

Identification of a pheromone that increases anxiety in rats

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Chemical communication plays an important role in the social lives of various mammalian species. Some of these chemicals are called pheromones. Rats release a specific odor into the air when stressed. This stress-related odor increases the anxiety levels of other rats; therefore, it is possible that the anxiety-causing molecules are present in the stress-related odorants. Here, we have tried to identify the responsible molecules by using the acoustic startle reflex as a bioassay system to detect anxiogenic activity. After successive fractionation of the stress-related odor, we detected 4-methylpentanal and hexanal in the final fraction that still possessed anxiogenic properties. Using synthetic molecules, we found that minute amounts of the binary mixture, but not either molecule separately, increased anxiety in rats. Furthermore, we determined that the mixture increased a specific type of anxiety and evoked anxiety-related behavioral responses in an experimental model that was different from the acoustic startle reflex. Analyses of neural mechanisms proposed that the neural circuit related to anxiety was only activated when the two molecules were simultaneously perceived by two olfactory systems. We concluded that the mixture is a pheromone that increases anxiety in rats. To our knowledge, this is the first study identifying a rat pheromone. Our results could aid further research on rat pheromones, which would enhance our understanding of chemical communication in mammals.

stress-related odor | alarm pheromone | acoustic startle reflex | anxiety | rat

Chemical communication plays an important role in the social lives of various mammalian species. Some of these chemicals are called “pheromones.” Because the term pheromone was coined and defined based on findings in insects (1), there is still a debate as to whether the original definition can be applied to mammals (2). Researchers have proposed revised definitions by modifying the original definition and/or specifying additional requirements (3–6). On the basis of the original and revised definitions, we set a working definition of pheromone within this study as (i) substances that are secreted to the outside by an individual and received by a second individual of the same species, in which they cause a specific reaction; (ii) substances that are effective in minute amounts; (iii) substances that are released from living individuals; and (iv) substances that mediate communication for an evolutionarily adaptive function.

Rats release a specific odor into the air when stressed (7). This stress-related odor increases anxiety levels (8, 9) and induces a variety of anxiety-related responses depending on their situation with other rats (9–18). Rats respond to their own stress-related odor in a similar manner to odor released from the other rats, suggesting that the odor has general effects (10, 19). In addition, the stress-related odor appears to be effective in minute amounts (20, 21). Therefore, the molecules responsible for increasing anxiety levels should exist in the stress-related odor.

The responsible molecules appear to meet the definition of pheromones because they (i) were released from rats and increased anxiety in other rats and (ii) were effective in minute

amounts. In addition, (iii) rats were alive while releasing the stress-related odor that included the responsible molecules. Furthermore, (iv) the communication mediated by the responsible molecules appears to have an evolutionarily adaptive function. First, the communication mediated by the responsible molecules might be evolutionarily conserved. Studies have shown that the stress-related odors are released by variety of mammalian species in addition to rats; examples include mice (22, 23), deer (24), cattle (25), swine (26), and humans (27). Second, increasing anxiety levels appear to be an adaptive response for highly developed animals. Although the odor released by mice evokes stereotypical avoidance responses, deer, cattle, and swine show cautious behavior rather than an avoidance response per se. In humans, the odor has been shown to increase anxiety levels (28). The higher the level of development of a particular organism, the more complex its life is. As a result, increased anxiety, rather than stereotypical avoidance responses, would enable developed animals to cope with a variety of dangerous stimulus appropriately, depending on the situation and the type of the stimulus, which may increase inclusive fitness. Therefore, the communication mediated by the responsible molecules can be suggested to have an evolutionarily adaptive function.

To identify the responsible molecules, the acoustic startle reflex (ASR) was used as a bioassay system for assessing the anxiogenic activity of the molecules. The ASR pertains to rapid contractions of facial and body muscles evoked by sudden and intense acoustic stimulus, which are observed in a variety of mammals, including humans (29). Earlier studies involving animals and humans have revealed that the amplitude of the ASR increases with increased level of anxiety (29). In rats, the amplitude of the ASR is

Significance

Animals communicate with other members of the same species by using pheromones. Rats release a specific odor into the air when they are stressed. Because this stress-related odor increases anxiety in the other rats, it is likely that there are some anxiogenic molecules in this odor. In this study, we have tried to identify these molecules. We discovered that a combination of two molecules, 4-methylpentanal and hexanal, increased anxiety in rats. We concluded that the mixture is a pheromone in rats that can increase anxiety in conspecifics. Furthermore, we proposed that the neural circuit relating to anxiety was only activated when the two molecules were simultaneously perceived by two separate olfactory systems.

