### Acute-phase protein $\alpha_1$ -anti-trypsin: diverting injurious innate and adaptive immune responses from non-authentic threats

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### Summary

One would assume that the anti-inflammatory activity of  $\alpha$ 1-anti-trypsin (AAT) is the result of inhibiting neutrophil enzymes. However, AAT exhibits tolerogenic activities that are difficult to explain by serine-protease inhibition or by reduced inflammatory parameters. Targets outside the serineprotease family have been identified, supporting the notion that elastase inhibition, the only functional factory release criteria for clinical-grade AAT, is over-emphasized. Non-obvious developments in the understanding of AAT biology disqualify it from being a straightforward anti-inflammatory agent: AAT does not block dendritic cell activities, nor does it promote viral and tumour susceptibilities, stunt B lymphocyte responses or render treated patients susceptible to infections; accordingly, outcomes of elevated AAT do not overlap those attained by immunosuppression. Aside from the acutephase response, AAT rises during the third trimester of pregnancy and also in advanced age. At the molecular level, AAT docks onto cholesterol-rich lipid-rafts and circulating lipid particles, directly binds interleukin (IL)-8, ADAM metallopeptidase domain 17 (ADAM17) and danger-associated molecular pattern (DAMP) molecules, and its activity is lost to smoke, high glucose levels and bacterial proteases, introducing a novel entity - 'relative AAT deficiency'. Unlike immunosuppression, AAT appears to help the immune system to distinguish between desired responses against authentic threats, and unwanted responses fuelled by a positive feedback loop perpetuated by, and at the expense of, inflamed injured innocent bystander cells. With a remarkable clinical safety record, AAT treatment is currently tested in clinical trials for its potential benefit in a variety of categorically distinct pathologies that share at least one common driving force: cell injury.

Keywords: acute-phase proteins, diabetes, transplantation

#### Introduction

From its name, one would assume that the activity of  $\alpha$ 1-anti-trypsin (AAT) is primarily biochemical and that its anti-inflammatory actions are chiefly the result of inhibiting inflammatory neutrophil enzymes: elastase, cathepsin G and proteinase-3 (PR-3). Indeed, individuals with genetic AAT-deficiency lack control over inflammatory mediators such as interleukin (IL)-1β, IL-6, tumour necrosis factor (TNF)- $\alpha$  and IL-8 [1] and exhibit elevated rates of various types of vasculitis [2,3]; accordingly, direct introduction of AAT to human peripheral blood mononuclear cells

(PBMCs) reduces inflammatory responses [4]. Thus, patients with AAT-deficiency are treated with lifelong slowdrip weekly infusions of plasma-derived affinity-purified human AAT.

During the past decade, studies have reported tolerogenic activities that are difficult to explain by serine-protease inhibition or by reduced inflammatory parameters. In addition, targets outside the serine-protease family have been identified, and evidence for protease-independent activities have accumulated, further supporting the notion that the contribution of elastase inhibition, the only functional factory release criteria for clinical-grade human AAT, is

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#### Table 1. Major immune responses under AAT therapy.

Disease model	Treatment route	Outcome	Immune cells	Cell-specific outcomes	Ref.
Experimental autoimmune encephalomyelitis (EAE)	Transgenic hAAT	Increased survival, improved disease markers	T cells T <sub>regs</sub>	Reduced CNS infiltration Expanded in spleen, blood and lymph nodes	[5]
Islet transplantation	Exogenous, transgenic hAAT or <i>in-vivo</i> transfection with hAAT plasmid	Improved islet survival, development of tolerance towards islet allografts	T <sub>regs</sub>	Expanded in blood and at graft site	[6–9]
Collagen-induced arthritis (CIA)	Exogenous hAAT or <i>in-vivo</i> transfection with hAAT plasmid	Delayed onset and ameliorated disease development	B cells	Reduced serum levels of autoimmune antibodies	[10]
Skin transplantation	Exogenous hAAT	Not followed	DC	Turn semi-mature with low CD40 expression, intact inducible CCR7 and intact migration to lymph nodes	[8]
GVHD	Exogenous AAT	Increased survival	$T_{regs}$ , T cells	Increased T <sub>reg</sub> proportion and reduced T effector cells	[11]
Autoimmune diabetes (NOD mice)	Exogenous hAAT	Increased survival, reduced disease markers	$\beta$ cells, Tcells	Reduced β cell apoptosis, reduced T cell infiltration to the pancreatic islets	[12,13]
Crohn's disease (SAMP-1 mice)	Exogenous hAAT	Improved disease markers	T cells, B cells	Reduced inflammation associated colon damage. Reduced lymphocyte infiltration	[14]
Cancer (B16 melanoma model)	Exogenous hAAT	Intact anti-cancer cell responses	NK cells	Intact NK cell degranulation and cancer cell killing	[15]
Antigen vaccination	Exogenous or transgenic hAAT	Not followed	B cells	Reduced B cell proliferation and antigen-specific IgG, elevated antigen-specific IgM	[16]

