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The Balance between Leptin and Adiponectin in the Control of Carcinogenesis- Focus on Mammary Tumorigenesis

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Abstract

A number of studies indicate that a growing list of cancers may be influenced by obesity. In obese individuals these cancers can be more frequent and more aggressive resulting in reduced survival. One of the most prominent and well characterized cancers in this regard is breast cancer. Obesity plays a complex role in breast cancer and is associated with increased inflammation, angiogenesis and alterations in serum levels of potential growth factors such as adiponectin, leptin and estrogen in the serum. Reduced levels of serum adiponectin have been reported in breast cancer patients compared to healthy controls, particularly in postmenopausal women. The role of serum leptin levels in breast cancer appears to be more complex. Some studies have shown leptin to be increased in women with breast cancer but other studies have found leptin to be decreased or unchanged. This may be due to a number of confounding issues. We and others propose that it may be the levels of adiponectin and leptin as well as the balance of adiponectin and leptin that are the critical factors in breast and other obesity related cancer tumorigenesis.

1. Introduction

There has been increasing interest in the role of body weight particularly overweight and/or obesity in association with cancer development as well as in its progression and prognosis. In general, the focus of the relationship has been body weight at the time of diagnosis as to whether overweight/obesity increases risk and how body weight status impacts prognosis with respect to disease free survival and/or mortality. With the increasing interest in this subject attempts have been made to evaluate body weight status prior to cancer diagnosis- but in humans this is a daunting task- relying either on recall or expensive prospective studies.

Breast cancer has been the most widely investigated malignancy for the evaluation of body weight's impact as a risk factor due to the clear association of body fatness with increased

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postmenopausal breast cancer risk [1]. Estrogen has been implicated as the primary driving force of how elevated body weight could promote the development of this disease [2]. But how this finding would relate to cancers of other organs and to cancer in men in relationship to body weight leave open the possibility for additional causes. Other growth factors such as growth hormone, IGF-I and insulin which may be impacted by body weight status have also been implicated as mediators of the role of obesity in cancer [3-4]. Additional proteins synthesized in adipose tissue, adipokines, have also been considered to have a role in relationship of body weight and cancer, in particular leptin and adiponectin. These two proteins will be the focus of this manuscript particularly with regards their role in the development of breast/mammary cancer.

2. Leptin

2.1 Overview

The discovery in 1994 of leptin and shortly thereafter adiponectin eventually presented new possibilities to explain how body weight through body fat might directly impact carcinogenesis. Although leptin and adiponectin were identified at around the same time, initially the major focus was on leptin's impact on both normal and abnormal physiology. Leptin, a 16 kDa cytokine was discovered by positional cloning of the *ob* gene in 1994 [5]. Early investigations identified leptin as a regulator of body weight, food intake and energy balance acting through receptors in the hypothalamus. However it is now known to also affect fetal development, sex maturation, lactation, hematopoiesis and immune responses [6-14]. Circulating leptin levels are usually proportional to total adipose tissue mass, *i.e.* increased in obese and decreased in lean subjects [15-16]. Serum leptin levels are significantly higher in women than men even when adjusted for age, body mass index (BMI = weight (kg)÷height (m²)) and total body fat [17-19].

Leptin exerts its cellular functions through transmembrane leptin receptors (ObR) [20]. A number of isoforms of ObR have been identified but the long isoform (Ob-R1/Rb) contains an active intracellular signaling domain and has the ability to activate intracellular JAK2/STAT, Ras/ERK-1/2 and PI3-K/Akt/GSK3 pathways [21-23]. Short (Ob-Rs) isoforms lack major domains and mainly activate MAPK and have little effect on STAT activation [21, 24] although short forms of Ob-R may be involved in intra- and transcellular transport [25].

2.2 Leptin and Cancer

Leptin as a potential growth factor in malignancies was first addressed in a 1998 publication by Nakao et al identifying the presence of the leptin receptor in fresh human leukemic cells [26]. Another publication reported expression of leptin receptor isoforms in myeloid leukemia and in hematopoietic cells lines [27]. Additionally, it was reported that with increasing concentrations of leptin increased cell proliferation occurred and apoptosis was inhibited in these cell lines. The leptin receptor was also found to be expressed in adrenal tumor cells although the proliferation of the cells was not affected by additional of leptin [28]. In human neuroblastoma cell lines Ob-Rb mRNA was expressed [29] while in a rat glioblastoma cell line the short form of the leptin receptor as well as leptin were identified [30].

