

A Subpopulation of Neurochemically-Identified Ventral Tegmental Area Dopamine Neurons Is Excited by Intravenous Cocaine

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Systemic administration of cocaine is thought to decrease the firing rates of ventral tegmental area (VTA) dopamine (DA) neurons. However, this view is based on categorizations of recorded neurons as DA neurons using preselected electrophysiological characteristics lacking neurochemical confirmation. Without applying cellular preselection, we recorded the impulse activity of VTA neurons in response to cocaine administration in anesthetized adult rats. The phenotype of recorded neurons was determined by their juxtacellular labeling and immunohistochemical detection of tyrosine hydroxylase (TH), a DA marker. We found that intravenous cocaine altered firing rates in the majority of recorded VTA neurons. Within the cocaine-responsive neurons, half of the population was excited and the other half was inhibited. Both populations had similar discharge rates and firing regularities, and most neurons did not exhibit changes in burst firing. Inhibited neurons were more abundant in the posterior VTA, whereas excited neurons were distributed evenly throughout the VTA. Cocaine-excited neurons were more likely to be excited by footshock. Within the subpopulation of TH-positive neurons, 36% were excited by cocaine and 64% were inhibited. Within the subpopulation of TH-negative neurons, 44% were excited and 28% were inhibited. Contrary to the prevailing view that all DA neurons are inhibited by cocaine, we found a subset of confirmed VTA DA neurons that is excited by systemic administration of cocaine. We provide evidence indicating that DA neurons are heterogeneous in their response to cocaine and that VTA non-DA neurons play an active role in processing systemic cocaine.

Key words: cocaine; *in-vivo* electrophysiology; intravenous; juxtacellular; single unit; ventral tegmental area

Introduction

Cocaine exerts its rewarding effects, in part, by modulating the activity of neurons within the ventral tegmental area (VTA; Zweifel et al., 2008; Sombers et al., 2009). Intravenous cocaine produces either inhibition or excitation of VTA neurons (Einhorn et al., 1988; Steffensen et al., 2008; Koulchitsky et al., 2012; Mejias-Aponte and Kiyatkin, 2012). It has been postulated that VTA dopamine (DA) neurons are inhibited by intravenous cocaine. This suggestion is based on indirect identification of DA neurons by relying on the electrophysiological characteristic of long-duration action potentials and slow discharge rates (Einhorn et al., 1988; Hinerth et al., 2000). However, some VTA DA and

non-DA neurons share similar electrophysiological characteristics; thus, electrophysiological identification alone is insufficient to determine the phenotype of VTA neurons (Kiyatkin and Rebec, 1998; Ungless et al., 2004; Margolis et al., 2006; Luo et al., 2008; Cohen et al., 2012; Li et al., 2012).

VTA non-DA neurons are either glutamate or GABA and, like DA neurons, are part of the mesocorticolimbic pathway (Carr and Sesack, 2000; Yamaguchi et al., 2007, 2011; Margolis et al., 2012; Morales and Root, 2014). Some VTA non-DA neurons make synapses on DA neurons and can locally regulate their output (Omelchenko and Sesack, 2009; Dobi et al., 2010; Tan et al., 2012; van Zessen et al., 2012). Therefore, elucidating the effects of cocaine on both DA and non-DA neurons are essential to have a better understanding on the role of VTA in the neurobiology of cocaine addiction. In this regard, recent electrophysiological studies have shown that cocaine excites a subset of VTA neurons characterized by short-duration action potentials and fast discharge rates (Steffensen et al., 2008), two electrophysiological properties associated with VTA GABA neurons (Maeda and Mogenson, 1980; Steffensen et al., 1998). However, electrophysiological analysis of optogenetically identified VTA GABA neurons has shown that, similar to VTA DA neurons, some VTA GABA neurons exhibit slow discharge rates (Tan et al., 2012).

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To study the effects of systemic administration of cocaine on identified VTA DA and non-DA neurons, we labeled and phenotyped VTA neurons after their responses to intravenous cocaine administration were recorded. Neurons were recorded under urethane anesthesia without using electrophysiological preselected criteria. To determine the DA or non-DA phenotype of cocaine-responsive neurons, we combined *in vivo* juxtacellular labeling of the recorded neurons and with subsequent immunolabeling with antibodies against tyrosine hydroxylase (TH), a marker for DA neurons. We found populations of neurochemically identified DA and non-DA neurons that were either excited or inhibited after intravenous cocaine. Among DA neurons, one-third were excited by intravenous cocaine, demonstrating that a substantial portion of DA neurons are excited by cocaine.

Materials and Methods

Ninety-four male Long-Evans rats (360–500 g; Charles River Laboratories) were used in these experiments. Rats were housed in pairs until the day of surgery and individually thereafter. All subjects were maintained on a 12 h light/dark cycle with access to food and water *ad libitum*. The experimental procedures followed the *Principles of Laboratory Animal Care* published by the National Institutes of Health (Publication 86-23, 1996) and were approved by the Animal Care and Use Committee of the National Institute on Drug Abuse Intramural Research Program. Experimental protocols were designed to minimize the number of animals and to reduce pain and discomfort to experimental subjects.

Intravenous catheterization and placement of the electrodes

Each rat was anesthetized with a mixture of sodium pentobarbital (32.5 mg/kg) and chloral hydrate (145 mg/kg) delivered intraperitoneally. Each rat was mounted in a stereotaxic frame. Two screws, each one soldered to a wire, were threaded in the frontal bone for encephalographic recordings; one over the prefrontal cortex (PFC), 0.8 mm mediolateral and 3.0 mm anteroposterior from bregma; and a ground electrode, 0.8 mm mediolateral and 6.0 mm anteroposterior from bregma. The screws in the frontal bone were covered, and their wires were secured with dental acrylic, keeping their pin connectors exposed. Another screw was placed in the right parietal bone to serve as a reference electrode for single-unit recordings and to secure an intravenous catheter and wires placed subcutaneously to monitor heart activity. The base of the screw in the parietal bone was covered with dental acrylic keeping bregma and lambda and the central suture visible as stereotaxic landmarks for use on the day of electrophysiological recordings.

For electrocardiogram (ECG) recordings, two 127 μ m perfluoroalkoxy-insulated stainless steel wires (A-M Systems) were routed subcutaneously in the chest. The insulation of the wires was removed at each end. One wire was placed in the lower chest close to the left ventricle, and the other wire was placed in the upper chest above the left atrium. Both wires were routed subcutaneously around the back of the neck and exited at the surgical area of the head. The end of each wire was soldered to gold male pins and glued to the skull over the right parietal bone.

For drug administration, a SILASTIC catheter (inner diameter of 0.51 mm, outer diameter of 0.94 mm; Dow Corning) was placed in the external right jugular vein. The catheter was routed subcutaneously around the back of the neck and exited at the surgical area of the head. The end of the catheter was slipped over a bent and blunted 23-gauge syringe hub, which was secured next to the parietal lobe screw with dental acrylic. To keep the catheter patent, 0.2 ml of a 0.9% saline solution was flushed through the catheter every day after surgery.

Surgeries were performed 3–10 d before electrophysiological recordings to (1) reduce the pain or trauma cause during catheter implantation on the recording day, (2) allow more time for recordings, and (3) match the experimental protocol of previous experiments in awake rats conducted in our laboratory.

Single-unit, ECG and EEG recordings

On the day of electrophysiological recordings, each rat was anesthetized with urethane (1.4 g/kg, i.p.) and mounted in a stereotaxic frame. Anes-

thesia was supplemented as needed by giving intraperitoneal boosters of 0.14 g/kg urethane. A hole was then drilled in the parietal bone to access the VTA using stereotaxic coordinates. Glass micropipettes (6–18 M Ω) filled with 4.0% Neurobiotin (Vector Laboratories) dissolved in 0.5 M potassium acetate were used for recording and juxtacellular labeling. Neuronal activity was amplified and filtered using three amplifiers in series: (1) a Neuro Data IR283A (Cygnus Technology); (2) a Grass P15 (Astro-Med); and (3) Neurolog System (Digitimer). Single-neuron action potentials were bandpass filtered between 300 and 3000 Hz. Electroencephalogram (EEG) electrodes were connected to a Grass P511 (Astro-Med) amplifier, amplified, and filtered in a 1–100 Hz bandwidth. ECG wires were connected to a Brownlee Precision 440 amplifier, amplified, and filtered in a 1–100 Hz bandwidth. All biopotentials were digitized using a Micro 1401 MK 2 Analog-Digital Converter (Cambridge Electronic Design) and stored on a computer using Spike 2 version 5.15 software (Cambridge Electronic Design).

VTA recordings were obtained from each animal using a sampling grid that extended 0.0–1.4 mm from midline, 7.0–9.0 mm from the brain surface, and 4.7–6.0 mm caudal to bregma. To avoid recording from substantia nigra neurons, the electrode was lowered at a 10° angle. Our recordings were performed between two physiologically defined boundaries that delineate the VTA. The dorsal limit was defined by the detection of pre-rubral, rubral, and para-rubral neurons with short-duration action potentials and fast discharge rates, whereas the ventral boundary was defined by the detection of neurons with short-duration action potentials and brief interspike intervals that are indicative of interpeduncular nucleus neurons. Neuronal activity was recorded for 5 min to establish baseline and for 5 min after drug infusion before attempting juxtacellular labeling. Five to seven neurons were typically recorded per animal.

