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## Health-related quality of life in children with sickle cell anemia: impact of blood transfusion therapy

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### Abstract

The completion of the Multicenter Silent Infarct Transfusion Trial demonstrated that children with pre-existing silent cerebral infarct and sickle cell anemia (SCA) who received regular blood transfusion therapy had a 58% relative risk reduction of infarct recurrence when compared to observation. However, the total benefit of blood transfusion therapy, as assessed by the parents, was not measured against the burden of monthly blood transfusion therapy. In this planned ancillary study, we tested the hypothesis that a patient centered outcome, health-related quality of life (HRQL), would be greater in participants randomly assigned to the blood transfusion therapy group than the observation group. A total of 89% (175 of 196) of the randomly allocated participants had evaluable entry and exit HRQL evaluations. The increase in Change in Health, measured as the child's health being better, was significantly greater for the transfusion group than

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the observation group (Difference Estimate =  $-0.54$ ,  $p = 0.001$ ). This study provides the first evidence that children with SCA who received regular blood transfusion therapy felt better and had better overall HRQL than those who did not receive transfusion therapy.

## Keywords

blood transfusion; health-related quality of life; children; sickle cell anemia; pediatrics

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## Introduction

As patient-centered care advances, measurement of health-related quality of life (HRQL) has become increasingly important when assessing the impact of a disease or therapy on a child. [1, 2] Assessment of HRQL captures essential aspects of patients' experiences such as how they are functioning and feeling. To obtain patients' perspectives on the effectiveness of therapy and to provide evidence on how treatment will impact what patients likely care about most, HRQL measurement must become an essential outcome measurement in clinical trials. Historically, clinical trials of children with sickle cell anemia (SCA) have not reported HRQL outcomes. HRQL outcomes are particularly relevant for children with SCA as they are at high risk for acute complications such as stroke, pain, acute chest syndrome, and priapism. Furthermore, there is abundant research that children with SCA often have poor HRQL [3–6] thus supporting the need for clinical trials of therapeutics aimed at improving HRQL for these children.

Blood transfusion therapy is a primary medical treatment for reducing many of the risks noted above in children with SCA. [7–9] Transfusion therapy is used to reduce the hemoglobin S concentration with a goal to treat or prevent many acute sickle cell-related complications. [10] Regular blood transfusion therapy reduces the incidence of pain, acute chest syndrome [10, 11] and primary stroke prevention in children with elevated transcranial Doppler. [12] The recently published Silent Cerebral Infarct Transfusion (SIT) trial found a 58% relative risk reduction in the rate of infarct recurrence and a decreased incidence of pain events and acute chest syndrome in children who received blood transfusion therapy versus those who did not. [7] The primary outcome measure of the trial was the presence of a new infarct or stroke; however, the additional benefits and burden of blood transfusion therapy was not assessed in the primary analysis. The current study seeks to fill this gap in research by utilizing data from the SIT trial, a clinical trial cohort of transfused and non-transfused children with silent cerebral infarcts. [7] As a planned secondary analysis of the SIT Trial, we tested the hypothesis that the patient centered outcome of HRQL would be greater after completion of the trial in participants randomly assigned to the blood transfusion therapy group versus the observation group.

## Methods

### Study setting and patients

This study was a planned secondary analysis of data collected for the Silent Cerebral Infarct Transfusion (SIT) trial.[7] The SIT trial was a multi-site intervention study of children with

SCA and silent cerebral infarcts that was designed to assess the impact of blood transfusion therapy on recurrent cerebral infarcts. The detailed study and clinical trial design methods for this study have been previously published. [13] Parents/guardians of children ages 5 to 15 years with SCA (hemoglobin SS disease or hemoglobin S $\beta^0$  thalassemia) were recruited to participate. Children identified by brain MRI and neurological examination to have a silent cerebral infarct (SCI) were randomized (n = 196) into two groups: treatment and observation. The treatment group received blood transfusion therapy, while the observation group continued with usual care. All parents of participants in the SIT trial completed an assessment of their child's HRQL via the Child Health Questionnaire Parent Form 50 at baseline as part of the trial after qualifying with a SCI, but prior to the initiation of blood transfusion therapy for those subjects randomized to the transfusion arm. Parents completed the assessment of HRQL again at the time of the child's last visit on the trial (36 months) or at the time of a neurological event such as an overt stroke.

Demographic data were parent/guardian-reported for the child and self-reported for the parent/guardian at baseline. The Institutional Review Boards at each of the participating sites in the SIT trial approved the study. Scientists within the Division of Biostatistics at Washington University School of Medicine in St. Louis, Missouri analyzed the data and provided all authors with access to data when requested. The SIT trial was registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) as #NCT00072761.

