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## Differential susceptibility of white matter tracts to inflammatory mediators in schizophrenia: An integrated DTI study

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### Abstract

**Background**—The pathophysiological underpinnings of impaired anatomical and functional connectivity are not precisely known. Emerging data suggest that immune mediators may underlie such dysconnectivity. We examined anatomical brain connections using diffusion tensor imaging (DTI) data in relation to interleukin-6 (IL-6) and C-reactive protein (CRP) levels among early-course clinically stable schizophrenia subjects compared to healthy controls (HC).

**Methods**—DTI data were acquired in 30 directions with 2 averages. Fractional anisotropy (FA) and radial diffusivity (RD) maps were separately processed using FSL4.1.9 and Tract-Based Spatial Statistics (TBSS). Threshold free cluster enhancements (TFCE) were examined employing familywise error (FWE) corrections for multiple testing within linear regression models including age, sex and socioeconomic status as covariates. IL-6 and CRP were assayed using highly sensitive and specific sandwich immunosorbent assays.

**Results**—The groups did not differ in age and sex as well as in the IL-6 and CRP levels. IL-6 levels were negatively correlated with the FA and positively correlated with RD among schizophrenia subjects but not HC. The voxel clusters that showed significant correlations were localized to the forceps major, the inferior longitudinal fasciculus and the inferior fronto-occipital fasciculus. CRP levels showed similar pattern except for lack of correlation with RD on any cluster that corresponded to the forceps major.

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#### Contributors

Dr. Konasale Prasad conceived the idea and designed the study. Mr. Konasale and Ms. Catherine Upton conducted the data processing and analysis. Drs. Nimgaonkar and Keshavan discussed the concept, reviewed the manuscript, suggested further analyses and interpreted the results along with other authors. All authors took part in the preparation, editing and reviewing the manuscript carefully.

#### Conflict of Interest

None of the authors disclose any conflict of interest to declare that would impinge on the design, execution, analysis or reporting and discussion of the results

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**Discussion**—Our results suggest that the IL-6 and CRP contribute to impaired anisotropy of water diffusion in selected pathways that have been previously associated with schizophrenia suggesting differential susceptibility of selected neural pathways to immune mediators.

## Keywords

Schizophrenia; Inflammation; Immune mediators; Cytokines; White matter; Connectivity

## 1. Introduction

Impairments in both anatomical (Ellison-Wright and Bullmore, 2009) and functional (Fitzsimmons et al., 2013) connectivity suggest that schizophrenia may be a dysconnection syndrome. Elucidating the factors that contribute to the dysconnection is critical to identify novel targets for drug discovery.

Several lines of evidence strongly suggest the role of immune mediators in the pathophysiology of schizophrenia (Heath et al., 1967; Muller and Schwarz, 2010; Rothermundt et al., 2001; Sætre et al., 2007). Postmortem studies note activated microglia/macrophages (Bayer et al., 1999; Radewicz et al., 2000; Wierzba-Bobrowicz et al., 2005), elevated expression of inflammatory markers in the prefrontal cortex (PFC) neurons (Fillman et al., 2013) and vasculature (Harris et al., 2008), and autoantibodies against frontal (Henneberg et al., 1994), cingulate (Ganguli et al., 1987; Henneberg et al., 1994; Kelly et al., 1987), hippocampal cortices (Ganguli et al., 1987), and glutamate receptors (Tsutsui et al., 2012) in schizophrenia. Autoimmune disorders may elevate the risk for schizophrenia both independently (Benros et al., 2011; Chen et al., 2012) and in combination with exposure to infectious agents (Benros et al., 2011). A meta-analysis noted elevated peripheral blood inflammatory cytokines in schizophrenia compared to healthy controls (HC) (Potvin et al., 2008). Genome-wide association studies replicate the association of Major Histocompatibility Complex (MHC) region variants (where a majority of immune genes are located) with schizophrenia (Purcell et al., 2009; Ripke et al., 2011; Shi et al., 2009; Stefansson et al., 2009). Non-steroidal anti-inflammatory drugs may reduce psychotic symptom severity (Muller et al., 2010; Muller et al., 2002; Sommer et al., 2011). However, the mechanisms through which immune mediators affect cognitive impairments and psychopathology are under active investigation.

