



Published in final edited form as:

Cell. 2015 January 29; 160(3): 528–541. doi:10.1016/j.cell.2015.01.003.

Decoding Neural Circuits that Control Compulsive Sucrose-Seeking

Edward H. Nieh^{1,2}, Gillian A. Matthews^{1,2}, Stephen A. Allsop^{1,2}, Kara N. Presbrey¹, Christopher A. Leppla¹, Romy Wichmann¹, Rachael Neve¹, Craig P. Wildes¹, and Kay M. Tye^{1,*}

¹ The Picower Institute for Learning and Memory, Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, MA 02139, USA.

Abstract

The lateral hypothalamic (LH) projection to the ventral tegmental area (VTA) has been linked to reward processing, but the computations within the LH-VTA loop that give rise to specific aspects of behavior have been difficult to isolate. We show that LH-VTA neurons encode the learned action of seeking a reward, independent of reward availability. In contrast, LH neurons downstream of VTA encode reward-predictive cues and unexpected reward omission. We show that inhibiting the LH-VTA pathway reduces “compulsive” sucrose-seeking, but not food consumption in hungry mice. We reveal that the LH sends excitatory and inhibitory input onto VTA dopamine (DA) and GABA neurons, and that the GABAergic projection drives feeding-related behavior. Our study overlays information about the type, function and connectivity of LH neurons and identifies a neural circuit that selectively controls compulsive sugar consumption, without preventing feeding necessary for survival, providing a potential target for therapeutic interventions for compulsive-overeating disorder.

INTRODUCTION

Tremendous heterogeneity exists across LH neurons in terms of function and connectivity, which can be observed by the variety of behaviors linked with this region to reward, motivation, and feeding. However, little is known about how the LH computes specific aspects of reward processing and how this information is relayed to downstream targets.

© 2015 Elsevier Inc. All rights reserved.

*To Whom Correspondence Should be Addressed: Kay M. Tye, PhD Picower Institute for Learning and Memory Department of Brain and Cognitive Sciences 77 Massachusetts Ave, Bldg-Rm 46-6263 Massachusetts Institute of Technology, Cambridge, MA 01239. kaytye@mit.edu.

²Co-first authors

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

AUTHOR CONTRIBUTIONS

E.N. and G.M. performed electrophysiological recordings and analyses for in vivo and ex vivo experiments, respectively. S.A., E.N., K.P. and C.L. performed behavioral experiments. R.W., K.P., C.L. and E.N. performed histological verification. R.N. provided HSV virus. K.M.T. and C.W. supervised experiments and trained experimentalists. E.N., G.M., S.A. and K.M.T. designed experiments. E.N. and K.M.T. wrote the manuscript, all authors contributed to the editing and revision of manuscript.

Electrical stimulation of the LH produces intracranial self-stimulation (ICSS) (Olds and Milner, 1954), as well as grooming, sexual, and gnawing behaviors (Singh et al., 1996). LH neurons encode sensory stimuli (Norgren, 1970; Yamamoto et al., 1989), including reward-associated cues (Nakamura et al., 1987). LH neurons also fire during both feeding (Burton et al., 1976; Schwartzbaum, 1988) and drinking (Tabuchi et al., 2002). However, making sense of the remarkable functional heterogeneity observed in the LH has been a major challenge in the field.

While the LH is interconnected with many subcortical regions, we have a poor understanding of how the functional and cellular heterogeneity of the LH is transposed upon these anatomical connections. One LH projection target of interest is the VTA, a critical component in reward processing (Wise, 2004). The LH-VTA projection was explored in early studies using electrophysiological recordings combined with antidromic stimulation (Bielajew and Shizgal, 1986; Gratton and Wise, 1988). It has since been confirmed, using a rabies-virus mediated tracing approach, that there is monosynaptic input from LH neurons onto dopamine neurons in the VTA (Watabe-Uchida et al., 2012). The VTA also sends reciprocal projections back to the LH, both directly and indirectly via other regions such as the nucleus accumbens, amygdala, hippocampus and ventral pallidum (Barone et al., 1981; Beckstead et al., 1979; Simon et al., 1979).

While both electrical (Bielajew and Shizgal, 1986) and optical (Kempadoo et al., 2013) stimulation have established a causal role for the LH projection to the VTA in ICSS, several questions remain to be answered. First, what is the neural response of LH-VTA neurons to different aspects of reward-related behaviors? Second, what is the role of the LH-VTA projection in reward-seeking under different reinforcement contingencies? Third, what is the overall composition of fast transmission mediated by LH inputs to the VTA, and which VTA cells receive excitatory/inhibitory input? Finally, what do the excitatory and inhibitory components of the LH-VTA pathway each contribute towards orchestrating the pursuit of appetitive rewards?

To address these questions, we recorded from LH neurons in freely-moving mice and used optogenetic-mediated photoidentification to overlay information about the naturally-occurring neural computations during reward processing upon information about the connectivity of LH neurons. In addition, we used *ex vivo* patch-clamp experiments to explore the composition of GABAergic and glutamatergic LH inputs onto both DA and GABA neurons within the VTA. Building on our results from the recordings experiments, we utilized behavioral tasks to establish causal relationships between aspects of both reward-seeking and feeding and the activation of distinct subsets of LH-VTA projections. Together, these data help us establish a model for how the components within the LH-VTA loop work together to process reward and how manipulating individual components can have profound effects on behavior.

RESULTS

Photoidentification of distinct components in the LH-VTA circuit

In order to identify LH neurons that provide monosynaptic input to the VTA *in vivo* and observe their activity during freely-moving behaviors, we used a dual-virus strategy to selectively express channelrhodopsin-2 (ChR2) in LH neurons providing monosynaptic input to the VTA (Figure 1A and S1). We injected an adeno-associated viral vector (AAV₅) carrying ChR2-eYFP in a Cre-recombinase dependent double inverted open-reading frame (DIO) construct into the LH to infect local somata and injected a retrogradely-travelling herpes simplex virus (HSV) carrying Cre-recombinase into the VTA. Subsequent recombination permitted opsin and fluorophore expression selectively in LH neurons providing monosynaptic input to the VTA. To confirm our approach, we performed *ex vivo* whole-cell patch-clamp recordings in horizontal brain slices containing the LH and recorded from neurons expressing ChR2-eYFP, as well as neighboring LH neurons that were ChR2-eYFP negative (Figure 1B). Light-evoked spike latencies, measured from light pulse onset to the peak of the action potential, ranged from 3-8 ms (Figure 1C). We also found that none of the non-expressing (ChR2-negative) cells recorded showed excitatory responses to photostimulation (n=14; Figure 1C), despite their proximity to ChR2-expressing cells.

In order to perform optogenetically-mediated photoidentification *in vivo*, an optrode was implanted into the LH to record neuronal activity during a sucrose-seeking task. In the same recording session, we provided several patterns of photostimulation to identify ChR2-expressing LH-VTA neurons (Figure 1D and S1). We examined the distribution of excitatory photoresponse latencies across all LH neurons displaying a time-locked change in firing rate in response to illumination and observed a bimodal distribution (Figure 1E). We observed a population of neurons during *in vivo* recordings with latencies in a range identical to the ChR2-expressing LH-VTA neurons we recorded from *ex vivo*, 3-8 ms, which we termed “Type 1” units (Figure 1C, 1E and 1F), and a distinct population of cells with ~100 ms photoresponse latencies (Figure 1E and 1G), which we termed “Type 2” units. We also observed neurons that were inhibited in response to photostimulation of LH-VTA neurons (Figure S2), which we termed “Type 3” units. We compared the action potential duration (as measured from peak to trough) and mean firing rates of Type 1 and Type 2 units as well as those that did not show a photoresponse (Figure 1H). The distribution of action potential durations of Type 1 (Figure 1I) and Type 2 (Figure 1J) units shows that the majority of Type 1 units have an action potential duration less than 500 μ s (84%; n=16/19, binomial distribution, p=0.002).

While Type 1 units fit standard criteria to be classified as ChR2-expressing (Cohen et al., 2012), it was unclear whether the longer latency photoresponse of Type 2 units was indicative of ChR2-expressing neurons that responded more slowly to photostimulation, or whether this effect was due to network activity. Given that the ChR2-expressing (Type 1) LH neurons project directly to the VTA, one possibility was that Type 2 neurons were receiving feedback from the VTA (Figure 1K). Another possibility was that Type 2 neurons were activated by axon collaterals from Type 1 neurons (Figure 1L). To differentiate

between these two possible circuit models, we inhibited the VTA in conjunction with photoidentification in the LH.

Long latency photoresponses in LH neurons are mediated by feedback from the VTA

Based on our circuit models, we would expect distal inhibition to have no effect on the photoresponse of ChR2-expressing LH neurons. However, if photoresponsive, but non-expressing, LH neurons relied on feedback from the VTA to elicit a time-locked response to illumination (Figure 1K), we would expect an attenuation of photoresponses in these neurons upon VTA inhibition. We expressed ChR2 in LH-VTA cells as above, but this time also expressed enhanced halorhodopsin 3.0 (NpHR) in the VTA and implanted an optic fiber in the VTA in addition to the optrode in LH (Figure 2A). We delivered the same blue light illumination patterns in the LH for all three epochs, but also photoinhibited the VTA with yellow light in the second epoch (Figure 2A).

The photoresponse of Type 1 units to blue light illumination in the LH was unaffected by photoinhibition of the VTA, which is consistent with ChR2 expression in Type 1 LH-VTA neurons (Figure 2B). In contrast, the majority of Type 2 units (87%; $n=13/15$, binomial distribution, $p=0.004$) showed a significant attenuation of photoresponses to blue light pulses delivered in the LH upon photoinhibition of VTA neurons. The responses of Type 1 and Type 2 units during VTA photoinhibition was significantly different (Chi-square=7.64, $p=0.0057$; Figure 2B and 2C). These differences can also be seen in the max z-scores during individual epochs (Figure 2D) and with the yellow-ON epoch normalized to the yellow-OFF epoch (Figure 2E). These data suggest that Type 2 LH neurons receive input (either directly or indirectly) from the VTA (Figure 1K) rather than via local axon collaterals (Figure 1L).