 $AAT = \alpha 1$ -anti-trypsin; hAAT = human AAT; NK = natural killer; Ig = immunoglobulin; SAMP-1 = senescence accelerated mouse prone 1; NOD = non-obese diabetic; GVHD = graft-*versus*-host disease; CNS = central nervous system;  $T_{regs} =$  regulatory T cells.

over-emphasized. In the present review, the aspect of functions independent of elastase inhibition will be discussed at length.

With these non-obvious developments in the understanding of AAT biology, it has become imperative to establish a comprehensive appreciation of the effect of AAT on the immune system, molecule-by-molecule, cell-by-cell, pathway-by-pathway.

The current search for AAT's immune system 'footprint' leans heavily towards deciphering the mechanism of its immune tolerance activity. Although a naturally occurring anti-inflammatory molecule, the blockade of inflammation is not sufficient to explain its effects on specific immune cells and immune pathways (Table 1). AAT does not block dendritic cell (DC) activities but, rather, diverts DCs towards a tolerogenic profile; it does not promote viral and tumour susceptibilities, suggesting that essential NK cell responses remain intact; AAT does not stunt B lymphocyte responses but rather limits antibody isotype switching; it does not render treated patients susceptible to bacterial infections and has bacterial burden-reducing properties, without killing bacteria in culture dishes. AAT not only inhibits inflammatory cytokine release, but also promotes greater levels of inflammation-driven anti-inflammatory agents. Thus, outcomes of elevated AAT levels do not overlap with those attained by anti-inflammatory agents, immunosuppression or even by serine-protease inhibition.

Instead, AAT appears to allow responses to pathogenic threats while restraining bystander cell injury and its damaging potential to evoke self-recognizing adaptive immune responses. In this way, AAT holds its own category as a tolerogenic immune modulator that may promote the distinction between authentic and non-authentic threats.

# AAT and the acute-phase response: downplaying bystander cell injury

To best grasp the intriguing profile of an AAT-augmented immune system, one could begin with dissecting the hallmark environment of the principal condition, the acutephase response, that incorporates physiological excess in circulating AAT.



Necrotic cells

Viable inflamed non-functioning cells (penumbra)

**Fig. 1.** The penumbra effect illustrated. The outcome of an injured section of tissue separates into the immediate centre of cell death and a surrounding perimeter of viable cells that are stunted due to the spread of inflammation, as depicted in a blocked coronary artery (left), stroke (middle) and pancreatic islet injury (right). Blue = necrotic area; orange = viable stunted cells.

AAT is released into the circulation by liver hepatocytes in a steady-state manner, reaching circulating levels that are second only to albumin. AAT is also released in an inducible manner upon activating its inflammation-, cortisol- and hypoxia-responsive promoter. Inducible plasma levels of AAT are four- to sixfold higher than its steady-state levels, and persist for more than a week in accordance with the dynamic of each underlying trigger, be it infection, tissue injury or, interestingly, the third trimester of pregnancy and advanced age [17].

However, even during the acute-phase response, while rising AAT levels allow effective immune responses towards authentic threats, bystander cells appear to be protected. For example, in three distinct models of sterile tissue injury, one can observe protection of stress-inflicted non-functioning cells that reside in the so-called penumbra area, i.e. around the point of immediate pathological impact (as illustrated in Fig. 1). Thus, AAT allows cardiomyocyte function outside heart tissue that died due to a blocked coronary artery [18], as well as nerves outside a site that died due to cerebral artery blockade [19,20] and insulin-producing  $\beta$  cells directly adjacent to  $\beta$  cells that expired due to an immune attack or to toxin-related damage [6,7,12,21,22].

One of the functions of AAT is straightforward protease inhibition. Protease/anti-protease imbalance has, in general, deleterious effects, as recently established in autoimmune diabetes [23]. In one scenario, serine-proteases activate IL-1 precursor molecules that leak out of necrotic cells [24]. In another, protease-activated receptors (PARs) induce inflammation even prior to measurable cytokine release; agonists of PAR2, for example, induce neutrophil infiltration and compromise the epithelial barrier [25].