Characterizing peripheral immune markers that are relevant to the pathophysiology of schizophrenia is critical for early diagnosis, novel drug discovery, monitoring treatment response and predicting risk. We examined the association of the C-reactive protein (CRP)—an acute phase reactant, and interleukin-6 (IL-6) - a predominantly pro-inflammatory cytokine with the anisotropy of water diffusion using diffusion tensor imaging (DTI). CRP is a pattern recognition molecule that is phylogenetically highly conserved and is primarily expressed in liver following induction by IL-6 (Black et al., 2004). Although extrahepatic expression of CRP in the neurons (Yasojima et al., 2000) and lymphocytes (Kuta and Baum, 1986) are described, plasma levels mainly reflect hepatic synthesis (Black et al., 2004). CRP may be either pro- or anti-inflammatory depending on the context (Eisenhardt et al., 2009). IL-6 is mainly a pro-inflammatory cytokine secreted by T cells and macrophages (Kato et al., 2009). Quantitative reviews have reported elevated levels of IL-6 (Potvin et al., 2008)

and CRP (Miller et al., 2014) in schizophrenia with variations related to antipsychotic use, associated comorbidities and stage of illness.

Elevated CRP is associated with cognitive deficits in schizophrenia both independently (Dickerson et al., 2007) and through interaction with exposure to Herpes Simplex Virus 1 - a neurotropic virus (Dickerson et al., 2012). Although quantitative reviews suggest elevated IL-6 in schizophrenia, few studies have examined the association of IL-6 with in vivo neurobiology of schizophrenia. Among middle-aged and elderly healthy subjects, elevated IL-6 was associated with cognitive impairments (Marsland et al., 2006; Weaver et al., 2002) and hippocampal volume reductions (Marsland et al., 2008). However, the association of CRP and IL-6 with brain connections in schizophrenia is not examined adequately.

DTI offers insights into axonal integrity by characterizing anisotropy of water diffusion. DTI is based on the principle that water tends to diffuse more freely along the longitudinal axis ( $\lambda_1$ ) than along the transverse axes ( $\lambda_2, \lambda_3$ ) of axons. The fractional anisotropy (FA) - diffusion along the  $\lambda_1$  compared to  $\lambda_2$  and  $\lambda_3$ , and the radial diffusivity (RD) - the diffusion perpendicular to the axon orientation-index white matter integrity (Beaulieu, 2002). Factors affecting impaired anisotropy of water diffusion that could impact connectivity are unclear. DTI studies report altered diffusivity in the association (superior longitudinal fasciculus (SLF), uncinate fasciculus) (Friedman et al., 2008), commissural (corpus callosum, forceps minor) (Friedman et al., 2008) and projection (internal capsule and cingulum) (Cheung et al., 2008; Kubicki et al., 2005) fibers in schizophrenia compared to controls. A meta-analysis noted reduced anisotropy in the left frontal and left temporal deep white matter (Ellison-Wright and Bullmore, 2009) suggesting impaired prefrontal-thalamic and fronto-temporo-occipital connections. Our functional imaging study revealed increased activation in the PFC and thalamus among schizophrenia subjects compared to HC during episodic memory challenge (Stolz et al., 2012).

Our goal was to examine whether selected immune mediators (IL-6 and CRP) affected the anisotropy measures in these tracts. Specifically, we predicted that the IL-6 and CRP levels would be negatively correlated with FA and positively correlated with RD in the fronto-thalamic and fronto-temporo-occipital white matter tracts. Since FA and RD represent diffusion in orthogonal directions and may be negatively correlated with each other, we explored the correlation between the FA and RD extracted from the significant voxel clusters that show correlation with IL-6 and CRP within FSL.

