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## A combined analytical solution for Chemical Exchange Saturation Transfer and semi-solid Magnetization Transfer

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### Abstract

Off-resonant radiofrequency irradiation in tissue indirectly lowers the water signal by saturation transfer processes: On the one hand, there are selective chemical exchange saturation transfer (CEST) effects originating from exchanging endogenous protons resonating a few ppm from water; on the other hand, there is the broad semi-solid magnetization transfer (MT) originating from immobile protons associated with the tissue matrix with kHz line-widths. Recently it was shown that endogenous CEST contrasts can be strongly affected by the MT background so that corrections are needed to derive accurate estimates of CEST effects. Herein we show that a full analytical solution of the underlying Bloch-McConnell equations for both MT and CEST provides insights into their interaction and suggests a simple means to isolate their effects. The presented analytical solution, based on the eigenspace solution of the Bloch-McConnell equations, extends previous treatments by allowing arbitrary line-shapes for the semi-solid MT effects and simultaneously describing multiple CEST pools in the presence of a large MT pool for arbitrary irradiation. The structure of the model indicates that semi-solid MT and CEST effects basically add up inversely in determining the steady-state Z-spectrum, as previously shown for direct saturation and CEST effects. Implications for existing previous CEST analyses in the presence of a semi-solid MT are studied and discussed. It turns out that to accurately quantify CEST contrast, a good reference Z-value, the observed longitudinal relaxation rate of water, and the semi-solid MT pool size fraction, must all be known.

### Introduction

There is increasing interest in chemical exchange saturation transfer contrast as several metabolites have been reported to be detectable by this approach *in vivo* (1), including amide protons in proteins (2, 3), creatine (4, 5), glutamate (6) and glucose (7, 8). However, the signal of both endogenous DIACEST agents and exogenous PARACEST agents (9) may be altered by concomitant effects from direct saturation and semi-solid magnetization transfer

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(MT) (1, 10, 11). Recently, it was shown that changes in underlying MT can affect CEST signals evaluated by asymmetry analysis, which makes a proper understanding of their non-linear interaction necessary (12–15).

Recent studies have allowed insight into the interaction between direct water saturation and CEST, and showed that the effects add up inversely (1, 12, 13). This inverse addition of CEST effects was also assumed for the interaction between CEST and semi-solid MT, but was not investigated in detail.

In this study, we show that semi-solid MT be described by the same formalism as CEST and be understood as a form of  $T_{1\rho}$ -decay. The major benefit of this approach (compared to numerical multi-pool simulations) is that the interactions of the effects become clear by inspection of the analytical formula. Herein we give a general formula for  $R_{1\rho}$  for systems including semi-solid MT with Gaussian, Lorentzian or Super-Lorentzian line-shapes as previously observed *in vivo*. This new approach describing semi-solid MT is in agreement with the well-known and validated steady-state solution of Henkelman et al. (16, 17), as well as with the  $R_{1\rho}$ -models valid for CEST (13, 18, 19); thus by putting previous approaches on a common ground, we give a unified analytical theory for semi-solid MT and CEST.

As a model for analysis, we used a three-pool-system similar to human muscle tissue: in muscle, the semi-solid MT has a pool size fraction of about 7% (20), and a dominant CEST effect from creatine has been reported (5). As the guanidinium protons of creatine are in the intermediate exchange regime at physiological conditions (21) efficient labelling requires high saturation power (1), which leads to a strong semi-solid MT contribution to the obtained Z-spectra. A three-pool-system like this is investigated by Bloch-McConnell simulation, as well as experimentally using creatine in cross-linked bovine serum albumin (BSA) as a phantom.

We show that the experimental data can be modeled accurately with the adjusted  $R_{1\rho}$ -model, which provides evidence for a simple but elegant result; namely, the steady-state Z-spectrum is basically given by the eigenvalue of the free system  $R_{1\text{obs}}$  divided by the eigenvalue of the irradiated system  $R_{1\rho}$ . The eigenvalue of the free system is exactly the observed decay rate in an inversion recovery experiment. Further, we show that the reduction in the CEST effect in the presence of MT can be understood by the fact that  $R_{1\rho}$  is a superposition of all rotating frame relaxation rates. This allows for the isolation of the CEST effect by determining the exchange-dependent relaxation rate ( $R_{\text{ex}}$ ), and therefore, the dilution by a semi-solid MT pool can be corrected. Implications for existing CEST correction techniques such as AREX (12) or iTIP (22) in the presence of semi-solid MT pool are studied and discussed.

## Theory

### Bloch McConnell equations for two and three pools

The dynamics of magnetization of a dipolar coupled spin-system during off-resonant irradiation can be described by the Bloch-McConnell (BM) equations (23) including chemically exchanging spins.

In the rotating frame of reference (x, y, z) defined by the frequency  $\omega_{rf}$  of the oscillating field  $B_1(t)$ , the BM equations for three pools, water (pool a), CEST (pool b) and a semi-solid pool (pool c) with the combined magnetization vector

$$\vec{M} = (M_{ax}, M_{ay}, M_{az}, M_{bx}, M_{by}, M_{bz}, M_{cz})^T \quad (1)$$

read (24)

$$\frac{d}{dt} \vec{M} = A \cdot \vec{M} + \vec{C} \quad (2)$$

where A is a 7×7 matrix as given in Appendix A. The constant vector C is given by the longitudinal relaxation rates and the equilibrium magnetizations  $M_0$ :

$$\vec{C} = (0, 0, R_{1a}M_{0a}, 0, 0, R_{1b}M_{0b}, R_{1c}M_{0c})^T \quad (3)$$

The matrix A and thus the whole equation system is characterized by the following parameters (see figure 1): The quantity  $\omega - \omega_a = \omega_{rf} - \omega_a$  is the radio frequency offset relative to the Larmor frequency  $\omega_a$  of pool a (for  $^1\text{H}$ :  $\omega_a/B_0 = \gamma = 267.5 \text{ rad}/\mu\text{T}$ s). The offset of pool b:  $\omega_b = \omega_{rf} - \omega_b = \omega - \delta_b \omega_a$ , is shifted by  $\delta_b$  relative to the abundant-spin resonance. Similarly, the offset of the MT pool is  $\omega_c$ . Longitudinal relaxation rates  $R_{1,a/b} = 1/T_{1,a/b}$  can be in the order of Hz, while transverse relaxation rates  $R_{2,a/b} = 1/T_{2,a/b}$  can be in the order of 10–100 Hz for *in vivo*  $^1\text{H}$  systems. For macromolecules and bound water  $R_{2c}$  can take values up to  $10^6$  Hz (20). The line-shape of the macromolecular pool, which is not always Lorentzian, can be incorporated in the Bloch-McConnell system by introducing the term  $R_{rfc}$ , which allows modeling of arbitrary MT line-shapes such as Lorentzian, Gaussian, and Super-Lorentzian line-shapes (see Appendix A). The population fraction  $f_b = M_{0b}/M_{0a}$  can be assumed to be  $< 1\%$ , hence  $k_{ab}$  is small compared to  $k_{ba}$ . In contrast, the pool size fraction of MT, namely  $f_c = M_{0c}/M_{0a}$ , can be as large as 19% for cartilage, but is in the range of several percent for most tissues (20). Similar to Desmond et al. (24) we neglect direct exchange between MT and CEST pools, which is plausible as long the pools are small compared to the water pool.

The formal solution of the Bloch-McConnell equation is given by

$$\vec{M} = \left( \vec{M}_0 + A^{-1} \vec{C} \right) \exp(A \cdot t_{sat}) - A^{-1} \vec{C} \quad (4)$$

This equation is effectively an algorithm due to the computationally complex matrix exponent, but is here and commonly used for numerical solution of the Bloch-McConnell equations (25).

### Eigenspace solution

The solution of the Bloch-McConnell equations for two pools, water pool a and rare pool b, predicts that the water z magnetization after long irradiation at the rf frequency offset  $\omega$ , normalized by the equilibrium magnetization ( $Z(\omega) = M_{z,sat}(\omega) / M_0$ ) is given by (13)

$$Z(\Delta\omega) = \frac{\cos^2\theta \cdot R_{1a}}{R_{1\rho}(\Delta\omega)}, \quad (5)$$

In the two pool case, the longitudinal relaxation in the rotating frame is given as a superposition (13)

$$R_{1\rho}(\Delta\omega) = R_{eff}(\Delta\omega) + R_{ex}^{cest}(\Delta\omega). \quad (6)$$

where the water relaxation in the rotating frame  $R_{eff}$  is given by (26)

$$R_{eff} = R_{1a} \cos^2\theta + R_{2a} \sin^2\theta. \quad (7)$$

with

$$\sin^2\theta = \frac{\omega_1^2}{\omega_1^2 + \Delta\omega^2}; \cos^2\theta = \frac{\Delta\omega^2}{\omega_1^2 + \Delta\omega^2}. \quad (8)$$

and the exchange dependent relaxation for a CEST pool is given by (13)

$$R_{ex}^{cest} = f_b k_{ba} \cdot \frac{\omega_1^2}{\omega_1^2 + k_{ba} (k_{ba} + R_{2b})}. \quad (9)$$

In previous work, this  $R_{ex}$ -formula for CEST was also used for MT (13, 15). However, this equation was derived using six coupled Bloch-McConnell equations that implicitly assume a Lorentzian line shape, which is not applicable to the semi-solid MT pool, and hence may give inaccurate results for MT. Instead, a new function  $R_{ex}^{mt}(\Delta\omega)$  has to be found. Here, we employ the eigenvalue calculation formalism (see Appendix A3), which was successful for CEST (13), to also derive a suitable eigenvalue for the 4×4 Bloch-McConnell equations used for MT. The algorithm of our previous work (13) applied to the 4×4 BM equations yields (see appendix A3):

$$R_{ex}^{mt}(\Delta\omega) = \frac{(\Delta\omega_a^2 + r_{2a}^2) \cdot (k_{ca} r_{1a} + r_{1c} (k_{ac} + r_{1a})) + \omega_1^2 \cdot r_{2a} \cdot (k_{ca} + r_{1c})}{(\Delta\omega_a^2 + r_{2a}^2) \cdot (k_{ac} + k_{ca} + r_{1a} + r_{1c}) + 2 \cdot r_{2a} \cdot (k_{ca} r_{1a} + r_{1c} (k_{ac} + r_{1a})) + \omega_1^2 \cdot (r_{2a} + k_{ca} + r_{1c})} \quad (10)$$

where  $r_{1a} = R_{1a} - R_{eff}$ ,  $r_{2a} = R_{2a} - R_{eff}$ , and  $r_{1c} = R_{1c} + R_{rfc} - R_{eff}$ . An implementation of this formula can be found online (27).

The hypothesis of this work is that all three pools –water, CEST and MT – can be described simultaneously, by superimposing their rotating frame relaxations:

$$R_{1\rho}(\Delta\omega) = R_{eff}(\Delta\omega) + R_{ex}^{mt}(\Delta\omega) + R_{ex}^{cest}(\Delta\omega) \quad (11)$$

, which is valid for  $f_b, f_c \ll 1$ .