Protease inhibition holds another aspect in the biology of AAT: it is the most efficient method of terminating the activity of AAT. In contrast, oxidation of AAT is reversible under reducing conditions, such as serum. This might be part of the reason behind the observation that an AAT recombinant protein that lacks elastase inhibition capacity is several-fold more potent than native AAT in blocking inflammation [26]. That said, gene therapy techniques have established that minute circulating native AAT levels that are too low to shift serum anti-elastase homeostasis can protect from inflammatory spread, suggesting that noninhibitory AAT may still contain protective activities [7,27,28].

Some activities of AAT appear to extend beyond serineprotease inhibition. AAT inhibits caspase-3 and matrix metallopeptidase 9 (MMP-9), both belonging to separate protease families, resulting in anti-apoptotic outcomes under various conditions and in various cell types [29–31]. AAT also inhibits neutrophil calpain I, with direct implications on neutrophil behaviour [32] and, to a lesser extent, caspase-1 [18]. The entry of AAT into cells is described later in the present review.

How can a serine-protease inhibitor inhibit other proteases? It appears that AAT binds to, rather than is cleaved by, several proteases, most typically those that are membrane-associated. For example, the inhibition of membrane-associated ADAM metallopeptidase domain 17 (ADAM17) by AAT is independent of elastase inhibition by AAT [26,33]. The conservation of membrane-associated TNF- $\alpha$  may be considered superior to other anti-TNF- $\alpha$ approaches, in which the TNF- $\alpha$  pathway is broadly blocked. As ADAM17 also inhibits CD154 release, AAT may interfere with some aspects of the CD40 pathway, which are described below with respect to B lymphocyte responses [34].

Functions that are unrelated to protease inhibition mainly involve direct binding partners, which do not necessitate the protease-binding site of AAT. AAT disrupts neutrophil migration by binding to IL-8 [33] and, accordingly, AAT-deficient individuals present with excessive lung neutrophils [35]. In contrast, patients with cystic fibrosis (CF) that are treated with inhaled AAT exhibit reduced numbers of lung neutrophils and a lower degree of inflammatory markers [36]. AAT also binds to retinoic acid [37], potentially interfering with inflammatory disorders [38]. AAT was shown recently to bind to both TNF- $\alpha$  receptors, albeit at a low affinity, suggesting that it may become more relevant upon up-regulation of AAT levels, such that occur during an acute-phase response [39]. The binding of AAT to gp96 and HSP70 has been described recently [40,41], and suggests a role in blunting immune responses to adjuvantlike danger-associated molecular pattern (DAMP) molecules, as illustrated in Fig. 2. The notion that human AAT (hAAT) might interact with circulating damage molecules emerged from the fact that we harbour somewhat high concentrations of circulating hAAT under normal conditions, and more so during acute-phase responses. That our bodies constantly contain injured and dying cells is a wellestablished clinical occurrence; we routinely measure liver enzymes, which arrive from injured hepatocytes in the

Fig. 2. Mechanisms illustrated are selected experimentally supported concepts. (1) Inhibition of serine-proteases interferes with activation of immune-related protease-activated receptors (PARs); (2) direct binding to interleukin (IL)-8 interferes with neutrophil recruitment; (3) inhibition of ADAM metallopeptidase domain 17 (ADAM17) interferes with release of tumour necrosis factor (TNF)- $\alpha$ ; (4) binding to TNF receptors (TNFR) at high concentrations interferes with TNF-α-induced pathways; (5) up-regulation of IL-10 release by multiple cell types exerts an anti-inflammatory environment, and joins α1-anti-trypsin (AAT) in (6) up-regulation of IL-1Ra, which will interfere with the IL-1 pathway. (7) Release of pro-IL-1 $\beta$  from necrotic cells results in active IL-1ß upon engagement with serine-proteases, the targets of AAT. (8) Necrotic cells contribute potent adjuvants to the immune system; gp96 chaperones antigens and then binds to CD91, which promotes their processing and loading on major histocompatibility complex (MHC) class I [42]. AAT was shown to both (8) bind to gp96, thus neutralizing its inflammatory activity [40], and to (9) CD91 [43].



absence of an apparent disease; we measure circulating lactate dehydrogenase (LDH) and free DNA that originate from a vast array of injured cells; and we measure elevated heart muscle enzymes during an acute ischaemic cardiac event in a time-dependent manner. Conversely, protective circulating molecules and cell populations are not measured routinely and we assume that homeostasis is being kept by immune-regulatory cells, anti-inflammatory mediators, inhibitory ligands, receptors and anti-proteases, such as serine protease inhibitors (SERPINs).