Distinct encoding properties of LH neurons either Upstream or Downstream of the VTA

Having identified these two distinct types of LH neurons in the LH-VTA loop, we wanted to examine naturally-occurring neural activity during a sucrose self-administration task (Figure 3A). Mice were trained to perform nosepoke responses for a cue predicting sucrose delivery at an adjacent port (as in Tye et al., 2008). To allow us to differentiate neural responses to the nosepoke and the cue, the cue and sucrose were delivered on a partial reinforcement schedule, wherein 50% of nosepokes were paired with a cue and sucrose delivery.

Type 1 units showed phasic responses to sucrose port entry, as seen in a representative Type 1 unit (Figure 3B), as well as the population data for all Type 1 units (Figure 3C). The phasic response of Type 2 units, however, mainly reflected responses to the reward-predictive cue (Figure 3D and 3E). The normalized firing pattern of all recorded neurons ($n=198$, divided into Type 1, 2, 3, and non-responsive units), are displayed for each task component: nosepokes paired with the cue, nosepokes in the absence of the cue, and sucrose port entry (Figure 3F). All Type 1 units that showed task-relevant phasic changes in activity (74%; $n=14/19$) were either phasically excited or inhibited by sucrose port entry, with a small number also showing phasic inhibition to the reward predictive cue (Figure 3B, 3C, and 3G). In contrast, Type 2 units were more heterogeneous, with task-responsive neurons encoding the cue selectively (35%), the sucrose port entry selectively (26%) or both the cue and port entry (12%; Figure 3D, 3E, and 3H). To illustrate the strength of responses of Type

1 and Type 2 units to task-related events, we plotted each cell on a 3-dimensional plot according to z-score (Figure 3I). To show the distribution of phasic changes in firing to multiple task-related events on a qualitative level, we plotted the number of cells of each photoresponse type that fell into a given category (Figure 3J).

Different components of the LH-VTA circuit represent distinct aspects of reward-related behavior

Given the well-defined role of the VTA in reward-prediction error, (e.g. the phasic reduction of dopamine neuron firing in response to the unexpected omission of a reward and the phasic excitation in response to unexpected reward delivery) (Schultz et al., 1997), we investigated whether LH neurons would encode the unexpected omission of a sucrose reward. To do this, we recorded the neural activity of photoresponsive neurons during the same cue-reward task in well-trained animals, but randomly omitted 30% of sucrose deliveries following the cue (Figure 4A).

The majority of Type 1 units (88%; $n=15/17$, binomial distribution, $p=0.001$) were insensitive to reward omission (Figure 4B and 4D), while a large subset of Type 2 units (67%; $n=12/18$) showed a significantly different response to reward-presented and reward-omitted trials (Figure 4C and 4D). We concluded that LH-VTA (Type 1) neurons encoded the action of entering the port, as these port entry responses were persistent even upon reward omission (Figure 4D), in contrast to Type 2 units (Chi-square=10.9804, $p=0.0009$).

To determine whether Type 1 responses to port entry were truly encoding the conditioned response (CR), as opposed to general reward-seeking or exploratory behavior, we recorded in untrained mice that had not yet acquired the task. In task-naïve mice, we delivered sucrose to the port in the absence of a predictive cue (unpredicted reward delivery) and found that Type 1 units did not show phasic responses to port entry (Figure 4E, 4F and 4I), consistent with the model that Type 1 neurons encode the CR (Figure 4J).

Next, to determine whether Type 2 unit activity is consistent with a reward-prediction error-like response profile, we also recorded these neurons in well-trained animals during unpredicted reward delivery (Figure 4G). We found that a subset of Type 2 units responded to unpredicted sucrose deliveries (50%; Figure 4G, 4H and 4I). Taken together, subsets of Type 2 units are sensitive to unexpected reward omission (Figure 4C and 4D) and unpredicted reward delivery (Figure 4G, 4H and 4I), consistent with a reward-prediction error-like response profile.

Photostimulation of the LH-VTA pathway promotes sucrose-seeking in the face of a negative consequence

As we have shown above, Type 1 units represent a neural correlate of conditioned responding (CR). Importantly, the increase in firing rate begins prior to CR, ramping up until the CR has been completed (Figure 3B, 3C and 4B). To determine if activation of the LH-VTA pathway could promote conditioned responding, we wanted to test the ability of LH-VTA activation in driving conditioned responding in the face of a negative consequence. In wild-type mice, we expressed ChR2-eYFP or eYFP alone in LH cell bodies and implanted an optic fiber over the VTA (Figure 5A and S4). Conversely, to test the role of the

LH-VTA pathway in mediating conditioned responding or feeding-related behaviors, we bilaterally expressed NpHR-eYFP or eYFP alone in LH cells and implanted an optic fiber above the VTA (Figure 5A and S4).

We designed a Pavlovian conditioning task in which food-deprived mice had to cross a shock grid to retrieve a sucrose reward (Figure 5B). In the first ‘Baseline’ epoch (with the shock grid off), we verified that each mouse had acquired the Pavlovian conditioned approach task. In the second (‘Shock’) epoch, the shock grid delivered mild foot shocks every second. Finally, in the third epoch (‘Shock+Light’), we continued to deliver foot shocks but also illuminated LH terminals in the VTA with blue light (10 Hz) in mice expressing ChR2 and matched eYFP controls and yellow light (constant) for mice expressing NpHR and their eYFP controls (Figure 5B).

We observed a significantly higher number of port entries per cue during the Shock+Light epoch and a significantly higher difference score (Shock+Light epoch – Shock only epoch) in ChR2 mice relative to eYFP mice (Figure 5C and Supplemental Movie 1). In contrast, photoinhibition of the LH-VTA pathway resulted in a significant reduction in port entries per cue and difference score in the NpHR mice relative to eYFP mice (Figure 5D and Supplemental Movie 2). Within-session extinction experiments during which cue presentations were not followed by sucrose deliveries showed similar trends in effect (Figure S4).

Importantly, we wanted to determine whether the changes in sucrose-seeking we had obtained were caused by changes in feeding-related behavior or sensitivity to pain. We observed that photoactivation of the LH-VTA projection significantly increased the time spent feeding in well-fed mice in the ChR2 group (Figure 5E). However, photoinhibition of the LH-VTA pathway did not significantly reduce feeding (Figure 5F), even though these animals were food-deprived to enhance our ability to detect a reduction relative to the baseline epoch (compare to sated animals in Figure 5E). In neither the ChR2 (Figure 5G) nor NpHR group (Figure 5H) did we observe a difference in latency to tail withdrawal from hot water (Ben-Bassat et al., 1959; Grotto and Sulman, 1967), indicating that manipulating the LH-VTA projection was not altering analgesia.

LH provides both glutamatergic and GABAergic input onto VTA DA and GABA neurons

To study the composition of the fast transmission components of LH inputs to the VTA that were eliciting these effects, we performed whole-cell patch-clamp recordings from VTA neurons in an acute slice preparation while optically activating LH inputs expressing ChR2-eYFP (Figure 6A and S5). Given that there is well-established heterogeneity within the VTA, including ~65% DA neurons, ~30% GABA neurons, and ~5% glutamate neurons (Margolis et al., 2006; Nair-Roberts et al., 2008; Yamaguchi et al., 2007), we filled cells with biocytin while recording to allow for identification of cell type using post-hoc immunohistochemistry for tyrosine hydroxylase (TH; Figure 6B), in addition to recording the hyperpolarization-activated cation current (I_h) and mapping cell location (Figure 6B and S5).

First, we recorded in current-clamp during photostimulation of ChR2-expressing LH inputs and observed that 23 of 27 neurons showed a time-locked response to photostimulation of LH inputs (Figure 6C). The majority of DA neurons sampled in the VTA received a net excitatory input from the LH (56%), while another subset showed net inhibition (30%; Figure 6C). The spatial distribution of these DA neurons is mapped onto an atlas for horizontal slices containing the VTA (Figure 6D).

To establish the monosynaptic contribution of LH inputs to VTA DA neurons, we used ChR2-assisted circuit mapping, where voltage-clamp recordings were performed in the presence of tetrodotoxin (TTX) and 4-aminopyridine (4AP; Petreanu et al., 2007). Consistent with our observations from current-clamp recordings, we observed that the majority of recorded VTA DA neurons exclusively received excitatory monosynaptic input from the LH (67%), compared to VTA DA neurons that exclusively received inhibitory monosynaptic input (11%), or both (22%; Figure 6E and Figure S6).

We identified VTA GABA neurons by injecting a Cre-dependent fluorophore (AAV₅-DIO-mCherry) into the VTA of VGAT::Cre mice and utilized mCherry expression to direct the recording of VTA GABA neurons (n=24; Figure 6F). 46% of VTA GABA neurons responded with net excitation, while 54% responded with net inhibition, to photostimulation of ChR2-expressing LH inputs (Figure 6G). The spatial distribution of these cells is shown in Figure 6H. Upon examination of the monosynaptic input from the LH (as described above) we found that 18% of sampled GABA neurons received exclusively excitatory input and 9% received exclusively inhibitory input (Figure 6). However, relative to VTA DA neurons, we found that more VTA GABA neurons received both excitatory AMPAR-mediated and inhibitory GABA_AR-mediated monosynaptic input from the LH (73%; n=8/11, Chi-square=5.0505, p=0.0246; Figure 6I and Figure S6).

Distinct roles of glutamatergic and GABAergic components of the LH-VTA pathway in behavior

Given that our *ex vivo* recordings provided evidence supporting robust input from both GABAergic and glutamatergic LH projections to the VTA, we next probed the role of each component independently. To do this, we used transgenic mouse lines expressing Cre-recombinase in neurons that either expressed vesicular glutamate transporter 2 (VGLUT2) or vesicular GABA transporter (VGAT). We injected AAV₅-DIO-ChR2-eYFP or AAV₅-DIO-eYFP into the LH of VGLUT2::Cre and VGAT::Cre mice and implanted an optic fiber over the VTA (Figure S7). These animals were then run on each of the behavioral assays shown in Figure 5.

