave these myths behind, and move on to more productive uses of our investigative, clinical, and public health resources. In contrast, for presumptions, the message is: let us move forward vigorously to devote resources to examining the validity of these presumptions to better inform obesity intervention and treatment efforts. For each myth or presumption, we (1) describe the belief and present evidence that the belief is widely held or stated; (2) present reasons why the conjecture may be supported and/or propagated; (3) present evidence to directly support or refute the belief; and (4) where available present evidence from randomized controlled trials (RCTs).

# Myth 1 - Losing weight quickly will predispose to greater weight regain relative to losing weight more slowly

Exposition of belief and support that the belief is widely held or stated—That gradual, slower weight loss results in better long-term outcomes than abrupt weight loss, is a belief that likely emerged as a reaction to the adverse effects of nutritionally insufficient very-low-calorie diets (VLCDs) in the 1960s. The propagation of the myth has been maintained over the past 50 years as evidenced by its inclusion in well-respected textbooks of nutrition and the recommendations of many health authorities. It is one of the basic rules dietitians apply to the management of obesity. For example, in an international textbook of nutrition<sup>11</sup>, the section "Reasonable treatment of obesity" starts with the statement, "In the 1990s, experts on obesity treatments ... now embrace small changes, moderate losses, and reasonable goals, (pg. 266) ... [with] at least six months for a 10% loss of initial weight, (pg. 267)." This corresponds to less than one pound (0.38 kg) per week for an obese person with an initial body weight of 220 pounds (100 kg). In a table in this same textbook titled "Weight-Loss Consumer Bill of Rights (pg. 231)" the following is highlighted: "WARNING: Rapid weight loss may cause serious health problems. Rapid weight loss is weight loss of more than 1½ to 2 pounds per week or weight loss of more than 1% of body weight per week after the second week of participation in a weight-loss program. Only permanent lifestyle changes, such as making healthful food choices and increasing physical activity, promote long-term weight loss." Further, expert insights from physicians posted on websites state "To lose weight permanently, you must make a commitment to gradually adopt a healthier way of life," and warn that rapid weight loss usually results in regaining lost weight<sup>12,13</sup>.

Reasons to support the conjecture that the belief might be true—These statements apparently arise from the plausible common belief that weight gain and obesity are a simple consequence of an inappropriate diet and lack of physical activity. It follows that if everyone were to comply with official recommendations for healthy diet composition and daily physical activity, no one would be obese. It also implies that more rapid weight loss is not only unnecessary but even counterproductive when it is not accomplished by the proposed lifestyle changes. Furthermore, the assertion is that gradual changes in diet and lifestyle are more likely to be permanent and thus better maintained long term.

Evidence directly refuting the belief—The results of many observational studies show that, in least in terms of association, the opposite is true. In fact, numerous post-hoc analyses of trials correlate greater initial weight loss with greater long-term success. Of course, it must be recognized that such analyses demonstrate correlation and not necessarily causation, because the greater initial weight loss is not the variable to which subjects are randomized. A comprehensive review of the literature conducted in 2000<sup>14</sup> consistently found a beneficial association of rapid weight loss on weight loss maintenance, and these findings have been further supported by studies published since that time. Using data from the 1999–2002 National Health and Nutrition Examination Survey (NHANES), Weiss et al. reported that among 1,300 adults who had lost 10% or more of their body weight, 59% maintained this weight loss and 7% lost more weight over the following year<sup>15</sup>. Losing a greater percentage of maximum weight (20% vs. 10%-15%) was additionally associated with

improved long-term outcomes (odds ratio [OR]: 2.8; 95% confidence interval [CI]: 2.0–4.1). Jeffery et al. found that initial weight losses were positively, not negatively, related to weight loss after 30 months in patients following a behavior therapy program<sup>16</sup>. This finding was largely due to differences in initial weight loss. Finally, Björvell and Rössner found a significant correlation between weight loss after a 2-year treatment period and weight at 4 years of follow-up as well as weight loss at 10 to 12 years of follow-up<sup>17</sup>.

Evidence from randomized trials—To systematically investigate whether slow gradual weight loss facilitates improved long-term weight loss maintenance, trials that randomize obese patients to slow versus rapid initial weight loss have been conducted. For example, Toubro and Astrup randomized 43 obese adults to 8 weeks of a VLCD or 17 weeks of a conventional diet 1200kcal/day (5 MJ/day) to produce slower weight loss, in which the difference in duration was targeted to reach a similar weight loss <sup>18</sup>. After the weight loss phase, all patients were enrolled in a 1-year weight maintenance program with a 2-year follow-up after completion of weight loss. The weight loss achieved by patients in the 8week and 17-week treatment programs was similar (13.6 kg vs. 13.6 kg), whereas the rate of initial weight loss in the VLCD group was twice that in the conventional group (1.6 kg vs. 0.8 kg/week). The weight loss maintained by the two groups was similar, with a tendency toward improved 2-year maintenance in the VLCD group (3.0 kg vs. 2.4 kg, NS)<sup>19</sup>. Studies have also compared smaller with greater initial weight loss over 1 year in obese patients with osteoarthritis. After 1 year, mean weight loss in the low-energy diet group was 10.9 kg (11%) compared with 3.6 kg (4%) in the control group  $(p<0.0001)^{20}$ . To date, the totality of evidence does not support the myth that gradual weight loss improves long-term outcomes<sup>21</sup>.

Today, even authorities such as the Food and Drug Administration (FDA) acknowledge that those who do not lose weight initially are unlikely to do it in the long-term as reflected in the approval text for the weight loss drug Lorcaserin (Belviq): "The approved labeling for Belviq recommends that the drug be discontinued in patients who fail to lose 5 percent of their body weight after 12 weeks of treatment, as these patients are unlikely to achieve clinically meaningful weight loss with continued treatment." <sup>22</sup> In a randomized trial <sup>23</sup>, among 776 subjects (83%) who achieved at least an 8% reduction in their initial body weight, a greater weight loss at week 8 (>12.7 kg, i.e., above the upper quartile) was associated with lower attrition during the subsequent 6 month dietary intervention period as compared with a lower weight loss quartile (<8.6 kg; OR: 0.92; 95% CI: 0.88-0.97, P = 0.001). Dropout rate was also lower among subjects in the upper quartile of initial weight loss than among those in the lower quartiles.

### Myth 2 - Setting realistic weight loss goals in obesity treatment is important because otherwise patients will become frustrated and lose less weight

**Exposition of belief and anecdotal support that the belief is widely held or stated**—Support for this recommendation can be found in general goal-setting theory and in some aspects of cognitive-behavioral theory <sup>24</sup>. Cooper and Fairburn <sup>25</sup> suggest a cycle in which a decrease in the rate of initial weight loss is followed by a subsequent decline in patients' belief in their ability to attain their initial goal. This may lead to premature

disengagement from treatment as well as abandonment of the key weight loss strategies necessary for future weight loss efforts as well as weight maintenance <sup>26,27</sup>. Accordingly, the unified message from various major public health entities <sup>28-30</sup> and statements from key professional organizations <sup>31;32-35</sup> is for overweight and obese patients to start gradually and pursue modest weight loss goals.

#### Reasons to support the conjecture that the belief might be true—First-

generation weight loss interventions conducted in the 1980s targeted attainment of ideal body weight and thus embraced the more ambitious goals and expectations of patients <sup>36</sup>, perhaps as a way of encouraging weight loss efforts. However, researchers discovered that ideal weight could only be achieved by a select few, and it was very rarely maintained over time <sup>16,37,38</sup>. Thus, in the 1990s, the prevailing message from researchers and professional organizations began to change to promote more realistic weight loss goals favoring 5% to 10% reductions in weight <sup>36</sup>. Findings from the general goal-setting literature suggest that unattainable goals lead to impaired performance and discontinuation of the goal-seeking behavior<sup>26</sup>.

Two studies reported that less realistic goals were predictive of higher rates of attrition<sup>39,40</sup> or less success with weight loss<sup>39</sup> among individuals seeking obesity treatment.

Additionally, studies have shown that unrealistic goals were significantly related to negative psychological outcomes, including poorer body image<sup>41-44</sup>, lower self-esteem<sup>42</sup>, and more depressive symptoms<sup>45</sup>. Given this substantial discrepancy between patients' expectations and what is actually recommended and achievable, it is intuitive to conclude that patients' unrealistic weight loss goals will lead to disappointment, lack of engagement in treatment, and ultimately poorer weight loss outcomes.

**Evidence directly supporting or refuting the belief**—Whereas a handful of studies have suggested that unrealistic weight loss goals may be problematic, most findings on this topic indicate no meaningful or consistent association between patients' goals and program completion or subsequent weight loss <sup>43,46,47</sup>. Further, individuals seeking weight loss treatment often have much more ambitious goals or expectations. When asked to define their "dream" or "ideal" weights, patients report weights reflecting 24% to 38% reductions in body weight <sup>51-57</sup>. When asked to identify "happy" or "acceptable" weights, weight loss goals typically range from 15% to 31% reductions in initial weight <sup>51;53;54;56</sup>. Even weight loss goals described as "disappointing" by patients generally exceed 10% <sup>36</sup>.

In fact, some studies have reported that less realistic goals were actually associated with *better* weight loss outcomes<sup>43,46,47</sup>. For instance, Fabricatore et al.<sup>46</sup> reported that weight loss goals of individuals receiving pharmacotherapy, lifestyle modification, or combined therapy were unrelated to actual weight loss, although greater weight loss was associated with less realistic goals among patients receiving medication plus brief therapy from their physicians. In two separate studies, Linde et al.<sup>43,48</sup> also found that unrealistically high goals were associated with greater weight loss, although this relationship varied by gender, the operational definitions of weight loss goals, and length of follow-up. In a systematic review including 13 observational studies, overall, no association between pretreatment goals and weight loss was observed <sup>49</sup>. Conversely, lower expectations could prevent engagement in a

program to lose weight <sup>49</sup>. In a more recent meta-analysis of observational studies conducted between 1998-2012, encompassing eleven studies which were delivered a weight loss intervention in humans lasting at least six weeks, and assessed baseline weight loss goals as well as pre- and post-weight either in the form of body mass index or some other measure that could be converted to weight the overall correlation between goal weight and weight at intervention completion was small and statistically insignificant <sup>50</sup>.

One single-group pilot study examined the effects of a modified behavioral weight loss intervention incorporating strategies to alter patients' unrealistic goals in order to potentially improve initial and long-term weight loss<sup>51</sup>. While the modified program produced significant changes in weight loss expectations (such that patients' goals became more realistic), the modified intervention did not result in greater weight loss, longer-term weight loss maintenance, or better psychological outcomes (e.g., depressive symptoms, self-esteem). Similarly, in a study among successful weight losers discrepancies between weight loss expectations and actual weight loss did not impact weight regain <sup>52</sup>.

**Evidence from randomized trials**—One randomized pilot study compared the effects of a standard behavioral weight loss intervention with a modified intervention that targeted unrealistic weight loss expectations<sup>53</sup>. Similar to findings from the other behavioral treatment pilot study, the modified intervention resulted in more realistic weight loss expectations but did not influence weight loss itself. Results from such studies further support the conclusion that unrealistic goals are not meaningfully related to actual weight loss. In fact, it is possible that adjusting patients' goals to modest outcomes may undermine optimism and motivation for engaging in the behaviors required for successful weight loss <sup>51</sup>. Therefore, the analytical evidence of existing studies, considered together, does not demonstrate that setting realistic goals leads to more favorable weight loss outcomes.

# Myth 3 - Assessing "stage of change" or "readiness" to diet is important in helping patients who pursue weight loss treatment to lose weight

Transtheoretical Model (TTM) <sup>54</sup> has been applied to a wide variety of behavioral change interventions <sup>55</sup> under the auspice that an individual's readiness to change (i.e., to engage in the behaviors required, in this case, to lose weight) can be conceptualized in five stages: precontemplation, contemplation, preparation, action, and maintenance. Identifying the stage of readiness of a given individual is thought to be important to facilitate the delivery of more targeted treatment <sup>56</sup>. The TTM is said to offer a theoretical framework for the assessment of readiness to change, which is considered vital for tailoring interventions according to the patient's motivation. Perhaps the most popular of these is the Weight Loss Readiness Test II <sup>57</sup>, formerly the Diet Readiness Test <sup>58</sup>. The 27 items answered on a 5-point Likert scale are said to measure 6 factors related to readiness: motivation, expectations, confidence, hunger and eating cues, binge eating and purging, and emotional eating. It is commonly

Exposition of belief and support that the belief is widely held or stated—The

some health professionals, laypeople, and weight loss organizations espouse the view that readiness is a core concept and an important factor in helping individuals decide whether to pursue weight loss and whether their weight loss efforts will be successful. This is evident,

believed that the answers to these 27 questions are predictive of weight-loss success. Thus,

for example, by an article on the Mayo Clinic Web site which states, "Your weight-loss success depends in large part on your readiness to take on the challenge" <sup>59</sup>. Dozens of Web sites related to health and weight loss (e.g., Weight Watchers, Mayo Clinic, CNN) provide information on the importance of weight loss readiness, as well as interactive assessments purported to help an individual to determine his or her readiness. Successful assessment of readiness is also thought to be important in minimizing dropout from weight management programs or from individual weight loss efforts <sup>60,61</sup>.

Reasons to support the conjecture that the belief might be true—Intuitively, it seems logical to conclude that if an individual believes that he or she is psychologically and motivationally prepared to take on a series of major behavioral changes to produce a desired outcome, that individual will experience greater success. For example, individuals in the pre-contemplative or contemplative stages may benefit from counseling on the risks of being overweight and the benefits of weight loss in order to assist them in progressing to the preparation stage, when they will begin to make plans to do something tangible to address their weight. Indeed, the underlying assumption of the TTM is that readiness translates into motivation and that motivation is critical for successful engagement in behavioral weight loss programs <sup>54,55</sup>. By matching the level of readiness with the appropriate treatment (e.g., counseling for those in the pre-contemplative stage, a tangible diet and exercise plan for those in the action stage), it is thought that the chances of engaging in a process to ultimately produce successful long-term weight loss will be maximized <sup>56,60-62</sup>.

