

NIH Public Access

Author Manuscript

Cancer Lett. Author manuscript; available in PMC 2016 February 01.

Published in final edited form as:

Cancer Lett. 2015 February 1; 357(1): 179–185. doi:10.1016/j.canlet.2014.11.024.

Gene mutations in primary tumors and corresponding patientderived xenografts derived from non-small cell lung cancer

Chuncheng Hao^{#a}, Li Wang^{#a}, Shaohua Peng^b, Mengru Cao^a, Hongyu Li^a, Jing Hu^a, Xiao Huang^a, Wei Liu^a, Hui Zhang^a, Shuhong Wu^a, Apar Pataer^a, John V. Heymach^{b,c}, Agda Karina Eterovic^d, Qingxiu Zhang^e, Kenna R. Shaw^e, Ken Chen^f, Andrew Futreal^g, Michael Wang^h, Wayne Hofstetter^a, Reza Mehran^a, David Rice^a, Jack A. Roth^a, Boris Sepesi^a, Stephen G. Swisher^a, Ara Vaporciyan^a, Garrett L. Walsh^a, Faye M. Johnson^{b,c}, and Bingliang Fang^{a,c,*}

^a Department of Thoracic and Cardiovascular Surgery, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

^b Department of Thoracic and Head/Neck Medical Oncology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

° The University of Texas Graduate School of Biomedical Sciences, Houston, Texas, USA

^d Department of Systems Biology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

^e Sheikh Khalifa Bin Zayed Al Nahyan Institute for Personalized Cancer Therapy, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

^f Department of Bioinformatics and Computation Biology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

^g Department of Genomic Medicine, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

^h Department of Lymphoma, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA

[#] These authors contributed equally to this work.

Abstract

Molecular annotated patient-derived xenograft (PDX) models are useful for the preclinical investigation of anticancer drugs and individualized anticancer therapy. We established 23 PDXs from 88 surgical specimens of lung cancer patients and determined gene mutations in these PDXs and their paired primary tumors by ultradeep exome sequencing on 202 cancer-related genes. The

^{© 2014} Elsevier Ireland Ltd. All rights reserved.

^{*} Corresponding author. Tel.: +1 713 563 9147; fax: +1 713 794 4901. bfang@mdanderson.org (B. Fang).. Conflict of interest

The authors declare no conflict of interest.

Appendix: Supplementary material

Supplementary data to this article can be found online at doi:10.1016/j.canlet.2014.11.024.

numbers of primary tumors with deleterious mutations in *TP53, KRAS, PI3KCA, ALK, STK11*, and *EGFR* were 43.5%, 21.7%, 17.4%, 17.4%, 13.0%, and 8.7%, respectively. Other genes with deleterious mutations in 3 (13.0%) primary tumors were MLL3, SETD2, ATM, ARID1A, CRIPAK, HGF, BAI3, EP300, KDR, PDGRRA and *RUNX1*. Of 315 mutations detected in the primary tumors, 293 (93%) were also detected in their corresponding PDXs, indicating that PDXs have the capacity to recapitulate the mutations in primary tumors. Nevertheless, a substantial number of mutations had higher allele frequencies in the PDXs than in the primary tumors, or were not detectable in the primary tumors. The molecularly annotated PDXs generated from this study could be useful for future translational studies.

Keywords

Lung cancer; Gene mutations; Tumor models; Patient-derived xenografts; Biomarkers

Introduction

Lung cancer is the leading cause of cancer-related deaths both in the United States and worldwide, with an annual global incidence of about 1.6 million and mortality of 1.4-1.5 million [1-3]. Recent advances in genomic profiling have led to the identification of a number of frequently mutated genes in lung cancer [4–7]. Lung cancers with the same histological diagnosis and clinical stages can be classified into molecular subgroups based on gene mutations. Substantial efforts have been made to develop genotype-specific anticancer therapeutics. The finding that lung cancer cells with mutations in the epidermal growth factor receptor gene (EGFR) are highly susceptible to the EGFR inhibitors gefitinib [8–10], erlotinib [8,11] and afatinib has made these agents the first choice for treating EGFR mutant lung cancer. Both gefitinib and erlotinib have been reported to significantly prolong progression-free survival in patients with EGFR-mutant lung cancer [12,13]. Similarly, small molecular inhibitors for anaplastic lymphoma kinase (ALK) and ROS1 have been proven to be highly effective for treatment of lung cancers with ALK and ROS1 gene translocations [14–16]. However, despite the excitement accompanying the targeted therapeutics, only a subset of patients with the aberration respond and responses are often unfortunately brief. Furthermore, our knowledge of genetic alterations, their functional consequences and combinatorial effects in lung cancer is still not comprehensive. For most potential driver mutations identified in lung cancer, there are no effective therapeutic agents available. The success of the EGFR inhibitors underscores the urgency of developing effective genotype-specific anticancer therapeutics.

Anticancer drug development is often impeded by a lack of pre-clinical tumor models that are highly predictive of therapeutic effects in humans. Previous studies have shown that *in vitro* cell line models and *in vivo* xenograft tumors derived from established human cancer cell lines have limited predictive value for antitumor activity of a drug in clinical trials [17–19]. Anticancer agents that showed promising *in vivo* antitumor activity in xenograft tumor models have often been ineffective for the same type of cancer in clinical trials [20]. In fact, only about 5% of anticancer agents evaluated in human studies between 1991 and 2000 were

successfully registered [20]. The majority of failures in late-phase clinical trials result from a lack of clinical efficacy caused primarily by the lack of efficacy proof of concept in humans, lack of predictive biomarkers to identify patient responders, and safety issues [20,21]. Thus, clinically relevant tumor models that accurately predict therapeutic efficacies would be highly valuable for anticancer drug development.

