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# Giant ankyrin-G: A critical innovation in vertebrate evolution of fast and integrated neuronal signaling

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Axon initial segments (AISs) and nodes of Ranvier are sites of clustering of voltage-gated sodium channels (VGSCs) in nervous systems of jawed vertebrates that facilitate fast long-distance electrical signaling. We demonstrate that proximal axonal polarity as well as assembly of the AIS and normal morphogenesis of nodes of Ranvier all require a heretofore uncharacterized alternatively spliced giant exon of ankyrin-G (AnkG). This exon has sequence similarity to I-connectin/Titin and was acquired after the first round of whole-genome duplication by the ancestral ANK2/ANK3 gene in early vertebrates before development of myelin. The giant exon resulted in a new nervous system-specific 480kDa polypeptide combining previously known features of ANK repeats and β-spectrin–binding activity with a fibrous domain nearly 150 nm in length. We elucidate previously undescribed functions for giant AnkG, including recruitment of β4 spectrin to the AIS that likely is regulated by phosphorylation, and demonstrate that 480kDa AnkG is a major component of the AIS membrane "undercoat" imaged by platinum replica electron microscopy. Surprisingly, giant AnkG-knockout neurons completely lacking known AIS components still retain distal axonal polarity and generate action potentials (APs), although with abnormal frequency. Giant AnkG-deficient mice live to weaning and provide a rationale for survival of humans with severe cognitive dysfunction bearing a truncating mutation in the giant exon. The giant exon of AnkG is required for assembly of the AIS and nodes of Ranvier and was a transformative innovation in evolution of the vertebrate nervous system that now is a potential target in neurodevelopmental disorders.

neuropsychiatric disease | cognitive impairment disorder | axon initial segment | ankyrin-G | axonal polarity

By the beginning of the Devonian period, 420 million years ago, jawed fish had evolved excitable axonal membrane microdomains, termed axon initial segments (AISs) and nodes of Ranvier, which allowed small caliber axons to generate and rapidly conduct action potentials (APs) over long distances (1). This pivotal innovation was a major factor in the extraordinary success of vertebrates by enabling our ancestors to develop miniaturized but highly integrated central nervous systems while achieving unprecedented body sizes. AISs, in addition to generating APs, also are innervated by GABAergic axo-axonic interneurons, which are key elements in neural circuits (2). AISs are capable of plasticity in response to neural activity and may have a role in adaptive responses of the nervous system, including some forms of learning and memory (3, 4). AISs also are involved in epilepsy as well as major psychiatric diseases (5).

Axonal excitable membrane domains attracted the interest of pioneering electrophysiologists and electron microscopists as sites associated with sodium-based APs that were coated with distinctive submembranous fibrillar material (6, 7). Resolution of the protein composition of these domains began with the discovery that both AIS and nodes of Ranvier are endowed with

high local concentrations of voltage-gated sodium channels (VGSCs) (8). VGSCs copurified with membrane skeletal proteins, leading to the discovery that these channels associated directly and colocalized with the ankyrin family of membrane adaptors (9-11). The prototype ankyrin in erythrocytes couples the anion exchanger to a membrane-associated spectrin-actin network, suggesting the possibility of a similar function in stabilizing VGSC assemblies in the axon (12–15).

Ankyrin-G (AnkG) (product of the ANK3 gene) was identified as the VGSC-associated ankyrin (16) and was demonstrated, based on targeted cerebellar knockout in mice, to be essential for VGSC clustering at the AIS and for normal AP firing in vivo (17, 18). In a departure from the simple erythrocyte membrane, AnkG also directly interacts with and coordinates other components of the AIS, including a 186-kDa alternatively spliced variant of neurofascin, an L1 family cell adhesion molecule that directs GABAergic synapses to the AIS (17–22),  $\beta$ 4 spectrin, a member of the  $\beta$ -spectrin family that stabilizes the AIS and nodes of Ranvier (23, 24), and KCNQ2/3 voltage-gated potassium channels that modulate sodium channel excitability (25). Moreover, AnkG promotes microtubule bundles and the submembrane material noted at the AIS by transmission electron microscopy (26). Consistent with these findings that multiple AIS proteins depend on AnkG, AnkG-null axons acquire dendritic properties in their proximal segments, both in cultured neurons as well as in mice (26, 27). AnkG thus is a master organizer of the

### Significance

Excitable axonal membrane microdomains are unique features of vertebrate nervous systems that are required for normal neuronal signaling and are involved in human neurological disorders. Ankyrin-G is a critical adaptor protein that acquired a giant exon early in vertebrate evolution, resulting in a new nervous system-specific polypeptide that is a master organizer of axonal excitable membranes. Giant ankyrin-G-deficient mice live to weaning and provide a rationale for survival of humans with severe cognitive dysfunction bearing a truncating mutation in the giant exon. The giant exon of ankyrin-G thus was a transformative innovation in evolution of the vertebrate nervous system that now is a potential target in neurodevelopmental disorders.

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AIS (5, 28). Nodes of Ranvier, which evolved later than the AIS (29), share a similar AnkG-based interactome but require axonal-glial interactions as well as extracellular matrix for their formation (reviewed in ref. 30).