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expressed as a voltage change in the output of an accelerometer, which is displaced by the movement of a rat in an animal holder. Rats show an enhanced ASR when levels of anxiety are increased (8, 30, 31). Therefore, we defined molecules as positive (+) for anxiogenic activity when we observed a significant increase in the amplitude of the ASR following exposure.

In the present study, we first collected the stress-related odor by applying electrical stimulations to the perianal region of anesthetized rats (32). Because the anal glands are located immediately inside the anal verge (33) (Fig. S1), these stimulations induce muscle contractions around the anus, which may squeeze the odor out of the anal glands. Then, we successively fractionated the collected odor, leading to the discovery of 4-methylpentanal and hexanal in the final small fraction that retained anxiogenic properties. Subsequent analyses with synthetic molecules revealed that the mixture of these molecules, but not either individual molecule, increased a specific type of anxiety, even in minute amounts. We further investigated the communication by behavioral and neuroscience analyses. The neural circuit related to anxiety [specifically the bed nucleus of stria terminalis (BNST)] appeared to be activated only when the two molecules were simultaneously detected, possibly via two separate nasal chemosensory systems. The mixture also evoked anxiety-related behavioral responses in a different experimental model to the ASR test.

Results

Screening for Molecules That Increase Anxiety in Rats. We placed an anesthetized rat as a donor in a cylindrical glass desiccator filled with activated-charcoal-filtered air and fixed a glass funnel near the perianal region (Fig. S2). The funnel was connected to a glass tube containing Tenax, an adsorbent that can trap a wide range of volatile molecules. Then, we administered electrical stimulations to the perianal region and drew air through the funnel to trap the volatile molecules in the adsorbent (300 mL/min). Because the stress-related odor from one donor was sufficient to increase anxiety in other rats (34), to obtain a large sample, we pooled the volatiles from eight donors in the adsorbent. We prepared three fractions (Frac. 1, 2, and 3) from the adsorbent and dissolved each fraction in 3 mL of purified ether. Then, 0.15 mL of ether solution was dropped onto a sheet of filter paper (50 × 50 mm) and dried with nitrogen gas. When we presented the dried filter paper 10 mm from the rat's nose using a perforated plate, only Frac. 1 enhanced the ASR in rats (Fig. 1A and Fig. S3), suggesting that the anxiogenic molecules existed in Frac. 1. Further detailed analyses of Frac. 1 by gas chromatography and mass spectrometry (GC-MS) revealed the presence of 31 chemicals. Eleven chemicals were predominant molecules and appeared to be environmental contaminants (A–K in Fig. S4A). Therefore, we focused on the remaining 20 chemicals (1–20 in Fig. S4A). We dissolved these chemicals in ether in the same ratios as detected in Frac. 1 (total concentration was 10^{-5} M) and presented 0.15 mL to rats in the same way. However, these 20 chemicals did not enhance the ASR (Fig. S4B), suggesting that the responsible molecules were detected as ambiguous small peaks or that the amount of the responsible molecules pooled from the eight donors was still below the detectable threshold of the GC-MS system, even though Frac. 1 enhanced the ASR. Thus, we fractionated Frac. 1 further into two fractions, Frac. 1-1 and Frac. 1-2. When we exposed 0.15 mL of an ether solution of these fractions to rats, Frac. 1-1 enhanced their ASR (Fig. 1B and Fig. S5), suggesting that the responsible molecules exist within this small fraction. However, we did not detect any odor-specific peaks in the fraction. Therefore, we decided to refine our trapping system.

To reduce the contamination of molecules from the environment, we developed a specific glass funnel that enabled collection of the stress-related odor into an inner glass tube, while dispelling other environmental molecules by blowing synthetic