We did not observe any detectable differences in the number of port entries made per cue between mice expressing ChR2 or eYFP in the LH^{glut}-VTA projection (Figure 7A) or in the LH^{GABA}-VTA projection (Figure 7B). However upon video analysis, we noticed aberrant gnawing behaviors in the LH^{GABA}-VTA:ChR2 group upon blue light illumination (see Supplemental Movies 3 and 4). In LH^{glut}-VTA mice, while there was a trend towards a reduction in feeding upon photostimulation in the ChR2 group compared to eYFP, this was not statistically significant (Figure 7C). In contrast, we observed a robust increase in the time spent feeding in sated mice upon illumination in the LH^{GABA}-VTA:ChR2 group

relative to controls (Figure 7D and Supplemental Movie 3). In neither group of animals was there an effect of light stimulation in the tail withdrawal assay (Figure 7E and 7F).

During the feeding task, as we did during the sucrose-seeking task, we again noticed aberrant feeding-related motor sequences that were not directed at food. We filmed a representative mouse in the LH^{GABA}-VTA:ChR2 group in an empty transparent chamber, and upon 20 Hz photostimulation, we observed unusual appetitive motor sequences such as licking and gnawing the floor or empty space (Supplemental Movie 4). We quantified these “gnawing” behaviors during the feeding task in the wild-type LH-VTA (Figure 7G), LH^{glut}-VTA (Figure 7H), and LH^{GABA}-VTA (Figure 7I) groups and showed that LH^{GABA}-VTA:ChR2 mice gnawed more than wild-type or LH^{glut}-VTA:ChR2 mice when photostimulated as compared to their respective eYFP groups (Figure 7J). We considered whether the aberrant feeding-related behaviors might be separated from appropriately-directed feeding at lower frequencies. However, when we tested the LH^{GABA}-VTA:ChR2 group with 5 Hz and 10 Hz trains of blue light, we observed a proportional relationship between stimulation frequency and both feeding and gnawing (Figure 7K).

DISCUSSION

Functional components of the LH-VTA loop

The LH projection to the VTA has been explored with electrical stimulation collision studies (Bielajew and Shizgal, 1986), and has long been hypothesized to play a role in reward processing (Hoebel and Teitelbaum, 1962; Margules and Olds, 1962), yet pinpointing this role has been a challenge. Here, for the first time, we are providing a detailed dissection of how individual components of the LH-VTA loop process different aspects of a reward-related task.

Through the use of optogenetic-mediated phototagging (Figure 1), we have identified two separate populations of LH neurons: cells that send projections to the VTA (Type 1), and cells that receive feedback from the VTA (Type 2; Figure 2) – though these populations need not be mutually exclusive, as it is possible that LH neurons could both send and receive inputs to and from the VTA. Interestingly, we found that relatively few photoresponsive neurons fell outside the bimodal distribution encapsulating these two populations (Figure S2B and 1E). Given this, in combination with the long latency delay in Type 2 photoresponses (~100 ms), we speculate that there may be one dominant pathway contributing to the activity of Type 2 neurons. Additionally, because DA binds G-protein-coupled receptors, the kinetics are slower than most glutamatergic synapses (Girault J and Greengard P, 2004) and may explain this cluster of 100ms latency photoresponsive units. It is also possible that the VTA may provide indirect feedback through other distal regions, via excitatory intermediate regions such as the amygdala, or with disinhibition via the nucleus accumbens (NAc) or bed nucleus of the stria terminalis (BNST).

Interestingly, while photostimulation of Type 1 units evokes excitatory responses in Type 2 units, Type 1 and 2 units show distinct behavioral encoding properties. For example, the number of Type 1 and Type 2 units that selectively encode the reward-predictive cue is significantly different ($n=0/19$ Type 1 vs $n=12/34$ Type 2, Chi-square=8.67, $p=0.003$). This

paradoxical response pattern could be due to computational processes at an intermediate circuit element, such as the VTA, that may be playing an active role during the behavioral task, but inactive during phototagging. Additionally, the behavioral state of the animal could influence how these data are processed.

Decoding circuit components in reward processing

Our reward omission experiments allowed us to distinguish between LH neural encoding of the conditioned response (CR) and the consumption of the unconditioned stimulus (US). In these experiments, a subset of Type 2 units responded to the reward-predictive cue (CS) and the unconditioned stimulus (US) and also showed a decrease in firing rate when expected rewards were omitted. Furthermore, a subset of Type 2 units also show phasic excitation upon unexpected reward delivery (Figure 4G and 4H). These data are reminiscent of the way DA neurons in the VTA encode reward-prediction error (Cohen et al., 2012; Schultz et al., 1997). We speculate that VTA neurons may transmit reward prediction error signals to a subset of LH neurons, which are well-positioned to integrate these signals for the determination of an appropriate behavioral output. Specifically, the LH is robustly interconnected with a multitude of other brain areas (Berthoud and Münzberg, 2011) and has been causally linked to homeostatic states such as sleep/arousal and hunger/satiety (Carter et al., 2009; Jennings et al., 2013).

A causal role for the LH-VTA pathway in compulsive sucrose seeking?

Compulsive reward-seeking behavior has primarily been discussed in the context of drug addiction, wherein a classic paradigm for compulsive drug-seeking has been to examine the degree to which drug-seeking behavior persists in the face of a negative consequence, such as a foot shock (Belin et al., 2008; Pelloux et al., 2007; Vanderschuren and Everitt, 2004). We adapted this task for sucrose-seeking to allow us to investigate whether activation of the LH-VTA pathway was sufficient to promote compulsive sucrose-seeking. Given that a distinct difference between drug and natural rewards is that drugs rewards are not necessary for survival, there is controversy as to what behaviors would constitute compulsive sucrose or food-seeking behavior. An alternative interpretation of our data is that activation of the LH-VTA pathway simply increases motivational drive or the urge to seek appetitive reinforcers. As the rates of obesity have increased in recent decades (Mietus-Snyder and Lustig, 2008), compulsive overeating and sugar addiction are prevalent conditions that are a major threat to human health (Avena, 2007). Our findings that activation of the LH-VTA pathway increased feeding behavior in sated (fully-fed) mice is comparable to humans diagnosed with compulsive overeating disorder (or binge eating disorder) (DSM-V).

It has been proposed that repeated actions lead to the formation of habits, which themselves lead to the compulsive reward-seeking that characterizes addiction (Everitt and Robbins, 2005). Our finding that LH-VTA neurons only encode port entry after conditioning suggests that this pathway is selectively encoding a conditioned response, not just a motivated action. This is consistent with our observations that optically activating this projection can promote compulsive reward-seeking, in the face of a negative consequence (Figure 5C), as well as in the absence of need (as seen in sated mice, Figure 5E). This interpretation is further substantiated by our finding that photoinhibition of the LH-VTA pathway selectively

reduces compulsive sucrose-seeking (Figure 5D), but does not reduce feeding in food-restricted mice (Figure 5F). One of the greatest challenges in treating compulsive overeating or binge eating disorders is the risk of impairing feeding behaviors in general. From a translational perspective, we may have identified a specific neural circuit as a potential target for the development of therapeutic interventions for compulsive overeating or sugar addiction without sacrificing natural feeding behaviors.

Composition of LH input to the VTA

We show that in addition to a glutamatergic LH-VTA component (Kempadoo et al., 2013), there is also a significant GABAergic component in the projection (Leininger et al., 2009), and that LH neurons synapse directly onto both DA and GABA neurons in the VTA (Figure 6). However, there is a difference in the balance of the excitatory/inhibitory input onto VTA DA and GABA neurons.

While we used immunohistochemical processing to verify the identity of VTA neurons, we also measured I_h , a hyperpolarization-activated inwardly rectifying non-specific cation current (Lacey et al., 1989; Ungless and Grace, 2012). The presence of this current has been widely used in electrophysiological studies to identify DA neurons, but it has been shown to be present only in subpopulations of DA neurons, delineated by projection target (Lammel et al., 2011). Although it has previously been proposed in a review by Fields and colleagues that “LH neurons synapse onto VTA projections to the PFC, but not those projecting to the NAc” (Fields et al., 2007) our data suggest that this controversy be reopened for further investigation. Even though we did observe a subset of DA neurons receiving net excitation from the LH which possessed a very small I_h (consistent with mPFC- or NAc medial shell-projecting DA neurons), we also observed a subset of DA neurons receiving net excitatory input which showed a large I_h (consistent with characteristics of DA neurons projecting to the lateral shell of the NAc; Figure 5S; Lammel et al., 2011). Conversely, VTA DA neurons that received a net inhibitory input showed a very small I_h , or lacked this current, which is consistent with the notion that the LH sends predominantly inhibitory input onto VTA DA neurons projecting to the mPFC or the medial shell of the NAc. We also show that LH inputs can be observed in both medial and lateral VTA, suggesting that the LH provides inputs onto VTA neurons with diverse projection targets, as it is known that VTA projection target corresponds somewhat to spatial location along a medial-lateral axis (Lammel et al., 2008).

Excitation/Inhibition balance in the LH-VTA pathway

The role of the LH-VTA pathway in promoting reward has previously been ascribed to glutamatergic transmission in the VTA (Kempadoo et al., 2013), as the CaMKII α promoter is often thought to be selective for excitatory projection neurons. However, our data clearly show that expressing ChR2 under the control of the CaMKII α promoter also targets GABAergic projection neurons in the LH (Figure 6).

The behavior elicited by photostimulation of the LH^{GABA}-VTA pathway was frenzied, mis-directed, and maladaptive (Supplemental Movie 4). One interpretation is that activation of the LH^{GABA}-VTA pathway sends a signal to the mouse that causes the recognition of an

appetitive reinforcer. An alternative interpretation is that the LH^{GABA}-VTA pathway might drive incentive salience or an intense “wanting”, consistent with a signal underlying conditioned approach, but at a non-physiological level that produces this aberrant feeding-related behavior (Berridge and Robinson, 2003). Consistent with this, it is possible that activation of the LH^{GABA}-VTA projection actually produces intense sensations of craving, or urges to feed. However, our experiments show that activation of LH^{GABA}-VTA does not produce an increase in compulsive sucrose-seeking, but this is likely due to the excessive gnawing and aberrant appetitive behaviors focused on non-food objects in the testing chamber. While it is difficult to determine the experience of the mouse during this manipulation, it is clear that appropriately directed feeding-related behaviors require the coordinated activation of both the GABAergic and glutamatergic components of the LH-VTA pathway.