Evidence directly supporting or refuting the belief—Overall, there are few data showing that readiness has an effect on weight loss outcomes. Some evidence indicates that readiness and its variants such as self-motivation, self-determination, and self-efficacy may play a small role in predicting weight outcomes, typically in the short term <sup>61,63-70</sup>. Research conducted to date varies markedly in the way readiness and related motivational constructs are measured. However, the Dieting Readiness Test and the Weight Efficacy Lifestyle Questionnaire were not shown to be predictive of magnitude of weight loss or treatment attendance <sup>58,71</sup>. Furthermore, a recent study <sup>72</sup> of 227 consecutive patients undergoing adjustable gastric banding surgery found that readiness was not associated with percentage reduction in BMI at 2 years or compliance or likelihood of complications. Finally, a Cochrane review <sup>73</sup> limited to five RCTs (3,910 participants, median length of 9 months) specifically evaluating the effect of randomization to interventions which incorporated stages of change (not exclusively readiness) from the TTM to controls that did not use TTM assessment found that the use of stages of change resulted in minimal overall weight loss (~2 kg or less) and reported no conclusive evidence of sustained weight loss.

**Evidence from randomized trials**—Unfortunately, randomizing groups based on stage of change is not possible. However, while the available evidence indicates that TTM may not be predictive of weight loss outcomes, it may be of some value to assess readiness to assist individuals in determining whether they wish to engage in weight loss efforts.

### Myth 4 – Physical education classes, as currently delivered, play an important role in reducing the prevalence of childhood obesity

Exposition of belief and support that the belief is widely held or stated—Many authorities have expressed concern that a secular decline in children's exposure to physical education (PE) classes over the last several decades has been concurrent with the increasing prevalence of obesity in school-aged youth<sup>74</sup>. The U.S. Surgeon General has also identified cutbacks in PE as a contributor to pediatric obesity<sup>75</sup>. In addition, numerous researchers and public health organizations have recommended that increased exposure to PE be adopted as an important public health strategy for reducing the prevalence of childhood obesity. For example, the Institute of Medicine's report, *Preventing Childhood Obesity, Health in the Balance*, included the recommendation that schools should "Expand opportunities for physical activity through physical education classes" <sup>76</sup>.

A recently released Institute of Medicine report, *Educating the Student Body: Taking Physical Activity and Physical Education to School*, recommends that "School districts should provide high-quality curricular physical education during which students should spend at least half of the class time engaged in vigorous- or moderate-intensity physical activity" However, the fact that exercise in general, in appropriate types and amounts, benefits children's health is not the focus of this myth. Rather, the issue is whether school PE specifically, in its typical form, provides sufficient doses of physical activity without subsequent energetic compensation to provide obesity prevention.

Reasons to support the conjecture that the belief might be true—Typically, the rationale for providing students with PE has been based on the health benefits of exercise for children. These benefits, including a positive impact on weight status, are well documented <sup>78</sup> and were summarized in the report of the Physical Activity Guidelines Advisory Committee <sup>79</sup>. PE has often been criticized for providing students with very limited amounts of moderate-to-vigorous-intensity physical activity (MVPA)<sup>80</sup>, the type of physical activity that provides the greatest health benefits. On the other hand, a number of studies have shown that when PE classes are structured to prioritize providing MVPA, they do deliver substantial doses of physical activity<sup>81-83</sup>. In the context of the obesity epidemic, numerous authorities have recommended that PE programs be modified, expanded, or enhanced<sup>84-87</sup>. The reasonable premise underlying this recommendation is that high-frequency exposure to PE classes that emphasize MVPA can provide physiologically meaningful doses of physical activity that promote healthy body composition in youth.

**Evidence directly supporting or refuting the belief**—Studies examining the direct relationship between exposure to PE and students' weight status and/or body composition are fairly limited. Several cross-sectional observational studies have assessed the effects of federal or state PE policies (i.e. increased time in PE classes on BMI)<sup>88-91</sup>. These studies found that the relationship between time in PE class and BMI was weak, with two studies reporting no association<sup>91,90,92-96</sup> and two reporting very small inverse associations<sup>89,88,97</sup>. One prospective observational study, the Early Childhood Longitudinal Study, evaluated the relationship between exposure to PE and changes in BMI as children transitioned from kindergarten to first grade<sup>98</sup>. PE exposure for most children in this study increased over time

as the result of changes in the daily schedule between kindergarten and first grade. The study found that a 1-hour per week increase in PE between kindergarten and first grade resulted in a 0.31-point reduction in BMI for overweight/obese girls<sup>98</sup> but no reduction in overweight/obese boys or normal weight girls or boys. It was unclear whether the relationships seen in this age group would apply to older children. However, a recent follow-up re-evaluating outcomes when the children were in fifth grade identified a "relatively small" effect on body fat effect and only in boys <sup>97,99-101</sup>. Given the known decrease in body fat that occurs in boys with proximity to puberty an effect of PE on obesity is difficult to decipher.

Evidence from randomized trials—Two types of experimental RCTs, interventions to increase PE time and interventions to improve PE, have also examined the effects of PE on weight status and/or BMI. Of the studies that focused only on increasing time in PE, the majority increased the number of days children attended PE classes. The expanded time in PE resulted in modest attenuations in the increase in BMI over time <sup>102-104</sup>; however, the results were not consistent across genders or age groups. Another option for improving children's health via exposure to PE is to improve the PE curriculum. Some intervention studies, for example, have focused on increasing the amount of time children spend in MVPA during PE class. Of the 10 studies that used this approach and measured weight status, two showed slight decreases in BMI<sup>105,106</sup> or attenuated gains in BMI, while the majority showed no significant effects of the intervention<sup>81,107-113</sup>. Reviews and metaanalyses of school-based physical activity interventions, including those designed to modify PE, have concluded that interventions can increase physical activity during PE classes, but the increased time in physical activity does not result in significant improvements in children's BMI or weight status 114-116. For example, increased PE has been associated with improved motor skills but not BMI<sup>93</sup>. Thus, on the basis of the literature to date, PE, as currently delivered in the typical school in the U.S., is not contributing importantly to prevention of overweight and obesity. A reasonable interpretation of the relevant literature is that PE, delivered in an ideal form, might have the potential to influence body weight status in children. However, in its current form, PE is probably not influencing BMI or obesity level in the population of school-aged children.

#### Myth 5 - Breastfeeding is protective against obesity in breastfed offspring

Exposition of belief and support that the belief is widely held or stated—That breastfeeding results in a reduction in the incidence of obesity compared to formula feeding is a belief that arose in all likelihood in the late 1800's, the advent of the first commercially available infant formula <sup>117</sup> (p. 122). The belief has been advanced the World Health Organization <sup>118</sup>, US government agencies <sup>119-123</sup> and professional organizations <sup>124,125</sup> to name but a few. Support for breastfeeding as a first line of defense against obesity and is obesity prevention <sup>126</sup> has garnered wide appeal in the past 30 years. Statements such as "One of the most highly effective preventive measures a mother can make in protecting the health of her infant and herself is to breastfeed" (January 2011) and statements by first Lady Michelle Obama (February, 2011) citing evidence that breastfeeding reduces obesity throughout the life span bolster belief in this proposition.

Reasons to support the conjecture that the belief might be true—The protective effect of breastfeeding against obesity seems logically intuitive, in the context of numerous health benefits. The supposition follows that for millennia breast milk was the sole source of nutriture for an infant and therefore must promote optimal body composition trajectories. Infants who exclusively breastfeed weigh less and grow more slowly than their formula fed counterparts in the first 12 months of life <sup>127-130</sup>. However, an association with breastfeeding and obesity weakens after the first year of life <sup>129,131-139</sup>. Nevertheless, in one of the largest studies, Gillman and colleagues actually reported a protective association of breastfeeding in early adolescence. The analyses included 15,000 children from participants in the Nurses' Health Study II and showed a significantly lower risk of being overweight at 9-14 years if exclusively or mostly breastfed compared to children who were exclusively or mostly fed formula <sup>140</sup>. In a recent update, however, the author of that study acknowledges that "breastfeeding no longer appears to be a major determinant of obesity risk" <sup>141</sup>.

Three systematic reviews and meta-analyses that have included 54 studies <sup>142-144</sup> have also concluded breastfeeding is associated with obesity risk in breastfed offspring. Both the Agency for Healthcare Research and Quality (AHRQ) and the World Health Organization (WHO) have interpreted the evidence from these studies. The AHRQ concluded the evidence as 'tentative' where as the WHO concluded breastfeeding had a small 'protective' effect <sup>145,146</sup>. Thus, the preponderance of the evidence suggesting that breastfeeding might be protective against obesity risk stems from epidemiological observational studies that have evaluated the association of breastfeeding initiation and/or duration with overweight in early childhood and in adolescence. The essential evidence brought to bear in favor of the belief is the purported observation that there is an association between breastfeeding and lower risk of obesity later in life.

<u>Critical Evaluation of the Purported Association:</u> Although it has been stated that there is an association between breastfeeding and offspring obesity later in life, there are multiple reasons to doubt both that any such association indicates a causal effect and even whether the purported association even exists.

**Reasons to Doubt the Existence of the Association**—There are two major reasons to doubt that an association between breastfeeding and later risk of obesity exists. First, meta-analysis show that studies of among persons who have reached adulthood, there is no significant association between having been breastfed as a child and obesity <sup>147,148</sup>.

Second, the WHO's analysis revealed strong evidence of publication bias <sup>148</sup>, implying that studies which did not find evidence of an association between breastfeeding and later obesity were more likely to be unpublished. Thus, meta-analysis of only the published literature may give the appearance of an association that is greater than the true population association. Thus, the association observed in the published literature, even among younger persons, may not be real.

#### Reasons to Doubt That Any Observed Association Represents Causation—

The reason to doubt that an association between breastfeeding and obesity, if such association exists, represents causation, is that the association may be due to

confounding <sup>148</sup>. Although most epidemiologic analyses entail some statistical control for plausible confounding factors, such analyses can only control for confounding variables that are known, measured, measured well, and far which the functional form is correctly modelled. Because one can never guarantee that one has completely controlled for all possible confounding variables in any association study, all association findings must be viewed with skepticism as indicators of causal effects.

Beyond this general statement of principle, we can look to observational studies which more rigorously control for potential confounders. If such studies show equally strong associations, this might bolster the plausibility that this association represents causation. In contrast, if the studies with more rigorous control find evidence of association then this reduces the plausibility that any observed association represents.

**Evidence from randomized trials**—True RCTs of breastfeeding *per se* do not exist, due to practical and ethical constraints. Rather, there are RCTs of assignment to breastfeeding promotion programs. Using a cluster-randomized experimental intervention design where units/packets (i.e. hospitals and maternal/infant clinics) are randomized to a control intervention (breastfeeding practices and policies currently in place) or to an experimental intervention (based upon the Baby-Friendly Hospital Initiative developed by WHO and United Nations Children's Fund<sup>149</sup>) are as close to a true randomized trial that currently exists in the literature <sup>150</sup>. This cluster design was utilized enrolling 31 Belarusian hospitals and clinics were randomized to either the experimental intervention (n=16) or to control (n=15) where the association between breastfeeding duration and exclusivity on BMI and adiposity (estimated by skinfold measurement) was studied in over 17,000 children <sup>151</sup>. Results from this unique study design revealed at both 6.5 years <sup>152</sup> and 11.5 years <sup>138</sup> that the promotion of extending breastfeeding fidelity had no impact on reducing either adiposity or prevalence in obesity.

In conclusion, the actual empirical evidence supporting an effect of breast feeding on obesity is weak at best, making it difficult to defend any strong statements about the benefits of breastfeeding on obesity. The human RCTs suggest a lack of causal effect on average, under ordinary circumstances. That said, we cannot rule out that there are no effects for anyone under any circumstances.

Causation: Although this does not completely eliminate the possibility of confounding, studying sibling pairs, who presumably experience many of the same confounding maternal factors but vary in the duration or initiation of breastfeeding, should radically reduce it. Findings from these studies have been equivocal. Gillman et al.  $^{140}$  analyzed 5614 sib pairs and reported the adjusted odds ratio for overweight among siblings with longer breastfeeding duration, compared with shorter duration, was 0.92, but it was not statistically significant (95% confidence interval = 0.76–1.11). Metzger and McDade reported that breastfed siblings were less likely to be overweight or obese than their non-breastfed siblings  $^{153}$ . Similarly, in a sibling analysis drawn from the Helsinki Birth Cohort, 84% of which had been breastfed on average for 6 months, O'Tierney et al (2009) reported that longer periods of breast-feeding were associated with lower BMI at 1 y of age (p<0.05); however, this association with BMI had largely vanished and was not statistically significant

by the age of 7 years (p=0.50). Further, in this cohort, among siblings who had objectively measured heights and weights, those who had been breast-fed for less than 2 months or greater than eight months had the highest BMI and percentage body fat. In contrast, Nelson and colleagues <sup>154</sup> reported that discordant breast-feeding of sibling pairs did not predict BMI Z-score differences or discordant overweight status. Interestingly, a study by Evenhouse and Reilly <sup>155</sup>observed that the breastfed sibling is *more* likely to be overweight (p<0.10). Thus, sibling analyses which control for many maternal variables have not generally produced supportive results. Although sibling analyses provides the capacity to control for effects of some of the maternal confounding factors, they do not account for child confounders that may be related to the discordance in duration or initiation of breastfeeding. It was recently suggested that sibling analysis may represent greater confounding than the sorting across families <sup>156</sup>. Clearly, no observational epidemiological study is capable of removing all confounding. The only method that can control for both known and unknown confounding from all pre-randomization variables is randomization, provided one adheres to the intent-to-treat principle.

#### Myth 6 -Daily self-weighing interferes with weight loss

Exposition of belief and support that the belief is widely held or stated—Daily body weight fluctuations are highly variable due to large fluctuations in total body water content, glycogen content, and intestinal content, sometimes amounting to several pounds in 24 hours. Therefore it is believed that for a person trying to lose weight, seeing his or her weight go in the direction opposite to their efforts can be highly discouraging. Thus, dietitians and commercial weight loss groups are reluctant to have their clients weigh themselves daily as an adjunct to controlling their weight <sup>157,158</sup>. Consequently, it is often held that self-weighing is detrimental to long-term weight loss.

