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## Altered neuronal activity relationships between the pedunculopontine nucleus and motor cortex in a rodent model of Parkinson's disease

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### Abstract

The pedunculopontine nucleus (PPN) is a new deep brain stimulation (DBS) target for Parkinson's disease (PD), but little is known about PPN firing pattern alterations in PD. The anesthetized rat is a useful model for investigating the effects of dopamine loss on the transmission of oscillatory cortical activity through basal ganglia structures. After dopamine loss, synchronous oscillatory activity emerges in the subthalamic nucleus and substantia nigra pars reticulata in phase with cortical slow oscillations. To investigate the impact of dopamine cell lesion-induced changes in basal ganglia output on activity in the PPN, this study examines PPN spike timing with reference to motor cortex (MCx) local field potential (LFP) activity in urethane- and ketamine-anesthetized rats. Seven – ten days after unilateral 6-hydroxydopamine lesion of the medial forebrain bundle, spectral power in PPN spike trains and coherence between PPN spiking and PPN LFP activity increased in the ~1 Hz range in urethane-anesthetized rats. PPN spike timing also changed from firing predominantly in-phase with MCx slow oscillations in the intact urethane-anesthetized rat to firing predominantly antiphase to MCx oscillations in the hemi-parkinsonian rat. These changes were not observed in the ketamine-anesthetized preparation. These observations suggest that dopamine loss alters PPN spike timing by increasing inhibitory oscillatory input to the PPN from basal ganglia output nuclei, a phenomenon that may be relevant to motor dysfunction and PPN DBS efficacy in PD patients.

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## Keywords

pedunculopontine nucleus; Parkinson's disease; motor cortex; oscillations; local field potential; dopamine; deep brain stimulation; basal ganglia; urethane; ketamine

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## Introduction

The pedunculopontine nucleus (PPN) has robust connections with the basal ganglia (Spann and Grofova, 1989, 1991; Lavoie and Parent, 1994a, 1994c; Shink et al., 1996, 1997), thalamus (Lavoie and Parent, 1994b), cerebellum (Hazrati and Parent, 1992), and spinal cord (Spann and Grofova, 1989) and has been suggested to play a key role in the control of posture and locomotion (Masdeu et al., 1994; Lee et al., 2000; Pahapill and Lozano, 2000). The PPN has also recently emerged as a target for deep brain stimulation (DBS) in Parkinson's disease (PD) and early DBS results show amelioration of medically intractable akinesia and gait abnormalities (Mazzone et al., 2005; Plaha and Gill, 2005; Stefani et al., 2007).

However, there is conflicting information about the effects of dopamine depletion on PPN neuronal activity in PD. Non-human primate and patient data suggest that the PPN is over-inhibited in PD. While excitotoxic lesions of the PPN in normal primates cause akinesia (Kojima et al., 1997; Munro-Davies et al., 1999; Matsumura and Kojima, 2001), low-frequency (2.5-10 Hz) stimulation (Jenkinson et al., 2004) or pharmacological disinhibition (Nandi et al., 2002) of the PPN can dramatically improve motor behavior in parkinsonian MPTP-lesioned primates. Stimulation in the 10-25 Hz range, generally thought to drive local neuronal activity, is also effectively used in the PPN to ameliorate motor symptoms in PD patients (Mazzone et al., 2005; Plaha and Gill, 2005; Stefani et al., 2007). However, in contrast to the non-human primate and patient results, rodent studies suggest either excitation of the PPN (Breit et al., 2001, 2005; Jeon et al., 2003), inhibition of the PPN (Florio et al., 2007; Gomez-Gallego et al., 2007), or no change in PPN neuronal activity following dopamine cell lesion (Mena-Segovia et al., 2005; Heise and Mitrofanis, 2006).