Evidence from recent studies has shown that patient-derived xenografts (PDXs) established directly from patients' primary tumors preserve the histomorphologic features, heterogeneity, gene expression pattern (including cytokine expression by tumor stromal cells), DNA copy number alterations, and gene mutations of the original tumors [22–24]. These features were preserved after a series of passages of the tumorgrafts in mice [22,24]. When PDXs were treated with agents used in a parallel patient population, response rates similar to those reported in human studies were observed, suggesting that the PDX model is clinically relevant for evaluating the efficacy of anticancer drugs [22,25–28]. A remarkable correlation between drug activity in PDXs and clinical outcome was reported when patients with advanced cancer were treated with selected regimens based on the treatment responses of their PDX [29,30], suggesting that PDXs could provide robust models for identifying effective treatment for cancer patients and for predicting clinical efficacy of drug candidates. Consequently, PDXs derived from various types of cancers have been reported recently, including those established from lung cancer [23,26,28,31]. Those studies have demonstrated the feasibility of using PDXs for translational studies in drug development, for molecular characterization of cancer biology, and for strategic development of individualized therapy. Nevertheless, few molecularly-annotated lung cancer PDXs are reported in literature and are not readily available for preclinical studies.

Our purpose here was to develop molecularly annotated PDXs for evaluation of investigational anticancer agents and mechanistic characterization of lung cancers. We established PDXs from surgical specimens of lung cancer patients and characterized the gene mutations in those PDXs and the corresponding primary tumors. Our results show that some novel genes were frequently mutated in primary lung cancers and that the mutations in primary tumors can be recapitulated by their corresponding PDX.

Materials and methods

Human lung tissue specimens

Fresh lung cancer samples were collected in 2012 and 2013 from surgically resected specimens under approved research protocols with informed consent from the patients. This study was approved by the Institutional Review Board at The University of Texas MD Anderson Cancer Center.

Generation of patient-derived xenografts in immune-defective mice

All animal experiments were carried out in accordance with Guidelines for the Care and Use of Laboratory Animals (NIH publication number 85-23) and the institutional guidelines of MD Anderson Cancer Center. Six- to eight-week-old immune-defective non-obese or diabetic severe combined immunodeficiency (NOD-SCID) mice were obtained from

Jackson Laboratory (Bar Harbor, Maine) or Charles River Laboratories, Inc. (Wilmington, MA).

Fresh surgical specimens of lung cancer were cut to about 2 mm³ in size, briefly soaked in matrigel, and implanted into the flank subcutaneous space of mice (2 or 3 mice/patient specimen), as described elsewhere within 1 hour of surgical resection [32]. The mice were monitored for up to 10 months for tumor growth. The tumors were harvested when they reached 1.5 cm in diameter. The tumors (labeled F1 for the first passage in animals) were divided into 2–3 mm³ specimens which were frozen in liquid nitrogen for future investigation, analyzed for molecular biological characterization, or reimplanted into mice to generate more tumorgrafts (F2, F3, etc., for subsequent passages).

Whole-exome sequencing for 202 cancer-related genes

Genomic DNA was isolated from primary tumor tissues and PDX tissues by proteinase K digestion and phenol extraction. The whole-exome sequencing for 202 cancer-related genes is shown in Supplement Table S1. Briefly, DNA samples were quantified by Qubit (Invitrogen, Grand Island, NY) and their quality was assessed using Genomic DNA Tape for the 2200 Tapestation (Agilent, Santa Clara, CA). DNA from each sample was sheared by sonication using an E220 instrument (Covaris, Woburn, MA). To ensure the proper fragment size, samples were checked on the TapeStation using the DNA High Sensitivity kit (Agilent). The sheared DNA proceeded to library preparation with the KAPA library preparation kit (KAPA Biosystems, Wilmington, MA) following the manufacturer's protocol. Samples were quantified using the KAPA qPCR quantification kit. Equimolar amounts of DNA were pooled for capture (8–12 samples per pool).

After library preparation, 202 genes predicted to be clinically relevant in cancer were selected for capture. Biotin-labeled probes were designed with Roche Nimblegen for capturing all exons in the 202 genes, following the manufacturer's protocol for the capture step. The cutoff for enrichment was 50-fold minimum. The captured libraries were sequenced on a HiSeq 2000 (Illumina Inc., San Diego, CA) on a version 3 TruSeq paired end flow cell according to the manufacturer's instructions at a cluster density between 700 and 1000 K clusters/mm². Sequencing was performed on a HiSeq 2000 for 2×100 paired end reads with a 7-nt read for indexes using Cycle Sequencing v3 reagents (Illumina). The resulting BCL files containing the sequence data were converted to ".fastq.gz" files, and individual libraries within the samples were demultiplexed using CASAVA 1.8.2 software with no mismatches.

Data analysis

We aligned the T200 target-capture deep-sequencing data to human reference assembly hg19 by using Burrows–Wheeler Aligner software [33] and removed duplicated reads by using SAMtools [34] (both Sourceforge open source software, Slashdot Media, San Francisco, CA). We called single nucleotide variants and small insertions/deletions by using VarScan2 [35] and called copy number alterations by using a previously published algorithm [36] that reports gain or loss status of each exon. Genomic DNA from the SCID mouse was used to exclude nucleotide variants observed in the mouse genome. To ensure

specificity, variants with an allele frequency less than 10% were not reported. To understand the potential functional consequence of detected variants, we compared them with the dbSNP, COSMIC [37], and TCGA databases and annotated them using SIFT [38], Polyphen [39], Condel [40], and Mutation Assessor [41].