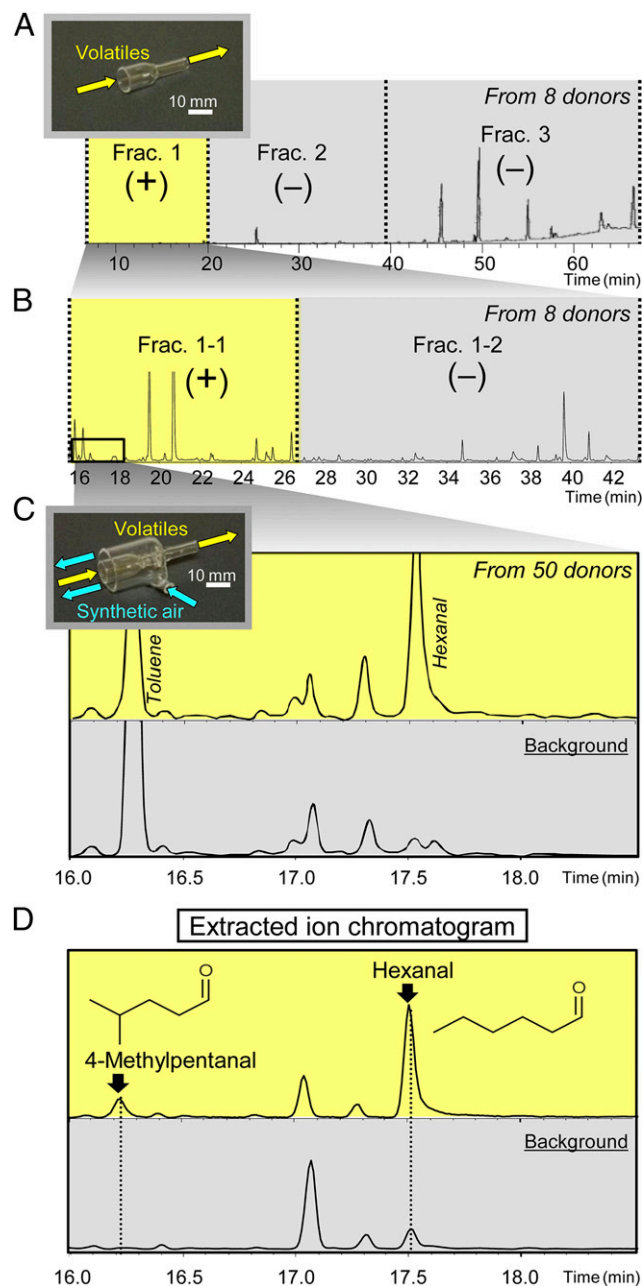


Fig. 1. Screening for molecules that increase anxiety in rats. (A) Total ion chromatogram of the stress-related odor from eight donor rats. The volatiles were fractionated into three fractions (Frac. 1, 2, and 3). Only Frac. 1 had anxiogenic activity. +, Positive for anxiogenic activity; –, negative for anxiogenic activity. The *Inset* shows a glass funnel that was fixed near the perianal region (yellow arrows show the airflow). (B) Total ion chromatogram of Frac. 1. Frac. 1 was further fractionated into two fractions (Frac. 1-1 and Frac. 1-2). Frac. 1-1 had anxiogenic activity. The same glass funnel was used. (C) Magnification of total ion chromatogram of Frac. 1-1 from 50 donor rats. The amount of hexanal was increased compared with the sample prepared from the background adsorbent. The *Inset* shows a newly developed glass funnel. We could collect stress-related odor (yellow arrows) while dispelling the other molecules in the environment by blowing synthetic air through the outer space in the funnel (blue arrows). (D) Extracted ion chromatogram (m/z 56) of Frac. 1-1 proposed two aldehydes as candidate molecules.

air through the outer space of the funnel (Fig. S6). In addition, we changed the cylindrical glass desiccator to a glovebox that was maintained at a positive pressure and supplied with clean