The urethane-anesthetized rat has been shown to be a useful model for investigating the effects of dopamine loss on the transmission of oscillatory cortical activity through basal ganglia structures. Cortical activity in the urethane-anesthetised rat is highly synchronized, characteristically oscillates at ~1Hz, and is relatively stable over time (Steriade et al., 1993; Contreras and Steriade, 1995, 1997; Amzica and Steriade, 1998). Many studies have shown changes in firing pattern and increased oscillatory activity in the basal ganglia following dopamine cell lesion in the anesthetized rat (Sanderson et al., 1986; MacLeod et al., 1990; Hollerman and Grace, 1992; Burbaud et al., 1995; Hassani et al., 1996; Murer et al., 1997; Rohlfis et al., 1997; Tseng et al., 2000, 2001a, 2001b; Perier et al., 2000; Vila et al., 2000; Magill et al., 2001; Ni et al., 2001; Belluscio et al., 2003; Tai et al., 2003; Walters et al., 2005, 2007; Parr-Brownlie et al., 2007; Zold et al., 2007). Recently, this cortical oscillatory activity has been used as a probe signal in the urethane-anesthetized rat to investigate the effects of dopamine loss on the phase relationships between oscillatory activity in the external segment of the globus pallidus (GPe), the substantia nigra pars reticulata (SNpr),

the subthalamic nucleus (STN), and the striatum (Walters et al., 2007). Increases in slow oscillations (0.3–2.5 Hz) in firing rate emerged in all structures following dopamine cell lesion (Walters et al., 2007). Phase relationships of oscillatory activity in the GPe, STN, and SNpr suggest that the increased incidence of oscillatory activity in the SNpr is supported by the convergence of antiphase inhibitory oscillatory input from the GPe and excitatory oscillatory input from the STN (Walters et al., 2007).

It is unknown whether the oscillatory cortical activity that is transmitted through basal ganglia structures following dopamine loss is also transmitted to the PPN. Evidence of a connection between the PPN and the motor cortex (MCx) in non-human primates (Matsumura et al., 2000) and the recent evidence of this connection in humans (Aravamuthan et al., 2007; Muthusamy et al., 2007) suggest that this direct transmission of cortical oscillations is possible. Cortical oscillatory activity could also be transmitted to the PPN indirectly via the basal ganglia. Most input to the PPN comes from three basal ganglia regions. The internal segment of the globus pallidus (GPi) and SNpr send inhibitory efferents to the PPN (Shink et al., 1996, 1997) while excitatory input comes predominantly from the STN (Spann and Grofova, 1991). Therefore, convergent excitatory oscillatory input from the STN and inhibitory oscillatory input from the GPi/SNpr (Walters et al., 2007) may shape oscillatory activity in the PPN in PD.

The aim of the present study was to investigate whether loss of dopamine affects the relationship between neuronal activity in the PPN and MCx in rats under urethane or ketamine-xylazine anesthesia. Effects of dopamine cell lesion on spike timing relationships between PPN and MCx were assessed by simultaneously recording spike trains in the PPN and local field potential (LFP) activity in the MCx and PPN in anesthetized intact rats and in the lesioned hemisphere of hemi-parkinsonian rats 7 – 10 days after a unilateral injection of 6-OHDA into the medial forebrain bundle.

## Methods

All experiments were conducted in accordance with the NIH Guide for Care and Use of Laboratory Animals and approved by the NINDS Animal Care and Use Committee. Every effort was made to minimize the number of animals used and their discomfort.

### Nigrostriatal lesions and behavioral testing

Male Sprague–Dawley rats (Taconic Farms, Rockville, MD, USA) weighing 275–325 g at the time of surgery were anesthetized with ketamine (100 mg/kg, i.p.) and xylazine (10 mg/kg, i.p.) and placed in a stereotaxic apparatus with the incisor bar at –3.5 mm. Ocular lubricant Lacrilube was applied to prevent corneal drying. A hole was drilled in the skull above the appropriate coordinates and a 27-gauge injection cannula lowered to the left medial forebrain bundle: 4.4 mm anterior to the lambdoid suture, 1.2 mm lateral to the sagittal suture and 8.3 mm ventral to the surface of the skull. Six  $\mu\text{g}$  of 6-OHDA HBr in 3  $\mu\text{l}$  of 0.9% saline containing 0.01% ascorbic acid were infused via the cannula over 3 min. The cannula was left in place for 3 min after the infusion. Rats were injected with desmethylimipramine (15 mg/kg, i.p.) 30 min prior to the intracerebral infusion to protect noradrenergic neurons. The post-operative diet of lesioned rats was supplemented with fruit

