Original Article Epidermal growth factor receptor pathway polymorphisms and the prognosis of hepatocellular carcinoma

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Abstract: The EGFR signaling pathway is important in the control of vital processes in the carcinogenesis of hepatocellular carcinoma (HCC), including cell survival, cell cycle progression, tumor invasion and angiogenesis. In the current study, we aim to assess if genetic variants in the genes of the EGFR signaling pathway are associated with the prognosis of HCC. We genotyped 36 single nucleotide polymorphisms (SNP) in four core genes (EGF, EGFR, VEGF, and VEGFR2) by using DNA from blood samples of 363 HCC patients with surgical resection. The associations between genotypes and overall survival (OS) and disease-free survival (DFS) were estimated using the Kaplan-Meier method. Hazard ratios (HRs) and 95% confident intervals (Cls) were estimated for the multivariate survival analyses by Cox proportional hazards regression models, adjusting for age, gender, family history, HBsAg and AFP. We found that five SNPs in the VEGFR2 gene were significantly associated with clinical outcomes of HCC patients. Among them, four SNPs (rs7692791, rs2305948, rs13109660, rs6838752) were associated with OS (p=0.035, 0.038, 0.029 and 0.028, respectively), and two SNPs (rs7692791 and rs2034965) were associated with DFS (p=0.039 and 0.017, respectively). Particularly, rs7692791 TT genotype was associated with both reduced OS (p=0.037) and DFS (p=0.043). However, only one SNP rs2034965 with the AA genotype was shown to be an independent effect on DFS (p=0.009) in the multivariate analysis. None of the other 31 polymorphisms or 9 haplotypes attained from the four genes was significantly associated with OS or DFS. Our results illustrated the potential use of VEGFR2 polymorphisms as prognostic markers for HCC patients.

Keywords: Hepatocellular carcinoma, survival, EGF, EGFR, VEGF, VEGFR2, genetic polymorphisms

Introduction

Hepatocellular carcinoma (HCC) is diagnosed in more than half a million people worldwide every year, and it is the third leading cause of cancer-related deaths [1]. About half of these cases and deaths are from China, mainly because chronic hepatitis B carriers account for 10% of its population [2]. In 2008, estimated 748,300 new liver cancer cases and 695,900 cancer-related deaths occurred worldwide, making the incidence and mortality rates almost equal [1].

Multiple clinical factors, including large tumor size, positive portal vein thrombosis, increased serum AFP, and advanced TNM stage are involved in poor survival of HCC patients [3]. Although these factors can be used to predict prognosis, recurrence is observed in 77-100% of the patients within 5 years and the 5-year overall survival (OS) rate remains poor, at around 50% [4, 5]. Therefore more useful predictive markers are required to identify highrisk patients, thus establishing more appropriate cancer management strategies and improving better clinical outcomes of HCC.

The epidermal growth factor receptor (EGFR) is a tyrosine kinase transmembrane receptor in the ErB family of receptors expressed on the surface of epithelial cells. EGFR regulates important processes in carcinogenesis, including cell survival, cell cycle progression, tumor invasion and angiogenesis [6]. Ligands including epidermal growth factor (EGF) bind to EGFR and they activate signal transduction pathways that upregulate transcription factors leading to proliferation and differentiation of epidermal and epithelial tissue [7]. A few studies have suggested that genetic variants in the EGF and EGFR gene are associated with EGFR amplifications and contribute to cancer risk and prognosis [8-14].

Activation of the EGFR pathway also leads to the up-regulation of the ligand vascular endothelial growth factor (VEGF) and its receptor (VEGFR2) on endothelial cells, thus stimulating angiogenesis and vascular permeability. VEGF is considered to be a key mediator of both physiological and pathological angiogenesis. Angiogenesis, a process involving the growth of new blood vessels, is an important step in the development of cancer and plays a critical role in the primary tumor growth, invasiveness, and metastasis [15]. Several studies have reported the association between VEGF expression and worse prognosis in various cancers [16-19]. As for HCC, results from several reports have indicated that VEGF plays an important role in the development of HCC [20-22], and elevated serum level of VEGF is considered as an independent marker of HCC survival [23, 24]. Furthermore, genetic variability of VEGF and VEGFR2 may affect the risk and outcome of various kinds of cancers regulated by angiogenesis [25], and polymorphisms in the VEGF gene have the predictive value on the risk of HCC patients [26, 27].

These findings indicate that genetic variations of EGFR and its ligand EGF, VEGF and its ligand VEGFR2 probably affect HCC prognosis, but to our knowledge, the influence of genetic polymorphisms in the EGFR signaling pathway on the clinical outcomes of HCC patients have not been investigated extensively [28, 29]. Therefore, the goal of the present study was to determine whether inherited variations in four core genes of the EGFR signaling pathway (EGF, EGFR, VEGF and VEGFR2) modified HCC survival.

Materials and methods

Patients and samples collection

A total of 363 Han Chinese patients newly diagnosed with HCC and receiving surgical resection of HCC tumor were recruited by the Qidong Liver Cancer Institute in Qidong, Jiangsu province, China from April 1996 to September 2009. The clinical outcomes of HCC were recorded until October 2014, with a median follow-up time of 53.0 months (range 2-110 months). The diagnosis of HCC was based on histopathological examination and the National Comprehensive Cancer Network (NCCN) clinical practice guidelines in oncology. All tumors were proven to be HCC by two pathologists. All patients had no other cancers as determined by initial screening examination and were followed up prospectively every 3 months from the time of enrollment by personal or family contacts until death or last time of follow-up.

