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Cost-Effectiveness of Chemoprevention with Proton Pump Inhibitors in Barrett's Esophagus

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Abstract

Background—Proton pump inhibitors (PPIs) may reduce the risk of esophageal adenocarcinoma (EAC) in patients with Barrett's esophagus. PPIs are prescribed for virtually all patients with Barrett's esophagus, irrespective of the presence of reflux symptoms, and represent a de facto chemopreventive agent in this population. However, long-term PPI use has been associated with several adverse effects, and the cost-effectiveness of chemoprevention with PPIs has not been evaluated.

Aim—The purpose of this study was to assess the cost-effectiveness of PPIs for the prevention of EAC in Barrett's esophagus without reflux.

Methods—We designed a state-transition Markov micro-simulation model of a hypothetical cohort of 50-year-old white men with Barrett's esophagus. We modeled chemoprevention with PPIs or no chemoprevention, with endoscopic surveillance for all treatment arms. Outcome measures were life-years, quality-adjusted life years (QALYs), incident EAC cases and deaths, costs, and incremental cost-effectiveness ratios.

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Conflict of interest None.

Results—Assuming 50 % reduction in EAC, chemoprevention with PPIs was a cost-effective strategy compared to no chemoprevention. In our model, administration of PPIs cost \$23,000 per patient and resulted in a gain of 0.32 QALYs for an incremental cost-effectiveness ratio of \$12,000/QALY. In sensitivity analyses, PPIs would be cost-effective at \$50,000/QALY if they reduce EAC risk by at least 19 %.

Conclusions—Chemoprevention with PPIs in patients with Barrett’s esophagus without reflux is cost-effective if PPIs reduce EAC by a minimum of 19 %. The identification of subgroups of Barrett’s esophagus patients at increased risk for progression would lead to more cost-effective strategies for the prevention of esophageal adenocarcinoma.

Keywords

Cost-effectiveness; Proton pump inhibitors; Barrett’s esophagus; Esophageal adenocarcinoma; *Clostridium difficile* infection; Pharmacoepidemiology; Chemoprevention

Introduction

The incidence of esophageal adenocarcinoma (EAC) has risen dramatically over the past four decades in western countries [1, 2]. The prognosis of this cancer remains extremely poor, with a 5-year survival rate of 16 % in the United States [3]. Barrett’s esophagus (BE) is the established precursor to EAC, and the rate of progression of BE to adenocarcinoma is 0.1–0.5 % per year [4–7]. One of the mainstays of BE management is regular endoscopic surveillance [8]. The aim of surveillance is to identify patients at a preclinical or asymptomatic early stage of cancer and initiate treatment leading to improved long-term outcomes. However, it is unclear whether surveillance alone leads to reduced mortality from EAC [9].

In light of the poor outcomes associated with EAC, combined with the presence of a readily identifiable precursor lesion, Barrett’s esophagus represents an attractive target for chemoprevention. Because the absolute risk of EAC is very low even in BE patients [4–6], a viable chemoprevention strategy would have to be safe, inexpensive, and effective. Gastroesophageal reflux (GERD) is a primary risk factor for EAC [10], and several epidemiologic studies suggest that gastric acid suppression with proton pump inhibitors (PPIs) has chemopreventive effects in patients with BE [11–14]. A recent meta-analysis of these studies reported that PPI use in BE patients was associated with a 71 % reduced risk of progression to high-grade dysplasia (HGD) or EAC [15]. While clinical guidelines do not formally recommend gastric acid suppression as a means of cancer risk reduction for patients with BE, in clinical practice, PPIs have become a de facto chemopreventive agent [16]. Currently, between 95 and 98 % of patients with BE are prescribed PPIs [4, 17]. However, 30–50 % of BE patients do not have regular reflux symptoms yet are still prescribed PPIs [18–22]. Furthermore, novel, less invasive diagnostic techniques for BE such as a cytological sponge or transnasal endoscopy have the potential to increase the proportion of asymptomatic BE patients [23, 24].