### Study groups: transfusion vs. observation

Participants were randomized to either the transfusion group (n = 99) or to the observation group (n = 97). Participants randomized to transfusion received monthly transfusions for 36 months, whereas participants randomized to observation received standard care for 36 months. Fifteen participants randomly assigned to transfusion crossed over to observation (e.g., declined blood transfusion), and six participants crossed over from observation to transfusion (Figure 1).

### Primary outcome

The primary outcome was HRQL as measured with the Child Health Questionnaire Parent Form 50 (CHQ\_PF50) [14]. The CHQ\_PF50 is a generic multi-dimensional measure of HRQL that is developmentally appropriate for the assessment of children ages 5 to 18 years. It requires a third grade reading level and utilizes a 1-month recall period. There are 15 concepts (11 multi-item scales and 4 single items) measured with the CHQ\_PF50 that comprise 50 total items: Global Health (1-item), Physical Functioning, Role/Social Limitations-Emotional/Behavioral, Role/Social Limitations-Physical, Bodily Pain/Discomfort, Behavior, Global Behavior (1-item), Mental Health, Self-esteem, General Health Perceptions, Change in Health (1-item), Parental Impact-Emotional, Parental Impact-Time, Family-Limitations in Activities, and Family Cohesion (1-item). The majority of the items are anchored on a recall period of four weeks or less, except for the Change in Health item which asks, "Compared to one year ago, how would you rate your child's health now?" The CHQ\_PF50 was completed by parents/guardians of children ages 5 to 18 years at baseline and at the time of a neurological event (overt stroke) or at study exit at 36 months.

The CHQ\_PF50 uses a 4 to 6 point response scale for items and scores are transformed to 0 to 100 for interpretation for all domains except Change in Health. The Change in Health domain consists of one item with a response scale of 1 to 5 and a final score that represents the raw score without transformation to a 0–100 scale. For all domains, a higher score represents a better quality of life. For example, a higher score on Bodily Pain would signify *less* pain indicating better quality of life. In addition, there are two summary scores: a Physical Health Summary score and Psychosocial Summary score. The scoring of the instrument allows a scaled score to be calculated if at least 50% of the items in each domain are answered [14].

The CHQ\_PF50 was chosen as the instrument to measure HRQL in this study because it has been extensively tested, validated, and found to be reliable among children who have chronic illness (e.g. cancer and asthma) as well as childhood stroke [15] and has norms for a healthy comparison group. [14, 16–21] In addition, both the CHQ\_PF50 and the CHQ\_PF28 (the short form version) have been utilized in children with SCA and shown to be reliable in this population. [22–24]

Given the known impact of disease severity on HRQL, we examined whether the study groups were equally distributed in regards to severe disease at the baseline assessment. [3] Similar to our previous research, patients were classified as having severe SCA if they experienced additional, more severe complications of the disease – specifically, acute chest syndrome or three or more hospitalizations for painful events in the three years prior to study enrollment. [3, 25] All others were classified as having mild SCA for this analysis.

## Data analysis

Descriptive statistics were calculated for CHQ\_PF50 summary scores and CHQ\_PF50 scale scores both at baseline and at exit time periods. Chi-square tests for independence were conducted to determine whether study groups were equally distributed in regards to demographic characteristics at baseline. Additionally, differences between groups at baseline were tested for rates of hospitalization for pain and acute chest syndrome using independent t-tests. Differences in mean HRQL scores were calculated between groups at baseline and exit as well as over time using independent-samples and paired-samples t-tests. To control for the possibility of false positives due to multiple testing, the false discovery rate (FDR) approach was applied to the subscale analysis, with control set to 5%. [26] An estimated least squares means (LSM) test, based on two-way repeated-measures analysis of variance, was used to compare the change in HRQL over time, from baseline to exit, between the transfusion group and the observation group.

## Results

### Study sample

Participants included 196 children, of whom 21 did not have any baseline assessment and 20 did not have any exit assessment, leaving 175 evaluable participants at baseline and 176 at exit (Figure 1). In addition, some of the remaining participants did not have the minimum 50% of items necessary to calculate each scale score (i.e., did not earn a score for that

particular scale). Specifically, 29 participants had up to 6 scale scores missing at baseline. At exit, 47 participants had up to 2 scale scores missing. Thus, of evaluable data, 1.5% was missing at baseline and 1.9% was missing at exit. The final analysis included a maximum of 92 and 84 participants in the treatment and observation groups, respectively, and varied based on questions answered by each participant.