## 2. Methods

### 2.1. Clinical Evaluations

We enrolled 68 young adults (schizophrenia/schizoaffective disorder=39, HC=29). Of these participants, 64 subjects were included in the CRP analysis (SZ=37; HC=27) and 56 (SZ=33, HC=23) in the IL-6 analysis based on the availability of blood samples and assay qualities. The diagnosis of schizophrenia/schizoaffective disorder was obtained by administering the Structured Clinical Interview for DSM-IV (SCID)(First, 1997) and confirmed through consensus diagnosis by reviewing follow-up and medical chart data. The socioeconomic status (SES) was assessed using Hollingshead Index (Hollingshead, 1975).

All patients were on stable doses of antipsychotics. The exclusion criteria were substance abuse in the previous month or dependence 6 months prior to enrollment, mental retardation per the DSM-IV, and/or serious neurological or other medical illnesses. After fully explaining the experimental procedures, subjects provided informed consents. The University of Pittsburgh IRB approved the study.

## 2.2. Imaging procedures

The DTI data was acquired in 30 directions ( $b=1000\text{s/mm}^2$ ) with 2 averages on a 3T Siemens Tim Trio scanner. For each average, one  $b=0$  reference image was acquired. Scanning parameters were as follows: slices 48, thickness 3.2mm, TE=90ms, TR=6300ms, flip angle=90, matrix  $128\times 128$ , FOV=240mm. Scans with motion artefacts were not included in the analysis. The diffusion data were processed using FSL 4.1 tools (Smith et al., 2006). The FA and RD maps were separately analysed. Diffusion scans were skull-stripped using FSL's brain extraction tool and manually checked for optimum brain extraction. Eddy current and motion artefacts were then corrected before nonlinear registration of the skull-stripped FA maps to the MNI152 FA template. These co-registered images were processed using FSL's Tract-Based Spatial Statistics (TBSS) tool. Randomize 4.1.9 was used to compare study groups. Threshold free clusters enhancements (TFCE) were examined correcting for multiple comparisons using the familywise error (FWE) correction at  $p = 0.05$  and 10000 permutations. The JHU White-Matter Tractography Atlas tool was used to identify significant regions-of-interest. The RD images were also processed using the same pipeline.

Using regression, CRP and IL-6 values were regressed to the FA and RD separately controlling for age, sex and SES. *fslmeants* tool was used to extract FA values for each subject from the voxel clusters that showed significant differences in each model and identified using the JHU White-Matter Tractography Atlas.

## 2.3. Immunoassay

Highly specific singleplex quantitative ultrasensitive sandwich immunosorbent bead assay for IL-6 and CRP were conducted at the Luminex facility of the University of Pittsburgh Cancer Institute. Sandwich immunoassay uses the magnetic bead technology employing polystyrene microsphere beads that are internally dyed with red and infrared fluorospheres of differing intensities. After the sandwich immunoassay, beads are read for fluorescence signal intensity using Luminex detection systems.

## 2.4. Neuropsychological assessments

Sustained attention and executive functions were evaluated within a week of imaging using the Continuous Performance Test (CPT-IP) (Cornblatt and Keilp, 1994) and the Wisconsin Card Sorting Test (WCST) (Sharma, 2003), respectively. Verbal  $d'$  from the CPT-IP was used as a sensitivity measure of discrimination of signal from the false alarms. Within the WCST, we used percentage of perseverative errors to index executive functions.

## 2.5. Plan of analysis

After examining the group main effect on FA and RD controlling for age, sex and SES within FSL, we investigated the correlation of CRP and IL-6 levels with anisotropy of water diffusion. General Linear Model linear regressions were set up within the FSL to regress the CRP and IL-6 levels to the whole-brain FA and RD separately controlling for age, sex and SES. Statistical thresholds were applied as stated above. Next, we examined the correlation between the FA and RD extracted from the white matter regions with voxel clusters that showed significant correlation with IL-6 and CRP within FSL. The diagnostic groups were compared for differences in cognitive performances controlling for age and sex using ANCOVA models. We, then, examined the correlation of cognitive performance with immune mediator levels and diffusion measures using partial correlation tests controlling for diagnosis, age and sex in the entire sample of schizophrenia and HC. Follow-up posthoc correlation tests were conducted for the cognitive measure that showed significant correlation with either FA or RD correcting for multiple comparisons within each diagnostic group.

