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## The type III transforming growth factor beta receptor regulates vascular and osteoblast development during palatogenesis

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### Abstract

**Background**—Cleft palate occurs in up to 1:1000 live births and is associated with mutations in multiple genes. Palatogenesis involves a complex choreography of palatal shelf elongation, elevation, and fusion. Transforming growth factor  $\beta$  (TGF $\beta$ ) and bone morphogenetic protein 2 (BMP2) canonical signaling is required during each stage of palate development. The type III TGF $\beta$  receptor (TGF $\beta$ R3) binds all three TGF $\beta$  ligands and BMP2, but its contribution to palatogenesis is unknown.

**Results**—The role of TGF $\beta$ R3 during palate formation was found to be during palatal shelf elongation and elevation. *Tgfr3*<sup>-/-</sup> embryos displayed reduced palatal shelf width and height, changes in proliferation and apoptosis, and reduced vascular and osteoblast differentiation. Abnormal vascular plexus organization as well as aberrant expression of arterial (*Notch1*, *Alk1*), venous (*EphB4*), and lymphatic (*Lyve1*) markers was also observed. Decreased osteoblast differentiation factors (*Runx2*, *alk phos*, *osteocalcin*, *col1A1*, and *col1A2*) demonstrated poor mesenchymal cell commitment to the osteoblast lineage within the maxilla and palatal shelves in *Tgfr3*<sup>-/-</sup> embryos. Additionally, *in vitro* bone mineralization induced by osteogenic medium (OM +BMP2) was insufficient in *Tgfr3*<sup>-/-</sup> palatal mesenchyme, but mineralization was rescued by overexpression of TGF $\beta$ R3.

**Conclusions**—These data reveal a critical, previously unrecognized role for TGF $\beta$ R3 in vascular and osteoblast development during palatogenesis.

### Keywords

cleft palate; osteogenesis; vascularization; palatogenesis; TGF $\beta$ ; BMP

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## 2.1 Introduction

The palatal shelves appear around E11.5 in the mouse (week 6 in humans) and grow vertically along the lateral sides of the tongue. As the jaw continues to grow, the tongue descends, allowing space for the palatal shelves to elongate (E13.5) and elevate (E14.5) into a horizontal position. This is followed by adhesion and dissolution of the medial edge epithelial (MEE) seam at E15.5 giving rise to the single confluent palatal structure (Ferguson, 1988; Xu et al., 2006). All of these steps are dependent on coordinated proliferation, extracellular matrix production, and apoptosis. The palate is comprised predominantly of mesenchymal cells of neural crest origin and endothelial cells of mesodermal origin which are covered by epithelium of ectodermal origin (Yoshida et al., 2008). Neural crest migration into the frontonasal and maxillary prominences brings the lip and palatal processes into apposition to allow lip and palate fusion to occur (Murray and Schutte, 2004). Once the palatal shelves are fused, the anterior portion undergoes intramembranous ossification to form the hard palate and the posterior palate is invested by skeletal muscle to form the soft palate. Cleft palate occurs when: 1) palatal shelf elevation is impeded 2) palatal shelf elongation stops and/or 3) epithelial dissolution of the apposed palatal shelves does not occur (Chai and Maxson, 2006).

Transforming growth factor beta (TGF $\beta$ ) and bone morphogenetic protein (BMP) signaling is involved in cell growth and differentiation during embryonic development and has been shown to be essential for palate development. The type I (TGF $\beta$ R1 or ALK 5) and the type II (TGF $\beta$ R2) receptors bind TGF $\beta$  ligands and function as serine-threonine kinases that phosphorylate SMADs 2/3, intracellular proteins that conduct TGF $\beta$  signaling by inducing transcription of downstream gene targets. BMPs also signal in a similar complex of type I (BMPR1 or ALK2/3) and type II (BMPR2) receptors that phosphorylate SMADs 1/5/8. TGF $\beta$ R3 binds all 3 TGF $\beta$  ligands as well as BMP2, and is specifically required for high affinity binding of TGF $\beta$ 2 to its receptor (Lopez-Casillas et al., 1991). TGF $\beta$ R3 has no known enzymatic activity and can act indirectly by presenting ligand to the receptor complex to augment TGF $\beta$  or BMP canonical signaling. However, TGF $\beta$ R3 is required for the activation of other pathways via G Interacting Protein C (GIPC),  $\beta$ -arrestin2, and Par6/Smurf/RhoA effectors (Hill et al., 2012; Sanchez and Barnett, 2012; Sanchez et al., 2011; You et al., 2009).

Although, previous studies have demonstrated that alterations in the TGF $\beta$  pathway during palate formation lead to cleft palate (Baek et al., 2011; Cui et al., 2003; Doetschman et al., 2012; Dudas et al., 2006; Dudas et al., 2004a; Ito et al., 2003; Kaartinen et al., 1995; Levi et al., 2006; Li et al., 2013; Loeys et al., 2005; Proetzel et al., 1995; Sanford et al., 1997; Shiomi et al., 2006; Taya et al., 1999; Van Laer et al., 2014; Xu et al., 2006), none have revealed the function of TGF $\beta$ R3 during palate formation. Previous studies showed that TGF $\beta$ R3 expression during palatogenesis occurs throughout the epithelium and is specifically localized to the medial edge epithelium (MEE) during palatal shelf fusion in mice (Cui and Shuler, 2000). Knockdown of *Tgfb3* with siRNA in a palatal shelf culture model inhibited *in vitro* palatal shelf fusion due to persistence of the palatal epithelium (Nakajima et al., 2007). Recent studies demonstrated a partial rescue of the cleft palate

phenotype in *Wnt1-Cre;Tgfr2<sup>F/F</sup>;Tgfr3<sup>+/-</sup>* mice suggesting that TGF $\beta$ 3 plays a pivotal role in maintaining homeostasis of TGF $\beta$  signaling in the palate (Iwata et al., 2012).

Here we have identified the TGF $\beta$ 3-dependent processes during palate development. Cleft palate occurred at E14.5 in *Tgfr3<sup>-/-</sup>* mice concomitant with alterations in proliferation and apoptosis, aberrant vascular remodeling and specification, as well as reduced mesenchymal cell commitment to osteoblast fate. *In vitro* cultures of mouse embryonic palatal mesenchymal (MEPM) cells in osteogenic medium (OM+BMP2) revealed that TGF $\beta$ 3 was necessary and sufficient to induce mineralization and transcription of key genes expressed early during pre-osteoblast differentiation and later throughout osteoblast development. Furthermore, the loss of TGF $\beta$ 3 resulted in atypical expression of several components of both TGF $\beta$  and BMP signaling pathways within the palatal shelves *in vivo*. Taken together, our results demonstrated that global deletion of TGF $\beta$ 3 perturbed the balance of TGF $\beta$ /BMP signaling and interrupted normal palatogenesis.

## 3.1 Results

### 3.1.1 Arrested palatal shelf elevation and elongation associated with the loss of TGF $\beta$ 3

At E13.5, *Tgfr3<sup>-/-</sup>* palatal shelves were shorter in width than those of the *Tgfr3<sup>+/+</sup>* mice (Fig. 1 A, B). At E14.5, the palatal shelves of *Tgfr3<sup>+/+</sup>* mice had elevated and were in apposition; however, the *Tgfr3<sup>-/-</sup>* palatal shelves were not elevated and remained adjacent to the tongue (Fig. 1 C, D). Measurements of the palatal shelves at E14.5 demonstrated that the *Tgfr3<sup>-/-</sup>* anterior and posterior palatal shelf heights were significantly reduced (Fig. 1 E). *Tgfr3<sup>-/-</sup>* palatal shelf width was decreased anteriorly, but unaffected posteriorly (Fig. 1 F). Since abnormal tongue size may obstruct palatal shelf elevation, tongue width and height were also measured (Fig. 1 G, H). *Tgfr3<sup>-/-</sup>* tongues showed no differences in height compared to *Tgfr3<sup>+/+</sup>* (Fig. 1 G); however, their widths were significantly decreased (Fig. 1 H). Roller bottle cultures served as an *in situ* model of palatal shelf elevation and fusion in order to overcome the problem of embryonic lethality by E15.5 (Fig. 1 I, J). *Tgfr3<sup>-/-</sup>* cultures did not elevate their palatal shelves (Fig. 1 J) while the *Tgfr3<sup>+/+</sup>* cultures elevated and fused (Fig. 1 I). *In vitro* palatal shelf cultures demonstrated that apposed *Tgfr3<sup>+/+</sup>* palatal shelves were completely fused after 72 h in culture (Fig. 1 K); however, apposed *Tgfr3<sup>-/-</sup>* palatal shelves could not complete fusion by dissolution of the MEE (Fig. 1 L). Additionally, loss of palatal elevation was not accompanied by altered hyaluronic acid (HA) production (data not shown). These data demonstrated that TGF $\beta$ 3 was essential for normal palate development and global loss of this receptor resulted in failed palatal shelf elongation, elevation, and fusion.