## Influence of the MT pool on $R_1$

A semi-solid MT pool has also a strong contribution on the  $T_1$  recovery of water: the free eigenvalue is given by (16) (see also appendix A4)

$$R_{1obs} = \frac{1}{2} \left( k_{ac} + k_{ca} + R_{1a} + R_{1c} - \sqrt{(k_{ac} + k_{ca} + R_{1a} + R_{1c})^2 - 4(k_{ca}R_{1a} + k_{ac}R_{1c} + R_{1a}R_{1c})} \right) \quad (12)$$

$$\approx \frac{R_{1a} + f_c R_{1c}}{1 + f_c}$$

One can show that  $R_{1\rho}$  approaches  $R_{1obs}$  in the limit of far off-resonant irradiation ( $\omega_a \rightarrow \infty$ ) (see appendix A3). If we apply the same limit to the Z-spectrum formula (eq. (5)), the cosine term becomes 1, and, as the Z-value must also be 1,  $R_{1\rho}$  and  $R_{1a}$  should actually be equal. As this is not the case, the numerator of eq. (5) must be  $R_{1obs}$ , not  $R_{1a}$ .

The adjusted Z-spectrum in the case of CEST and a semi-solid MT pool is thus given by

$$Z^{ss}(\Delta\omega) = \frac{\cos^2\theta \cdot R_{1obs}}{R_{1\rho}} \quad (13)$$

With this steady state, the  $R_{1obs}$ -normalized  $R_{1\rho}$ -model is given by (13):

$$Z(\Delta\omega, t) = \left( \cos^2\theta \cdot Z_i - Z^{ss} \right) \cdot e^{-R_{1\rho} \cdot t} + Z^{ss} \quad (14)$$

where  $Z_i$  is the initial magnetization before saturation.

As derived in appendix A5, for large  $f_c$  a further term improves the solution:

$$R_{1\rho}(\Delta\omega) = R_{eff}(\Delta\omega) + R_{ex}^{mt}(\Delta\omega) + \frac{R_{ex}^{cest}(\Delta\omega)}{1 + f_c} \quad (15)$$

This term can be understood by the fact that  $R_{ex}^{cest}$  was calculated by the two-pool model, and was artificially incorporated in the 3-pool-model.

To verify our theory, calculated Z-spectra are compared to the numerical solution of the full Bloch-McConnell equations, described in the next section. In the case of an MT pool only, the steady-state Z-spectra were also compared to the previously validated formula of Henkelman et al. (16) which reads

$$Z^{ss} = \frac{R_{1b} \cdot k_{ac} + R_{1a} (R_{1c} + R_{rfc} + k_{ca})}{(R_{1a} + R_{rfa} + k_{ac}) (R_{1c} + R_{rfc} + k_{ca}) - k_{ac} k_{ca}} \quad (16)$$

## Methods

### Bloch-McConnell simulations

For simulations equation (4) was evaluated using the expm function of MATLAB (MATLAB version 8.2.0.701 Natick, Massachusetts: The MathWorks Inc., 2013) (25). Standard parameters were chosen similar to muscle tissue at 3T (20): water:  $T_{1a} = 1.412$  s,

$T_{2a}=50$  ms,  $\omega_a=0$  ppm; MT:  $T_{1c}=1$  s,  $T_{2c}=8.7$   $\mu$ s,  $f_c=7.4$  %,  $k_{ca}=66$  s<sup>-1</sup>,  $\omega_c=0$  ppm; For CEST a pool similar to creatine in muscle was assumed, based on the creatine phantom experiments at *in vivo* conditions of Goerke et al. (21):  $T_{1b}=1.412$  s,  $T_{2b}=15$  ms,  $f_b=0.14$  %,  $k_{ba}=1500$  s<sup>-1</sup>,  $\omega_b=1.9$  ppm. The static field  $B_0$  was 9.4 T, the standard irradiation was realized by a block pulse of RF amplitude  $B_1=2$   $\mu$ T and a pulse duration  $t_{sat}=10$  s. The analytic  $R_{1\rho}$ -model (eqs. (14,15)) was also implemented in MATLAB; the source code can be downloaded from the website <http://www.cest-sources.org> (27).

### Phantom preparation

Two 3-ml-phantoms were created and buffered using phosphate-based sodium-potassium buffer at pH=7.3. For both phantoms, a semi-solid MT pool was achieved by adding BSA, which was then cross-linked by adding 25 $\mu$ l/3ml glutaraldehyde. In one phantom, a CEST pool was realized by adding 50mM creatine to the solutions. Creatine has an exchanging guanidinium group ( $-NH_2$ ) resonating at approximately 1.9 ppm (21).

### NMR acquisition and evaluation

CEST images were acquired using a spin-echo echo-planar imaging using a triple reference reconstruction (14, 28). Imaging parameters were: FOV: 32 $\times$ 32, matrix: 64 $\times$ 64, slice thickness: 4 mm, TE = 30 ms. Both phantoms were in the same FOV. All further data refer to averaged pixel values within each phantom.

The pre-saturation of the CEST images was achieved by continuous wave irradiation of 7s. Seven different  $B_1$  amplitudes were used: 0.5 $\mu$ T, 0.75 $\mu$ T, 1 $\mu$ T, 1.5 $\mu$ T, 2 $\mu$ T, 3 $\mu$ T and 4 $\mu$ T. Frequency offsets were distributed with higher sampling around the CEST pool:  $\pm 1000$  Hz to  $\pm 600$  Hz in steps of 20 Hz. From  $\pm 600$  Hz to  $\pm 100$  Hz in steps of 100 Hz, from  $-40$ Hz to 40 Hz in steps of 20 Hz. Semi-solid MT was sampled using the following offsets in Hz:  $\pm 1100$ ,  $\pm 1200$ ,  $+1833$ ,  $+2637$ ,  $+3793$ ,  $+5456$ ,  $+7848$ ,  $+11288$ ,  $+16238$ ,  $+23357$ ,  $+33598$ ,  $+48329$ ,  $+69519$ . In additions, an unsaturated image  $M_0$  was acquired after saturation at 100 kHz.

$T_1$  maps were acquired using the same EPI readout after an inversion recovery pulse with 10 different inversion times.

## Results

### 2-pool-simulation – water and MT

In a first experiment the full-BM-simulation for a 2-pool system (water pool a and MT pool c) was compared with the naive  $R_{1\rho}$ -model of equation (5), and with the  $R_{1\rho}$ -model extended by  $R_{1obs}$  in the numerator, equation (13). The muscle-like pool system was altered in the parameters  $R_{1a}$ ,  $R_{1c}$  and the MT pool size fraction  $f_c$ , which have the strongest effect on  $R_{1obs}$ . It turns out that the simulated Z-spectra (Figure 2, dots) can be described much more accurately by the extended approach (eq. (13)) than by the naive approach (eq. (5)). The naive  $R_{1\rho}$ -model (Figure 2a-c) is valid only if  $R_{1a}\approx R_{1c}$  and if  $f_c$  is small. The  $R_{1obs}$ -normalized  $R_{1\rho}$ -model (Figure 2d-f), is able to describe variations in  $R_1$  and a MT

pool size fraction up to 19% which corresponds to the MT in cartilage. Thus in the following, the term “ $R_{1\rho}$ -model” refers to the extended approach (eq. (13)).

The  $R_{1\rho}$ -model is in agreement with the Henkelman model (eq. (16)) and the full-BM-simulation for different  $B_1$  (Figure 3a), different MT lineshapes (Figure 3b) and different MT pool size fractions  $f_c$  (Figure 3c). In contrast to the Henkelman model, the  $R_{1\rho}$ -model is also applicable for non-steady-state saturation (Figure 3d): Simulation and analytical solution match with good accuracy down to saturation times of  $t_{\text{sat}}=0.8s$  which corresponds to approximately  $0.5 \cdot T_{1a}$ . (For saturations less than 0.8s, and especially at smaller offsets, the magnetization dynamics are dictated by eigenvalues besides the smallest, which is outside the regime of validity for our approach (13). The Henkelman model is valid only in a steady-state which is nearly coincident with the solution at 10s of saturation (red line, Fig 3d).

## 2-pool-experiment – cross-linked BSA

Experimentally, the  $R_{1\rho}$ -model was validated by a multiple- $B_1$ -fit to Z-spectra data of a cross-linked BSA phantom. By providing  $R_{1\text{obs}}$  to the fit algorithm, a complete quantification is possible. The fit using the full Bloch-McConnell simulation (Figure 4a) and the fit using the  $R_{1\rho}$ -model (Figure 4b) show very similar outcomes and yield fit parameters which agree within their 95%-confidence intervals. Thus, the  $R_{1\rho}$ -model is sufficient to quantitatively describe our experimental data. Deviations from the data for both models might be due to a wrong lineshape. We tested Lorentzian, SuperLorentzian and Gaussian lineshapes, and the latter gave the smallest residuals. Residuals are very similar for both models, which indicates that the  $R_{1\rho}$ -model is as good as the full BM simulation.

## 3-pool-simulation – water, MT and CEST

The agreement of the two-pool system of water and semi-solid MT with full Bloch-McConnell simulations and previous approaches provides a solid basis for a three-pool-model of water, MT, and CEST. In a first step, we focus on changes in the MT by adding a CEST pool: the  $R_{1\rho}$ -model and the full-BM-simulation match also in the case of a three-pool-system for different saturation parameters (Figure 5a-b) and CEST-pool parameters (Figure 5c-d). By zooming into the spectral range of the CEST resonance (Figure 6) it can be seen: The description of the CEST effect is accurate for a variety of irradiation- and relaxation parameters, as well as CEST pool and MT pool parameters. The spillover and MT dilution effects (apparent for large  $B_1$  and  $f_c$ , respectively) is well described by the  $R_{1\rho}$ -model. Only for MT pool fractions ( $f_c$  larger than 10%) a deviation from the full-BM-simulation is observed. This deviation can actually be very nicely compensated by using the extended  $R_{1\rho}$ -model with the  $R_{1\rho}$  of eq. (15), improving the  $MTR_{\text{asym}}$  prediction for larger  $f_c$  (Figure 7 a,c). Application in the transient-state proves that the rate  $R_{1\rho}$  of eq. (15) is also beneficial in this case (Figure 7 b,d).

## 3-pool-experiment – cross-linked BSA and creatine

In a next step we compare the  $R_{1\rho}$ -model with the full Bloch-McConnell solution by fitting experimental data of a system of water, MT, and CEST which was realized by a cross-linked BSA phantom containing 50mM of creatine (figure 8). First of all, the determined MT pool



parameters with (figure 8) or without (figure 4) addition of creatine did not change within the confidence intervals. Secondly, the fit does not match to the data perfectly for the full-BM-simulation as well as for the  $R_{1\rho}$ -model. This might be due to the limitation of the three pool system, as in the phantom may exist more and less restricted creatine molecules within the cross-linked-BSA matrix; this might lead to a distribution of chemical exchange rates and relaxation parameters of the CEST pool. Another explanation could be direct exchange between MT and CEST pool which was neglected in the  $R_{1\rho}$ -model, as well as in the full-BM-simulation. Also, the creatine fraction seems to be too small in both cases. Nevertheless, the validation on the level of the BM solution can still be performed and shows that parameters obtained by the  $R_{1\rho}$ -model are very close to parameters obtained by fitting the data with the full-BM-simulation. Both parameter sets match within the 95%-confidence interval. Thus, the  $R_{1\rho}$ -model is able to quantitatively describe experimental three-pool data as well as the full-BM simulation, in the case of asymmetric populations, long enough saturation ( $\gg T_{2a}$ ), and no direct exchange between MT and CEST.