## 3. Results

### 3.1. Demographic and clinical characteristics

The distribution of sex and mean age of subjects within the groups were not significantly different. The IL-6 and CRP levels were not significantly different between the groups. Mean duration of illness of schizophrenia subjects was about 3 years from the onset of first psychotic symptoms (Table 1).

### 3.2. Comparison of diffusion measures

Schizophrenia subjects showed significant reductions in FA in the left ( $p=0.022$ ) and right ( $p=0.016$ ) SLF, forceps minor ( $p=0.04$ ), and the right uncinate fasciculus ( $p=0.04$ ) regions compared to HC. No regions showed increased FA. In addition, schizophrenia subjects showed increased RD compared to HC in the forceps minor ( $p=0.0025$ ), the left ( $p=0.008$ ) and the right SLF ( $p=0.0055$ ) regions with no regions showing decreased RD.

### 3.3. Association of IL-6 levels with diffusivity measures

Using within-groups voxel-wise regression, we noted significant negative correlation of FA and positive correlations of RD with IL-6 levels in the forceps major among schizophrenia subjects (both FWE  $p<0.001$ ) but not among HC. The extracted FA and RD from these voxel clusters were negatively correlated with each other among patients (Pearson correlation,  $r = -0.54$ ,  $p=0.001$ ;  $R^2=0.29$ ) but not HC (Fig 1).

A similar pattern of negative correlation of the FA of the inferior longitudinal fasciculus (ILF) and the inferior fronto-occipital fasciculus (IFOF) among schizophrenia subjects with IL-6 levels (FWE  $p<0.05$ ) but not HC was noted. In both these tracts, the extracted FA and RD were inversely correlated between each other among patients but not in controls (IFOF,  $r = -0.55$ ,  $p=0.001$ ; ILF,  $r = -0.54$ ,  $p=0.001$ ) (Fig 2).

### 3.3. Association of CRP levels with diffusivity measures

Similar to IL-6, we observed significant negative correlation of FA within the forceps major with CRP levels among SZ subjects (FWE  $p=0.001$ ) but not HC. However, RD did not show differences related to CRP levels. In addition, CRP levels negatively correlated with FA (FWE  $p<0.05$ ) and positively correlated with RD of the IFOF and the ILF within the FSL among schizophrenia subjects but not controls. The extracted FA and RD from the ILF and IFOF regions also showed significant negative correlation among patients but not in controls (ILF,  $r=-0.39$ ,  $p=0.019$ ; IFOF,  $r=-0.41$ ,  $p=0.013$ ).

### 3.4. Neuropsychological data

Sustained attention data were available for 34 SZ and 27 HC whereas WCST data were available for 28 SZ and 26 HC. Schizophrenia patients showed impaired performance on both the sustained attention (verbal  $d'$ ,  $SZ=1.009\pm 1.03$ ,  $HC=2.148\pm 1.06$ ,  $F(1,60)=19.00$ ,  $p=5\times 10^{-5}$ ) and executive function measures (percent perseverative errors,  $SZ=17.25\pm 10.08$ ,  $HC=12.11\pm 9.10$ ,  $F(1,53)=3.97$ ,  $p=0.052$ , and concept formation,  $SZ=54.89\pm 20.54$ ,  $HC=63.23\pm 12.35$ ,  $F(1,53)=3.75$ ,  $p=0.058$ ). Partial correlation tests controlling for age and sex revealed that the forceps major FA and RD were correlated with executive functions that did not survive corrections for multiple comparisons.