A 480-kDa isoform of AnkG contains residues encoded by a vertebrate-specific giant 7.8-kb exon that is expressed in the nervous system (16, 31). This vertebrate exon is at a different site and distinct from the inserted sequence found in Drosophila giant ankyrin (32). The giant exon was acquired after the first round of whole-genome duplication by the ancestral *ANK2/ ANK3* gene in early jawless vertebrates before development of myelin (31). In addition, the exon also encodes a 40-kDa serineand threonine-rich subdomain that is modified by O-linked *N*-acetylglucosamine (33) and has minimal sequence similarity to other proteins. The AnkG giant exon is conserved between humans and zebrafish, indicating strong evolutionary pressure to maintain sequence and preserve an uninterrupted exon.

Although giant exons of ankyrin-B and AnkG were discovered over 20 y ago (16, 34, 35), relatively little is known about their function. The 270-kDa AnkG, resulting from an in-frame splicing event that eliminates 1,900 amino acids from the giant exon, retains the ability to target to the AIS of WT neurons (36-39) as well as AnkG-deficient neurons (40). However, potential functions of the remaining 1,900 amino acids have not been examined. Interestingly, a frame-shift mutation in this region predicted to disrupt 480-kDa AnkG associates with severe cognitive disability in humans (41). mRNA levels of the 480-kDa AnkG isoform are dramatically reduced in lymphoblastoid cells, indicating that individuals homozygous for the mutation likely completely lack 480-kDa AnkG (41). Although these patients exhibit major intellectual disability (IQ < 50), hypotonia, spasticity, and severe behavioral problems, 480-kDa AnkG is not essential for viability.

Here, we report functional characterization of 480-kDa AnkG and present evidence from cultured neurons and mutant mice for a critical role of its giant exon-encoded sequence in formation of the AIS as well as central nervous system (CNS) nodes of Ranvier. We further demonstrate that the giant exon is required for assembly of  $\beta$ 4 spectrin at the AIS and that this recruitment is potentially regulated by phosphorylation. We also critically evaluate a proposed role of the AIS as a selective filter separating axonal and dendritic compartments (28). Remarkably, we find that, similar to humans with a truncating mutation, mice lacking the giant exon survive to weaning and can generate evoked APs, although with reduced frequency and abnormal alpha and gamma oscillations.

## Results

The AIS of Cultured Neurons Requires 480-kDa AnkG. We initially explored the role of 480-kDa AnkG at the AIS of cultured hippocampal neurons by expression of shRNA specific for this 480-kDa isoform that spares the shorter 270- and 190-kDa AnkG polypeptides (Fig. S1). Strikingly, specific knockdown of 480kDa AnkG completely abolished AnkG staining at the AIS as well as clustering of its associated binding partners,  $\beta 4$  spectrin, VGSC, and NF186 (Fig. S1). To address the role of the giant exon (exon 37) in more detail, we generated a full-length cDNA encoding the 480-kDa AnkG isoform tagged with GFP, developed antibodies specific to the 480-kDa isoform, and established methods to perform structure-function studies of AnkG isoforms in AnkG-null hippocampal neurons. A 480-kDa AnkG-GFP cDNA was generated using a chemically synthesized sequence encoding the giant exon of rat AnkG identified in the rat genome based on exon 37 of the human giant ANK3 transcript, and subcloned into the 190-kDa rat AnkG-GFP plasmid, described previously (42).

Previous studies of the function of AnkG at the AIS have used WT neurons (36–39, 43), which have a full complement of

AnkG-dependent binding partners. To address the structural requirements of AnkG in recruiting these proteins in an AnkGnull background, we used cultured hippocampal neurons from mice containing loxP sites flanking exons 22 and 23 that lose all known AnkG isoforms after expression of Cre recombinase (44) (Fig. 1*A*). Importantly, Cre recombinase expression in neurons at 3 days in vitro completely abolishes AIS clustering of AnkG as well as  $\beta$ 4 spectrin, VGSC, and NF186, (Fig. 1*B* and Fig. S2).

Rescue of AnkG-null neurons with 480-kDa AnkG cDNA completely restored clustering of AnkG at the AIS, both in



Fig. 1. The entire giant ankyrin-G insert is necessary for clustering of AISs. (A) Representation of the AnkG transcripts with giant inserted exon 37 marked in red. (B) Representative images of cultured total AnkG-null hippocampal neurons (Top) or those rescued with indicated GFP constructs. Arrowheads denote axon. Blue fluorescent protein (BFP) signal for Cre only neurons shown in white and anti-GFP shown in green. Clustering of AIS components β4 spectrin, VGSC (NaV), and NF186 (NF) shown on right in red. (Scale bars: 20 µm.) (C) Quantification of length of AnkG-GFP clustering from B compared with endogenous AIS (Endo. AIS). \*P < 0.05 compared with 480kDa AnkG rescue and endogenous axon initial segments (one-way ANOVA, P < 0.0001, Tukey post hoc test, n = 18-23 per group). (D) Quantification of mean fluorescence intensity of AIS of total AnkG-null hippocampal neurons rescued with indicated constructs relative to untransfected controls. \*P < 0.05 relative to Cre alone and 190-kDa AnkG-GFP; #P < 0.05 relative to Cre alone, 190-kDa, and 270-kDa AnkG-GFP (one-way ANOVA, P < 0.0001, Tukey post hoc test, n = 5-7 for each group).