Given that MT and CEST can be described by the  $R_{1\rho}$ -model, this leads to the following conclusions:

- Our model should be able to fit to *in vivo* data with the same accuracy as a numerical BM-fit
- The interaction of water saturation, CEST and MT is given by the addition of their individual contributions to  $R_{1\rho}$  as expressed by eq. (15) .. Thus to isolate them from one another, e.g. isolating a CEST signal from water saturation and MT, we just need an estimate of their  $R_{1\rho}$  as a reference. In particular, it is not necessary to know the line-shape. Having  $R_{1obs}$ , estimation of the reference  $R_{1\rho,ref}$  is the estimation of the reference Z-value (as  $R_{1\rho,ref} \approx Z_{ref}/R_{1obs}$ ). Finding  $Z_{ref}$  is a common problem in CEST-MRI and can be solved e.g. by asymmetry analysis (29), three-point-methods(12, 14, 30), or Lorentzian fit approaches (11, 31, 32).
- As  $R_{1obs}$  is mainly affected by the large MT pool and less by the CEST pool of interest (see also Appendix A4), a relaxation compensation of CEST effects can be simply performed after measuring the  $R_{1obs}$  of water by a saturation/inversion recovery experiment.
- Finally, the spillover and  $T_1$  corrected CEST evaluation AREX (apparent exchange-dependent relaxation (12, 14), based on the inverse metric of the Z-spectrum, should also be valid for systems with semi-solid MT if  $R_{1a}$  is altered to  $R_{1obs}$ , and a term  $(1+f_c)$  is added in the AREX formula: Instead of

$$AREX = \left( \frac{1}{Z_{lab}} - \frac{1}{Z_{ref}} \right) \cdot R_{1a} \quad (17)$$

it should read:

$$AREX = \left( \frac{1}{Z_{lab}} - \frac{1}{Z_{ref}} \right) \cdot R_{1obs} \cdot (1+f_c) \quad (18)$$



To validate this last point, we performed a simulation of AREX scaled by  $R_{1a}$ , AREX scaled by  $R_{1obs}$ , as well as AREX scaled by  $R_{1obs} \cdot (1+f_c)$ . The parameters  $R_{1obs}$  and  $f_c$  can actually be measured by the selective inversion recovery sequence of Gochberg et al. (33-35). Figure 9 reveals that AREX as defined in eq. (18) yields the best estimate of  $R_{ex}$  in a steady-state and remains constant under changes of relaxation and MT pool parameters  $f_c$ ,  $k_{ca}$ ,  $R_{1a}$  and  $R_{1c}$ , and describes the corrected chemical exchange saturation transfer effect well in the slow-, intermediate- and fast exchange regimes.

## Discussion

Understanding saturation transfer experiments in a biophysical context requires understanding complex multi-pool interactions. In a saturation transfer experiment *in vivo*, first, the relaxation of the water pool has to be described; second, several exchanging or dipolar coupled pools are present; and third, the semi-solid pool of relatively immobile protons associated with the tissue matrix must be accounted. All these effects are already described by the Bloch-McConnell differential equations (36) and their multi-pool extensions (37). However, the Bloch-McConnell equations do not allow direct insight into how the isolated effects interact to affect the multi-pool Z-spectrum. Herein, we showed that this insight into the interaction of CEST and semi-solid MT can be obtained by a combined analytical solution based on relaxation in the rotating frame.

The overall Z-spectrum is in practice dominated by two eigenvalues: the smallest eigenvalue of the free system, which is  $R_{1obs}$ , and the eigenvalue of the irradiated system which is  $R_{1\rho}$ . The steady-state Z-spectrum is basically given by  $R_{1obs}$  divided by  $R_{1\rho}$ . Herein we gave a general formula for  $R_{1\rho}$  for systems including semi-solid MT with Gaussian, Lorentzian or Super-Lorentzian line-shapes previously observed *in vivo*. The outcome of the analytic fit with the  $R_{1\rho}$ -model compared to the full numeric fit model shows agreement of all parameters within the 95% confidence interval. In addition, this new approach agrees with the well-known and validated steady-state solution of Henkelman et al. (16, 17), as well as with the  $R_{1\rho}$ -models valid for CEST (13, 18, 19); thus by putting previous approaches on a common ground, we give a unified analytical theory for semi-solid MT and CEST able to describe multiple CEST pools in the presence of *in-vivo*-like MT (see figure 10). Our previous analytical approaches to describe CEST and MT were not able to quantify the MT properly, especially MT lineshapes other than Lorentzian, and large  $f_c$  values were not accounted (11, 13).

## MT modeling

Analytical solutions for transient- and steady-state Z-spectra for MT were previously given in the articles of Adler, Swanson and Yeung (38, 39); instead of modeling MT by the Bloch-McConnell equations, the dipolar coupled Bloch-Solomon equations were solved by a Laplace transformation or a projection operator technique. In addition, the Redfield-Provotorov equations (40, 41) were also used to describe MT, which are more suitable to a solid-like system and allow arbitrary MT line-shapes (42). The extension to Gaussian line-shapes for the semi-solid pool were incorporated here and showed to fit cross-linked BSA

data more accurately (42). Henkelman et al. showed that these line-shapes can actually be incorporated in the simpler Bloch-McConnell equation system for which an analytical solution for the steady-state magnetization was given (16, 43). Our approach is in that way similar to Henkelmans' and the results are in agreement in steady-state; beyond that, our approach extends Henkelmans' approach for application in the transient-state and shows that both transient- and steady-state are dictated by  $R_{1\rho}$ .

### CEST modeling

In the case of CEST, several approximate solutions of the Bloch-McConnell system for  $MTR_{\text{asym}}$  have been given by Zhou et al. (29) and Sun et al. (10, 44, 45). However, these solutions did not allow calculation of the whole Z-spectrum. A first analytic solution for the whole Z-spectrum was based on a probabilistic combination of single Z-spectra (11). This turns out to be a nice fitting model and also implicitly incorporates the inverse metric (1, 12), but it does not accurately describe a full Bloch-McConnell simulated Z-spectrum, especially for larger  $B_1$ . The first accurate solutions based on eigenspace analysis of the Bloch-McConnell system – or the similarity of off-resonant spin-lock and CEST – were given by Jin et al. (18, 19) and extended for arbitrary transverse relaxation by Zaiss et al. (1, 13, 46) and formed the basis of the presented  $R_{1\rho}$ -model. For  $f_c \rightarrow 0$  and  $f_b \ll 1$  our model is completely in agreement with the previous solution for CEST (13). The incorporation of larger transverse relaxations of the exchanging pool already allowed to simulate a semi-solid-like MT effect by the previous model (13), but this did not yield quantitative MT results and could not incorporate arbitrary MT line-shapes.

### Experimental outcome MT

The parameters obtained by the numerical fit for the proton fraction  $f_c = (7.9 \pm 0.4) \%$  agree well with other MT experiments employing 15% cross-linked BSA of  $f_c = (9.0 \pm 1) \%$  (34) and  $f_c = (8.5 \pm 0.4) \%$  (33). As well, the magnetization transfer exchange rate  $k_{ca} = (42.6 \pm 5.5) \text{ s}^{-1}$  is in agreement with the value measured at  $B_0 = 2 \text{ T}$  by Gochberg and Gore (33) of  $k_{ca} = (45 \pm 5) \text{ s}^{-1}$ . A Gaussian line-shape of the semi-solid MT was assumed, which is known to not match perfectly to the MT of cross-linked BSA (38, 39, 47). Still the  $T_{2c}$ -value of cross-linked-BSA agrees with  $15.5 \mu\text{s}$  well to values in the  $10 \mu\text{s}$  range reported previously (33). Altogether, the phantom is very near the in-vivo situation where MT parameters are (20):  $f_c = 5\text{--}10\%$ ,  $k_{ca} = 20\text{--}50 \text{ s}^{-1}$ ,  $T_{2c} = 10 \mu\text{s}$ . *In vivo*, the MT pool can be shifted to negative frequencies. This phenomenon might be better realized by egg-white phantoms (48) or lamellar liquid crystal approaches (49).

### Experimental outcome MT with CEST

MT parameters are very near the parameters without creatine, so the semi-solid MT seems to be almost unaffected by addition of creatine. However, CEST parameters are actually lower as expected. A control experiment of only creatine and water at  $\text{pH} = 7.3$  (data not shown) suggests that under the crosslinking process, the relative proton fraction drops from  $0.15\%$  to  $0.11 \%$  and the exchange rate from  $460 \text{ s}^{-1}$  to  $72 \text{ s}^{-1}$  while  $R_{2b}$  increases from  $20 \text{ s}^{-1}$  to  $55 \text{ s}^{-1}$ . The drop in concentration could be to reduced accessibility of the creatine protons if cross-linked BSA is apparent, or to non-specific binding effects. The transport of the

saturated protons within the water might also be decreased, which could be an explanation for both, lower exchange rate and lower proton fraction. In addition, the pH value is not easy to define in a semi-solid gel and it could have decreased due to addition of gluteraldehyde. It is also known that BSA buffers itself to pH ~7, in the cross-linked state it might lose this ability and the acidity of creatine might lower the pH-value. The drop in exchange rate would correspond to a pH drop from 7.3 to 6.53 according to the calibration given by Goerke et al. (21). The increased  $R_{2b}$  value indicates that the creatine molecules are more restricted in cross-linked BSA.

### Superposition of $R_{1p}$ and inverse metric

In a recent paper, Desmond and Stanisz (24) stated “Most importantly, the CEST/MT spectrum was not simply an addition of the CEST and MT spectra, indicating that the effects of each pool on the MR signal were not independent.” (with permission, from Desmond and Stanisz, (2012), *MRM*, 67: 979-990). The presented  $R_{1p}$ -model can now give an answer to the question of interaction of CEST and MT by inspection of the theory: (i) With a dominant semi-solid MT the apparent longitudinal relaxation rate  $R_{1obs}$  changes, (ii) saturation transfer effects in the steady-state Z-spectrum add up inversely, (iii) the CEST effect is additionally diluted by the factor  $(1+f_c)$ . Actually, the term  $(1+f_c)$  is not surprising as it can also be understood with Trott and Palmers original theory (26): in their paper  $R_{ex} = p_a \cdot f_b k_b$

with  $p_a = \frac{1}{1+f_b}$  in the case of two pools; for three pools this can be extended to

$p_a = \frac{1}{1+f_b+f_c}$  yielding the mentioned term if  $f_b \ll 1$ .

With that knowledge, we are able to isolate the exchange-dependent part by extending previous approaches. For the transient method iTIP of Jin et al. (22), which is able to directly measure  $R_{1p}$ , our results imply that the factor  $(1+f_c)$  has to be added after isolation of  $R_{ex}$ . For the steady-state method AREX (12) we showed that a factor of  $R_{1obs}(1+f_c)$  has to be used to normalize the inverse difference (Figure 9). Interestingly,  $R_{1obs}$  as well as  $f_c$  can be measured by the same sequence using the selective inversion recovery sequence published by Gochberg et al. (34). Finally, for correction of a CEST effect not all water and MT pool parameters have to be known, but just a good reference scan together with  $R_{1obs}$  and  $f_c$ . In particular, the MT line-shape is not so important.

In our previous studies of CEST in stroke (12) and glioma (14) already the observed  $R_1$  was used for normalization, which now turned out to be correct and not just an approximation. The term  $(1+f_c)$  was not included but will not change the general outcome of these studies, as the term does change the outcome only slightly.

### Compartment issues

The first question about AREX was, which  $R_1$  has to be used, the intrinsic water pool  $R_{1a}$  or the observed  $R_{1obs}$ , detectable as slow rate constant in an inversion recovery sequence, could be answered: if there is one compartment in a voxel,  $R_{1obs}$  is the better choice. But what happens if there is more than one water compartment, such as water in intra-cellular and extra-cellular space having different  $R_{1obs}$ ?