## 4. DISCUSSION

Our main finding is that the CRP and IL-6 levels correlated with anisotropy of water diffusion in selected tracts among schizophrenia subjects. Although the case-control differences in anisotropy measures was observed in the forceps minor, uncinate fasciculus and SLF, the CRP and IL-6 levels were correlated with FA and RD in distinctly different fiber tracts in the dorsal brain. The mean CRP and IL-6 levels did not differ between the groups; however, higher levels of each immune mediator was associated with decreased FA and higher RD suggesting that anisotropy of water diffusion within certain white matter tracts may be more vulnerable to IL-6 and CRP among schizophrenia subjects but not among HC. Further, such alterations may not be in the tracts that show case-control differences in diffusivity measures.

Forceps major is a major fiber bundle that crosses the midline through the splenium of the corpus callosum connecting the occipital lobes of each side. The forceps major system has been associated with the dorsal hippocampal commissural system that is well developed in humans compared to primates connecting the presubiculum, entorhinal cortex and parahippocampal gyrus of one side with the contralateral entorhinal cortex (Gloor et al., 1993). Lesions of the forceps major is associated with deficits in multi-tasking (Burgess et al., 2000), attention (Rossi et al., 2012) and allocation of attentional resources. Prior DTI studies on schizophrenia have reported impaired integrity of forceps major (Friedman et al., 2008; Perez-Iglesias et al., 2010a) that was associated with hallucinations (Curcic-Blake et al., 2013), especially of auditory-visual modality (Amad et al., 2014). However, our cohort did not show case-control differences in this tract.

Although we did not note case-control differences in anisotropy of water diffusion in the ILF and IFOF in this cohort, others have reported such changes (Liu et al., 2013, 2014). The

ILF and IFOF overlap functionally in regulating object recognition, visuo-perceptual abilities (Ortibus et al., 2012; Tusa and Ungerleider, 1985), and emotion recognition (Philippi et al., 2009). Decreased FA in the IFOF was correlated with positive symptoms, negative symptoms and, and hallucinations among first-episode schizophrenia subjects (Curcic-Blake et al., 2013; Liu et al., 2014). Further, these tracts were associated with prolonged processing speed, verbal and visual learning deficits among chronic (Liu et al., 2013) and executive functions among first-episode (Lee et al., 2013; Mitelman et al., 2007; Perez-Iglesias et al., 2010b) schizophrenia subjects. The IFOF FA reduction is also associated with deterioration of social role function among individuals at ultra-high risk for psychosis (Karlsgodt et al., 2009).

It is precisely not known why anisotropy of water diffusion in the dorsal networks but not the ventral tracts correlated with immune mediator levels. It is likely that our sample did not have adequate power to detect such correlations in the ventral tracts. It is also possible that the dorsal networks are more susceptible to immune mediators compared to the ventral networks. Altered anisotropy of water diffusion can be attributed to pathology in the microtubules, neurofilaments, structural integrity of axonal membranes, axonal transport and myelin (Beaulieu, 2002). At present, the literature is confusing with regard to the association of IL-6 and CRP with myelin. One study reported an association of elevated IL-6 levels with demyelination (Leibinger et al., 2013). Another study noted that IL-6 induced neurite outgrowth while reducing myelin (Neville, 2006). Others have noted that the IL-6 levels did not correlate with oligodendrocyte death (Lisak et al., 2012), and that IL-6 increased oligodendrocyte progenitor cell differentiation to mature oligodendrocytes and promoted their survival (Valerio et al., 2002). Age-related myelin changes show that late developing myelin in the frontal regions of the brain is more susceptible to pathology affecting cognitive decline with advancing age (Bartzokis, 2004). Post mortem (Tang et al., 1997) and neuroimaging (Bartzokis, 2004) studies show that the myelin in the dorsal regions of the brain show linear decline from age 19 years whereas the ventral regions show continued myelination until age 31 followed by decline. Oligodendrocytes from different brain regions are intrinsically different in terms of ability to regenerate and other properties (Power et al., 2002). These factors could explain differential vulnerability of myelin in different brain regions to immune mediator levels. Nevertheless, it is known that abnormalities in myelin alone may not contribute to the anisotropy differences (Beaulieu and Allen, 1994). Therefore, IL-6 may additionally affect other cellular components that contribute to anisotropy. IL-6 was also associated with neurofilament damage (Leibinger et al., 2013). The impact of IL-6 specifically on the axonal microtubules is unknown. Likewise, CRP was associated with reduced global FA especially in the frontal lobes, corpus callosum and corona radiata and with executive functions among healthy elderly individuals (di Penta et al., 2013). However, specific molecular pathways associated with anisotropic changes that correlate with CRP are lacking. Future studies that measure myelin water fraction may relatively more specifically suggest inflammation in these tracts compared to the others. IL-6 and CRP are one of the factors within an inflammatory cascade involving many other immune mediators. It is possible that other mediators in the cascade may have more direct impact on cellular components that affect anisotropy.