and enriched gelatin to maintain weight. Five to seven days after surgery, rats were screened for lesion efficacy by step testing (Olsson et al., 1995). Only rats that demonstrated a strong lesion effect in behavior (number of steps by contralateral limb/number of steps by ipsilateral limb < 5%, previously shown to correlate with 99% loss of dopamine in the striatum ipsilateral to the lesioned hemisphere (Parr-Brownlie et al., 2007)) were used for electrophysiology.

### Surgical and recording procedures

Recordings were made in intact rats and in rats with unilateral 6-OHDA-induced dopamine cell lesions 7 to 10 days after the lesioning surgery. Extracellular unit activity and LFPs of PPN neurons were recorded through the same electrode in intact rats or in lesioned rats ipsilateral to the dopamine cell lesion. PPN activity was also simultaneously recorded with LFP activity in the ipsilateral MCx. Recordings were conducted in rats under either urethane anesthesia (1.6 g/kg i.p. initially, with additional supplements as needed) or ketamine-xylazine anesthesia (100 mg/kg ketamine and 10 mg/kg xylazine i.p., with supplemental doses of ketamine as needed). LFP activity also provided an indication of depth of anesthesia. Anesthesia was maintained at levels sufficient to eliminate reflexive responses and whisking movements but not induce burst suppression patterning (where LFP activity would be mainly oscillating at frequencies < 0.3 Hz).

Rats were placed into a stereotaxic apparatus (David Kopf, Tujunga, CA, USA), the scalp reflected, and holes drilled in the skull over the target areas. Body temperature was maintained at 36–38 °C using a heating pad. With micromanipulators (MO-8, Narishige, East Meadow, NY, USA), single barrel glass recording electrodes filled with 2% Pontamine Sky Blue dye in 2 M NaCl were lowered through craniotomies to the PPN or MCx. Spike trains and LFPs were recorded with microelectrodes with 3–4 M $\Omega$  resistances (measured at 135 Hz) with tip diameter of 1–2  $\mu$ m. Electrode location varied in the PPN without consistent placement in a specific subregion. MCx LFP recording sites were targeted to layer V (1.6 mm anterior and 2.2 mm lateral from bregma and 2.0 mm below the surface of the cortex). Recording sites were similarly distributed in the lesioned and intact hemispheres (Fig. 1A).

Extracellularly recorded action potentials were amplified (World Precision Instruments Duo 773, World Precision Instruments, Sarasota, FL, USA) and monitored on digital oscilloscopes (Hewlett-Packard, Palo Alto, CA, USA) and audio monitors (Grass, West Warwick, RI, USA). Spikes and LFPs were sampled at 25 kHz and 1000 Hz and band pass filtered at 250–5000 Hz and 0.1–100 Hz, respectively. Discriminated signals were collected on a PC with a CED interface and Spike2 data acquisition and analysis software (Cambridge Electronic Design, Cambridge, UK). All waveforms were biphasic. Final recording sites were marked by iontophoresis of dye with 40 min of constant current injection (approximately -20  $\mu$ A). Rats were sacrificed, brains were removed and frozen, and 20  $\mu$ m brain sections were mounted on subbed slides for histological examination. Only units confirmed as being within the target nucleus by location of dye deposit and/or electrolytic lesion were analyzed. When multiple recording sites were investigated, prior recording sites were reconstructed from the coordinates of the blue dye deposit or electrolytic lesion. The