### 3.1.2 Altered proliferation and apoptosis within *Tgfr3<sup>-/-</sup>* palatal shelves

At E13.5, there were no significant differences in the percent of proliferating cells within the anterior or posterior palatal shelves of *Tgfr3<sup>+/+</sup>* and *Tgfr3<sup>-/-</sup>* embryos (Fig. 2 A-D, I). However, at this same developmental time point, *Tgfr3<sup>-/-</sup>* embryos demonstrated increased cell death within their palatal shelves both anteriorly and posteriorly when compared to *Tgfr3<sup>+/+</sup>* embryos (Fig. 3 A-D, I). At E14.5, there were notably less proliferating cells within the palatal shelves of *Tgfr3<sup>-/-</sup>* embryos when compared to *Tgfr3<sup>+/+</sup>* embryos both

anteriorly and posteriorly (Fig. 2 E-H, J), but the percentage of cells undergoing programmed cell death was not statistically different between the palatal shelves of *Tgfb3<sup>+/+</sup>* and *Tgfb3<sup>-/-</sup>* embryos (Fig. 3 E-H, J). These results suggested that changes in proliferation and apoptosis are associated with impeded palatogenesis in *Tgfb3<sup>-/-</sup>* embryos.

### 3.1.3 Aberrant vascular development in *Tgfb3<sup>-/-</sup>* palatal shelves

There is an obligate requirement of TGF $\beta$ 3 for coronary vessel development (Compton et al., 2007); therefore, we investigated the necessity of TGF $\beta$ 3 during palate vascularization. At E13.5, vasculogenesis had begun in *Tgfb3<sup>+/+</sup>* palatal shelves as shown by the organization of PECAM<sup>+</sup> primary vascular channels (Fig. 4 A, B, white arrowheads), but *Tgfb3<sup>-/-</sup>* palatal shelves had less PECAM localization and only rudimentary vascular formation (Fig. 4 C, D, white arrowheads). At E14.5, *Tgfb3<sup>+/+</sup>* palatal shelves exhibited robust PECAM localization within a well-formed vascular plexus (Fig. 4 E, F, white arrowheads). Yet, *Tgfb3<sup>-/-</sup>* palatal shelves had notably less PECAM<sup>+</sup> vessels demonstrating abnormal vascular development (Fig. 4 G, H, white arrowheads). Reduced *Pecam* expression was confirmed by qPCR analyses of mRNA collected from *Tgfb3<sup>-/-</sup>* palates when compared to *Tgfb3<sup>+/+</sup>* mice at E13.5 and E14.5 (Fig. 4 I, J). No significant differences in Sm $\alpha$ A expression were determined between *Tgfb3<sup>+/+</sup>* and *Tgfb3<sup>-/-</sup>* (Fig. 4 I, J). Impaired vascular development implicated a role for TGF $\beta$ 3 during palate vasculogenesis.

### 3.1.4 Abnormal arterial and venous specification in *Tgfb3<sup>-/-</sup>* palatal shelf vasculature

Based on the vascular alterations revealed in the developing palatal shelves, we examined vascular remodeling and specification in *Tgfb3<sup>+/+</sup>* and *Tgfb3<sup>-/-</sup>* embryos. Evaluation of E13.5 palatal shelves showed minimal differences in LYVE1 (lymphatic, white arrowheads) and Notch1 (arterial, yellow arrowheads) localization during this developmental time point concurrent with the onset of vasculogenesis in the palatal shelves (Fig. 5 A-D); however, *Lyve1* expression was significantly decreased in *Tgfb3<sup>-/-</sup>* palatal mRNA (Fig. 5 I). Analyses at E14.5 demonstrated increased protein localization (Fig. 5 E-H) and mRNA expression (Fig. 5 J) for both LYVE1 and Notch1 in *Tgfb3<sup>-/-</sup>* palatal shelves compared to *Tgfb3<sup>+/+</sup>* littermates. Furthermore, expression of *EphB4* (venous) was significantly reduced while *Alk1* (arterial) was upregulated in *Tgfb3<sup>-/-</sup>* palatal mRNA (Fig. 5 J). The mis-expression of these critical regulators of vascular specification indicated vessel remodeling was at least in part, affected by the loss of TGF $\beta$ 3.

### 3.1.5 Reduced ossification and expression of osteoblast gene determinants in *Tgfb3<sup>-/-</sup>* palatal and maxillary mesenchyme

RUNX2, the critical protein supporting pre-osteoblast differentiation, localization is minimal at E13.5; yet, appeared reduced in the maxillas of *Tgfb3<sup>-/-</sup>* embryos when compared to *Tgfb3<sup>+/+</sup>* littermates (Fig. 6 A-D). At E14.5 in *Tgfb3<sup>+/+</sup>* mice, RUNX2 is localized to the mesenchymal condensations that begin to form within the maxilla as the palatal shelves are fusing (Fig. 6 E, F). *Tgfb3<sup>-/-</sup>* embryos have less RUNX2 staining in the maxilla when compared to *Tgfb3<sup>+/+</sup>* mice at E14.5 (Fig. 6 G, H). Additionally, decreased expression of the fundamental genes required for osteoblast development (*Runx2*, *alk phos*, *osteocalcin*, *coll1A1*, *coll1A2*) was seen in *Tgfb3<sup>-/-</sup>* palatal and maxillary mRNA when compared to

*Tgfr3*<sup>+/+</sup> mice at both E13.5 and E14.5 (Fig. 6 I, J). These data indicated that *Tgfr3*<sup>-/-</sup> palatal and maxillary mesenchymal cells, which undergo intramembranous ossification to form the hard palate, have impaired osteoblast differentiation during palatogenesis. It remains unknown to what degree the initiation of maxillary ossification plays a role in palate formation.

*Tgfr3*<sup>-/-</sup> mouse embryonic palatal mesenchymal (MEPM) cells cultured in OM+BMP2 showed significant decreases in mineralization (Fig. 7 A-E) and expression of essential osteogenic genes (*Runx2*, *alk phos*, and *osteocalcin*) (Fig. 7 F-H) when compared to *Tgfr3*<sup>+/+</sup> MEPM cells, similar to what was revealed *in vivo* (Fig 6 I, J). Overexpression of TGFβ3-FL (full length) prior to differentiation in *Tgfr3*<sup>-/-</sup> MEPM cells rescued mineralization deficits (Fig. 8 A-C) and induced expression of *Runx2*, *alk phos*, and *osteocalcin* (Fig. 8 D-F) substantially greater than cells overexpressing GFP only. These data supported a critical role of TGFβ3 for osteoblast development during palate and maxillary ossification, and suggested that TGFβ3 supports MEPM cells ability to respond to OM+BMP2-induced differentiation *in vitro*.

### 3.1.6 Mis-expression of TGFβ pathway members in *Tgfr3*<sup>-/-</sup> palates

Reduced mRNA levels of TGFβ and BMP ligands and their receptors were seen in *Tgfr3*<sup>-/-</sup> palatal shelves at both E13.5 and E14.5 (Fig. 9 A, B). *Tgfb2* and *Tgfb3* and the components of the canonical receptor complex (*Alk5* and *Tgfr2*) were appreciably down-regulated in *Tgfr3*<sup>-/-</sup> palatal mRNA compared to *Tgfr3*<sup>+/+</sup> (Fig. 9 A). *Bmp2* and *Bmp4* and the BMP type 1 receptors (*Alk2* and *Alk3*) were also notably decreased (Fig. 9 B). These results demonstrated that deletion of TGFβ3 down-regulated multiple genes required for TGFβ/BMP signaling and responsiveness and implied that TGFβ3 mediates the balance of these critical pathways.

## 4.1 Discussion

The loss of TGFβ3 impedes palatal shelf elongation and elevation leading to cleft palate in *Tgfr3*<sup>-/-</sup> mice at E14.5. Additionally, *in vitro* cultures revealed that apposed palatal shelves could not complete fusion without TGFβ3, similar to what was demonstrated with siRNA constructs targeting *Tgfr3* in palatal shelf cultures (Nakajima et al., 2007). Abnormal palate development was characterized by altered proliferation and apoptosis within the palatal shelves, histologic and gene expression findings of aberrant vascular formation, deficient osteoblast commitment both *in vivo* and *in vitro*, and reduced expression of *Tgfb/Bmp* genes. These results suggested that impaired signaling, which is absolutely critical for palate development, halted palate growth. Additionally, these findings revealed an essential role for TGFβ3 signaling during palatal vascular and bone development which may, in part, support palatal shelf elongation and elevation.