Conclusion

Optogenetic and pharmacogenetic manipulations are powerful tools for establishing causal relationships, yet they do not reveal the endogenous, physiological properties of neural circuit elements. Our study unifies information about the synaptic connectivity, the naturally-occurring endogenous function, and the causal role of the LH-VTA pathway, providing a new level of insight towards how information is integrated in this circuit. These results highlight the importance of examining the functional role of neurons by connectivity, in addition to genetic markers. LH-VTA neurons selectively encoded the action of reward-seeking, but did not encode environmental stimuli, while rewarding stimuli and reward-predictive cues were encoded by a discrete population of LH neurons downstream of the VTA. Furthermore, we have identified a specific projection that is causally linked to compulsive sucrose-seeking and feeding behavior. The heterogeneity in the LH-VTA projection is necessary for providing an adaptive balance between driving motivation and regulating appropriately-directed appetitive behaviors. These findings provide novel insights relevant to pathological conditions such as compulsive overeating disorder, sugar addiction, and obesity.

EXPERIMENTAL PROCEDURES

Phototagging VTA-Projecting LH Neurons

To limit expression of ChR2 to only LH neurons projecting to the VTA, AAV₅-DIO-ChR2-eYFP was injected into the LH and HSV-EF1 α -IRES-Cre-mCherry into the VTA. In NpHR inhibition experiments, AAV₅-CaMKII α -eNpHR3.0-eYFP was injected into the VTA as well. An optrode was implanted in the LH and an optic fiber over the VTA.

Partial Reinforcement Sucrose Retrieval Task

In vivo recording animals were trained on a partial reinforcement sucrose retrieval task, where 50% of nosepokes were followed by a cue predicting the delivery of sucrose at the port entry. Adjustments were made to this task to examine the effects on reward omission by omitting sucrose deliveries from a subset of cues and to examine the effects on unexpected reward by the delivery of sucrose without the existence of the cue.

Sucrose-Seeking in the Face of a Negative Consequence

To study the effect on conditioned responding by stimulation of LH-VTA projections, we developed a task where an animal must cross a shock floor to obtain a sucrose reward. Wild-type animals with ChR2, NpHR, or eYFP injected either unilaterally (AAV₅-CaMKII α -ChR2-eYFP) or bilaterally (AAV₅-CaMKII α -eNpHR3.0-eYFP) in the LH with an optic fiber placed over VTA or VGLUT2::Cre and VGAT::Cre animals with AAV₅-DIO-ChR2-eYFP injection in the LH and optic fiber over the VTA were tested. Because LH-VTA:ChR2 mice showed an increase in sucrose-seeking in the face of a negative consequence, these animals were satiated before evaluating the effects of photostimulation on feeding on normal chow. In contrast, LH-VTA:NpHR mice showed a decrease in sucrose-seeking in the face of a negative consequence, and were therefore mildly food restricted before testing the effects of photostimulation on feeding on normal chow.

Ex-vivo Characterization of LH-VTA

Whole-cell patch-clamp recordings were used to study the input of LH neurons onto DA and GABA VTA neurons. Dopamine neurons were identified by filling cells with biocytin and post-hoc immunostaining for (TH). GABA cells were identified during recordings by fluorescence due to AAV₅-DIO-mCherry injection into the VTA of VGAT::Cre animals.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

ACKNOWLEDGEMENTS

We thank N. Golan, R. Thomas, M. Anahtar, G. Globler and A. Beyeler for their assistance with immunohistochemistry. We would also like to thank C. Seo, and S. Kim for their contributions throughout the project and M. Wilson and P. Shizgal for helpful discussion. K. M. Tye is a New York Stem Cell Foundation - Robertson Investigator and acknowledges funding from the JPB Foundation, PIIF, PNDRF, Whitehall Foundation, Klingenstein Foundation, NARSAD Young Investigator Award, Alfred P Sloan Foundation, Whitehead Career Development Chair, NIH R01-MH102441-01 (NIMH) and NIH Director's New Investigator Award DP2-DK-102256-01 (NIDDK). E.H. Nieh was supported by the NSF Graduate Research Fellowship, the Integrative Neuronal Systems Fellowship, and the Training Program in the Neurobiology of Learning and Memory. G. A. Matthews was supported by the Simons Center for the Social Brain Postdoctoral Fellowship. S.A. Allsop was supported by the Jeffrey and Nancy Halis Fellowship as well as the Henry E Singleton Fund. C.A. Leppla was supported by the Integrative Neuronal Systems Fellowship and the James R. Killian Fellowship. R. Wichmann was supported by a Rubicon Fellowship.

REFERENCES

- Avena NM. Examining the addictive-like properties of binge eating using an animal model of sugar dependence. *Experimental and Clinical Psychopharmacology; Experimental and Clinical Psychopharmacology*. 2007; 15:481.
- Barone FC, Wayner MJ, Scharoun SL, Guevara-Aguilar R, Aguilar-Baturoni HU. Afferent connections to the lateral hypothalamus: a horseradish peroxidase study in the rat. *Brain Res. Bull.* 1981; 7:75–88. [PubMed: 6974034]
- Ben-Bassat J, Peretz E, Sulman FG. Analgesimetry and ranking of analgesic drugs by the receptacle method. *Arch Int Pharmacodyn Ther.* 1959; 122:434–447. [PubMed: 13798682]
- Beckstead RM, Domesick VB, Nauta WJ. Efferent connections of the substantia nigra and ventral tegmental area in the rat. *Brain Res.* 1979; 175:191–217. [PubMed: 314832]

- Belin D, Mar AC, Dalley JW, Robbins TW, Everitt BJ. High impulsivity predicts the switch to compulsive cocaine-taking. *Science*. 2008; 320:1352–1355. [PubMed: 18535246]
- Berridge KC, Robinson TE. Parsing reward. *Trends in Neurosciences*. 2003; 26:507–513. [PubMed: 12948663]
- Berthoud H-R, Münzberg H. The lateral hypothalamus as integrator of metabolic and environmental needs: from electrical self-stimulation to opto-genetics. *Physiol. Behav.* 2011; 104:29–39. [PubMed: 21549732]
- Bielajew C, Shizgal P. Evidence implicating descending fibers in self-stimulation of the medial forebrain bundle. *J. Neurosci.* 1986; 6:919–929. [PubMed: 3486258]
- Burton MJ, Rolls ET, Mora F. Effects of hunger on the responses of neurons in the lateral hypothalamus to the sight and taste of food. *Experimental Neurology*. 1976; 51:668–677. [PubMed: 819286]
- Carter ME, Adamantidis A, Ohtsu H, Deisseroth K, de Lecea L. Sleep homeostasis modulates hypocretin-mediated sleep-to-wake transitions. *J. Neurosci.* 2009; 29:10939–10949. [PubMed: 19726652]
- Cohen JY, Haesler S, Vong L, Lowell BB, Uchida N. Neuron-type-specific signals for reward and punishment in the ventral tegmental area. *Nature*. 2012; 482:85–88. [PubMed: 22258508]
- Everitt BJ, Robbins TW. Neural systems of reinforcement for drug addiction: from actions to habits to compulsion. *Nat Neurosci.* 2005; 8:1481–1489. [PubMed: 16251991]
- Fields HL, Hjelmstad GO, Margolis EB, Nicola SM. Ventral tegmental area neurons in learned appetitive behavior and positive reinforcement. *Annu. Rev. Neurosci.* 2007; 30:289–316. [PubMed: 17376009]
- Girault J, Greengard P. The neurobiology of dopamine signaling. *Arch Neurol.* 2004; 61:641–644. [PubMed: 15148138]
- Gratton A, Wise RA. Comparisons of refractory periods for medial forebrain bundle fibers subserving stimulation-induced feeding and brain stimulation reward: a psychophysical study. *Brain Research*. 1988; 438:256–263. [PubMed: 3257891]
- Grotto M, Sulman FG. Modified receptacle method for animal analgesimetry. *Arch Int Pharmacodyn Ther.* 1967; 165:152–159. [PubMed: 5339935]
- Hoebel BG, Teitelbaum P. Hypothalamic control of feeding and self-stimulation. *Science*. 1962; 135:375–377. [PubMed: 13907995]
- Jennings JH, Rizzi G, Stamatakis AM, Ung RL, Stuber GD. The inhibitory circuit architecture of the lateral hypothalamus orchestrates feeding. *Science*. 2013; 341:1517–1521. [PubMed: 24072922]
- Kempadoo KA, Tourino C, Cho SL, Magnani F, Leininger G-M, Stuber GD, Zhang F, Myers MG, Deisseroth K, Lecea L. de, et al. Hypothalamic Neurotensin Projections Promote Reward by Enhancing Glutamate Transmission in the VTA. *J. Neurosci.* 2013; 33:7618–7626. [PubMed: 23637156]
- Lacey MG, Mercuri NB, North RA. Two cell types in rat substantia nigra zona compacta distinguished by membrane properties and the actions of dopamine and opioids. *J. Neurosci.* 1989; 9:1233–1241. [PubMed: 2703874]
- Lammel S, Hetzel A, Häckel O, Jones I, Liss B, Roeper J. Unique Properties of Mesoprefrontal Neurons within a Dual Mesocorticolimbic Dopamine System. *Neuron*. 2008; 57:760–773. [PubMed: 18341995]
- Lammel S, Ion DI, Roeper J, Malenka RC. Projection-Specific Modulation of Dopamine Neuron Synapses by Aversive and Rewarding Stimuli. *Neuron*. 2011; 70:855–862. [PubMed: 21658580]
- Leininger GM, Jo Y-H, Leshan RL, Louis GW, Yang H, Barrera JG, Wilson H, Opland DM, Faouzi MA, Gong Y, et al. Leptin Acts via Leptin Receptor-Expressing Lateral Hypothalamic Neurons to Modulate the Mesolimbic Dopamine System and Suppress Feeding. *Cell Metabolism*. 2009; 10:89–98. [PubMed: 19656487]
- Margolis EB, Lock H, Hjelmstad GO, Fields HL. The ventral tegmental area revisited: is there an electrophysiological marker for dopaminergic neurons? *The Journal of Physiology*. 2006; 577:907–924. [PubMed: 16959856]
- Margules DL, Olds J. Identical “Feeding” and “Rewarding” Systems in the Lateral Hypothalamus of Rats. *Science*. 1962; 135:374–375. [PubMed: 14469788]