Proton pump inhibitors (PPIs) have historically been considered safe medications. However, recent observational data suggest that chronic PPI use is associated with increased risks of

bone fractures and of *Clostridium difficile* infection [25–29]. Based on these data, the Food and Drug Administration has issued warnings regarding long-term PPIs and bone fracture and PPIs and *C. difficile* infection [30, 31]. Despite these concerns, practitioners continue to prescribe PPIs to virtually all BE patients. To date, no formal quantitative analysis has been published to support the use of PPIs for the prevention of EAC. We therefore constructed a decision-analytic model to weigh the benefit of PPIs against their adverse effects and to evaluate the cost-effectiveness of PPIs as chemoprevention for EAC in BE patients without GERD. Using this model, we determined the threshold for the efficacy of PPIs to be cost-effective at common cost-effectiveness benchmarks and, assuming 50 % efficacy in reducing progression of BE, the incremental cost-effectiveness ratio for PPIs.

Methods

Patient Population and Time Frame

We modeled a hypothetical cohort of 250,000 50-year-old white men newly diagnosed with non-dysplastic BE at baseline until they reached age 80 or died, whichever occurred first. This cohort was chosen because white men of this age range represent the demographic most at risk for EAC [1]. Non-dysplastic BE was defined by both the American College of Gastroenterology and the American Gastroenterological Association definitions of endoscopically suspected Barrett's esophagus combined with the presence of intestinal metaplasia on esophageal biopsies [8, 32]. This study was approved by the institutional review board of Columbia University.

Strategies

We modeled two different strategies: no chemoprevention (comparator) and chemoprevention with PPIs. Endoscopic surveillance represents the current standard of care for BE [8] and was incorporated into all of the strategies. All patients in the PPI chemoprevention arm received a oncedaily dose of PPI. While a recent meta-analysis reported that PPI use is associated with a 71 % reduction in the risk of HGD or EAC in BE patients [15], we chose a more conservative 50 % risk reduction for EAC and varied this estimate widely (0–100 %) in the sensitivity analysis.

In our analysis, we assumed that patients without dysplasia would undergo endoscopic surveillance every three years and patients with low-grade dysplasia every year until no dysplasia was detected [8]. Patients with highgrade dysplasia underwent radiofrequency ablation, now endorsed as a preferred management strategy [32]. Successful ablation was followed by an endoscopy four times a year in the first year, twice in the following year, and then yearly thereafter. This schedule was derived from recent guidelines [32, 33] as well as from the post-ablation protocol from the AIM-Dysplasia Trial of radiofrequency ablation for BE with low- and high-grade dysplasia [34]. For all patients, endoscopic surveillance continued until reaching 80 years of age or death. If EAC was diagnosed, patients were considered for surgical resection; we assumed that 15 % of these cases would be unresectable [35, 36] and that patients would then undergo palliative therapy.

Markov Model

A state-transition Markov microsimulation model (TreeAge Pro 2011, Williamstown, MA) was constructed to simulate the disease progression, outcomes, and costs incurred under each strategy. At the end of each annual cycle, each simulated patient faced a probability of transition to another state (Fig. 1). All patients started in the BE without dysplasia state at baseline. Each state was assigned a state-specific cost and utility per year. The model also included events such as surgical mortality, morbidity from both radiofrequency ablation (RFA) and surgery, and risk of recurrent cancer.

Transition probabilities, cost, and utility weights were obtained from the published literature (Table 1). In our base case, a 50 % reduction in the risk of EAC was assumed for patients who received PPIs. The model included the two primary adverse effects of PPI use: increased risk of bone fractures and increased risk of *C. difficile* infection. In the PPI strategy, the rate of bone fracture was assumed to increase after 2 years of use; increase in *C. difficile* infection, on the other hand, was assumed to occur from the outset. The model also considered that a proportion of community-acquired *C. difficile* cases would result in hospitalization [37, 38]. Mortality from EAC and from other causes was derived from the Surveillance, Epidemiology, and End Results (SEER) database, adjusted for age and sex [39].

Costs were analyzed from the third-party payers' perspective. Only direct medical costs were considered, and all costs were expressed in 2011 US dollars [40]. Procedure costs were estimated based on the 2011 national average Medicare reimbursement rate [41] and included the cost of the procedure as well as professional and facility fees [41, 42]. Medication costs were derived from the Pharmacy Redbook and Internet retail sources, based on the average Redbook pricing for generic omeprazole 20 mg daily [43]. Treatment costs for fracture and *C. difficile* management were taken from the published literature [44, 45]. Utility weights for health states and events were directed from the literature, ranging from 1 (perfect health) to 0 (death). These weights were aggregated to estimate quality-adjusted life years (QALYs) for each modeled patient under different clinical strategies [46].