Reasons to support the conjecture that the belief might be true—Daily weighing was advocated by the work of Stuart, who promoted Behavioral Modification as an alternative to dietary restriction as a means of weight reduction. As part of behavioral modification, Stuart suggested weighing oneself not once daily but four times a day. Stuart first reported success with a combination of daily weighing and other methods to modify behavior to cause significant weight loss<sup>159</sup>. The study stimulated considerable research to further test whether adding daily self-weighing to behavioral modification would facilitate weight loss. Unfortunately, numerous studies examining the effect of adding daily self-weighing to other behavioral treatments to promote weight loss failed to find an advantage of self-weighing <sup>160-163</sup>. In some cases, self-weighing was actually found to impair the rate of weight loss<sup>164</sup>.

**Evidence directly supporting or refuting the belief**—Three recent experimental studies, however, challenged this conclusion by asking a slightly different question. Rather than examine the effects of daily self-weighing as an adjunct to weight loss therapy, these studies examined the use of daily self-weighing, on its own, to produce a significant weight loss. Oshima et al. <sup>165</sup> observed a significant weight loss effect by having overweight participants weigh themselves and view a chart of their weight twice each day for 12 weeks. Consistent with these effects, Steinberg et al. <sup>166</sup> had participants weigh themselves daily

and used Internet messaging to inform participants of their progress towards making their target weight. They observed a significant reduction in body weight in the self-weighing group compared with a control group by the end of 6 months without any further therapy. Similarly, Pacanowski<sup>167</sup> demonstrated a significant effect of daily self-weighing and viewing a chart on weight loss by the end of 1 year. Even more importantly, this group maintained their weight loss for 1 year.

A second area in the weight loss literature that demonstrates the effectiveness of daily self-weighing for controlling weight analyzed the behavioral characteristics of people who were successful at losing or maintaining the lost weight. These studies consistently showed that people who weighed themselves daily were more likely to succeed at either losing weight or maintaining their weight after weight loss treatment <sup>168-174</sup>. Thus, it seems just as likely to conclude that people who weigh themselves daily are more motivated to lose or maintain their weight loss than are those who weigh themselves less frequently as it is to conclude that daily weighing enhances weight loss or weight maintenance.

A third area of support for the use of daily weighing in weight control involves the assessment of daily weighing as an intervention to prevent age-related weight gain or weight regain following weight reduction. Levitsky et al. <sup>175</sup> compared a group of first semester freshmen who were asked to weigh themselves daily and to view a chart of their weight with a matched group of students who were weighed only at the beginning and at the end of their first semester. The results are shown in Figure 1. In two successive tests over 2 years, daily self-weighing prevented significant weight gain in the self-weighing group, whereas the controls who did not weigh themselves daily gained between 2 and 3 kg over the course of the first semester.

Other attempts at preventing weight gain in freshmen were not as successful. Gow et al. <sup>176</sup> did not observe a significant effect of daily weighing on weight gain prevention in freshmen asked to weigh themselves daily, perhaps because the control freshmen with whom they were compared failed to show a significant weight gain. Strimas and Dionne <sup>177</sup> also failed to find an effect of weight monitoring in a group of freshmen compared with a matched group of freshmen who were asked to monitor their heart rate instead. However, the authors did not instruct the weight monitoring group to maintain their weight.

Wing et al. <sup>174</sup> observed that daily self-weighing was effective in reducing the proportion of a sample of participants who regained their weight after having lost 10% of their body weight as the result of dietary restriction. However, daily self-weighing did not reduce the mean amount of weight regained. Such a finding suggests that daily self-weighing may prevent weight gain in most participants, but once weight regain occurs, further measures such as face-to-face meetings with a professional may be required.

**Evidence from randomized trials**—Gokee-LaRose et al. <sup>169</sup> randomly divided a group of people who had lost weight as the result of dietary restriction into a group who received behavioral treatment and weighed themselves only once each week and a group who weighed themselves daily and used other methods of self-regulation. The weight loss of the two groups did not differ at the end of the 10 weeks of treatment. However, after the 10-

week follow-up period, the daily self-weighing group had lost more weight (-0.18 kg), whereas the group who weighed themselves only once per week had gained weight (+0.37 kg). The difference was not statistically significant. Thus, it appears that the idea that daily self-weighing is not advantageous for weight control is a myth. Published studies show that people who weigh themselves daily lose more weight, maintain the lost weight, and are better able to prevent gaining or regaining weight than are people who do not weigh themselves daily.

#### Myth 7 - Genes have not contributed to the obesity epidemic

#### Exposition of belief and support that the belief is widely held or stated—

Although the specific mechanisms of the increase in prevalence of obesity since the early 1970s<sup>178</sup>, particularly in the extremely obese category (BMI > 40 kg/m²)<sup>179</sup>, remain unknown, existing explanations predominantly focus on certain societal factors which lead to increased energy intake<sup>180</sup> or decreased physical activity<sup>181</sup>, often dismissing or under appreciating the contribution of other potential causes<sup>182</sup>. Genetic influences have been disregarded primarily because increasing BMI trends have been documented over a few decades and this is considered an insufficient period of time for a genetic effect<sup>183</sup>. For example, this belief is stated from research group mission statements<sup>184</sup> to NIH funding announcements (e.g., NIH Program Announcement; PA-12-84 2012) and has been propagated by health officials through statements such as "the rapid changes in obesity prevalence over the past 30 years cannot be due to genetic changes, which take thousands of years to manifest" (New York State Department of Health. Early Recognition of Overweight and Obesity <sup>119</sup>).

Reasons to support the conjecture that the belief might be true—Proponents of this view do not believe a genetic contribution is absent in the shaping of obesity. Rather, the point is that the recent epidemic has come in too short a time frame for a change in the population-based frequency of genetic predisposition to obesity: "Obesity like other complex diseases is caused by a complex interaction between genetic, behavioral and environmental factors. While there is certainly an important genetic component to obesity, the recent epidemic of obesity cannot be due to genetic changes in the population and therefore must be due to changes in environmental influences" (NIH Program Announcement). Therefore, because of the short time span, the cause must lie elsewhere.

**Evidence directly supporting or refuting the belief**—The argument that a genetic effect is impossible to have occurred over a few decades assumes that mate choice and the number of children born are both independent of BMI. However, a growing body of evidence suggests that these two assumptions are invalid<sup>183,185-193</sup>. Human mate choice has been observed to be nonrandom (i.e., the reproductive pairing of individuals who have more traits in common than would likely be the case if mating were random) for BMI, which is referred to as *assortative mating*.<sup>183</sup> Stronger evidence was obtained in a retrospective analysis of the Copenhagen School Health Records Register<sup>186,191,193</sup> which compared marriages with BMI. The study found assortative marriages between spouses whose BMI was high at age 13 years and the trend increased over time. Likewise, epidemiologic evidence consistently shows that women and couples with higher than average BMI produce

more offspring (referred to as *differential realized fertility*)<sup>186,191,193</sup>. A recently developed mathematical model that incorporates the effects of assortative mating and differential realized fertility provided quantification of the potential contribution to obesity prevalence<sup>187</sup>. Through simulation and model analysis, the combined effects of assortative mating and differential realized fertility play a small but significant role in the recent rise in obesity prevalence in the U.S. In addition to assortative mating and differential realized fertility, other genetic mechanisms may also contribute to the obesity epidemic. For example, understanding how gene expression and gene-environment interaction impact obesity is a rapidly growing area of investigation<sup>194</sup>. Inquiries such as these will expose other means by which genetics have contributed to the obesity epidemic.

# Myth 8 – The freshman year of college is associated with or causes 15 pounds of weight gain

Exposition of belief and support that the belief is widely held or stated—A recent Google search (June 2013) of the term "Freshman 15," which yielded 617,000 hits. The degree to which this idea is believed to be a serious problem can be gleaned from the fact that another Google search for the term "Avoiding the Freshman 15" yielded 371,000 hits.

Reasons to support the conjecture that the belief might be true—As many as 35% of college students in the U.S. are overweight or obese <sup>195,196</sup>. College students may experience a significant decrease in physical activity and exercise owing to increased time spent studying, which is largely a sedentary activity <sup>197-199</sup>. In addition, the eating habits of many college students may be poor owing to a lack of cooking skills and inadequate cooking facilities <sup>197</sup>, thus compromising diet quality. Furthermore, college students may consume more alcohol, contributing to higher energy intake because of new-found autonomy from parents or peer pressure <sup>200,201</sup>. All may affect shifts in energy balance and thus lead to weight gain among college students <sup>197,202,203</sup>.

Evidence directly supporting or refuting the belief—About 35 studies have examined weight gain during the first year of college. The distribution of the amount of weight gained observed in these studies is displayed in Figure 2. To facilitate comparisons among studies, all weights were recalculated as weight gain per year. This wide disparity is due to differences in the length of the measurement period, retention rates, and the various colleges (big and small, public and private) from which the data were derived. However, despite these differences and the very wide range of weight gain reported in these studies, approximately a 6-pound gain as the modal yearly weight gain, not 15 pounds was shown. It is also evident from Figure 2 that the vast majority of published studies observe a positive weight gain<sup>204-208</sup>, although several studies failed to find a significant weight gain<sup>176,205,209,210</sup>.

Still, a yearly increase in body weight of about 6 pounds is noticeable and appears to be greater than the rate of weight gain observed in the general population. This apparent high rate of increase in body weight is due to the extrapolation from initial weight gain to yearly weight gains. Several studies have shown, however, that the initial weight gain observed

during the first semester (12 weeks) is considerably greater than that observed in the student's later college life<sup>210-216</sup>. Multiplying a 4-month (1 semester) weight gain by three to extend the weight gain to 12 months inflates the effect of the early and shorter observations.

Figure 3 displays data extracted from the 2011 Behavioral Risk Factor Surveillance System (BRFSS) data<sup>217</sup>. It is a plot of mean body weight as a function of age for Americans between the ages of 18 and 22 comparing those who attended at least one year of college to those who did not attend at least one year. The rate of gain in body weight of those who attended college (slope = 0.21 pounds per month) is about the same as observed in accumulated studies of weight gain in college. More importantly, however, is that both the absolute body weight and the rate of weight gain of the college students is significantly lower, not higher, than that of those who did not go to college as indicated by a significant interaction between group (college or no college) and age. (Note that the data from the BRFSS are cross-sectional. No attempt was made to infer longitudinal functions from these data. These data were used to compare weight gain data from published studies with large-scale epidemiologic survey data.)

This small increase in the rate of weight gain in the people who did not attend college relative to those who did attend does not stop after leaving college, but continues until about the age of 40. After about the age of 50, the mean body weight of those who did not attend college appears to decrease, eventually crossing that of those who did attend college at about the age of 65. The weight gain of those who attended college continues but reaches an asymptote at about the age of 40. The mean body weight of everyone decreases after the age of 70 years. Current data indicate that freshmen do not gain 15 pounds on average, but they do gain weight (approximately 6 pounds on average). This weight gain, however, is not restricted to those attending college, but is related to a more profound phenomenon of agerelated weight gain, a process that continues until about the age of 40. Moreover, these data suggest that the college environment, although it may promote weight gain, is not more powerful than forces in the non-college environment in the promotion of weight gain. The curves relating weight gain to age appear to be unaffected by attending college and display a rather smooth function until reaching an asymptote at about the age of 40. Therefore, it is probably not the stress of college, the alcohol drinking, the lack of exercise, the late nights, or the anxiety caused by leaving home that is the source of the freshman weight gain, but rather a cumulative effect of various factors in the environment related to the life changes occurring in young adults moving through late adolescence <sup>218</sup>.

#### Evidence from randomized trials—None.

Myth 9 - Food deserts (areas with little or no access to stores offering fresh and affordable healthy foods, including produce) lead to higher obesity prevalence

Exposition of belief and support that the belief is widely held or stated—Many policymakers and academics suggest that one reason for the higher prevalence of obesity among some populations is that such populations are more likely to live in food deserts, namely, areas or neighborhoods that are economically deprived and where there is limited access to fresh and affordable healthy foods. First lady Michelle Obama has advocated for

elimination of food deserts as a key component in her Let's Move initiative to combat childhood obesity <sup>219</sup>.

As part of this initiative, the U.S. Department of Agriculture (USDA) launched a project that created a Food Desert Locator (currently modified to a Food Access Research Atlas) <sup>220</sup>. Although substantial heterogeneity exists in the scientific literature in how food access and food deserts are measured, for the purposes of the USDA Food Desert Locator map, a food desert is defined as a census tract that has a poverty rate of 20% or higher and in which at least 33% of the population live more than a mile from a supermarket or large grocery store (if a metropolitan census tract) or more than 10 miles if a nonmetropolitan census tract<sup>221</sup>. The Healthy Food Financing Initiative launched by the USDA and the U.S. Department of Health and Human Services is using federal tax credits, below-market-rate loans, loan guarantees, and grants to attract grocery stores or other small-scale initiatives to bring fresh produce to areas identified as food deserts<sup>222</sup>. Several foundations, including the Robert Wood Johnson Foundation, the Kellogg Foundation, and Kaiser Permanente, are also awarding many grants to improve access to healthy foods in food deserts<sup>222</sup>.

#### Reasons to support the conjecture that the belief might be true—One

hypothetical contextual driver of obesity risk is the lack of access to healthy foods and the easy access to calorically dense fast food and convenience store food. There is evidence that the communities in the U.S. with the highest prevalence of obesity, namely, low-income and minority (primarily African American and Latino) communities, live in neighborhoods, census tracts, and zip code areas with fewer supermarkets or with more fast-food outlets and convenience stores <sup>223-228</sup>. This can lead to the conjecture that the food environment has a causal effect on the obesity risk of low-income and minority populations.

**Evidence directly supporting or refuting the belief**—The counterargument is that food outlets may simply reflect the food preferences of the communities, because businesses are likely to choose locations on the basis of expected demand and expected profits.

The USDA's year report provides good reason to be skeptical about the importance of food deserts in influencing a healthy diet<sup>229</sup>. The USDA reports that only 2.3% of all households in the U.S. (and 3.6% of households in low-income areas) lack access to a vehicle and do not have a supermarket within 1 mile of their homes. On average, those living in food deserts spent 19.5 minutes traveling one way to a grocery store, compared with the national average of 15 minutes. Although the difference is statistically significant, one might debate its practical significance. Whereas food deserts are defined on the basis of the lack of a supermarket within 1 mile of the residence, the report states that, on average, families participating in Supplemental Nutrition Assistance Program (SNAP) shopped at grocery stores located 4.9 miles away.