location of recording sites within the PPN was confirmed by staining the slices for acetylcholinesterase (Fig. 1B, Paxinos and Watson, 1986). Slides were incubated overnight in a 4 mM CuSO<sub>4</sub>, 16 mM glycine, and 0.4 mM acetylthiocholine-iodide solution in 50 mM Na<sup>+</sup>CH<sub>3</sub>COO<sup>-</sup> buffer (pH 5.0). The solution was also supersaturated with ethopropazine, a butyrylcholinesterase inhibitor. Slides were rinsed in water and the tissue fixed in formaldehyde overnight. The tissue was then dehydrated in ethanol, rinsed in Histo-Clear, and coverslipped with Permount.

## Data analysis

For data analyses, 300 s of spike train and LFP data were isolated from baseline recordings. These epochs were selected from the 5–10 min of baseline activity, were representative of neuronal activity, and free from artifacts. In addition to determining firing rate, spiking and LFP activity were analyzed for significant oscillatory activity maintained over time within the frequency range of 0.3–2.5 Hz. LFPs were smoothed to 20 Hz and high pass filtered at 0.2 Hz. Spike channels were converted from event channels to waveform channels with a 20 Hz sampling rate using a Spike2 script. Fast Fourier transform (FFT) analyses were used to determine the dominant frequency in the spike train and LFP for each 300 s data segment using a block size of 256 (yielding a frequency resolution of 0.078 Hz). Coherence between spiking and LFP activity and coherence between LFP activity in the two recording locations were examined as well using a script in Spike2. Each 300s data segment was binned at 50 ms, using a block size of 256 yielding 23 non-overlapping 12.8 s windows. For these parameters, coherence >0.127 was considered significant ( $P < 0.05$ ), as determined by the equation  $1 - (1 - \alpha)^{1/(L-1)}$ , where  $\alpha$  is 0.95 and  $L$  is the number of windows used (Rosenberg et al., 1989). In order to determine whether there were differences in amplitude between LFPs, the root mean square (RMS) of the amplitude of the LFP for each 300 s epoch was calculated. As all signals are corrected for any DC offset, the mean of the LFP signal is ~0 mV. Therefore, the RMS about the mean LFP amplitude (equal to the standard deviation of LFP amplitude) serves as a good measure of neuronal activity (Goldberg et al., 2004; Moran et al., 2006; Zaidel et al., 2008).

LFP power spectra, LFP total power between 0.3-2.5 Hz, the main peak frequencies in LFP power spectra, the RMS of LFP amplitude, and LFP-LFP coherence spectra were averaged by rat while spike power spectra, spike total power, and spike-to-LFP coherence spectra were averaged across all cells. In order to ensure that LFP power spectra and LFP total power comparisons were evaluated between rats at similar levels of anesthesia, recordings with total power values outside of a 2 standard deviation (SD) range from the mean total power (between 0.3-2.5 Hz, 0.3-1.2 Hz, or 1.2-2.5 Hz, averaged across rats) were excluded from analysis. All LFP recordings excluded using the above criterion were also excluded from LFP-LFP coherence analyses.

Phase relationships between spikes and LFPs were assessed using spike-triggered waveform averages (STWAs) and plotted as polar histograms. LFP channels were smoothed to 20 Hz and band pass filtered at 0.3–2.5 Hz. Spike-triggered waveform averages were calculated for 4 s before and after the spike trigger over a 300 s epoch. Spiking at the peaks and troughs of LFP oscillations was considered to be at 0° and 180° phase, respectively. STWAs were

determined to be significant if the peak-to-trough amplitude of the STWA around the time of spiking was greater than 4 SDs of the amplitude of an STWA generated from the same data using randomly distributed spikes.