The dose of cocaine-HCl (0.25 mg/kg) was chosen because it reinforces self-administration, evokes cortical EEG desynchronization, and induces a reliable rise in extracellular DA concentrations in the nucleus accumbens and frontal cortex (Carroll and Lac, 1997; Tanda et al., 1997; Wee et al., 2007; Kiyatkin and Smirnov, 2010). Moreover, this cocaine dose is low enough to permit multiple injections in the same recording session. Cocaine injections in the same animal were made at least 45 min apart to permit catabolism of the drug before the next infusion. This interval is four times the half-life of cocaine (Booze et al., 1997). Cocaine-HCl was obtained from the National Institute on Drug Abuse.

Juxtacellular labeling

Five minutes after each intravenous cocaine injection, current pulses of 1–10 nA were delivered through the recording pipette in a 250 ms on, 250 ms off cycle using the Neuro Data IR283A (Cygnus Technology). The current was monitored and increased to produce and maintain entrainment of the activity of the neuron, yielding a higher number of spikes on the current on periods compared with the off periods. Cells were entrained between 2 and 15 min.

Footpad stimulation

Electrical pulses of 0.5 ms, 10 mA every 2 s were administered through a pair of stainless steel needles implanted subcutaneously in the surface of the contralateral rear footpad. Peristimulus time histograms were constructed at a 50 ms resolution and were used to determine excitatory and inhibitory epochs. A baseline period of 500 ms preceding stimulation was used to establish spontaneous discharge rate of each neuron. The onset of excitation was defined as the first bin whose value exceeded the mean baseline activity by 2 SDs when averaged with the following three bins. Response offset of excitation was determined as the time at which activity had returned to be consistent within 2 SDs of baseline. The onset of inhibition was defined as the first bin whose value was below the mean baseline activity by 35% when averaged with the following three bins. Response offset of excitation was determined as the time at which activity had returned to be consistently within 35% of baseline. The inhibitory or excitatory response magnitude was calculated using the following formula: (counts in inhibitory or excitatory epoch) – (mean counts per baseline bin \times number of bins in the inhibitory or excitatory epoch).

VTA firing periodicity and EEG slow activity analyses

PFC EEG and time of action potential of VTA neurons were imported to MATLAB (MathWorks) using the sigTOOL Neuroscience Toolkit for data collected using Spike2 (Cambridge Electronic Design). Firing periodicity of each VTA neuron was established using fast Fourier transform of the firing rate calculated within 50 ms bins, tapered with a hamming window on trace segments of 256 points (12.8 s) with a 50% overlap, yielding a spectral resolution of 0.078 Hz. PFC EEG was downsampled to 20 Hz, and its spectral frequencies were calculated using fast Fourier transforms as described above. The power of each frequency was expressed as percentage of total power.

Correlation between VTA spiking activity and PFC EEG was determined by establishing the coherence of the cross-spectral density between the two recordings. The coherence squared correlation coefficient, r^2 , was calculated from the squared cross-spectral density divided by the product of the spectral density of each recording. Coherence r^2 ranges from 0 to 1, and the association of two signals is highest the closer r^2 is to 1. The two signals were considered significantly correlated when r^2 was higher than 95% confidence level. The confidence level was calculated as $1 - (1 - 0.95)^{1/(\text{number of non-overlapping windows} - 1)}$ (Rosenberg et al., 1989). On neurons that exhibited significant coherences, the phase between the firing activity of VTA neurons and PFC EEG was established by determining the phase lag angle at the frequencies showing significant coherence. The phase lag angle was divided by 2π to obtain a cycle ranging from -0.5 to 0.5 . A phase lag of 0 indicated in-phase, a negative phase value indicated that the firing activity of VTA neurons preceded PFC EEG, and a positive phase value indicated that firing activity followed the PFC EEG.

Neurobiotin and TH immunostaining

At the end of the experimental session, each animal was transcardially perfused with 100 ml of 0.9% saline at a rate of 2 ml/s, followed by 350 ml of 4% paraformaldehyde at a rate of 2 ml/s during the first minute and the remainder of the solution at 1 ml/s. The brains were removed and kept in 4% paraformaldehyde overnight. Brains were transferred to 18% sucrose in 0.1 M phosphate buffer (PB) and allowed sufficient time to sink to the bottom of the container to ensure the sucrose was exchanged for the paraformaldehyde, usually overnight. The sucrose was decanted and replaced by fresh 18% sucrose overnight. Brains were frozen in dry ice and kept frozen at -80°C until sectioned.

Coronal 20 μm sections of the VTA were obtained and collected in 0.1 M PB. The sections were washed six times with PB, 2 min each time, before being placed in Alexa Flour 488 streptavidin-conjugated antibody (1:400; Life Technologies) and anti-mouse TH antibody (1:1000) in 0.3% Triton X-100 in PB (0.3% PBT) and incubated at 4°C overnight. Sections were washed six times with PBT before being incubated for 2 h with Alexa Flour 594 donkey anti-mouse (Life Technologies). Sections were washed four times in PBT and twice in PB. Sections were mounted in slides, coverslipped, and photographed.

The location of VTA neurons was identified using two strategies. Some VTA neurons were juxtacellularly labeled, and their localization was confirmed histologically. The location of the others neurons was determined by the recording tracts and stereotaxic position relative to a juxtacellularly labeled VTA neuron.

Data analysis

Single-neuron responses. We analyzed changes in spontaneous activity as we reported previously (Mejias-Aponte and Kiyatkin, 2012). In brief, activity was analyzed by averaging 10 1-s segments of impulse activity in a sliding window moving in 1 s steps. This averaging window filters sudden changes in rate and focuses the analysis on tonic long-lasting changes. Responses to cocaine were defined as changes in firing rate 20% above or below the spontaneous activity for at least 30 s. The onset of response was the first bin within the 10 s averaging window above or below 20% of the spontaneous activity in the predrug period.

Population response. Impulse activity from individual neurons was summed every 5 s, 1 min before and 5 min after the initiation of drug infusion. Z scores were computed using the mean \pm SD of the discharge rate within the minute of predrug activity. The onset and duration of

cocaine-evoked population responses were determined by analyzing the SD of the discharge rate. The SD was used for the detection of changes in discharge rate independent of their direction.

Firing regularity. Three measures of firing regularity were determined. The coefficient of variation (CV) was calculated by dividing the SD by the mean of the interspike interval. Because the CV cannot distinguish irregularities attributable to an increase in burst firing or a pause in firing, we also determined the coefficient of variation 2 (CV2), the average of the SD of two adjacent spikes, divided by their means, and multiplied by square root of 2 (Holt et al., 1996). A higher CV2 reflects increase firing variability attributable to burst firing. We also determined the Fano factor, the variance divided by the mean of distribution of the density discharge histogram (Kaneoke and Vitek, 1996).

Spikes in burst. The most common parameters to quantify burst firing in putative DA neurons consists of identifying the action potentials occurring <80 ms apart (12.5 Hz instant rate) and set these as the onset of a burst and twice this interval, 160 ms, as the offset of a burst (Grace and Bunney, 1984). However, when a neuron fires fast (>10 Hz), many of its spikes may occur in <80 ms without the neuron being in burst firing. Therefore, to determine onset and offset of burst firing on a given neuron, we used the density discharge histogram method (Kaneoke and Vitek, 1996). In brief, the recordings were segmented in intervals of the size of the mean interspike interval, and the number of spikes in each interval was counted. The counts were used to construct a density discharge histogram, and a burst threshold was determined based on the shape of the histogram. When the density discharge histogram had a bimodal distribution with a clear break, the mean interspike interval was divided by the number of the bin in the break point of the density discharge histogram. This number was used as the onset of burst firing and twice this number as the offset of a burst. When the density discharge histogram did not have a bimodal distribution but exhibited a sudden positive change in the slope, the bin in which the sudden change occurred was used as the breaking point. When the density discharge histogram followed an exponential decay, the breaking point was the steepest inflection in the decay. After determining the burst onset and offset of a given neuron, the burst of the neuron was detected using the burst script in Spike2. Both predrug and postdrug activities were analyzed. Within the postdrug period, we analyzed data from 120 to 300 s after the initiation of the drug infusion. For each neuron, the onset and offset of the burst were determined for the predrug and postdrug periods. The density discharge method allowed determination of a breaking point for each neuron; neurons with burst onset <5 Hz were categorized as neurons lacking burst activity.

Statistics. Parametric statistics were used after verifying compliance for normal distribution and equal variance assumptions. One-way and two-way ANOVA were used to compare between-group effects. Repeated-measures ANOVAs were used to compare within-group effects across time. Normality was assessed using the D'Agostino and Pearson omnibus normality test. Equality of variance was assessed with the Bartlett's test for equal variances. The sphericity of the matrix assumption for repeated-measures ANOVA was assessed with the Mauchley sphericity test; when the outcome of the test was significant, the *F* values were corrected using the Greenhouse–Geisser approach (Glantz and Slinker, 2000). A Newman–Keuls *post hoc* test was used to establish differences in means after the ANOVA. When requirement for parametric statistic were not met, a Kruskal–Wallis test was used to compare between-group differences. Outliers were determined by using the modified Thompson tau test.