- Mietus-Snyder ML, Lustig RH. Childhood Obesity: Adrift in the “Limbic Triangle.”. *Annual Review of Medicine*. 2008; 59:147–162.
- Nair-Roberts RG, Chatelain-Badie SD, Benson E, White-Cooper H, Bolam JP, Ungless MA. Stereological estimates of dopaminergic, GABAergic and glutamatergic neurons in the ventral tegmental area, substantia nigra and retrorubral field in the rat. *Neuroscience*. 2008; 152:1024–1031. [PubMed: 18355970]
- Nakamura K, Ono T, Tamura R. Central sites involved in lateral hypothalamus conditioned neural responses to acoustic cues in the rat. *J. Neurophysiol*. 1987; 58:1123–1148. [PubMed: 3694247]
- Norgren R. Gustatory responses in the hypothalamus. *Brain Research*. 1970; 21:63–77. [PubMed: 5433117]
- Olds J, Milner P. Positive reinforcement produced by electrical stimulation of septal area and other regions of rat brain. *Journal of Comparative and Physiological Psychology*. 1954; 47:419–427. [PubMed: 13233369]
- Pelloux Y, Everitt BJ, Dickinson A. Compulsive drug seeking by rats under punishment: effects of drug taking history. *Psychopharmacology (Berl.)*. 2007; 194:127–137. [PubMed: 17514480]
- Petreaun L, Huber D, Sobczyk A, Svoboda K. Channelrhodopsin-2-assisted circuit mapping of long-range callosal projections. *Nat Neurosci*. 2007; 10:663–668. [PubMed: 17435752]
- Schultz W, Dayan P, Montague PR. A Neural Substrate of Prediction and Reward. *Science*. 1997; 275:1593–1599. [PubMed: 9054347]
- Schwartzbaum JS. Electrophysiology of taste, feeding and reward in lateral hypothalamus of rabbit. *Physiology & Behavior*. 1988; 44:507–526. [PubMed: 3237841]
- Simon H, Le Moal M, Calas A. Efferents and afferents of the ventral tegmental-A10 region studied after local injection of [3H]leucine and horseradish peroxidase. *Brain Research*. 1979; 178:17–40. [PubMed: 91413]
- Singh J, Desiraju T, Raju TR. Comparison of Intracranial Self-Stimulation Evoked From Lateral Hypothalamus and Ventral Tegmentum: Analysis Based on Stimulation Parameters and Behavioural Response Characteristics. *Brain Research Bulletin*. 1996; 41:399–408. [PubMed: 8973846]
- Tabuchi E, Yokawa T, Mallick H, Inubushi T, Kondoh T, Ono T, Torii K. Spatio-temporal dynamics of brain activated regions during drinking behavior in rats. *Brain Res*. 2002; 951:270–279. [PubMed: 12270506]
- Tye KM, Stuber GD, De Ridder B, Bonci A, Janak PH. Rapid strengthening of thalamo amygdala synapses mediates cue-reward learning. *Nature*. 2008; 453:1253–1257. [PubMed: 18469802]
- Ungless MA, Grace AA. Are you or aren't you? Challenges associated with physiologically identifying dopamine neurons. *Trends in Neurosciences*. 2012
- Vanderschuren LJMJ, Everitt BJ. Drug seeking becomes compulsive after prolonged cocaine self-administration. *Science*. 2004; 305:1017–1019. [PubMed: 15310907]
- Watabe-Uchida M, Zhu L, Ogawa SK, Vamanrao A, Uchida N. Whole-Brain Mapping of Direct Inputs to Midbrain Dopamine Neurons. *Neuron*. 2012; 74:858–873. [PubMed: 22681690]
- Wise RA. Dopamine, learning and motivation. *Nature Reviews Neuroscience*. 2004; 5:483–494.
- Yamaguchi T, Sheen W, Morales M. Glutamatergic neurons are present in the rat ventral tegmental area. *European Journal of Neuroscience*. 2007; 25:106–118. [PubMed: 17241272]
- Yamamoto T, Matsuo R, Kiyomitsu Y, Kitamura R. Response properties of lateral hypothalamic neurons during ingestive behavior with special reference to licking of various taste solutions. *Brain Research*. 1989; 481:286–297. [PubMed: 2720381]
- Zhang S-J, Ye J, Miao C, Tsao A, Cerniauskas I, Ledergerber D, Moser M-B, Moser EI. Optogenetic Dissection of Entorhinal-Hippocampal Functional Connectivity. *Science*. 2013; 340:1232627. [PubMed: 23559255]

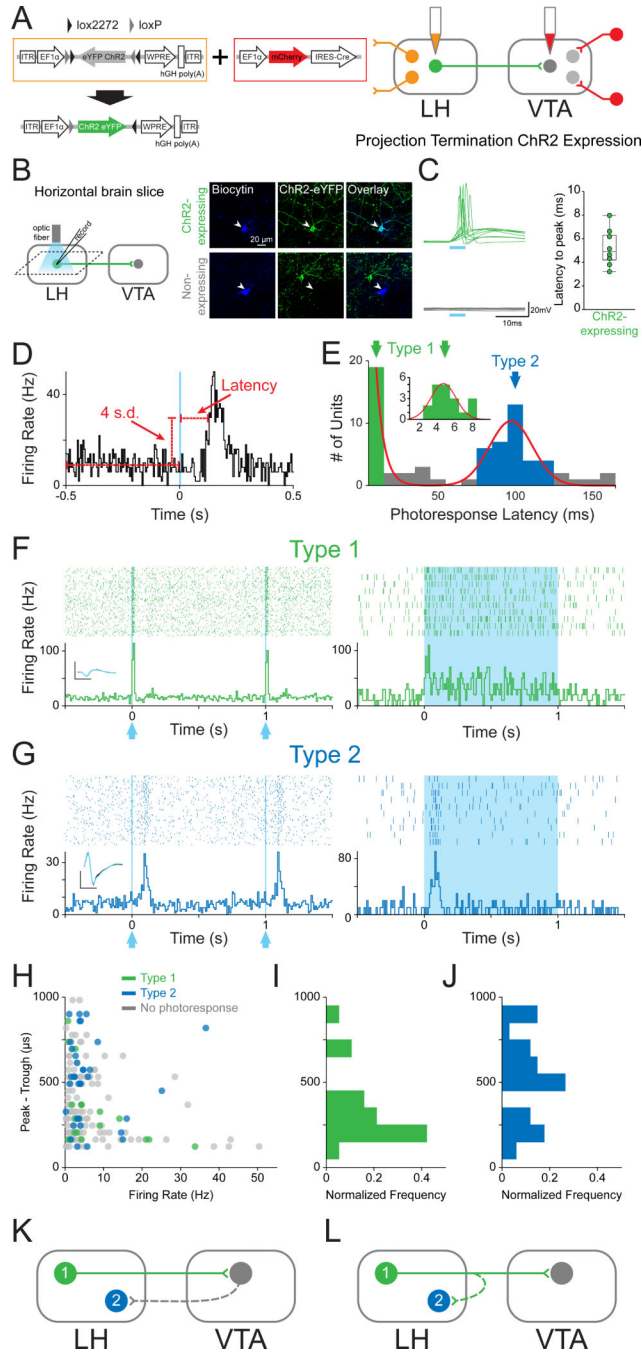


Figure 1. Phototagging LH-VTA projections reveals two populations of neurons with different response latencies to photostimulation

(A) Wild-type mice (n=12) were injected with AAV₅-DIO-ChR2-eYFP into the lateral hypothalamus (LH) and HSV-EF1 α -IRES-Cre-mCherry into the ventral tegmental area (VTA). (B) Horizontal brain slices containing the LH were prepared for whole-cell patch-clamp recordings in ChR2-expressing and non-expressing LH neurons. (C) Individual traces recorded in current-clamp mode showing the response of ChR2-expressing (green, n=10) and non-expressing (grey, n=14) cells to a 5 ms pulse of 473 nm light. The box and whisker plot shows the average response latency for each ChR2-expressing cell *ex vivo*. (D)

Photoresponse latencies *in vivo* were calculated by measuring the time from stimulation to 4 standard deviations (SD) above the baseline firing rate. (E) A bimodal distribution of excitatory photoresponse latencies was identified in recorded units (n=198) and divided into Type 1 (green; n=19) and Type 2 units (blue; n=34). (F) Type 1 units responded to photostimulation with fast excitation (3-8 ms latency). Inset shows the overlaid average traces for spontaneous spiking (black) and light-evoked spiking (blue) from a representative unit. (G) Type 2 units responded to photostimulation with delayed excitation (80-120 ms latency). (H) Scatterplot depicting the peak-trough duration of the waveform plotted against the average firing rate for each unit. (I) Normalized histogram showing the distribution of peak-trough durations for Type 1 units and (J) Type 2 units. (K and L) Diagrams illustrating two possible circuit models. (K) Type 1 units project directly from the LH to the VTA, while Type 2 units represent a population in the LH that is receiving feedback from the VTA, or (L) Type 2 units represent a population in the LH that is receiving input from collaterals of Type 1 units. Dotted lines indicate the presence of either a monosynaptic or polysynaptic connection. Scale bar: y-axis, 0.2 mV; x-axis, 500 μ s. See also Figure S1.

