

Direct Detection and Prediction of All Pneumococcal Serogroups by Target Enrichment-Based Next-Generation Sequencing

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Despite the availability of standard methods for pneumococcal serotyping, there is room for improvement in the available methods, in terms of throughput, multiplexing capacity, and the number of serotypes identified. We describe a target enrichmentbased next-generation sequencing method applied to nasopharyngeal samples for direct detection and serogroup prediction of all known serotypes of *Streptococcus pneumoniae***, 32 to the serotype level and the rest to the closely related serogroup level. The method was applied to detect and to predict the serogroups of pneumococci directly in clinical samples and from sweeps of primary culture DNA, with increased detection rates versus culture-based identification and agreement with the serotypes/serogroups determined by conventional serotyping methods. We propose this method, in conjunction with traditional serotyping methods, as an alternative to rapid detection and serotyping of pneumococci.**

The introduction of conjugate vaccination has dramatically altered the prevalence and community structure of pneumococcal serotypes, in both disease and carriage [\(1\)](#page-7-0). As the serotype valency of conjugate vaccines increased from 7 to 13, the serotypes and their prevalence were subjected to dynamic changes to less common serotypes. Thus, there is a need for laboratory methods that are capable of identifying the maximum possible number of serotypes with a limited number of assays, in order to monitor serotype replacement and any emerging serotypes [\(2\)](#page-7-1).

The Quellung reaction remains the standard method for identification of pneumococcal serotypes. This method is expensive and time-consuming and requires expertise [\(3\)](#page-7-2). With the sequencing of the capsular biosynthesis loci of all 90 pneumococcal serotypes, new molecular methods for pneumococcal serotyping have been developed [\(4\)](#page-7-3). The most widely used of these methods remain sequential multiplex PCRs. The Centers for Disease Control and Prevention (CDC) (Atlanta, GA) recommends a set of 8 multiplex PCRs that are capable of differentiating 40 seroidentities, 22 to the serotype level [\(5\)](#page-7-4) [\(http://www.cdc.gov/streplab/pcr](http://www.cdc.gov/streplab/pcr.html) [.html\)](http://www.cdc.gov/streplab/pcr.html). More recently, a set of seven real-time PCR assays capable of differentiating 21 serotypes was also recommended by the CDC [\(6\)](#page-7-5). However, the number of tests to be performed still remains relatively high, due to the limited multiplexing capabilities associated with gel-based differentiation and quencher dye combinations. Thus, there is a need for alternative serotyping methods capable of differentiating the greatest number of serotypes at least to closely related serogroups, with a minimal number of assays, in a rapid and cost-effective manner.

Next-generation sequencing (NGS) is an attractive alternative platform for the development of diagnostic methods. The high throughput, the increasingly simple and fast methods for sample preparation, and the ability to pool samples together make these platforms versatile for adaptation. Target enrichment-based sequencing through selective enrichment of the regions of interest enables the use of sequencing reads in a more cost-effective manner. While target enrichment and sequencing are commonly used for the diagnosis of cancers and hereditary diseases, their use in diagnostic microbiology is still emerging [\(7\)](#page-7-6). We previously used a set of published primers to enrich the common pneumococcal serotypes included in the 23-valent pneumococcal polysaccharide vaccine (PPSV23) [\(8\)](#page-7-7) and established cutoff values for the interpretation of serogroup/serotype data based on the NGS target reads. However, with the rapid changes in the serotype composition of *Streptococcus pneumoniae* globally, the methodology needs to be expanded to include other serotypes. We thus extended and validated the NGS protocol to enrich serotype-specific sequences from additional *S. pneumoniae* serotypes. This enabled the identification and detection of all current serogroups of *S. pneumoniae*, including 32 at the serotype level. We then applied this methodology to identify and to serotype *S. pneumoniae* directly from clinical samples from hospitalized children with pneumonia and from sweeps of primary cultures and compared the results with those of the conventional method of culture and serotyping of *S. pneumoniae*.

MATERIALS AND METHODS

Pneumococcal isolates and capsular typing. Thirty-eight isolates of pneumococcal serotypes/serogroups included in the second enrichment PCR were used for the validation section. All isolates were serotyped as described previously, with multiplex PCR [\(5\)](#page-7-4) [\(http://www.cdc.gov](http://www.cdc.gov/streplab/pcr.html) [/streplab/pcr.html\)](http://www.cdc.gov/streplab/pcr.html). DNA from bacterial isolates was prepared by boiling lysis of overnight cultures.