Following these publications suggesting a role for leptin in tumor growth a number of studies were initiated to investigate the role of leptin in breast cancer. Initially, serum leptin levels did not appear to be consistently increased in women with breast cancer despite the fact that leptin levels were significantly higher in breast cancer tissue compared with normal breast tissue. However, most of these studies used small numbers of subjects, only premenopausal subjects or combined pre and postmenopausal women [31-37]. More recent studies have differentiated between peri and postmenopausal patients and found a dichotomy in the effects of leptin. When perimenopausal breast cancer patients were examined leptin was inversely associated with breast cancer risk [38]. However postmenopausal breast cancer patients with ER positive breast cancer had serum leptin levels significantly correlated with pathological tumor classification and TNM stage [39]. In a separate study leptin receptor expression in breast carcinoma was positively correlated with ER expression and tumor size.[40] Studies also indicate that the combined presence of the leptin receptor, Ob-Rb, in breast tumors and high serum leptin are associated with poor prognosis [34, 41] and over-expression of both leptin and leptin receptors in breast cancer tissue was associated with distant metastasis.[42]

Concurrently with the human investigations *in vitro* studies characterized the effect of leptin on cellular proliferation of breast cancer cell lines. In particular, extensive evaluation of leptin as it might relate obesity to breast cancer was done. In 2002 three publications established the presence of the leptin receptor in MCF-7 and T47-D human breast cancer cells and reported that the addition of physiological levels of leptin increased cell proliferation [43-45]. Subsequent studies have examined additional breast cancer cell lines with respect to leptin and cell proliferation as well as determining effects of leptin on various cell signaling pathways [46-50]. In general it has been found that ER-positive MCF-7 and T47-D cells express high levels of Ob-Rb while the shorter forms are present in ER-negative MDA-MB-231 and MDA-MB-435 cell lines [50]. In addition, ObR and ER- α are coexpressed in some breast cancer cell lines [44-45].

In an attempt to determine how obesity may impact breast cancer we planned studies cross-breeding genetically obese mice with a transgenic mouse strain that develops mammary tumors. The rationale for choosing genetically obese mice was to avoid complications of data interpretation associated with feeding a high-fat diet to induce obesity. Further, since we wanted to apply the findings to human postmenopausal breast cancer we chose MMTV-TGF- α mice that develop hormone responsive mammary tumors in the second year of life [51]. At the time of undertaking these studies two of the most commonly used genetically obese mice were ob/ob and db/db. Both strains were crossed with the MMTV-TGF- α mice and followed for two years. As summarized in Table 1 in both studies the obese mice did not develop mammary tumors, in contrast their lean counterparts developed mammary tumors in the range of 50-69% incidence [52-53]. During the time these studies were underway it became widely recognized that ob/ob mice now termed *Lep^{ob}Lep^{ob}* were leptin-deficient [5] and db/db mice now termed *Lep^{db}Lep^{db}* were leptin receptor-deficient [20]. These two studies in conjunction with the *in vitro* experiments described above, provided strong evidence for a role of leptin in mammary tumor development. However, the role of the leptin receptor in the process of mammary tumorigenesis as evidenced from preclinical

studies with rats is not clear. For example, when genetically obese Zucker rats which also have a leptin receptor defect were administered the chemical carcinogen, methyl nitrosourea, no mammary tumors developed [54] and we did not detect mammary tumors in obese Zucker rats following administration of DMBA another chemical carcinogen (Cleary, MP and Morton, R., unpublished data). However Hakkak and coworkers have reported that obese Zucker rats had greater susceptibility to DMBA than did lean rats [55]. These different results may be attributable to substrains of the Zucker rats.

To investigate the role of leptin and body weight in mammary tumor development of animals with normal leptin and leptin receptor genes we used a high-fat diet protocol to induce obesity in the MMTV-TGF- α mice. High-fat diet fed mice were separated by body weight status into Obesity-Prone and Obesity-Resistant groups as previously done in rats [56-57]. In earlier studies the animals in the middle weight category were removed from the experiment [58] but these mice were included in our study and termed Overweight. It was found that Obesity-Prone mice had mammary tumors detected at a significantly younger age compared to those that remained lean on the high fat diet [59]. Obesity-Prone mice also developed high-grade adenocarcinomas while mice in other weight categories were found to only have low-grade adenocarcinomas. In contrast, body weight did not impact tumor development in obese-MMTV-neu mice which develop ER-negative mammary tumors [60].