Results

Establishing patient-derived xenografts from lung cancer specimens

We collected surgically resected tumor samples from 88 NSCLC patients and implanted each specimen into 2–3 NOD-SCID mice to develop PDXs. We obtained 23 PDXs (Table 1). The overall implantation rate for development of a PDX was 26%. Squamous cancer and neuroendocrinal carcinoma had relatively higher implantation rates than adenocarcinoma. Moderately and poorly differentiated tumors had relative high implantation rates than well differentiated tumors (Fig. 1A). Nevertheless, the difference among those groups was not statistically significant (P = 0.09-0.35). The time from inoculation of the surgical specimen until harvest of the first generation of PDX (1.5 cm in diameter) ranged from 2 to 10 months, with an average of 4 months. The tumor engraftment rate is comparable to the rate reported for establishment of subcutaneous PDXs in SCID mice [31] but lower than that reported for engraftment from tumor specimens implanted under the renal capsule [26]. The tumors were harvested when they reach 1.5 cm in diameter. The tumors (labeled as F1 for the first passage in animals) were divided into several portions of about $2-3 \text{ mm}^3$, which were frozen in liquid nitrogen for future investigation, or analyzed for biomarker and molecular biological characterization, or replanted into mice for generating additional generations of tumorgrafts (F2, F3, etc., for subsequent passages). Tumors capable of generating subsequent passages were used for molecular characterizations. All the F1 PDXs generated in this study could successfully generate F2–F4 tumors in nude mice. We performed histological analysis on two F2 PDXs and their corresponding primary tumors. The results showed that the histological morphology in these PDXs matched with that of primary tumors (Fig. 1B).

Batch consistency of exome sequencing data

We isolated genomic DNA from 23 pairs of primary tumors and their corresponding PDXs (passages 1–4). Clinical information for the 23 patients is shown in Table 1. Eleven of the tumors were adenocarcinoma, 9 were squamous cell carcinoma, and 3 were neuroendocrine tumors or had neuroendocrine features. The DNA was subjected to exome sequencing analysis in 4 different batches of assays. The mean coverage for each data point was 500–700 reads. With a few exceptions, most data points had coverage of greater than 200 reads. One pair of samples was analyzed twice, and the two sets of results for this pair of samples are compared in Table 2. Except for the mutations with allele frequencies of about 10%, mutations were reliably detected in the same sample in the 2 different assays, with high consistency in their allele frequencies. This result demonstrated that, except for mutations detected at a borderline allele frequency, most mutations can be reliably detected by the assay approach.

Gene mutations in primary tumor tissues

The number of mutations detected in primary tumor samples varied from 2 to 103. A total of 315 mutations were detected in 122 genes in the 23 primary tumors, an average of 13.7 mutations/ tumor. Possible deleterious or damaging mutations were detected in 91 genes, an average of about 8.8/tumor (range, 1–36). Fig. 2 shows the mutation status for the 23 primary tumors of 29 genes that had a potentially deleterious mutation in at least 2 primary tumors. Those genes were highly mutated in the tumors from patients 14, 20, 22, and 23, suggesting possible genomic instability in those samples. In contrast, the tumors of patients 8, 16–19 had very few mutations. Because only a few of these patients had disease recurrence or had died, we were not able to correlate gene mutations with recurrence or survival outcome.

Table 3 lists the most frequently mutated genes in the primary tumors and Table 4 the mutated kinase genes detected in the primary tumors. The numbers of primary tumor with deleterious mutations previously implicated in therapeutic responses for lung cancer patients in *TP53, KRAS, PI3KCA, ALK, STK11,* and *EGFR* were 10, 5, 4, 4, 3, and 2, respectively. Other genes with deleterious mutations in 3 primary tumors were MLL3, SETD2, ATM, ARID1A, CRIPAK, FAM135B, HGF, AR, BAI3, EP300, KDR, PAPPA2, PDGRRA and *RUNX1*. Several of those genes, such as *ATM* [7], *MLL3* [42], and *SETD2* [6,43], were recently reported to be frequently mutated/changed in lung cancers, suggesting that their mutations may be used as biomarkers for these cancers. A number of large genes included in the analysis, such as *CSDM3, CSDM1, HYDIN, LRP2, LRP1B, PCLO,* and *RYR2,* most of them encoding proteins with >4000 amino acids, were also found to have high mutation frequencies. The frequent mutations in various cancer samples, possibly due to mutational heterogeneity associated with gene expression levels and DNA replication times during cell cycles [44].

Gene mutations in patient-derived xenografts

Of 315 mutations detected in primary tumors, 293 (93%) were also detected in their corresponding PDXs, suggesting that PDXs were able to recapitulate the majority of mutations in primary tumors. Nevertheless, there were 149 mutations detected in PDX that were not detected in primary tumors (Fig. 3A). A substantial number of the mutations detected in both primary tumors and PDXs had dramatic differences in their allele frequencies (>1.5 fold). Examples of those variations are shown in Fig. 3B and C. In the pair represented in Fig. 3B, 7 mutations were detected in the primary tumor but 43 mutations were detected in the PDX. In the pair shown in Fig. 3C, all 103 mutations were detected in both primary tumor and PDX, but a substantial number of the mutations had higher allele frequencies in the PDX than in the primary tumor. Those differences could be caused either by enrichment of tumor cells in the PDX or by heterogeneity in the primary tumor. It is important to note that the PDXs were derived from a region of the primary tumor different from that from which the DNA was isolated for sequencing.