Processing of clinical samples. Nasopharyngeal aspirate (NPA) samples collected from children hospitalized with pneumonia at a tertiary care pediatric department during a consecutive 9-month period were evaluated. NPA samples were stored in skim milk-glycerol-glucose-tryptone

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FIG 1 Workflow for three methods for *S. pneumoniae* identification (ID) and prediction of serogroups/serotypes.

soy broth (STGG) at -80° C, thawed to room temperature, and vortexmixed for 10 to 20 s. DNA was extracted from 200 μ l using the Qiagen DNeasy blood and tissue kit, with modifications suggested by the CDC [\(http://www.cdc.gov/streplab/downloads/pcr-body-fluid-DNA-extract](http://www.cdc.gov/streplab/downloads/pcr-body-fluid-DNA-extract-strep.pdf)[strep.pdf\)](http://www.cdc.gov/streplab/downloads/pcr-body-fluid-DNA-extract-strep.pdf). Ten microliters of each NPA sample was cultured on blood agar (BA) plates with gentamicin (5 μ g/ml), the samples were incubated in 5% CO₂ at 37°C for 24 h, and suspected *S. pneumoniae* colonies were identified by routine methods described previously [\(9\)](#page-7-8). Plates with no growth were reincubated for an additional 24 h.

DNA extraction was also performed from sweeps of the primary cultures (sweep culture) as described by Turner et al. [\(10\)](#page-7-9), with modifications. After a single colony was picked from the primary culture plate, a sweep of the remaining bacterial colonies was suspended in 500 μ l to 1 ml of ultrapure water, and the turbidity was adjusted to a McFarland standard of 1. DNA was extracted from this suspension by simple boiling lysis.

Target enrichment-based next-generation sequencing. The multiplex PCR for target enrichment of extended pneumococcal serotypes contained 33 previously described pairs of primers [\(11\)](#page-7-10), of which 20 were serotype specific (13, 11F, 16F, 16A, 17A, 21, 23A, 23B, 27, 29, 31, 33C, 34, 35B, 36, 39, 43, 45, 47A, and 48) and 13 were serogroup specific (7B/7C/ 40, 10F/10A, 11B/11C, 15A/15F, 19B/19C, 24F/24A/24B, 25F/25A/38, 28F/28A, 32F/32A, 33B/33D/33C, 35F/47F, 35A/35C/42, and 41F/41A) (see Table S1 in the supplemental material). An 18-bp nucleotide adaptor was added to the $5'$ end of the primers to enable sample pooling (8) , and multiplex PCRs were optimized with or without the addition of a pair of primers targeting the streptococcal autolysin gene, with an intervening segment of specific sequence signatures [\(8\)](#page-7-7), for the identification of *S. pneumoniae*. The remaining 24 common serotypes (those present in PPSV23 and serotype 6A) were enriched using the previously described PCR (8) . The extended enrichment PCR used a 4- μ l volume from a primer mixture containing serotype/serogroup-specific primers at $1 \mu M$ concentrations, without or with $lytA$ -specific primers at 0.25 $µM$ con-

centrations, with 2 μ l of identified isolate DNA, 4 μ l of primary culture DNA , or 8μ of direct sample DNA as the template in a total reaction mixture of 25 μ l, using a Platinum multiplex PCR kit (Life Technologies).

A modified step-out (MSO)-PCR used the sequence of the 18-nucleotide adaptor for the primer, with 10 unique 5-nucleotide indexes selected from an online list [\(http://cloud.github.com/downloads/faircloth-lab](http://cloud.github.com/downloads/faircloth-lab/edittag/edit_metric_tags.txt) [/edittag/edit_metric_tags.txt\)](http://cloud.github.com/downloads/faircloth-lab/edittag/edit_metric_tags.txt) at the 5' end, to enable sample pooling [\(12,](#page-7-11) [13\)](#page-7-12). The MSO-PCR used 4 μ l of the purified products of multiplex PCR as the template in a 50- μ l reaction mixture with other constituents as recommended for ActiTaq polymerase (Life Technologies), for 20 cycles with an annealing temperature of 53°C.