If these compartments are in exchange faster than  $T_{1\text{obs}}$ , an average  $R_{1\text{obs}}$  and  $R_{1\rho}$  would be observable and the presented theory and thus AREX would still be valid. AREX is also approximately valid if the extra-cellular partition is small, which might still be the case in healthy tissue: the compartmental fraction of extra-cellular ( $F$ ) compared to intra-cellular ( $1-F$ ) is about  $F=9-19\%$  for muscle tissue (50, 51) and  $F=19\pm 8\%$  for rat brain matter (52) (we use uppercase  $F$  here for compartment fractions, pool size fractions have a lower case  $f$ ). However, in tumors it could be higher or lower depending on the tumor type. If  $F$  is not negligible it should be possible to determine the individual  $R_{1\text{obs}}$  and fractions of the compartments by a multi-exponential fit of the recovery of the voxel signal, as done in lung perfusion imaging (53). With  $F$  and  $R_{1\text{obs, intra}}$  again AREX can be applied, and also be corrected from the dilution factor ( $1-F$ ). So, with a little more effort, AREX can also be applied for CEST evaluation in the case of multi-compartments.

We think that *in vivo* the case of an average  $R_{1\text{obs}}$  and  $R_{1\rho}$  is most probable since typical intracellular exchange lifetimes are  $\sim 550$  ms in brain tissue (52, 54), which is faster than typical observed  $T_1$  times in tissue. Also, a recent study of rodent glioma of Xu et al. (55) could not detect an additional significant slow  $R_1$  rate in GM, WM or tumor regions, indicating that either  $F$  is small or the compartments are in equilibrium. Then, the multi-compartment issue is not critical anymore for AREX or any CEST evaluation.

To experimentally find out if AREX improves CEST quantification *in vivo*, one could investigate CEST contrasts before and after gadolinium contrast agent injection, which should decrease the extra-cellular  $T_1$  significantly. Also, comparison of AREX at different static field strength, which also changes  $T_1$ , might give more insight into this issue.

## CONCLUSIONS

We have presented an analytical model describing Z-spectra of a water pool in the presence of a semi-solid MT pool and multiple CEST pools valid for transient- and steady-state saturation transfer experiments. The model extends  $R_{1\rho}$  descriptions of CEST for semi-solid MT, and agrees with the accepted Henkelman-MT-model. This general model allows to describe *in vivo* Z-spectra where large semi-solid MT effects overlap and dilute CEST signals, and in addition, the analytical form reveals how CEST effects have to be normalized to yield a pure exchange-weighted contrast within a semi-solid microenvironment.

Beyond these insights, the presented model has other benefits of an analytical solution such as fast calculation, possible symbolic optimization and simple implementation into Z-spectra fitting routines.

## ACKNOWLEDGEMENTS

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## APPENDIX

### A1. The case of water and CEST and MT

In the rotating frame of reference (x, y, z) defined by the frequency  $\omega_{rf}$  of the oscillating field  $B_1(t)$ , the BM equations for three pools, water (pool a), CEST (pool b) and a semi-solid pool (pool c) with the combined magnetization vector

$$\vec{M} = (M_{ax}, M_{ay}, M_{az}, M_{bx}, M_{by}, M_{bz}, M_{cz})^T \quad (A1)$$

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$$\frac{d}{dt} \vec{M} = A \cdot \vec{M} + \vec{C} \quad (A2)$$

where the  $7 \times 7$  matrix A is given by

$$A = \begin{pmatrix} -R_{2a} - k_{ab} & -\Delta\omega_i & 0 & +k_{ba} & 0 & 0 & 0 \\ +\Delta\omega_i & -R_{2a} - k_{ab} & +\omega_1 & 0 & +k_{ba} & 0 & 0 \\ 0 & -\omega_1 & -R_{1a} - k_{ac} - k_{ab} & 0 & 0 & +k_{ba} & +k_{ca} \\ +k_{ab} & 0 & 0 & -R_{2b} - k_{ba} & -\Delta\omega_i & 0 & 0 \\ 0 & +k_{ab} & 0 & +\Delta\omega_i & -R_{2b} - k_{ba} & +\omega_1 & 0 \\ 0 & 0 & +k_{ab} & 0 & -\omega_1 & -R_{1b} - k_{ba} & 0 \\ 0 & 0 & +k_{ac} & 0 & 0 & 0 & -R_{1c} - R_{rfc} - k_{ca} \end{pmatrix} \quad (A3)$$

In this equations system no direct exchange between MT and CEST is assumed. The matrix contains a new term  $R_{rfc}$  which takes into account the line-shape of the macromolecular pool

$$R_{rfc}(\Delta\omega) = \omega_1^2 \pi g_c(\Delta\omega). \quad (A4)$$

Different line shape functions  $g(\omega)$  were employed (16, 17): Lorentzian for liquid pools

$$g_c(\Delta\omega) = \frac{T_{2b}}{\pi [1 + (\Delta\omega \cdot T_{2c})^2]} \quad (A5)$$

or Gaussian for solid-like pools. For semi-solid structures in living tissue a super-Lorentzian lineshape defined by

$$g(2\pi\Delta) = \int_0^{\pi/2} d\theta \sin \theta \sqrt{\frac{2}{\pi} \frac{T_2}{|3 \cos^2 \theta - 1|}} \times \exp\left(-2 \left(\frac{2\pi\Delta T_2}{|3 \cos^2 \theta - 1|}\right)^2\right) \quad [8] \quad (A6)$$

The constant vector C is given by the longitudinal relaxation rates and the thermal magnetizations  $M_0$ :

$$\vec{C} = (0, 0, R_{1a} M_{0a}, 0, 0, R_{1b} M_{0b}, R_{1c} M_{0c})^T \quad (A7)$$

The quantity  $\omega = \omega_a \omega_{rf} - \omega_a$  is the radio frequency offset relative to the Larmor frequency  $\omega_a$  of pool a (for  $^1\text{H}$ :  $\omega_a/B_0 \gamma \approx 267.5 \text{ rad}/\mu\text{T}$ s). The offset of pool b:  $\omega_b \omega_{rf} - \omega_b = -\delta_b \omega_a$ , is shifted by  $\delta_b$  relative to the abundant-spin resonance. Analogous for  $\omega_c$ .

Longitudinal relaxation rates  $R_{1,a/b} = 1/T_{1,a/b}$  are in the order of Hz, while transverse relaxation rates  $R_{2,a/b} = 1/T_{2,a/b}$  are in the order of 10–100 Hz for *in vivo*  $^1\text{H}$  systems. For macromolecules and bound water  $R_{2c}$  can take values up to  $10^6$  Hz (20). The population fraction  $f_b$  can be assumed to be  $< 1\%$ , hence  $k_{ab}$  is small compared to  $k_{ba}$ . In contrast, for MT  $f_c$  can be as large as 19% for cartilage, but is in the range of several percent for most tissues (20).

## A2. In the case of water and CEST

$$\vec{M} = (M_{ax}, M_{ay}, M_{az}, M_{bx}, M_{by}, M_{bz})^T \quad (\text{A8})$$

$$A = \begin{pmatrix} -R_{2a} - k_{ab} & -\Delta\omega_i & 0 & +k_{ba} & 0 & 0 \\ +\Delta\omega_i & -R_{2a} - k_{ab} & +\omega_1 & 0 & +k_{ba} & 0 \\ 0 & -\omega_1 & -R_{1a} - k_{ab} & 0 & 0 & +k_{ba} \\ +k_{ab} & 0 & 0 & -R_{2b} - k_{ba} & -\Delta\omega_i & 0 \\ 0 & +k_{ab} & 0 & +\Delta\omega_i & -R_{2b} - k_{ba} & +\omega_1 \\ 0 & 0 & +k_{ab} & 0 & -\omega_1 & -R_{1b} - k_{ba} \end{pmatrix} \quad (\text{A9})$$

$$\vec{C} = (0, 0, R_{1a}M_{0a}, 0, 0, R_{1b}M_{0b})^T \quad (\text{A10})$$

The  $R_{1\rho}$ -model describing this system was given in refs. (13, 26, 46).

## A3. In the case of water and MT

$$\vec{M} = (M_{ax}, M_{ay}, M_{az}, M_{cz})^T \quad (\text{A11})$$

$$A = \begin{pmatrix} -R_{2a} & -\Delta\omega_a & 0 & 0 \\ +\Delta\omega_a & -R_{2a} & +\omega_1 & 0 \\ 0 & -\omega_1 & -R_{1a} - k_{ac} & +k_{ca} \\ 0 & 0 & +k_{ac} & -R_{1c} - R_{rfc} - k_{ca} \end{pmatrix} \quad (\text{A12})$$

$$\vec{C} = (0, 0, R_{1a}M_{0a}, R_{1c}M_{0c})^T \quad (\text{A13})$$

The fraction of the first coefficients of the characteristic polynomial of A yields an approximation of the smallest eigenvalue in modulus  $\lambda_1$ , if the eigenvalues are hierarchic (13):

$$\lambda_1 = -\frac{c_0}{c_1} \quad (\text{A14})$$

To increase the hierarchy the matrix A, and thus the accuracy of this approximation, A can be shifted by the unperturbed solution (13). The shifted matrix is given by

$$A' = A - \text{diag}(-R_{eff}) \begin{pmatrix} -R_{2a} + R_{eff} & -\Delta\omega_a & 0 & 0 \\ +\Delta\omega_a & -R_{2a} + R_{eff} & +\omega_1 & 0 \\ 0 & -\omega_1 & -R_{1a} + R_{eff} - k_{ac} & +k_{ca} \\ 0 & 0 & +k_{ac} & -R_{1c} + R_{eff} - R_{rfc} - k_{ca} \end{pmatrix} \quad (\text{A15})$$

With  $r_{1a} = R_{1a} - R_{eff}$ ,  $r_{2a} = R_{2a} - R_{eff}$ , and  $r_{1c} = R_{rfc} - R_{eff}$ , this simplifies to

$$A' = \begin{pmatrix} -r_{2a} & -\Delta\omega_a & 0 & 0 \\ +\Delta\omega_a & -r_{2a} & +\omega_1 & 0 \\ 0 & -\omega_1 & -r_{1a} - k_{ac} & +k_{ca} \\ 0 & 0 & +k_{ac} & -r_{1c} - k_{ca} \end{pmatrix}$$

Using equation (A14) for A' yields the eigenvalue of the shifted system  $R_{ex}^{mt}$  reading:

$$R_{ex}^{mt}(\Delta\omega) = \frac{(\Delta\omega_a^2 + r_{2a}^2) \cdot (k_{ca}r_{1a} + r_{1c}(k_{ac} + r_{1a})) + \omega_1^2 \cdot r_{2a} \cdot (k_{ca} + r_{1c})}{(\Delta\omega_a^2 + r_{2a}^2) \cdot (k_{ac} + k_{ca} + r_{1a} + r_{1c}) + 2 \cdot r_{2a} \cdot (k_{ca}r_{1a} + r_{1c}(k_{ac} + r_{1a})) + \omega_1^2 \cdot (r_{2a} + k_{ca} + r_{1c})} \quad (\text{A16})$$

The shift back yields the full relaxation in the rotating frame for water and MT which is

$$R_{1\rho}(\Delta\omega) = R_{eff}(\Delta\omega) + R_{ex}^{mt}(\Delta\omega).$$

In the limit of far off-resonant irradiation ( $\omega \rightarrow \infty$ ), and under the assumption  $k_{ca} \gg R_1$  the relaxation in the rotating frame becomes

$$\lim_{\Delta\omega \rightarrow \infty} (R_{1\rho}(\Delta\omega)) = R_{1a} + \frac{f_c(R_{1c} - R_{1a})}{1 + f_c + \frac{R_{1c} - R_{1a}}{k_{ca}}} \approx \frac{R_{1a} + f_c R_{1c}}{1 + f_c},$$

which is equal to the observed longitudinal relaxation of the free system described in the next section.