As observed in the regression models, we noted significant correlations between FA and RD among schizophrenia subjects but not in controls. These correlations are biologically important because relying on a single measure of anisotropy may be insufficient to index white matter integrity (Hasan, 2006). Such reciprocal changes in FA and RD further affirm that the IL-6 or CRP might affect a fundamental biological process underlying altered anisotropy of water diffusion. In addition, IL-6 and CRP are one of the factors in the pathway consisting of multiple intermediaries that affect white matter integrity. Therefore, future studies need to examine these pathways that affect white matter connections.

Some limitations of our study include the relatively small sample using a cross-sectional examination of selected immune mediators. Potential confounds due to medication effects cannot be excluded fully. However, the sample was matched for age and sex. Blood sample for immune assays were collected very close to imaging data acquisition. In summary, the results of our study suggest that IL-6 and CRP may affect white matter structure in schizophrenia subjects but not in controls. Future studies should address these shortcomings and focus on specific molecular pathways that lead to dysconnection.

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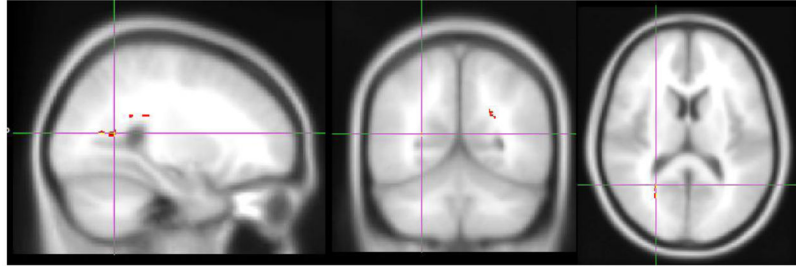