[Figure 1](#page-1-0) presents the workflow for sample preparation and the methods evaluated. The multiplex PCRs described above and described previously [\(8\)](#page-7-7) were used in conjunction to determine the identification and pneumococcal serogroups/serotypes from DNA extracted directly from samples and from sweeps of primary cultures.

Library preparation and sequencing. MSO-PCR products were analyzed visually for the presence of bands regardless of size, and DNA was purified using the QIAquick PCR product purification kit (Qiagen) and quantified using a Qubit fluorometer (Life Technologies). Purified PCR products from samples with 10 unique barcodes were pooled together in equal quantities to generate a single "index sample," and library preparation was performed using the TruSeq DNA library preparation kit (version 2; Illumina), according to the manufacturer's instructions. Sequencing was performed with a MiSeq sequencer (Illumina), using 2 by 150-bp sequencing. The paired-end reads obtained from the sequencing run were demultiplexed for Illumina indexes with MiSeq reporter software, followed by quality filtering and demultiplexing for in-house indexes using the FASTX toolkit [\(http://hannonlab.cshl.edu/fastx_toolkit\)](http://hannonlab.cshl.edu/fastx_toolkit). Amplicons were aligned with reference sequences mentioned in the articles describing the original primers [\(11,](#page-7-10) [14\)](#page-7-13) and with atypical and typical pneumococcal *lytA* gene sequences (GenBank accession numbers [AJ419979.1](http://www.ncbi.nlm.nih.gov/nuccore?term=AJ419979.1) and [AJ243407.1,](http://www.ncbi.nlm.nih.gov/nuccore?term=AJ243407.1) respectively).

Confirmation of discrepant results between culture-based and target-based NGS methods. For samples for which there were discrepancies in *S. pneumoniae* isolation or multiple serotypes were detected with the NGS method and not culture, the specimens were recultured using a 50-µl inoculum, and up to 20 to 50 colonies were picked for confirmation of the pneumococcal identities and serotyping by conventional methods. Additionally, the original DNA samples were tested with the CDC-recommended PCR, with a second round in which the product of the first round was used as a template.

Interpretation of results. In the previous study for detection of 23 valent serotypes, we evaluated different criteria with variable stringency to correctly assign serotypes. We found that identification of a serotype for which 500 reads were mapped against the given serotype, accounting for 15% of reads mapped against serotype sequences, was a stringent criterion with 100% correct prediction of serotypes [\(8\)](#page-7-7). Thus, the same criterion was used in this study. For the identification of *S. pneumoniae* in samples containing *S. pneumoniae* with a mixture of viridians streptococci, the percentage of reads mapped against the pneumococcus-specific *lytA* gene was identified as >10% of the total mapped reads for the given sample. Thus, in the validation using clinical samples versus pneumococcal isolates, only samples for which $>$ 10% of total reads were mapped against the typical *lytA* gene and >500 reads were mapped against serotype sequences were considered to contain pneumococci.

RESULTS

Target enrichment-based NGS detection and prediction of pneumococcal serotypes. [Table 1](#page-3-0) shows the detailed results of the pneumococcal serotype/serogroup prediction by target-based NGS. The total numbers of reads mapped against each serotype/ serogroup-specific sequence ranged from 1,667 to 18,106, while totals ranged from 1,902 to 18,701 reads in the results with inclusion of the *lytA* primers for detection of pneumococci. For the results with *lytA* primers, 316 to 14,760 reads were mapped against the typical $lytA$ gene, which accounted for $>$ 10% of total mapped reads for a given sample. Considering all samples, the mean percentages of reads mapped against the correct serotype/serogroupspecific sequence were well above the defined cutoff value of $>$ 15% of reads at 80.6% (95% confidence interval [CI], 77.7 to 84.1%) and 80.9% (95% CI, 77.8 to 84.1%) with inclusion of the *lytA* gene. Applying the criteria derived from the previous study $(>15%$ of all sequence reads and >500 total reads for a particular serotype sequence), all 38 serogroups/serotypes (100%) were correctly identified to the corresponding type; in the reaction including the *lytA* gene for pneumococcal identification, 37 of the 38 serogroups/serotypes (97.4%) were correctly identified. The remaining sample was also correctly identified to the original serotype but gave an additional serotype match based on the cutoff criterion.