### 4.1.1 TGFβ/BMP signaling is indispensable for normal palatogenesis

Members of the TGFβ superfamily of signaling molecules regulate multiple cellular processes during craniofacial development. Interruption of the TGFβ/BMP signaling pathway lead to a wide variety of craniofacial malformations that range from cleft palate to

severe facial deformities (Iwata et al., 2011). The loss of TGF $\beta$ 3 resulted in cleft palate formation due to failure of medial edge epithelial (MEE) seam adhesion and subsequent degradation due to the loss of programmed cell death (Cui et al., 2003; Taya et al., 1999) and epithelial-mesenchymal transformation (Kaartinen et al., 1997). TGF $\beta$ R1 (ALK5) and TGF $\beta$ R2 are also required for normal palate development and conditional deletion in neural crest cells (*Wnt1-cre*) and the epithelium (*K14-cre*) led to cleft palate (Dudas et al., 2006; Ito et al., 2003; Xu et al., 2006). However, in each of these models, palatal shelf elongation and elevation still occurred. Altered BMPRIa (ALK3) signaling during palatogenesis by either conditional deletion in craniofacial primordia (*Nestin-cre*) (Liu et al., 2005), over-expression in CNC cells (Li et al., 2013), or dominant-negative expression in CNC-derived mesenchyme (*Mpz-cre*) (Saito et al., 2012) resulted in cleft palate formation associated with altered mesenchymal cell proliferation, elevation and elongation deficits that did not support fusion of the palate shelves, in addition to reduced osteoblast differentiation. Cleft palate also occurred in mice with conditional deletion of *Alk2* in neural crest cells (*Wnt1-cre*) due to delayed palate shelf elevation, although secondary to mandibular hypoplasia (Dudas et al., 2004b). While TGF $\beta$ /BMP signals are well-described as being required for appropriate palate formation, *Tgfb3*<sup>-/-</sup> mice fail to complete palatogenesis before death by E15.5 with phenotypic features distinct from other models. Although cleft palate is common due to altered TGF $\beta$ /BMP signaling, this study identified a unique, previously unknown role of TGF $\beta$ R3 in multiple aspects of palatal growth and development.

#### 4.1.2 TGF $\beta$ R3 is required for palatal vascular development and remodeling

There are multiple mouse models where cardiac and palate defects occur concomitantly due to mutations in genes regulating vascular formation, and up to 30% of children born with cleft palate also have cardiac anomalies (Shafi et al., 2003). During development, mesodermally derived hemangioblasts differentiate and organize into endothelial vessels forming the primary vascular plexus through vasculogenesis. Subsequently, sprouting angiogenesis allows expansion of the primary plexus and establishes the complexity needed to support the growing embryo. Finally, vessel stabilization occurs by the invasion of pericytes and smooth muscle cells as well as deposition of extracellular matrix. Previous studies have shown that TGF $\beta$ /BMP signaling is involved in both angiogenic sprouting and vessel stabilization, and it is appreciated that these pathways are indispensable during these developmental processes (Pardali et al., 2010). *Tgfb3*<sup>-/-</sup> mice do not survive past E15.5 due to failed remodeling of the coronary primary vascular plexus (Compton et al., 2007); therefore, the vascular defects observed in the palate were suspected. The association between poor palate vascular development and reduced palatal shelf length in *Tgfb3*<sup>-/-</sup> mice suggested that this is an intrinsic palatal defect associated with cleft palate formation. Interestingly, *TGF $\beta$ -cre;Alk5<sup>ko/flox</sup>* mice have a cleft palate phenotype identical to *TGF $\beta$ <sup>-/-</sup>* mice as well as cranial vascular defects, highlighting the importance of TGF $\beta$  signaling during cranial vascularization (Yang et al., 2008). Conversely, other vascular beds in *Tgfb3*<sup>-/-</sup> mice appeared normal (Compton et al., 2007) which implied a distinct function for TGF $\beta$ R3 signaling within the palate and heart.

As blood flow begins and embryonic tissues differentiate, the vascular plexus is remodeled, and the vessels are specified as arteries, veins, or lymphatics. Altered expression of genes

associated with vessel identity revealed a shift in the balance favoring arterial and lymphatic development over venous specification in *Tgfb3<sup>-/-</sup>* embryos (Fig. 5). These data demonstrated that the loss of TGF $\beta$ R3 altered vessel remodeling and maturation and implicated this pathway in the transcriptional regulation of specification genes. Hereditary hemorrhagic telangiectasia (HHT), caused by mutations in endoglin (a TGF $\beta$  co-receptor) and ALK1 (a type I TGF $\beta$  receptor), is a vascular disorder in humans characterized by the loss of arterio-venous identity and weak vascular walls aberrantly invaded by vascular smooth muscle (Mancini et al., 2009; McAllister et al., 1994; Park et al., 2008). In addition, previous studies have shown that TGF $\beta$  signaling is required for lymphangiogenesis in the skin and the loss of TGF $\beta$  receptor expression led to decreased branching and complexity of the lymphatic network (James et al., 2013). Taken together, these data support the necessity of TGF $\beta$ R3 for proper expansion, remodeling, and specification during palatal vascularization, but future studies will be aimed at the contribution of TGF $\beta$ R3 signaling to drive these separate, but coupled processes.

#### 4.1.3 TGF $\beta$ R3 is required for osteoblast differentiation

The majority of palatal mesenchymal cells are derived from CNC cells migrating from the dorsal neural tube to the craniofacial prominences by E9.5 (Yoshida et al., 2008). Following fusion of the palatal shelves, mesenchymal condensations develop within multiple ossification centers and undergo intramembranous ossification forming the maxillary and palatine bones without the presence of a cartilaginous phase (Franz-Odenaal, 2011). The ossified areas continue to differentiate and expand until they become one congruent bone forming the upper jaw and establishing separation between the oral and nasal cavities. Conditional deletion of BMPR1a (ALK3) within the palatal mesenchyme (*Osr2-cre*) resulted in cleft palate associated with defects in palatal bone formation associated with reduced mesenchymal proliferation and condensation formation (Baek et al., 2011). Decreased mRNA expression and protein localization of osteoblast determinants in *Tgfb3<sup>-/-</sup>* embryos suggested deficits within the entire osteoblast developmental program (Fig. 6). *In vitro* cultures of MEPM cells confirmed that TGF $\beta$ R3 was necessary and sufficient for osteoblast differentiation, as measured by mineralization and gene expression changes in response to OM+BMP2 (Figs. 7, 8). These data established that TGF $\beta$ R3 signaling supports pre-osteoblast cell commitment and early osteoblast development during elongation and elevation of the palatal shelves. Impending questions include whether TGF $\beta$ R3 signaling directly or indirectly influences osteogenesis, and if the TGF $\beta$ R3 pathway is upstream of the critical genes involved.

#### 4.1.4 TGF $\beta$ R3 modulates TGF $\beta$ and BMP signaling in palates

TGF $\beta$ /BMP signaling is required for normal palate development. Loss of TGF $\beta$ /BMP signaling, either by ligand deletion, or receptor loss, was associated with cleft palate formation due to aberrant cell cycle progression and altered gene expression (Dudas et al., 2004a; Dudas et al., 2004b; Ito et al., 2003; Kaartinen et al., 1995; Proetzel et al., 1995; Sanford et al., 1997; Taya et al., 1999). TGF $\beta$ R3 has been previously implicated in the regulation TGF $\beta$  receptor recycling (Shi and Massague, 2003), and here our data supported a role for TGF $\beta$ R3 in maintaining the expression of ligands and receptors within the TGF $\beta$ /BMP pathway in the developing palate. We showed that the loss of TGF $\beta$ R3 resulted

in perturbations of the TGF $\beta$ /BMP signaling program and suggested that TGF $\beta$ R3 acts upstream of these pathways to sustain adequate levels for normal palatogenesis (Fig. 9, 10). TGF $\beta$ R3 is uniquely positioned to regulate both TGF $\beta$  and BMP pathways by its ability to bind both ligands; furthermore, our findings and others suggest that precise coordination of both pathways is crucial to support vascular and osteoblast development within the palate (Fig. 10). Similarly, epicardial cell invasion required TGF $\beta$ R3-mediated activation of both TGF $\beta$  and BMP canonical signaling pathways as well as alternate pathways via GIPC and Par6/Smurf/RhoA (Hill et al., 2012; Sanchez and Barnett, 2012). Taken together, these results revealed that the loss of TGF $\beta$ R3 lessened the expression of key TGF $\beta$ /BMP molecules. Future goals include understanding the mechanisms by which TGF $\beta$ R3 supports sufficient TGF $\beta$ /BMP signaling during palate formation.