Results

VTA neurons display a mixed population response to intravenous cocaine

In vivo recordings were obtained from 248 spontaneously active neurons within the VTA. These neurons exhibited a wide range of discharge rates (from 0.02 to 32.75 Hz); 82% changed their discharge rates in response to intravenous administration of cocaine. Neurons were classified as responding to cocaine when their discharge rates increased or decreased by at least 20% from

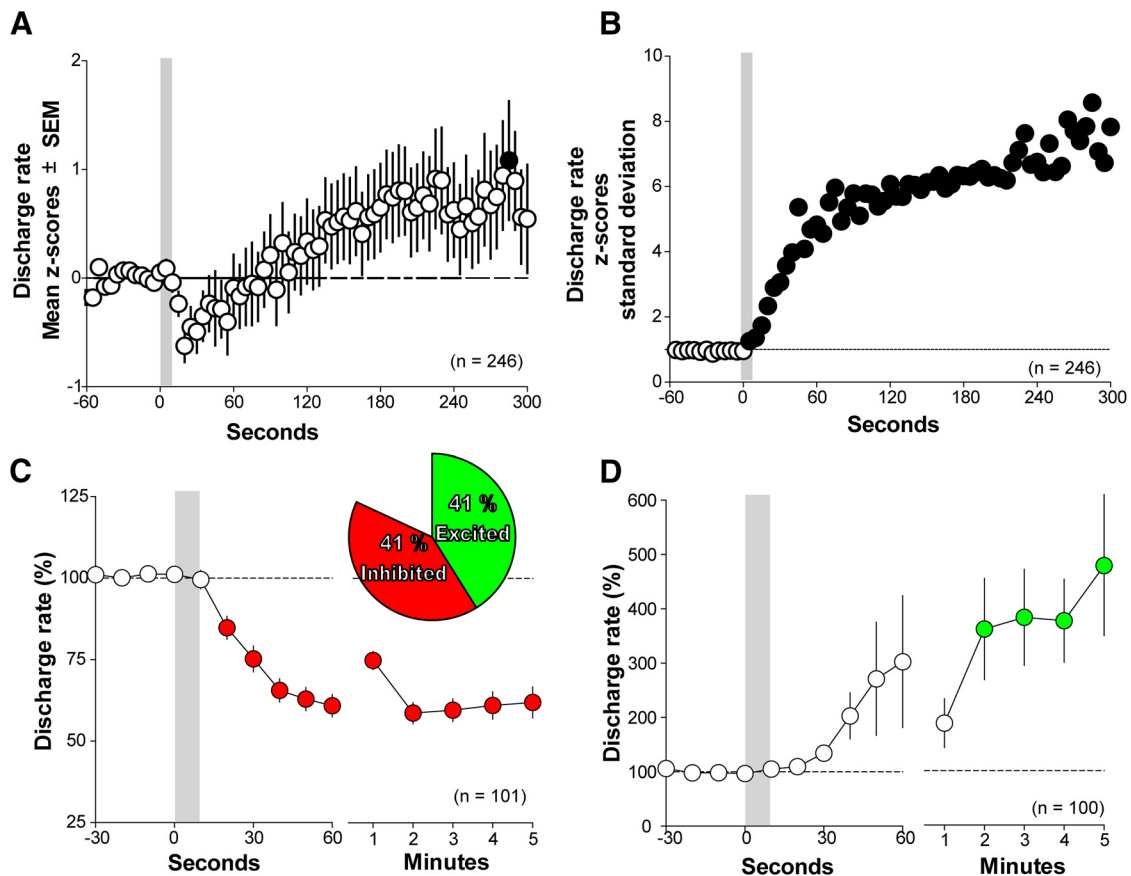


Figure 1. Onset latency and duration of cocaine-evoked response. **A**, Mean population response. There is an increase in firing rate variability as indicated by the increase in amplitude of the SEMs. **B**, Discharge rate SD. Changes in discharge rate emerge immediately after the initiation of cocaine infusion and remain throughout 5 min of cellular recordings. **C**, Neurons inhibited by cocaine. Discharge rates decrease 20 s after intravenous cocaine. **D**, Neurons excited by cocaine. Discharge rates increase within 2 min after intravenous cocaine. Filled circles show differences from baseline discharge rates. Errors bar are SEM.

their baseline for a minimum of 30 s. The proportion of VTA neurons inhibited by cocaine (41%, 101 of 248 cells) was similar to that of the excited neurons (41%, 102 of 248 cells; Fig. 1A).

To determine the net VTA neural activity evoked by intravenous cocaine, we pooled the discharge rates from cocaine-excited, cocaine-inhibited, and cocaine-unresponsive neurons. The discharge rates were normalized using Z scores determined from the discharge rates before intravenous cocaine administration. Two neurons were classified as outliers and were excluded from the population analysis. These outliers were slow discharge rate neurons whose heightened discharge rates, when excited by cocaine, placed them outside the magnitude of changes exhibited by most VTA neurons (>50 SDs). From the pool of analyzed neurons, we observed an initial trend toward inhibition (~ 30 s after cocaine injection), followed by an excitation 150 s after the injection, which reached significance at 225 s after the injection. The population mean discharge rate showed a dominant excitation (repeated-measures ANOVA, $F_{(4,916)} = 4.57$, Greenhouse–Geisser corrected, $p = 0.0011$; Fig. 1A).

To determine the onset and the duration of the population activity, we used the SD of the discharge rate to remove the directional changes of the two opposite responses evoked by cocaine. We observed that the neuronal activity within the VTA changed within the first 5 s of the 10 s duration of cocaine administration. This change was long lasting and was sustained throughout the 5 min of recordings (Fig. 1B). This prolonged response is consistent with previous findings in which responses of individual VTA

neurons last for >5 min after intravenous cocaine administration (Einhorn et al., 1988; Steffensen et al., 2008).

Some VTA neurons are excited but others are inhibited in response to intravenous cocaine administration

Most VTA neurons were either excited or inhibited by cocaine. In rare instances, excitation followed inhibition (3 of 248 neurons) or inhibition followed excitation (5 of 248 neurons). The onset for inhibition was 20 s after cocaine injection (repeated-measures ANOVA, $F_{(3,234)} = 0.415$, Greenhouse–Geisser corrected, $p < 0.001$, Newman–Keuls *post hoc* test, $p < 0.05$). Although excitation did not reach significance within the first minute after cocaine injection, it was significantly increased by 2 min (repeated-measures ANOVA, $F_{(2,203)} = 6.04$, Greenhouse–Geisser corrected, $p = 0.002$, Newman–Keuls *post hoc* test, $p < 0.05$). Both inhibition and excitation peaked at 2 min and remained stable for several minutes (Fig. 1C,D). Cocaine inhibition remained significantly different from the predrug discharge rates throughout the 5 min postinjection period, whereas cocaine excitation was significantly different from the 2–5 min postinjection period (repeated-measures ANOVA, $F_{(3,263)} = 0.548$, Greenhouse–Geisser corrected, $p < 0.0001$ for inhibition; ANOVA, $F_{(2,203)} = 6.03$, Greenhouse–Geisser corrected, $p = 0.002$ for excitation; Newman–Keuls *post hoc* test, $p < 0.05$). The mean magnitude of inhibition during its plateau from 2 to 5 min was $60.3 \pm 0.7\%$ from the baseline, similar to the magnitude observed previously after 0.5 or 1 mg/kg intravenous cocaine

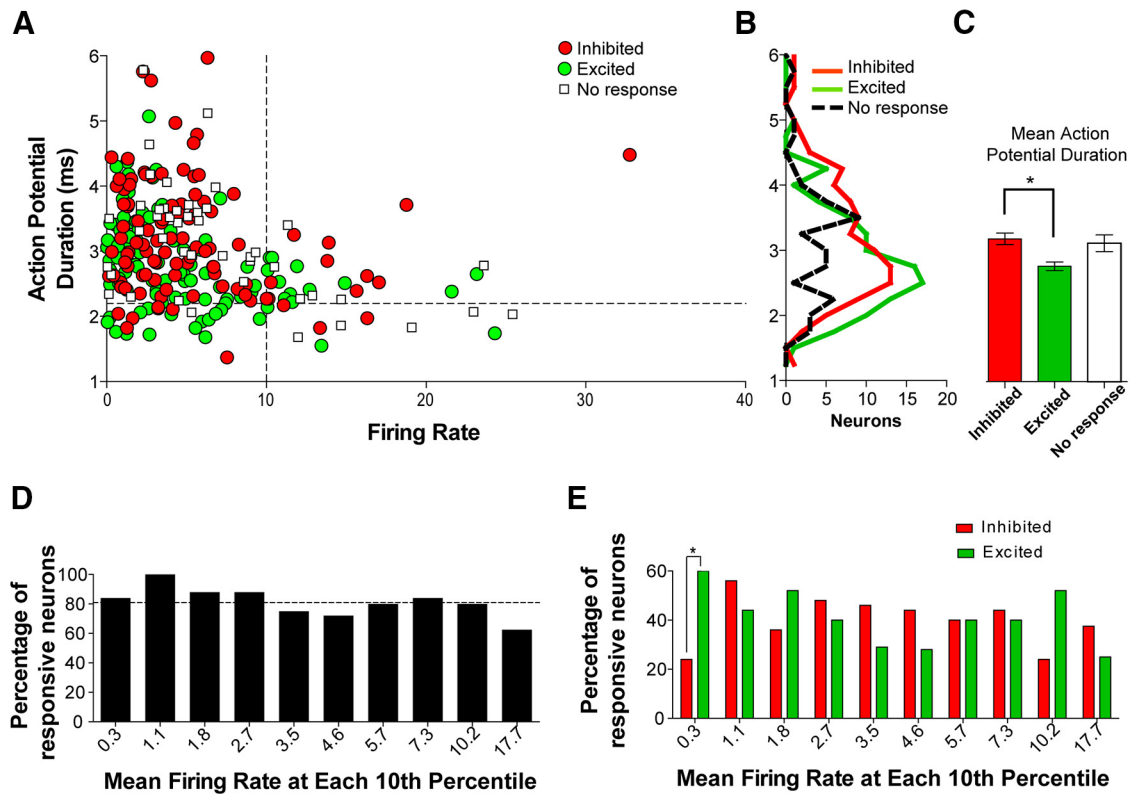


Figure 2. Action potential duration and discharge rate characteristics of cocaine-responsive neurons. **A**, Scatter plot of discharge rate versus action potential duration. Dotted line placed at 10 Hz on the *x*-axis demarks slow-firing from fast-firing neurons. Dotted line placed at 2.2 ms on the *y*-axis demarks short-duration from long-duration action potentials. Neither cocaine-excited nor cocaine-inhibited neurons form distinguishable clusters. **B, C**, Frequency distribution and mean action potential duration. Mean action potential duration is shorter in excited neurons than inhibited neurons, but their distributions overlap. **D**, Proportion of responsive neurons grouped in 10th percentiles. The dotted line placed at 82% shows the population percentage of responders. No differences are observed across the percentiles. **E**, Proportions of inhibited and excited neurons grouped in 10th percentiles. The proportions of responders are similar across different firing rates. Error bars are SEM; **p* < 0.05.