To determine whether mutant allele frequencies vary between different passages, we performed sequencing analysis on a pair of samples derived from the same PDXs but from

different passages (F1 and F3, respectively). The results showed that all 48 mutations were detected in both the F1 and F3 tumors. Correlation analysis showed that the allele frequencies detected in these two samples were also highly consistent (r = 0.988, P = 0.000) (Fig. 4). This result indicates that mutational changes in PDXs were preserved at least in the early passages in mice, consistent with a previous report by others [22].

Discussion

Our study resulted in 23 molecularly-annotated PDXs that will be useful for preclinical evaluation of investigational lung cancer-targeting agents and/or for molecular characterization of lung cancers. Although the number of cases where PDXs were established in this study is relatively small, our studies allowed us to detect a number of genes that were frequently mutated in lung cancer. Many of those genes were consistent with those reported previously by others. Some of those genes, such as TP53, KRAS, PI3KCA, STK11, EGFR, CDKN2A, and ALK are already known to be the tumor suppressor genes or oncogenes of relevance for lung cancer. Interestingly, two EGFR mutations (S811C and D855N) were detected in a neuroendocrine cancer, while another case with large cell/ neuroendocrine cancer had two other EGFR mutations (V674F and P959L). Whether those EGFR mutations contribute to tumorigenesis or sensitize cancers to anti-EGFR therapy remains to be determined. Several genes, such as ATM [7], MLL3 [42], and SETD2 [6,43], were recently reported to be frequently mutated/changed in lung cancers. In addition, several large genes that were included in the analysis, such as CSDM3, CSDM1, HYDIN, LRP2, LRP1B, PCLO, and RYR2, were also found to be frequently mutated in our lung cancer samples. Mutations in those large genes would be expected due to "chance", or due to mutational heterogeneity associated with gene expression levels and/or DNA replication times during the cell cycles [44]. Moreover, presence of duplicated copies in human genome, as in the case for MLL3 [45], may also lead to increased rates of mutations detected by deep sequencing. Whether mutations in those genes may play roles in lung cancer initiation and progression remains undetermined, although ATM, MLL3, and SETD2 are included in the 125 cancer deriver genes affected by gene mutations [46]. Interestingly, both *MLL3* and *SETD2* encode histone methyltransferases that are required for DNA repair, genome stability, and p53-mediated checkpoint activation [47,48]. Functional insufficiency in either gene is recently identified to be the new drivers for development of leukemia [49,50].

Our study also revealed that lung cancer can vary greatly in numbers of gene mutations, which may reflect genome instability in some tumors. Moreover, our results demonstrate that PDXs usually effectively recapitulate gene mutations present in primary tumors. Nevertheless, some cancer cells may be enriched in the PDXs, leading to altered allele frequencies when compared with primary tumors. It is also possible that heterogeneity in the primary tumor may result in some mutations being detected in primary tumors and not detected in PDXs, or vice versa, or to have variations in allele frequencies between primary tumor and PDX. In fact, mutational intratumor heterogeneity can be readily detected in different regions of the same tumor [51].

Nevertheless, there are limitations in our current study. First, the surgical specimens used in this study were mostly from early stage patients. PDXs derived from late stage patients or from metastatic tumors will be desirable for preclinical drug efficacy studies, as those patients are most likely to receive systemic therapies. Second, levels of gene expressions or posttranscriptional modifications can drastically affect treatment responses and/or clinical outcomes [52–55]. Thus, PDXs with comprehensive molecular annotations, including whole-genome exome, whole-transcriptome, and global proteomic characterizations, will be highly valuable for future translational studies. Finally, all PDXs described in this study were established by inoculating tumors in subcutaneous areas. Evidence has shown that different anatomical sites affect the tumor microenvironment, tumor biology and responses to anticancer therapies [56–58]. PDXs established in orthotopic locations could be more appropriate for preclinical studies. Therefore, more resources and efforts will be needed in order to obtain lung cancer PDXs that cover majority of clinical characteristics and with comprehensive molecular annotations.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

We thank Kathryn Hale of the Department of Scientific Publication at The University of Texas MD Anderson Cancer Center for editorial review of this manuscript.

Funding

This work was supported in part by the National Institutes of Health through Specialized Program of Research Excellence (SPORE) Grant CA070907 and The University of Texas MD Anderson Cancer Center Core Support Grant CA016672 (Lung Program DNA Analysis and Bioinformatics Core Facilities). Further support came from MD Anderson Cancer Center endowed funds, including the Moon Shot Program, the Staging Lung Cancer Research Fund, and the M.W. Elkins Endowed Fund for Thoracic Surgical Oncology.

References

- Siegel R, Ma J, Zou Z, Jemal A. Cancer statistics, 2014. CA Cancer J. Clin. 2014; 64:9–29. [PubMed: 24399786]
- Jemal A, Bray F, Center MM, Ferlay J, Ward E, Forman D. Global cancer statistics. CA Cancer J. Clin. 2011; 61:69–90. [PubMed: 21296855]
- Lozano R, Naghavi M, Foreman K, Lim S, Shibuya K, Aboyans V, et al. Global and regional mortality from 235 causes of death for 20 age groups in 1990 and 2010: a systematic analysis for the Global Burden of Disease Study 2010. Lancet. 2012; 380:2095–2128. [PubMed: 23245604]
- Ding L, Getz G, Wheeler DA, Mardis ER, McLellan MD, Cibulskis K, et al. Somatic mutations affect key pathways in lung adenocarcinoma. Nature. 2008; 455:1069–1075. [PubMed: 18948947]
- Cancer Genome Atlas Research Network. Hammerman PS, Hayes DN, Wilkerson MD, Schultz N, Bose R, et al. Comprehensive genomic characterization of squamous cell lung cancers. Nature. 2012; 489:519–525. [PubMed: 22960745]
- Govindan R, Ding L, Griffith M, Subramanian J, Dees ND, Kanchi KL, et al. Genomic landscape of non-small cell lung cancer in smokers and never-smokers. Cell. 2012; 150:1121–1134. [PubMed: 22980976]
- 7. Imielinski M, Berger AH, Hammerman PS, Hernandez B, Pugh TJ, Hodis E, et al. Mapping the hallmarks of lung adenocarcinoma with massively parallel sequencing. Cell. 2012; 150:1107–1120. [PubMed: 22980975]