Children (43% female) ranged in age from 6 to 16 ( $M = 9.55$ ) years at study enrollment, and 92% were Black. Overall, 48% of children were classified as having severe disease. Additional baseline demographics are displayed by study group in Table I. There were no differences between groups at baseline in regards to rate of hospitalization for pain [ $t = -0.471, p = 0.638$ ] or rate of hospitalization for acute chest syndrome,  $t = -0.675, p = 0.500$ . Means and standard deviations for HRQL reported at baseline and exit for both study groups are displayed in Table II.

### **No differences in HRQL between groups at baseline; some differences between groups detected at exit**

As expected, no significant differences were found in HRQL between the study groups at baseline; however, differences in some areas of HRQL were detected between study groups at exit. Specifically, children's scores at exit for Physical Function were 11.50 points higher for those in the transfusion group compared to those in the observation group,  $t = 3.25, p = 0.001$ . Also, compared to children who did not receive transfusion therapy, children who received transfusions scored an average of 11.08 points higher on Bodily Pain, [ $t = 2.93, p = 0.004$ ] and 0.47 points higher (on a 1–5 point scale) on Change in Health,  $t = 3.29, p = 0.001$ . Additionally, children in the transfusion group scored 5.99 points higher on Physical Summary Scores at exit than children in the observation group,  $t = 3.06, p = 0.003$ . Thus, parents of children who received transfusions reported better overall physical functioning, less bodily pain, and more improved overall health than children who were in the observation group.

### **Transfusion group reported changes over time in distinct areas of HRQL**

**Observation Group**—There were no significant changes over time for the observation group.

**Transfusion Group**—Children who were transfused had increases ( $M_D = 0.97$ ) in their Change in Health scores [ $t = 7.71, p = 0.001$ ] as well as increases ( $M_D = 5.03$ ) in their General Health scores [ $t = 2.97, p = 0.004$ ] between baseline and exit, reflecting better health than when compared to the previous year and greater belief that the child's health is good. The Physical Summary Scores were significantly improved ( $M_D = 4.86$ ) from beginning to end of the study,  $t = 2.89, p = 0.005$  reflecting overall better physical functioning.

### **Transfusion group reported greater change in health than observation group**

Significant differences in the change in HRQL were detected between study groups from baseline to exit in one area. An estimated LSM test (Table III) revealed the increase in Change in Health over time for the transfusion group was significantly greater than the

increase in Change in Health over time for the observation group. There were no other statistically significant differences in the change in HRQL between study groups over time.

## Discussion

The SIT trial was designed primarily to assess the impact of blood transfusion therapy on recurrent cerebral infarct; thus, the study was not designed to determine the overall impact of transfusion therapy on HRQL. However, even with this design, this study provides the first evidence from a parent's perspective that their child's HRQL improves with blood transfusion therapy. Because children with SCA typically have poor HRQL in comparison to children without SCA, [5] interventions that improve their HRQL are important. Participants in the current study who received transfusion therapy to prevent infarct recurrence had improvements in many important areas of HRQL. Specifically, parents reported that their children had greater physical health functioning and better health than one year prior. Parents also reported that their children had overall good health.

Our primary finding revealed that the transfusion group reported greater improvement in health than the observation group. Specifically, parents reported their children's health was better on the transfusion treatment arm of this randomized trial. This distinction is important, as it shows the impact of the transfusion therapy from the parents' perspective. Although the domain that measures this, the Change in Health item, is a single-item global assessment of the patients' perception of "feeling better," recent research has demonstrated the relevance of this type of global health item to measure a patient's well-being. [27] For example, individuals who had worse scores on a single-item of general self-rated health had higher healthcare expenditures. [28] Further, a meta-analysis revealed that single-item health measures have shown a strong association with mortality, such that those individuals reporting worse health had a higher risk of mortality. [29] These studies demonstrate the ability of a single-item to capture important information about an individual's health status. Therefore, the significant between-groups effect for Change in Health is considered a meaningful finding that fits with clinical expectations of blood transfusion therapy.

It is unknown what minimal clinically important differences are for the CHQ\_PF50 and this study was not designed to determine this difference. However, the fact that parents reported their child's Change in Health score increased by an average of nearly one point demonstrates that parents noticed an overall improvement in their child's HRQL. Thus, even though our study was not designed to determine longitudinal validity, it is likely the CHQ\_PF50 is capable of such a calculation in future studies.