Other recent studies provide good reason to be skeptical about the assumption that residing in food deserts has a causal effect on obesity risk. Lee <sup>230</sup> performed a national-level longitudinal study and found that children residing in low-income and minority neighborhoods have greater access to fast-food outlets and convenience stores but also to large-scale supermarkets. More importantly, Lee <sup>230</sup> found that food outlet exposure has no

independent relationship to child weight gain over time. An and Sturm <sup>231</sup> used data on children and adolescents from California and found no relationship between consuming a healthy diet and the food environment as measured by type of food outlets within 1.5 miles of the respondent's home or school. Using 15 years of longitudinal data from CARDIA Boone-Heinonen et al. found that supermarket availability near the home is unrelated to diet quality or produce intake<sup>232</sup>.

**Evidence from randomized trials**—Ideally, the question of whether eliminating food deserts could improve diets and reduce obesity risk would be settled via RCTs, but RCTs have not been done on this research topic. However, results are available from two quasi-experiments in the United Kingdom where a major supermarket opened in an underserved neighborhood. Both studies used a simple pre-post design and a pre-post comparison with a control group and evidence of a statistically significant increase in fruit and vegetable intake that could be attributed to the opening of the supermarket was lacking<sup>233,234</sup>. This leads to further skepticism about the role of food deserts in obesity risk. Of course, one might argue against generalizing results from studies in the United Kingdom to the U.S. Although similar quasi-experimental studies being launched in the U.S. (for example, PHRESH by the RAND Health Organization) should provide additional information down the road, the current scientific evidence does not support that food deserts independently increase obesity <sup>235,236</sup>.

#### Presumption 1 - Regularly eating (versus skipping) breakfast is protective against obesity

Exposition of belief and support that the belief is widely held or stated—The consumption of breakfast is thought to result in fewer total calories consumed in a day. By causing satiety earlier in the day, the desire to consume food later would be sufficiently lower, possibly because of changes in hunger-related hormones such as ghrelin, leptin, and insulin.

"Breakfast is the most important meal of the day" is a widely touted maxim both in general and in relation to weight loss. A WebMD Feature titled "Lose Weight: Eat Breakfast" declares that "making breakfast a daily habit can help you lose weight – and keep it off," citing opinions from dietitians, medical doctors, researchers, and a couple of observational studies<sup>237</sup>. The Academy of Nutrition and Dietetics also has several pages on Eatright.org with phrases such as "[Breakfast] can also help to promote a healthy weight and good behavior"<sup>238</sup>; "Want to trim your waist? Try eating breakfast!"<sup>239</sup>; and "With two thirds of Americans overweight, a morning meal may just be the best kept waist-trimming secret" <sup>239</sup>. The Mayo Clinic also states the belief, with an article titled, "Why does eating a healthy breakfast help control weight?"<sup>240</sup>. The Mayo Clinic article was in turn cited by the Lance Armstrong Foundation, claiming, "If you skip breakfast while trying to cut calories and lose weight, you may actually be setting your weight loss back."241. In response to a paper investigating the scientific merit of the presumed effect of breakfast and obesity, an article on WebMD responded, "Hogwash, I say... Do we really need to have people question if they should eat breakfast?... Perhaps the scientific evidence on breakfast and weight is mixed. I don't care<sup>242</sup>."

Reasons to support the conjecture that the belief might be true—The "breaking the fast" concept is thought to move an individual from a hypometabolic, fasted state toward one of energy dependence. According to the hypothesis, eating shortly after waking and hypothetically early in the day is thought to give an individual all day to metabolize the energy, as opposed to consuming calories later (e.g., before sleep) when energy utilization may be low. Numerous observational studies have shown associations between breakfast consumption and lower BMI. For example, a review of 58 studies and 88 study groups found that the OR of being overweight or obese among those skipping breakfast compared to those consuming breakfast was 1.55 (95% CI: 1.46, 1.65; p<10<sup>-42</sup>) <sup>243</sup>.

Further, in one-day studies, subjective and hormonal measurements of appetite differed between individuals who skipped breakfast and those who consumed breakfast, thus indicating an acute link between breakfast and appetite, and by extrapolation that this change in appetite may influence body weight<sup>244,245</sup>. Moreover, two studies that redistributed an isocaloric diet so calories were consumed predominantly in the morning or evening resulted in improved weight loss in the group whose calories were predominantly consumed at breakfast <sup>246,247</sup>. However, these studies did not look specifically at the influence of breakfast alone.

**Evidence directly supporting or refuting the belief**—Beyond observational and single-meal studies, very little evidence directly supports or refutes the belief that breakfast eating affects weight. Shorter, single-meal, controlled studies have investigated the links between breakfast consumption and factors related to weight. Some evidence indicates that skipping breakfast results in partial compensation during subsequent meals<sup>244,245,248</sup>, although this is not necessarily associated with an increase in total energy intake<sup>245,249</sup> and in some cases results in decreased total energy intake<sup>248,250,251</sup>. Importantly, in anobservational analysis of absolute versus relative breakfast calories, Schusdziarra et al. observed<sup>252</sup> that increasing the amount of calories consumed at breakfast was associated with greater overall caloric intake in normal weight and obese subjects.

**Evidence from randomized trials**—Few randomized studies have directly investigated the effects of breakfast consumption on weight loss. In a randomized study of 791 Jamaican grade school children (mean age,  $9.0 \pm 1.2$  years), half of whom were -1 SD of weight-forage, children who were assigned to consume breakfast for one school year gained more weight than did those who were not assigned to consume breakfast, pooled across weight-for-age status<sup>253</sup>. In another randomized study of obese women, regular breakfast eaters lost more weight when they did not eat breakfast, but those who regularly skipped breakfast lost more weight when they did eat breakfast <sup>254</sup>. There was no main effect of breakfast consumption. In studies in which energy was consumed in single daily meals over 1 or 3 weeks, individuals lost more weight consuming only breakfast relative to those consuming only dinner<sup>255</sup>. In a comparison of ready-to-eat cereals versus ready-to-eat cereals plus nutritional advice versus no breakfast, only the ready-to-eat cereals plus nutritional advice group showed greater weight loss compared with the no breakfast group; thus, the weight loss could not be attributed solely to the consumption of breakfast<sup>256</sup>. In summary, although there is fairly consistent observational evidence that breakfast consumption versus breakfast

skipping is associated with a lower BMI, the available evidence from RCTs is insufficient to make causal claims about skipping breakfast itself independently affecting obesity.

#### Presumption 2: Eating close to bedtime contributes weight gain

Exposition of belief and support that the belief is widely held or stated—The directive to not eat before bed presumes that evening calories play a unique causative role in obesity. This concept has been eloquently expressed by Adelle Davis, who quipped, "Eat breakfast like a king, lunch like a prince, and dinner like a pauper" <sup>257</sup>. This presumption takes one of two forms. In one, individuals are discouraged to eat after a specific time of day [e.g., 6 PM <sup>257-260,261</sup>]. The other is that individuals should not eat a certain number of hours before going to sleep [e.g., 3 to 4 hours <sup>258,262</sup>]. For some, the first form is a specific example of the second, in which it is assumed that people will be going to sleep within 3 to 4 hours of 6 to 7 PM. At least one diet suggests not eating after 5, although it goes beyond the current presumption in that it restricts all eating to between 9 AM and 5 PM <sup>263</sup>.

Several web sites promote the idea that restricting the time one eats before going to sleep has benefits for weight. DietAdvices.com posted an article entitled, "Not eating after 6pm actually helps your diet," and notes that "this weight loss idea is very widespread" <sup>258</sup>. Livestrong.com has an article entitled, "How to Lose Weight By Not Eating After 6PM," citing another source as saying that "food eaten after 6PM is metabolized too slowly" <sup>259</sup>. The Web site "Health is Wealth," authored by a Nobel Laureate in Medicine and his colleague, has a post titled, "Eating after 8 PM may increase risk of obesity," where they say the answer to the question, "Do you snack before bedtime?" may be critical to weight loss <sup>264</sup>. An excerpt from Jillian Michael's book *Master Your Metabolism* posted on CanadianLiving.com states, "Eating more calories during the evening will pack more fat around your belly" <sup>261</sup>. The popularity of this presumption is further supported by the number of questions and discussions on this topic in weight loss forums.

Reasons to support the conjecture that the belief might be true—Some studies have associated eating more in the evening with increased weight. For instance, late-shift workers reported eating their final meal later than day-shift workers and also had a greater self-reported weight gain since starting their job (4.2 vs. 0.9 kg, respectively) <sup>265</sup>. In addition, those who become obese later in life tend to eat at night <sup>266</sup>. Individuals with night eating syndrome, a disorder including a shift in caloric consumption to late in the day with eating episodes throughout the night and disrupted sleep, tend to be obese, although disrupted sleep itself is also associated with obesity <sup>267</sup>. Furthermore, individuals who ate 33% of their daily calories between 5 PM and midnight were more likely to be overweight or obese, although this was not seen in a subgroup of individuals with objectively measured energy expenditure <sup>268</sup>. In rodents, the timing of food availability influences weight gain: mice given 24-hour free access to either a high fat or control diet gained more weight than mice only given access to the same diets during the 12 hour active period <sup>269</sup>. Finally, human participants who were told to eat more at dinner lost less weight than did those told to eat more at breakfast, with both groups being told to eat the same amount throughout the day <sup>247</sup>.

Evidence directly supporting or refuting the belief—Few studies have looked specifically at calories consumed before going to sleep. In 2011, for instance, Kong and others conducted a secondary analysis of weight loss study data and showed no association between evening (9 PM to 12 AM) snacking as a binary variable and percentage weight loss <sup>270</sup>. Most of the studies used to justify this presumption were confounded by other factors. In the shift-worker study mentioned above, for instance, the evening and night shifts were pooled <sup>265</sup>. Shift-workers present two conceptual issues with this presumption: if using the first definition of this presumption (i.e., that one should not eat after a specified time of evening), then night-shift workers would be prohibited from eating during their entire work shift; conversely, if they are not to eat after a specified time before going to sleep, then they may not be allowed to eat in the morning, which would contradict temporal definitions of breakfast (and therefore the breakfast presumption). Therefore, we assert that this assumption must be limited to individuals with a fairly normal diurnal pattern because nightshift work comprises a complete chronobiological disruption. Similarly, night eating syndrome describes a clinical diagnosis, confounded with poor sleep, eating between sleep episodes, and a shift in calorie intake throughout the day, and can co-occur with anxiety and substance abuse <sup>267</sup>.

Some proponents of this presumption conflate sleep quality with eating before bedtime <sup>259</sup>, using evidence of associations between inadequate sleep and weight as evidence for not eating after 6 PM. In addition, associating evening energy intake with obesity includes wide timespans, such as the 7-hour definition of evening energy consumption described above <sup>268</sup>. In turn, energy consumption in a broadly defined evening might violate the first expression of this presumption (i.e., the individuals may have consumed food more than 4 hours before bedbut after 6 PM) or the second (i.e., eating before 7 PM but less than 4 hours before bed) <sup>268</sup>.

Evidence from randomized trials—Waller et al. in 2004 randomized individuals concerned about their evening snacking to either eat an evening snack of cereal and milk or to continue their dietary patterns <sup>271</sup>. In the total sample, there were no differences in evening calories or weight, and in the self-reported compliant group, there was a significant decrease in evening calories with no significant decrease in weight (p=0.06 in favor of the cereal group). In another study of nighttime snacking, women were randomized to eat a snack either at 10 AM or 11 PM in a crossover design for 13 days with a 1-day roomcalorimetry test day. No differences were seen in weight, total energy expenditure, or total energy intake, but there was a decrease in fat oxidation with non-significant increases in carbohydrate and protein expenditure in the nighttime snacking group <sup>272</sup>. Two studies that redistributed an isocaloric diet so calories were consumed predominantly in the morning (6-9 AM) or evening (6-9 PM) resulted in greater weight in the evening calorie group <sup>246,247</sup>. In an earlier, similar weight loss study in which 70% of calories were consumed between breakfast or lunch versus 70% between dinner and evening snack (2000-2030 h), those in the PM pattern lost less weight, but maintained more lean mass <sup>273</sup>. However, these studies did not look exclusively at evening calorie intake.

Together, these studies demonstrate little direct evidence to support or refute a unique obesogenic effect of calories consumed in the evening. However, the alternate viewpoint

that there is no influence of chronobiology on weight is also widely conclusively stated <sup>274,275</sup>, despite insufficient evidence of no effect. If the association between eating before bed and weight is simply a function of increased calories, then the dietary advice to not eat before bed is just a heuristic to decrease energy consumption. However, whether changes in substrate utilization and the efficiency of energy absorption when eating close to bedtime impact weight gain have been insufficiently examined. As Bray and Young review <sup>276</sup>, it is unclear whether obesity causes disruptions in daily rhythms, disrupting daily rhythms causes obesity, or both.

Presumption 3 - Eating more fruits and vegetables will lead to weight loss or less weight gain, regardless of whether one intentionally makes any other changes to one's behavior or environment

#### Exposition of belief and support that the belief is widely held or stated—

Perhaps more than any of the other beliefs addressed here, careful exposition of *exactly* what the belief is and is not is critical. It is the *belief* that consuming more fruits and vegetables (F&V) will lead to weight loss or less weight gain *regardless of whether one intentionally makes any other changes to one's behavior or environment* that we are labeling a presumption. It is also important to note that we are examining increased consumption of F&V with regard to body weight, not other aspects of health or well-being.