- Pao W, Miller V, Zakowski M, Doherty J, Politi K, Sarkaria I, et al. EGF receptor gene mutations are common in lung cancers from "never smokers" and are associated with sensitivity of tumors to gefitinib and erlotinib. Proc. Natl. Acad. Sci. U.S.A. 2004; 101:13306–13311. [PubMed: 15329413]
- Lynch TJ, Bell DW, Sordella R, Gurubhagavatula S, Okimoto RA, Brannigan BW, et al. Activating mutations in the epidermal growth factor receptor underlying responsiveness of non-small-cell lung cancer to gefitinib. N. Engl. J. Med. 2004; 350:2129–2139. [PubMed: 15118073]
- Paez JG, Janne PA, Lee JC, Tracy S, Greulich H, Gabriel S, et al. EGFR mutations in lung cancer: correlation with clinical response to gefitinib therapy. Science. 2004; 304:1497–1500. [PubMed: 15118125]
- Shepherd FA, Rodrigues PJ, Ciuleanu T, Tan EH, Hirsh V, Thongprasert S, et al. Erlotinib in previously treated non-small-cell lung cancer. N. Engl. J. Med. 2005; 353:123–132. [PubMed: 16014882]
- Cappuzzo F, Ciuleanu T, Stelmakh L, Cicenas S, Szczesna A, Juhasz E, et al. Erlotinib as maintenance treatment in advanced non-small-cell lung cancer: a multicentre, randomised, placebo-controlled phase 3 study. Lancet Oncol. 2010; 11:521–529. [PubMed: 20493771]
- 13. Fukuoka M, Wu YL, Thongprasert S, Sunpaweravong P, Leong SS, Sriuranpong V, et al. Biomarker analyses and final overall survival results from a phase III, randomized, open-label, first-line study of gefitinib versus carboplatin/paclitaxel in clinically selected patients with advanced non-small-cell lung cancer in Asia (IPASS). J. Clin. Oncol. 2011; 29:2866–2874. [PubMed: 21670455]
- Shaw AT, Kim DW, Mehra R, Tan DS, Felip E, Chow LQ, et al. Ceritinib in ALK-rearranged nonsmall-cell lung cancer. N. Engl. J. Med. 2014; 370:1189–1197. [PubMed: 24670165]
- Davare MA, Saborowski A, Eide CA, Tognon C, Smith RL, Elferich J, et al. Foretinib is a potent inhibitor of oncogenic ROS1 fusion proteins. Proc. Natl. Acad. Sci. U.S.A. 2013; 110:19519– 19524. [PubMed: 24218589]
- Bergethon K, Shaw AT, Ou SH, Katayama R, Lovly CM, McDonald NT, et al. ROS1 rearrangements define a unique molecular class of lung cancers. J. Clin. Oncol. 2012; 30:863–870. [PubMed: 22215748]
- Garber K. From human to mouse and back: 'tumorgraft' models surge in popularity. J. Natl. Cancer Inst. 2009; 101:6–8. [PubMed: 19116380]
- Johnson JI, Decker S, Zaharevitz D, Rubinstein LV, Venditti JM, Schepartz S, et al. Relationships between drug activity in NCI preclinical in vitro and in vivo models and early clinical trials. Br. J. Cancer. 2001; 84:1424–1431. [PubMed: 11355958]
- Voskoglou-Nomikos T, Pater JL, Seymour L. Clinical predictive value of the in vitro cell line, human xenograft, and mouse allograft preclinical cancer models. Clin. Cancer Res. 2003; 9:4227– 4239. [PubMed: 14519650]
- Kola I. The state of innovation in drug development. Clin. Pharmacol. Ther. 2008; 83:227–230. [PubMed: 18202690]
- Arrowsmith J. Trial watch: phase II failures: 2008–2010. Nat. Rev. Drug Discov. 2011; 10:328– 329. [PubMed: 21532551]
- 22. Sivanand S, Pena-Llopis S, Zhao H, Kucejova B, Spence P, Pavia-Jimenez A, et al. A validated tumorgraft model reveals activity of dovitinib against renal cell carcinoma. Sci. Transl. Med. 2012; 4:137ra75.
- John T, Kohler D, Pintilie M, Yanagawa N, Pham NA, Li M, et al. The ability to form primary tumor xenografts is predictive of increased risk of disease recurrence in early-stage non-small cell lung cancer. Clin. Cancer Res. 2011; 17:134–141. [PubMed: 21081655]
- Rubio-Viqueira B, Jimeno A, Cusatis G, Zhang X, Iacobuzio-Donahue C, Karikari C, et al. An in vivo platform for translational drug development in pancreatic cancer. Clin. Cancer Res. 2006; 12:4652–4661. [PubMed: 16899615]
- 25. Julien S, Merino-Trigo A, Lacroix L, Pocard M, Goere D, Mariani P, et al. Characterization of a large panel of patient-derived tumor xenografts representing the clinical heterogeneity of human colorectal cancer. Clin. Cancer Res. 2012; 18:5314–5328. [PubMed: 22825584]