(Einhorn et al., 1988). The mean magnitude of excitation during its plateau from 2 to 5 min was $399.2 \pm 26.3\%$ from the baseline, onefold higher than the magnitude observed previously in a subgroup of putative VTA GABA neurons after 0.25 and 0.5 mg/kg intravenous cocaine (Steffensen et al., 2008).

Neurons recorded *in vivo* have traditionally been assigned neurochemical phenotypes based on their observed electrophysiological properties. In our analysis, neurons that responded to cocaine did not cluster based on their electrophysiological characteristics. No clear population groups were distinguished by plotting discharge rate against action potential duration (Fig. 2A). Cocaine-excited neurons generally displayed shorter action potential durations than cocaine-inhibited neurons (Kruskal–Wallis $K = 13.57, p < 0.01$, Dunn’s multiple comparison test, $p < 0.05$). However, there was considerable overlap in the range of action potential durations between excited neurons and inhibited neurons (Fig. 2B). Initial baseline discharge rate did not correlate with the proportion of neurons that responded to intravenous cocaine (Fig. 2C) and, except for very slow-firing neurons with mean discharge rates of 0.3 Hz (Fisher’s exact tests, $p < 0.001$), the number of cocaine-excited neurons was comparable with the number of cocaine-inhibited neurons across a wide range of their spontaneous discharge rates (Fig. 2D). These findings indicate that baseline electrophysiological parameters are not a predictor of how a given VTA neuron would respond to cocaine exposure.

Although the action potential durations of neurons unresponsive to cocaine did not differ from those that were inhibited or excited by cocaine, unresponsive neurons differed from re-

Table 1. Firing regularity of VTA neurons

	Inhibited	Excited	Unresponsive
Rate	5.20 ± 0.50	4.94 ± 0.47	$7.18 \pm 0.93^*$
CV	0.81 ± 0.05	0.92 ± 0.07	$0.69 \pm 0.11^*$
CV2	0.66 ± 0.03	0.62 ± 0.03	$0.50 \pm 0.05^*$
Fano	0.74 ± 0.06	0.85 ± 0.09	$0.52 \pm 0.07^*$

*Different from excited and inhibited, $p < 0.05$.

sponsive neurons in their discharge rate and firing regularity parameters (Table 1). The mean discharge rate of cocaine-unresponsive neurons was higher than those detected in either cocaine-excited or cocaine-inhibited neurons (one-way ANOVA, $F_{(2,247)} = 3.43, p < 0.05$, Newman-Keuls test, $p < 0.05$). In addition, cocaine-unresponsive neurons had smaller CV, CV2, and Fano factor for baseline firing than those observed in cocaine-excited and cocaine-inhibited neurons (CV, Kruskal–Wallis $K = 10.98, p < 0.01$, Dunn’s test $p < 0.05$; CV2, one-way ANOVA, $F_{(2,247)} = 2.86, p < 0.05$; Fano factor, Kruskal–Wallis $K = 12.06, p < 0.01$, Dunn’s test, $p < 0.05$). These findings indicate that cocaine-unresponsive neurons fire faster and exhibit a more regular firing pattern than cocaine-responsive neurons.

Intravenous cocaine administration does not elicit a strong change in burst firing

Because previous studies have suggested that burst firing of DA neurons might play a role in cocaine sensitization and conditioned place preference (Zweifel et al., 2008, 2009), we determined whether the tonic changes produced by intravenous

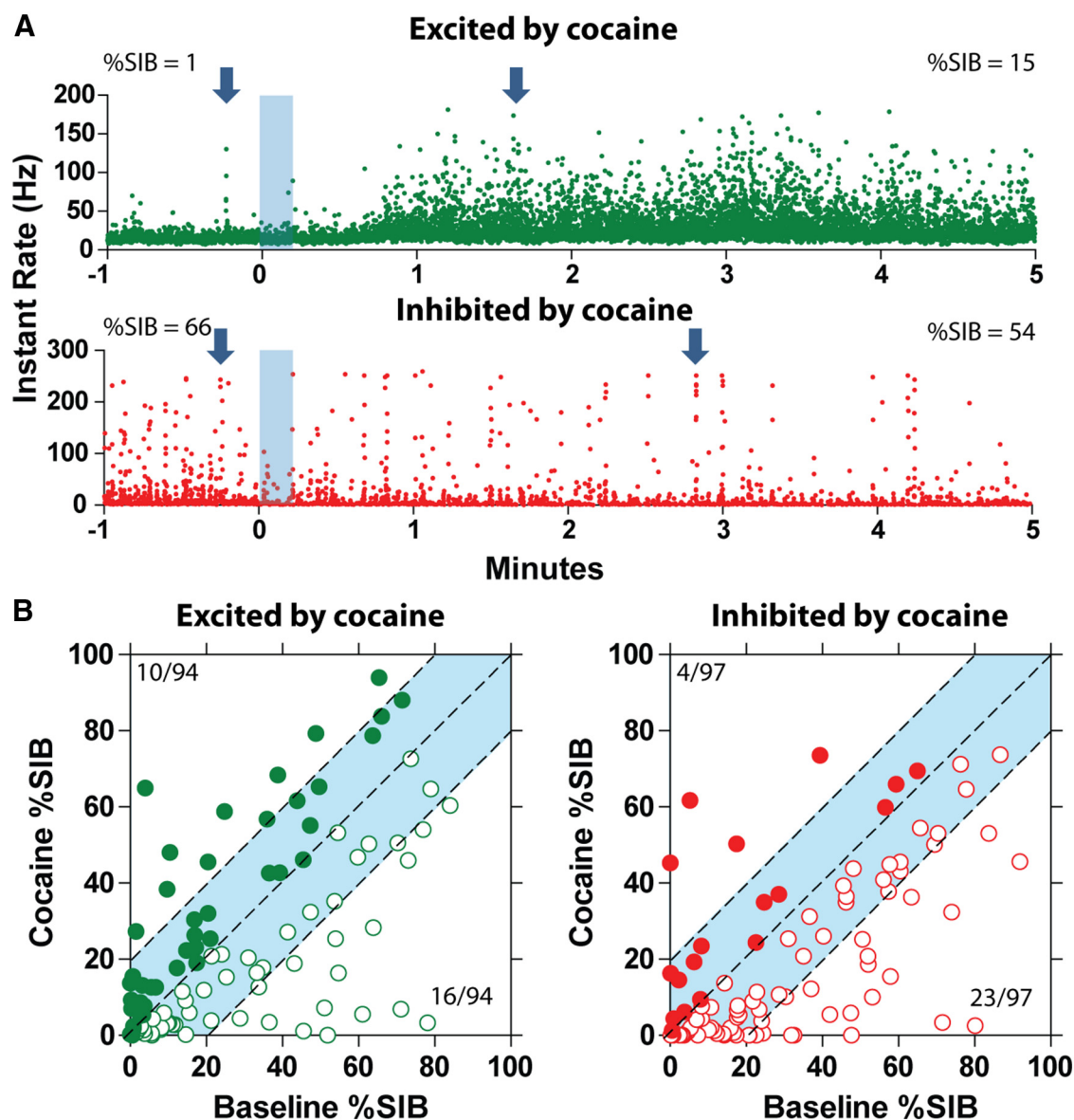


Figure 3. Burst firing in VTA neurons is mostly unperturbed by cocaine. **A**, Two sample traces. The top trace shows a cocaine-excited neuron that increases the percentage of SIB after intravenous cocaine. The bottom trace shows a cocaine-inhibited neuron that decreases the percentage of SIB after intravenous cocaine. Bursts align in columns of spikes with high-frequency rates. Example bursts are highlighted by the arrows. **B**, Baseline SIB versus cocaine SIB. The left side shows changes in burst firing in cocaine-excited neurons. The right side shows changes in burst firing in cocaine-inhibited neurons. Notice that most neurons are within <20% change in their SIB, highlighted in light blue.

cocaine alter burst firing on VTA neurons. We analyzed the percentage of spikes in burst (SIB) for each neuron before and after cocaine. Of the 203 cocaine-responsive neurons, 12 neurons were excluded from the analysis because no burst activity was observed. Of the 191 neurons analyzed, 94 were cocaine-excited neurons and 97 were cocaine-inhibited neurons. We did not find differences in SIB between the two groups (two-way ANOVA, $F_{(1,189)} = 0.077$, $p = 0.78$). However, within-group evaluation showed that, in the cocaine-excited neurons, the SIB decreased from 30.8 ± 2.6 to $19.9 \pm 2.3\%$ after cocaine exposure (Newman–Keuls test, $p < 0.05$). In cocaine-inhibited neurons, the SIB was maintained at similar levels before ($28 \pm 2.5\%$) and after ($24.5 \pm 2.5\%$) cocaine administration.