Cost-Effectiveness Analysis

The primary outcome of the study was the incremental cost per QALY gained, also known as the incremental cost-effectiveness ratio (ICER). The ICER is calculated by dividing the difference in costs by the difference in average QALY between the two strategies. Both costs and QALYs were discounted at an annual rate of 3 % [46]. We evaluated the ICER on two often-cited benchmark willingness-to-pay levels: \$50,000/QALY and \$100,000/QALY.

Sensitivity Analysis

Sensitivity analyses were performed to investigate the effects of parameter uncertainties on the resulting cost and effectiveness outcomes [46]. Probabilistic sensitivity analysis was performed by simultaneously and probabilistically varying costs, probabilities, quality of life weights, and discount rates. Ranges were based on published 95 % confidence intervals (CIs); in their absence, we varied the parameter from 50 to 200 % of its base-case value.

Results

Base-Case Results

Consistent with previously published estimates [47, 48], our model estimated a 5.6 % lifetime risk of EAC for this non-dysplastic BE cohort with an average life expectancy of 19.5 years. In the absence of chemoprevention, 14,000 esophageal adenocarcinomas were expected to develop among the simulated 250,000 50-year-old patients with non-dysplastic BE who received the current standard of care with endoscopic surveillance. Use of PPIs proved to be a cost-effective strategy. Administration of PPIs as chemoprevention resulted in a gain of 0.32 QALYs at a total cost of \$23,495 per patient, with an ICER of \$11,760 per QALY (Table 2). Greater than 95 % of the simulations showed that the strategy that involved PPIs was the most cost-effective at \$50,000/QALY (Fig. 2).

Minimum Cost-Effective Chemopreventive Effect

Proton pump inhibitors (PPIs) remained cost-effective if they reduced EAC risk by 19 % at \$50,000/QALY and by 11 % at \$100,000/QALY (Fig. 3). For lower effectiveness of PPIs in preventing EAC, surveillance alone represented the dominant strategy. Under our base-case assumptions, PPIs remained cost-effective up to an annual cost of \$940 at \$50,000/QALY and \$1,660 for \$100,000/ QALY (Supplemental Figure 1).

Discussion

Our study shows that chemoprevention with proton pump inhibitors for patients with Barrett's esophagus without GERD could provide significant risk reduction in esophageal adenocarcinoma with acceptable costs. The incidence of EAC has been rising rapidly for the past four decades [1, 2], and interventions to lower the risk of EAC have the potential for a major impact at the population level. Our Markov model's base case assumed a 50 % reduction in EAC risk for patients who received PPIs. This assumption correlated to an absolute 3.3 % reduction in lifetime EAC risk among patients with non-dysplastic BE. Although the absolute risk of neoplastic progression in BE is low [4–6, 49–51], our model indicates that PPIs are cost-effective in asymptomatic BE patients as long as the drugs remain inexpensive and current estimates of PPI-related risks remain unchanged. Our results lend support to the current practice of prescribing PPI therapy to all patients with BE, irrespective of the presence of acid reflux symptoms.

In order to appropriately interpret the significance of these findings, one must consider the variable clinical presentation of patients with BE. Many patients with BE have chronic reflux symptoms; PPIs have obvious therapeutic value in this group and therefore have benefits beyond potential EAC risk reduction. However, in two studies aimed at determining BE prevalence, 44–54 % of Barrett's patients denied a history of regular reflux symptoms [21, 22], and the results of a meta-analysis found no association between GERD and short-segment BE [52]. Despite this, recent cohort studies report that 95–98 % of BE patients under surveillance are prescribed PPIs [4, 53]. This discrepancy is due to the belief among physicians that acid suppression may reduce the risk of progression to EAC [54].