Many web sites, magazines, news segments, infomercials, and organizations state the value of increasing F&V consumption to prevent and decrease overweight and obesity. The statements made are as varied as they are numerous. Some statements are responsible and point out that the idea is speculative and not necessarily supported by data. For example, the Produce for Better Health Organization states, "It is often assumed that increasing fruit and vegetable intake would almost automatically result in a decrease in the intake of other foods, such as unhealthy snacks. ... [but] lack of compensation could lead to a higher calorie intake and contribute further to unnecessary weight gain" <sup>277</sup>. Although in general one will not see explicit statements that eating more F&V will cause weight loss even if there is no decrease total energy intake, statements that imply this are common. Some typical statements are: "There is convincing evidence that fruits and vegetables decrease the risk for obesity" <sup>278</sup>, "Increased fruit and vegetable consumption is considered a potential strategy to prevent weight gain or reduce obesity" <sup>279</sup>, and "If you're confused about nutrition for weight loss, try to increase your fruit and vegetable intake – and you'll notice a real difference" <sup>280</sup>.

Reasons to support the conjecture that the belief might be true—Because F&V contain metabolizable energy, if no other aspect of energy intake of expenditure is changed, adding more F&V to the diet should cause weight gain, not weight loss <sup>281</sup>. However, if when eating more F&V, people spontaneously reduce their intake or other foods, particularly those higher in energy density, to a sufficient degree to more than compensate for the F&V consumed, then weight loss could result. Indeed, a number of studies have shown that reducing dietary energy density by *substituting* F&V for more energy-dense components of the diet is associated with lower daily energy intake <sup>282,283</sup>. Such reductions in energy density along with the increase in fiber intake that can accompany an increase in F&V intake might enhance satiety and lower energy intake, thus promoting weight loss or

less weight gain <sup>282,283</sup>. The 2010 Dietary Guidelines committee reported that "strong and consistent evidence in adults indicates that dietary patterns that are relatively low in energy density improve weight loss and weight maintenance" <sup>284</sup>. Furthermore, "there was moderately strong evidence from methodologically rigorous longitudinal cohort studies in children and adolescents to suggest that there is a positive association between dietary energy density and increased adiposity." Thus, advising people to eat more F&V *instead* of foods higher in energy density coupled with other actions such that an overall reduction in the energy density of their diets occurs may facilitate weight management.

In 2004, two comprehensive reviews evaluated the evidence from both intervention and epidemiologic studies on the relationship between F&V consumption and body weight <sup>282,285</sup>. Both reviews concluded that few studies had been designed to specifically address the relationship and that those available vary in methodology and provide inconsistent results. A 2014 review also found that the evidence for an association between vegetable intake and weight loss appeared inconclusive<sup>286</sup>.

**Evidence directly supporting or refuting the belief**—Observational studies have demonstrated an inverse association between F&V intake and weight status <sup>287</sup>. For example, two large prospective studies from Europe found weak associations between F&V intake and weight gain <sup>288,289</sup>. However, because observational studies alone cannot determine causality, we will focus on the effects of randomized interventions designed to increase F&V intake that have been conducted since the 2004 reviews <sup>282,285</sup>.

**Evidence from randomized trials**—Short-term studies indicate that *adding* more vegetables to a meal has little impact on energy intake, whereas *substituting* them for more energy-dense meal components significantly reduces energy intake <sup>283</sup>. Although dietary guidance from many health organizations stresses substitution, this approach has had little systematic investigation for weight loss. One 3-month randomized trial tested the impact of increased F&V consumption but also emphasized substituting F&V for high-fat, high-energy foods. This study did find that the intervention was associated with reduced dietary energy density and the change in vegetable intake was a significant correlate of the change in body weight <sup>290</sup>.

Simply advising people to eat more F&V has not been found to reduce body weight. In two 8-week-long randomized studies <sup>291,292</sup>, participants were provided with F&V that they were required to consume. Advice on how to consume this extra food was not provided and little is known about preparation methods, timing of intake, or what else was eaten. Participants showed no weight change <sup>292</sup> or even weight gain <sup>291</sup>. Consuming the extra produce in liquid or dried forms appeared to facilitate overconsumption <sup>291</sup>. In a recent year-long weight loss trial, advice to double portions of vegetables consumed was associated at 3 months with greater hunger satisfaction and an improvement in weight loss compared to an energy reduced healthy diet; however, the difference in weight loss was not sustained over the year<sup>293</sup>.

Two small RCTs were designed to compare advice to increase vegetables <sup>294</sup> or vegetables and fruit <sup>295</sup> with restrictive messages to limit high-fat foods. In both studies the low-fat

group lost more weight over the course of the trial. In the trial that focused on vegetables only, the increase in intake was not sustained over the 18 months of observation<sup>294</sup> and the energy density of the diet was not assessed. In the other trial, which lasted 6 months, it was found that an increase in F&V intake, a decrease in fat intake (although they were not instructed to eat less fat), and a decrease in dietary energy density were associated with weight loss <sup>295</sup>. Other studies have found that advice to eat more F&V as part of a weight loss program facilitates weight loss related to the reduction in energy density <sup>296,297</sup>.

In summary, there are few randomized experiments with body weight as an outcome and in which subjects were instructed to simply eat more F&V without any other changes being imposed. Perhaps the best direct test was offered by Whybrow et al.<sup>292</sup>, who found no significant effect. Thus, it appears that increased F&V intake can be part of a healthy weight loss diet if people are taught how to effectively *substitute* F&V for more energy-dense foods. There is little evidence that simply advising or requiring consumers to eat *more*, *or providing them more* F & V leads to weight loss or less weight gain.

#### Presumption 4 - Weight cycling (i.e., yo-yo dieting) increases the mortality rate

#### Exposition of belief and support that the belief is widely held or stated—Much

is written and discussed in the general public and scientific literature suggesting that repetitive weight loss and weight regain (also called weight cycling or yo-yo dieting) increases morbidity and mortality. Fitness experts have warned of these purported dangers of yo-yo dieting and have suggested alternative methods to lose and keep weight off to avoid the harm of weight cycling. Under the heading "How Yo-Yo Dieting Can Kill You," health and fitness experts at Ezinearticles.com warn, "even more importantly, is the elevated risk of heart disease, heart attack, and stroke among yo-yo dieters .... Numerous cardiologists have found ... aggressive yo-yo diet[ers] are ... prone to restricted blood flow to the heart ... [which] could be an indication of blockages in the coronary arteries, which ultimately results in heart attack or stroke. Now, just because you are thin and haven't had to diet in 5 years, your cardiovascular system has still taken a beating and you could pay for it with your life. So, if you plan on dieting, really plan it and plan it well. Dieting is similar to owning a pet" <sup>298</sup>. Considering the propensity to regain lost body weight, and the large population of overweight and obese individuals, this raises the question of whether it is advisable to encourage individuals to embark on a weight loss regimen in the first place. The messages are not unique to media outlets directed towards consumers. The FDA has made statements like "sustained, long-term weight loss in an obese or overweight person is a much more important benefit than short-term weight loss because long-term weight loss in these individuals reduces the risk of ... mortality ..., while short-term weight loss does not" <sup>299</sup>. Such a statement suggests at best no benefit on mortality from short-term weight loss and regain. The vast majority of obese individuals who lose substantial weight will subsequently recover most of the lost weight, potentially repeating the pattern multiple times throughout life. First linked in the 1980s, a discussion of weight cycling in relation to mortality became prevalent in the scientific literature during the 1990s. Since then a pervasive view found in many media outlets encourages caution when embarking on weight loss regimens owing to the dangers of weight cycling. Although many of these reports focus on health and disease patterns rather than mortality directly, the topic is of high interest and is a concern for those

hoping to improve their health through weight reduction <sup>300</sup>. Catchy headlines decry the "dangerous cycle of yo-yo dieting" and point to potential metabolic and health implications <sup>301</sup>. Celebrities, friends, and families are constant reminders of the ups and downs of body weight changes, with the typical dieter trying multiple diets during his or her lifetime. Examples of individuals who have a history of weight cycling and increased mortality seem to reinforce the notion of the negative consequences of yo-yo dieting <sup>302-305</sup>.

Reasons to support the conjecture that the belief might be true—Although few would dispute the proposed link between excess body weight, particularly body fatness, and disease and mortality, there is also an observed association of low body weight with mortality, as well as acute weight loss. When comparing individuals who are weight stable with those who lose or gain weight, weight-stable individuals have a lower relative risk of chronic disease than do either losers or gainers <sup>306</sup>. One might hypothesize that those who lose weight and subsequently regain weight have the combined risk of each weight change, which would be worse than either loss or gain alone. Furthermore, weight loss and regain cycles may evoke allostatic physiologic, hormonal or behavioral responses counterproductive to the desired body weight modification, with the overall allostatic load (cumulative wear and tear of physiological responses to stressors) of multiple weight cycling events increasing morbidity and mortality risk <sup>307-310</sup>. Older studies demonstrated that weight loss is indeed associated with mortality <sup>311</sup>. However, weight loss is not unusual for individuals suffering from occult disease and is a consistent marker of impending mortality in various species.

**Evidence directly supporting or refuting the belief**—Attributed primarily to the lack of clearly defined outcomes and the inability to randomize persons to levels of weight variability, recent epidemiological studies have challenged the contribution of weight cycling to mortality<sup>312,313</sup>. One of the primary motivations of these studies was to focus on weight cycling in terms of intentional weight loss (IWL) followed by regain (as opposed to more general weight variability). Intentionality of weight loss was assessed by the individual's response about expressing intent to lose weight. While intention to lose weight assumes some form of intervention by that individual to lose weight, unintentional weight loss is often associated with sickness and occult diseases and can occur spontaneously absent any obvious intervention or lifestyle change. Stevens et al. <sup>313</sup> and Field et al. <sup>312</sup>, both of which were based on a large sample size, offer no compelling evidence to suggest that weight cycling, defined in terms of intentional weight loss followed by regain, is associated with excess mortality risk.

Although some of these recent individual epidemiologic studies account for factors that may have confounded earlier findings<sup>314,315</sup>, they continue to be confined by the limits of observational research and methodological limitations. First, various definitions of weight cycling have been used across studies. Examples include loss and regain of 10 pounds or 5% to 10% changes in body weight, with efforts to categorize the number of weight cycling events, which must be determined during a given period of time. Given the rapid weight regain that can follow weight loss and dietary constraint relief (even if less than 1 year), it is

questionable whether most cycles are even captured in the majority of these epidemiologic studies.

Furthermore, weight loss (at times quite rapid) often accompanies sickness or disease, influenced by alterations in the sense of smell and appetite, energy expenditure alterations and imbalances in homeostatic regulation of the body habitus. If present this unintentional body weight loss might be a predictor of underlying health-compromising conditions. Further complicating this relationship, it was previously observed that weight loss in an individual expressing intent to lose weight consists of both true intentional weight loss and unintentional weight loss (occult conditions), thus confounding the attribution of observed weight variability regarding intent <sup>316</sup>. To our knowledge none of the existing epidemiologic studies have accounted for this statistical adjustment. Added to this, the recall and selfreported nature of the body weight data, even when including assessment of personal intent, is often a challenge for interpreting findings. Considered as a whole, the loss of body weight independent of intention might be a predictor of increased mortality <sup>317,318</sup>. Similarly, age at which weight cycling occurs may be an important consideration when evaluating the question of weight cycling and excess mortality as compared to stable obesity in addition to any sex, race, and ethnic differences in the association <sup>319</sup> Hence, it will be important to support these findings through animal models in which adequate controls and true randomization can be implemented to improve estimations.

**Evidence from randomized trials**—Given the lack of long-term, RCTs of weight cycling in humans, and the improbability and questionable ethics of performing such a study, animal models may provide the most direct route of testing. One recent report moving in that direction used a small sample of male mice that were cycled between a low-fat diet and a high-fat diet every 4 weeks over the course of life. The authors reported no significant difference in survival of cycling mice compared with the continuously low-fat-fed controls and improved survival versus continuously high-fat-fed animals <sup>320</sup>. Given the prevalence of weight cycling and the suggested association with increased morbidity or mortality risk, future research should carefully consider this in the application of larger-scale randomized controlled designs with animal models, particularly given the known beneficial health and longevity effects of alternate day fasting (or every other day feeding) in rodents which could be considered a chronic model of weight cycling given the percentage body weight changes that are observed <sup>321-323</sup>.

Considering the present published data and studies currently underway using model organisms, bearing in mind the difficulties and limitations of exploring this association in human populations, there is inconsistent evidence to present a compelling case in support of weight cycling increasing mortality risk in the general population. Thus, although weight regain following successful weight loss remains one of the most challenging aspects of body weight regulation, there appear to be insufficient reasons to dissuade individuals from multiple attempts to lose weight given the critical impact of obesity on disease risk and mortality.

#### Presumption 5 - Snacking contributes to weight gain and obesity

#### Exposition of belief and support that the belief is widely held or stated—

Adding snacks between meals is thought to simply add to total energy intake that is not appropriately compensated for at subsequent meals, thereby leading to a positive energy balance and weight gain. Often, the word snack evokes imagery of calorically dense, micronutrient poor foods that are sometimes described as 'junk food' or 'empty calories;' this imagery is reinforced by the predominance of such foods in image searches in popular search engines (Google and Bing images searched for 'snack' on 2013 DEC 12). Several media sources to which consumers turn regularly for dietary advice have pointed to snacking as a major culprit in the obesity epidemic. A WebMD video, for example, suggests that "snack attacks" lead to loss of appetite control and can promote the ingestion of an additional 700 to 1000 kcal/d <sup>324</sup>. Excessive snacking has also been reported as a leading cause of the rising rates of childhood obesity and other childhood health problems according to Livestrong.com <sup>325</sup>. A recent Yahoo Health title claims "Snacking, not portion size, largely driving U.S. overeating" <sup>326</sup>. Many other health-related organizations, programs, web sites, and blogs <sup>324,325,327,328</sup> contend that snacking can contribute to weight gain, especially if the snacks consumed are high in energy density or low in nutritional value.

Reasons to support the conjecture that the belief might be true—The role of snacking and eating frequency among adolescents in relation to weight has been considered for decades, <sup>329</sup> and subsequent research demonstrated a higher snack meal consumption frequency in obese women <sup>330</sup>. The proportion of daily energy coming from snacking has increased in all adult age groups in the U.S. from 1977 to 2006, with both energy density and portion sizes of snacks increasing <sup>331</sup>. It was estimated that the number of eating occasions in U.S. adults contributed an increase of 39 kcal/d to caloric intake yearly between 1994-1998 and 2003-2006 <sup>332</sup>. Yet, energy consumption at subsequent meals is not always reduced or compensated <sup>333</sup> which could lead to weight gain <sup>334,335</sup>.