Comparison of serotypes determined by target-based NGS versus conventional culture-based serotyping. Of 155 respiratory samples, 22.6% of the samples (35 samples) yielded *S. pneumoniae*in culture. The serotypes of these isolates are listed in [Table](#page-4-0) [2.](#page-4-0) DNA was prepared from sweeps of bacterial colonies from 81 of 155 samples that revealed bacterial growth in the primary cultures. Of these, 40 samples were positive after PCR enrichment and were subjected to sequencing by NGS, and the results are presented in [Table 2.](#page-4-0) Of the 40 samples, 38 fulfilled the criteria for pneumococcal identification, giving a pneumococcal positivity rate of 24.5%. Thirty-seven of these samples gave predicted serotypes; 36 samples contained a single serotype with >500 reads mapped

against a serotype accounting for $>15%$ of reads, while one sample (sample 27) had two serotypes predicted based on the same criteria for serotype allocation. One sample (sample 95) was identified as containing *S. pneumoniae* based on the *lytA* criteria but did not fulfill the criteria for serotype allocation.

Of all 155 samples tested with the direct NGS method, 44 had amplified products after multiplex PCR for target enrichment and were subjected to NGS sequencing; 39 samples fulfilled the criteria for pneumococcal identification, giving a positivity rate of 25.2%. Thirty-eight of 39 samples fulfilled the criteria for prediction of serotypes and were mapped to a predominant serotype. In addition, two of these samples (samples 117 and 132) had sufficient numbers and percentages of reads to map against a second serotype. One sample (sample 95) had 24.4% of reads mapped against serotype 23A, 16.6% against serotype 19A, and 13.6% against serotype 10A/10B; however, as none of the serotypes had >500 reads mapped against the given type, this sample did not fulfill the criteria for serotype allocation.

All 35 samples yielding *S. pneumoniae* from cultures were positive for *S. pneumoniae* by sweeps of primary culture DNA for NGS, and the results also corroborated the serotype predictions. However, only 34 of 35 samples were considered positive by the direct sample DNA NGS method (yielding a sensitivity of 97.4% versus sweep culture identification), as one sample (sample 152) did not meet the cutoff criterion and had only 8.3% of total mapped reads aligned to the typical *lytA* gene. Sample 95 did not meet the criteria for serotype allocation by either primary culture or the direct sample DNA NGS method.

Sweep culture DNA NGS identified 3 additional samples as containing *S. pneumoniae*, and these were also identified and con-firmed by the direct sample NGS method [\(Table 3\)](#page-6-0). Two of these three samples (samples 44 and 143) yielded pneumococcal isolation of the corresponding serotypes on repeat culture. Another two samples (samples 71 and 104) were identified as containing pneumococci by the direct sample NGS method. All five samples were confirmed to contain *S. pneumoniae* by repeat testing of the original DNA samples, with a second-round PCR using the CDC PCR method for prediction of serotypes. Of the three samples identified as having a second serotype [\(Table 3\)](#page-6-0), two from the direct NGS method were confirmed to have the second serotype with reanalysis of the original DNA with additional PCR cycles using the CDC PCR method.

For sample 95, which was identified as having pneumococci but did not fulfill the criteria for serotype allocation, the original respiratory sample was recultured on 3 plates in $50-\mu l$ aliquots, and the progeny of 50 colonies were serotyped. Thirty-six of the colonies belonged to serotype 10A, 9 to serotype 19A, and 5 to serotype 23A [\(Table 3\)](#page-6-0). The results of reanalysis of the samples with \leq 10% of total reads mapped against the pneumococcal *lytA* gene with 500 reads mapped for sequence-specific reads are presented in [Table 3.](#page-6-0)

DISCUSSION

The target-based NGS method described herein was capable of identifying all serogroups, including 32 serotypes at the serotype level. NGS offers a more versatile, high-throughput alternative to detection with previously described detection methods [\(11,](#page-7-10) [14\)](#page-7-13).