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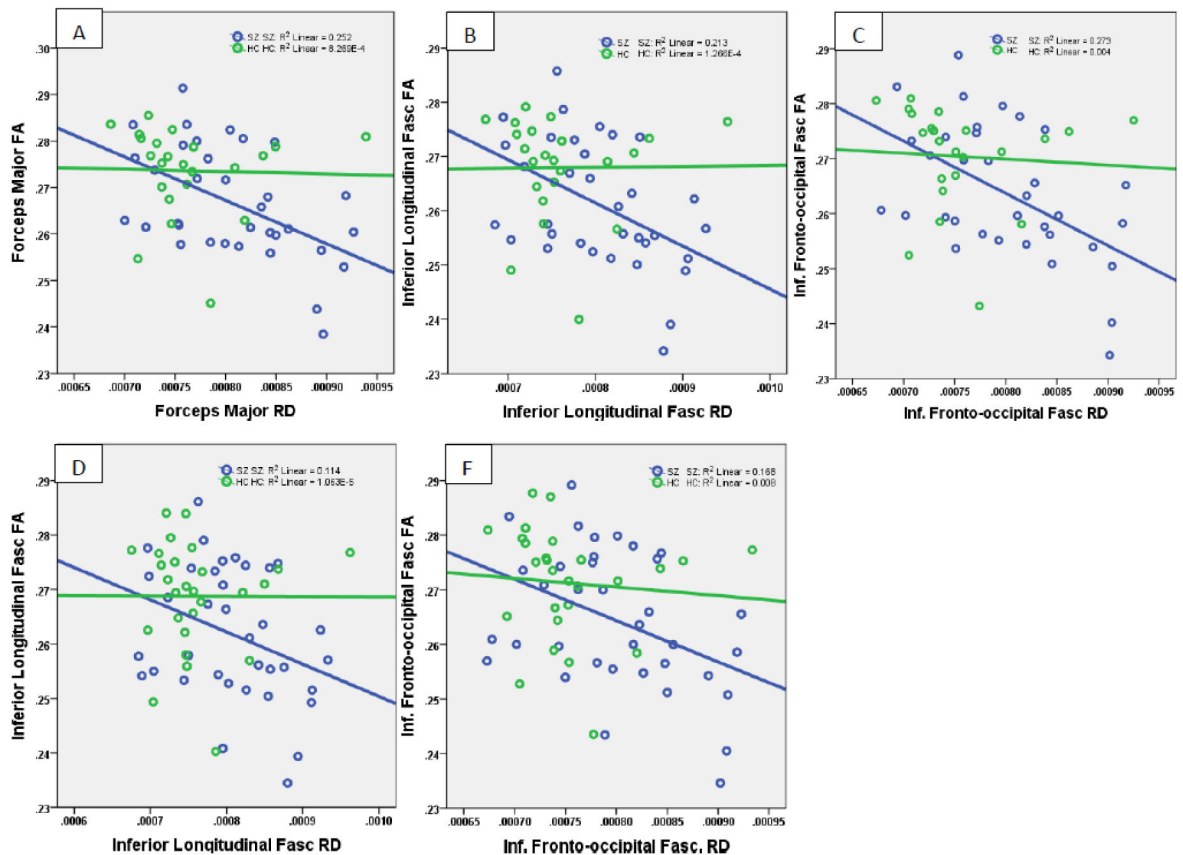
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**Fig 1.** IL-6 levels and FA among SZ in the Forceps Major region. GLM linear regression showing significant negative correlation of FA with IL-6 levels. RD was positively correlated with IL-6 in the same region in the forceps major (both FWE  $p < 0.001$ )



**Fig 2.**

Correlation of extracted FA and RD from the voxel clusters that showed correlation of diffusivity measures with immune mediator levels. Scatterplots A, B and C depict the correlation between FA and RD within the voxel clusters corresponding to the forceps major, the inferior longitudinal fasciculus and the inferior fronto-occipital fasciculus, respectively that showed significant correlation between diffusivity measures and IL-6. Scatterplots D and E depict similar correlations at the inferior longitudinal fasciculus and the inferior fronto-occipital fasciculus with CRP. CRP did not correlate with RD on any cluster that corresponded to the forceps major.

Table 1

Demographic and clinical characteristics of the study sample

	IL 6			CRP				
	SZ	HC	Statistic	Sig	SZ	HC	Statistic	Sig
Mean age±SD (years)	26.39±8.57	25.44±5.50	t=-0.46	0.64	26.49±8.21	26.68±6.41	t=0.10	0.92
Sex								
Male	18	7	$\chi^2=3.19$	0.074	21	9	$\chi^2=3.44$	0.064
Female	15	16			16	18		
Immune marker levels	1.14±2.66 ng/ml	0.96±2.17 ng/ml	t=-0.27	0.79	19.78±23.53 µg/ml	15.69±21.69 µg/ml	t=0.71	0.48
Duration of illness	3.17±2.42 years	-----	-----	-----	3.12±2.40 years	-----	-----	-----
BPRS positive symptoms	8.79±3.80	-----	-----	-----	8.93±3.88	-----	-----	-----
BPRS negative symptoms	8.33±3.40	-----	-----	-----	8.22±3.33	-----	-----	-----