**Evidence directly supporting or refuting the belief**—Studying the influence of snacking on obesity can be difficult because of various definitions of what constitutes snacking, such as conflating snacking and eating frequency. For instance, Mesas et al. <sup>336</sup> present disparate definitions of snacking across several studies, including such constructs as eating between meals or consuming small portions of food, packaged food, or specific foods such as sweets and desserts. An earlier review considered snacks as unstructured eating episodes separate from the three standard meals <sup>337</sup>. Other authors have also noted the challenges in measuring snacking behaviors in free-living populations in dietary databases <sup>331,332</sup>. A review of the observational evidence on the relation between snacking behaviors and obesity among children and adolescents, which included mostly cross-sectional studies, likewise concluded that the results were conflicting and that more prospective studies were needed <sup>338</sup>.

Even so, prospective studies have also yielded equivocal results. In a Spanish cohort of middle-aged men and women, self-reported usual snackers had a higher mean weight gain per year and were at a higher risk of substantial weight gain (OR: 1.66; 95% CI: 1.17, 2.35) than were those who did not usually snack <sup>339</sup>. Similarly, more frequent snacking was

associated with a higher BMI in a Finnish cohort <sup>340</sup>. A case control study of obese children in Japan showed that children who regularly versus irregularly consumed snacks had a lower odds of obesity<sup>341</sup>, and a longitudinal study published in 2012 reported that a lower frequency of snacking behaviors was related to greater increases in BMI over time <sup>342</sup>. Kong et al. showed no statistically significant associations in percent weight loss by snacking frequency in a secondary analysis of a diet and exercise RCT.<sup>343</sup>

**Evidence from randomized trials**—Palmer and others in 2009 reviewed the association between eating frequency and body weight <sup>335</sup>, from which we identified 6 experiments that looked directly at snacking and weight<sup>271,292,330,344-346</sup>. In Table 1, we include those 6 studies, plus three more that were reported after their review <sup>347-349</sup>. Studies were as short as 9 days<sup>344</sup> to as long as 1 year <sup>330</sup>, and include several different study designs, snacking patterns, and types of snacks. In none of these studies was a difference detected between the snacking versus the non-snacking study arms with respect to measurements of obesity including weight, weight change, BMI, body fat percentage, waist circumference, or waist to hip ratio.

### Presumption 6– The built environment, in terms of sidewalks and park availability, influences obesity

#### Exposition of belief and support that the belief is widely held or stated—

Modifying the built environment to be more conducive to active lifestyles is widely advocated as a way to reduce obesity in communities<sup>350</sup>. The Department of Health and Human Services' Guide to Community Preventive Services identifies community designs in which residents can walk or bicycle to nearby destinations as effective ways of promoting overall health<sup>351</sup>. The Institute of Medicine has stated that improving the walkability of neighborhoods and increasing access to recreation facilities are essential strategies for preventing childhood obesity<sup>352</sup>. A recent headline in the New York Daily News read, "Fast-food Zoning Limits and More Walkable Neighborhoods Key to Fighting Obesity"<sup>353</sup>.

Reasons to support the conjecture that the belief might be true—Sedentary lifestyles are not simply attributed to personal choice but also the built environment, which refers broadly to the collective availability of green spaces, sidewalks, parks, trails, recreational facilities, traffic safety, neighborhood safety, and other neighborhood characteristics that may promote recreational physical activity as well as functional physical activity, such as active transport to work, school, or errands <sup>354-359</sup>. Evidence suggests that physical-activity-friendly facilities are much less common in predominantly minority and economically disadvantaged neighborhoods (relative to white, or more affluent neighborhoods) where there is also greater obesity prevalence <sup>360-364</sup>. Reports have emphasized that sprawl, or the number of people per unit of developed land, is associated with greater commuting times, less physical activity, and lesser accessibility to physical activity resources <sup>360,365</sup>. Together, these ideas lead to the conjecture that disparities in the built environment directly contribute to disparities in physical activity and thereby to disparities in obesity risk.

The major empirical support for this hypothesis stems from observational evidence. Glass et al. found that adult residents of neighborhoods ranking high in psychosocial hazards (measured by using indicators of social disorganization, public safety, physical disorder, and economic deprivation) had higher BMI, less physical activity, and less healthy diets than did their peers in neighborhoods ranking lower in psychosocial hazards<sup>366</sup>. A systematic review of 169 articles found that most studies showed a positive association between built environments that promoted physical activity with increased physical activity and, to a lesser extent, a lower obesity risk <sup>367</sup>.

Evidence directly supporting or refuting the belief—Virtually all of the studies on the built environment and obesity risk have been observational, most are cross-sectional, which limits the capacity for causal inference 367,368. Yet, several investigators overstate study findings and use causal language in their conclusions. For example, review of a set of studies stated that it was "evident" that the built environment "impacted" obesogenic behaviors in children and that "interventions that are designed to provide safe, walkable neighborhoods with access to necessary destinations will be effective in combating the epidemic of obesity" 369. Conversely, the authors of a recent report that showed a small, protective (albeit nonsignificant) effect of green space for those living in the greenest areas, with markers of total physical activity not attenuating the observed associations. The authors concluded that, "better evidence for the utility of greenspace in the prevention of weight gain is required before greenspace interventions are developed 370."

Evidence from randomized trials, or other intervention designs—The biggest challenge for researchers is to employ study designs that address the underlying confounders of selection into more physical-activity-friendly communities. Whereas experimental study designs with randomization to intervention and control groups would ideal, such studies are difficult to perform and certain observational designs (e.g., quasi-experimental) can also help to advance the science. For example, two studies used a match-control design at the neighborhood level to examine physical activity among children, in which one neighborhood received access to a new playground or a trail and the other did not 371,372. Beyond the equivocal results particularly across age groups, the utility and validity of inclusion of self-reported screen time must be questioned (see presumption 7). Notably, an increase in physical activity does not necessarily lead to a decrease in obesity risk, as caloric compensation (i.e., increased energy intake) due to increased energy expenditure can prevent weight loss. Given the paucity of either RCTs or quasi-experimental studies alongside the weak observational data, the hypothesis regarding the built environment and obesity is a presumption.

#### Presumption 7: Reducing screen time will decrease obesity in children

**Exposition of belief and support that the belief is widely held or stated**—In 1984, the American Academy of Pediatrics (AAP) issued a statement regarding the potential of television viewing, a screen-time behavior, to promote obesity in children<sup>373</sup>. In 1985, a study published by Dietz and Gortmaker, examined the relationship between television viewing and weight status in children and adolescents by using data from the National Health Examination Survey<sup>374</sup>. The results indicated that television viewing was positively

related to the prevalence of obesity, and a dose-response relationship between the variables was proposed in response to this evidence. In 1990, the AAP issued its first recommendations regarding limiting television viewing time in children to no more than 2 hours per day with headlines reading "The Small Screen Looms Large in the Obesity Epidemic" <sup>375</sup>.

Since 1985, observational research has continued to show a positive relationship between television viewing and weight status in children <sup>376-378</sup>. As changes in leisure-time activities have occurred in children, investigations began to combine television watching with video game playing and computer use, termed collectively as "screen time" or "electronic media use," 379-381. Screen time has increased among youth, with reports now indicating that children engage in approximately 7 hours per day of screen time (e.g., television, videos, DVDs, video games, and/or computers) <sup>382</sup>. In 2001, the AAP broadened its television viewing recommendations so that other screen-based activities, such as video game playing, were included in the recommendations<sup>383</sup>, and the most current guidelines, published in 2011, recommended limiting non-educational screen time to less than 2 hours per day <sup>384</sup>. Limiting screen time is now widely recommended as a behavior to target for preventing and treating pediatric obesity. Assessment of and anticipatory guidance for screen time, as outlined by Bright Futures <sup>385</sup>, is encouraged to be part of every well-child visit owing to its relationship to obesity, and limiting screen time is part of a list of recommended interventions in the AAP-adopted guidelines for treating pediatric obesity in primary care settings<sup>386</sup>.

Reasons to support the conjecture that the belief might be true—When the relationship between television viewing and pediatric obesity was reported in 1985, the initial mechanisms proposed for the relationship involved both sides of the energy balance equation<sup>374</sup>. Simply speaking, sitting in front of a screen is sedentary behavior; sedentary behavior contributes to obesity; thus, screen time equates to obesity. Further, it has been suggested that watching TV exposes one to cues, via commercials advertising energy-dense foods and other food cues in television programs, that may prompt eating 374,387-389. Also, when television watching is repeatedly paired with eating, television viewing may become a cue, even when hunger is not present, for eating <sup>387-389</sup>. Eating when watching television may be especially problematic because television viewing may also distract awareness of how much is being eaten or may inhibit signals of satiation. Indeed, basic eating research has shown that eating while watching TV slows down or disrupts the development of habituation to food cues<sup>390</sup>, a proposed mechanism by which satiation occurs. On the energy expenditure side, television watching may displace time from engaging in activities of higher energy expenditure, particularly MVPA, or even lower metabolic rate<sup>391</sup>. Thus, highlevels of television watching may result in lower overall energy expenditure, contributing to excess weight gain<sup>374,387-389,392</sup>.

More recently, a third mechanism has been offered regarding the relationship between screen time and obesity in children<sup>384</sup>. Greater amounts of screen time, particularly in the evening, may disturb sleep. Engaging in a screen time activity may delay bedtime or may make falling asleep more challenging<sup>393</sup>. As observational research has also reported a relationship between shorter sleep time and obesity in children<sup>394</sup>, if greater screen time

produces shorter sleep, then shorter sleep, through factors that are believed to increase energy intake and decrease energy expenditure, could increase weight status in children<sup>393</sup>. Little research has been conducted examining the pathway of screen time, sleep, and obesity.

**Evidence directly supporting or refuting the belief**—For the proposed mechanisms regarding the relationship between screen time and pediatric obesity, observational research has found small to moderate positive associations between screen time and unhealthy dietary behaviors (e.g., lower F&V consumption; higher intake of energy-dense snacks, drinks, and fast food; and greater overall energy intake)<sup>395</sup>. However, when cross-sectional laboratory studies have examined overall intake in children when consuming a meal or snack while watching television or while sitting quietly, the results have not consistently found that eating while watching television increases intake <sup>396-398</sup>.

Observational research examining the relationship between physical activity and screen time in children has found that these behaviors may be independent of one another <sup>379,389,399,400</sup>. In the two experiments referenced above, <sup>387,392</sup> both studies found decreases in physical activity <sup>374,389</sup>; when screen time was decreased, however, only one trial found that physical activity increased in nonobese youth <sup>392</sup>. Thus, there is little research to suggest that decreasing screen time leads to increased physical activity in and of itself.

#### Evidence from randomized trials

Intermediary Endpoints: Experimental studies in children investigating the effect on consumption of watching food advertisements vs. watching nonfood advertisements have consistently found greater consumption when food advertisements are watched<sup>401-403</sup>. Two experimental field trials manipulated screen time in children by increasing and decreasing the amount of screen time engaged in by 25% to 50% from baseline levels during 3-week periods and examined self-reported dietary intake<sup>387,388</sup>. One trial found that during the decreased screen time phase adolescents decreased their energy intake, but there was no change in energy intake during the increase phase<sup>388</sup>. The second trial found that schoolaged children did not change their energy intake when screen time was decreased but increased their energy intake when screen time was increased <sup>387</sup>. Thus, whereas observational studies consistently show a relationship between screen time and dietary intake, experimental findings are equivocal. This discrepancy may be a consequence of the differing ways screen time, particularly television viewing, may influence eating or residual confounding.

RCTS with weight loss as an endpoint: Two systematic reviews examining the impact of interventions designed to reduce screen time in youth on weight status have been published. Wahi and colleagues<sup>404</sup> included 13 studies in their review of RCTs, whereas Schmidt and colleagues<sup>405</sup> review included 36 RCTs, four quasi-experimental trials, five pre-post design studies, one matched comparison trial, and one nonrandom controlled study. The screen-based interventions included in both reviews contained interventions that focused solely on reducing screen time as well as interventions that reduced screen time along with changes in other behaviors such as diet and physical activity. Additionally, some of the studies were

testing obesity treatment interventions, whereas others were examining obesity prevention programs. Both reviews reported mixed outcomes: a nonsignificant effect between the intervention and control studies for reducing screen time was reported in one review<sup>404</sup>, whereas the other review reported that less than half of the included studies reported significant reductions in screen time in the screen time interventions compared with control comparisons<sup>405</sup>. When only RCTs that focused on reducing screen time were examined, results were strengthened, such that slightly more than half of these trials demonstrated greater screen time reductions in the intervention compared to control <sup>404,405</sup>.

Regarding anthropometric outcomes, the meta-analysis by Wahi and colleagues<sup>404</sup> reported a nonsignificant effect of interventions to reduce screen time on BMI. In the review by Schmidt and colleagues<sup>405</sup>, for the RCTs that showed a greater reduction in screen time in the intervention than in the control comparison and also measured anthropometric outcomes, 45.5% showed significant reductions in anthropometrics in the intervention compared with the control condition. When only trials with interventions that focused solely on reducing screen time were examined, for those RCTs that did significantly reduce screen time, Wahi and colleagues reported that 50% significantly reduced anthropometric variables and Schmidt and colleagues reported that 60% significantly did so.

In summary, although screen time has been defined as three behaviors—television watching, video game playing, and computer use—very little research has been conducted regarding the independent effects of video game playing and computer use on pediatric obesity or the proposed mechanisms between the relationship of these screen time behaviors and obesity. Television viewing may impact consumption via exposure to food cues on television, as noted, but "screen time" as such may be less related to physical activity than initially theorized. Most RCTs examining the relationship between screen time reduction and anthropometrics in children were not able to successfully reduce screen time and, in those that did reduce screen time, changes in anthropometrics were inconsistent. Thus, areas for future research include enhancing our understanding of the independent effect of video game playing or computer use on energy intake, energy expenditure, and anthropometrics in children. Research needs to more clearly examine the influence of each screen time behavior separately on the outcomes, rather than classifying them as one behavior. This will help to ascertain whether video game playing and computer use impact obesity and if altering them would help with reducing obesity in children. Although research suggests that television watching influences consumption, an enhanced understanding of the mechanisms of this relationship would be useful. Finally, interventions that are more effective at reducing screen time need to be developed to better understand whether reducing screen time can potentially reduce obesity in children.