length (Fig. 1 *B* and *C*) and in position relative to the soma (Fig. 1*B* and Fig. S2), rendering AnkG staining indistinguishable from that of untransfected control cells (Fig. 1 *B* and *C* and Fig. S3). In addition, rescue with 480-kDa AnkG completely restored localization of known AIS binding partners,  $\beta$ 4 spectrin, VGSC, and NF186 (Fig. 1 *B* and *D*). In contrast, 190-kDa AnkG did not cluster within the proximal axon or restore localization of AnkG binding partners (Fig. 1 *B*–*D*). As shown previously, 270-kDa AnkG does cluster in the axon (40). However, 270-kDa AnkG clusters were longer than the endogenous AIS and located more distally (Fig. 1 *B* and *C* and Fig. S3). In addition, 270-kDa AnkG restored VGSC and NF186 localization in an AnkG-null background, but with reduced intensity relative to endogenous levels (Fig. 1 *B* and *D*).

Surprisingly, 270-kDa AnkG completely failed to restore β4 spectrin localization to the AIS even though 480-kDa AnkG was fully active (Fig. 1 B and D). This lack of  $\beta$ 4 spectrin recruitment was unexpected because 270-kDa AnkG is capable of interacting with  $\beta4$  spectrin in immunoprecipitation experiments (24, 37) and because the canonical spectrin-binding site located in the first ZU5 domain is shared by all AnkG isoforms (45, 46). We therefore examined whether 480-kDa AnkG requires its ZU5 domain spectrin-binding site by evaluating activity of the DAR999AAA mutation, which abolishes known ankyrinspectrin interactions (47). Interestingly, DAR999AAA mutation 480-kDa AnkG had no effect on its ability to cluster in the proximal axon (Fig. S3) or to recruit binding partners, including  $\beta$ 4 spectrin, to the AIS (Fig. 2 B and C). These results demonstrate that recruitment of  $\beta$ 4 spectrin to the AIS occurs independently of the canonical spectrin-ankyrin interaction site located in the first ZU5 domain.

Using alanine-scanning mutagenesis of the giant exon of AnkG, we discovered that a S2417A mutation (corresponding to position 2417 in human AnkG) dramatically reduced its ability to recruit  $\beta$ 4 spectrin to the AIS (Fig. 2). Interestingly, this S2417A mutation had no effect on clustering of the AnkG protein itself (Fig. S3) or recruitment of NF186 or the VGSC (Fig. 2 *B* and *C*). S2417 is a predicted casein kinase 2 (CK2) phosphorylation site, and CK2 has been demonstrated to increase VGSC binding to AnkG (48). The phosphomimetic S2417D mutation fully restores 480-kDa AnkG's ability to recruit  $\beta$ 4 spectrin to the AIS (Fig. 2 *B* and *C*), which is consistent with a role for phosphorylation of S2417 in activating  $\beta$ 4 spectrin recruitment.

Overall, these results demonstrate that 480-kDa AnkG is required for full reconstitution of the AIS whereas 270-kDa AnkG has only partial activity, and 190-kDa ANK-G is completely inactive. Moreover, 480-kDa AnkG recruits  $\beta$ 4 spectrin through an interaction likely regulated by phosphorylation at S2417, which is located in the 1,900 amino acid region that is missing from 270kDa AnkG.

**Genetic Deletion of the Giant Exon Eliminates the AIS in Vivo.** Previous studies of neuronal roles of AnkG in vivo have deleted all AnkG polypeptides in the postnatal cerebellum (17, 18, 26). To specifically examine the role of 480-kDa AnkG, we generated mice, with loxP sites flanking the giant exon, that were crossed with mice expressing Cre under control of the Nestin promoter in neuronal and glial precursors (Fig. 3*B*). Importantly, genetic deletion of the giant exon would be expected to spare function of the 190-kDa isoform of AnkG in regulating the size of dendritic spines and AMPA receptor plasticity (49). These mice exhibited loss of the giant exon-encoded sequence from the majority of brain areas examined, while sparing expression in the dentate gyrus, optic nerve, sciatic nerve, and the majority of the spinal cord (Figs. 3 and 4 and Fig. S4).

Surprisingly, giant exon-null mice survived through weaning, living up to 20 d, whereas total AnkG-null mice crossed with the same nestin-Cre line died immediately after birth (Fig. 3*C*). Western blots from whole-brain lysates confirmed a >90% loss of 480- and 270-kDa giant AnkG isoforms (Fig. 3*D*). However, giant



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**Fig. 2.**  $\beta$ 4 spectrin is recruited to the AIS through a noncanonical interaction with ankyrin-G that is likely regulated by phosphorylation. (*A*) Representation of the 480-kDa AnkG transcript with the location of S2417 marked by a yellow star. (*B*) Representative images of cultured exon 22/23-null hippocampal neurons rescued with indicated constructs. Arrowheads denote axon. Anti-GFP shown in green. AIS partners shown on right in red. (Scale bars: 20 µm.) (*C*) Quantification of mean fluorescence intensity of AIS partners. *\*P* < 0.05 relative to 480-kDa AnkG-GFP (one-way ANOVA, *P* < 0.0001 followed by Tukey post hoc test, *n* = 5–7 for each group). Note: Data from 480-kDa AnkG-GFP rescue from Fig. 1*D*.

exon-null mice had a four- to fivefold increase in expression of 190-kDa AnkG as well as a 210-kDa splice variant containing an additional 195 amino acids (50). We did not detect any changes in levels of ankyrin-B or -R isoforms or known AnkG binding partners, including VGSC,  $\beta$ 4 spectrin, or NF186 (Fig. S5).