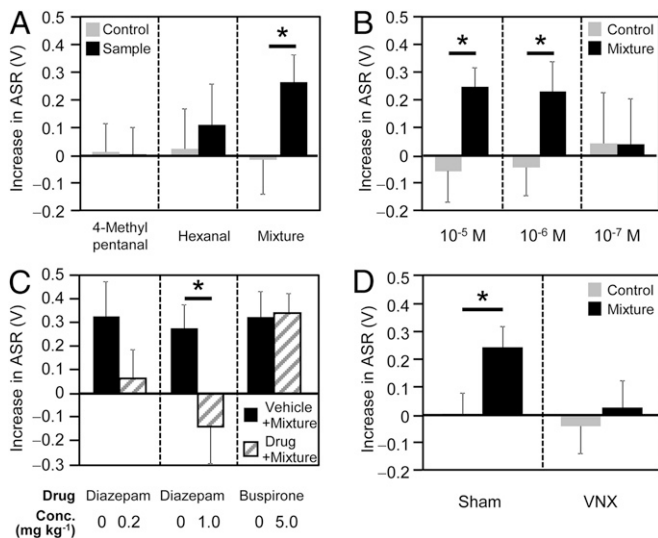


Fig. 2. Characterization of the mixture of two candidate molecules. (A) The mixture, but not each molecule, enhanced the ASR ($n = 14$ for 4-methylpentanal and the mixture; $n = 12$ for hexanal). (B) The threshold concentration of the mixture in the ASR test ($n = 12$ for a concentration of 10^{-5} M and 10^{-6} M; $n = 14$ for a concentration of 10^{-7} M). (C) The enhancement of the ASR by the mixture was blocked by the pretreatment of a benzodiazepine, but not a serotonergic anxiolytic (diazepam 0.2 mg/kg; $n = 16$; diazepam 1.0 mg/kg; $n = 12$; and buspirone 5.0 mg/kg; $n = 12$). (D) The mixture enhanced the ASR of the sham rats ($n = 15$), but not the ASR of the vomeronasal-organ-excised rats (VNX; $n = 17$). Each bar represents the mean + SEM. The mixture was presented at a final concentration of 10^{-5} M unless mentioned otherwise. * $P < 0.05$ vs. the vehicle control (paired t test).

synthetic air. Using this refined system, we increased the number of donors used for the preparation of the adsorbent from 8 to 50. Analysis of Frac. 1-1 prepared from this adsorbent revealed a prominent increase in hexanal (Fig. 1C); therefore, we focused on hexanal and further analyzed the extracted ion chromatogram of this fraction ($m/z = 56$). We confirmed that the amount of hexanal was increased in the odor-trapped adsorbent compared with the background adsorbent (Fig. 1D). This analysis also enabled detection of 4-methylpentanal, which had been obscured by toluene when the total ion chromatogram was analyzed. The 4-methylpentanal appeared to be an additional and prime candidate because it was present only in the odor-trapped adsorbent and not in the background adsorbent (Fig. 1D). Together, our results indicated that 4-methylpentanal and hexanal were candidate anxiogenic molecules.

Identification of a Binary Mixture That Increases Anxiety in Rats. We assessed whether synthetic 4-methylpentanal and hexanal had anxiogenic properties in the ASR test. When we dissolved these chemicals in purified water and presented 0.75 mL of the aqueous solution to rats using a filter paper in the same way, neither 4-methylpentanal (1.3×10^{-6} M) nor hexanal (8.7×10^{-6} M) enhanced ASRs (Fig. 2A). However, when these two molecules were mixed in an aqueous solution at the same ratio as that detected in the adsorbent (i.e., 13:87; 4-methylpentanal, 1.3×10^{-6} M; hexanal, 8.7×10^{-6} M; total concentration of the two compounds was 10^{-5} M), 0.75 mL of the binary mixture enhanced the ASR, suggesting that the mixture was anxiogenic. Next, we assessed the threshold concentration for anxiogenic activity. An enhanced ASR was observed when 0.75 mL of an aqueous solution of the mixture was presented at a total concentration of 10^{-6} M (Fig. 2B), suggesting that the mixture was effective in minute amounts.

We further characterized the communication mediated by this mixture. First, to obtain information about the type of anxiety

this mixture could induce, we assessed whether the anxiety was sensitive to benzodiazepines and serotonergic anxiolytics. When rats were pretreated with diazepam, but not buspirone, ASR enhancement was attenuated in a dose-dependent manner (Fig. 2C), suggesting that the mixture increased anxiety levels that were sensitive to benzodiazepines. Next, we assessed the role of the vomeronasal system (VNS) in the effects of the mixture. When we prepared vomeronasal-organ-excised (VNX) rats by surgically removing the vomeronasal organ, while preserving the function of the main olfactory system (MOS) (Fig. S7A and B), the mixture did not result in an ASR enhancement (Fig. 2D), suggesting that the VNS is involved in the detection of the mixture.

Because we had assessed the anxiogenic properties of the mixture only using the ASR test, we lastly assessed whether the mixture evoked anxiety-related responses other than enhancement of the ASR. We observed the anxiogenic effects of the mixture in the modified open-field test (9) that allowed rats to choose between being in an open arena in the presence of a test substance or hiding in a small safety box located at the corner of the apparatus, opposite the test substance (Fig. 3A). Rats show typical “head-out” behavior and search outside while keeping their hind paws in the safety box when their anxiety levels are increased (9, 35, 36). The presence of the mixture increased the time spent showing head-out behavior and decreased the time spent in the