What is the evidence that acid suppression with PPIs prevents EAC? While clinical trial data are lacking, epi-demiologic studies suggest that PPI use in patients with BE has chemopreventive effects [12–14, 55]. In a study by El-Serag et al. [13] of veterans with BE, PPI use (compared to histamine-2 antagonists and no acid suppression) had a lower risk of progression to dysplasia (HR 0.25, 95 % CI 0.13–0.47). In a case–control study from the Netherlands, patients with EAC were less likely to have used PPIs (OR 0.1, 95 % CI 0.05–0.2) compared to BE patients with no dysplasia or low-grade dysplasia [14]. A recent meta-analysis including data from 2,813 patients with BE concluded that PPI use was associated with a 71 % decreased risk of progression to EAC or high-grade dysplasia [15]. Laboratory data also suggest that gastric acid reflux promotes cancer in BE via increased cellular proliferation and decreased apoptosis [56–58].

Our model demonstrates that PPIs must prevent 19 % of EACs in order to remain cost-effective. This moderately low threshold for efficacy is driven largely by the low cost of generic PPIs. Prior to the FDA approval of generic omeprazole in 2002, the cost of brand PPIs was roughly \$1,000–1,500 per year [59]. PPIs at this cost would have needed to prevent 60–80 % of EACs to remain a cost-effective strategy. Therefore, market cost is a key factor in the evaluation of any chemopreventive drug in BE, including PPIs. Other potential chemopreventive agents that have been studied or are currently under investigation for BE include celecoxib, aspirin, difluoromethylornithine, green tea extract, cholestyramine, and a gastrin-receptor inhibitor [60–63]. A new drug on patent will likely be associated with significant costs and will need to be extremely effective at preventing EAC to achieve standard benchmarks of cost-effectiveness.

The decision-analytic model in the present study was sensitive to both PPI efficacy and costs, both of drug and of adverse effects. The model included the two adverse effects of PPI use for which the best evidence exists: increased risks of bone fractures and of *C. difficile* infection. The association between PPIs and incident *C. difficile* infection is well established [64, 65] while the association between PPIs and bone fracture is more controversial [25, 66]. When the analysis was performed without incorporation of adverse effects, PPIs were cost-effective for risk reductions as low as 2 % (data not shown). Should additional adverse effects of long-term PPI use be discovered, then the minimum chemopreventive efficacy required for PPIs to remain cost-effective will increase.

Currently, all patients with non-dysplastic BE are treated with a “one-size-fits-all” approach. However, the risk of developing EAC is extremely low, which limits the cost-effectiveness of any strategy aimed at improving outcomes in this population. If the transition rates in the model are markedly increased, for example through the identification of a BE subgroup at high risk for progression, then the minimum required chemopreventive efficacy is substantially reduced. In the future, chemoprevention targeted to high-risk subgroups in which a particular agent provides maximal benefit will likely represent the optimal management strategy. Conversely, patients at lower risk to progress would derive the least benefit from chemoprevention.

Prior studies have examined the cost-effectiveness of chemoprevention in Barrett’s esophagus. All models must rely upon contemporaneous data, and transitional probabilities

for progression of BE have decreased over time while drug cost estimates have simultaneously fallen. Sonnenberg and Fennerty [67] used Markov modeling to show that NSAID use without endoscopic surveillance was a cost-effective strategy. For patients with non-dys-plastic BE, the disease states consisted of BE, EAC, post-esophagectomy, or death, with dysplasia and endoscopic therapy not included in the model. When progression rates were reduced below 0.5 % per year in sensitivity analyses, chemoprophylaxis became prohibitively expensive. This is relevant given recent cohort studies suggesting that the rate of progression from BE to EAC may be closer to 0.1–0.3 % per year [4–6, 49–51], rather than the traditionally cited 0.5 % per year [7]. Hur et al. evaluated the cost-effectiveness of aspirin for the prevention of EAC [47]. This model included dysplastic states, and all patients with high-grade dysplasia were considered for esophagectomy. Aspirin was assigned a base efficacy of 50 % EAC risk reduction, and hemor-rhagic complications of aspirin were included in the model. The analyses showed that aspirin with and without endoscopic surveillance represented cost-effective strategies. Choi et al. [68] also recently demonstrated that chemoprevention with aspirin could be a cost-effective strategy when added to endoscopic surveillance for non-dysplastic BE, with a base-case assumption of 50 % reduction in EAC incidence with aspirin. Finally, comprehensive cost-effectiveness analyses of radiofrequency ablation show that RFA is a cost-effective strategy in dysplastic BE, although the strategy of RFA for non-dysplastic BE remains controversial [48, 69].