## 5.1 Conclusion

Cleft palate formation remains a common craniofacial anomaly that is associated with a high burden of care, the features of which have not changed significantly in the last 50 years. Increased understanding of the developmental programs active during palate formation are key to formulate potential avenues of more effective clinical care. The TGF $\beta$  pathway has been used as a model to study cleft palate, yet little was known about the role of TGF $\beta$ R3 during palate formation. These data demonstrated that TGF $\beta$ R3 is required for normal palatogenesis while also mediating vascular and bone development (Fig. 10). The importance of both TGF $\beta$  and BMP signals during palatogenesis is well understood. Our studies revealed that the loss of TGF $\beta$ R3 reduced the expression of several ligands and receptors in the TGF $\beta$ /BMP family. This revealed that even slight alterations in TGF $\beta$ /BMP signaling will interrupt vascular and osteogenic differentiation during palate formation and that TGF $\beta$ R3 is essential for maintaining the expression of these molecules (Fig. 9, 10). Revealing the mechanisms of TGF $\beta$ R3's signaling pathway during the parallel processes involved in palate formation will expand our understanding of palate development and identify new targets aimed at improving future therapy for patients with cleft palate deformities.

## 6.1 Experimental procedures

### 6.1.1 Murine Model

*Tgfr3*<sup>+/+</sup> and *Tgfr3*<sup>-/-</sup> mice were analyzed at E13.5 and E14.5 via timed pregnancies. At least three littermate pairs were analyzed in all experiments. All procedures and protocols were done in accordance with a Vanderbilt IACUC approved protocol.

### 6.1.2 Tissue preparation

Embryos were harvested at E13.5 and E14.5 genotyped, and processed as previously described (Humphreys et al., 2012). Briefly, heads were fixed in 4% paraformaldehyde (PFA) for 30 minutes to 1 hour and frozen in optimal cutting temperature (OCT) media after sucrose dehydration. All staining was performed on 8  $\mu$ m thick coronal sections that were thawed and rehydrated in phosphate buffered saline (PBS).



### 6.1.3 H&E staining

8  $\mu\text{m}$  sections of paraffin embedded tissue were processed as previously described (Humphreys et al., 2012). Following rehydration, sections were stained in Meyer's hematoxylin for 5 min, re-dehydrated, and counter-stained with eosin according to standard protocols. Palatal measurements were analyzed as previously described (Goudy et al., 2010). Briefly, all images were taken with a digital caliper placed on the image. The measurements were then determined in photoshop using the digital caliper as the standard. Palate length is defined as the length from the hinge of the palate shelf to the tip at the MEE (Rice et al., 2004).

### 6.1.4 Roller bottle cultures

Roller culture of the palatal shelves was performed as according to the previously published protocol (Goudy et al., 2010). *Tgfr3<sup>+/+</sup>* and *Tgfr3<sup>-/-</sup>* littermate embryos were dissected at E13.5 in cold PBS under sterile conditions. The mandibles and brain tissue were removed from the midface of each embryo. The remaining midface including the palate shelves was placed in a roller bottle with 3 ml of serum-free BGJb. The cultures were incubated for 72 hours at 37 ° with 95% O<sub>2</sub> and 5% CO<sub>2</sub> with media changes every 24 hours. The palatal cultures were fixed, sectioned, and stained as described above.

### 6.1.5 Palatal shelf culture

Filter cultures of the palatal shelves were performed as previously published (Goudy et al., 2010). *Tgfr3<sup>+/+</sup>* and *Tgfr3<sup>-/-</sup>* littermate embryos were dissected at E13.5 in cold PBS under sterile conditions. The palatal shelves were removed and placed in apposition on a 0.4  $\mu\text{m}$  filter transwell with 1 ml of serum-free BGJb in the lower chamber. The cultures were incubated for 72 hours at 37 ° with 95% O<sub>2</sub> and 5% CO<sub>2</sub> with media changes every 24 hours. The palatal cultures were fixed, sectioned, and stained as described above.

### 6.1.6 Immunofluorescence

After allowing slides to dry at room temperature for 15 minutes, slides were fixed in acetone (-80°C) for 5 minutes and allowed to dry for 8 minutes. Slides were washed 3 times in PBS for 3-5 minutes each wash and permeabilized in 3 successive changes of 0.1% Tween-20 for 3-5 minutes. Sections were blocked with 10% donkey serum for 1 hour at room temperature and incubated with primary antibodies: PH3 (Cell signaling), Cleaved Caspase 3 (Cell signaling), CD-31 (BD Pharmingen), SmcA (Sigma), Lyve1 (Upstate), Notch1 (Abcam), RUNX2 (Abcam) diluted in 1% donkey serum overnight at 4°C. The following day the slides are washed with PBS followed by 0.1% Tween-20 as previously stated and incubated with secondary antibodies (Invitrogen) for 1 hour at room temperature. Finally they are washed in PBS followed by dH<sub>2</sub>O and counterstained with hard mount DAPI (Vectastain).

All imaging was performed on a Nikon E800 microscope, and images were obtained with SPOT imaging software (Diagnostic Instruments, Inc.).

### 6.1.7 qPCR

To determine changes in gene expression, we used qPCR as previously described (Hill et al., 2012). Total RNA was isolated using the TRIzol reagent (Invitrogen) according to the manufacturer's protocol. cDNA was generated from 1 µg total RNA using oligo-dT primers and Superscript III polymerase (Invitrogen). Primer pairs are shown in Table 1. Real-time PCR analysis was done with iQ SYBR green supermix (Bio-Rad) in the Bio-Rad iCycler for 40 cycles. The expression levels are calculated using the  $C_T$  method. The threshold cycle ( $C_T$ ) represents the PCR cycle at which an increase of the reporter fluorescence above the baseline is first detected. The fold change in expression levels, R, is calculated as follows:  $R = 2^{-C_T}$  (where  $R = 2^{-(C_T \text{ treated} - C_T \text{ control})}$ ) to normalize the abundance of all transcripts to the level of *GAPDH* RNA expression.

### 6.1.8 Mouse embryonic palatal mesenchymal cell culture

Primary mouse embryonic palatal mesenchymal (MEPM) cells were generated from E13.5 embryos. Embryos were harvested and the maxilla was dissected and incubated in trypsin at 37°C with 5% CO<sub>2</sub> for 30 minutes. The epithelium was removed from the mesenchyme, and the tissue was pipetted up and down vigorously until the cells were dispersed. The cells were filtered through a 100 µm mesh and cultured in DMEM/F12 supplemented with 10% FBS and 100 µg/mL penicillin/streptomycin.

### 6.1.9 Osteoblast mineralization

The capacity of MEMM cells to mineralize surrounding matrix was tested by providing confluent monolayers osteogenic media (OM): α-MEM containing 2.5% FBS, 100 µg/mL penicillin/streptomycin, 100 µg/mL ascorbic acid, 5 mM β-glycerophosphate, and 100 ng/mL BMP2 (R&D Systems). To assure that osteogenic media was essential for matrix mineralization, control wells were incubated in growth media (GM): α-MEM containing 2.5% FBS, 100 µg/ml penicillin/streptomycin, and vehicle. Cultures were incubated for 16 days at 37°C with 5% CO<sub>2</sub> with changes of media every 2 days. Cell cultures were washed with PBS twice and fixed in 4% PFA for 30 minutes at room temperature. The monolayers grown in each well of a 12 well tissue culture plate were washed twice with dH<sub>2</sub>O prior to the addition of 500 µL of 40 mM alizarin red staining (ARS) solution and incubated for 20 minutes at room temperature with gentle rocking. The monolayers were then washed 4 times with excess dH<sub>2</sub>O for 4 minutes at room temperature while shaking. The plates were then tilted and left at an angle to remove all excess water from the well. Plates were photographed and stored at -20°C until solubilization of the dye. To quantify ARS, 400 µL of 10% acetic acid was added to each well and incubated for 30 minutes at room temperature with shaking. The monolayers were transferred to a 1.5 mL microfuge tube by scraping each well with a cell scraper. The tubes were vortexed for 30 minutes and 250 µL of mineral oil was added to each tube. Each tube was heated to 85°C for 10 minutes, cooled on ice for 5 minutes, and centrifuged at 20,000 g for 15 minutes. 250 µL of the acetic acid phase was transferred to a new tube and 100 µL of 10% ammonium hydroxide was added to neutralize the acid. Aliquots of each sample were read on SpectraMax M5 (Molecular Devices) plate reader in triplicate at 405 nm in 96-well format using opaque-walled,

transparent-bottomed plates Data was collected with Soft Max Pro (Molecular Devices) software.