There were no recruitment restrictions on age. gender and tumor stage. 5 ml whole blood for each subject was extracted. Clinical information was collected at the time the blood specimens were collected from medical records with patients' consent. The histologic grade of tumor differentiation was assigned by the Edmondson grading system. The clinical typing of tumors was determined according to the TNM classification system of International Union Against Cancer (edition 6). The study endpoints were overall survival (OS), and disease-free survival (DFS). OS was calculated from the date of pathologic diagnosis/recruitment to death regardless of the cause or the end of available follow-up. DFS was defined as the time from pathologic diagnosis/recruitment to disease recurrence, metastasis, disease specific death or last follow-up.

This study was approved by the Department of Scientific Research of Fudan University and the Qidong Liver Cancer Institute, and a written informed consent with a signature was obtained from each patient before enrollment.

| sis | | | | |
|----------|------------|----------------|------------|---------------|
| Gene SNP | | Allelic change | MAF | MAF |
| uene | 5111 | 0 | in Chinese | in this study |
| EGF | rs3756261 | A/G | 0.239 | 0.228 |
| EGF | rs11568835 | A/G | 0.068 | 0.127 |
| EGF | rs4444903 | A/G | 0.367 | 0.450 |
| EGF | rs11568943 | A/T | 0.244 | 0.219 |
| EGF | rs2237051 | A/G | 0.378 | 0.291 |
| EGF | rs11569017 | A/G | 0.256 | 0.229 |
| EGF | rs3733625 | A/G | 0.239 | 0.204 |
| EGFR | rs6965469 | C/T | 0.167 | 0.167 |
| EGFR | rs4947492 | A/G | 0.419 | 0.351 |
| EGFR | rs2227983 | A/G | 0.397 | 0.478 |
| EGFR | rs11977388 | A/C | 0.464 | 0.370 |
| EGFR | rs2293347 | G/A | 0.222 | 0.301 |
| EGFR | rs884225 | G/A | 0.467 | 0.480 |
| VEGF | rs699947 | C/A | 0.278 | 0.266 |
| VEGF | rs833061 | C/T | 0.278 | 0.288 |
| VEGF | rs2010963 | G/C | 0.333 | 0.470 |
| VEGF | rs1413711 | C/T | 0.278 | 0.255 |
| VEGF | rs833070 | A/G | 0.192 | 0.242 |
| VEGF | rs3025000 | C/T | 0.309 | 0.445 |
| VEGF | rs3025033 | A/G | 0.178 | 0.216 |
| VEGF | rs3025035 | C/T | 0.200 | 0.156 |
| VEGF | rs3025039 | C/T | 0.178 | 0.208 |
| VEGF | rs10434 | A/G | 0.189 | 0.212 |
| VEGFR2 | rs2071559 | C/T | 0.300 | 0.335 |
| VEGFR2 | rs7667298 | C/T | 0.284 | 0.337 |
| VEGFR2 | rs1531290 | A/G | 0.233 | 0.273 |
| VEGFR2 | rs6837735 | C/T | 0.362 | 0.411 |
| VEGFR2 | rs7692791 | T/C | 0.411 | 0.398 |
| VEGFR2 | rs2305948 | C/T | 0.156 | 0.141 |
| VEGFR2 | rs2034965 | A/G | 0.178 | 0.221 |
| VEGFR2 | rs1870377 | A/T | 0.467 | 0.459 |
| VEGFR2 | rs13109660 | G/A | 0.278 | 0.297 |
| VEGFR2 | rs6838752 | C/T | 0.438 | 0.467 |
| VEGFR2 | rs17709898 | A/G | 0.389 | 0.303 |
| VEGFR2 | rs1531289 | G/A | 0.200 | 0.213 |
| VEGFR2 | rs7691507 | T/C | 0.156 | 0.182 |

Table 1. SNPs selected in 4 EGFR pathway genes for analy-

MAF: Minor allele frequency.

SNP selection

To select all the potential functional SNPs of EGF, EGFR, VEGF, and VEGFR2, we utilized the International HapMap Project database (http:// hapmap.ncbi.nlm.nih.gov/) [30], and dbSNP database (http://www.ncbi.nlm.nih.gov/projects/SNP/) [31] to search for candidate variants in the promoter region, all exons including

intron-exon boundaries and the 3'-untranslated region (3'-UTR). We identified 20 potential functional polymorphisms (Table 1). We selected tagging SNPs from 5-kb flanking and within the gene regions of four genes by using the tagger algorithm [32]. 21 tagging SNPs were identified with a cut-off value of $r^2 < 0.8$ and a minor allele frequency greater than 0.1 in the Chinese population, based on data from the HapMap Project (http://hapmap.ncbi.nlm.nih.gov/) [32]. In addition, functional SNPs and SNPs previously reported to be associated with cancer were also included. Finally, a total of 36 SNPs, including haplotype-tagging SNPs and potential functional SNPs, were selected for genotyping (Table 1).