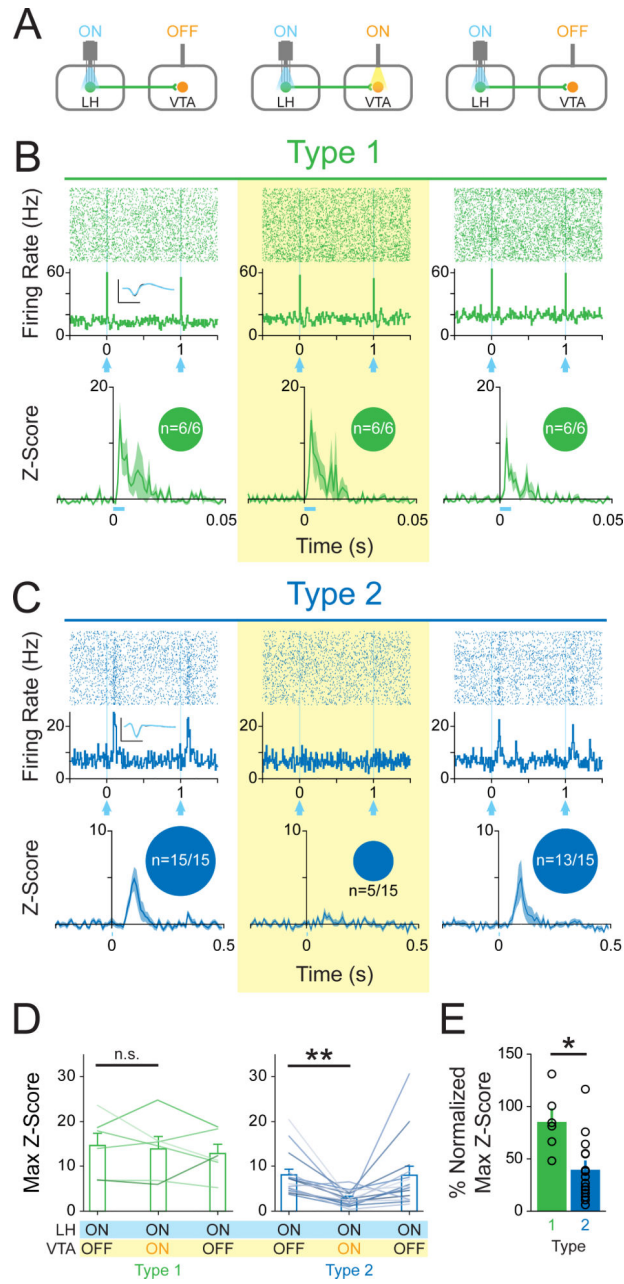


Figure 2. Inhibition of the VTA selectively attenuates the photoresponse of Type 2, but not Type 1, units

(A) Mice expressing ChR2 in LH-VTA projections received an additional injection of AAV₅-CaMKII α -eNpHR3.0-eYFP into the VTA to allow for transient inhibition of VTA neurons by yellow light. Three epochs of phototagging were conducted (LH photoactivation: ON-ON-ON, VTA photoinhibition: OFF-ON-OFF). (B) Type 1 (n=6/121 units, n=6 animals) photoresponse properties were unaffected (0%; n=0/6 attenuated or abolished) by VTA inhibition. Inset circles represent the number of units photoresponsive during each epoch. Inset shows the overlaid average traces for spontaneous spiking (black) and light-evoked spiking (blue) from a representative unit. (C) Type 2 (n=15/121 units, n=6 animals)

photoresponse properties were abolished (67%; n=10/15) or attenuated (87%; n=13/15) during NpHR-mediated VTA inhibition. (D) No significant difference in max z-score was detected between epochs with and without inhibition of the VTA for Type 1 units (two-tailed, Paired Student's t-test, $p=0.71$). The max z-score was significantly lower in the ON (LH blue light illumination + VTA photoinhibition) epoch relative to the first OFF epoch (LH blue light illumination only) for Type 2 units (two-tailed, Paired Student's t-test, $**p=0.0015$). (E) There was a significant difference in max z-score (normalized to the OFF epoch) during photoinhibition of the VTA between Type 1 units compared to Type 2 units (two-tailed, Unpaired Student's t-test, $*p=0.014$). Error bars indicate +SEM. Scale bar: y-axis, 0.2 mV; x-axis, 500 μ s. See also Figure S3.

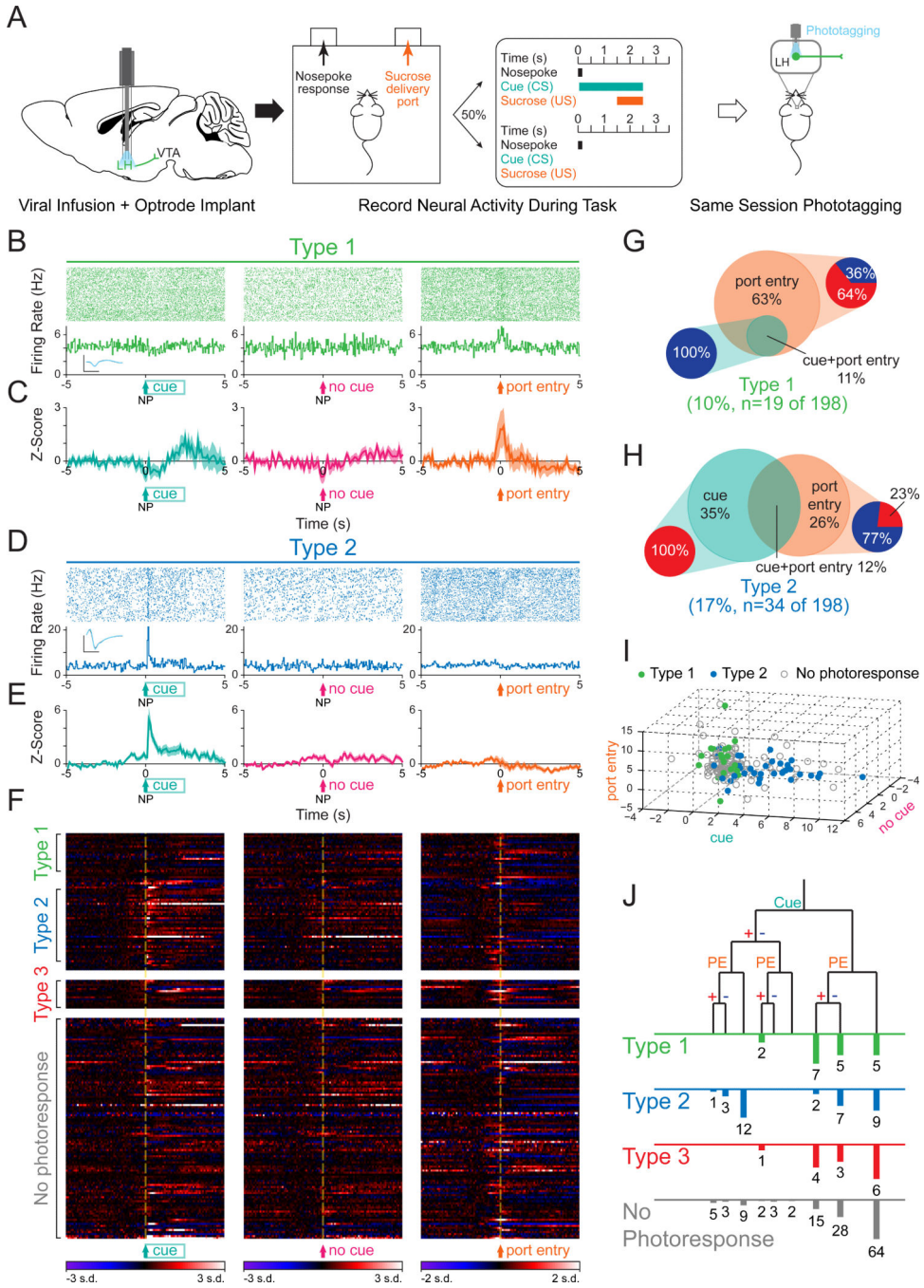


Figure 3. Type 1 units predominantly respond to the port entry, while Type 2 units respond to both the conditioned stimulus and the port entry

(A) Mice with optrodes implanted in the LH and expressing ChR2 in LH-VTA projections were trained on a task where 50% of nosepokes (NP) were followed by a cue (conditioned stimulus; CS) that predicts the delivery of sucrose (unconditioned stimulus; US) at the delivery port. *In vivo* electrophysiological recordings were performed during the behavioral task followed by phototagging in the same recording session to identify units by projection target. (B) Perievent raster histograms for a representative Type 1 unit that responded to port entry, but not to the reward-predictive cue. Inset shows overlaid average traces for

spontaneous spiking (black) and light-evoked spiking (blue) from a representative unit. (C) Population z-score plots showing the average response of all Type 1 units ($n=19/198$ units, $n=12$ animals). (D) Peri-event raster histograms for a representative Type 2 unit that responded to the reward-predictive cue, but not to port entry. (E) Population z-score plots show the average response of all Type 2 units ($n=34/198$ units, $n=12$ animals). (F) Heat map representation of the individual z-scores of all units. (G) Of all Type 1 units, 63% responded exclusively to the port entry ($n=12/19$), while 11% responded to both the port entry and the reward-predictive cue ($n=2/19$). Within the Type 1 units that responded to the port entry, 64% ($n=9/14$) were excited (red) upon port entry while 36% ($n=5/14$) were inhibited (blue), and within the units that responded to the reward-predictive cue, 100% ($n=2/2$) were inhibited by the cue. (H) Of all Type 2 units, 35% ($n=12/34$) responded exclusively to the reward-predictive cue, 26% ($n=9/34$) responded exclusively to the port entry, and 12% ($n=4/34$) responded to both. Within the Type 2 units that responded to the cue, 100% ($n=16/16$) were excited by the cue while none were inhibited, and within the units that responded to port entry, 77% ($n=10/13$) were inhibited upon port entry while 23% ($n=3/13$) were excited. (I) Graphical representation of z-scores during the experimental windows for cue, no cue, and port entry, for Type 1, Type 2, and “no photoresponse” units. (J) Diagram of recorded units demonstrating whether they responded to the cue or port entry (PE) and whether that response was with excitation (+) or inhibition (-). Error bars indicate +SEM. Scale bar: y-axis, 0.2 mV; x-axis, 500 μ s. See also Figure S2.