- Dong X, Guan J, English JC, Flint J, Yee J, Evans K, et al. Patient-derived first generation xenografts of non-small cell lung cancers: promising tools for predicting drug responses for personalized chemotherapy. Clin. Cancer Res. 2010; 16:1442–1451. [PubMed: 20179238]
- 27. Bertotti A, Migliardi G, Galimi F, Sassi F, Torti D, Isella C, et al. A molecularly annotated platform of patient-derived xenografts ("xenopatients") identifies HER2 as an effective therapeutic target in cetuximab-resistant colorectal cancer. Cancer Discov. 2011; 1:508–523. [PubMed: 22586653]
- 28. Perez-Soler R, Kemp B, Wu QP, Mao L, Gomez J, Zeleniuch-Jacquotte A, et al. Response and determinants of sensitivity to paclitaxel in human non-small cell lung cancer tumors heterotransplanted in nude mice. Clin. Cancer Res. 2000; 6:4932–4938. [PubMed: 11156254]
- Hidalgo M, Bruckheimer E, Rajeshkumar NV, Garrido-Laguna I, De OE, Rubio-Viqueira B, et al. A pilot clinical study of treatment guided by personalized tumorgrafts in patients with advanced cancer. Mol. Cancer Ther. 2011; 10:1311–1316. [PubMed: 21673092]
- Morelli MP, Calvo E, Ordonez E, Wick MJ, Viqueira BR, Lopez-Casas PP, et al. Prioritizing phase I treatment options through preclinical testing on personalized tumorgraft. J. Clin. Oncol. 2012; 30:e45–e48. [PubMed: 22184402]
- Fichtner I, Rolff J, Soong R, Hoffmann J, Hammer S, Sommer A, et al. Establishment of patientderived non-small cell lung cancer xenografts as models for the identification of predictive biomarkers. Clin. Cancer Res. 2008; 14:6456–6468. [PubMed: 18927285]
- Kim MP, Evans DB, Wang H, Abbruzzese JL, Fleming JB, Gallick GE. Generation of orthotopic and heterotopic human pancreatic cancer xenografts in immunodeficient mice. Nat. Protoc. 2009; 4:1670–1680. [PubMed: 19876027]
- Li H, Durbin R. Fast and accurate short read alignment with Burrows-Wheeler transform. Bioinformatics. 2009; 25:1754–1760. [PubMed: 19451168]
- 34. Li H, Handsaker B, Wysoker A, Fennell T, Ruan J, Homer N, et al. The sequence alignment/map format and SAMtools. Bioinformatics. 2009; 25:2078–2079. [PubMed: 19505943]
- 35. Koboldt DC, Zhang Q, Larson DE, Shen D, McLellan MD, Lin L, et al. VarScan 2: somatic mutation and copy number alteration discovery in cancer by exome sequencing. Genome Res. 2012; 22:568–576. [PubMed: 22300766]
- Lonigro RJ, Grasso CS, Robinson DR, Jing X, Wu YM, Cao X, et al. Detection of somatic copy number alterations in cancer using targeted exome capture sequencing. Neoplasia. 2011; 13:1019– 1025. [PubMed: 22131877]
- Bamford S, Dawson E, Forbes S, Clements J, Pettett R, Dogan A, et al. The COSMIC (Catalogue of Somatic Mutations in Cancer) database and website. Br. J. Cancer. 2004; 91:355–358. [PubMed: 15188009]
- Kumar P, Henikoff S, Ng PC. Predicting the effects of coding non-synonymous variants on protein function using the SIFT algorithm. Nat. Protoc. 2009; 4:1073–1081. [PubMed: 19561590]
- Adzhubei IA, Schmidt S, Peshkin L, Ramensky VE, Gerasimova A, Bork P, et al. A method and server for predicting damaging missense mutations. Nat. Methods. 2010; 7:248–249. [PubMed: 20354512]
- 40. Gonzalez-Perez A, Lopez-Bigas N. Improving the assessment of the outcome of nonsynonymous SNVs with a consensus deleteriousness score. Condel. Am. J. Hum. Genet. 2011; 88:440–449.
- 41. Reva B, Antipin Y, Sander C. Predicting the functional impact of protein mutations: application to cancer genomics. Nucleic Acids Res. 2011; 39:e118. [PubMed: 21727090]
- Liu P, Morrison C, Wang L, Xiong D, Vedell P, Cui P, et al. Identification of somatic mutations in non-small cell lung carcinomas using whole-exome sequencing. Carcinogenesis. 2012; 33:1270– 1276. [PubMed: 22510280]
- 43. Ahn JW, Kim HS, Yoon JK, Jang H, Han SM, Eun S, et al. Identification of somatic mutations in EGFR/KRAS/ALK-negative lung adenocarcinoma in never-smokers. Genome Med. 2014; 6:18. [PubMed: 24576404]
- Lawrence MS, Stojanov P, Polak P, Kryukov GV, Cibulskis K, Sivachenko A, et al. Mutational heterogeneity in cancer and the search for new cancer-associated genes. Nature. 2013; 499:214– 218. [PubMed: 23770567]