These findings were consistent with human studies that obesity is a risk factor for hormone-dependent breast cancer in postmenopausal women [61-63]. However, the two transgenic mouse strains were also on two different background strains. Thus, the next approach to assess the impact of body weight on mammary tumor development was to implant obese mice with either ER-positive, MCF-7, or ER-negative, MDA-MB-231, human breast cancer cells and monitor tumor growth [64]. The MCF-7 cells did not grow particularly well so it was not possible to evaluate the impact of body weight on ER-positive cells. As expected tumor weights were not associated with body weight in the mice inoculated with the ER-negative MDA-MB-231 cells. Serum leptin levels were three-fold higher in the Obesity-Prone mice compared to those of the Obesity-Resistant mice. However, measurements of growth-related proteins in the MDA-MD-231 mammary tumors were mostly impacted by consumption of a high fat diet not with serum leptin levels. For example, OB-Rb, BAX, BCL-2 and IGF-IR were all expressed to a greater extent in mice fed the high fat diet compared to those fed the low-fat control diet [64]. Due to the very small tumors that developed from the MCF-7 cells no examination of tumor protein levels were done.

Several recent studies have evaluated direct effects of leptin on tumorigenesis. For example, mice treated daily with 1 μ g/g body weight of leptin had significantly greater tumor weight following inoculation with melanoma cells than did control mice as well as mice treated with leptin and 9F8 which is a monoclonal blocking antibody for the human leptin receptor [65]. In another study leptin deficient mice treated with leptin increased tumor size using a carcinogen-induced colon polyp formation model [66]. The inverse of the above studies utilizing a leptin receptor antagonist to directly determine the role of leptin in tumor development has also been reported. The leptin receptor antagonist peptide, Allo-aca, inhibited leptin-induced proliferation of MDA-MB-231 cells *in vitro*. In addition, the antagonist significantly extended average survival time in an orthotopic mouse xenograft

model utilizing MDA-MB-231 cells [67]. The use of pegylated leptin peptide receptor antagonist 2 also significantly reduced the tumor volume of both MDAMB-231 and MCF-7 orthotopic mouse xenografts [68]. These studies consolidate the importance of leptin in tumor growth although the exact mechanisms of action and how they impact human tumor development remain to be fully elucidated.

3. Adiponectin

3.1 Overview

Adiponectin was first identified in the mid 1990's [69]. It is found at high concentrations (2-20 ug/ml) in human serum [70-75] and in contrast to most adipose secreted proteins, is negatively correlated with body weight, BMI, body fat and serum leptin in humans [76]. Low levels of adiponectin were implicated in pathological conditions such as insulin resistance, type 2 diabetes and coronary artery disease [77]. Adiponectin appears to have global effects on a number of different aspects of physiology and cell growth.

Cellular actions of adiponectin are mediated through two main adiponectin receptors, AdipoR1 and AdipoR2 [78] and there are two main forms of adiponectin, full-length and cleaved or globular adiponectin [79]. Full-length adiponectin binds with highest affinity to AdipoR2 [80] while globular adiponectin binds with highest affinity to AdipoR1 [78]. It is the combination of these interactions that result in multiple physiological effects attributable to adiponectin.

3.2 Adiponectin and Cancer

Interest in adiponectin's potential involvement in tumorigenesis was not evident for a number of years. Then it was reported that lower serum adiponectin levels were found in women diagnosed with postmenopausal breast cancer compared to those without this disease [70, 72, 81]. Endometrial cancer has also been associated with reduced serum adiponectin levels [82-83]. Reduced serum adiponectin was detected in men diagnosed with prostate cancer compared to men with benign prostatic hyperplasia or healthy controls [84]. In another study adiponectin was not associated with the overall risk of prostate cancer but higher adiponectin levels were associated with lower grade cancers and with a lower risk of dying from prostate cancer [85]. In a nested case-control study diagnosis of colorectal cancer was associated with reduced serum adiponectin levels [86]. Reduced adiponectin levels have also been reported in patients with gastric cancer and were further related to increased tumor stage [87].