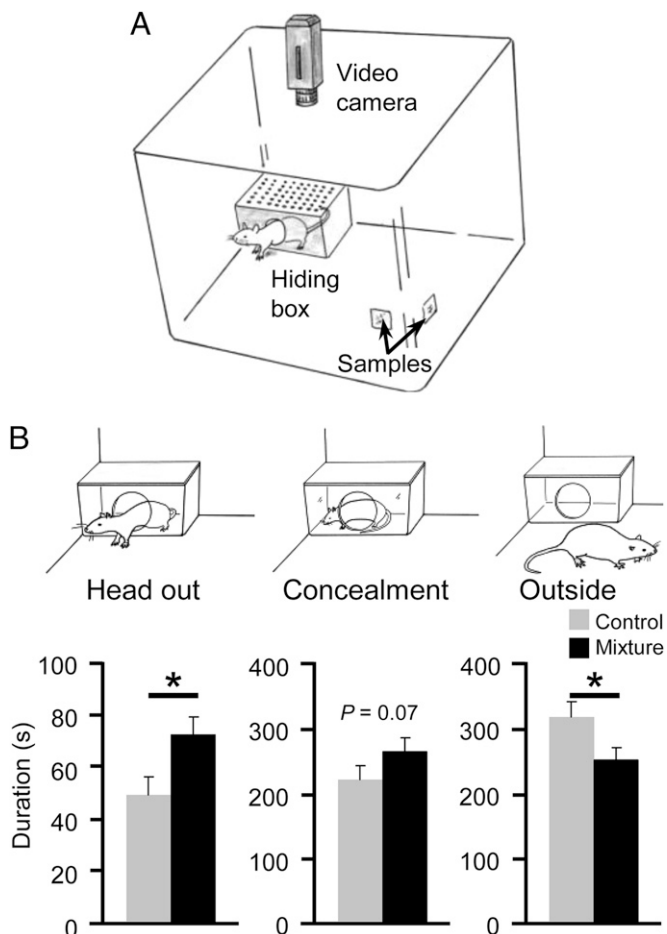


Fig. 3. The effects of the mixture in the modified open-field test. (A) A schematic diagram of the apparatus. (B) The mixture increased the duration of head-out and decreased the duration of outside behaviors ($n = 12$ in both groups). * $P < 0.05$ vs. the vehicle control (Student t test). Each bar represents the mean + SEM. The mixture was presented at a final concentration of 10^{-5} M.

evoke a variety of anxiety-related responses in other rats. We propose that they are detected by two separate nasal chemosensory systems (Fig. 5).

Based on the characteristics of 4-methylpentanal and hexanal, it is possible that 4-methylpentanal plays a greater anxiogenic role in the mixture. In this study, we have demonstrated that 4-methylpentanal activated the BNST and increased anxiety through the VNS, in collaboration with detection of hexanal from another olfactory system. To the best of our knowledge, this is the first study that has revealed the bioactivity of 4-methylpentanal in organisms. Little is known regarding 4-methylpentanal, other than that it is a product of the side-chain cleavage of cholesterol. Conversely, hexanal is a common molecule and is known as a decomposition product of linoleic acid (42, 43). In addition, hexanal has been reported as the alarm pheromone in western conifer seed bugs (44), leaf-footed bugs (45), and weaver ants (46, 47). Thus, it is possible that 4-methylpentanal transmits species-specific information, whereas hexanal supports the accuracy of the information, because it is rare for these two particular molecules to be present simultaneously by chance.

Rats showed activation of the rostral AOB when 4-methylpentanal was detected, suggesting the possible role of V1Rs or four FPRs in the detection of 4-methylpentanal. V1Rs might bind 4-methylpentanal because V1Rs bind low-molecular-weight volatile molecules (48, 49), whereas disease/inflammation-related high-molecular-weight substances are known as ligands for FPRs (50). In contrast, hexanal did not clearly activate Fos expression in the AOB, suggesting that, to be effective, it may have to be detected by olfactory systems other than the VNS. Among the MOS, septal organ, and Grueneberg ganglion, it is likely that the MOS detects hexanal. Numerous studies have used hexanal as a stimulus to analyze the MOS of mammals, which observe the activation of the main olfactory bulb in response to hexanal (38, 51), and identified a set of odorant receptors for hexanal (52). In contrast, identified receptors in the septal organ (53, 54) and Grueneberg ganglion (55, 56), in addition to the characteristics of their ligands (22), suggest that hexanal is not detected by these olfactory systems. Taken together, 4-methylpentanal and hexanal might be detected by V1Rs in the VNS and by the MOS, respectively.