#### A4. The observed $R_1$ relaxation time $R_{1obs}$

Without irradiation the three pool system simplifies to

$$A = \begin{bmatrix} -R_{1a} - k_{ab} - k_{ac} & k_{ab} & k_{ac} \\ k_{ba} & -R_{1b} - k_{ba} & 0 \\ k_{ca} & 0 & -R_{1c} - k_{ca} \end{bmatrix}$$



The estimation of the smallest eigenvalue using equation (A14) yields  $R_{1obs}$ :

$$R_{1obs} = \frac{(k_{ba}k_{ca}(R_{1a}+f_bR_{1b})+k_{ba}(f_c k_{ca}+R_{1a}+f_bR_{1b})R_{1c}+R_{1b}(R_{1a}R_{1c}+k_{ca}(R_{1a}+f_cR_{1c})))}{(R_{1a}R_{1b}+(R_{1a}+R_{1b})R_{1c}+k_{ca}(R_{1a}+R_{1b}+f_cR_{1b}+f_cR_{1c})+k_{ba}((1+f_b+f_c)k_{ca}+R_{1a}+R_{1c}+f_b(R_{1b}+R_{1c})))}$$

Expanding the fraction by  $1/(k_{ba}k_{ca})$  and assuming  $R_{1x} \ll k_{xa}$  yields the approximation

$$R_{1obs} \approx \frac{R_{1a}+f_bR_{1b}+f_cR_{1c}}{1+f_b+f_c} \quad (A17)$$

Similarly the two pool system yields, in agreement with equation (12)

$$R_{1obs} \approx \frac{R_{1a}+f_cR_{1c}}{1+f_c} \quad (A18)$$

Obviously, the observed  $R_1$  relaxation rate is governed by water relaxation and the MT pool (pool c). The contribution of pool b can be neglected as CEST pools have a low relative concentration  $f_b < 1\%$ .

## A5. Combination of two 2-pool model to a 3-pool model

One can actually use equation (A14) to derive the eigenvalue for the 3-pool system. However this calculation leads to very complicated expressions. To check if the combined 2-pool solution matches with the 3-pool solution one can compare them in the far off-resonant irradiation limit ( $\omega \rightarrow \infty$ ), as well as under the assumption of full saturation  $\omega \rightarrow \infty$ . Thus, we use the  $7 \times 7$  matrix of equation (A3), shift it by the two-pool  $R_{1p}$  of water and MT (eq. (A16), use equation (A14) to get a 3-pool eigenvalue and do the following limit, which should yield the on-resonant  $R_{ex}$ :

$$R_{ex}^{cest} \Big|_{\Delta\omega_b=0} = \lim_{\frac{R_1}{k_{ca}} \rightarrow 0} \left( \lim_{\omega_1 \rightarrow \infty} \left( \lim_{\Delta\omega \rightarrow \infty} \left( R_{ex}^{3-pool}(\Delta\omega) \right) \right) \right) \Big|_{\Delta\omega_b=0} = \frac{f_b k_{ba}}{\frac{f_b k_{ba}}{k_{ca}} + 1 + f_c}$$

In *in vivo* situations we can assume that  $\frac{f_b k_{ba}}{k_{ca}}$  is much smaller than  $f_c$ , thus the approximation holds

$$R_{ex}^{cest} \Big|_{\Delta\omega_b=0} \approx \frac{f_b k_{ba}}{1 + f_c} \quad (A19)$$

In a 2-pool model this limit is given by (1, 13)

$$\lim_{\omega_1 \rightarrow \infty} \left( \lim_{\Delta\omega \rightarrow \infty} \left( R_{ex}^{2-pool}(\Delta\omega) \right) \right) \Big|_{\Delta\omega_b=0} = R_{ex}^{cest} \Big|_{\Delta\omega_b=0} = f_b k_{ba}$$

This means that the  $R_{ex}$  in the presence of an MT pool is additionally suppressed by a factor of  $1/(1+f_c)$ . We think that this must be incorporated similarly for all added pools, but as long

as  $f \ll 1$  holds, this is just a minor term and the extension is most important for the large MT pool.

## List of abbreviations

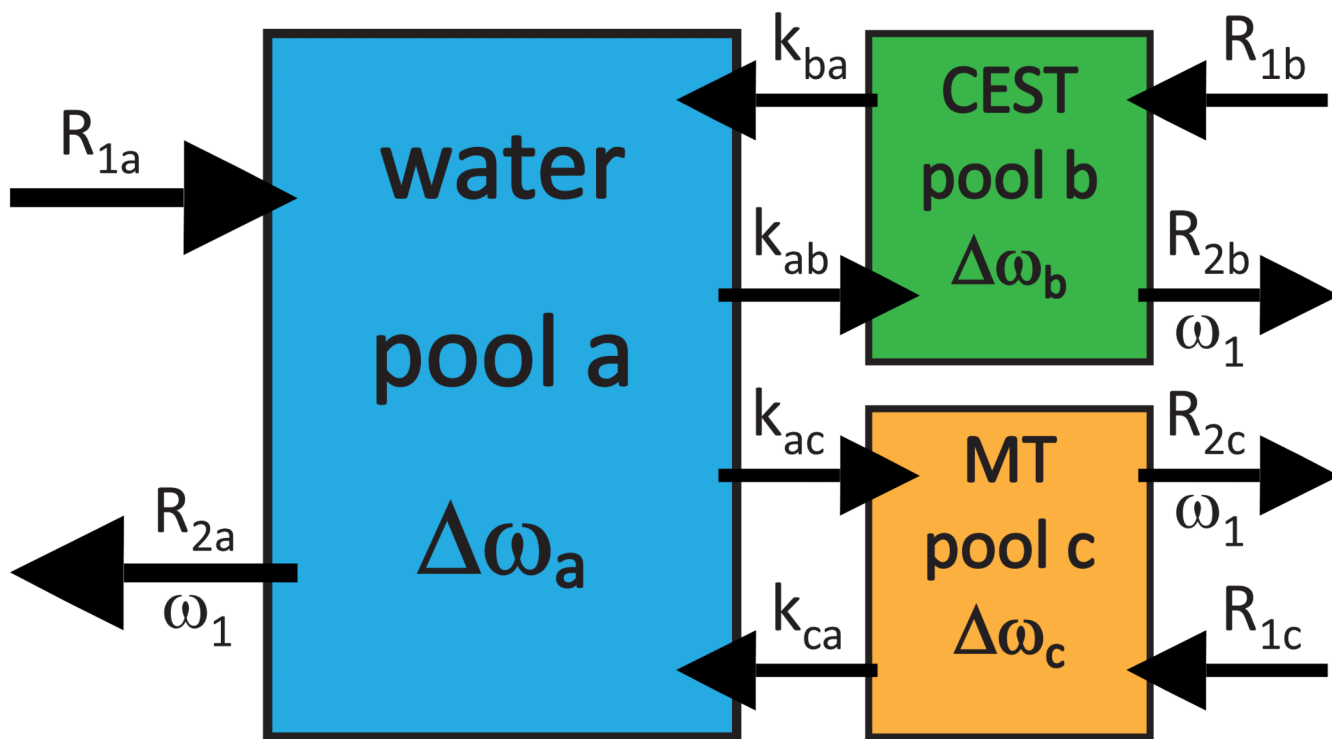
<b>AREX</b>	apparent exchange-dependent relaxation
<b>BM</b>	Bloch-McConnell
<b>BSA</b>	bovine serum albumin
<b>CEST</b>	chemical exchange saturation transfer
<b>DIACEST</b>	diamagnetic CEST agent
<b>MT</b>	magnetization transfer
<b>NOE</b>	Nuclear Overhauser Enhancement
<b>PARACEST</b>	paramagnetic CEST agent
<b><math>R_{\text{eff}}</math></b>	effective relaxation in the rotating frame, $R_{1\rho}$ of water
<b><math>R_{\text{ex}}</math></b>	exchange-dependent relaxation
<b><math>R_{1\rho}</math></b>	longitudinal relaxation in the rotating frame