### 6.1.10 Adenovirus

Adenoviruses were generated using the pAdEasy system (He et al., 1998). Viruses were titered by performing serial dilutions of the concentrated virus and counting the number of GFP-expressing HEK293 cells after 18-24 h. The following adenoviruses co-expressing GFP were used: pAdTrack-GFP (control) and full length TGF $\beta$ 3 (FL). Cells were plated at a density of 100,000 cells per well of a 24-well plate in MEPM cells media and allowed to adhere overnight at 37°C with 5% CO<sub>2</sub>. The following day, virus was added directly to the cells at a final concentration of 10<sup>8</sup> PFU/ml. 24 h later, the cells were given either GM or OM to induce osteoblast differentiation as described above.

### 6.1.11 Statistical Analysis

Data are presented as the mean of three independent experiments  $\pm$  SEM for three *Tgfb3*<sup>+/+</sup> and *Tgfb3*<sup>-/-</sup> littermate pairs, unless otherwise specified. Paired students t-tests were performed to establish significance, which was determined by a p-value of <0.05.

## Acknowledgments

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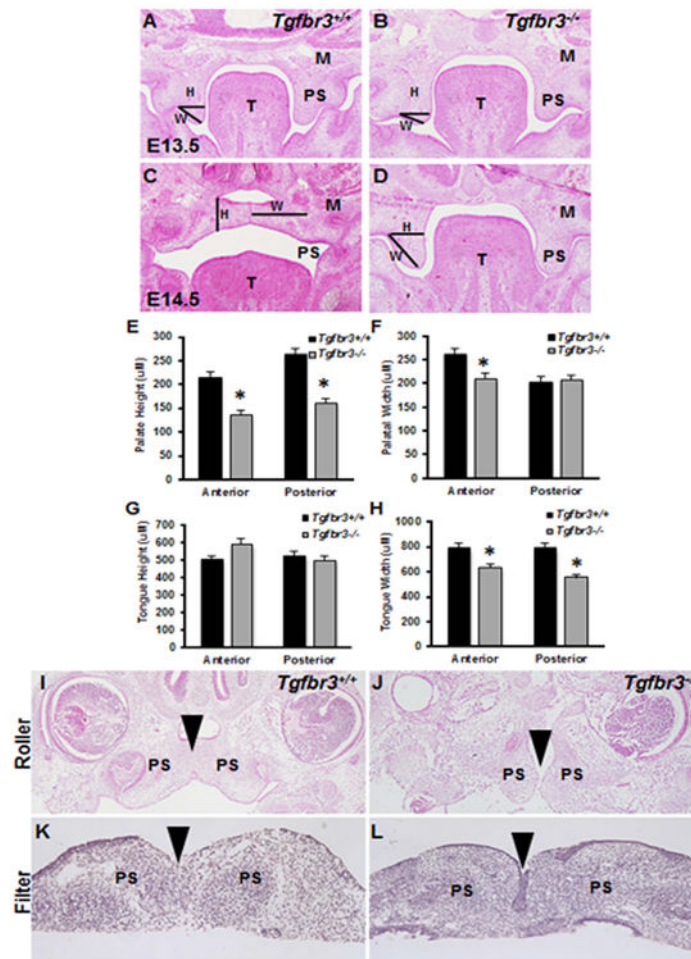
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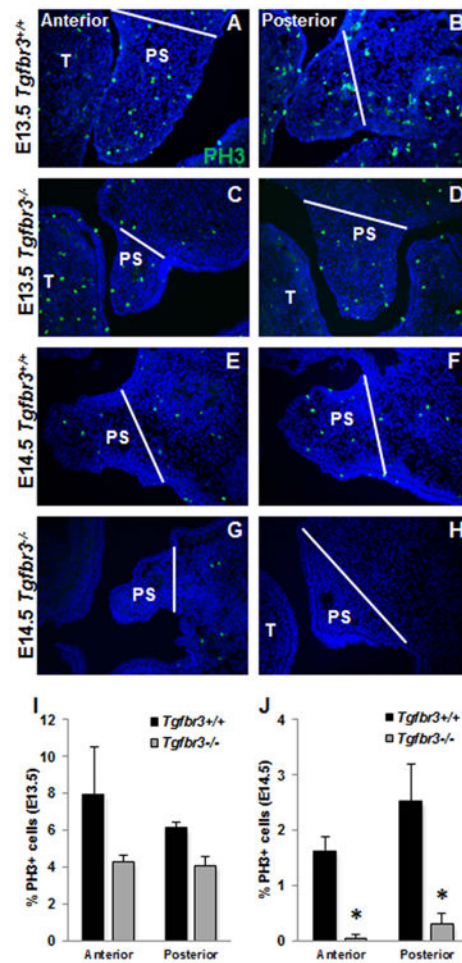
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## Abbreviations

<b>ALK</b>	Activin Receptor-Like Kinases
<b>ARS</b>	Alizarin red staining
<b>BMP</b>	Bone Morphogenetic Protein
<b>BMPR</b>	Bone Morphogenetic Protein Receptor
<b>CNC</b>	cranial neural crest
<b>GIPC</b>	Gaip Interacting Protein C
<b>GM</b>	growth media
<b>M</b>	maxilla
<b>MEE</b>	medial edge epithelium
<b>MEPM</b>	mouse embryonic palate mesenchymal
<b>NS</b>	nasal septum
<b>OM</b>	osteogenic media
<b>P</b>	palate
<b>PS</b>	palatal shelf
<b>SEM</b>	standard error of the mean
<b>TGF<math>\beta</math></b>	transforming growth factor beta
<b>TGF<math>\beta</math>R1</b>	Type I TGF $\beta$ receptor
<b>TGF<math>\beta</math>R2</b>	Type II TGF $\beta$ receptor
<b>TGF<math>\beta</math>R3</b>	Type III TGF $\beta$ receptor
<b>TGF<math>\beta</math>R3-FL</b>	Type III TGF $\beta$ receptor-full length



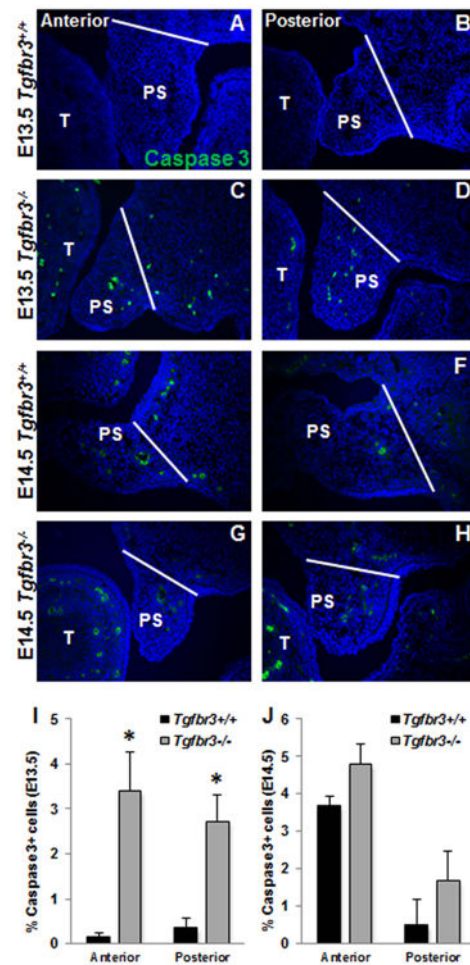
**Figure 1. Global deletion of TGFβR3 disrupted palate shelf elongation, elevation, and fusion**  
H&E staining of transverse sections through the developing palate of *Tgfr3<sup>+/+</sup>* (A, C) and *Tgfr3<sup>-/-</sup>* (B, D) mice at E13.5 (A, B) and E14.5 (C, D) revealed disrupted palate shelf elongation and elevation coincident with the loss of TGFβR3. Palate height was reduced in *Tgfr3<sup>-/-</sup>* mice, as determined by measuring line H in both anterior and posterior regions of the palate (E). Palate width was calculated from line W and was decreased anteriorly, but not posteriorly (F). Tongue height (G) was unaffected, but width (H) was concluded to be decreased in *Tgfr3<sup>-/-</sup>* mice. Roller bottle cultures were established as an *in situ* model of palate shelf elevation, and the results confirmed that *Tgfr3<sup>-/-</sup>* palate shelves cannot elevate like *Tgfr3<sup>+/+</sup>* controls (I, J). *In vitro* palatal shelf cultures were used to determine if fusion of palatal shelves would occur when in apposition. *Tgfr3<sup>+/+</sup>* palatal shelves were completely fused after 72h, whereas *Tgfr3<sup>-/-</sup>* shelves were not (K, L). Analyses were performed on 3 individual littermate pairs n=3; Columns, mean fold change obtained from 3 separate experiments; bars, SEM; \*p<0.05. M=maxilla, PS=palate shelf, P=palate, T=tongue, H=height, W=width.