In ductal breast carcinoma in situ AdipoR1 expression was inversely correlated with tumor size [88]. Additionally, when adiponectin variants were utilized to classify patients as high, intermediate or low signalers it was found that compared with high signalers, intermediate signalers had a 4.16-fold increase in breast cancer risk (95% CI, 0.49-35.19), and low signalers had a 6.56-fold increase in breast cancer risk [89]. Other types of cancer also express adiponectin receptors and may be regulated by adiponectin. For example, weaker expression of adiponectin receptors AdipoR1 and AdipoR2 was found in prostate cancer compared to healthy prostate tissue [84]. One study of colorectal cancer risk found that AdipoR1 expression was negatively associated with nodal stage while AdipoR2 expression

was positively associated with tumor, node and metastasis stage [90]. A second study of colorectal cancer found that both AdipoR1 and AdipoR2 expression levels were inversely related to cancer stage [91]. Not all tumors express AdipoR1 and AdipoR2 and it has been suggested that obesity related tumors more ubiquitously express the adiponectin receptors [92] and as such these types of tumors are more likely to be targets of adiponectin regulation.

As with leptin, *in vitro* studies have complemented and expanded knowledge of adiponectin's effects. For example, antiproliferative responses of various cancer cell lines following the addition of adiponectin have been reported. This includes prostate [93-94], endometrial, [95], gastric [96] and colon [94] cell lines as well as a number of studies using human breast cancer cell lines. With respect to breast cancer cell lines MDA-MB-231 cells have been shown to respond to the addition of adiponectin with reduced proliferation as well as increased apoptosis [97-99]. Further, MCF-7 human breast cancer cell lines also have reduced proliferation in response to adiponectin [100-101]. A similar study using MCF-7 cells confirmed the antiproliferative effect of adiponectin and its effects on enhancing apoptosis [102]. When several different breast cancer cell lines were included in the same publication it was reported that MCF-7, T47-D and SK-BR-3 cells had reduced proliferation in response to adiponectin while no effects were found for MDA-MB-231 and MDA-MB-361 cell lines [103]. However in a separate study when MDA-MB-231 and MDA-ER α 7 (MDA-MB-231 cell line transfected with ER α) cells were treated with either adiponectin or globular adiponectin and leptin there was a reduction in proliferation of MDA-MB-231 and MDA-ER α 7 cells as compared to cells treated with leptin alone [104] suggesting that adiponectin was able to block the proliferative effects of leptin in these cell lines. In addition it was reported that adiponectin blocked effects of IGF-I stimulated proliferation. The mechanism involved increased phosphorylation of AMPK α and decreased activated Akt. Adiponectin also increased intracellular levels of cAMP and the activity of protein kinase-A (PKA) [102]. Overall these findings demonstrate the potential for adiponectin to block the cell proliferation actions of multiple different types of growth factors that may be responsible for proliferation of breast cancer. However, there are still aspects of cell characteristics which may alter this response and remain to be determined.

Preclinical studies with various mouse models have also been used to evaluate adiponectin's impact on tumor development. Adiponectin knockout mice that were fed a choline deficient L-amino acid-defined diet to induce nonalcoholic steatohepatitis had increased tumor formation compared to wild-type mice [105]. Adiponectin knockout mice were also used to investigate the effects of the chemical carcinogen azoxymethane on colon carcinogenesis [106]. The knockout mice fed a high-fat diet had increased number of polyps, larger tumor size and reduced survival rate compared to wild-type mice. In a study using haploinsufficient MMTV-PyV-mT mice that had 50% lower serum adiponectin levels compared to wild-type mice both male and female haploinsufficient mice had shortened tumor latency and increased tumor weights compared to MMTV-PyV-mT mice [107]. However, the opposite results were obtained when mice lacked all adiponectin. For example, Denzel et al [108] crossed APN-KO mice which lack adiponectin with MMTV-PyV-mT transgenic mice and reported that the APN-KO/MMTV-PyV-mT mice had delayed

mammary tumor development and death compared to wild-type MMTV-PyV-mT mice. Further tumor growth was reduced and metastasis was lower. A second study using this model had similar results with respect to reduction in tumor growth [109]. It was also reported that angiogenesis was reduced in the knockout mice. These results suggest that adiponectin may have different roles in different tumors and/or that additional undefined genetic differences may impact the function of adiponectin in tumorigenesis.