DNA extraction, genotyping, and haplotypes reconstruction

Genomic DNA was extracted from blood samples using the QIAamp DNA Mini Kit (QIAGEN GmbH, Hilden, Germany). Genotyping was performed with Sequenom MassARRAY iPLEX platform by use of allele-specific MALDI-TOF mass spectrometry assay. Polymerase chain reaction (PCR) and extension primers for these 36 SNPs were designed using the MassARRAY Assay Design 3.0 software (Sequenom). PCR and extension reactions were performed according to the manufacturer's instructions, and extension product sizes were determined by mass spectrometry using the Sequenom iPLEX system. Duplicate test samples and two water samples (PCR negative controls) that were blinded to the technician were included in each 96-well plate. Genotyping quality was examined by a detailed QC procedure consisting of >95%

successful call rate, duplicate calling of genotypes, internal positive control samples.

The linkage disequilibrium (LD) status among SNPs was measured with Lewontin D and r² by using the Haploview software package (http:// www.broad.mit.edu/mpg/haploview). LD blocks were inferred from the definition proposed by Gabriel and colleagues [30]. Probable haplo-

EGFR pathway polymorphisms and HCC prognosis

| Characteristics | No. of patients | No. of events | Median survival time (95% CI) | Log-rank p | |
|-----------------|-----------------|---------------|-------------------------------|------------|--------|
| Characteristics | - | | | OS | DFS |
| Total | 363 | 229 | 34.0 (27.4-40.6) | | |
| Age (year) | | | | 0.157 | 0.281 |
| ≤50 | 187 | 123 | 30.0 (23.7-36.3) | | |
| <50 | 176 | 106 | 42.0 (32.5-51.5) | | |
| Gender | | | | 0.806 | 0.993 |
| Female | 63 | 40 | 38.0 (18.6-57.4) | | |
| Male | 300 | 189 | 33.0 (25.9-40.1) | | |
| Family history | | | , | 0.834 | 0.866 |
| Absent | 263 | 163 | 35.0 (29.6-40.4) | 0.000 | 0.000 |
| Present | 81 | 54 | 39.0 (21.1-56.9) | | |
| Unknown | 19 | 12 | 33.0 (21.1 30.3) | | |
| | 19 | 12 | | 0.996 | 0.939 |
| HBsAg | 50 | 20 | | 0.996 | 0.959 |
| Negative | 58 | 38 | 20.0 (0.000-41.4) | | |
| Positive | 304 | 190 | 36.0 (29.5-42.5) | | |
| Unknown | 1 | 1 | | | |
| AFP | | | | 0.009 | 0.007 |
| Negative | 142 | 79 | 51.0 (34.0-68.0) | | |
| Positive | 215 | 144 | 28.0 (21.5-34.5) | | |
| Unknown | 6 | 6 | | | |
| Tumor size (cm) | | | | 0.029 | 0.046 |
| ≤5 | 145 | 86 | 46.0 (35.6-56.4) | | |
| >5 | 153 | 92 | 24.0 (14.7-33.4) | | |
| Unknown | 65 | 51 | | | |
| Differentiation | | | | 0.011 | 0.011 |
| + | 167 | 89 | 46.0 (33.36-58.64) | | |
| III+IV | 128 | 89 | 30.0 (22.52-37.48) | | |
| Unknown | 68 | 51 | | | |
| Tumor capsule | 00 | 01 | | 0.001 | 0.001 |
| Absent | 144 | 98 | 26.0 (14.4-37.6) | 0.001 | 0.001 |
| Present | 146 | 77 | 47.0 (30.4-63.6) | | |
| Unknown | 73 | 54 | 47:0 (30:4-03:0) | | |
| Cirrhosis | 15 | 54 | | 0.114 | 0.044 |
| | 00 | 50 | | 0.114 | 0.044 |
| Absent | 93 | 50 | 40.0 (13.3-66.7) | | |
| Present | 199 | 126 | 33.0 (26.9-39.1) | | |
| Unknown | 71 | 53 | | | |
| Venous invasion | | | | 0.041 | 0.078 |
| Absent | 199 | 119 | 39.0 (30.0-48.0) | | |
| Present | 77 | 48 | 23.0 (16.0-30.0) | | |
| Unknown | 87 | 62 | | | |
| HB history | | | | 0.684 | 0.604 |
| Absent | 148 | 92 | 35.0 (27.1-42.9) | | |
| Present | 113 | 65 | 30.0 (20.3-39.7) | | |
| Unknown | 102 | 72 | | | |
| Tumor number | | | | 0.491 | 0.442 |
| Solitary | 210 | 123 | 37.0 (26.3-42.7) | | |
| Multiple | 65 | 41 | 31.0 (19.2-42.8) | | |
| Unknown | 88 | 65 | | | |
| pTNM stage | 00 | 00 | | 0.001 | <0.001 |
| | 70 | 29 | 57.0 (33.2-80.8) | 5.001 | .0.001 |
| 1 | 152 | 29 97 | 31.0 (23.3-39.9) | | |
| | | | | | |
| | 24 | 19 | 19.0 (0.000-44.3) | | |
| IV | 12 | 11 | 6.0 (0.000-17.9) | | |
| Unknown | 105 | 73 | | | |

 Table 2. Correlations between clinicopathologic features and prognosis of HCC patients

CI: Confidence interval; OS: Overall survival; DFS: Disease-free survival.