Aside from inhibiting inflammatory cytokine release, AAT increases IL-1Ra and IL-10 expression, although only when an underlying inflammatory trigger is present [44]. A negative feedback loop between IL-1-induced AAT and AAT-driven IL-1Ra is thus formed. Interestingly, the IL-1Ra promoter requires the binding of the nuclear factor kappa B (NF-KB) family member p65 [45]. Thus, one might find reports of AAT blocking NF-KB nuclear translocation to conflict with its ability to induce IL-1Ra [46]. However, Abecassis et al. have recently reported a unique p65 cellular distribution pattern and possible covalent modifications in the presence of AAT, such that allow inflammation-driven p65-mediated IL-1Ra induction without consequent NF-KB-related inflammatory gene activation [47]. IL-10 induction by AAT shares similarities with IL-1Ra induction by AAT in that, typically, a resting cell would be unresponsive to added AAT. IL-10 induction is consistent with a rise in cyclic adenosine monophosphate (cAMP) levels by AAT [48,49]. IL-10 will then also induce IL-1Ra production [50].

The systemic rise in AAT levels during the acute-phase response highlights its significance as an angiogenesis and wound-healing modulator, and agrees with reports of antiviral and bacterial burden-reducing activities that are very much sought-after during a pathogen-related systemic inflammatory flare. Thus, it is not unexpected that sterile immune responses are highly responsive to AAT therapy, including lung [51] and renal [52] ischaemia–reperfusion injury-related responses. Interestingly, both lung and renal epithelial cells produce AAT during inflammatory conditions [53].

The systemic rise in AAT levels during the third trimester of pregnancy highlights its significance as a tolerogenic agent. Pregnancy complications correlate with either nonrising or non-functional AAT levels, including premature rupture of membranes (suggestive of compromised tissue integrity) and spontaneous abortions (associated with immune intolerance) [54–57]. Thus, while serum AAT may present as normal in these patients, it might not be the desired protective levels expected during the third trimester. Such 'relative' deficiencies appear in two more important conditions: diabetes (AAT is neutralized by glycation) and bacterial sepsis (AAT is cleaved by bacterial proteases) [58].

### AAT and neutrophils: selective functional blockade favours bacterial clearance and lessens tissue injury

Neutrophils are crucial in clearing bacterial and fungal infections both in the circulation and upon rapid extravasation. As stimulated neutrophils release serine-proteases, venular basement membranes that contain matrix protein low-expression regions act as serine-protease-sensitive exit points for neutrophils [59]. Indeed, AAT reduces neutrophil chemotaxis across multiple stimuli [60,61]. Unexpectedly, a recombinant AAT that lacks the ability to inhibit elastase is also able to reduce neutrophil infiltration [60]. With these attributes it might be predicted that AAT paralyzes neutrophils, yet this appears not to be the case.

AAT becomes inactive upon direct engagement with neutrophil-derived serine-proteases, as well as neutrophil metalloproteases [62,63] and collagenases [64]. AAT is neutralized further by oxygen radicals [65]. Thus, interstitial AAT is inactive at the very centre of a site of neutrophil activity, but inhibitory at its perimeter. AAT may thus preserve responses directed at pathogens and promote an environment of tissue-friendly neutrophils.

None the less, this does not explain bacterial load reduction in AAT studies, a particularly perplexing observation considering the phenotype of neutrophils in its presence. It appears that AAT prevents host protein cleavage targets from bacterial protease degradation: membrane complement receptor 1 (on neutrophils), C3b (on opsonized bacteria) [66,67] and CXCR1, an IL-8 receptor [68]. In addition, AAT carries anti-bacterial amounts of nitric oxide once its single-surface cysteine residue is nitrosylated (illustrated in Fig. 3), as occurs when AAT passes through a nitric oxide-rich environment [69]. Lastly, an unexpected observation suggests that AAT exerts an initial narrow inflammatory surge [70], a phenomenon suggested to be CD14-dependent; indeed, anti-CD14 antibody reduces lipopolysaccharide (LPS)-stimulated AAT-related early cytokine release [71].

### AAT modifies macrophage and DC phenotypes towards a tolerogenic profile

AAT docks onto monocytic cholesterol-rich lipid-rafts [72]. In fact, it is interesting to note that circulating AAT is detected bound to LDL and high-density lipoprotein (HDL) particles [73,74]. This phenomenon may provide an initial unifying paradigm for the observed effects of AAT on immune cells, according to which lipid-raft-related activities would be inhibited by AAT while lipid-raft-independent pathways are left intact [7,8]. For example, macrophage and DC lipid-rafts are home to Toll-like receptor (TLR)-2 and TLR-4, both down-regulated by AAT [71]. Accordingly, AAT reduces LPS-induced cytokine and nitric oxide release, as well as LPS-induced lethality *in vivo* [46,75].