Immunolabeling of p20 brain sections with either antibodies specific to the 480-kDa isoform of AnkG or reacting with all AnkG polypeptides revealed a complete loss of AnkG immunoreactivity at the AIS in nearly all areas of giant exon-null brains, including the cortex (Fig. 3*E*, *Top*), cerebellum (Fig. 3*F*), CA1-3 of the hippocampus, and the striatum, consistent with the >90% loss of protein seen by Western blot (Fig. 3*D*). Thus, giant exon-null animals completely lack recruitment of AnkG to the AIS even though smaller isoforms were increased (Fig. 3*D*).

In addition to missing AnkG labeling at the AIS, giant exonnull mice lost known AIS proteins, including  $\beta$ 4 spectrin, NF186, VGSC, and KCNQ2 (Fig. 3*E*). Moreover, consistent with results from the total AnkG-null cerebellum (19), Pinceau GABAergic synapses on the AIS of Purkinje neurons were almost completely absent (Fig. 3*F*). Interestingly, the proximal axon increased in diameter (Fig. 3*F*), and the dendritic marker MAP2 invaded the



Fig. 3. Deletion of the giant insert of ankyrin-G causes a complete loss of known AIS components. (A) Representation of the 480-kDa AnkG transcript with location of the premature stop at T3666 (41) marked by black  $\times$ . (B) Strategy for genetic deletion of the giant AnkG exon. (C) Survival curve from giant AnkG-null (exon 37 -/-, red) or total AnkG-null (exon 22/23 -/-, black) mice. (D) Western blot of whole brain lysate from p20 WT (+/+) and exon 37null (-/-) mice probed with total AnkG antibodies. (E) Representative images from coronal sections of p20 WT (Left) or exon 37-/- (Right) layer II/ III cortex. AIS partners shown in red [480-kDa AnkG, NF186, NaV (VGSC), and KCNQ2]. (Top) Includes immunolabeling for total AnkG shown in green. Dapi shown in blue. (Scale bars: 20 µm.) (F) Representative images of cerebellar sections from WT (Left) or exon 37 -/- (Right) mice stained with antibodies to the GABAergic synapse marker VGAT (green), 480-kDa AnkG (red), and Purkinje cell marker calbindin (white). Higher magnification image of calbindin from region of interest shown Below. Red bar denotes width of exon 37 -/- proximal axon. (Scale bars: 10 µm.) (G) Platinum replica electron micrographs of the proximal axon of WT (Left) and exon 37-null (Right) cultured hippocampal neurons at 7 DIV showing complete loss of the fibrillogranular coat. Higher magnification images of red regions of interest shown on Bottom. (Scale bars: Top, 2 µm; Bottom, 100 nm.)

proximal axon, similar to disruption in proximal axo-dendritic polarity observed in total AnkG-null neurons (26, 27). Dendritelike spines, previously noted in proximal axons of postnatal day 28 to 35 AnkG-null Purkinje neurons (26), were not evident in these postnatal day 16 to 20 mice. We therefore examined DIV21 hippocampal cultures from giant exon-null mice (Fig. S6). Strikingly, these cultures fully reproduce the dramatic loss of polarity of the proximal axon reported by Rasband and coworkers in total AnkG-null neurons, including MAP2 invasion and formation of dendritic spines (Fig. S6) (26, 27).

Platinum replica electron microscopy of dissociated hippocampal neurons from giant exon-null mice revealed complete loss of the submembranous fibrillogranular coat recently resolved by Svitkina and coworkers (51) (Fig. 3G). In addition, tight bundling of the microtubules was lost. These data demonstrate that the giant 480-kDa isoform of AnkG is essential for formation of the AIS in vivo as well as in cultured neurons. **Major CNS Node of Ranvier Malformation with Loss of Giant Exon.** Although the AIS and nodes of Ranvier represent critical sites of clustering of VGSCs and share very similar protein composition, their mechanisms of assembly are different. The AIS forms autonomously and requires only neuronal AnkG for recruitment of all of the downstream binding partners (5). However, nodes of Ranvier require participation of glial cells and neurons where glial NF155 assembles the axoglial junction, and the neuronal NF186 isoform clusters AnkG at the node, followed by subsequent secondary recruitment of VGSCs (reviewed in ref. 30). In addition, other mechanisms participate in node assembly, including glial-derived extracellular matrix-mediated clustering of NF186, restriction of nodal protein mobility through a paranodal barrier, and stabilization of nodal proteins through interactions with the cytoskeleton (52, 53).