Data are presented as the mean  $\pm$  standard error of the mean. Data were statistically evaluated with grouped *t*-test. Criterion of significance was  $P < 0.05$ . Statistics were generated with Microsoft Excel (Microsoft Corporation, 2002). Polar data are presented as the mean phase angle of the distribution  $\pm$  the angular deviation (analogous to SD) and as polar histograms. Distribution of phase angles was tested against the null hypothesis of a random distribution with the Rayleigh test (Batschelet, 1981). The *P*-value for the Rayleigh test indicates the degree of grouping of the polar data and depends on the strength of the phase concentration and the number of data points.

### Drugs and Staining Chemicals

Glycine, acetylthiocholine-iodide,  $\text{CuSO}_4$ ,  $\text{Na}^+\text{CH}_3\text{COO}^-$ , ethopropazine, ethanol, formaldehyde, desmethylimipramine HCl, urethane, and 6-OHDA HBr were obtained from Sigma Chemical Co. (St. Louis, MO, USA). Histo-Clear was obtained from Raymond A Lamb Inc. (Durham, NC, USA), Permout from Biomeda Corp. (Foster City, CA, USA), Pontamine sky blue from BDH Laboratory Supplies (Poole, UK), ketamine HCl from Fort Dodge Animal Health (Fort Dodge, IA, USA), and xylazine from Phoenix Pharmaceutical, Inc. (St. Joseph, MO, USA). The ocular lubricant Lacrilube was purchased from Allergan Pharmaceuticals (Irvine, CA, USA).

## Results

### PPN and MCx spike and LFP relationships in the urethane-anesthetized rat

Slow  $\sim 1$  Hz oscillations are dominant in cortical output in the urethane-anesthetized state (Steriade et al., 1993) and loss of dopamine is thought to facilitate the transmission of these oscillations through the basal ganglia network (Murer et al., 2002). This cortical oscillatory activity could also be transmitted to the PPN directly via a connection between the motor cortex and PPN (demonstrated in non-human primates and humans (Matsumura et al., 2000; Aravamuthan et al., 2007; Muthusamy et al., 2007) but not verified in rodents) or indirectly via the basal ganglia (Inglis and Winn, 1995; Lee et al., 2000; Pahapill and Lozano, 2000). To examine relationships between oscillatory activity in the PPN and MCx after dopamine cell lesion, PPN spike and PPN LFP activity were recorded simultaneously with MCx LFP activity in intact rats and in lesioned rats 7-10 days after injection of 6-OHDA into the medial forebrain bundle.

**The effect of dopamine cell lesion on PPN and MCx LFP activity**—Slow  $\sim 1$  Hz oscillations that emerge in LFP and spike trains in the basal ganglia of urethane-anesthetized rats after dopamine cell lesion have been shown to be highly coherent with slow oscillations in cortical activity (Magill et al., 2001; Tseng et al., 2001b; Belluscio et al., 2003; Parr-Brownlie et al., 2007; Walters et al., 2007; Zold et al., 2007). In the present study, PPN LFPs were significantly coherent with MCx LFPs in the  $\sim 1$  Hz range (0.3-2.5 Hz) in intact



rats ( $0.65 \pm 0.03$ ,  $n=10$  rats) and lesioned rats ( $0.52 \pm 0.06$ ,  $n=11$  rats) (Fig. 2A). Dopamine cell lesion had no effect on the coherence between PPN and MCx LFPs.

However, dopamine cell lesion did result in significant decreases in LFP power in both the MCx and PPN. PPN LFP power in the lesioned rat ( $n=11$  rats) was significantly less than PPN LFP power in the intact rat ( $n=15$  rats) in the  $\sim 1$  Hz range. Total PPN LFP power between 0.3-2.5 Hz showed a 44% decrease with dopamine cell lesion ( $0.59 \pm 0.05$   $\text{mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the intact rat vs.  $0.33 \pm 0.05$   $\text{mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the lesioned rat). In addition, PPN LFP amplitude was significantly decreased following dopamine cell lesion. The RMS of PPN LFP amplitude in the lesioned rat ( $0.08 \pm 0.01$  mV) was 31% less than that in the intact rat ( $0.11 \pm 0.01$  mV) (Fig. 2B).