Recently, a number of novel methods to increase the capacity to detect a broader range of pneumococcal serotypes have been described. These methods include a multiplexed PCR coupled to

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an automated microarray assay differentiating 22 serotypes and 24 other serotypes to the subgroup level, the sequetyping method, which relies on sequencing products of a single consensus pair of primers capable of amplifying products of 84 serotypes and differentiating 46, a 5-plex multiplex PCR followed by ionization mass spectrometry, which is capable of differentiating 45 serotypes, and a set of three multiplex PCRs with 40 pairs of previously described primers followed by fragment analysis using automated fluorescence-based capillary electrophoresis, which is capable of differentiating 39 serotype/serogroups [\(15](#page-7-14)[–](#page-7-15)[17\)](#page-7-16). These methods are similar to our current method in terms of serotype resolution; however, to the best of our knowledge this is the first instance in which NGS coupled with target enrichment has been used to determine *S. pneumoniae* with serogroups directly from clinical specimens, although recently a similar method was used to identify other bacterial isolates [\(18\)](#page-7-17). The potential advantages of whole-genome sequencing (WGS) over the current method include the potential ability to detect novel serotypes and the ability to distinguish more serogroups to the serotype level. In this study, however, the identification of *lytA* positivity in the absence of an identified serotype may suggest novel serotypes that deserve reinvestigation of the original samples. Also, the current method is much more affordable, in terms of per-isolate or per-sample costs, and enables greater throughput than WGS.

The rates of detection by direct NGS from clinical samples (25.2%) and sweep culture DNA identification (24.5%) were both higher than the rate of culture-based identification (22.6%). Culture-independent methods for pneumococcal detection have been shown to increase the detection rates in both colonization studies and assessments of sterile samples [\(19\)](#page-7-18). It might be presumed that *S. pneumoniae* identified from only direct sample DNA (samples 71 and 104) and not from cultures or sweep cultures reflected remnant DNA of *S. pneumoniae* with serotypes predicted from the sequences. Thus, this method could potentially detect nonviable organisms or organisms from specimens from patients already receiving antibiotic treatment.

A drawback of molecular methods for detecting *S. pneumoniae* directly from clinical samples is the potential misidentification of nonpneumococcal isolates with similar genetic make-ups. Viridans group streptococci have been found to harbor a large number of genes originally identified as pneumococcal genes [\(20,](#page-7-19) [21\)](#page-7-20). Our assay incorporated a specific pair of primers that amplified a signature of the streptococcal autolysin gene that differentiated *S. pneumoniae* from nonpneumococcal isolates. The presence of specific *lytA* gene sequences but not serotype-specific sequences at the given cutoff criteria could potentially be used to indicate novel serotypes. This could also be due to the presence of multiple nondominant serotypes in low abundance, as exemplified by the results of reanalyzing sample 95.

One key factor in the successful application of the current method is the determination of cutoff read numbers and proportions to consider a sample for serotype allocation. Pooling multiple samples into one index sample, while helping to reduce costs, could potentially introduce false positivity of minor serotypes due to chimera formation-related issues. Further evaluations of the cutoff values in relation to the number of samples pooled to distinguish a true minor serotype versus a sample pooling artifact are needed. The number of samples pooled together is best kept uniform for a given diagnostic assay after full validation [\(22\)](#page-7-21).

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ab

NA, not applicable.

Detection of multiple serotypes in colonization is one key area in pneumococcal research that is of increasing importance, due to changes in the capsular types with the use of vaccines [\(2\)](#page-7-1). Multiplex PCRs, microarrays, and latex agglutination assays have been used to detect multiple serotypes in colonization, with different success rates [\(23](#page-8-0)[–](#page-8-1)[25\)](#page-8-2). The use of culture for detection of multiple serotypes is limited by the number of colonies that need to be identified in order to have a realistic probability of identifying a minor serotype [\(26,](#page-8-3) [27\)](#page-8-4). The method described offers an attractive alternative to detection of multiple serotypes in colonization from primary cultures or direct sample DNA.

This method is sufficiently versatile to be applied to pneumococcal isolates, sweep cultures, and direct clinical samples. As the enrichment requires only two multiplex PCRs optimized to the same thermocycling conditions, the method is amenable to automation, and NGS could be adapted to different sequencing platforms with modified library preparation protocols. With the emergence of competitively priced kits for rapid library preparation, this method can be carried out in a relatively short time. The bioinformatics pipeline used for this method is simple. Alternatively, on-instrument data-processing pipelines may be used for analysis.

Siira et al. [\(28\)](#page-8-5) indicated that the best way to utilize different methodologies for serotyping is to use them in a complementary manner, whereby molecular methods are used to rapidly screen large numbers of samples in a high-throughput manner and then the more precise but costly standard methods are used for further identification where needed [\(28\)](#page-8-5). We propose our target enrichment-based sequencing method as a versatile adaptable method that can be used in conjunction with standard methods.

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