Analysis of the corpus callosum of giant exon-knockout mice revealed an 80% reduction in the number of nodes of Ranvier and a concomitant increase in isolated Caspr-positive axo-glial junctions (Fig. 4 A and B). These isolated paranodes were not found in obvious pairs along the same axonal tract and likely represent a state of stalled or delayed biogenesis. In addition, remaining nodes of Ranvier lacked 480-kDa AnkG (Fig. 4A, Top) and were markedly malformed, with greatly increased lengths, sometimes greater than 20  $\mu$ m (Fig. 4C). Interestingly, 190-kDa AnkG still clustered at elongated nodes (Fig. 4A). Neurofascin, presumably NF155 (54), persisted in giant exonknockout paranodes (Fig. 4A). In contrast, nodal neurofascin was completely lost from the remaining nodes of Ranvier (Fig. 4A). Despite the loss of nodal neurofascin,  $\beta$ 4 spectrin and the VGSC were recruited to the remaining nodes (Fig. 4A). The nodal VGSC could be a result of persistent clustering of 190-kDa AnkG at the node, stabilization of the VGSC by the axoglial junctions through the remaining paranodal neurofascin (53), or



**Fig. 4.** Loss of giant ankyrin-G causes a dramatic reduction in the number of nodes of Ranvier and malformation of remaining nodes. (*A*) Representative images of nodes of Ranvier from the corpus callosum of p20 WT (*Left*) and exon 37-null (*Right*) brains. Caspr shown in green. Nodal proteins are shown in red. (Scale bars: 2 µm.) Arrowheads denote node of Ranvier. Arrows denote paranode. (*B*) Number of nodes of Ranvier (*Left*) or isolated paranodes (*Right*) per 1,000 µm<sup>2</sup> in corpus callosum from p20 WT (filled bars) or exon 37-null (open bars). \**P* = 0.0053 (WT, 12.7 ± 1.8, *n* = 3; exon 37-null, 2.5 ± 0.3, *n* = 3). \*\**P* < 0.0001 (WT, 1.3 ± 0.2, *n* = 3; exon 37-null, 10.0 ± 0.5, *n* = 3). Data shown are mean ± SEM. (C) Histogram node of Ranvier length from corpus callosum of p20 WT (black) and exon 37-null (red) brains (WT, *n* = 167, mean length 1.3 ± 0.1 µm; exon 37-null, *n* = 49, mean length 5.1± 0.6 µm).

through secretion of soluble factors from oligodendrocytes or astrocytes. Although a majority of axons in the spinal cord, the sciatic nerve, and optic nerve were not affected by Nestin-Cre, as indicated by the continued expression of giant AnkG, similar results were obtained from the subset of spinal axons lacking giant AnkG (Fig. S4).

Interestingly, 190-kDa AnkG present at remaining nodes is unable to cluster NF186 in the corpus callosum (Fig. 4, 25/25 nodes) or in the spinal cord (Fig. S4, 10/11 elongated nodes), despite the presence of the neurofascin-binding site in the membrane-binding domain (55). It is possible that NF186 is phosphorylated on its FIGQY motif, preventing the association with AnkG (56, 57). Because nestin-Cre removes the giant exon from both neuronal and glial precursors, giant ankyrin isoforms may function in myelinating glia as well as neurons (58). In addition, we cannot exclude the possibility of a dominant-negative effect of overexpression of 190-kDa AnkG.

The AIS Is Not Required for Maintenance of the Distal Axon. The AIS has been proposed to physically separate somatodendritic and axonal compartments through limiting diffusion both in the plane of the plasma membrane (59) and within the axoplasm (43) (reviewed in refs. 5 and 28). Indeed, deletion of AnkG polypeptides associated with loss of the AIS causes the proximal axon to exhibit dendritic properties including acquisition of dendritic spines and localization of marker proteins, such as MAP2 (26, 27) (Fig. S6). However, in both giant exon-null (Fig. 5A) and total AnkG-null (Fig. S3B) hippocampal cultures, axonal character resumes  $\sim 50-100 \ \mu m$  from the soma. Even with extended culture of the exon 37-null neurons to 21 days in vitro, MAP2 still was excluded from the distal axon (Fig. 5B and Fig. S6). Interestingly, there was a trend in extension of MAP2 further down the axon between days 14 and 21, suggesting the possibility of a slow loss of axonal polarity with time in neurons lacking giant AnkG (Fig. 5B).

An important prediction from both the plasma membrane and axoplasmic filter models is that dendritic and axonal cargos would be randomized in the absence of the AIS. We therefore determined the behavior of the dendritic cargos transferrin receptor and TGN38 in AnkG-null neurons (Fig. 5 C and D). Both of these dendritic proteins maintain their polarized localization to dendrites and are excluded from the distal axon (Fig. 5 C and D) despite complete loss of all detectable AIS features (Fig. 3). Lysosomes are relatively large (50-500 nm) and are predicted to be affected by the diffusion limit of the proposed cytoplasmic "filter" (43). However, anterograde and retrograde transport rates of the lysosomal protein LAMP-1 were identical in the AIS (first 50 µm of the axon) compared with the distal axon (distal 100 µm) in dissociated hippocampal cultures (Fig. 5 E and F). In addition, complete loss of the AIS in total AnkG-null neurons also had no detectable effect on lysosomal transport (Fig. 5 E and F). These observations are consistent with the recent finding of unaltered rates of NgCAM transport between the AIS and distal axon (60). Together, these data demonstrate that distal axonal polarity is maintained despite the complete loss of the AIS. Neurons thus must possess AIS-independent mechanisms to establish and maintain distinct axonal and dendritic compartments.