Our study has several strengths. Our model included the two primary major adverse effects of PPIs: increased risks of bone fractures and of *C. difficile* infection. These adverse effects play a key role in the clinical decision to prescribe long-term PPIs for the purpose of chemoprevention in BE. Because endoscopic surveillance currently represents the standard of care in BE, surveillance was incorporated into all arms of the study unlike prior models. Endoscopic therapy has been endorsed as the preferred management strategy for high-grade dysplasia [32] and was made a standard element in our model. Our model expands on prior work, incorporates recent data on progression rates for non-dysplastic BE, and uses recently published post-RFA utility data. Finally, our model uses sensitivity analyses to determine optimal cutoffs within categories and utility estimates based on the observed data among BE patients rather than on physician estimates. Although our model requires assumptions regarding the efficacy of chemoprevention with PPIs, our study is the first of its kind to provide evidence supporting the clinical practice of prescribing PPIs to patients with non-dysplastic BE without reflux.

The current analyses have some limitations. Our model data are drawn primarily from US sources, and our model may not be generalizable to other populations. In the construction of the model, a 50 % EAC risk reduction was assigned for PPIs. However, there is no clinical trial data on which to base this estimate, and epidemiologic data suffer from potential confounding by indication as well as by variable compliance rates. This underlying uncertainty was accounted for by varying the effect from 0 to 100 % in the sensitivity analyses. The model did not include disease regression (other than LGD to no dysplasia) or misdiagnosis because these factors would impact all study arms in a comparable fashion. Furthermore, the impact of these factors would likely have been relatively small, and our

model produced lifetime incidence rates of EAC comparable to other models and to estimates in humans [4, 48].

Our study shows that the use of PPIs in patients with Barrett's esophagus without reflux symptoms represents a cost-effective strategy for the prevention of esophageal adenocarcinoma and demonstrates that PPIs remain cost-effective at \$50,000/QALY provided that they attain a minimum efficacy in preventing progression to EAC of 19 %. Our model was sensitive to rates of progression, efficacy of PPIs, and costs associated with adverse effects of PPIs. Clinical trial data are needed to better estimate the efficacy of PPIs or other chemopreventive agents in patients with Barrett's esophagus. Future studies should identify subgroups of Barrett's esophagus patients at increased risk for progression to facilitate risk-stratified management strategies including chemoprevention.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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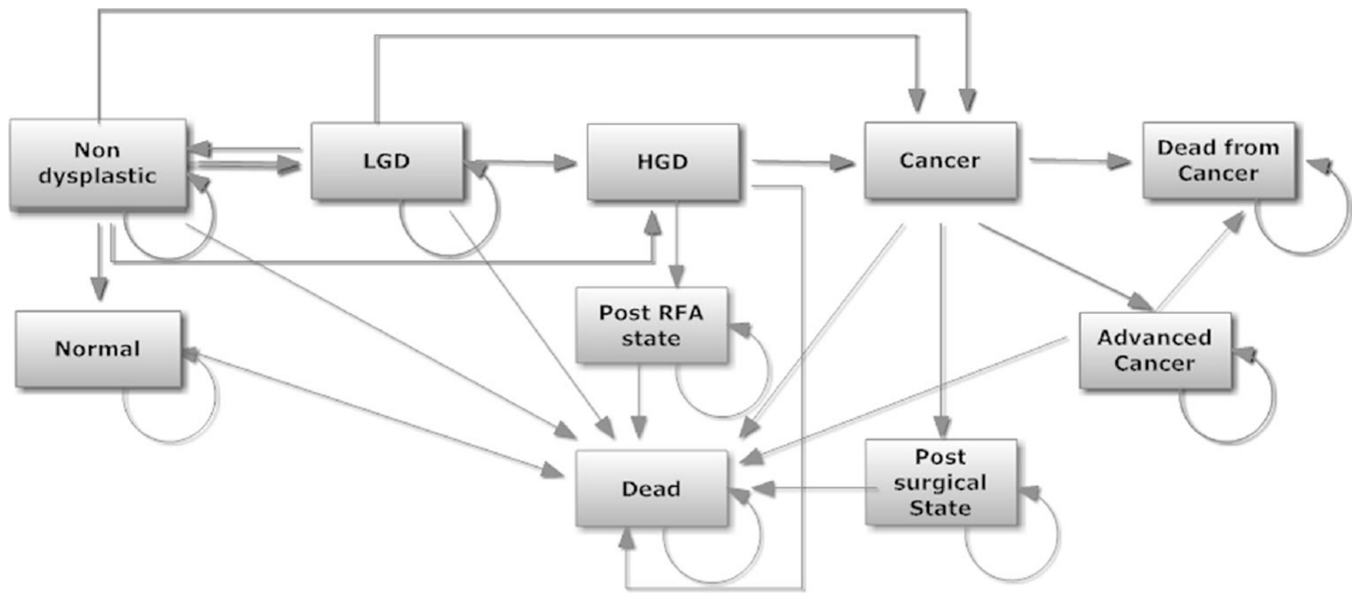