**Fig. 3.** *In-silico* depiction of the single surface cysteine residue within the sequence of  $\alpha$ 1-anti-trypsin (AAT). Orange = wire-diagram of the protein-sequence with secondary structures highlighted in yellow and red, and the protease-binding domain in purple. Non-exposed amino acids that are positioned under the surface of the molecule are represented by white beads. Green = cysteine at position 232.

Interestingly, macrophages and neutrophils express AAT [76,77]. Macrophages employ a macrophage-specific promoter, located approximately 2 Kb upstream of the hepatocyte-specific promoter. Activation of the two promoters is mutually exclusive; the macrophage promoter is silent in hepatocytes and the hepatocyte promoter is silent in macrophages. Conversely, neutrophils release stored AAT. The implications of macrophage- and neutrophil-derived AAT are under investigation.

AAT has a consistent effect on DCs, as it has on macrophages, with a single interesting exception: inflammation-driven cell migration. DC migration is not inhibited by AAT. Ozeri *et al.* reported that stimulated DCs express lower levels of CD40, CD86 and major histocompatibility complex (MHC) class II in the presence of AAT, produce more IL-10 and promote the expansion of CD4<sup>+</sup> forkhead box protein 3 (FoxP3<sup>+</sup>) T cells [8]. However, as DCs must reach the draining lymph node in order to skew an alloantigen-responsive T cell towards a regulatory T cell (T<sub>reg</sub>), Ozeri *et al.* were concerned that AAT might interfere with inflammation-driven DC migration. However, their study shows that AAT-treated stimulated DCs maintain migratory capacity, rapidly reaching lymph nodes while

containing elevated levels of IL-10 and apparently communicating an IL-10-expressing phenotype to local DCs. This phenomenon was corroborated by the finding of uninterrupted inducible CCR7 levels on the surface of DCs, such that allow their migration towards CCL19/21-rich draining lymph nodes. Together with vascular endothelial growth factor (VEGF), CCR7 represents an inflammation-driven molecule that is inducible, despite the predominating antiinflammatory conditions exerted by AAT [78].

Another aspect of monocyte immunomodulation arose from one of the recent autoimmune diabetes clinical trials that tested the outcomes of AAT therapy in recent-onset autoimmune diabetes patients [79]. AAT was infused once weekly for 8 consecutive weeks and glycaemic parameters were followed for 18 months. According to the study, almost half the participants displayed greater insulin production compared to their individual entry levels, although a placebo-controlled study is required in order to support these outcomes. None the less, the beneficial effect of AAT in specific patients correlated with less inflammatory circulating myeloid cells. This is a highly intriguing aspect of AAT therapy, as the changes appeared only 9 months after the AAT infusions, separating its vastly reported immediate islet-protective attributes [80] from unrelated immune responses. Specifically, this particular time-point agrees with the concept that bone marrow myeloid cells employ PR-3 to complete one of several differentiation stages [81]. PR-3 is a membrane-associated elastin degrading protease that is also known to be the antigen recognized by cytoplasmic anti-neutrophil cytoplasmic autoantibodies (c-ANCA); it is readily inhibited by AAT [82]. Circulating c-ANCA levels correlate with neutrophil activation in Wegener's disease (WG); interestingly, AAT-deficient WG patients exhibit a significantly more aggressive form of vasculitis compared to other WG patients [83]. It has been suggested that a blockade in PR-3 may also affect the differentiation of other myeloid cells, with implications concerning the aggressiveness of subsequent circulating myeloid cells [81]. The influence of AAT on the bone marrow cell profile is discussed further in a specific section in the present review.

# AAT and B lymphocytes: imposing a divergence of activation pathways

Information on AAT and B lymphocytes is scarce. Hadzic *et al.* show that tonsillar B lymphocytes stimulated with *Moraxella catarrhalis* exhibit reduced proliferation rates and diminished IL-6 release [84]. AAT might thus be considered to be an inhibitor of B lymphocyte responses; however, this is not the case.