Presumption 8: Decreasing the portion sizes served leads to less food intake without people being told to reduce their food intake or presumably intending to do so, even when the total food available is not limited

**Exposition of belief and support that the belief is widely held or stated**—Over an extended period of time, in the absence of any compensating factors, decreasing portion sizes of food would decrease calorie intake and therefore cause weight loss or less weight

gain <sup>406</sup>. Health professionals and dieting websites (e.g. <sup>407,408</sup>) presume that people will inadvertently consume more calories when given larger portions regardless of their hunger <sup>409</sup>. As a result, it is believed that smaller portions will lead them to eat less than they otherwise would, and therefore be a successful dietary weight-loss strategy.

Reasons to support the conjecture that the belief might be true—People infer how much is appropriate to eat from the size of the portion of food they are served <sup>409</sup>. There is considerable evidence that smaller serving sizes significantly decrease consumption <sup>410-413</sup>. These studies have shown that the decrease in energy intake due to downsizing can often reach 30% change in calorie intake without generating within-meal calorie consumption <sup>414</sup>. For instance, smaller portions in restaurants have cut intake and maintained satisfaction <sup>415</sup>, and smaller portions of packaged food – such as 100-calorie packs – have been shown to do the same <sup>416</sup>. Smaller packages, smaller restaurant portions, and smaller dinnerware all have one thing in common. They all perceptually suggest to us that it is more appropriate, typical, reasonable, and normal to eat less food than larger versions <sup>417</sup>.

It was recently found that the 104 calorie decrease in McDonald's Happy Meal did not result in any corresponding within-meal increases in the selection of more caloric options or additional purchases <sup>417</sup>. Experimentally, Rolls, Roe, and Meengs (2006)<sup>418</sup> found no differences in hunger when people were served 100% or 50% of usual servings, although their consumption had decreased by 16% and 26%, respectively. Indeed, a recent meta-analysis of 67 studies estimates that consumption quantity can decrease by 22% when serving size is cut in half but total intake is still unconstrained <sup>419</sup>.

Reasons for Skepticism—Interestingly, one key reason as to why decreased portion sizes appear to unknowingly decrease intake is because individuals – even experts, such as nutrition science professors and registered dietitians – are frequently not aware they were served or ate a decreased size <sup>409</sup>. Yet if people were aware they were being served less, as they conventionally would be with long-term use in non-laboratory settings, they might compensate by requesting a second portion or by eating extra food. Some of the most effective portion size studies also simultaneously decreased the size of the plate on which the food was served, thereby giving the illusion that one was still being served a full portion of food because in both cases they had a full plate of food. Hence, it may be important that people *not* perceive their portion size to be smaller than a regular-size portion. For instance, even simply changing the label of a 8-oz serving from regular to half-size lead patrons to eat 41% more <sup>409,420</sup>.

In addition, although decreasing portion sizes can appear to robustly decrease same-meal or within-meal food intake without other forms of calorie compensation, there are two criticisms that may limit its effectiveness to promote unknowing weight loss over an extended period of time. First, many of the initial portion size studies appear to have used smaller portions that were binding or artificially limiting. That is, a sizable percentage of participants may have eaten less, simply because they ran out of food. In such cases, the small portion condition would have artificially – not naturally – limited how much they ate. Under normal circumstances, they would have responded by either serving themselves more

or by compensating later on by eating extra food. Most of these studies did not examine compensation except for two that showed that a reduced calorie meal replacement did not trigger calorie compensation over a 10-day and an 11-day period <sup>406,421</sup>, and even these did not study expenditure or weight<sup>422</sup>.

Perhaps the most essential limitation of all the supportive data is that they pertain only to effects on one component of energy balance (food intake) for very short periods of time (typically one day or less). Our opening phrases pertaining to this belief were "Over an extended period of time, in the absence of any compensating eating factors...". Thus, any data pertinent to this belief must examine an indicator on integrated energy balance (e.g., body weight or composition).

**Evidence from randomized controlled trials with weight outcomes—**Whereas some RCTs have suggested that decreasing food portions can lead to weight loss <sup>423</sup>, participants were aware that weight loss was an objective of the study. Since this presumption examines occasions when people are unaware of portions being reduced or of weight loss being an objective, such RCTs are not included as evidence.

Since an inclusion criterion is that individuals be unaware that they are reducing their portions, one way this has been accomplished in the past is by varying the size of dinnerware. There is wide-spread evidence that one robust driver of self-served portion sizes is the size of the plate upon which the food is served. Within a normal American plate size range of 24-30 cm, the smaller plates have been shown to consistently – and unknowingly – decrease how much food one serves by up to 22% <sup>417,424</sup>. (Importantly, when plates become too small the cease to have this intended effect, partly because of refills and reactance) <sup>425,426</sup>. Randomized trials have been conducted with dinnerware size, and this could provide an imperfect but conservative proxy for portion size. That is, if smaller plates are randomly given to participants and if they subsequently lose weight, we could assume the mediating explanation was because these plates led them to serve smaller portions.

One such NIH trial <sup>427</sup> investigated the impact of decreased plate size on the food intake families. Although the pilot study did not measure weight, it showed that decreasing plate sizes remarkably decreased intake. Small plates decreased average meat intake by 34% for adults and 5% for children, and smaller bowls also significantly decreased both cereal and soup intake for both groups.

A second NIH study investigated 216 households in Syracuse, New York, who had been randomly assigned to receive either 25 or 30.5-cm plates <sup>428</sup>. Average weight loss among those receiving smaller plates equaled 2.2 pounds (p<0.05), whereas those using the larger dishes experienced a 0.3 pound increase in weight (p>0.05) which was small and statistically insignificant. Overall, participants using the smaller plates lost nearly 2.5 more pounds (p<0.05) and experienced a 1.2% (p<0.05) reduction in BMI compared to individuals using the larger plates. Similarly, in 2007, Pederson et al conducted a six-month trial with Type 2 diabetics who were given portion-controlling dinner plates and cereal bowls. Although participants were aware of the manipulation, those receiving smaller dishes lost 4.6 pounds (p<0.05) compared to a decrease of 0.2 pounds (p>0.05). As a result, participants using the

smaller dishes lost 4.4 more pounds (p<0.05) than those in the control group. Analyses in both studies complied with the intention-to-treat methodology.

Conclusion: Although there are many short-term studies that suggest reduced portion size can lead to an unknowing reduction in intake, there is much less evidence as to how sustainable such effects might be and whether they might generate unexpected compensation in another form. Benton summarizes our current state of knowledge well. "There is a need to establish that varying portion size does not lead to compensatory changes at either a psychological or physiological level. Although the portion size of many food items have increased, and laboratory studies find that more is eaten when more is on offer, this does not establish the real world importance of the phenomenon. ... There is an urgent need for intervention studies that show that changing portion sizes reduce weight in those consuming a freely chosen diet, rather than in those in a laboratory situation that prescribes or limits the nature of consumption. Without such data we cannot be sure that the response to portion size is more than a laboratory phenomenon of limited practical significance." 422.

#### Presumption 9 - Participation in family mealtimes reduces obesity

#### Exposition of belief and support that the belief is widely held or stated—

Partaking in meals with the family on a regular basis may help to reduce obesity risk in children and adolescents because it gives parents scope to provide their children with healthy fare and monitor their intake of calorically dense foods, develop healthy eating habits that might persist over the long term, and provide better family communications that can be protective against depression and psychosocial problems that are linked to obesity risk <sup>429</sup>. The American Medical Association Expert Committee on the Assessment, Prevention, and Treatment of Child and Adolescent Overweight and Obesity included "encourage family meals on most, and preferably all, days of the week" as a key component of their four-stage approach to treat childhood obesity.

Reasons to support the conjecture that the belief might be true—Current literature finds that the frequency of family meals is positively related to overall diet quality and negatively related to consumption of fried food, trans-fat and saturated fat, and soda<sup>430,431</sup>. Moreover, adolescents express greater confidence in making healthful food choices when eating with families than otherwise. Further, family meal frequency is negatively related with the prevalence of eating disorders among adolescent females and with the prevalence of low self-esteem, depressive symptoms, and suicidal ideation among adolescents<sup>431-433</sup>.

**Evidence directly supporting or refuting the belief**—Among preschool children, exposure to at least two of three regular household routines—family dinners more than five nights per week, adequate sleep on weeknights, and limited screen-time—was associated with lower odds of obesity<sup>434</sup>. High frequency of family meals is also associated with lower risk of obesity among adolescents <sup>435-437</sup>. A meta-analysis of 17 studies from six countries found that children and adolescents partaking in family meals three or more times per week are more likely to be in a normal weight range, have healthier dietary patterns, and be less likely to engage in disordered eating than are those eating fewer than three family meals<sup>438</sup>.

However, all of these studies are observational and subject to confounding from unmeasured parental, child, and other contextual factors surrounding family mealtimes and obesity.

**Evidence from randomized trials**—An RCT currently underway is evaluating the effectiveness of promoting three household routines (family meals, adequate sleep, and limiting screen time) in reducing childhood obesity risk<sup>439</sup>, and did not find an effect of family meals. Until the evidence from that and other similarly designed studies are forthcoming, we believe it is appropriate to classify this as a presumption.

Presumption 10 – Drinking more water will reduce energy intake and will lead to weight loss or less weight gain, regardless of whether one intentionally makes any other changes to one's behavior or environment

#### Exposition of belief and support that the belief is widely held or stated—

Adequate hydration is essential for health, and there is a common belief that water is a better choice for weight management than are caloric beverages <sup>440,441</sup>. The belief addressed here is whether water consumption reduces hunger, enhances satiety, reduces energy intake, and thus promotes weight loss or prevents weight gain. Drinking extra water to enhance weight loss is a popular diet strategy in the media. Messages in the media identify water as the "miracle" <sup>442</sup>, "or secret weapon" <sup>443</sup> in weight loss. In a national survey of weight-control practices, "drinking plenty of water" was reported to be one of the most consistently used practices for both weight loss and weight loss maintenance <sup>444</sup>. A recent report from the Robert Wood Johnson Foundation<sup>445</sup> states that "water consumption is associated with a number of health benefits including preventing obesity." A team of experts from Australia gives physicians this specific advice: "Drinking 500 ml of water 30 minutes before each meal can be used in conjunction with a hypocaloric diet to lead to greater weight loss in overweight or obese middle-aged and older adults" <sup>446</sup>.

Reasons to support the conjecture that the belief might be true—Although scientific evidence is lacking, the reason most commonly ascribed to the belief is that water has no calories and fills the stomach, initiating proprioceptors in the stomach that trigger satiety messages to the brain thus reducing caloric intake. Another purported reason is centered on displacement of caloric beverages, leading to lower overall energy intake.

**Evidence directly supporting or refuting the belief**—Dieters are sometimes told that they are eating because they are thirsty and not hungry and that if they drink water their hunger will diminish. It is unlikely however, that thirst would be mistaken for hunger. Thirst signals a need for fluid and is sensed as a dry, unpleasant-tasting mouth <sup>447</sup>. Hunger signals a need for nutrients and is sensed primarily as stomach rumblings and growling. Furthermore, in a controlled study, when people were fluid-deprived and thirsty, they voluntarily reduced their food intake such that the greater their thirst, the greater the reduction in food intake <sup>448</sup>. Although it is unlikely that thirst would be interpreted as hunger, it is nonetheless possible that drinking water could help fill people up and reduce hunger, which could reduce energy intake.

To determine whether water consumption enhances satiety, studies in adults have compared the effects on meal energy intake of drinking water, noncaloric beverages, or no beverage <sup>449</sup>. When beverage consumption was varied within a meal, one early study found an effect of water on satiety. Two extra glasses of water consumed at breakfast reduced ratings of hunger and enhanced satiety during the meal but the effect did not persist and the effect on intake was not measured <sup>450</sup>. Since that early study, however, increased water consumption during a meal has not been found to influence hunger ratings or energy consumed at the meal <sup>451-453</sup>. Although such studies were conducted in a homogeneous population of young, non-dieting men and women, the parameters of the studies varied. For example, the required amounts of water and the comparison beverages (diet soda, noncaloric lemonade) varied, as did the foods offered at the meal. Thus, in these controlled studies there was little evidence that water consumed with a meal has effects on meal intake that differ from those of other noncaloric beverages or from having no beverage to consume.

The results are more variable in studies in which the effects on satiety of increased water consumption before a meal were assessed. In a thorough study conducted in young (21 to 39 years of age), non-dieting males, food intake was similar at lunch 30 or 60 minutes after drinking 8 or 16 ounces of water, a noncaloric lemonade, or no beverage <sup>453</sup>. In another study of obese individuals aged 20 to 50 years, intake of 500 ml of water or noncaloric cola had similar effects on ratings of hunger, satiety, and fullness as well as measured ghrelin and incretins, over the next four hours. When food was offered after that delay, energy intake did not differ between the water and noncaloric cola <sup>454</sup>. The lack of an effect of pre-meal water intake on subsequent food intake in young individuals was reinforced by a study in which no differences in lunch intake were found in 21- to 35-year-olds when a 500-ml water preload was compared with no preload <sup>455</sup>. However, in that same study, the water preload did significantly reduce lunch energy intake in participants 60 to 80 years of age. The same research group went on to show a similar effect at breakfast in obese participants aged 55 to 75 years <sup>456</sup>.

Overall, there is little evidence that drinking water with a meal or before a meal enhances satiety or reduces energy intake more than other noncaloric beverages or no beverage. If water were to influence satiety, it could be through gastrointestinal effects such as distension or transit time. However, when water is consumed as a beverage, it empties rapidly from the stomach and has a relatively small effect on satiety compared with water incorporated into food <sup>457,458</sup>. These mechanistic studies have been conducted in younger individuals and it is possible that changes in the gastric handling of water known to occur with age could influence satiety<sup>459</sup>.