The current study was limited by a pre/post design. Incorporating more assessments of HRQL during the study period may have allowed us to see more detailed changes over time. With a pre/post design, we were unable to determine when the transfusion therapy had the most impact over the three years of the study. Detecting this information would require multiple assessments over the course of the study. Despite this limitation, these results are still the largest cohort to demonstrate a measurable difference in HRQL for children receiving chronic transfusions versus those under observation alone.

It is also important to note that patients enrolled in the SIT trial were recommended to attend clinic every three months if they were in the observation group and every three to four weeks for those in the transfusion group. [13] By participating in the trial, children and their parents may have received more medical attention than non-clinical trial patients.

Additionally, this clinical trial was comprised of children who otherwise did not have clinical indications to receive transfusion therapy when they entered the trial (e.g., prior stroke, elevated cerebral blood flow velocities, or recurrent vaso-occlusive painful events). Compared to previous research using the CHQ to assess HRQL in children with SCD, children in the current study had comparable, but slightly higher, HRQL scores at baseline. [3, 23, 24]

Despite the prior use of multiple forms of the CHQ in the SCA population [22–24], the CHQ is not a disease-specific measure. However, at the time the study was opened in 2003, no disease-specific assessment of HRQL existed for SCA. Although the CHQ\_PF50 detected overall improvement in HRQL, it is likely that the CHQ\_PF50 could not detect disease-related HRQL effects that are relevant in this population. While parents of transfused children reported improvement in pain and physical functioning with the CHQ\_PF50, a tool specific to sickle cell disease may provide more details about changes in functioning related to transfusion therapy. Future studies could benefit by using a disease-specific measure, such as the PedsQL™ Sickle Cell Disease Module. [30]

In the era of the Affordable Care Act and with the creation of the Patient Centered Outcomes Research Institute (PCORI), it has become increasingly evident that clinical trials must incorporate the patient's perspective of their own functioning to determine the overall effectiveness of therapy. This study provides evidence that parents of children with SCA believe chronic blood transfusion therapy improves the health of their children despite the known risks of transfusion therapy. These findings support the use of HRQL as a primary clinical trial outcome, in conjunction with traditional medical outcomes, to understand how a treatment impacts patients' overall health and functioning from their own perspective. By including HRQL as a primary outcome, research and clinical practice can fully embrace patient-centered care.

Children with SCA who received chronic blood transfusion therapy had better overall parent-reported HRQL than children in the observation group. This novel finding is consistent with the reduction in hospitalizations for pain and acute chest syndrome experienced by children with SCA receiving blood transfusion therapy in prior clinical trials [31, 32] and in the SIT trial which includes this cohort of patients. [7] Blood transfusion therapy made children feel better. Including measurement of HRQL as a primary outcome in clinical trials in the future will help to further elucidate the impact of therapy on patient functioning by providing the essential perspective of the patient on the effectiveness of therapy.

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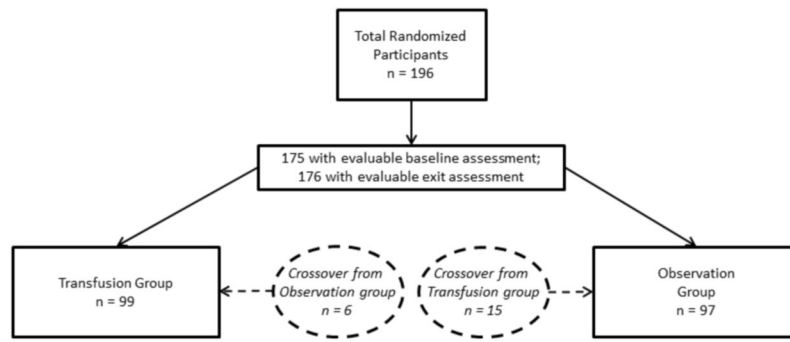
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**Figure 1.** Distribution of participants. Displays the breakdown of participants including those who had enough baseline and exit assessments to be included in analyses, as well as those who crossed between groups.

**Table I**

Baseline characteristics of participants by study group

	Transfusion (N = 99)	Observation (N = 97)
Age in years		
Mean	9.97	9.97
25 <sup>th</sup> Percentile	7.80	7.60
50 <sup>th</sup> Percentile	10.00	9.90
75 <sup>th</sup> Percentile	11.50	12.20
Ethnicity N(%)		
African Origin	90 (92.8)	91 (91.9)
White	0 (0.0)	2 (2.0)
Other	7 (7.2)	6 (6.1)
Gender N(%)		
Male	59 (59.6)	52 (53.6)
Female	40 (40.4)	45 (46.4)
Disease severity N(%)		
Mild	57 (57.6)	45 (46.4)
Severe	42 (42.4)	52 (53.6)

No differences were detected between study groups at baseline.