In the present study, 4-methylpentanal detection by the VNS requires simultaneous hexanal detection, most likely from the MOS, to activate the BNST. These results suggest that information from the two olfactory systems converges at a particular site between the AOB and the BNST. Although recent studies have hypothesized, based on anatomical evidence, that the medial amygdala is the candidate site for the convergence of information (57, 58), there are other sites that receive direct projections from both the main olfactory bulb and the AOB in a similar manner (59). In addition, the absence of a good experimental model has prevented further assessment of this hypothesis from a functional point of view. Therefore, the present results would provide an ideal experimental model for analyzing the convergence of two olfactory systems. Recent developments in technologies that enable the gene manipulations in rats (60) would also support a highly interesting future investigation.

In addition to its role as an anxiogenic pheromone, the mixture of 4-methylpentanal and hexanal could be a main component of the alarm pheromone in rats. The expression “alarm pheromone” originates from the “alarm substances” found in minnow that were defined as “the substances that communicate the presence of danger, provided that they are produced by members of the same species” (quoted text from ref. 61; see also ref. 62).

Based on this definition, the stress-related odor has been referred to as an alarm pheromone since the term pheromone was coined (14). In the present study, we revealed that the mixture of 4-methylpentanal and hexanal shared many characteristics with the alarm pheromone reported in the literature (8, 9, 13, 63, 64), suggesting that the mixture could also be a main component of the alarm pheromone. However, the intensity of the effects observed in the ASR (34) and modified open-field test (9) seems weak when comparisons are made between the mixture and the alarm pheromone. In this study, we have identified 4-methylpentanal and hexanal by focusing on the specific mass-to-charge ratio. Therefore, further research is needed to assess whether other molecules in the stress-related odor also contribute as components of the alarm pheromone.

In conclusion, we have identified a rat pheromone that increased anxiety in conspecifics. Because stress-related odor characteristics are similar between rats and humans, analyses of this odor in rats may also shed light on the communication mediated by this odor in humans and other mammals.

Materials and Methods

Animals. Experimentally naïve male Wistar rats were purchased at 7 wk of age (Clea). All experiments were approved by the Animal Care and Use Committee of the Faculty of Agriculture of the University of Tokyo and were based on guidelines that were adapted from the Consensus Recommendations on Effective Institutional Animal Care and Use Committees by the Scientists Center for Animal Welfare (65).

Analysis of Released Volatiles from the Perianal Region of Donor Rats. Frac. 1, 2, and 3 were prepared by using an Agilent 7890A gas chromatograph equipped with an on-column injector and a TC-WAX column [0.53-mm inner diameter (i.d.) × 30 m; GL Sciences Co.]. Frac. 1 was analyzed or was further fractionated by using an Agilent 7890 gas chromatograph combined with a 5973 mass selective detector and a flame ionization detector equipped with a TC-WAX capillary column (0.25 mm i.d. × 60 m).

ASR test. When the subjects were 9 wk old, we conducted the ASR test as described in our previous study (34), by using startle apparatus and software (Startle Reflex System 2004; O'Hara & Co.). The holder consisted of an acrylic cylinder (length, 200 mm; diameter, 56 mm), an acrylic plate with 42 perforations (diameter, 2 mm) as the front stopper, an acrylic plate as the rear stopper, and an acrylic bottom plate to support the cylinder. Rats underwent two consecutive ASR tests. In the experimental room, each rat was placed inside an animal holder, and the holder was attached to the platform in a dark soundproof chamber (480 × 350 × 370 mm) with background noise (65 dB wideband). Following this, the ASR test, consisting of a baseline trial, sample presentation, and a test trial, was initiated. During the baseline trial, the rat was exposed to 30 auditory stimuli (105 dB, 100 ms, white noise) at an interstimulus interval of 30 s, after an initial 5-min acclimation period. The sample presentation took place immediately after the baseline trial. The door of the soundproof chamber was opened and a folded filter paper (50 × 50 mm) was inserted into a slit on the cylinder so that the filter paper was placed 10 mm away from the rat's nose. The perforated front stopper enabled the rat to perceive the volatile odor of the samples. The test sample and vehicle of the test sample was presented in a counterbalanced order. Then, the door was closed and the test trial was conducted in the same manner as the baseline trial. The startle amplitude was defined as the maximal peak-to-peak voltage that occurred during the first 200 ms after the onset of the startle-eliciting auditory stimulus. A more detailed description is given in *SI Materials and Methods*.

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