Mizrahi *et al.* have recently dissected a spectrum of B lymphocyte responses in the presence of AAT [16]. According to their findings, AAT selectively reduces B lymphocyte activation-related properties, such as proliferation and activation marker expression following stimulation with both T

cell-dependent and -independent antigens *in vitro*, as well as in an allogeneic skin transplantation model *in vivo*. Unexpectedly, antigen-specific antibody production remained intact in the presence of elevated AAT levels, yet the profile achieved displayed an abrupt reciprocal change in antibody isotypes, resulting in an IgM-high/IgG-low profile. Indeed, isotype switching is tightly regulated by CD40, a pathway readily inhibited by AAT in these and other studies.

The authors also establish that AAT expands IL-10producing B lymphocytes, a regulatory cell population considered to play a role in alloantigen tolerance [85]. In addition, their study shows that these cells are required for AAT to expand  $T_{regs}$  [16]. Although further studies are required, B lymphocytes appear to be important targets of AAT, especially in light of their involvement in the pathogenesis of autoimmune diseases and allograft rejection.

# AAT and NK cell functions: one of the few stones left unturned

While natural killer (NK) cells partake in the immune response to viral infections and to tumour cells, they also appear to play a role in the pathogenesis of autoimmune diabetes and in the response to allogeneic transplants [53,86,87]. Together with the positive outcomes of AAT treatment in both these unwanted immune processes, NK cells represent a potential cellular target of AAT. Guttman *et al.* have recently established an effect of AAT on DCs that may influence NK cell activation indirectly [15]. Importantly, while direct NK cell activation remained intact in the presence of AAT, DC-derived IL-15-related NK cell responses were altered; indeed, the group described that DCs presented less IL-15 in the presence of AAT.

As NK cells are of an innate nature, one would suspect that AAT would interfere with NK cell activities, yet the rates of tumour incidences are actually lower in AATtreated individuals [88–91]. Evidence for anti-viral and anti-tumour effects of AAT are consistent across research models [92–94] and AAT-treated deficient individuals exemplify this upon comparison with AAT-untreateddeficient patients [95,96]. Thus, it is hypothesized that the effect of AAT on NK cells is suppressive when the targets are healthy cells and the immune signal is primarily antigen-presenting cell (APC)-mediated, and is permissive upon encounter with viral-infected or transformed cells.

# AAT and viral infections: merging anti-inflammatory and anti-viral properties

In an *in-vitro* study performed on primary Rhesus monkey kidney cells, AAT inhibited H1N1 influenza virus cell infection; in mice, upon infection with the virus, AAT provided lower mortality rates, as well as a significant decrease in



**Fig. 4**. *In-silico* depiction of the HIV inhibiting peptide within the sequence of  $\alpha$ 1-anti-trypsin (AAT). Orange = wire-diagram of the protein sequence with secondary structures highlighted in yellow and red, and the protease-binding domain in purple. Non-exposed amino acids that are positioned under the surface of the molecule are represented by white beads. Grey sleeve = virus inhibitory peptide (VIRIP).

baseline levels of inflammatory cytokines [97]. Some aspects of the anti-viral profile exerted by AAT are related most probably to protease inhibition, inclusive both of viral and host proteases. For example, AAT prevents viral haemagglutinin activation by host serine-proteases, as well as subsequent viral infection.

The anti-viral activity of AAT may contain aspects outside protease inhibition. The fact that HIV replication in whole blood is obtained only after dilution with culture medium has raised the possibility of the presence of circulating anti-retroviral substances [98,99]. Indeed, Shapiro et al. describe inhibitory outcomes when introducing AAT to HIV assays [100], and Münch et al. describe anti-viral properties in fractionated human serum as contained in a fraction subsequently sequenced and identified as AAT [101]. Reports since then have described AAT as a ratelimiting factor in HIV replication [102,103], and that AAT therapy elevates CD4+ T cell numbers in HIV-infected patients [104]. Apparently, an amino acid sequence within human AAT, buried under the surface of the molecule until AAT is cleaved, contains anti-viral properties [105] (illustrated in Fig. 4).

#### AAT protects epithelial and endothelial barriers

Human lung epithelial cells secrete AAT [106]. While the absence of this protection in genetic AAT deficiency may result in elastase-related emphysema, it is also possible that intracelluar aggregates of mutated AAT trigger inflammation. None the less, AAT therapy arrests the development of emphysema [107], supporting the notion that the activities of AAT may indeed extend to overall protection from cell injury.

Intestinal and corneal epithelia also secrete AAT [108,109]. Intestinal epithelial cells engage in a dynamic cross-talk with the intestinal immune system, helping in the discrimination between invasive pathogens and harmless antigens. Because IL-1 $\beta$  causes an increase in intestinal epithelial tight junction permeability, local AAT has the potential to counteract loss of intestinal barrier function. In support of this, AAT therapy provides dramatic outcomes in an animal model of Crohn's disease, and intestinal biopsies from patients with Crohn's disease demonstrate lack of local AAT expression [14]. The blood–brain barrier is another example of barrier protection by AAT, as demonstrated in an *in-vivo* stroke model [20].