Another approach to determine the role of adiponectin in tumorigenesis would be to treat animals with adiponectin and assess its impact on tumor development. However, due to the large amounts of adiponectin needed to attain physiological relevant levels this has only rarely been attempted. In one case APC^{Min/+} mice, a model for colon cancer, were treated with 1.5 mg/kg of adiponectin in PBS once a week from 6-15 weeks of age [110]. At 16 weeks of age, one week after the last injection, serum adiponectin levels were increased ~15% (not significant) in treated mice and the number of polyps and mean diameter of polyps were reduced. In a xenograft study using a gastric cancer cell line mice were treated with 5 or 50 µg adiponectin per mouse daily for ~3 weeks [96]. A slight but significant decrease in tumor growth was found for the lower adiponectin level and the higher level resulted in an 80% decrease in tumor volume. In a xenograft model of liver cancer adenoviral adiponectin treatment was used which resulted in a doubling of serum adiponectin levels [111]. Treated mice had reduced tumor volume and reduced rates of metastasis to the lung.

4. The Adiponectin Leptin Ratio

The fact that adiponectin is associated with reduced risk and leptin with increased risk of various cancers provides the perfect stage for a yin and yang situation. Interestingly, an increased leptin:adiponectin or conversely a reduced adiponectin:leptin ratio has been associated with the diagnosis of cancer. This has included several studies of breast cancer [74-75] although only Chen et al actually calculated the ratio based on individual measurements of the subjects. A reduced adiponectin:leptin ratio has also been associated with the diagnosis of postmenopausal endometrial cancer [112], and an inverse association of adiponectin with colorectal adenomas was found at the higher two tertiles of serum leptin [113].

In vitro studies have also addressed the issue of the ratio and/or the interaction of adiponectin and leptin and its effect on cancer related processes. For example, in breast cancer cell lines higher ratios of adiponectin:leptin are associated with reduced cell proliferation [104, 114]. Similar effects using prostate cancer cell lines have been reported [115-116]. Recently, adiponectin was shown to directly interfere with the oncogenic actions of leptins proliferative actions in human hepatic cancer cells [117].

Studies using preclinical animal models have further addressed the issue of the interactions of adiponectin and leptin on tumorigenesis. For example, mice fed a high fat diet (45% fat calories) had elevated serum leptin and lower adiponectin than did mice fed lower fat level AIN-93G diet and had a higher rate of spontaneous metastasis of the Lewis lung carcinoma than the low fat diet mice [118]. The actual ratio of adiponectin to leptin was not calculated

but using the means of the two adipokines there was almost a 5 fold reduction in the adiponectin:leptin ratio in the high-fat fed mice. In another study mice consumed 60% fat by calorie diet, and were injected with PAN02 cells. The heaviest mice were identified as overweight and found to have significantly larger tumor weights than low-fat fed mice [119]. The overweight mice also had significantly higher serum leptin levels with no effect on adiponectin levels with the resulting adiponectin:leptin ratio calculation lower in the overweight mice. It is unfortunate that the results for the high-fat diet mice that did not become overweight were not presented as this would address whether consumption of the high-fat diet and/or body weight was the deciding factor affecting tumor growth.

Several studies have examined the adiponectin:leptin ratio in association with mammary tumorigenesis. In mice with goldthioglucose-induced obesity there was no effect on serum adiponectin while leptin levels were increased significantly resulting in a substantial decrease in the adiponectin:leptin ratio however mammary tumor development from inoculation of T47-D cells was not affected [120]. Approaching this issue from a somewhat different perspective we asked "If a low adiponectin:leptin ratio is a factor in development and/or progression of cancer what is the potential role of an elevated adiponectin:leptin ratio in cancer prevention?" We have consistently found that intermittent calorie restriction leads to reduced mammary tumorigenesis in MMTV-TGF- α mice compared to ad libitum fed as well as chronic calorie restricted mice [121-124]. This intervention is characterized by three week periods of 50% calorie restriction followed by three week periods of refeeding. Although adiponectin levels were not impacted by either chronic or intermittent calorie restriction, periods of 50% calorie restriction were characterized by significant reductions in serum leptin levels as well as an increase in the adiponectin:leptin ratio [124-125]. We did not find that the ratio was related to the presence of tumors in individual mice but the remarkable and consistent reduction of tumor incidence in the mice subjected to intermittent calorie restriction was clearly associated with an elevated adiponectin:leptin ratio. The other interesting thing noticed was that when the adipokine values were followed over the course of the study from 10-82 weeks of age ad libitum fed mice had a substantial decrease in the adiponectin:leptin ratio but the intermittent restricted mice did not ⁶¹.