- 45. Ruault M, Brun ME, Ventura M, Roizes G, De SA. MLL3, a new human member of the TRX/MLL gene family, maps to 7q36, a chromosome region frequently deleted in myeloid leukaemia. Gene. 2002; 284:73–81. [PubMed: 11891048]
- Vogelstein B, Papadopoulos N, Velculescu VE, Zhou S, Diaz LA Jr, Kinzler KW. Cancer genome landscapes. Science. 2013; 339:1546–1558. [PubMed: 23539594]
- 47. Lee J, Kim DH, Lee S, Yang QH, Lee DK, Lee SK, et al. A tumor suppressive coactivator complex of p53 containing ASC-2 and histone H3-lysine-4 methyltransferase MLL3 or its paralogue MLL4. Proc. Natl. Acad. Sci. U.S.A. 2009; 106:8513–8518. [PubMed: 19433796]
- 48. Li F, Mao G, Tong D, Huang J, Gu L, Yang W, et al. The histone mark H3K36me3 regulates human DNA mismatch repair through its interaction with MutSalpha. Cell. 2013; 153:590–600. [PubMed: 23622243]
- 49. Chen C, Liu Y, Rappaport AR, Kitzing T, Schultz N, Zhao Z, et al. MLL3 is a Haploinsufficient 7q tumor suppressor in acute myeloid leukemia. Cancer Cell. 2014; 25:652–665. [PubMed: 24794707]
- Zhu X, He F, Zeng H, Ling S, Chen A, Wang Y, et al. Identification of functional cooperative mutations of SETD2 in human acute leukemia. Nat. Genet. 2014; 46:287–293. [PubMed: 24509477]
- Gerlinger M, Rowan AJ, Horswell S, Larkin J, Endesfelder D, Gronroos E, et al. Intratumor heterogeneity and branched evolution revealed by multiregion sequencing. N. Engl. J. Med. 2012; 366:883–892. [PubMed: 22397650] [Erratum appears in N Engl J Med. 2012 Sep 6;367(10):976]
- 52. Director's Challenge Consortium for the Molecular Classification of Lung Adenocarcinoma. Shedden K, Taylor JM, Enkemann SA, Tsao MS, Yeatman TJ, et al. Gene expression-based survival prediction in lung adenocarcinoma: a multi-site, blinded validation study. Nat. Med. 2008; 14:822–827. [PubMed: 18641660]
- 53. Thuerigen O, Schneeweiss A, Toedt G, Warnat P, Hahn M, Kramer H, et al. Gene expression signature predicting pathologic complete response with gemcitabine, epirubicin, and docetaxel in primary breast cancer. J. Clin. Oncol. 2006; 24:1839–1845. [PubMed: 16622258]
- 54. Cardnell RJ, Feng Y, Diao L, Fan YH, Masrorpour F, Wang J, et al. Proteomic markers of DNA repair and PI3K pathway activation predict response to the PARP inhibitor BMN 673 in small cell lung cancer. Clin. Cancer Res. 2013; 19:6322–6328. [PubMed: 24077350]
- 55. He Y, Zhou Z, Hofstetter WL, Zhou Y, Hu W, Guo C, et al. Aberrant expression of proteins involved in signal transduction and DNA repair pathways in lung cancer and their association with clinical parameters. PLoS ONE. 2012; 7:e31087. [PubMed: 22348039]
- 56. Devaud C, Westwood JA, John LB, Flynn JK, Paquet-Fifield S, Duong CP, et al. Tissues in different anatomical sites can sculpt and vary the tumor microenvironment to affect responses to therapy. Mol. Ther. 2014; 22:18–27. [PubMed: 24048441]
- 57. Vahle AK, Kerem A, Ozturk E, Bankfalvi A, Lang S, Brandau S. Optimization of an orthotopic murine model of head and neck squamous cell carcinoma in fully immunocompetent mice – role of toll-like-receptor 4 expressed on host cells. Cancer Lett. 2012; 317:199–206. [PubMed: 22123294]
- Yoon S, Zhang R, Bucana C, Fidler I. Epigenetic regulation of multidrug-resistance and metastasis-related genes in human lung adenocarcinoma cells growing in ectopic or orthotopic organs of nude-mice. Int. J. Oncol. 1995; 7:1261–1267. [PubMed: 21552958]



Fig. 1.

Generation of lung cancer PDXs. (A) The implantation rates based on histo-pathological diagnosis and differentiations. AC: Adenocarcinoma; SC: squamous carcinoma; NE: neuroendocrine cancer. (B) Histological analysis of two PDXs and their corresponding tumors. The case numbers are the same as shown in Table 1 and Fig. 2. Case 11: poorly differentiated adenocarcinoma; Case 21: moderately differentiated neuroendocrine cancer.

Cases	1	2	3	4	5	6	7	8	9	10	11	12	12	14	15	16	17	18	19	20	21	22	23
Diagnosis	SC	AC	NE	NE	LC/NE																		
TP53																							
KRAS																							
MLL3																							
ARID1A																							
ATM																							
CRIPAK																							
FAM135B																							
HGF																							
PIK3CA																							
SETD2																							
ALK																							
AR																							
APC																							
BAI3																							
BRCA2																							
CDKN2A																							
EGFR																							
EP300																							
FBXW7																							
FLT3																							
KDR																							
MSH6																							
NF1																							
NCOR1																							
PAPPA2																							
PDGFRA																							
PIKFYVE																							
RUNX1																							
STK11																							

Fig. 2.

Mutation status in 23 primary tumors of 29 genes with a deleterious mutation in at least 2 of the tumors. The 23 cases of lung cancer are arranged from left to right in the same order as in Table 1. SC: squamous cell carcinoma; AC: adenocarcinoma; NE: neuroendocrine cancer; LC: large cell cancer. Orange: stop or frame-shift mutations; gray: deleterious or damaging mis-sense mutations.