To further characterize changes in the SIB after intravenous cocaine, we subdivided the neurons into three groups: (1) neurons that exhibited >20% increase in their SIB; (2) neurons with <20% changes in their SIB; and (3) neurons that exhibited >20% decrease

in their SIB. Among cocaine-excited neurons, approximately one-quarter displayed SIB changes of >20% in magnitude; of these neurons, 11% (10 of 94) showed an increase and 17% (16 of 94) showed a decrease in their SIB. However, the majority of cocaine-excited neurons (68 of 94, 72%) showed SIB changes of <20% in magnitude (Fig. 3B, blue highlight). Among cocaine-inhibited neurons, approximately one-quarter of them exhibited SIB changes of >20% in magnitude; of these neurons, 4% (4 of 97) showed an increase and 24% (23 of 97) showed a decrease in their SIB. In the majority of cocaine-inhibited neurons (70 of 97, 72%), the SIB changes were <20% in magnitude (Fig. 3B, blue highlight).

Cocaine-inhibited neurons are more predominant in the posterior VTA

Our recordings covered medial parts of the VTA, as well as the interfascicular, rostral linear, and caudal linear nuclei of the raphe, all constituents of the DA area collectively called A10 (Dahl-

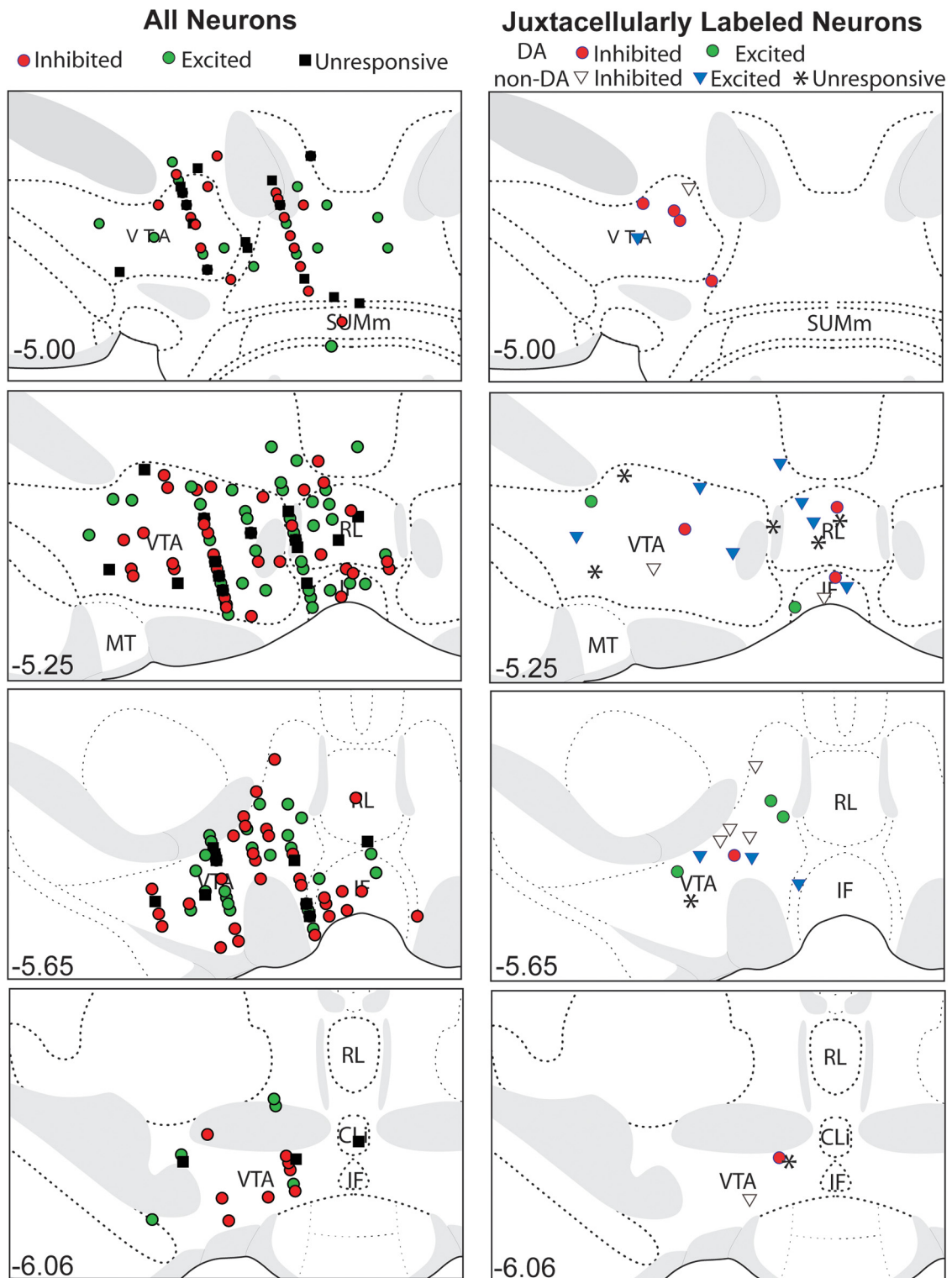


Figure 4. Placements of recorded neurons across the VTA. Anterior VTA recordings at -5.0 and -5.3 mm from bregma. Posterior VTA recordings at -5.7 and -6.0 mm from bregma. RL, Rostral linear nucleus; IF, interfascicular nucleus; SUMm, medial supramammillary nucleus; CLi, caudal linear nucleus; MT, medial terminal nucleus of the accessory optic tract.

stroem and Fuxe, 1964). Neurons located anterior to or at bregma -5.3 mm were considered to reside within the anterior VTA, and those located posterior to bregma -5.3 mm were considered to reside within the posterior VTA (Fig. 4). This categorization was selected based on previous reports showing differential actions of drug delivered either in the anterior or posterior aspects of bregma -5.3 mm (McBride et al., 1999; Ike-

moto and Wise, 2004). We found that the proportion of cocaine-inhibited neurons was higher in the posterior VTA (46 of 92) than in the anterior VTA (55 of 159; Fisher's exact test, $p < 0.05$; Fig. 5). No differences were observed in the proportion of cocaine-excited neurons present in the anterior versus the posterior portions of the VTA. We also analyzed the mediolateral axis using 0.6 mm mediolateral as the division line, but we did not

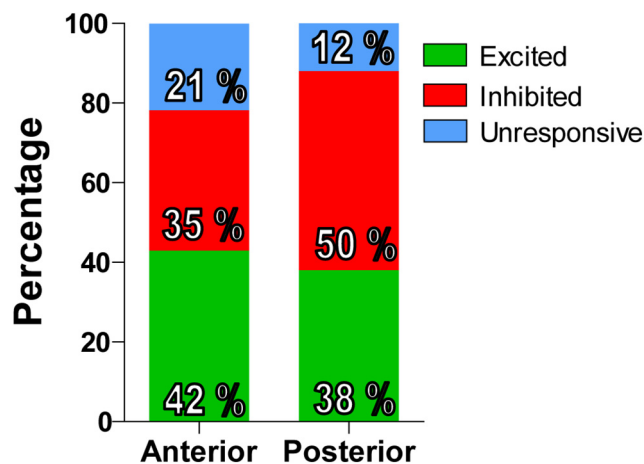


Figure 5. Anatomical differences in the proportion of cocaine-responsive neurons. The proportion of excited neurons is similar in the anterior and posterior VTA. The proportion of cocaine-inhibited neurons is higher in the posterior VTA compared with the anterior VTA.

Table 2. Summary of the onset latency and duration of excitatory and inhibitory responses of VTA neurons to footshock

	Cocaine-excited		Cocaine-inhibited	
	Excited by footshock	Inhibited by footshock	Excited by footshock	Inhibited by footshock
Responsive neurons	21 of 55 (38.2%)	7 of 55 (12.8%)	11 of 53 (20.8%)	9 of 53 (17.0%)
Onset (ms)	95.5 ± 28.2	107.1 ± 31.7	54.2 ± 16.3	77.8 ± 18.8
Duration (ms)	454.5 ± 63.8	407.1 ± 106.0	395.8 ± 66.3	238.9 ± 88.1
Magnitude (spikes)	102.1 ± 19.8	−197.0 ± 132.6	122.4 ± 42.0	−87.4 ± 18.9

detect any relationship between the mediolateral location of the recorded neurons and their response to cocaine.

Neurons excited by cocaine are more likely to be excited than to be inhibited by footpad stimulation

VTA neurons have been categorized previously with respect to their responses to noxious stimuli, such as tail pinch and footpad stimulation (Ungless et al., 2004; Luo et al., 2008). We determined whether VTA neural responses to high-intensity (10 mA, 0.5 ms) footpad stimulation correlated with any VTA neural response to cocaine. We found that, in response to footpad stimulation, 32 of 112 tested neurons were excited and 18 were inhibited (Table 2). Within the population of cocaine-excited neurons, the prevalence of neurons excited by footpad stimulation was higher than the prevalence of neurons inhibited by footpad stimulation (21 excited vs 7 inhibited, Fisher's exact test, $p = 0.0039$; Fig. 6). Within the population of cocaine-inhibited neurons, the proportion of footpad-excited neurons was similar to that of the neurons inhibited by footpad stimulation.