**Table II**

Means for health-related quality of life at baseline and exit

Group	Variable	Baseline		Exit	
		N	Mean	N	Mean
Transfusion (n=99)	General Health	82	65.43	82	68.35
	Global Behavior	82	79.09	87	75.57
	Change In Health	83	3.45	88	4.30
	Family Cohesion	85	78.82	90	75.61
	Physical Function	85	82.97	92	88.82
	Role-Emotion	84	82.74	92	84.12
	Role-Physical	84	79.96	92	88.04
	Bodily Pain	85	73.18	92	78.70
	Behavior	84	72.86	91	74.54
	Mental Health	84	75.95	92	80.01
	Self Esteem	84	83.86	92	82.22
	General Health	84	46.42	92	51.69
	Parental Impact-Emotion	84	57.84	90	65.79
	Parent Time	85	75.69	92	79.05
	Family-Limitations in Activities	85	73.17	92	79.33
Observation (n=97)	Physical Summary	82	41.03	89	46.16
	Psychosocial Summary	82	48.94	89	50.05
	General Health	84	63.33	72	66.53
	Global Behavior	83	75.48	78	78.33
	Change In Health	83	3.51	80	3.83
	Family Cohesion	89	78.76	81	78.89
	Physical Function	90	76.56	84	77.31
	Role-Emotion	90	74.44	83	82.13
	Role-Physical	90	77.22	84	81.55
	Bodily Pain	89	66.07	84	67.62
	Behavior	89	71.71	84	75.79
	Mental Health	89	76.53	84	78.88

Group	Variable	Baseline		Exit	
		N	Mean	N	Mean
	Self Esteem	90	84.44	84	79.84
	General Health	90	47.22	84	48.73
	Parental Impact-Emotion	90	63.33	84	66.67
	Parent Time	90	74.57	84	76.72
	Family-Limitations in Activities	90	72.93	84	76.78
	Physical Summary	88	38.62	83	40.16
	Psychosocial Summary	88	49.36	83	50.76

Estimated Least Squares Means (LSM) comparing the change in HRQL over time, from baseline to exit, between transfusion and observation groups

**Table III**

	Transfusion		Observation		Est.	95% CI [Lower, Upper]	P
	Baseline LSM	Exit LSM	Baseline LSM	Exit LSM			
Primary Outcome	3.44	4.30	3.50	3.82	-0.54	[-0.92, -0.17]	0.00
Secondary Outcomes							
Change in health	65.70	68.29	63.30	66.00	0.11	[-7.52, 7.73]	0.98
Global health	79.37	68.29	75.59	77.67	5.84	[-1.73, 13.42]	0.13
Global behavior	79.05	75.53	78.61	78.81	3.72	[-3.39, 10.83]	0.30
Family cohesion	82.98	88.67	76.75	76.90	-5.54	[-13.37, 2.29]	0.16
Physical function	82.87	84.06	74.53	81.76	6.05	[-3.71, 15.80]	0.22
Role-emotion	80.06	87.88	77.30	81.31	-3.82	[-13.84, 6.21]	0.45
Role-physical	73.18	78.51	66.21	67.56	-3.98	[-12.85, 4.89]	0.38
Bodily pain	72.80	74.49	71.91	75.02	1.42	[-4.37, 7.20]	0.63
Behavior	75.93	79.86	76.43	78.25	-2.10	[-7.31, 3.11]	0.43
Mental health	84.01	82.24	84.34	79.34	-3.22	[-8.73, 2.29]	0.25
Self esteem	46.45	51.60	47.12	48.68	-3.59	[-8.71, 1.53]	0.17
General health	57.86	65.94	63.22	66.04	-5.26	[-15.52, 5.01]	0.31
Parental Impact-Emotional	75.41	78.83	74.51	76.67	-1.27	[-11.53, 9.00]	0.81
Parent time	72.92	79.16	73.08	76.40	-2.93	[-10.17, 4.31]	0.43
Family-Limitations in Activities	41.04	46.06	38.68	39.90	-3.79	[-7.99, 0.42]	0.08
Physical summary	48.96	50.08	49.33	50.24	-0.22	[-3.44, 3.01]	0.89
Psychosocial summary							

CI, confidence interval; Est., Difference estimate.