Fig. 1. Markov model, with the various health and disease states, each associated with a different set of utilities

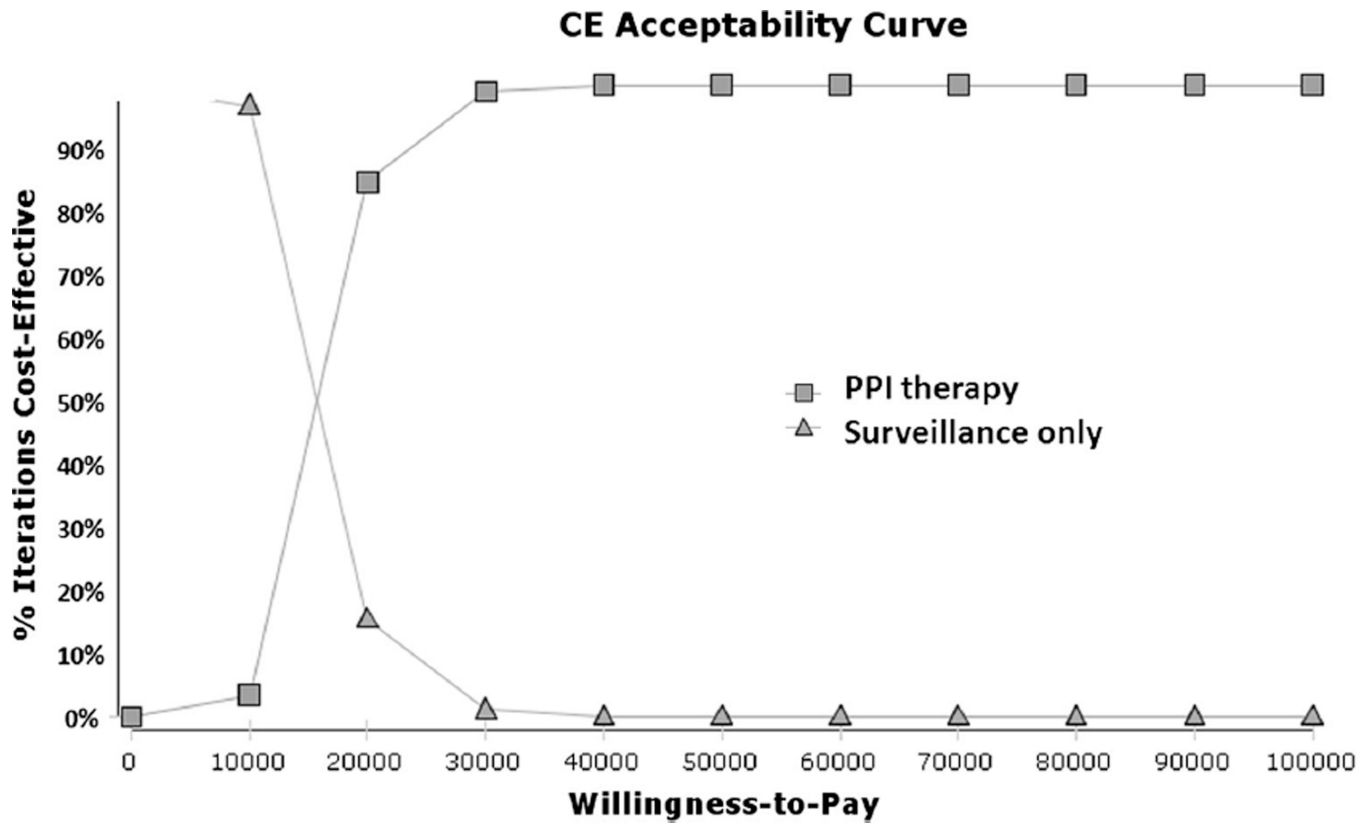


Fig. 2. Monte Carlo simulation with the optimal strategy stratified by WTP in patients with NDBE. The lines illustrate the proportion of trials in