### Figure 2. Altered cell proliferation at E14.5 within *Tgfb3*<sup>-/-</sup> palatal shelves

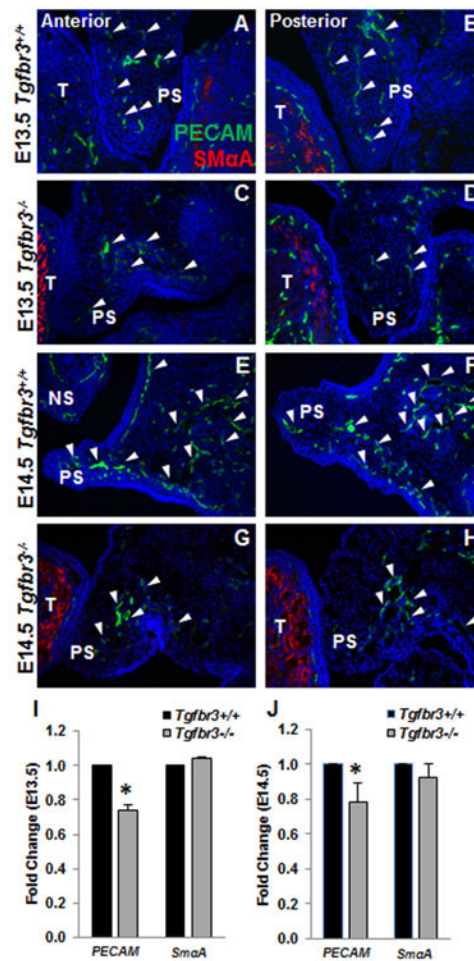
Immunohistochemistry to reveal PH3<sup>+</sup> proliferative cells (green) within the palatal shelves did not show any notable differences between *Tgfb3*<sup>+/+</sup> and *Tgfb3*<sup>-/-</sup> littermates at E13.5 (A-D, I), but demonstrated statistically significant decreases in the percentage of proliferating cells in *Tgfb3*<sup>-/-</sup> embryos compared to *Tgfb3*<sup>+/+</sup> littermates at E14.5 (E-H, J). Analyses were performed on 3 individual littermate pairs n=3; *Columns*, mean fold change obtained from 3 separate experiments; *bars*, SEM; \*=*p*<0.05. PS=palate shelf, T=tongue. White bars indicate lateral extent of palate shelf.





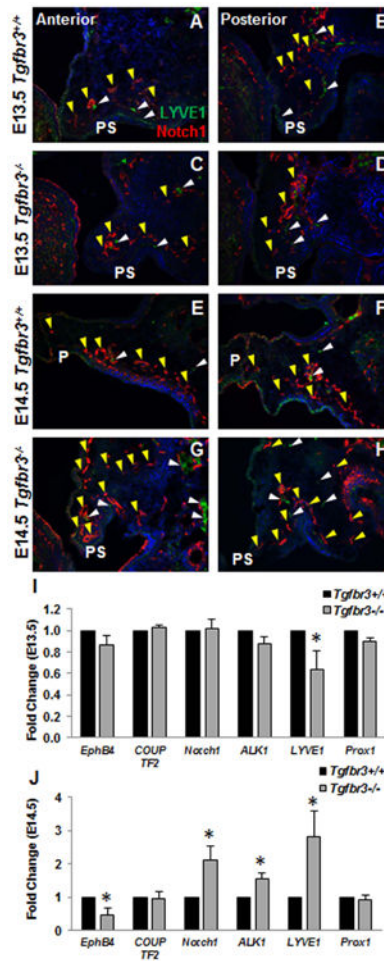
**Figure 3. *Tgfb3*<sup>-/-</sup> palatal shelves have increased cell apoptosis at E13.5**

Immunohistochemistry to reveal apoptotic cells by cleaved caspase 3 localization (green) within the palatal shelves showed increased apoptosis in *Tgfb3*<sup>-/-</sup> embryos compared to *Tgfb3*<sup>+/+</sup> littermates at E13.5 (A-D, I), but demonstrated similar levels of apoptotic cells in between *Tgfb3*<sup>+/+</sup> and *Tgfb3*<sup>-/-</sup> littermates at E14.5 (E-H, J). Analyses were performed on 3 individual littermate pairs n=3; Columns, mean fold change obtained from 3 separate experiments; bars, SEM; \*p<0.05. PS=palate shelf, T=tongue. White bars indicate lateral extent of palate shelf.



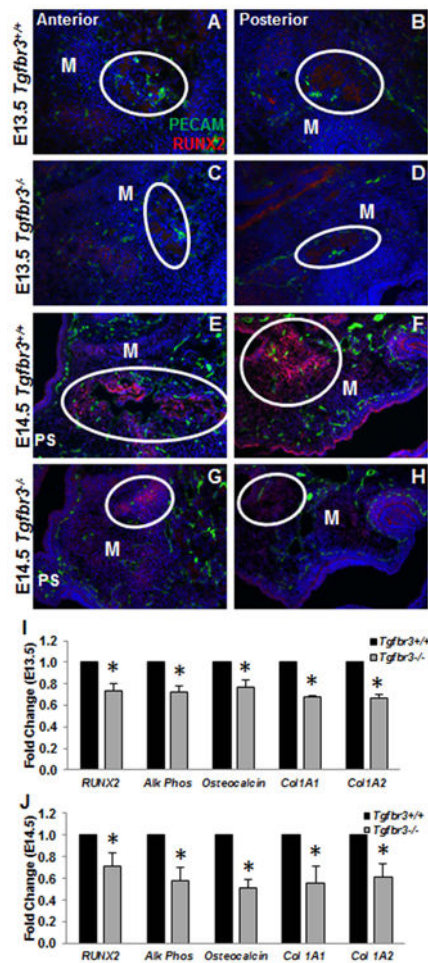
#### Figure 4. Irregular vascularization of *Tgfb3*<sup>-/-</sup> palatal shelves

Immunohistochemistry to demonstrate the localization of PECAM (endothelial, white arrowheads) and SmaA (smooth muscle) protein in the vessels revealed notable vascular deficiencies in the *Tgfb3*<sup>-/-</sup> palates (C, D, G, H) compared to *Tgfb3*<sup>+/+</sup> littermates (A, B, E, F) at both E13.5 (A-D) and E14.5 (E-H). *n*=3 for each time point. Analysis of gene expression by qPCR confirmed down-regulated expression of PECAM, but not SmaA, in *Tgfb3*<sup>-/-</sup> mRNA relative to *Tgfb3*<sup>+/+</sup> littermates at both E13.5 (I) and E14.5 (J). Columns, mean fold change obtained from 3 separate experiments; bars, SEM; \*=*p*<0.05 NS=nasal septum, PS=palate shelf, T=tongue.