## References

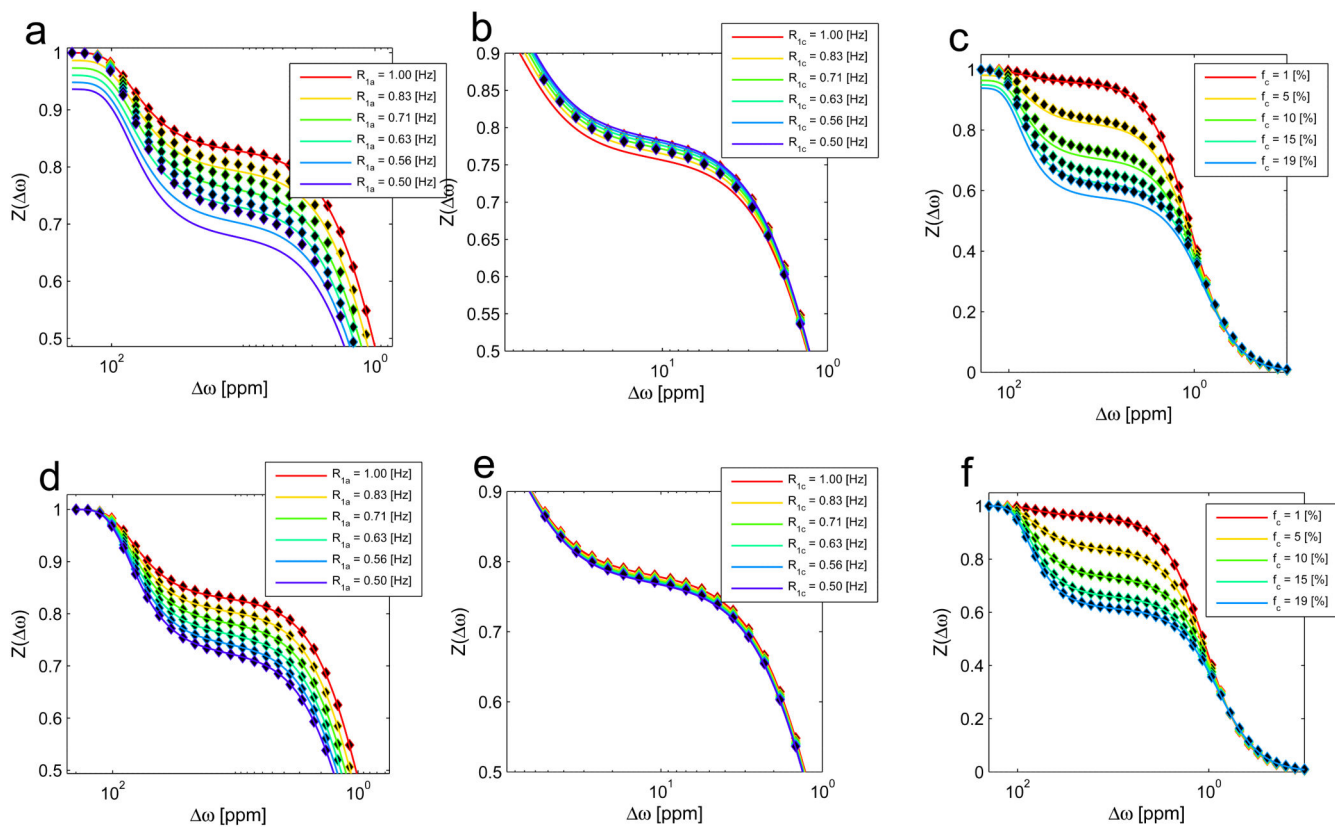
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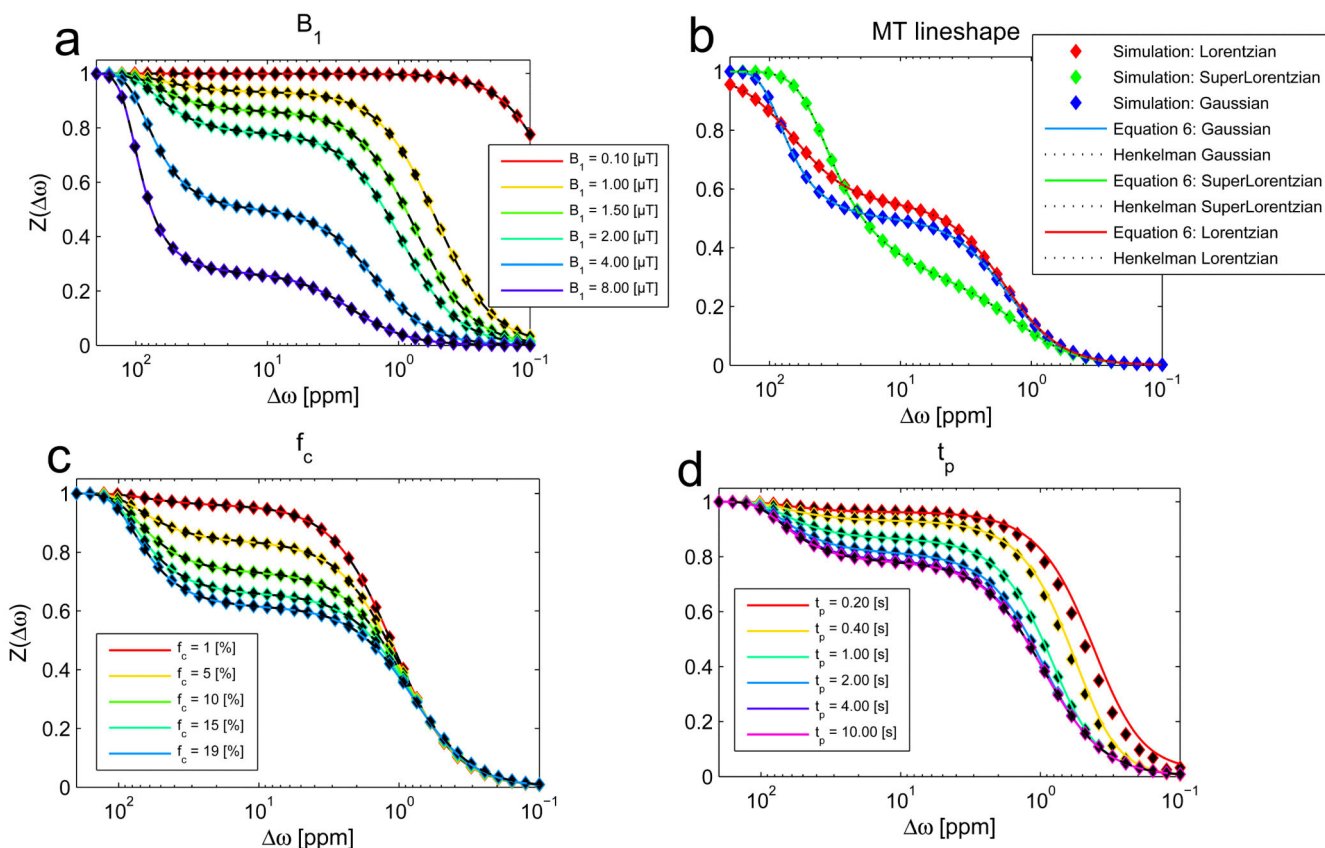
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**Figure 1.** 3-pool model of a water proton pool (pool a), one solute pool with exchanging site (CEST pool b), and the semi-solid MT pool of bound water (pool c). All pools recover magnetization by their longitudinal relaxation rate  $R_1$ , and loose magnetization by  $R_2$ , due to RF irradiation with amplitude  $B_1 = \omega_1 / \gamma$ . The exchange rate  $k_{ca}$  of the MT pool is typically in the range of several  $10 \text{ s}^{-1}$ .

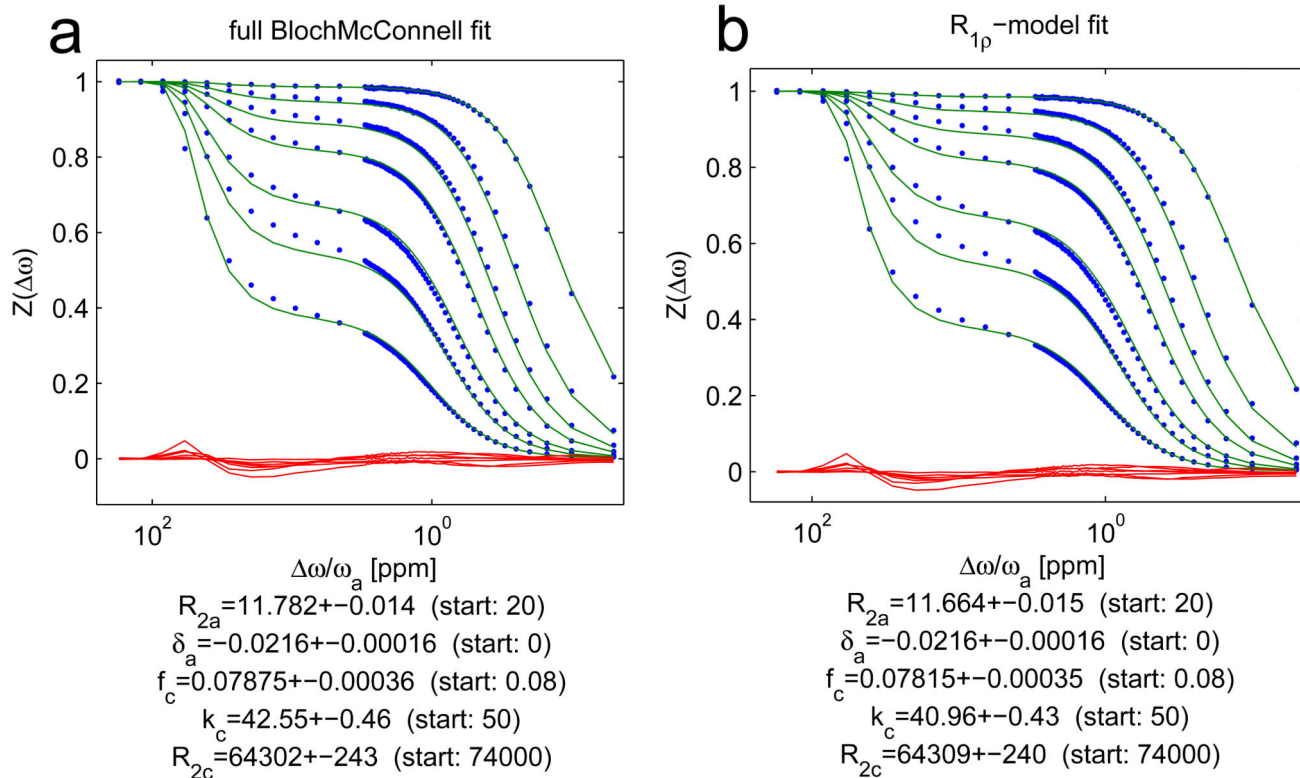


**Figure 2.** Influence of relaxation changes by the MT pool: Top row:  $R_{1a}$  in the enumerator (eq. (13)). Bottom row:  $R_{1obs}$  in the enumerator (eq. (5)). Obviously, if using the original theory with  $R_{1a}$  in the enumerator the theory does not fit the data especially it does not converge to 1 for far off-resonant offsets. If  $R_{1obs}$  is used in the enumerator, the theory fits the simulated  $Z$ -spectra much better. Thus  $R_{1obs}$  has to be chosen in the enumerator as given in equation (13).

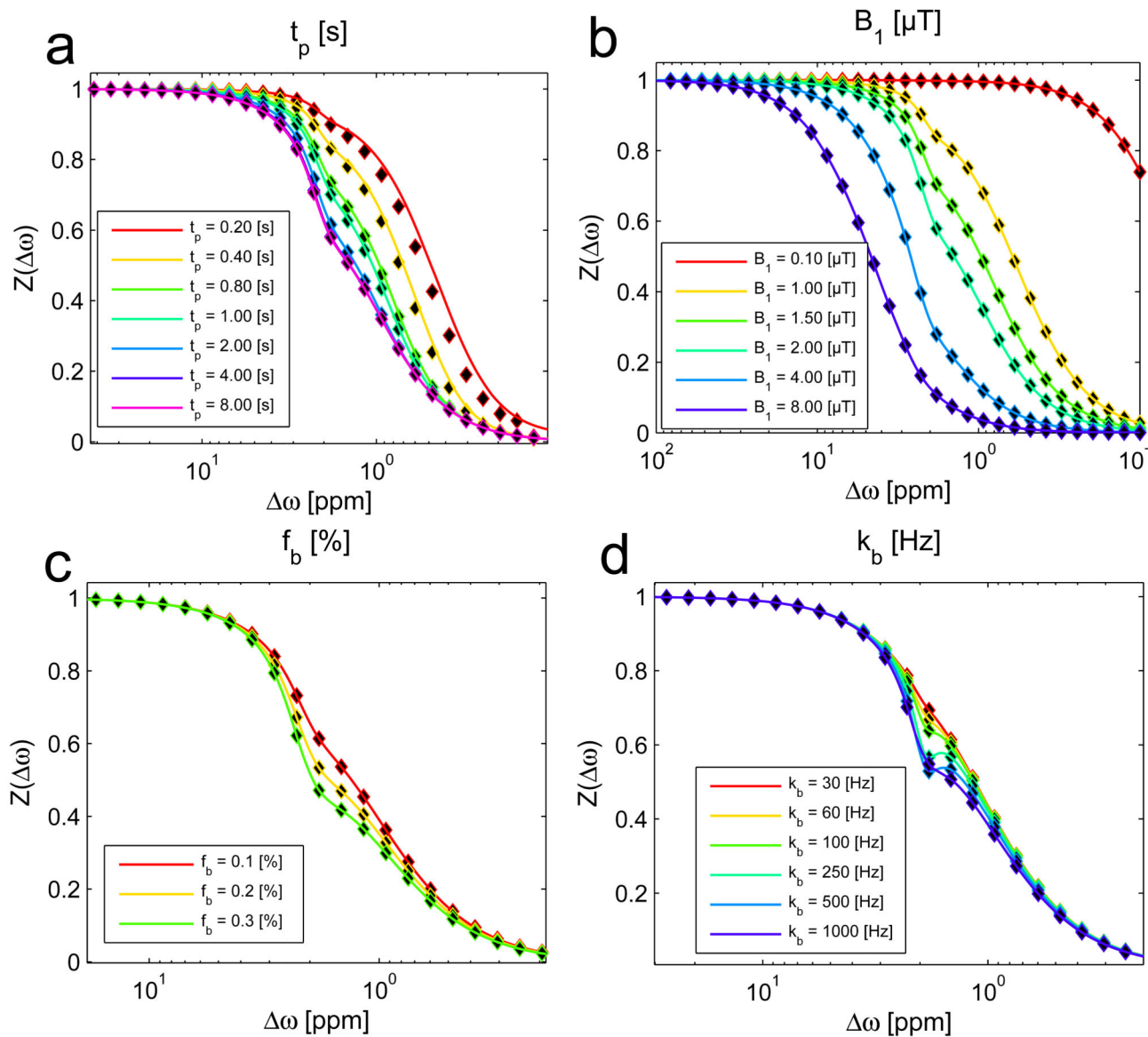


**Figure 3.** The  $R_{1\rho}$ -model (solid lines) matches the full numerical simulation (dots) as well as the Henkelman solution (dashed lines) for different  $B_1$  (a), different MT lineshapes (b) and different MT pool sizes up to 19% (c). In contrast to the Henkelman model, the  $R_{1\rho}$ -model is also able to describe non-steady-state irradiation (d): As other eigenvalues than  $R_{1\rho}$  become more important for short irradiation times, the  $R_{1\rho}$ -model deviates from the full simulation for saturation times much lower than  $T_1$ . For offsets relevant for CEST ( $>1$ ppm) the  $R_{1\rho}$ -model is accurate already after  $\sim 0.4$  s of irradiation.