EGFR pathway polymorphisms and HCC prognosis

| Influence of SNPs in 4 EGFR pathway genes on prognosis of HCC patients | | | | | | | |
|--|------------|---------|-------------------|-----------|--------------------|----------|-----------|
| Gene | SNP | | Log-rank p for OS | | Log-rank p for DFS | | |
| | | General | Dominant | Recessive | General | Dominant | Recessive |
| EGF | rs3756261 | 0.653 | 0.446 | 0.772 | 0.494 | 0.261 | 0.951 |
| EGF | rs11568835 | 0.966 | 0.865 | 0.87 | 0.852 | 0.914 | 0.571 |
| EGF | rs4444903 | 0.437 | - | - | 0.23 | - | - |
| EGF | rs11568943 | 0.621 | 0.636 | 0.496 | 0.512 | 0.372 | 0.652 |
| EGF | rs2237051 | 0.327 | 0.955 | 0.172 | 0.401 | 0.52 | 0.18 |
| EGF | rs11569017 | 0.713 | 0.716 | 0.537 | 0.699 | 0.493 | 0.759 |
| EGF | rs3733625 | 0.294 | 0.536 | 0.215 | 0.258 | 0.317 | 0.304 |
| EGFR | rs6965469 | 0.969 | 0.831 | 0.935 | 0.883 | 0.817 | 0.631 |
| EGFR | rs4947492 | 0.776 | 0.484 | 0.925 | 0.995 | 0.977 | 0.937 |
| EGFR | rs2227983 | 0.493 | 0.332 | 0.795 | 0.69 | 0.642 | 0.62 |
| EGFR | rs11977388 | 0.602 | 0.586 | 0.573 | 0.737 | 0.976 | 0.464 |
| EGFR | rs2293347 | 0.371 | 0.176 | 0.975 | 0.356 | 0.152 | 0.793 |
| EGFR | rs884225 | 0.435 | 0.631 | 0.198 | 0.345 | 0.611 | 0.793 |
| VEGF | rs699947 | 0.169 | 0.885 | 0.085 | 0.182 | 0.838 | 0.097 |
| VEGF | rs833061 | 0.277 | 0.622 | 0.109 | 0.296 | 0.736 | 0.123 |
| VEGF | rs2010963 | 0.373 | 0.989 | 0.19 | 0.274 | 0.728 | 0.174 |
| VEGF | rs1413711 | 0.231 | 0.716 | 0.09 | 0.251 | 0.794 | 0.103 |
| VEGF | rs833070 | 0.23 | 0.937 | 0.114 | 0.271 | 0.911 | 0.139 |
| VEGF | rs3025000 | 0.436 | 0.795 | 0.274 | 0.362 | 0.6 | 0.286 |
| VEGF | rs3025033 | 0.896 | 0.657 | 0.99 | 0.865 | 0.677 | 0.845 |
| VEGF | rs3025035 | 0.291 | 0.75 | 0.16 | 0.344 | 0.819 | 0.182 |
| VEGF | rs3025039 | 0.891 | 0.923 | 0.673 | 0.815 | 0.844 | 0.602 |
| VEGF | rs10434 | 0.267 | 0.206 | 0.55 | 0.452 | 0.382 | 0.538 |
| VEGFR2 | rs2071559 | 0.437 | 0.352 | 0.584 | 0.282 | 0.331 | 0.369 |
| VEGFR2 | rs7667298 | 0.33 | 0.245 | 0.607 | 0.214 | 0.236 | 0.386 |
| VEGFR2 | rs1531290 | 0.134 | 0.361 | 0.137 | 0.289 | 0.451 | 0.261 |
| VEGFR2 | rs6837735 | 0.788 | 0.51 | 0.994 | 0.679 | 0.406 | 0.972 |
| VEGFR2 | rs7692791 | 0.101 | 0.035 | 0.338 | 0.112 | 0.039 | 0.346 |
| VEGFR2 | rs2305948 | 0.038 | 0.025 | 0.132 | 0.163 | 0.078 | 0.331 |
| VEGFR2 | rs2034965 | 0.103 | 0.091 | 0.082 | 0.017 | 0.027 | 0.019 |
| VEGFR2 | rs1870377 | 0.113 | 0.34 | 0.163 | 0.141 | 0.134 | 0.498 |
| VEGFR2 | rs13109660 | 0.092 | 0.46 | 0.029 | 0.222 | 0.554 | 0.083 |
| VEGFR2 | rs6838752 | 0.028 | 0.263 | 0.066 | 0.063 | 0.123 | 0.272 |
| VEGFR2 | rs17709898 | 0.811 | 0.519 | 0.865 | 0.572 | 0.291 | 0.691 |
| VEGFR2 | rs1531289 | 0.384 | 0.341 | 0.219 | 0.118 | 0.164 | 0.066 |
| VEGFR2 | rs7691507 | 0.751 | 0.452 | 0.794 | 0.259 | 0.24 | 0.171 |

OS: Overall survival; DFS: Disease-free survival.

types and their frequencies were calculated on the basis of a Bayesian algorithm [33] using PHASE software (ver 2.1.1, Seattle, WA, USA).