5. Conclusions

Adiponectin and leptin are both synthesized in adipose tissue and serum levels are modulated by body weight/fat and dietary factors, however body fatness appears to impact the levels of leptin and adiponectin in opposing manners. Leptin increases with increasing body fat while the levels of serum adiponectin decrease with increasing (Figure 1). This results in a higher adiponectin:leptin ratio in normal weight individuals as compared to overweight or obese individuals. *In vitro* studies suggest that STAT3, MAPK, PI3K/Akt and HER2/neu signaling in leptin-treated breast cancer cells are important in promoting cell survival and proliferation [43, 46, 126-128]. Conversely, adiponectin is able to block Akt and increase the activity of AMPK α and PKA resulting in increased apoptosis and decreased proliferation [102-103]. Recent studies of serum leptin levels indicate that it may have opposite indications for perimenopausal versus post menopausal breast cancer. Higher serum adiponectin levels are associated with lower breast cancer risk and/or reduced cancer cell proliferation regardless of menopausal status. The serum adiponectin:leptin ratio may be

the key to understanding the physiological effects of these two adipokines and initial investigations indicate that a high adiponectin to leptin ratio is indicative of a positive risk profile compared to a low adiponectin to leptin ratio although further study is needed to evaluate the role of the ratio in perimenopausal versus post menopausal breast cancer patients.

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Highlights

- ▶ Adipose tissue secretes factors capable of regulating tumorigenesis
- ▶ Leptin positively correlates with fatness and can be a growth factor for cancer
- ▶ Adiponectin negatively correlates with fatness and can inhibit the growth of cancer
- ▶ The balance of leptin and adiponectin may be a critical dictator of tumorigenesis.

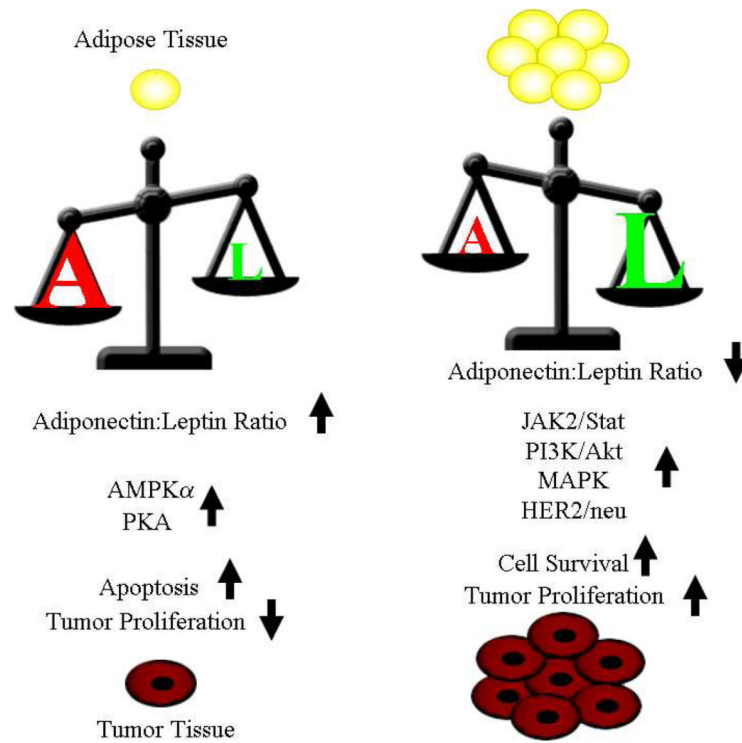


Figure 1.

Actions of high versus low ratios of adiponectin to leptin. The effects of a small amount of adipose tissue are illustrated on the left half of the figure. The effects of large amounts of adipose tissue are illustrated on the right half of the figure. Levels of adiponectin as shown as an A and amounts of leptin are shown as an L with the relative size of each letter and the position of the scale representing the effects of ratio of adiponectin to leptin.

Table 1

Study 1			Study 2		
Genotype	Number of mice	Mammary tumor positive	Genotype	Number of mice	Mammary tumor positive
TGF- α /Lep+Lep+lean	38	50%	TGF- α /Lepr+Lepr+lean	40	69%
TGF- α /Lep ^{ob} Lep ^{ob} obese	59	0%	TGF- α /Lepr ^{db} Lepr ^{db} obese	43	0%

Adapted from Cleary, M.P. et al. 2003 and Cleary, M.P. et al. 2004