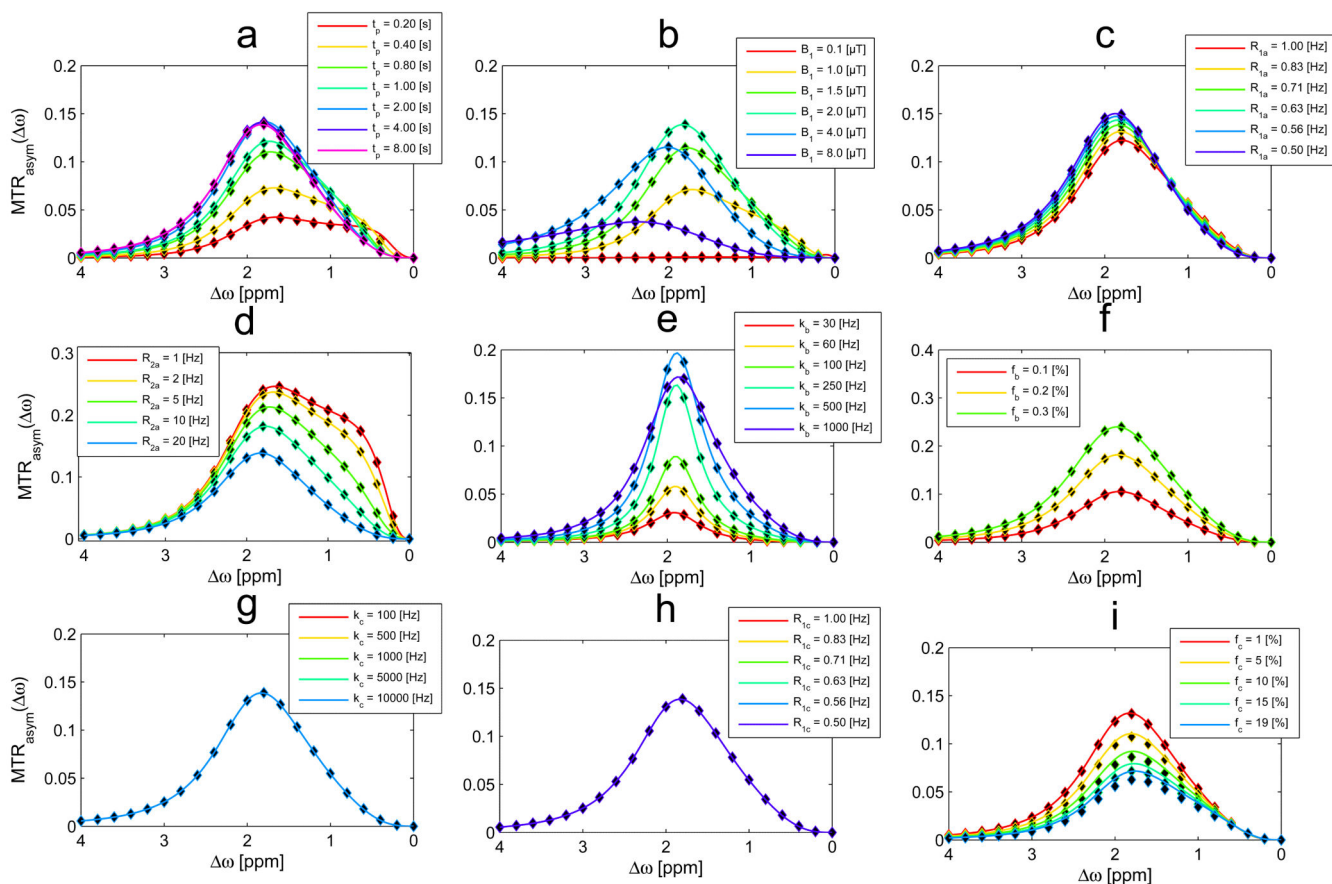




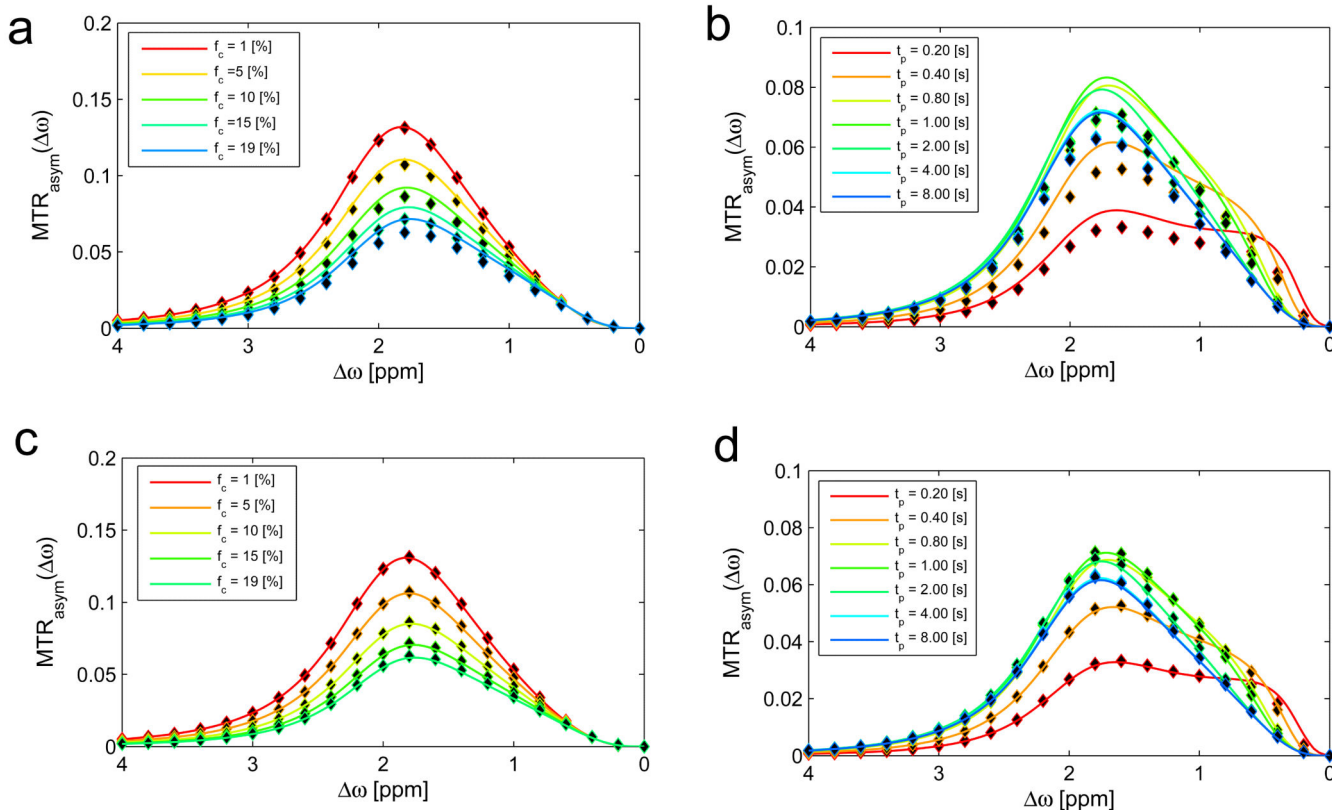
**Figure 4.** Experimental Z-spectrum data from the cross-linked BSA phantom (dots) together with the multiple- $B_1$ -fit using the full Bloch-McConnell simulation (a) and the fit using the  $R_{1p}$ -model of equation (13) (b): All fit parameters agree within two confident intervals.



**Figure 5.** Interaction of CEST and MT: The  $R_{1\rho}$ -model (solid lines) matches the full numerical simulation (dots) for different saturation times (a) after  $\sim 0.4$  s of irradiation, for different irradiation power  $B_1$  (b) and different CEST pool sizes (c), as well as for very different exchange rates of the CEST pool (d). For detailed view of CEST effects see the asymmetry plots in figure 6.