Statistical analysis

Associations of genotypes and haplotypes with OS and DFS were estimated using the Kaplan-Meier method, and statistical significance was determined using the log-rank test. The most significant test among the 3 genetic models (general, dominant, and recessive) was used to determine the statistical significance of each SNP. The SNPs or haplotypes with a raw *p*-value <0.05 in the univariable analysis were included in the multivariate analysis to evaluate their effects on the clinical outcomes. Hazard ratios (HRs) and 95% confident intervals (Cls) were

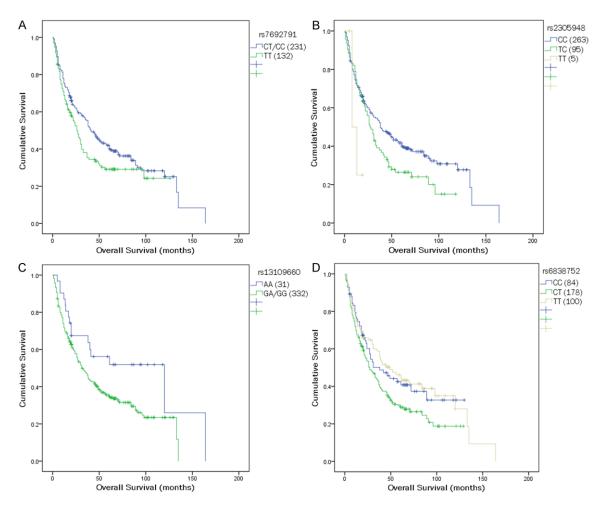


Figure 1. Kaplan-Meier survival curves of overall survival in HCC patients are shown for polymorphisms of (A) rs7692791, (B) rs2305948, (C) rs13109660, and (D) rs6838752.

estimated for the multivariate survival analyses by Cox proportional hazards regression models, adjusting for age, gender, family history, HBsAg and AFP.

Data analysis, with the exception of haplotype construction and haplotype frequency estimation, was performed with SPSS software version 19 (SPSS, Chicago, IL). All tests were two-sided and a p<0.05 was considered statistically significant.

Results

Patient characteristics and clinical outcomes

This study included 363 HCC patients with an overall median survival time (MST) of 34.0 months and median follow-up time of 53.0 months. At the time of analysis, 229 (63.1%) of the patients had died. The clinical pathologic

characteristics and the association with OS and DFS are summarized in Table 2. By the Kaplan-Meier analysis, tumor capsule and TNM stage were significantly associated with OS and DFS (log-rank p<0.001). AFP was positive in 215 (60.2%) patients and shown to be related with both OS and DFS (p=0.009 and 0.007, respectively). In addition, large tumor size and differentiation were significantly associated with reduced OS and DFS, while venous invasion was a predictor for worse OS (p=0.041) and background cirrhosis for inferior DFS (p=0.044). The HBsAg of 304 (84.0%) cases was positive, but it didn't demonstrate a relationship with either OS or DFS in the present study.

As the clinicopathologic information from some patients was not available for several items, such as cirrhosis, venous invasion and TNM stage, and not all clinical factors above could

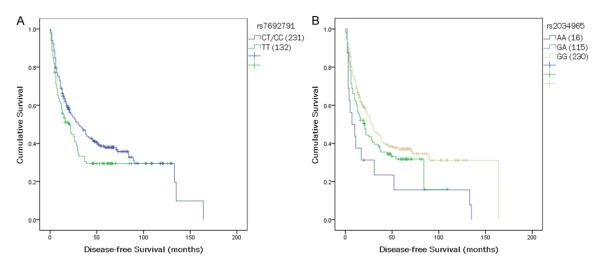


Figure 2. Kaplan-Meier survival curves of disease-free survival in HCC patients are shown for polymorphisms of (A) rs7692791 and (B) rs2034965.

be included in the subsequent multivariate analysis. Therefore we calculated HR and its corresponding *p*-value using Cox proportional hazard models, adjusted for age, gender, family history, HBsAg and AFP.

Genetic polymorphisms and HCC clinical outcomes

Table 3 shows the data for all the 36 SNPs among 4 genes (EGF, EGFR, VEGF and VEGFR2) analyzed for OS and DFS. In the univariate analysis, of all the 36 SNPs, 5 SNPs (rs7692791, rs2305948, rs13109660, rs6838752 and rs2034965), which are all resided in the VEG-FR2 gene, were significantly associated with clinical outcomes. Overall, four SNPs (rs7692-791, rs2305948, rs13109660, rs6838752) were associated with OS (Table 3; Figure 1); two SNPs (rs7692791 and rs2034965) were associated with DFS (Table 3; Figure 2). In particular, we observed VEGFR2 rs7692791 CC and CT genotype was significantly associated with improved OS (p=0.037; HR=0.751, 95% CI: 0.574-0.983) and DFS (p=0.043; HR=0.757, 95% CI: 0.579-0.991), compared with the CC/ CT genotypes (Table 4), indicating that the rs7692791 variant T allele was significantly protective. On the contrary, we found a significant decreased OS for those carrying the TC genotype of rs2305948 (p=0.04; HR=1.349, 95% CI: 1.013-1.796) or rs6838752 (p=0.066; HR=1.371, 95% CI: 0.980-1.920, respectively). While rs13109660 AA genotype (p=0.033; HR=0.563, 95% CI: 0.333-0.954) was shown

to result in a significant improvement in OS (logrank p=0.029; **Figure 1**). However, none of the other 31 polymorphisms examined were significantly associated with OS or DFS (**Table 3**).