Cocaine responses do not correlate with the synchrony between the activity in the VTA and PFC

Previous studies have shown an interrelation between the activity of VTA and PFC neurons. Many VTA neurons fire coherently with PFC activity. Some of these neurons exhibit a slow oscillation that is dependent on PFC inputs (Gao et al., 2007). We investigated whether VTA neurons that synchronize PFC EEG are affected by intravenous cocaine. Within the cocaine-excited neurons, most of them (84.7%, 83 of 98) showed coherence with

PFC EEG within the range of 0.3–2 Hz. Similarly, coherence with PFC was found in cocaine-inhibited neurons (90.6%, 87 of 96). However, no differences were observed in the distribution of frequencies in which coherence was observed or in the phase relation between PFC and cocaine-excited or cocaine-inhibited neurons (Fig. 7E).

TH-positive and TH-negative neurons are excited by intravenous cocaine

Thirty-nine neurons were juxtacellularly labeled after characterizing their responses to intravenous cocaine. Fourteen of these were immunopositive for TH (TH-positive), whereas the other 25 were immunonegative (TH-negative). All TH-positive neurons responded to intravenous cocaine; 9 were inhibited and 5 were excited (Fig. 8A). Of the 25 TH-negative neurons, 64% responded to intravenous cocaine; 7 were inhibited and 11 were excited (Fig. 8B). Similar proportions of TH-positive and TH-negative neurons were excited by cocaine (5 of 14 TH-positive neurons, 36%; and 11 of 25 TH-negative neurons, 44%; Fisher's exact test, $p = 0.74$). However, the likelihood of TH-positive neurons to be inhibited by cocaine was higher than that of TH-negative neurons (9 of 14 TH-positive neurons, 64% vs 7 of 25 TH-negative neurons, 28%; Fisher's exact test, $p < 0.05$).

Both TH-positive and TH-negative neurons displayed similar electrophysiological characteristics. Most of the recorded neurons (31 of 39, 79%) exhibited slow discharge rates (<10 Hz) and long-duration action potentials (>2.2 ms; Fig. 9A). None of the TH-positive neurons fired at a rate exceeding 10 Hz. Firing regularity was similar across both groups. Some TH-negative neurons fired very regularly, as shown in the analysis of CV2 and Fano parameters (Fig. 9C), a characteristic that was not observed among many TH-positive neurons. Analysis of the SIB showed that most TH-positive and TH-negative neurons did not exhibit great changes in SIB [11 of 14 (79%) and 13 of 17 (76%), respectively], and SIB changed <20% in magnitude (Fig. 9D, blue highlight).

All neurochemically characterized neurons were within the A10 region: 27 within the VTA, five within the interfascicular nucleus, and six within in the rostral linear nucleus of the raphe (Fig. 4).

Five neurons excited by footpad stimulation were juxtacellularly labeled. Three of these were DA neurons excited by cocaine, one was a non-DA neuron excited by cocaine, and one was non-DA neuron inhibited by cocaine. Two neurons inhibited by footpad stimulation were juxtacellularly labeled, both non-DA: one was excited by cocaine, and the other was inhibited by cocaine. Seven neurons unresponsive to footpad stimulation were also labeled: two were DA and five were non-DA.

Discussion

In the present study, we provide *in vivo* electrophysiological and neurochemical evidence indicating that systemic administration of cocaine either excites or inhibits neurochemically identified VTA DA neurons. Previous *in vivo* electrophysiological studies have shown that putative VTA DA neurons are inhibited by systemic cocaine administration (Einhorn et al., 1988; Hinerth et al., 2000; Zhou et al., 2006). However, other studies have shown that some putative DA neurons are excited by cocaine (Brown and Kiyatkin, 2008; Koulchitsky et al., 2012; Mejias-Aponte and Kiyatkin, 2012). The importance of neurochemical identification of VTA recorded neurons is becoming apparent, because accumulating evidence indicates similar electrophysiological characteristics between VTA subpopulations of non-DA neurons and DA

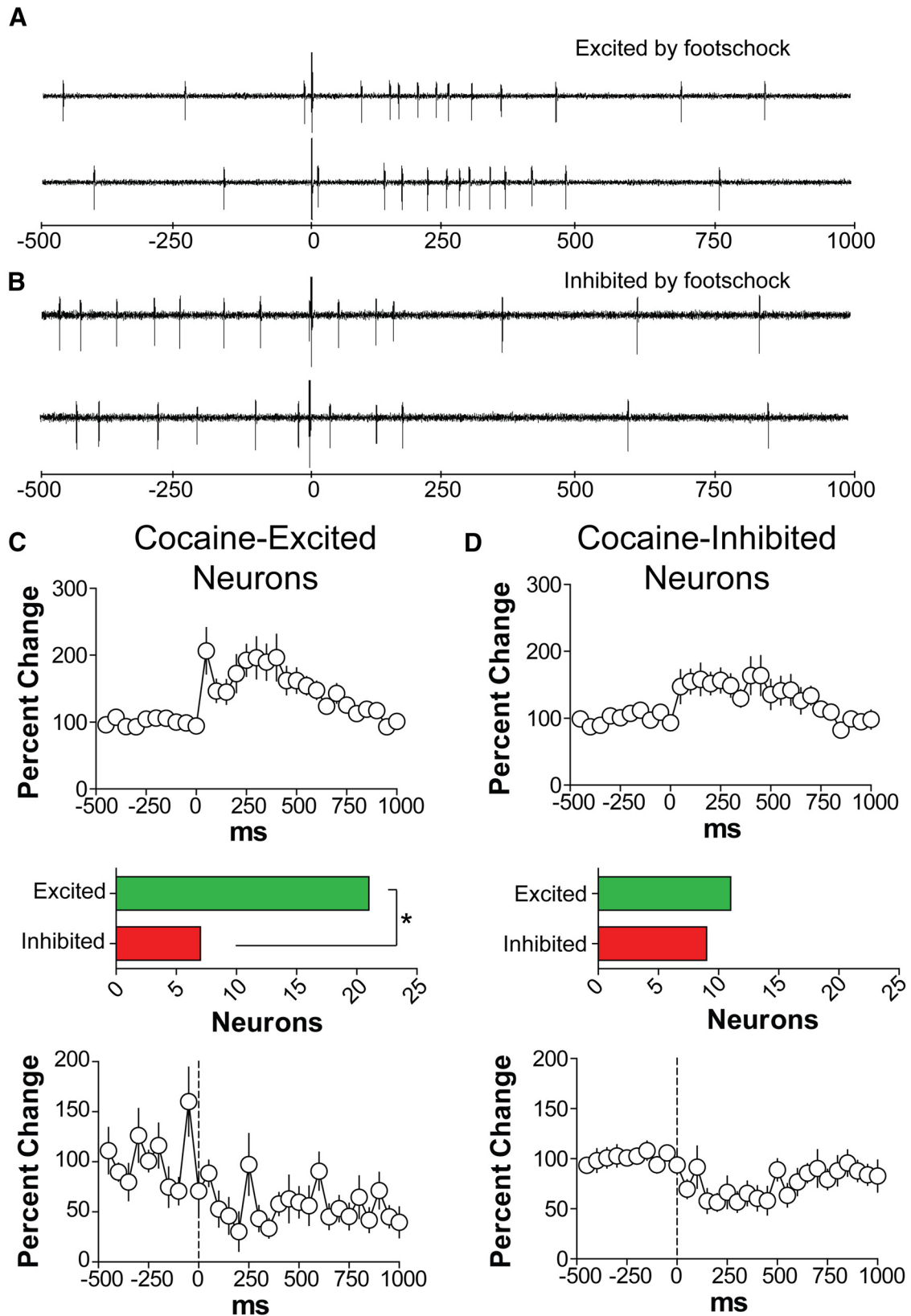


Figure 6. VTA neurons are either excited or inhibited by footpad stimulation (10 mA, 0.5 μ s at 2 Hz). *A, B*, Examples of footpad-responsive neurons, one excited and the other inhibited. *C*, Population response of cocaine-excited neurons to footpad stimulation. Notice that the proportion of excited neurons was higher than the proportion of inhibited neurons by footpad stimulation (Fisher's exact test, $p < 0.01$). *D*, Population response of cocaine-inhibited neurons to footpad stimulation. Similar proportions of neurons were excited and inhibited by footpad stimulation. Error bars are SEM.