Elicited Action Potentials Persist with Complete Loss of the AIS. Multiple studies have concluded that AIS and/or the first node of Ranvier are required for AP generation (reviewed in ref. 61). However, knockout of all AnkG isoforms and subsequent loss of the AIS in the cerebellum impairs, but does not eliminate, AP production (18). Moreover, loss of 480-kDa AnkG in human patients is compatible with life (41) whereas giant exon-knockout mice with complete loss of known AIS features (Fig. 3) survive until postnatal day 20. To address the ability of



Fig. 5. Rate of axonal transport and steady-state localization of dendritic proteins is unaffected by loss of AIS. (A) Representative images of DIV8 cultured hippocampal neurons from WT (Top) or exon 37-null (Bottom) mice. The dendritic marker MAP2 is shown in green, and the axonal marker neurofilament is shown in red. Transition from dendritic character to axonal character marked by arrowhead. (Scale bars: 20 µm.) (B) Average distance of MAP2 invasion in DIV7 or DIV21 exon 37 -/- compared with control (one-way ANOVA, P < 0.04 followed by Tukey post hoc test, n = 4-13for each group, \*P < 0.05, N.S., not significant. (C) Representative images of steady-state localization of the dendritic cargos, transferrin receptor-YFP (TfR, Top) or TGN38-YFP (Bottom) to dendrites and distal axons from WT (Left) or total AnkG-null (Right) DIV7 hippocampal cultures. (D) Quantification of dendrite to axon fluorescence intensity ratio of TfR-YFP (red) or TGN38-YFP (blue) in WT (solid) or total AnkG-null (hatched) DIV7 hippocampal neurons (TfR, P = 0.35; WT, 9.4  $\pm$  1.2, n = 5; total AnkG-null, 10.6  $\pm$  0.33, n = 5; TGN38, P = 0.9145; WT, 14.4  $\pm$  3.3, n = 4; total AnkGnull, 14.0  $\pm$  1.4, n = 6). (E) Kymograph analysis of lysosomal (LAMP-1-YFP) movement through and past the AIS from WT (Top) or total AnkG-null (exon 22/23 -/-, Bottom) cultured hippocampal neurons. (Scale bars: 1 min for y axis and 50  $\mu$ m for x axis.) Dotted lines represent length of average AIS (~50 µm) on kymograph. (F) Quantification of velocity of LAMP1-YFP in the anterograde (Top) or retrograde (Bottom) direction for the WT AIS (black, first 50 µm), WT distal axon (gray, 50-150 µm), or total AnkG-null proximal axon (white, first 50 µm).

neurons lacking an AIS to generate APs, we compared APs evoked through somatic current injection in acute slices of cortex (Fig. 6) or striatum (Fig. S7) from postnatal day 20 giant exon-null mice and WT littermates.

Surprisingly, current injection-induced APs persisted in the giant exon-null cortex (Fig. 6 *A*–*D*) and striatum (Fig. S7). Moreover, despite the complete loss of detectable clustering of VGSCs at the AIS (Fig. 3*E*), AP amplitudes were unchanged in cortex (*t* test, P = 0.0813, WT,  $100.0 \pm 1.9$  mV, n = 10; exon 37-null,  $106.5 \pm 3.0$  mV, n = 10) or striatum (*t* test, P = 0.9587, WT,  $103.2 \pm 4.1$  mV, n = 10; exon 37-null,  $103.5 \pm 3.5$  mV, n = 10).



Fig. 6. APs persist in the exon 37-null mouse, although with altered dynamics and differences in integrated signaling. (A) Representative aligned single AP traces from WT (black) or exon 37-null (red) cortical neurons at +400 pA current injection. (B) Time constants ( $\tau$ ) for AP rise (Left) or decay (Right) from WT (black) or exon 37-null (red) at +400 pA current injection (rise  $\tau$ , WT, 0.5  $\pm$  0.1, n = 10; exon 37-null, 0.8  $\pm$  0.2, n = 10; decay  $\tau$ , \*P < 0.05, WT,  $1.6 \pm 0.2$ , n = 10; exon 37-null,  $4.6 \pm 0.8$ , n = 10). (C) Elicited AP frequency from cortical neurons from WT (black) or exon 37-null (red) acute brain slices. Data shown are mean  $\pm$  SEM, \*P < 0.05 compared with WT. (D) Representative AP traces from cortical neurons from WT (black) or exon 37null (red) at +400 pA current injection. (E) Relative alpha band (8-15 hz) local field potential power spectrum of awake p20 WT (black) or exon 37null (red) mice plotted as a percentage of total EEG power spectrum. Data shown are mean ± SEM from three mice (five sessions total for each genotype). (F) Relative gamma band (32-55 hz) local field potential power spectrum of awake p20 WT (black) or exon 37-null (red) mice plotted as a percentage of EEG power spectrum. Data shown are mean  $\pm$  SEM from three mice (five session total for each genotype).