AAT emerges as a pivotal modulator during the woundhealing process, facilitating rapid wound size reduction in primary human fibroblasts [78]. Wound healing requires inflammation, but untamed inflammation can cause cell injury. Neutrophil infiltration into wound sites exemplifies this duality; neutrophils are abundant in the early phase of a wound, in accordance with their decontamination capacity, although their proteases degrade components of the extracellular matrix, compromising the scaffold required for subsequent infiltrating reconstructive cells. Based on the ability of AAT to allow bacterial killing, AAT may thus be considered to be diverting damaging activity at a wound site towards greater decontamination power, with minimal destructive outcomes.

Macrophages facilitate the removal of neutrophils, proangiogenesis, fibroblast proliferation moting and extracellular matrix synthesis. The phenotype of such macrophages includes expression of anti-inflammatory mediators and the production of growth factors, as reinforced by AAT [110]. Other cells that partake in woundhealing also appear to relate to AAT in a beneficial manner. AAT promotes DC production of TGF- $\beta$  and IL-10 [8], and its anti-apoptotic activities may facilitate the survival of young labile migrating fibroblasts, myocytes and endothelial cells [111-113]. The ability of AAT to neutralize DAMPs allows the wound site to continue its recovery process while downplaying adjuvant-like excitation of the adaptive immune response.

Unlike epithelial cells, endothelial cells uptake AAT by pinocytosis [114]. As the promoter of AAT is hypoxiaresponsive, AAT is produced in a context-specific manner by local cells and subsequently advances early VEGF expression; AAT also inhibits expression of anti-angiogenic factors and prevents degradation of VEGF [78]. Interestingly, emphysema can be replicated in animal models by blocking VEGF [29], suggesting that the pathogenesis of emphysema in AAT deficiency may also be attributed to capillary bed collapse.

# The unexpected response of the adaptive immune system to AAT

AAT skews the T cell population towards  $T_{regs}$  in various animal models, but never in a single-cell T lymphocyte culture [5–7,10–12,27,28]. Indeed, it is only when AATtreated DCs are introduced to naive T cells that the expansion of  $T_{regs}$  is found [8]. Unlike typical immunosuppression, without a direct inhibitory effect on IL-2 AAT appears to at least allow for inducible  $T_{reg}$  expansion [6,12]. In addition,  $T_{reg}$  expansion requires a particular cytokine milieu afforded by AAT, i.e. low IL-1 $\beta$ , low IL-6 and high TGF- $\beta$  levels. The increase in IL-10 production by DCs and B lymphocytes may also contribute to  $T_{reg}$  expansion in multi-cellular immune compartments [8,11,16].

These attributes may play a role in protection of islets from alloimmune and autoimmune responses, but they are less fitting for the setting-up of the xenoimmune response. Accordingly, Ashkenazi *et al.* show that AAT alone is incapable of withholding the xenoimmune response [115]. However, when assessing the combination of AAT and temporary T cell depletion, a treatment that exerts durationlimited results as monotherapy, xenografts exhibited significantly extended survival rates. The mechanism behind such a synergy may relate to the prominent role of AAT-responsive macrophages in the CD4<sup>+</sup> T cell-orientated xenoimmune response. It is also possible that postdepletion T cell repopulation, suggested to preferentially favour regulatory cells, was further skewed towards  $T_{reg}$ expansion in the presence of AAT.

Serving as an important example of an unwanted alloimmune response, graft-*versus*-host disease (GVHD) presents as a pathology with a surprisingly positive response to AAT [11,116]. The major targets of AAT in the context of GVHD would most probably be the severely injured gastro-intestinal and/or skin epithelial cells that perpetuate GVHD. Given that AAT does not block T cell responses directly, AAT preserves graft-*versus*-tumour and graft-*versus*-leukaemia activities [11,116], providing the premise for two clinical trials that currently examine AAT therapy for individuals with treatment-resistant GVHD.

# Immunosuppression and AAT: predicted outcomes of combination therapies

Perhaps the most striking difference between AAT and immunosuppression is that the latter holds the risk of infec-

tious and cancerous complications, and is usually a lifelong treatment. In contrast, lifelong administration of AAT at doses that reach high plasma levels, even in AAT-deficient patients, has rendered no treated individual immunocompromised. Also, the emergence of immune tolerance has rarely been experienced in humans using immunosuppression, while the outcomes of an 8-week-long AAT treatment protocol in patients with recent onset autoimmune diabetes appears to have provided a hint of persistent immunomodulation, although one should assess these outcomes together with the currently ongoing placebocontrolled studies that examine AAT and autoimmune diabetes [79,80].