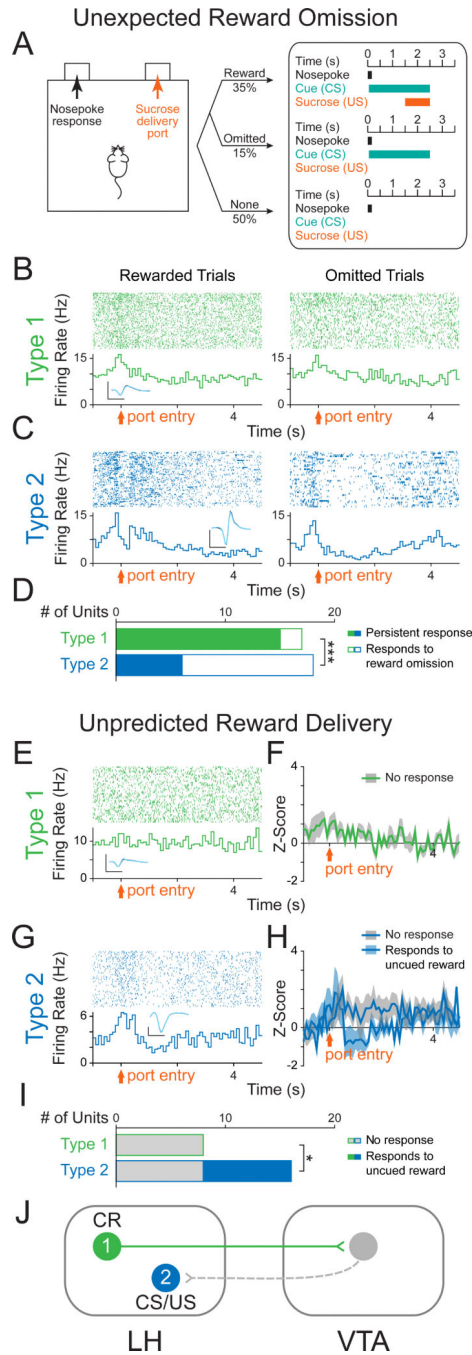


Figure 4. LH-VTA neurons encode the conditioned response of sucrose-seeking

(A) The original partial reinforcement sucrose self-administration task was modified so that in 30% of trials during which the reward-predictive cue was present, the expected sucrose delivery was omitted (15% of all trials). (B) Perievent raster histograms of a Type 1 unit that showed no difference in response to port entry with reward omission. Inset shows overlaid average traces for spontaneous spiking (black) and light-evoked spiking (blue) from a representative unit. (C) Perievent raster histograms of a Type 2 unit that showed a significantly different response to port entry upon omission of the expected reward. (D) Of

all Type 1 units recorded ($n=17/122$ units, $n=6$ animals), only 12% ($n=2/17$) showed a significant difference in their response when the expected reward was omitted. In contrast, of all Type 2 units recorded ($n=18/122$ units, $n=6$ animals), 67% ($n=12/18$) showed a significant difference in their response when the expected reward was omitted (Chi-square=10.9804, $***p=0.0009$). (E) Unexpected sucrose delivery occurred in the absence of predictive cues. Peri-event raster histogram of a Type 1 unit that did not respond to port entry following unpredicted reward delivery. (F) Population z-score plot showing the average response of all Type 1 units to the port entry following unpredicted reward delivery. (G) Peri-event raster histogram of a Type 2 unit that showed an increase in firing rate to port entry following unpredicted reward delivery. (H) Population z-score plot Type 2 unit responses to port entry following unpredicted reward delivery separated into those that showed a significant response and those that showed no significant response. (I) Of all Type 1 units recorded ($n=8/105$ units, $n=6$ animals), 0% ($n=0/8$) showed a significant response to the port entry following unpredicted reward delivery. In contrast, of all Type 2 units recorded ($n=16/105$ units, $n=6$ animals), 50% ($n=8/16$) showed a significant response to the port entry following unpredicted reward delivery (Chi-square=6, $*p=0.0143$). (J) Schematic of LH-VTA loop and the components of reward processing encoded by Type 1 and 2 cells. CR=conditioned response; CS=conditioned stimulus; US=unconditioned stimulus. Scale bar: y-axis, 0.2 mV; x-axis, 500 μ s.

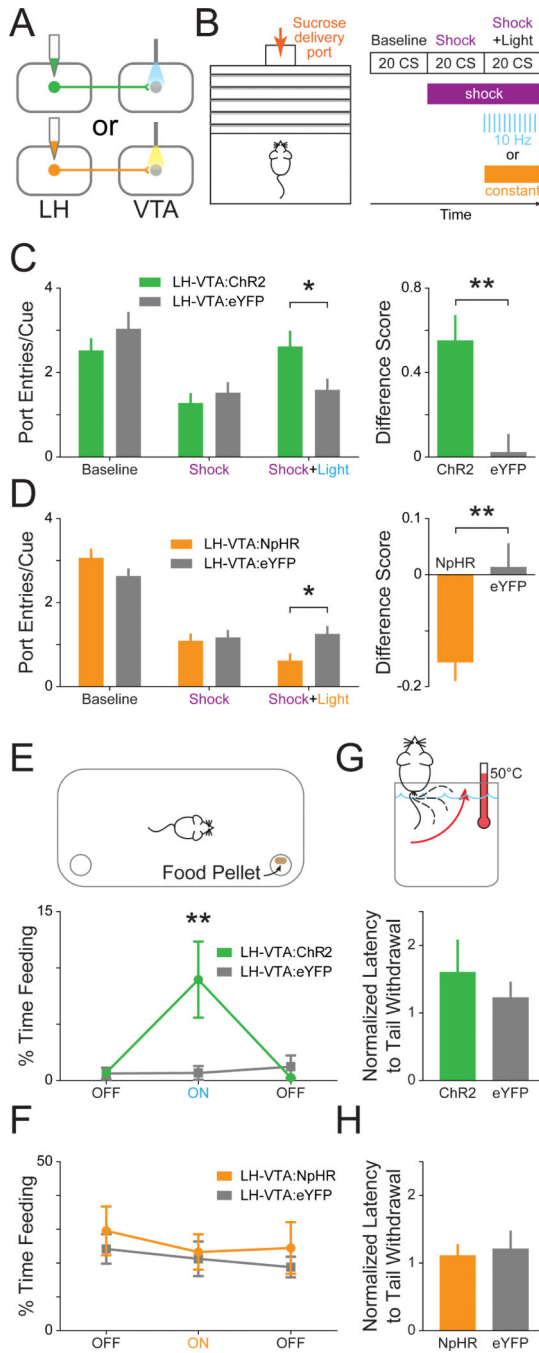


Figure 5. Excitation of LH-VTA projections promotes, while inhibition attenuates, compulsive sucrose-seeking

(A) Mice received injections of AAV₅-CaMKII α -ChR2-eYFP (n=8), AAV₅-CaMKII α -eNpHR3.0-eYFP (n=14), or AAV₅-CaMKII α -eYFP (n=6 controls for ChR2, n=8 controls for NpHR) into the LH and an optic fiber was implanted above the VTA. (B) Mice were trained on a Pavlovian conditioned approach task wherein a cue predicted sucrose delivery to a port located across a shock grid. On test day, mice were presented with 20 cues during a baseline period without shock, 20 cues when the shock grid was on, and 20 cues during which 10 Hz blue or constant yellow light was delivered while the shock floor remained on.

(C) Mice in the Chr2 group showed a significant increase in the number of port entries per cue during the ‘Shock+Light’ epoch relative to eYFP controls (n=8 Chr2, n=6 eYFP; 2-way ANOVA revealed a group \times epoch interaction, $F_{2,24}=20.47$, $p<0.0001$; Bonferroni post hoc analysis, $*p < 0.05$). The difference between the number of port entries per cue during the ‘Shock+Light’ epoch and ‘Shock’ epoch was also significantly different between the Chr2 and eYFP control groups (two-tailed, Unpaired Student's t-test, $**p=0.0090$). (D) Mice in the NpHR group showed a significant decrease in the number of port entries per cue during the ‘Shock+Light’ epoch relative to eYFP controls (n=13 NpHR, n=8 eYFP; 2-way ANOVA revealed a group \times epoch interaction, $F_{2,38}=116.63$, $p<0.0001$; Bonferroni post hoc analysis, $*p < 0.05$). The difference score was also significantly different between the NpHR-expressing and eYFP control mice (two-tailed, Unpaired Student's t-test, $**p=0.0062$). (E) Mice were placed into an open chamber with two cups, one containing food and the other without, and behavior in three experimental epochs was recorded (light OFF-ON-OFF). Chr2-expressing mice showed a significant increase in feeding (measured by time spent consuming food) compared with eYFP controls during the epoch paired with blue light stimulation (n=8 Chr2, n=6 eYFP; 2-way ANOVA revealed a group \times epoch interaction, $F_{2,24}=4.23$, $p=0.0268$; Bonferroni post hoc analysis, $**p < 0.01$). (F) NpHR-expressing mice showed no significant differences from eYFP control mice in time spent feeding in any of the epochs (n=9 NpHR, n=7 eYFP). (G) To examine the effect of light stimulation on analgesia, mice had their tails placed into a heated water bath and the latency to tail withdrawal was measured during two counterbalanced epochs (light ON/OFF). Chr2-expressing mice showed no significant difference in tail withdrawal latency (normalized to OFF epoch) during blue light stimulation compared to eYFP controls (n=8 Chr2, n=6 eYFP), (H) nor did NpHR-expressing mice during yellow light stimulation (n=5 NpHR, n=8 eYFP). Error bars indicate \pm SEM. See also Figure S4.