A multivariate analysis of genotype effects on survival was conducted using Cox proportional hazards models adjusted for available clinicopathologic variables. As shown in **Table 4**, only one SNP rs2034965 remained significant, with the AA genotype presenting an independent negative effect on DFS (p=0.009, HR=1.672, 95% Cl: 1.136-2.460), compared to patients who had common homozygous genotype and heterozygous genotype. None of the genetic polymorphisms was identified as an independent prognostic factor for OS.

Furthermore, we examined the associations of the haplotypes with survival outcomes. When examining combinations of SNPs for the EGF. EGFR, VEGF, and VEGFR2, we attained 2 haplotypes of EGF, 2 haplotypes of EGFR, 2 haplotypes of VEGF, and 3 haplotypes of VEGFR2. The inferred haplotypes and their associations with OS and DFS are shown in Table 5. Consistent with the results of individual genotype analyses, none of the haplotypes carrying variant alleles from EGF, EGFR, and VEGF showed a significant association with OS or DFS. The most probable haplotype (CT) was from VEGFR2, which had an estimated frequency of 66.7 percent. However, even though each of the individual SNPs of rs7692791, rs2305 948, rs13109660, rs6838752 and rs2034965

| | | | | | | · · | |
|-------------|---------|-----------|------------|---------------------|-------|-----------------------|-------|
| SNP Outcome | | Model | Log ropk p | Univariate analysis | | Multivariate analysis | |
| SNP | Outcome | woder | Log-rank p | HR (95% CI) | р | HR (95% CI)* | р |
| rs7692791 | OS | Dominant | 0.035 | | 0.037 | | 0.174 |
| | | TT | | 1 | | 1 | |
| | | CT/CC | | 0.751 (0.574-0.983) | | 0.815 (0.608-1.094) | |
| rs2305948 | OS | General | 0.038 | | 0.027 | | 0.185 |
| | | CC | | 1 | | 1 | |
| | | TC | | 1.349 (1.013-1.796) | 0.04 | 1.252 (0.913-1.716) | 0.164 |
| | | TT | | 2.543 (0.804-8.040) | 0.112 | 2.281 (0.695-7.484) | 0.174 |
| rs13109660 | OS | Recessive | 0.029 | | 0.033 | | 0.386 |
| | | GA/GG | | 1 | | 1 | |
| | | AA | | 0.563 (0.333-0.954) | | 0.753 (0.398-1.428) | |
| rs6838752 | OS | General | 0.028 | | 0.031 | | 0.249 |
| | | CC | | 1 | | 1 | |
| | | CT | | 1.371 (0.980-1.920) | 0.066 | 1.325 (0.933-1.883) | 0.116 |
| | | TT | | 0.939 (0.637-1.385) | 0.752 | 1.112 (0.711-1.740) | 0.643 |
| rs7692791 | DFS | Dominant | 0.039 | | 0.043 | | 0.073 |
| | | TT | | 1 | | 1 | |
| | | CT/CC | | 0.757 (0.579-0.991) | | 0.769 (0.578-1.025) | |
| rs2034965 | DFS | General | 0.017 | | 0.021 | | 0.024 |
| | | GG | | 1 | | 1 | |
| | | GA | | 1.261 (0.953-1.669) | 0.105 | 0.809 (0.627-1.044) | 0.103 |
| | | AA | | 2.029 (1.169-3.522) | 0.012 | 1.672 (1.136-2.460) | 0.009 |
| | | | | | | | |

| Table 4. Significant associations of SNPs in 4 | EGFR pathway genes with prognosis of HCC patients |
|--|---|
|--|---|

OS: overall survival; DFS: disease-free survival; HR: hazard ratio; CI: confidence interval. *Adjusted for age, gender, family history, HBsAg and AFP.

in VEGFR2 showed potential prognostic effect on HCC clinical outcomes, the VEGFR2 haplotypes were still significantly related with neither OS nor DFS.

Discussion

Although new treatment modalities changed the global approach to HCC, this disease still represents a therapeutic challenge. While some germline genetic factors have been suspected of playing an important role in prognosis, none have been firmly established [34, 35]. The EGFR system regulates important processes within the tumor microenvironment of autocrine and paracrine circuits, including tumor invasion and angiogenesis [6]. Previous studies reported that the intensity of EGF, EGFR, VEGF, VEGFR2 expression correlates with proliferative activity, stage, intrahepatic metastasis and carcinoma differentiation in HCC [20-22, 36, 37]. In addition, several observations suggested that polymorphisms of these genes might regulate angiogenesis and lymphangiogenesis and thus controlling tumor growth. However, most investigations into SNPs in EGFR pathway

(EGF, EGFR, VEGF, and VEGFR2) genes have just focused on their effects on risk rather than prognosis of HCC [38, 39], or selected SNPs without a systematic method [27, 29, 40]. The aim of our study was to evaluate the role of EGFR, EGF, VEGF, VEGFR2 polymorphisms in determining the clinical outcomes of HCC patients. To the best of our knowledge, this is the first evidence showing the relationship between genetic variants of EGFR pathway genes and the prognosis of HCC patients. We found that in the VEGFR2 gene two non-synonymous SNPs, rs7692791 and rs2034965 were significantly associated with DFS and four SNPs (rs7692791, rs2305948, rs13109660, rs683-8752) were associated with OS. Once prospectively validated, this finding could be used to predict which patients are at risk for poor clinical outcomes, and the analysis of VEGFR2 SNPs may help to identify HCC patients more likely to benefit from targeted inhibitor therapy [35].