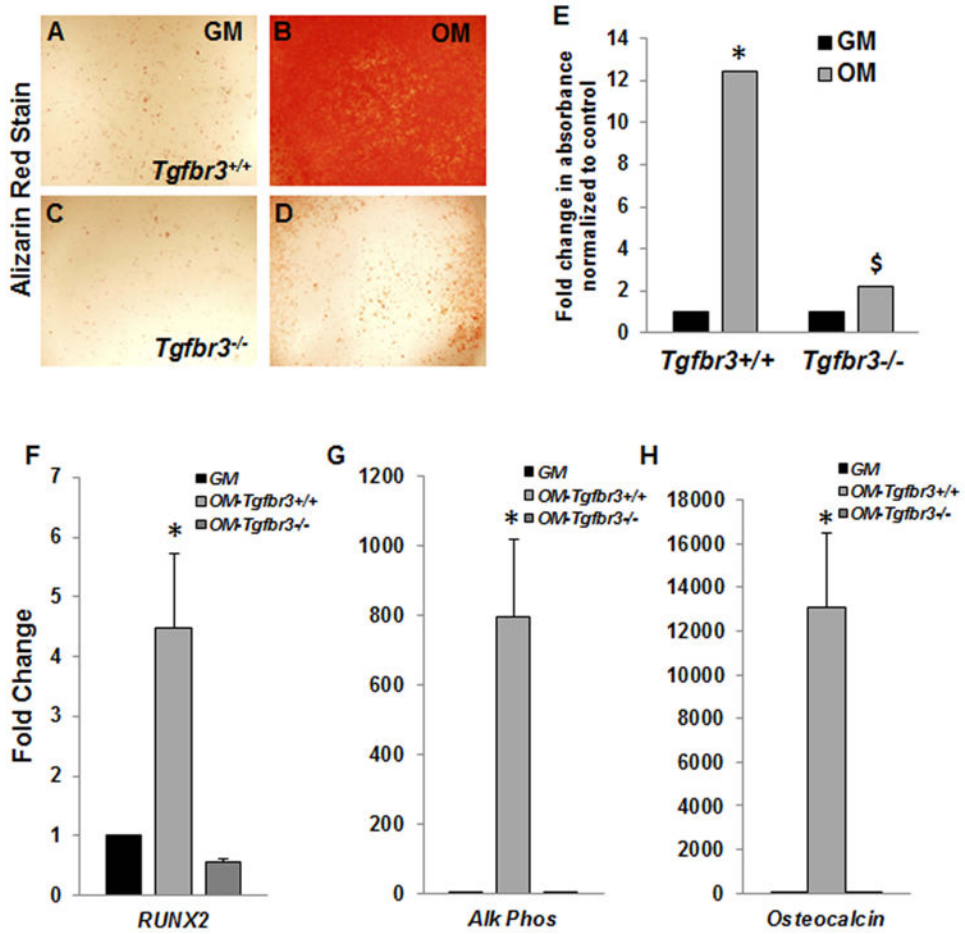


**Figure 5. *Tgfb3*<sup>-/-</sup> palatal vasculature showed abnormal vascular specification of arteries, veins, and lymphatics**

Immunohistochemistry for LYVE1 (lymphatic specific, white arrowheads) and Notch1 (arterial specific, yellow arrowheads) exposed differences in the remodeling phase of vascular development. At E13.5, no substantial changes in the localization of LYVE1 or Notch1 were seen between *Tgfb3*<sup>+/+</sup> or *Tgfb3*<sup>-/-</sup> palate vessels (A-D). Notable increases in Notch1 and LYVE1 proteins were apparent in *Tgfb3*<sup>-/-</sup> palatal vasculature compared to *Tgfb3*<sup>+/+</sup> littermates at E14.5 (E-H). *n*=3 for each time point. Gene expression changes were calculated by qPCR, and confirmed aberrant expression of LYVE1 at E13.5 (I) as well as altered EphB4 (venous), Notch1, Alk1 (arterial), and LYVE1 at E14.5 (J). *Columns*, mean fold change obtained from 3 separate experiments; *bars*, SEM; \*=*p*<0.05 P=palate, PS=palate shelf.

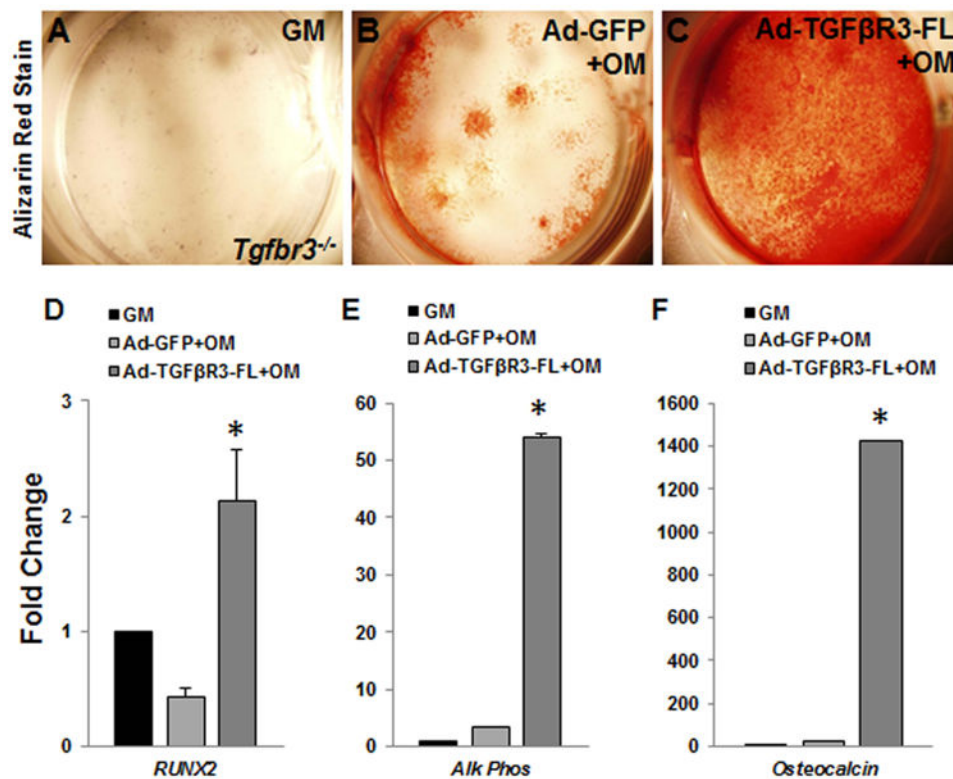


**Figure 6. Poor initiation of osteoblast fate in the palatal mesenchyme of *Tgfb3<sup>-/-</sup>* mice**  
 Immunohistochemistry performed on *Tgfb3<sup>+/+</sup>* or *Tgfb3<sup>-/-</sup>* palatal sections showed localization of RUNX2 (pre-osteoblast) protein within the mesenchymal condensations that will initiate ossification of the palate and maxilla. The density of RUNX2 staining (circles) was reduced in *Tgfb3<sup>-/-</sup>* mice maxillary prominences at both E13.5 (C, D) and E14.5 (G, H) relative to *Tgfb3<sup>+/+</sup>* littermates (A, B, E, F). n=3 for each time point. Analyses of critical osteoblast differentiation genes by qPCR at E13.5 and E14.5 demonstrated reduced expression of genes expressed from pre-osteoblast commitment throughout the establishment of bone (I, J). *Columns*, median fold change obtained from 3 separate experiments; *bars*, SEM; \*= $p < 0.05$ . M=maxilla



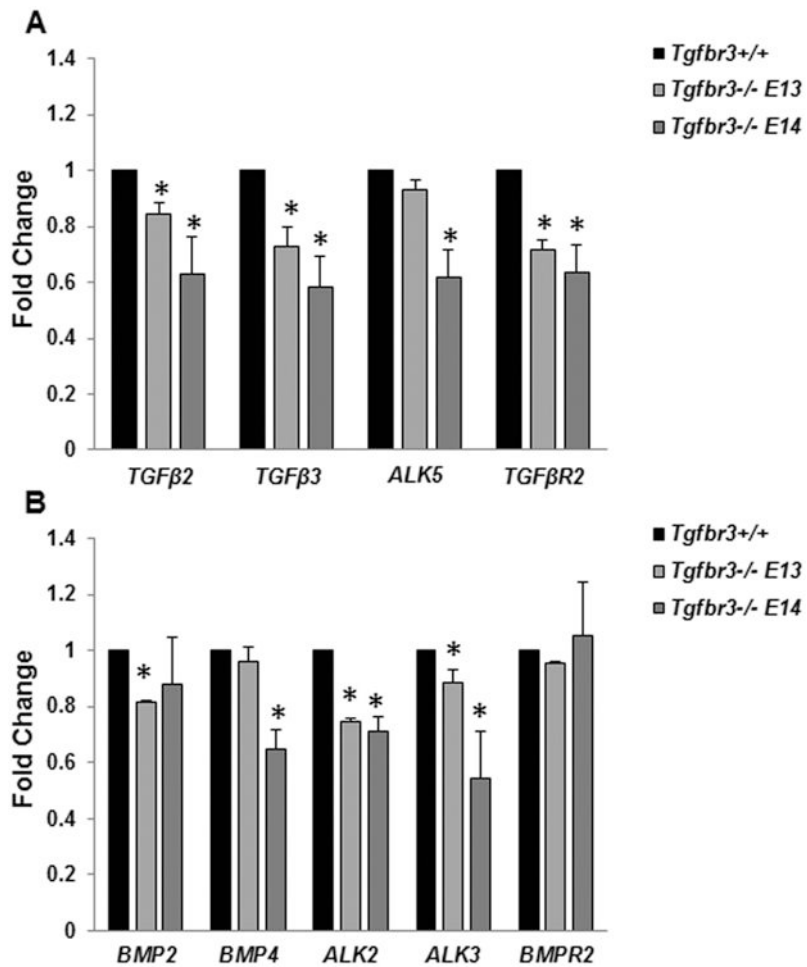
**Figure 7. *Tgfb3*<sup>-/-</sup> MEPM cells failed to mineralize or induce osteoblast differentiation genes in vitro**