which each strategy was calculated to comprise the optimal strategy, defined as the strategy associated with the greatest QALYS obtainable with a corresponding WTP

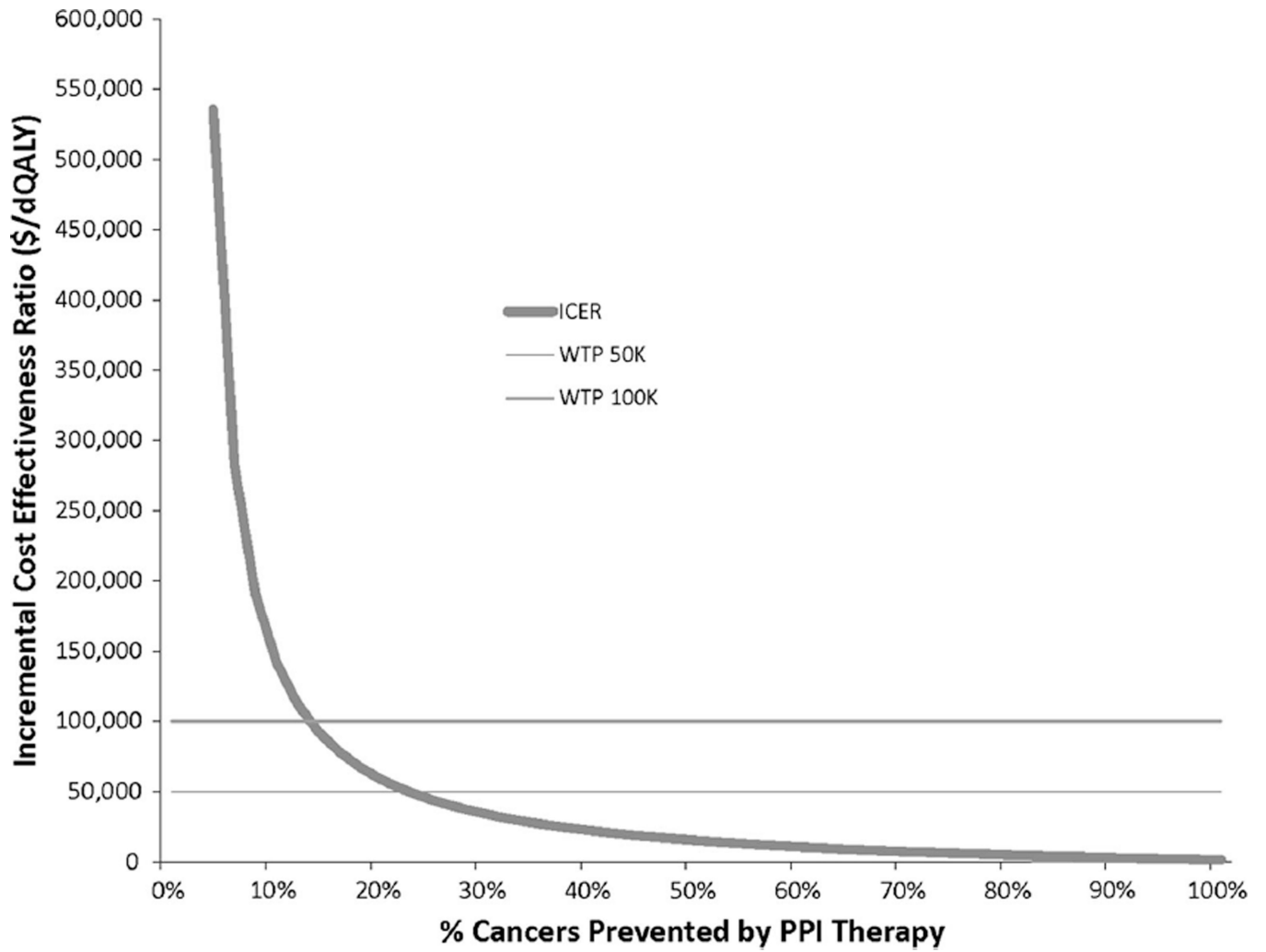


Fig. 3. One-way sensitivity analysis with risk reduction in chemoprevention with proton pump inhibitors