In children, the majority of the reports on the benefits of water intake on weight have been derived from studies that contend that increased water intake reduced intake of caloric beverages. Although increased caloric beverage consumption is often associated with an increase in total energy intake, an association with BMI is seldom observed. Further, whereas studies demonstrate that interventions such as increasing water fountains or giving water bottles increased reported water intake, weight effects have not been observed <sup>460</sup>.

**Evidence from randomized trials**—A recent systematic review evaluated studies related to water consumption and body weight <sup>460</sup>. Among the RCTs in that review, a secondary analysis of diet recall data from the A to Z Trial designed to test four popular diets, reported increases in drinking water were associated with weight and fat loss over the year-long intervention <sup>461</sup>. Of note, the most significant weight loss was in the group following the Atkins diet, which is known to induce dehydration, and the selected sample included only women who reported drinking <1 L/day of water at baseline.

Only one RCT designed to test the effects on weight loss of consuming extra water has been conducted <sup>440,455</sup>. Older adults were tested (N = 48; 55-75 years of age), because this research group found previously that only older individuals reduce their meal energy intake after drinking 500 mL of water <sup>455,456</sup>. The participants who were overweight or obese were randomized to a reduced-calorie diet alone or with the instruction to drink 500 mL of water before each meal for 12 weeks. Both groups lost weight and the rate of decline in weight loss was greater in the pre-meal water group. Also, this group lost more fat mass. However, there were no significant differences between the treatments in percentage of initial weight lost or in the reduction in percentage body fat, BMI, or waist circumference <sup>462</sup>.

Following the 12 weeks on the weight loss diet <sup>462</sup> the participants were invited to continue in their treatment group to determine the effects of pre-meal water on weight loss maintenance over the next 12 months. Drinking water did not affect laboratory measures of body weight change, but there was a significant improvement in weight loss maintenance in the pre-meal water group with daily self-reported weights (-0.67 vs. +1.00 kg) <sup>463</sup>. Thus, the data from these 42 older individuals represent the only direct test of the effect of drinking extra water on body weight. The intervention was likely underpowered to show clear effects, although some of the analyses show some support for the presumption that drinking extra water affects body weight. However, the experimental design does not allow us to determine if there is anything special about water. The pre-meal water group was actively adding an extra activity (drinking water) to their weight management program while the control group had no extra activity. It also seems likely that the water group had heard that drinking water aids weight loss, because this is a topic frequently in the media. The belief that drinking water before each meal can affect hunger and food intake could be at least part of an explanation for any effects seen, but no studies have tested this.

## Where do we go from here?

In Table 2, we reiterate the myths and presumptions addressed herein, and provide suggestions for moving forward. The recommendations can be summarized as "abandon," "move the needle as far as we can towards measuring outcomes as methodologically rigorously as possible," or "conduct the definitive study." We generally suggest not studying the myths further, as it is not likely that additional studies will meaningfully add to our understanding. Presumptions, by definition, are insufficiently or incompletely studied and recommendations for moving forward vary depending on the potential for meaningful investigation. If conducting the definitive study is not feasible, creative and probative studies should be conducted that increase our understanding of the question. It must be acknowledged, though, that after completing multiple non-definitive studies we are still left

with the possibility of a pervasive confounder<sup>464</sup>, and thus the assertion may become at best a strongly supported or refuted presumption. For example, a long-term RCT on weight cycling and mortality is not likely to be conducted, and therefore objective anthropometric measurements over time in humans and well-designed animal models may help us better inform the presumption, but we may never get a definitive answer. For most of the presumptions, however, definitive study designs exist that are eminently doable, obviating the need to extrapolate from observational or intermediate-endpoint studies <sup>465</sup>. Studies should address questions that have not already been sufficiently answered, and be designed in such a way that they meaningfully advance our scientific knowledge about the question <sup>243</sup>. Conducting and reporting mediocre, redundant, or non-probative science is an inefficient use of time, funds, and political capital. A quick litmus test of the probative value of a study may be to ask, "Does this (proposed) study really have the power to advance our knowledge about what works rather than merely raising attention or emotion?"

Statement	Category	Recommendation
Losing weight quickly will predispose to greater weight regain relative to losing weight more slowly	Myth	Abandon and move on.
Setting realistic weight loss goals in obesity treatment is important because otherwise patients will become frustrated and lose less weight	Myth	Abandon and move on.
Assessing "stage of change" or "readiness" to diet is important in helping patients who pursue weight loss treatment to lose weight	Myth	Abandon and move on.
Physical education classes, as currently delivered, play an important role in reducing the prevalence of childhood obesity	Myth	Abandon and move on.
Breastfeeding is protective against obesity in breastfed offspring	Myth	Abandon and move on.
Daily self-weighing interferes with weight loss	Myth	Abandon and move on.
Genes have not contributed to the obesity epidemic	Myth	Abandon and move on.
The freshman year of college is associated with or causes 15 pounds of weight gain	Myth	Abandon and move on.
Food deserts (areas with little or no access to stores offering fresh and affordable healthy foods, including produce) lead to higher obesity prevalence	Myth	Abandon and move on.
Regularly eating (versus skipping) breakfast is protective against obesity	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Eating close to bedtime contributes weight gain	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Eating more fruits and vegetables will lead to weight loss or less weight gain, regardless of whether one intentionally makes any other changes to one's behavior or environment	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Weight cycling (i.e., yo-yo dieting) increases the mortality rate	Presumption	The definitive study may never be conducted for practical or ethical reasons. Continue studying only while such investigations are probative. At best this may rise to the level of a strongly supported or refuted presumption.
Snacking contributes to weight gain and obesity	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.

Statement	Category	Recommendation
The built environment, in terms of sidewalks and park availability, influences obesity	Presumption	The definitive study may never be conducted for practical or ethical reasons. Continue studying only while such investigations are probative. At best this may rise to the level of a strongly supported or refuted presumption.
Reducing screen time will decrease obesity in children	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Decreasing the portion sizes served leads to less food intake without people being told to reduce their food intake or presumably intending to do so, even when the total food available is not limited	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Participation in family mealtimes reduces obesity	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.
Drinking more water will reduce energy intake and will lead to weight loss or less weight gain, regardless of whether one intentionally makes any other changes to one's behavior or environment	Presumption	Truly probative, causal tests of this question can ostensibly be completed. Let's do them.

## **Discussion**

We presented 19 beliefs that are common in the obesity field. This is by no means an exhaustive list and we recognize that there is no absolute certainty in empirical science and therefore, some necessary subjectivity in the selection of which myths and presumptions to include as well as in the distinction of the belief as either myth or presumption.

Nevertheless, we believe that elucidating these myths and presumptions is important for several reasons. First, as these beliefs are themselves often the subject of decisions about obesity treatment, public health policies, public health recommendations, or future research, it is critically important to clarify the lack of substantiation for these beliefs to prevent a misallocation of resources to address this serious public health problem. Considering these beliefs may also help us to think about why such unsubstantiated beliefs are so common and, in some cases, so fervently held in the obesity field. Here we offer conjecture on why these beliefs may be so widely held to create windows of opportunity to limit the spread and dissemination of unsubstantiated beliefs going forward.

In the current research environment, we often believe things to be true and demonstrated when they are not true or not proven because we permit *ipse dixit* statements in the scientific literature rather than demanding empirical support. In a philosophical dialogue between Roman and Cicero <sup>466</sup> referring to Pythagoras's students debating, Cicero declares *ipse dixit*, that is, he said it himself, speaking of Pythagoras, whose authority they considered strong even without reason. This begs the question, why? Perhaps, some ideas seem so sensible that we never stop to ask for the data. Psychologists are well acquainted with the mere exposure effect <sup>467</sup>, which states that the more we are exposed to something, the more we come to like and believe it. Is it possible that if we are simply repetitiously exposed to discussion of obesity with the commonly used, vague words of *implicated* and *linked* that we will come to believe that the proposition has been proven? Conceivably, some ideas are so precious to us that we cannot bear to let them go despite evidence to the contrary. Or, we allow ourselves to be satisfied with weak data. Of course, there is also the more malign possibility that some people are deliberately distorting the available evidence to match their preconceived notions.

We agree that some of the myths are not amenable to RCTs. We also agree that simply saying there is no reason to believe a proposition is true because no RCT may exist to test its truth is misleading. There is a clear and important distinction between having a reason to believe a proposition is true and having shown it is true or false. One can reasonably believe something to be true without evidence to conclude that it is true and those are things we call presumptions. We have now tried to adjust our language to reflect this distinction more appropriately. Moreover, while we agree that many propositions are not amendable to testing in RCTs, many are. Our main emphasis is that where evidence is lacking we need to generate the evidence. For many of the beliefs presented, we (society) ought to know the answer, the RCTs would not be unduly difficult or expensive, and yet they are often not done. It is too often accepted that observational studies which sometimes justifiably increase belief in a proposition, but do not create conclusory knowledge are the only option, whereas the probative RCTs may indeed be possible. Finally, we wish to clarify, as we have in many other writings, that asking for honest unvarnished presentations of evidence does not mean that we are saying that public health or clinical recommendations or actions cannot proceed without perfect evidence. In many cases it is appropriate to proceed with clinical or public heath actions or recommendations even in the absence of strong evidence showing that something will work – but in doing so, we should still fulfill our duty to truthfulness and not misrepresent the evidence.

What can we do to limit the spread of these and other unsubstantiated beliefs in the obesity domain? One important factor for our field may be a reinvigoration of one aspect of our culture and the downplaying of another. In recent years, with the rise of the obesity epidemic, numerous papers have used the rhetoric of war in describing our efforts to abate this epidemic <sup>468-475</sup>. It has been said that the first casualty of war is truth (Aeschylus). Indeed, it is well documented that under conditions of war or extreme emotional situations, propaganda is used and complex messages are distorted into simplistic slogans regardless of their truthfulness. As scientists, we should resist this sloganeering and perhaps downplay the emotional rhetoric of war, which may lead to a willingness to distort information and gloss over complexities. We can instead draw on our culture as scientists by placing the greatest premium on truthfulness and by allowing an unwavering commitment to exactitude and rationality to be the principle around which we rally.

In addition, we can take very practical steps to address the presumptions (as opposed to the myths) whenever possible by conducting the definitive studies that would put the question to rest. For some questions, such as is food addictive, exactly what the definitive study would be is not clear. In such situations, one must ask whether one is really dealing with a scientifically falsifiable hypothesis <sup>476</sup>. In other situations (e.g. what is the effect of a particular obesity treatment on lifespan in humans), the definitive study might be easily specified but not easily doable in practice. Even so, for many questions, the definitive study is unquestionably doable. For example, in our discussion of the effects of breakfast consumption vs. breakfast skipping, the definitive study would clearly be a sufficiently large, sufficiently long, randomized experiment in humans with weight or body fat as an outcome. Indeed, doing such a study would, relative to the amount we invest in obesity research overall would, take a relatively small amount of funding and be relatively easy to do. With this in mind, several of us have conducted such a trial and as of this writing are

analyzing the data. We hope that other investigators will pick up some of the ideas presented here and discontinue the repeated attempts at observational studies, anecdotal reports, descriptions of rationales, and endless debates that do not advance progress and simply do the definitive trials.

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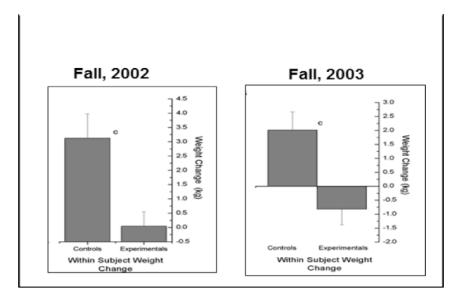


Figure 1. Within Subject Weight Change

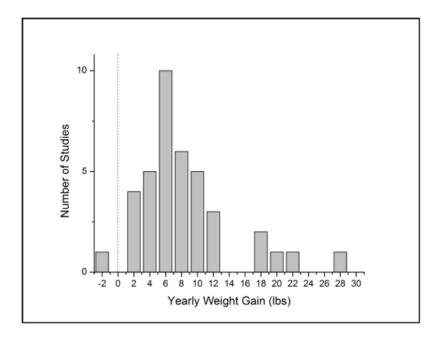


Figure 2. Distribution of weight gain observed in college students. To facilitate comparisons between studies, all weights were recalculated as weight gain per year

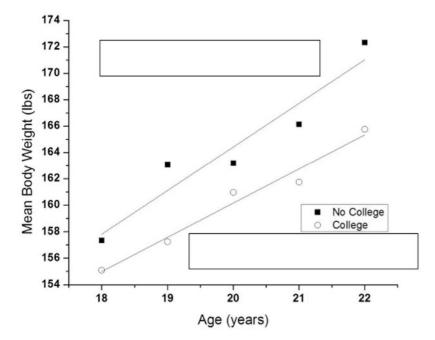


Figure 3. Mean body weight of college-age young adults as a function of age and whether the participants ever attended college. Both the effect of age and the interaction between age and whether the participants attended college were statistically significant (p < 0.001). Data were taken from the BRFSS  $^{216}$ .

Table 1

RCTs investigating snacking and weight.

Study	Design	Results
Bertéus Forslund 2008	1 year two arm trial of 3 meals/day or 3 meals + 3 snacks/day	No effect on weight loss
Johnstone 2000	9 day cross-over	No effect on body weight
Poston 2005	24 week CR diet for weight-loss; snacker and non-snackers were assigned to meal replacement or meal replacement plus snacks	No effect on weight loss
Tey 2011	Hazelnuts, chocolate, potato crisps, or no snacks (1100 kJ/d) for 12 weeks to be eaten daily	No effect on body weight, BMI, fat mass, body fat%, waist fat %, or waist circumference
Vander Wal 2006	8 weeks standardized meals with or without post dinner snack	No effect on body weight, BMI, BF%, or WC
Viskaal van Dongen 2010	High or low energy density snacks with or apart from meals (25% of energy) for 8 weeks	No effect on body weight or changes in body fat
Waller 2004	4 weeks cereal snack at least 90 minutes after dinner.	No significant effect on weight change for compliant and total samples (p>0.05)
Whybrow 2007	Partial cross-over, 14 d, high fat, high carb, or mixed snack at 0, 1.5, or 3.0 MJ/d	No effect on weight change
Zaveri 2009	Almond or cereal bar snack or neither for 12 weeks	No effect on body weight, BMI, BF%, WC, or waist:hip