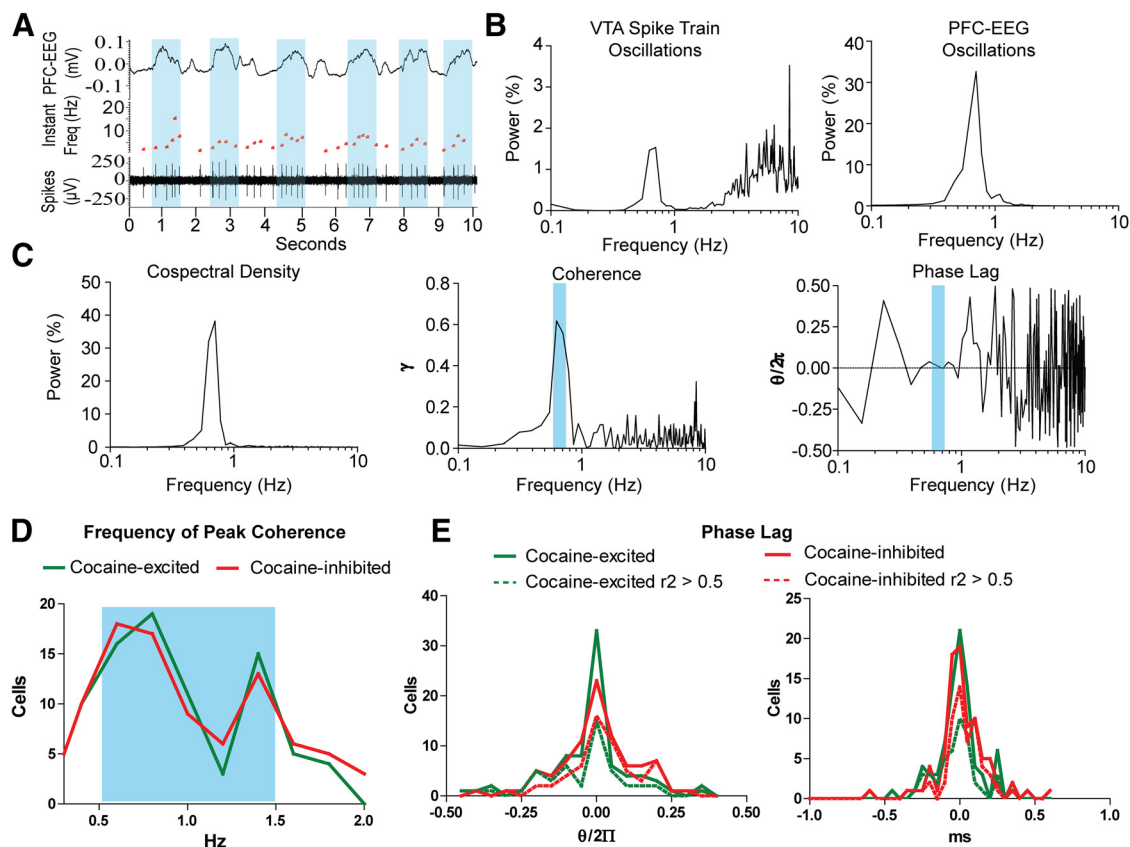


Figure 7. Synchrony between VTA cocaine-responsive neurons and PFC EEG. **A**, A VTA neuron increasing its firing during the up state of PFC EEG. Up EEG periods are highlighted in blue. Notice that the time between spikes is shorter during the up periods, exemplified with an increase in the instant rate (red trace of the record). **B**, Both the spike train and PFC EEG exhibit a slow oscillation. **C**, Cospectral density, coherence, and phase lag between VTA spike train and PFC EEG. The blue bar shows the frequency of highest coherence and its phase lag. This neuron shows an in-phase synchrony with PFC EEG. **D**, Frequency of peak coherence among cocaine-excited and cocaine-inhibited neurons. No differences are observed in their frequency distributions. **E**, Phase lag between VTA spike trains and PFC EEG oscillations. Many VTA neurons fired in-phase with PFC. No differences are observed in the distributions of cocaine-excited and cocaine-inhibited neurons.

neurons (Ungless et al., 2004; Margolis et al., 2006; Luo et al., 2008; Cohen et al., 2012). Here, we combined *in vivo* juxtacellular labeling of recorded neurons with *post hoc* neurochemical identification of TH, a marker for DA neurons, and determined that both cocaine-inhibited and cocaine-excited neurons are present among TH-positive and TH-negative neurons. Our findings indicate that DA neurons are heterogeneous in their response to cocaine and that VTA non-DA neurons exhibit responses to systemic cocaine similar to those observed among DA neurons.

Cocaine induces strong excitation in a subset of VTA neurons

Although previous *in vivo* studies had determined the effects of intravenous cocaine on preselected populations of neurons based on electrophysiological criteria (Einhorn et al., 1988; Marinelli et al., 2003; Steffensen et al., 2008), we analyzed cocaine effects on VTA neurons regardless of their basic electrophysiological properties. In addition, we determined the effects of cocaine on neurons within different subdivisions of the dopaminergic area A10, because subdivisions of this area have different cellular composition and connectivity (Dahlstroem and Fuxe, 1964; Swanson, 1982; Deutch et al., 1991; Yamaguchi et al., 2011). By recording without preselection (based on electrophysiological cellular properties), we found a similar prevalence of neurons that are excited (41%) or inhibited (41%) by cocaine. The magnitude of the inhibition is favored within the first minute after the injection, but excitation becomes a stronger component after 2 min.

These findings indicate that systemic cocaine evokes a prolonged cellular excitation within the VTA.

Both DA and non-DA neurons change their discharge rates in response to systemic cocaine

A major finding of this study is that both DA and non-DA neurons have similar responses to systemic cocaine. However, the proportions of responders in relation to cell type were different between DA and non-DA neurons. We found that, although the prevalence of TH-positive and TH-negative neurons excited by cocaine is similar, TH-positive neurons are twice as often more likely to be inhibited by cocaine than TH-negative neurons.

We found that the electrophysiological characteristics of VTA neurons are poor predictors of how these neurons may respond to cocaine or what their neurochemical phenotype may be. Cocaine-excited and cocaine-inhibited neurons have similar discharge rates and firing regularities. Cocaine-excited neurons have significantly shorter action potential durations than those of cocaine-inhibited neurons. Nevertheless, there is considerable overlap between their distributions. Similarly, within the group of juxtacellularly labeled neurons, the categories of cocaine-excited and cocaine-inhibited neurons are found within the subpopulations of TH-positive and TH-negative neurons. These four subclasses of neurons share many common electrophysiological characteristics. The only notable difference among them is in their discharge rate; some TH-negative neurons, but none of

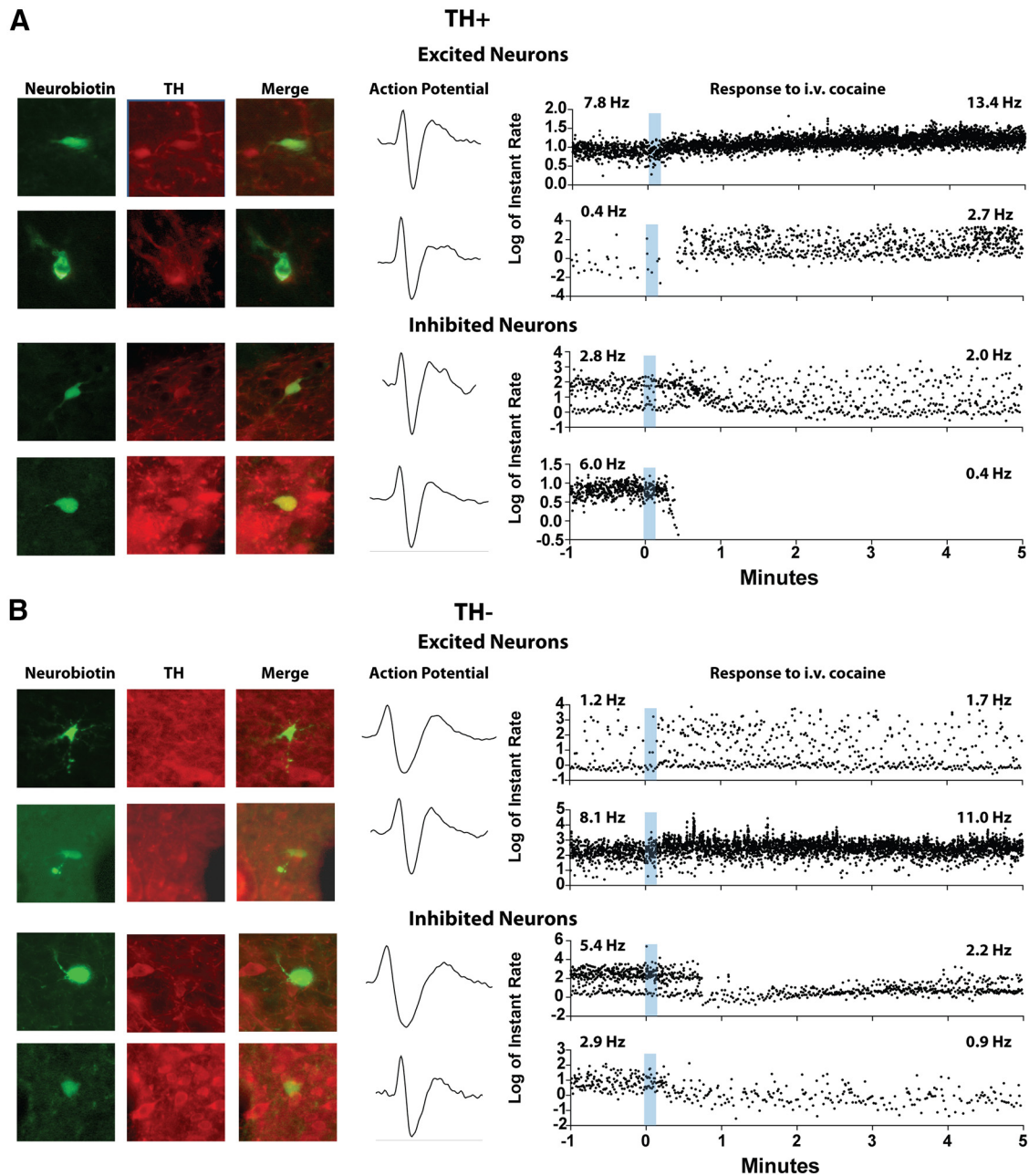


Figure 8. Examples of TH-positive and TH-negative neurons labeled after recording their responses to intravenous cocaine. **A**, TH-positive neurons. The top rows show two cocaine-excited DA neurons. The bottom rows show two cocaine-inhibited DA neurons. **B**, TH-negative neurons. The top rows show two cocaine-excited non-DA neurons. The bottom rows show two cocaine-inhibited non-DA neurons. Recording traces are shown in instantaneous rate, the time difference between subsequent action potentials.

the TH-positive neurons, fired above 10 Hz, indicating that VTA fast-firing cocaine-responsive neurons may be GABA or glutamate neurons. The lack of distinguishable electrophysiological characteristics and neurochemical type among cocaine-responsive neurons indicate that they cannot be identified based solely on their electrophysiological properties.