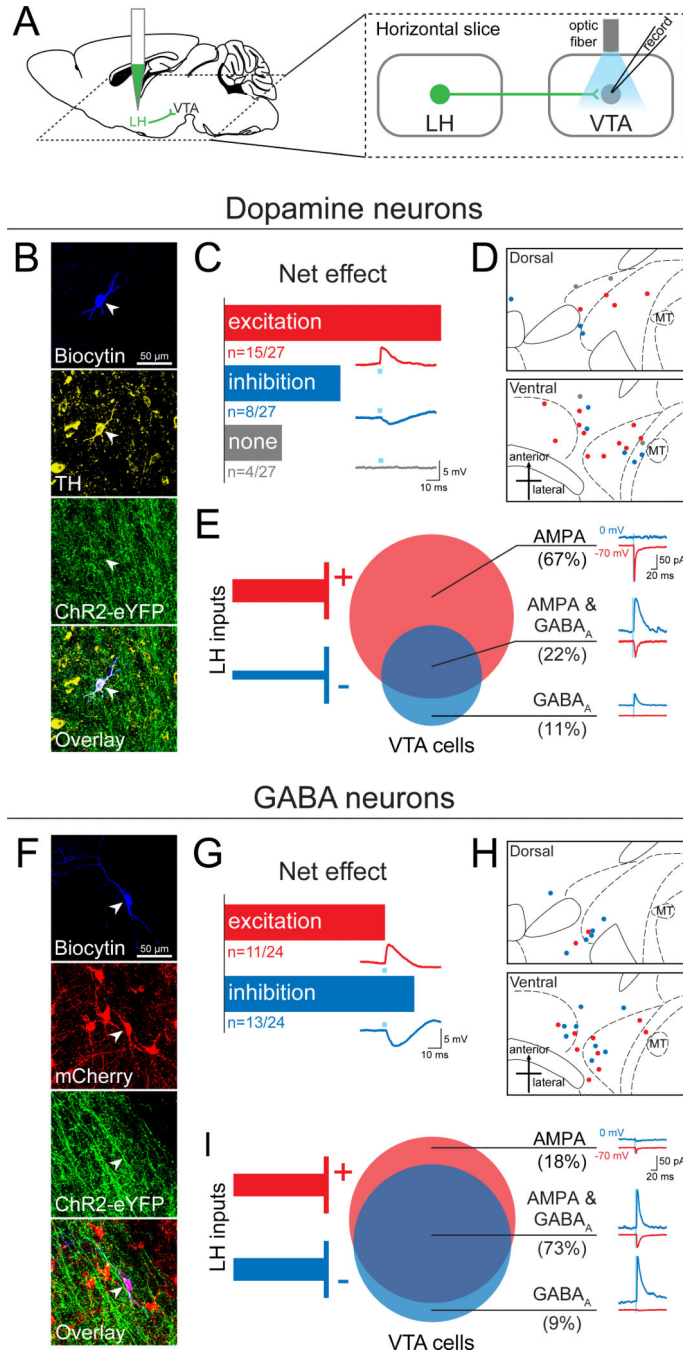


Figure 6. The LH sends a mixture of excitatory and inhibitory projections to both dopamine (DA) and GABA neurons in the VTA
 (A) AAV₅-CaMKII α -ChR2-eYFP was injected into the LH and at least 6 weeks later 300 μ m thick horizontal brain slices were prepared containing the VTA. Whole-cell patch-clamp recordings were made in VTA neurons, and ChR2-expressing LH terminals were activated by illumination with 473 nm light via an optic fiber resting on the brain slice. (B) Neurons were filled with biocytin during recording, and DA neurons were identified by immunohistochemistry for tyrosine hydroxylase (TH) (n=27). (C) The net effect of optical stimulation of LH terminals was assessed in current-clamp mode, which revealed that 55%

of DA neurons (n=15/27) showed a net excitatory response, while 30% (n=8/27) responded with net inhibition, and 15% (n=4/27) showed no response. An example of an excitatory postsynaptic potential (EPSP, red trace), an inhibitory postsynaptic potential (IPSP, blue trace), and a non-responsive cell (grey trace) are shown below each bar. (D) The distribution of all recorded TH+ neurons plotted on horizontal midbrain slices with colors indicating the response to LH terminal photostimulation. (E) VTA DA neurons received only AMPAR-mediated input (67%, n=6/9), only GABA_AR-mediated input (11%, n=1/9) or both of these currents (22%, n=2/9). (F) VTA GABA neurons were identified by the presence of mCherry (n=24), achieved by injection of Cre-dependent AAV₅-EF1 α -DIO-mCherry into the VTA of VGAT::Cre mice. (G) Optical stimulation of LH terminals in current-clamp mode showed that GABA neurons respond with either net excitation (46%, n=11/24) or net inhibition (54%, n=13/24) to LH input. (H) The distribution of each recorded GABA neuron plotted on horizontal midbrain slices with colors indicating the response to LH terminal stimulation. (I) The monosynaptic input to VTA GABA cells recorded in the presence of TTX and 4AP revealed that GABA neurons receive a mixture of AMPAR-mediated and GABA_AR-mediated input from the LH (AMPA only: 18%, n=2/11; AMPA & GABA_A: 73%, n=8/11; GABA_A: 9%, n=1/11). MT=medial terminal nucleus of the accessory optic tract. See also Figure S5 and S6.

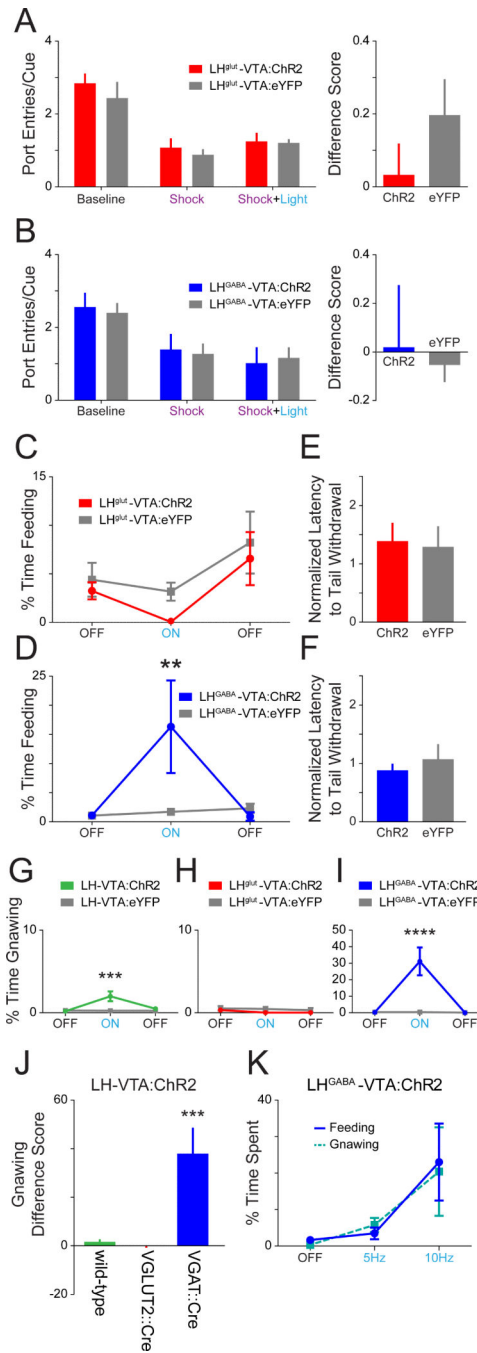


Figure 7. Photoactivation of the GABAergic, but not the glutamatergic, component of the LH-VTA projection increased feeding behaviors

(A) In order to selectively activate glutamatergic or GABAergic LH-VTA projections, VGLUT2::Cre and VGAT::Cre mice received an injection of AAV₅-DIO-ChR2-eYFP or AAV₅-DIO-eYFP into the LH and had an optic fiber implanted over the VTA. In the sucrose-seeking task, there were no significant differences in the number of port entries per cue in any epoch for LH^{glut}-VTA:ChR2 mice (n=7) compared to LH^{glut}-VTA:eYFP control mice (n=6) nor (B) in LH^{GABA}-VTA:ChR2 mice (n=6) compared to LH^{GABA}-VTA:eYFP mice (n=8). (C) There was no significant difference between LH^{glut}-VTA:ChR2 mice and

eYFP controls in feeding behavior. (D) However, LH^{GABA}-VTA:ChR2 mice showed a significant increase in time spent feeding during light stimulation compared to LH^{GABA}-VTA:eYFP controls (2-way ANOVA revealed a group \times epoch interaction, $F_{2,24}=4.78$, $p=0.0178$; Bonferroni post hoc analysis, $**p < 0.01$). (E) Neither LH^{glut}-VTA:ChR2 mice, (F) nor LH^{GABA}-VTA:ChR2 mice showed a difference in tail withdrawal latency compared to their respective controls. (G) LH-VTA:ChR2 mice showed a significant increase in time spent gnawing during the light ON epoch compared to eYFP controls (2-way ANOVA revealed a group \times epoch interaction, $F_{2,24}=4.78$, $p=0.0179$; Bonferroni post hoc analysis, $***p < 0.001$). (H) There was no significant difference between LH^{glut}-VTA:ChR2 and LH^{glut}-VTA:eYFP controls in gnawing behavior. (I) However, LH^{GABA}-VTA:ChR2 animals also showed a significant increase in time spent gnawing during the light ON epoch compared to LH^{GABA}-VTA:eYFP controls (2-way ANOVA revealed a group \times epoch interaction, $F_{2,24}=18.91$, $p<0.0001$; Bonferroni post hoc analysis, $****p < 0.0001$). (J) The difference score for gnawing behavior between the ON and OFF epochs was significantly greater in LH^{GABA}-VTA:ChR2 animals in comparison with either wild-type LHVTA:ChR2 or LH^{glut}-VTA:ChR2 animals (1-way ANOVA, $F_{2,18}=16.76$, $p<0.0001$; Bonferroni post hoc analysis, $***p < 0.001$). (K) Frequency-response curve showing the effect of different blue light stimulation frequencies (OFF, 5 Hz, 10 Hz) on behavior in LH^{GABA}-VTA:ChR2 animals. See also Figure S7.