Current input required to elicit an AP also was unchanged in cortex (t test, P = 0.5560, WT,  $110 \pm 10$  pA, n = 10; exon 37-null,  $120 \pm 13$  pA, n = 10) and striatum (t test, P > 0.9999, WT,  $190 \pm$ 10 pA, n = 10; exon 37-null, 190 ± 10 pA, n = 10). Resting membrane potential was also indistinguishable in cortex (t test, P = 0.55, W,  $-62.7 \pm 4.8$  mV, n = 10; exon 37-null,  $-59.8 \pm 4.1$ mV, n = 10) and striatum (t test, P = 0.99, WT,  $-60.7 \pm 4.1$  mV, n = 10; exon 37-null,  $-60.7 \pm 3.2$  mV, n = 10). AP firing presumably relies not only on precise spatial localization of the voltage-gated channels involved in the upstroke of the spike, but also of other channels and transporters necessary for repolarization of the membrane potential. Consistent with this idea, examination of single APs revealed an increase in the tau for both the rise and decay of the AP consistent with spatial disorganization of the underlying components (Fig. 6 A and B). In addition, the peak frequency of AP firing was significantly reduced in both the cortex (Fig. 6 C and D) and striatum (Fig. S7). These data demonstrate that giant AnkG is not necessary for generation of current evoked APs but is essential for proper AP kinetics and peak frequency.

Abnormal Neural Integration in Giant Exon-Knockout Mice. Giant AnkG-null mice exhibit abnormal AP frequency, which would be predicted to impair synchronization of cortical activity that is critical in information processing. In addition, the AIS is a critical site for interneuron synapses, where a single Chandelier interneuron synapses on the AIS of a large number of cortical pyramidal neurons to synchronize their activity (62). To examine synchronized neuronal activity and higher order neuronal function, we performed local field potential recordings from the mouse motor cortex in postnatal day 14 to 16 mice. Alpha oscillations, thought to increase during periods of wakefulness, are more common in giant exon-null mice (Fig. 6E). On the other hand, cortical gamma oscillations, associated with higher order cognitive processes such as working memory and conceptual categorization (63), were significantly reduced in the giant exon-null mice relative to their WT littermate controls. This reduction in gamma oscillations is consistent with a reduced rate of spiking, given depolarization, but also suggests a loss of interneuron-mediated neuronal synchronization thought to be critical for oscillations in the gamma range (Fig. 6F). Overall, these data demonstrate that loss of 480-kDa AnkG has profound effects on neuronal signaling both at the cellular level, with reduced AP frequency and altered kinetics, and at the circuit level, with altered neuronal synchronization.

## Discussion

We demonstrate that assembly of the AIS and normal morphogenesis of CNS nodes of Ranvier both require a heretofore uncharacterized alternatively spliced giant 7.8-kb exon of AnkG. The giant exon was acquired early in vertebrate evolution and resulted in a new nervous system-specific (Fig. S8) 480-kDa polypeptide combining previously known features of ANK repeats and β-spectrin-binding activity with a fibrous domain nearly 150 nm in length imaged by electron microscopy (Fig. 7) (51). We elucidate a previously undescribed function for giant AnkG in recruitment of  $\beta$ 4 spectrin to AIS that likely is regulated by phosphorylation at S2417 located within the giant exonencoded domain. We also demonstrate that 480-kDa AnkG is a major component of the AIS membrane "undercoat' imaged by platinum replica electron microscopy and is required to bundle microtubules at the AIS (51). Surprisingly, giant AnkG-knockout neurons completely lacking known AIS components still generate APs, although with abnormal frequency and altered wholebrain oscillations. Giant AnkG-deficient mice live through weaning and provide a rationale for survival of humans with severe cognitive dysfunction bearing a truncating mutation in the giant exon (41). The giant exon of AnkG thus was a transformative innovation in evolution of the vertebrate nervous system that now is a potential target in neurodevelopmental disorders.

The ANK2/ANK3 ancestral gene likely acquired its giant exon through exon shuffling, a process whereby exons from other genes are duplicated or swapped between already existing genes (64). Giant exons of ANK2 and ANK3 share sequence similarity with I-connectin in a region outside of the FNIII/Ig-like repeats, predominantly with a 2,700 amino acid stretch containing a series of 68 residue SEK repeats (E value 9e-19). The I-connectin SEK domain is passively extensible, with a single SEK repeat behaving as an elastic wormlike chain (65). Interestingly, AnkG imaged at the AIS by platinum replica electron microscopy exhibits a 150-nm length (51) (Fig. 7C), which is considerably shorter than the predicted 750 nm if the inserted sequence were an extended unstructured polypeptide, but too long for a single folded domain. Therefore, it is possible that the inserted sequence encoded by the giant exon provides elasticity, perhaps participating in structural support of the AIS. Although ANK2 (ankyrin-B) and ANK3 (AnkG) giant exons share extensive sequence similarity along their length, the AnkG exon encodes an additional N-terminal 40 kDa of a serine/threonine-