Table 1

Estimates of modeled variables

Description	Base	Low	High
Cost (2010 US\$)			
Cost of cancer	\$49,523	\$10,522	\$52,620
Cost of surveillance endoscopy	\$932	\$350	\$1,100
Cost of surgical morbidity	\$35,870	\$17,230	\$70,934
Cost of cancer palliation	\$1,652	\$1,000	\$5,066
Cost of RFA ^a	\$22,818	\$10,638	\$45,600
Cost of esophagectomy	\$24,994	\$10,000	\$40,000
Cost of generic PPI (annually)	\$360	\$76	\$700
Cost of bone fracture	\$11,000	\$7,800	\$19,000
Cost of <i>C. difficile</i> treatment ^b	\$120	\$60	\$1,200
Cost of complicated <i>C. difficile</i>	\$10,000	\$5,000	\$26,338
Discount rate	0.03	0.00	0.05
Transition rates			
ND BE to LGD	0.03	0.01	0.08
ND BE to HGD	0.0055	0.0028	0.07
ND BE to cancer	0.0035	0.002	0.01
LGD to ND BE	0.50	0.45	0.80
LGD to HGD	0.1	0.01	0.2
LGD to cancer	0.015	0.005	0.09
HGD to cancer ^c	0.06	0.05	1.0
HGD to ND BE post-RFA	0.94	0.88	0.97
Mortality in unresectable cancer	0.9	0.8	1
Mortality from other causes	Varies with age		
Efficacy (proportion of EAC cases prevented)			
PPIs	0.50	0	100
Complications of therapy			
Mortality from EGD	0.000021	0	0.00005
Mortality from esophagectomy	0.05	0.025	0.1
Morbidity from esophagectomy	0.15	0.05	0.4
Morbidity from esophagectomy after perforation	0.2	0.1	0.5
Perforation with RFA	0.0005	0.0001	0.001
Stricture with RFA	0.025	0.01	0.05
Rate of fractures in PPI users	0.00014	0.0001	0.0009
Rate of fractures in nonusers	0.0001	0.00005	0.00015
Rate of <i>C. difficile</i> in PPI users	0.00018	0.0001	0.00038
Rate of <i>C. difficile</i> in nonusers	0.00008	0.00005	0.0001
Proportion of complicated <i>C difficile</i> infections ^d	0.05	0.025	0.1
Utilities			

Description	Base	Low	High
Utility of BE without dysplasia	1	0.79	1
Utility of LGD	1	0.8	1
Utility of HGD	0.9	0.6	1
Utility after RFA	0.95	0.6	1
Utility after esophagectomy	0.8	0	1
Utility of cancer	0.5	0	1
Utility of fracture	0.79	0.7	0.95
Utility of <i>C. difficile</i>	0.998	0.997	0.999
Utility of complicated <i>C. difficile</i>	0.88	0.8	0.95

^aRepresents cost of three RFA sessions

^b14-day course of metronidazole and/or vancomycin

^cBase rate for HGD to cancer based on the progression rate of non-responders to RFA

^dProportion of community-acquired *C. difficile* cases resulting in hospitalization

Baseline analysis. Endoscopic surveillance is performed in both scenarios, and proton pump inhibitors are assumed to reduce the risk of esophageal adenocarcinoma by 50 %

Table 2

Strategy	Cost (\$)	Effectiveness (dQALY)	Incremental cost (\$)	Incremental effectiveness (dQALY)	ICER (\$/dQALY)	Cancers per 100 NDBE
No chemoprevention	19,789	19.02	0	0	0	5.6
PPIs	23,495	19.33	3,706	0.32	11,760	2.3