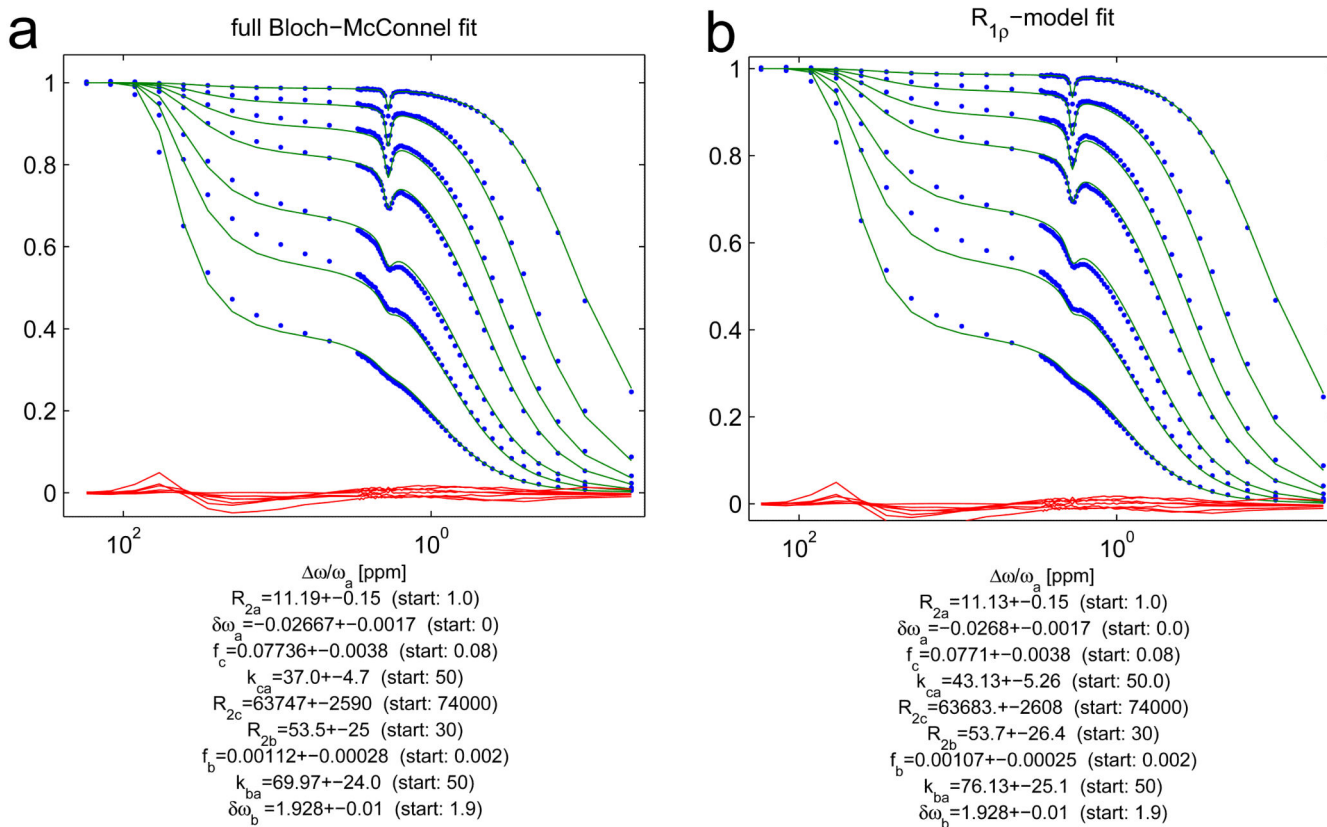


**Figure 6.** Interaction of CEST and MT: The asymmetry of Z-spectra calculated by the  $R_{1\rho}$ -model (eq. (10), solid lines) matches the outcome of the full BM simulation (dots) for different saturation times (a), for different irradiation power  $B_1$  (b) and different water relaxation (c,d), and different CEST pool parameters (e,f). Where the MT pool parameters  $R_{1c}$  and  $k_c$  (g,h) do not alter the CEST effect much, the MT pool size fraction  $f_c$  (i) dilutes the apparent CEST effect. This dilution is not described properly by the  $R_{1\rho}$ -model of eq. (10) (i).

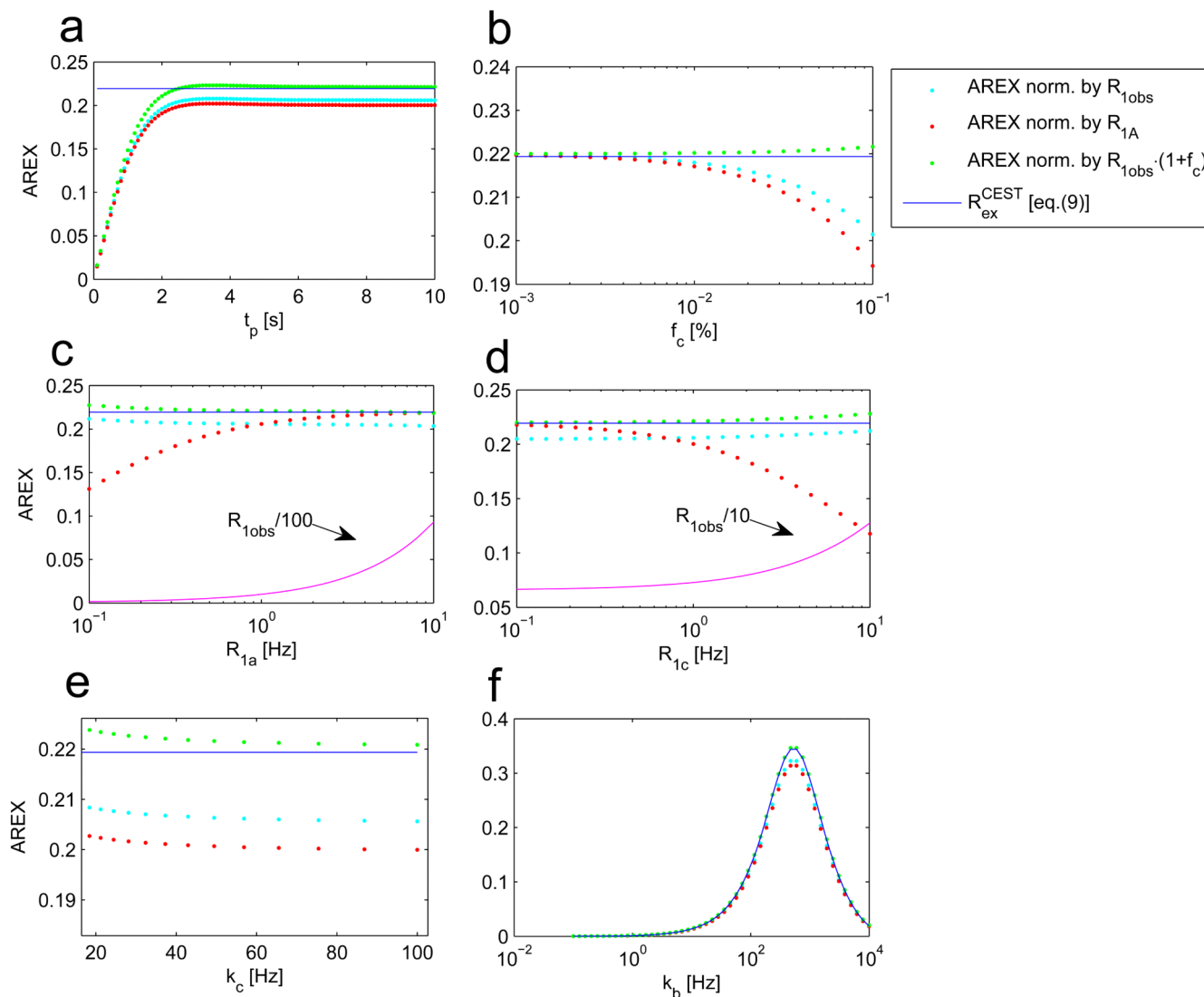


**Figure 7.**

Interaction of CEST and a large semisolid MT up to  $f_c=19\%$ . The asymmetry of Z-spectra calculated by the  $R_{1\rho}$ -model (eq. (11), solid lines) matches the outcome of the full BM simulation (dots) only for small  $f_c$  (a). Figure (b) reveals that the CEST effect with an MT pool of 19% can also not be modeled by eq. (11) for different saturation times. Figure (c) and (d) show the same plots now with the  $R_{1\rho}$ -model using equation (15): The additional term describes the dilution of the CEST effect properly. The transient-state plots (b) and (d) indicate that the added term has to be a factor of  $R_{ex}$  and not of  $R_{obs}$ , which is dominant in the steady-state.

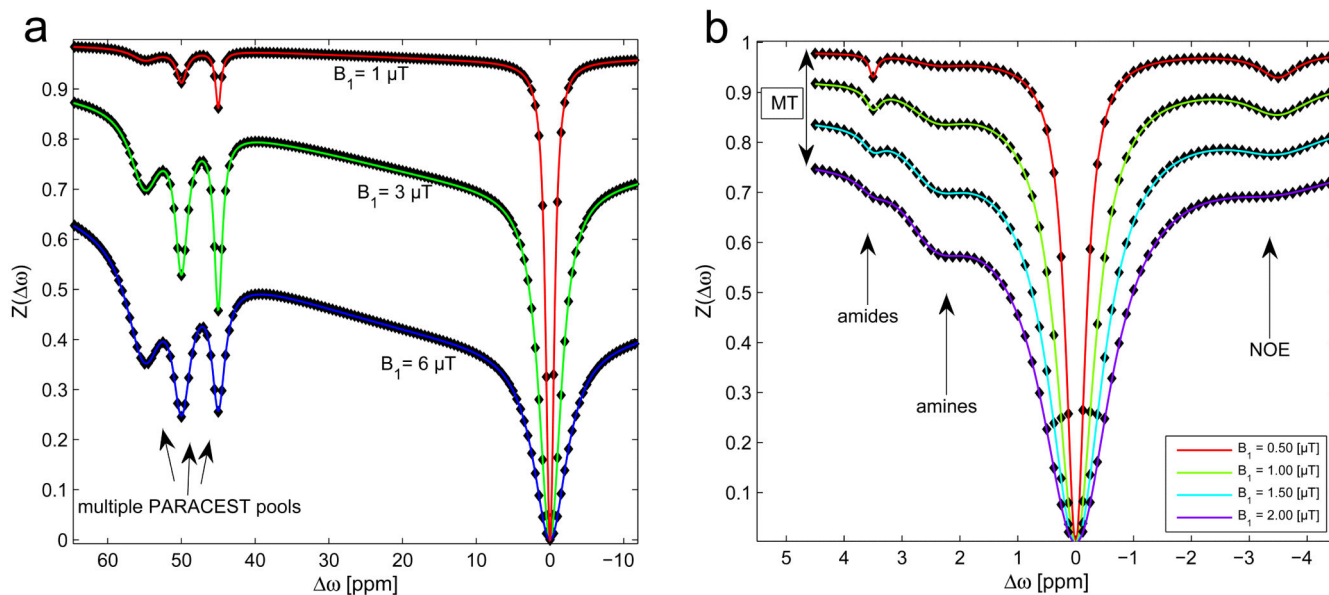


**Figure 8.** Interaction of CEST and MT in experiment. Experimental Z-spectrum data from the phantom with creatine-cross-linked-BSA (dots) together with the multiple- $B_1$ -fit using the full-BM-simulation (a), using the fit using the  $R_{1\rho}$ -model of equation (15): The parameters obtained by the models agree within two confident intervals. The analytical  $R_{1\rho}$  model (b) seem to yield a higher exchange rate but a lower creatine concentration.



**Figure 9.** AREX evaluation of creatine CEST normalized with  $R_{1a}$  (red dots) and normalized by  $R_{1obs}$  (cyan dots) and normalized by  $R_{1obs}(1+f_c)$  (green dots). As expected the AREX metric requires steady-state to be able to yield  $R_{ex}$  (a). For all variations (b-f), the AREX normalized by  $R_{1obs}(1+f_c)$  (eq. (18)) seems to be less sensitive on changes of the individual pools and their relaxations and yields a robust estimation of the theoretical exchange-dependent relaxation  $R_{ex}$  (blue solid line).





**Figure 10.**

(a) Simulation of Z-spectra of multiple PARACEST agents in a muscle-like water/MT system at 9.4T for different  $B_1$  (1,3,6 $\mu\text{T}$ ) after 2 s of irradiation. Simulation (dots) and analytical solution (lines) match also in the case of large MT contribution at  $B_1=6\mu\text{T}$ . The PARACEST pools were assumed to have the same  $R_1=0.7$  Hz, and  $R_2=30.30$  Hz, and fractions  $f=0.2\%$ , with exchange rates of 1000Hz at 45ppm, 2000 Hz at 50 and 5000Hz at 55ppm. (b) Simulation of Z-spectra of endogenous CEST effects apparent in white brain matter with a shifted semi-solid MT (at  $-2.6$ ppm,  $f_c=13.9\%$ ) at 7T for different  $B_1$  after 10 s of irradiation. The used NOE concentration was 2.39 %. Amide and amine concentration was set to 0.2%.  $k_{\text{amide}} = 30$  Hz,  $k_{\text{amine}} = 1500$  Hz and  $k_{\text{NOE}}=20$  Hz.