Deregulation of EGF/EGFR signaling pathway is thought to be one of the most important factors in early hepatocarcinogenesis [36, 41-44].

EGFR pathway polymorphisms and HCC prognosis

| Table 5. Ass | | | | genes and prognosis | s of HCC patients |
|----------------|------------------------------|--------------------|------------------|----------------------|----------------------|
| Haplotype | No. of alleles | Frequency (%) | No. of events | Log-rank p for OS | Log-rank p for DFS |
| EGF-1 | | | | 0.864 | 0.648 |
| Haplotype of | | and rs3733625 A/G | | | |
| AG | 463 | 64.5 | 289 | | |
| GG | 155 | 21.6 | 105 | | |
| AA | 100 | 13.9 | 62 | | |
| EGF-2 | | | | 0.7 | 0.431 |
| | | nd rs11568943 A/T | | | |
| AA | 342 | 47.8 | 224 | | |
| GA | 224 | 31.3 | 133 | | |
| AT | 150 | 20.9 | 101 | | |
| EGFR-1 | | | | 0.73 | 0.854 |
| | | id rs6965469 C/T | | | |
| AT | 369 | 51 | 236 | | |
| GC | 279 | 38.5 | 172 | | |
| GT | 72 | 9.9 | 48 | | |
| AC | 4 | 0.6 | 2 | | |
| EGFR-2 | | | | 0.358 | 0.274 |
| | rs2293347 G/A ar | | | | |
| GG | 350 | 48.9 | 226 | | |
| AA | 212 | 29.6 | 127 | | |
| GA | 153 | 21.4 | 100 | | |
| VEGF-1 | | | | 0.528 | 0.583 |
| | | | | 711 C/T, rs833070 A/ | G, and rs3025000 C/T |
| CTCGGT | 303 | 42 | 200 | | |
| CTGGGC | 211 | 29.2 | 128 | | |
| ACGAAC | 168 | 23.3 | 100 | | |
| Others* | 40 | 5.6 | 28 | a 4=a | |
| VEGF-2 | 0005000 1 /0 | | | 0.159 | 0.236 |
| | | s3025035 C/T, rs30 | | rs10434 A/G | |
| ACCG | 299 | 41.3 | 182 | | |
| ACCA | 163 | 22.5 | 108 | | |
| GCTG | 144 | 19.9 | 90 | | |
| ATCG | 110 | 15.2 | 71 | | |
| Others* | 8 | 1.1 | 7 | 0.400 | 0.400 |
| VEGFR2-1 | x01521000 0 /A - | Nd r00024065 A/O | | 0.488 | 0.198 |
| | | nd rs2034965 A/G | 016 | | |
| GA | 341 | 47.4 | 216 | | |
| GG | 237 | 32.9 | 144 | | |
| | 142 | 19.7 | 96 | 0.378 | 0.054 |
| VEGFR2-2 | CC0207E0 0/T | 17709898 A/G, and | d ro1070377 A /T | | 0.254 |
| CGA | 334 rs6838752 C/ I, rs | 46.3 | 209 | | |
| TAT | | | | | |
| | 210 | 29.1 | 127 | | |
| TGT Othoro* | 160 | 22.2 | 111 | | |
| Others* | 18 | 2.4 | 11 | 0 500 | 0 633 |
| VEGFR2-3 | x0071550 0/T 00 | d ro7667009 0/T | | 0.529 | 0.633 |
| | rs2071559 C/T an 470 | | 204 | | |
| CT TC | 479 240 | 66.6 33.4 | 304 153 | | |
| - | 240 e rare haplotypes wit | | | | |

| Table 5. Associations between haplotypes in 4 EGF | R pathway genes and prognosis of HCC patients |
|---|---|

 $* \mbox{Others}$ include rare haplotypes with frequencies less than 5%.

Membranous EGFR was observed in 40% HCC patients, and correlated with histological grade. Angiolymphatic invasion was more commonly seen in EGFR-positive cases [45]. A recent study showed that the EGFR signaling system stands at a crossroad between inflammatory signals and intracellular pathways associated with hepatocarcinogenesis. The EGFR ligand amphiregulin AR release and EGFR transactivation by TNF-alpha constitutes a novel link between inflammatory signals and pro-tumorigenic mechanisms in liver cells. Its sheddase ADAM17 increased in pre-neoplastic liver injury further supports its implication in hepatocarcinogenesis [46]. However, the association between the EGFR genotype and prognosis so far has not been described in HCC patients and results from EGF remain controversial [27, 29, 40]. In our study, none of the seven SNPs of EGF and six SNPs of EGFR investigated was associated with either DFS or OS in HCC patients. This result could be explained partly by the fact that though EGF is only part of a gene expression signature associated with poor OS in HCC patients [47, 48], EGF expression alone is not qualified enough to predict HCC prognosis, which is also affected by various clinicopathologic characteristics, such as cirrhosis, venous invasion and TNM stage. As most studies reported that the G allele of EGF rs4444903 was a risk factor for HCC, independently of ethnicity and etiology [39], the variants of EGF/EGFR signaling pathway are more likely to alter the susceptibility rather than prognosis of HCC.