Comparison of mutations in primary tumors and corresponding PDXs. (A) Mutations identified in 23 pairs of primary tumors and PDXs, showing numbers of unique and common mutations. (B and C) Allele frequencies of mutations in 2 pairs of primary tumors and their corresponding PDXs. The numbers on the X-axis represent individual mutations detected in primary tumor and/or PDX. As shown in the graphs, the allele frequencies in PDXs are often dramatically greater than in the primary tumor. In the pair shown in B, a substantial number of mutations detected in the PDX were not detected in the primary tumor.



Fig. 4.

Mutations in different passages of the same PDXs. F1 and F3 passage samples from one PDX were analyzed for mutations in the 202 genes. The graph represents allele frequencies for 48 mutations detected in both F1 and F3 samples. Correlation analysis revealed that the allele frequencies detected in these two samples were well correlated (r = 0.988, P = 0.000).

Page 16

Table 1

Patient demographic and clinical information for primary lung cancers (n = 23).

Age/race/sex	Histology	Stage	Passage*	Differentiation	Smoking
72/White/Female	SC	IIB (T2N0M0)	2	Moderate	Yes
70/White/Male	SC	IB (T2AN0M0)	2	Moderate	Yes
66/White/Female	SC	IB (T2N0M0)	2	Moderate	Yes
63/White/Female	SC	IB (T2N0M0)	2	Poor	Yes
38/White/Female	SC	IIB (T2BN1M0)	2	Moderate	No
53/White/Female	SC	II (T2AN1M0)	2	Well	Yes
49/White/Female	SC	IIIA (T3N1M0)	2	Poor	Yes
57/African/Male	SC	IIB (T3N0M0)	4	Moderate	Yes
63/Caucasia/Male	SC	IIA (T2N1M0)	4	Moderate	Yes
54/Caucasia/Male	AC	IIIA(T2N2M0)	3	Poor	Yes
58/White/Female	AC	II (T3N0M0)	2	Poor	Yes
75/White/Male	AC	II (T2AN0M0)	3	Well	Yes
55/Black/Female	AC	IB (T2N0M0)	3	Moderate	No
73/White/Female	AC	IB (T2AN0M0)	1	Moderate	Yes
55/Hispanic/Male	AC	IIB (T2N0M0)	3	Moderate	Yes
62/Black/Female	AC	IB (T2N0M0)	2	Well	Yes
68/Hispanic/Male	AC	IIIA(T2AN0M0)	2	Moderate	Yes
51/White/Male	AC	IA(T1N0M0)	2	Poor	Yes
64/Asian/Male	AC	IB (T2N0M0)	2	Moderate	Yes
85/White/Male	AC	IA(T2AN0M0)	2	Poor	Yes
54/White/Female	NE	IIIA	2	Moderate	Yes
51/Hispanic/Male	Carcinoma/NE	IIIA(T3N1M0)	3	Poor	Yes
43/White/Male	Large cell/NE	IIIA(T3N1M0)	3	Poor	Yes

SC, squamous cell carcinoma; AC, adenocarcinoma; NE, neuroendocrine cancer.

 * Passage number indicates PDX passages (F) that were used in sequencing analysis.

Table 2

Consistency of 2 different assay results for the same pair of samples.

Genes	Mutation	Primary test1 allele frequent (%)	Primary test2 allele frequent (%)	PDX test1 allele frequent (%)	PDX test2 allele frequent (%)
NOTCH2	A3V	10.7	ND	ND	ND
NOTCH2	A3S	10.8	ND	ND	ND
RYR2	A979S	42.8	40.2	45.0	47.0
TSC2	A1141V	40.6	40.1	47.4	55.8
TP53	T175H	24.4	23.6	ND	ND
RNF213	V2806I	67.8	64.3	49.2	52.4
JAK3	G284R	59.1	61.1	41.3	43.9
FLT4	A628P	53.2	55.2	50.0	50.0
RELN	T444M	61.0	58.5	44.4	50.0
FAM135B	Q70Q	48.2	46.3	48.5	48.6
NOTCH1	A2279V	37.5	33.9	52.6	45.0
KDM6A	1421T	ND	ND	10.2	ND
AR	Q65L	ND	ND	10.5	ND

ND, not detected.

Table 3

Common deleterious mutations detected in 23 primary tumors.

Genes	Mutations number (%)	Proteins	Protein functions
TP53	10 (43.5)	Tumor protein p53	Cell cycle, apoptosis, DNA repair
KRAS	5 (21.7)	KRAS	RAS GTPase
MLL3	5 (21.7)	Histon-lysine N-methyltransferase	Mixed-lineage leukemia3, DNA repair
SETD2	5 (21.7)	Histon-lysine N-methyltransferase	DNA repair
ARID1A	4 (17.4)	AT rich interactive domain 1A	Chromatin remodeling
PIK3CA	4 (17.4)	PI3 kinase catalytic α unit	PI3K signaling
ALK	4 (17.4)	Anaplastic lymphoma receptor kinase	Signal transduction
STK11	3 (13.0)	Liver kinase B1 (LKB1)	Signal transduction
ATM	3 (13.0)	ATM Kinase	DNA repair

Table 4

Mutations in kinase and kinase regulators.

Mutations number (%)	Genes of kinases and their regulators
3 (13.0)	ALK, ATM, CRIPAK, IGF1R, KDR, PDGFRA, PIK3CA, STK11
2 (8.7)	CDK6, EGFR,FLT3, PIKFYVE,CDKN2A, PIK3CG, TGFBR2
1 (4.3)	ABL1, ARAF, ATR, AURKA, BRAF, CDK4, CHEK1, DDR2, FLT4, JAK1, JAK2, JAK3, KIT, MAP3K1, MAP3K4, PTK2, PTEN