**Fig. 7.** Insertion of a single conserved exon in vertebrates coincided with formation of the AIS and nodes of Ranvier. (*A*) Rooted phylogenetic tree depicting evolutionary relationships between members of the ankyrin gene family. Arrow represents timing of the insertion of the giant exon 37. Cin, *Ciona intestinalis*; Cmi, *Callorhinchus milii*; Dre, *Danio rerio*; Xla, *Xenopus laevis*; Aca, *Anolis carolinensis*; Gga, *Gallus gallus*; Hsa, *Homo sapiens*. Circled areas denote individual Ank gene groups. (*B*) Relative time of critical steps in the evolution of the nervous system. Insertion of giant exon marked with red arrow. Example organisms shown underneath in italics along with the approximate time of evolution (million years ago). (*C*) Immunogold labeling of AnkG from a platinum replica electron micrograph of an AIS of a cultured rat hippocampal neuron. Gold particle marked in yellow. AnkG molecule marked in cyan. (Scale bar: 25 nm.)

enriched sequence including sites modified by *O*-glucNac (33), as well as regions with sequences quite divergent from ankyrin-B. The ankyrin giant exons, while sharing overall shape and folded domains, likely have evolved distinct molecular partners and functions. It will be useful to explore the hypothesis that the AnkG giant exon-encoded sequence serves as an extended scaffold to recruit multiple proteins, including regulatory components that together are responsible for the specialized characteristics of axonal excitable membranes.

Giant exon-knockout neurons lack all known AIS components and provide a critical test for proposals that the AIS forms a physical barrier that contributes to distinct axonal identity (reviewed in ref. 28). Here, we have found that loss of giant AnkG has profound effects on the proximal axon similar to total knockout of AnkG (18, 26, 27), including loss of the dense fibrillogranular coat and microtubule bundles (Fig. 3), and acquisition of dendritic character in the first  $50-100 \mu m$  of the axon (Fig. 5 and Figs. S2 and S6). However, after  $50-100 \mu m$ , distal axo-dendritic polarity resumes in the absence of 480-kDa AnkG, and axonal transport rates of lysosomes were unaffected by the AIS or by axonal position (Fig. 5). These findings support an intrinsic mechanism(s) for establishing and maintaining distinct axonal and dendritic compartments and are consistent with the fact that distinct axonal identity is specified in vivo and in neuronal cultures before establishment of the AIS (60, 66).

Giant exon-null mice still can fire current induced APs and survive until weaning, which was unexpected based on literature concluding that the AIS with its concentration of VGSCs is required to generate APs (reviewed in ref. 61). Possible explanations for persistence of APs in these AIS-deficient mice include contribution from the first node of Ranvier (67) as well as partial compensation due to clustering of VGSCs outside of the AIS induced by increased expression of 190-kDa AnkG (Fig. 3) or recruitment of ankyrin-R (68). These considerations help explain how humans can survive with a truncating mutation of the giant exon of AnkG, at least with institutional support (41).

Alterations in alpha and gamma oscillations in the giant ankyrin-null cortex demonstrate a key role for the giant exon of AnkG in coordination of neuronal network activity. Some of these effects likely result from abnormal AP frequency as well as loss of GABA synapses at the AIS. The 480-kDa AnkG also has recently been discovered to form somatodendritic microdomains in cortical neurons that stabilize cell-surface expression of GABA-A receptors and promote GABAergic synaptogenesis (69). Thus, humans with a mutated or absent AnkG giant exon likely suffer from a major disruption of GABA inhibitory circuits (41). Similarly, missense mutations in giant AnkG associated with autism spectrum disorder may also impair neural circuits. The AnkG giant exon, with its size and nervous system-specific expression (Fig. S8), thus is a potential target for genetic variation affecting cognitive ability, behavior, and neurological function (70).

### **Materials and Methods**

Detailed materials and methods can be found in SI Materials and Methods.

A conditional knock-out mouse was made to delete exon 37 of the ANK3 gene (corresponding to exon 37 of human ANK3, ENST00000280772). Exon 37 was flanked by LoxP sites. A neomycin resistance cassette, flanked by flippase recognition target (FRT) sites, was inserted between exon 37 and the 3' LoxP site. The linearized construct was introduced into 12956/SvEvTacderived TL1 embryonic stem (ES) cells by electroporation. ES cells bearing the modified ANK3 gene were injected into C57BL/6NHsd blastocysts. High percentage chimeric animals were obtained and bred to C57BL/6 mice to produce heterozygous animals. Exon 37 was excised from neuronal and glial precursors by crossing the exon 37 ANK3 flox mouse with the Nestin-Cre mouse [B6.Cq-Tq(Nes-cre)1Kln/J, stock number 003771; The Jackson Laboratory]. Total AnkG-null mice were generated by crossing the exon 22-23 floxed mouse (44) with the Nestin-Cre mouse. All mouse production was provided by the Duke Cancer Institute Transgenic Mouse Facility. All experiments were performed in accordance with the guidelines for animal care of the Animal Care and Use Program at Duke University.

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