Contrary to cocaine-responsive neurons, cocaine-unresponsive neurons have more defined electrophysiological characteristics. These neurons have a faster and more regular firing than cocaine-excited or cocaine-inhibited neurons. Within the group of juxtacellularly labeled neurons, all cocaine-unresponsive neurons were TH negative, indicating that these neurons belong to either VTA GABA or glutamate neurons. Although a fast and regular firing is often attributed to putative GABA neurons (Steffensen et

al., 1998; Li et al., 2012), slow-firing GABA neurons have been found in the VTA (Tan et al., 2012). Moreover, the *in vivo* electrophysiological features of VTA glutamate neurons *in vivo* are unknown. Thus, the accurate determination of the phenotype of TH-negative cocaine-unresponsive neurons cannot be based currently on their cellular electrophysiological properties.

Many DA neurons are excited by systemic cocaine

We found a subset of TH-positive neurons excited by cocaine that comprises approximately one-third of the neurochemically identified DA neurons, indicating that excitation of DA neurons by intravenous cocaine is not a rare event. The excitation of DA neurons by cocaine has been suggested previously by electrochemical studies. A recent study has shown that, in the nucleus

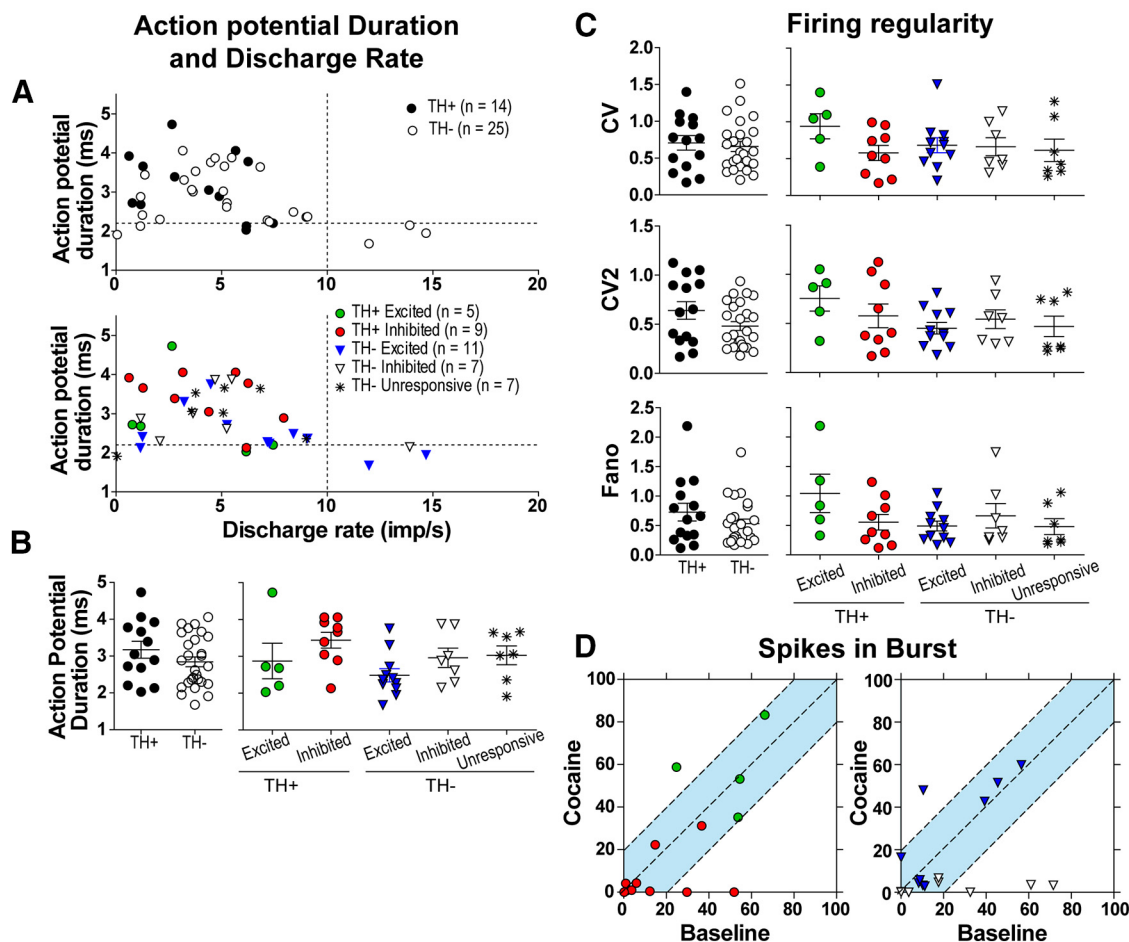


Figure 9. TH-positive and TH-negative neurons share similar electrophysiological characteristics. **A**, Scatter plot of discharge rate versus action potential duration. The dotted line placed at 10 Hz on the x-axis demarks the slow-firing from the fast-firing neurons. The dotted line placed at 2.2 ms on the y-axis demarks neurons with short-duration action potential from those with long-duration action potential. No clear clustering of neurons is observed regardless of whether the neurons are inhibited or excited by intravenous cocaine. TH-positive neurons have short- or long-duration action potentials, but none exhibits discharge rate above 10 Hz. **B**, Action potential durations. TH-positive and TH-negative neurons do not differ in their action potential durations. **C**, Firing regularity. TH-positive and TH-negative neurons do not differ in their firing regularity as determined by CV, CV2, and Fano factor. **D**, Baseline SIB versus cocaine SIB. The left side shows changes in burst firing in TH-positive neurons. The right side shows changes in burst firing in TH-negative neurons. Notice that most neurons are within the changes of < 20% in their SIB, highlighted in light blue.

accumbens, there is an increase in phasic DA release after intravenous cocaine (Aragona et al., 2008). This phasic increase is sensitive to inactivation of VTA neurons (Sombers et al., 2009), indicating that DA cell activity is necessary for this effect. These electrochemical studies also predicted an increase in burst activity phasic DA release. Consistent with this view, our findings indicate that the burst firing of VTA neurons is relatively unperturbed by cocaine, with changes in VTA burst activity rarely exceeding 20% above the baseline. In this scenario, the combined postsynaptic blockade of the DA transporter at terminals plus bursting activity in DA neurons can produce the increased number of phasic events in the nucleus accumbens observed with fast cyclic voltammetry.

Role of inputs to VTA in mediating cocaine-induced VTA neural changes

The lack of a distinctive phenotype among cocaine-responsive neurons in the VTA indicates that other factors, besides intrinsic cellular properties, are influential in determining how a given cell will respond to intravenous cocaine. Distinct inputs to specific populations of VTA neurons may drive divergent cellular responses to cocaine. Evidence in favor of the importance of inputs to the VTA in driving cellular responses to cocaine is provided by

studies showing that cocaine methiodide, a cocaine formulation unable to cross the blood–brain barrier and acting only in the periphery, evokes discharge rate increases or decreases in VTA neurons (Mejías-Aponte and Kiyatkin, 2012). The importance of inputs to the VTA in mediating the rate-changing effects of cocaine is also suggested by results showing that hemisection of the forebrain decreases the magnitude of partial inhibition evident in VTA neurons after intravenous cocaine (Einhorn et al., 1988). From these findings, we hypothesize that inputs to VTA neurons play an important role in mediating cocaine responses. To begin addressing this hypothesis, we determined whether inputs to the VTA involved in synchrony with PFC activity or those involved in responses to footpad stimulation predicted VTA responses to cocaine. Although we did not find a correlation between cocaine responses of VTA neurons and PFC activity, we found that a subpopulation of VTA neurons excited by cocaine is more likely to be excited by footpad stimulation. We suggest that some of the VTA neurons excited by cocaine and footpad stimulation receive inputs from brain structures involved in nociception, such as the parabrachial nucleus (Coizet et al., 2010). Because in addition to being rewarding cocaine has aversive properties (Resnick et al., 1977; Blanchard et al., 1999; Ettenberg, 2004), we suggest that VTA cocaine-induced excitation is likely to be related to the aver-

sive properties of cocaine. Of the neurons excited by footpad stimulation, three were confirmed DA neurons that were also excited by cocaine, thus indicating that cocaine-excited DA neurons are also sensitive to noxious stimulus.

Systemic cocaine increases phasic DA release in the nucleus accumbens (Aragona et al., 2008; Sombers et al., 2009). This cocaine-induced phasic release of DA appears to be mediated, in part, by NMDA-dependent burst firing of DA neurons, because pharmacological inactivation of NMDA glutamate receptors within the VTA decreases phasic DA release induced by cocaine. Here, we found that the busting activity of most of the VTA neurons, including most DA neurons, is not altered after intravenous cocaine administration. These findings suggest that the glutamate inputs driving burst firing in the VTA neurons are not inactivated by systemic cocaine.

In summary, we found that systemic cocaine evokes either excitation or inhibition among VTA neurons. Cocaine induces excitation in both DA and non-DA neurons. Multiple studies indicate that cocaine-induced DA release in the nucleus accumbens is mediated, in part, by interactions between cocaine and the DA transporter. However, the demonstration that a subset of DA neurons is excited by cocaine indicates that cocaine-induced increases of DA release in the nucleus accumbens is produced not only by the effects of cocaine on DA transporters but also by cocaine-induced excitation of VTA DA neurons.

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