*Tgfb3*<sup>+/+</sup> and *Tgfb3*<sup>-/-</sup> MEPM cells cultured in growth media (GM) or osteogenic media (OM+BMP2) were assayed for mineralization by incorporation of alizarin red (A-D). The alizarin red stain was solubilized and quantified (E), n=3; *Columns*, mean fold change obtained from 3 separate experiments; *bars*, SEM; \*=p<0.05. qPCR revealed the induction of osteoblast differentiation markers in mRNA extracted from the cells following the differentiation time course (F-H). Differentiated *Tgfb3*<sup>+/+</sup> cells (OM- *Tgfb3*<sup>+/+</sup>) were compared to *Tgfb3*<sup>+/+</sup> cells incubated in GM, and differentiated *Tgfb3*<sup>-/-</sup> (OM-*Tgfb3*<sup>-/-</sup>) cells were analyzed relative to *Tgfb3*<sup>-/-</sup> cells in GM. *Tgfb3*<sup>+/+</sup> cells induced robust expression of *RUNX2*, *alk phos*, and *osteocalcin*, which was in contrast to the *Tgfb3*<sup>-/-</sup> cells (F-H). *Columns*, median fold change obtained from 3 separate experiments; *bars*, SEM; \*=p<0.05 (relative to GM), \$=p<0.05 (relative to *Tgfb3*<sup>+/+</sup> OM).



**Figure 8. TGFβ3-FL overexpression rescues MEPM cell mineralization and differentiation in vitro**

TGFβ3-FL adenovirus allowed the reintroduction of TGFβ3 signaling in *Tgfr3<sup>-/-</sup>* MEPM cells. Cells were cultured in either growth media (GM) with no infection, OM+BMP2 following Ad-GFP infection, or OM+BMP2 subsequent to Ad-TGFβ3-FL infection. The alizarin red incorporation revealed that the cells overexpressing TGFβ3 were able to mineralize (A-C). Substantial induction of *RUNX2*, *alk phos*, and *osteocalcin* was determined from qPCR analysis in mRNA extracted from the TGFβ3 expressing cells. Both Ad-GFP-OM and Ad-TGFβ3-FL-OM cells were compared to *Tgfr3<sup>-/-</sup>* incubated in GM. Columns, mean fold change obtained from 3 separate experiments; bars, SEM; \*= $p < 0.05$ .



**Figure 9. Inadequate expression of TGFβ/BMP ligands and receptors in *Tgfr3*<sup>-/-</sup> palates**  
 Gene expression differences in TGFβ/BMP pathway members between *Tgfr3*<sup>+/+</sup> or *Tgfr3*<sup>-/-</sup> mRNA collected from the whole palate at E13.5 and E14.5 were calculated by qPCR. Significant reductions in ligands and receptors required for signaling and reception of both pathways were determined at both developmental time points. *Columns*, mean fold change obtained from 3 separate experiments; *bars*, SEM; \*=p<0.05.

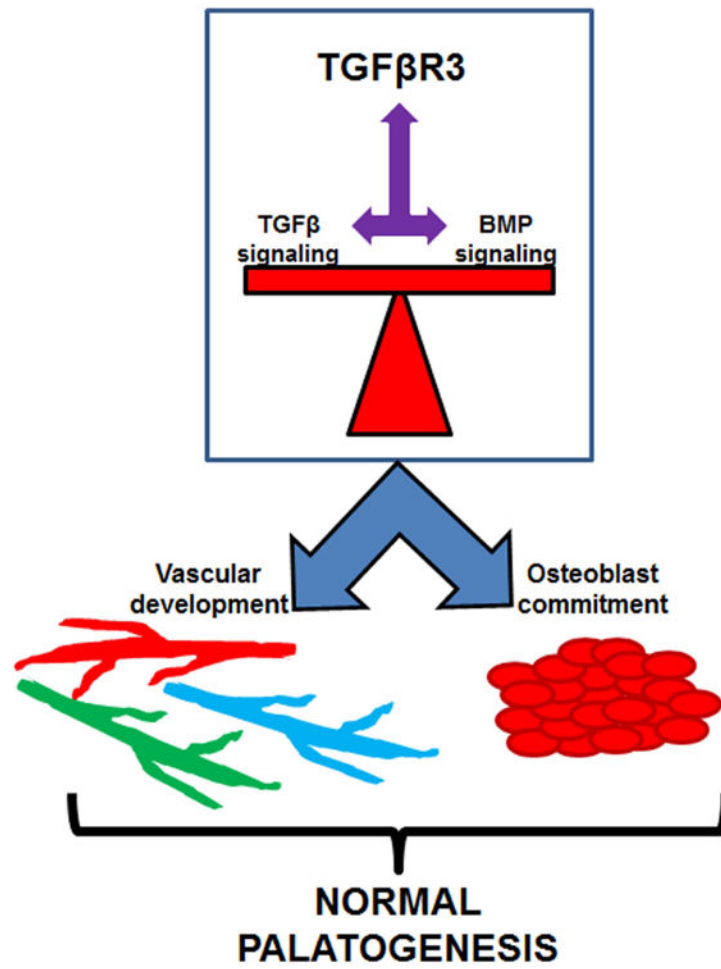


Figure 10. TGFβR3 maintains the balance of GFβ/BMP signals during vascular and osteoblast development that supports palatogenesis



**Table 1**  
**qPCR primer sequences**

Gene	SENSE PRIMER (5'→3')	ANTI-SENSE PRIMER (5'→3')
<i>GAPDH</i>	ATGACAATGAATACGGCTACAG	TCTCTTGCTCAGTGCCTTG
<i>Pecam</i>	TGGTTGTCATTGGAGTGGTC	TTCTCGCTGTTGGAGTTCAG
<i>SmaA</i>	AGCCAGTGAAGGTGCCTGAGAAC	TGCCAAAGCCATTAGAGTCCTC
<i>EphB4</i>	AATGTCACCACTGACCGTGA	TCAGGAAACGAACACTGCTG
<i>Coup Tf-II</i>	GCAAGTGGAGAAGCTCAA	CACACTGGGACTTTTCCT
<i>Notch1</i>	ATGTCAATGTTTCGAGGACCAG	TCTGAGTCTTCCCCTTCTGG
<i>Alk1</i>	ACCCAATGACCCAGTTT	GTACCAGCACTCTCTCATCA
<i>Lyve1</i>	CAGCATTCAAGAACGAAGCAG	GCCTTCACATACCTTTTCACG
<i>Prox1</i>	TTCTTTTACACCCGCTACCC	TTGACGCGCATACTTCTCC
<i>RUNX2</i>	CCCAGCCACCTTTACCTACA	TATGGAGTGTCTGCTGGTCTG
<i>Alk Phos</i>	GCTGATCATCCACGTTTTT	CTGGGCCTGGTAGTGTGTGT
<i>Osteocalcin</i>	TGCTTGTGACGAGCTATCAG	GAGGACAGGGAGGATCAAGT
<i>Col1A1</i>	AAGGATACAGTGGATTGCAGG	TCTACCATCTTTGCCAACGG
<i>Col1A2</i>	CATAAAGGGTCATCGTGGCT	TTGAGTCCGTCTTTGCCAG
<i>TGFβ2</i>	TGCTAACTCTGTCTGGG	GCTTCGGGATTTATGGTGTG
<i>TGFβ3</i>	CAGGATCTAGGCTGGAAATGG	GGGTTACAGGGTGTGTATAGTC
<i>ALK5</i>	CCTTCTGATCCATCGGTTGA	CCATTGGCATAACCAGCAT
<i>TGFβR2</i>	GGAGAAGTGAAGGATTACGAGC	CACACGATCTGGATGCCC
<i>BMP2</i>	TTATCAGGACATGGTTGTGGAG	GGGAAATATTAAGTGTGAGCTGG
<i>BMP4</i>	GTAGTGCCATTCGGAGCG	ATCAGCATTTCGGTACCAGG
<i>ALK2</i>	AGAGGGTCGATATTTGGGC	AACTTGGGTCATTGGGAAC
<i>ALK3</i>	ACCATTTCAGCCCTACA	TCACTGGGCACCATGTT
<i>BMPR2</i>	TTCTCTGGATCTTTCAGCCAC	CCTGATTGCCATCTTGTGTG