HCC is a highly vascular tumor, which proliferates through angiogenic pathways mediated partly by VEGF and its multiple receptors including VEGFR2. Evidences from preclinical and clinical studies showed that there was a correlation between high VEGFR2 expression, both in tissues and serum, and the metastases or poor prognosis of HCC. VEGFR2 expression was significantly higher not only in the veins and sinusoids of poorly differentiated tumors, but also in the arteries of non-tumorous liver in HCC patients, suggesting that VEGFR2 expression is a feature of poor differentiation and tumor progression [49]. Another study reported that high VEGFR2 expression in HCC was related to large tumor diameter, poor differentiation, high serum alpha-fetoprotein, multifocal gross classification [50], and displayed a trend

toward decreased OS [51]. On the other hand, the patients with a low serum level of VEGFR2 had better OS and DFS than those with a high serum level of VEGF [52]. Furthermore, the pretreatment serum level of VEGFR2 was an independent and significant prognostic factor of survival for HCC patients, and the serum VEGFR2 concentration decrease after transarterial chemoembolization (TACE) may predict favorable OS in patients with HCC [53]. However, limited information is available regarding the role of the VEGF system SNPs, especially its receptor VEGFR2, in HCC. The simultaneous presence of VEGFR2 and VEGF polymorphisms may confer an increased risk of HCC in patients with alcoholics presenting liver disease (ALD) [54]. Certain SNPs of VEGFR2 may affect treatment outcomes and toxicity in patients treated with sunitinib. One study reported that rs7692-791 of VEGFR2 was associated with poor OS among patients with gastric or biliary tract cancer who were treated with sunitinib [55]. VEGFR2 alleles C of rs2305948 and VEGF alleles C of rs699947, C of rs2010963 were significant predictors of DFS and OS at univariate analysis, but only rs2010963 resulted to be an independent factor influencing DFS and OS in multivariate analysis [56].

Given curative resection and postoperative treatment, including local radiofrequency ablation (RFA), TACE, radioembolization, and molecular targeted therapy, establishment of more precise prognostic determinants using molecular biology techniques is still warranted to make the best use of these options [57]. Particularly, evidences from studies on EGFR-tyrosine kinase inhibitors for several epithelial cancers are very encouraging. These inhibitors can block the expression of not only EGFR but also VEGF [58]. For example, Vandetanib, an inhibitor of VEGFR2 and EGFR, was showed to suppress tumor development and improve the prognosis of liver cancer [59]. In addition, concomitant inhibition of VEGFR2 and Raf will disrupt oncogenic signaling and efficiently reduce tumor growth and vascularization of HCC in Human HCC cell lines and endothelial cells [60]. Tyrosine kinase inhibitors of VEGFR2, such as sunitinib [61], Sorafenib [62], and foretinib [63], have shown promising preliminary efficacy in patients with HCC. Understanding how these genetic variants work on clinical outcomes of HCC patients may help

developing new drugs and achieving personalized therapeutic regimen.

In our study, only one SNP rs2034965 remained significant in the multivariate analysis, with the AA genotype presenting an independent negative effect on DFS. None of additional genetic polymorphisms reached significance and could be served as an independent prognostic factor for OS. Maybe we can find clues through our sample sources. We obtain blood samples from each HCC patient treated with surgery. However, the expression of VEGFR2 in tissues and serum may have different prognostic influence on HCC patients. Another explanation is that even though we selected and investigated these SNPs in a systematical way, due to limited techniques, labor and resources, we missed some key SNPs which play a predominant role in regulating the expression of the EGFR pathway genes. For this reason, we are not capable of concluding that the SNPs of these four genes are not associated with the prognosis of HCC at present. Instead, a more comprehensive analysis of polymorphisms in the EGFR pathway is imperative to illustrate the close correlation between EGFR pathway genes and HCC prognosis.

It is worth mentioning that there were a number of limitations in our study. Firstly, the cohort size was relatively small, and we didn't recruit enough cases for validation. The significant association found in the univariate analysis should be viewed as generating hypothesis or a clue for related researches afterwards. Therefore, larger well-designed longitudinal follow-up studies and functional evaluation are warranted to confirm these findings. Secondly, though several clinical and pathologic characteristics showed significant associations with OS and/or DFS, including tumor size, differentiation, tumor capsule, cirrhosis, venous invasion and TNM stage, it is regretful that we failed to collect adequate and accurate information of these factors in our study. In order to make the greatest use of the genotype polymorphisms information we got from the 363 HCC patients, we had to operate the multivariate analysis without adjusting all these potential prognostic factors. Future studies are essential to investigate the role of genetic polymorphisms in patients with more complete and comprehensive clinicalpathologic characteristics. Last but not the least, as mentioned above, all of our samples are blood from each HCC patients treated with surgery. This major drawback not only confined our results to the expression of EGFR pathway genes in serum rather than tissues, but also restricted criteria for patients who can be only treated with surgery. However, most patients with HCC are diagnosed at advanced stages when curative treatments, such as hepatic resection and liver transplantation, are not feasible [57]. Accordingly, analyses of tissue samples are urgent to figure out the unknown modulation of these genes in HCC survival.

In summary, our results demonstrated the potential use of VEGFR2 polymorphisms as prognostic markers for HCC patients. However, neither the SNPs in EGF, EGFR, and VEGF genes nor the haplotypes from the EGFR pathway genes were significantly associated with HCC prognosis.

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Disclosure of conflict of interest

There are no known conflicts of interest.

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