The possibility of combination therapy has been gaining attention in recent years. Based on the little we know of the mechanisms employed by AAT, it would most probably be counterproductive to combine AAT with an IL-2 blocker, as IL-2 is required for promoting T<sub>reg</sub> expansion. Similarly, it would probably be futile to combine AAT with TNF- $\alpha$ blockers, as these approaches are predicted to be additive, at best. Similarly, co-stimulation blockade is expected to be additive, considering that AAT also inhibits CD40 pathway and reduces inducible CD80/CD86 levels; this combination was tested recently by Ashkenazi et al. [115], establishing lack of synergy. Conversely, the combination of AAT with temporary T cell depletion (or in the clinical set-up, antithymocyte globulin) has provided a clear synergy, most probably as two independent non-overlapping areas of the immune system are targeted, and the elective immunocyte repopulation activity serves as a window of opportunity for re-educating the immune response.

### Discussion

The recent exponential growth in studies that examine the relevance of AAT to immune disorders in non-AATdeficient individuals represents an authentic curiosity as to the capabilities of this evolutionarily finely sculpted molecule. When one learns about the works of AAT one gains insight into pivotal immune processes through a fresh lens, that of exploiting a natural resource, a product of biology, a regimen that is not foreign to our cells and molecules that have co-evolved alongside our complex defence systems-from age-old chemokines and inflammatory agents, to innate immune cells and, finally, to the lateappearing adaptive immune system. Indeed, the lack of a direct effect on T cells agrees with the concept that AAT preceded T cells in evolution, dominating eras of biology in which the interests were those such as pathogen invasion, inflammation, cell injury and wound healing.

Harnessing AAT to modulate the immune system was met initially with scepticism. How can a temporary rise in the levels of a constitutively expressed protein provide such benefits? How can an anti-protease relate to such complexities as T lymphocyte responses in the context of autoimmune diseases and allograft rejection? Sporadic reports were apparently present all along. In 1978, *Nature* reported that AAT acts on innate immunocytes but not on T lymphocytes; in 1983 there were reports of improved prognosis in patients who were admitted with acute myocardial infarction (MI), provided that they were in the midst of a measurable acute-phase response [117]; in 1987, there were reports of AAT being inactivated by excessive glycation in patients with autoimmune diabetes.

The biology of AAT, representing a one-gene disease, and its secretion pathway being exemplary in its category, as well as the attempts to correct intracellular AAT aggregates in liver cells of patients with genetic AAT deficiency and the efforts to supply AAT-deficient individuals with recombinant forms of AAT - from forms of AAT produced by transgenic tobacco leaves [118] and tomatoes [119] to transgenic rice [120] and sheep [121] - have dominated scientific literature in the past half-century. It is only quite recently that publications relating to a protective role for AAT in autoimmune diabetes, multiple sclerosis, rheumatoid arthritis, allo- and xenograft transplantation, Crohn's diseases, GVHD, ischaemia-reperfusion injury, stroke, acute MI and bacterial and viral infections have surfaced. Relative AAT deficiency has only recently received attention, raising the proposition that novel clinical indications for AAT therapy might be recognized in the near future [122].

Thirty years after the first infusion of AAT was administered to patients with genetic AAT deficiency, it is being evaluated in non-deficient individuals [97,122–124]; it is being tested for controlling CF inflammation in an inhaler form; in recent-onset autoimmune diabetes under six clinical trials; and its benefit in treatment-resistant GVHD in two clinical trials and during acute MI in one clinical trial in which patients receive an AAT drip at the limited but critical period of door-to-balloon time.

It will require elaborate structure–function studies, celland pathway-specific analyses and extensive functional arrays in order to identify its mechanisms of action, particularly in light of the comprehensive efforts to generate non-plasma-derived formulations of AAT in order to supply the anticipated pharmaceutical rise in demand [26,60,125]. In the meantime, elevated levels of AAT during acute-phase responses are perhaps the best indicator for its relevance as a naturally occurring protective agent during excessive inflammatory conditions, one that is skilled at helping the immune system to distinguish between the desired response against an authentic threat and the unwanted response fuelled by the positive feedback loop of inflamed injured cells, further perpetuated by, and at the expense of, injured innocent bystander cells.

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### Disclosure

The authors declare no competing interests.

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