Similarly, MCx LFP power in the lesioned rat ( $n=11$  rats) was significantly less than MCx LFP power in the intact rat ( $n=11$  rats) in the  $\sim 1$  Hz range, though the peak spectral frequency was not significantly different between lesioned ( $0.77 \pm 0.03$  Hz) and intact ( $0.67 \pm 0.05$  Hz) animals. Total MCx LFP power was decreased by 46% ( $15.2 \pm 1.7$   $\text{mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the intact rat vs.  $8.2 \pm 2.0$   $\text{mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the lesioned rat) and the RMS of MCx LFP amplitude was decreased by 33% with dopamine cell lesion as well ( $0.36 \pm 0.05$  mV in the lesioned rat vs.  $0.53 \pm 0.03$  mV in the intact rat) (Fig. 2C).

**The effect of dopamine cell lesion on PPN neuronal activity**—To gain further insight into processes underlying decreases in PPN LFP amplitude and examine the potential impact of oscillatory output from the basal ganglia on the PPN, rate and temporal dynamics of PPN spiking were examined. Oscillatory activity in PPN spiking was examined by converting PPN spike trains to Gaussian waveforms with a 20 Hz sampling rate and then determining the power of this waveform signal using FFT analysis. In contrast to the decreased power and amplitude observed in PPN LFP following dopamine cell lesion, increased oscillatory activity was observed in PPN spike trains. A comparison of the power in PPN spike trains revealed that spike train power in the lesioned rat was significantly greater than spike train power in the intact rat in the  $\sim 1$  Hz range (Fig. 3A). Total spike power between 0.3-2.5 Hz in the lesioned rat ( $0.52 \pm 0.12$   $\text{Hz}^3$ ,  $n=38$  cells, 11 rats) was double the total spike power in the intact rat ( $0.26 \pm 0.03$   $\text{Hz}^3$ ,  $n=59$  cells, 17 rats).

This increase in PPN spike train oscillatory activity was not associated with significant changes in PPN firing rate. Dopamine cell lesion had no significant effect on PPN firing rate and the percentages of PPN neurons categorized as very slow ( $< 1.5$  Hz), slow (1.6-4.4 Hz), medium (4.5-9.4 Hz), or fast ( $> 9.5$  Hz) firing were comparable between the intact and lesioned preparations. In the intact animal, the mean firing rate in the PPN ( $n=59$  cells, 17 rats) was  $3.8 \pm 0.5$  Hz and ranged from 0.01 – 13.8 Hz with 93% of all cells firing at rates less than 9.5 Hz. The mean PPN firing rate in the lesioned rat ( $n=38$  cells, 11 rats) was  $5.8 \pm 1.3$  Hz and ranged from 0.1 – 39.8 Hz with 85% of all cells firing at rates less than 9.5 Hz (Fig. 3B).

**The relationship between PPN spiking and LFP activity in the PPN and MCx**—Consistent with increased oscillatory activity in PPN spike trains, coherence between PPN spiking and LFP oscillatory activity in the PPN and MCx increased in the  $\sim 1$  Hz range

following dopamine cell lesion; PPN spiking and LFP oscillatory activity, which were not significantly coherent in the intact rat, became significantly coherent following dopamine cell lesion. Mean coherence between PPN spiking and PPN LFP increased by 50% with dopamine cell lesion from  $0.10 \pm 0.01$  in the intact animals ( $n=59$  cells, 17 rats) to  $0.15 \pm 0.02$  in the lesioned animals ( $n=38$  cells, 11 rats) (Fig. 4A). In addition, coherence between PPN spiking and MCx LFP activity was significantly greater in the lesioned rat in the  $\sim 1$  Hz range and mean coherence between PPN spiking and MCx LFP activity increased by 73% from  $0.11 \pm 0.09$  in the intact rat ( $n=42$  cells, 12 rats) to  $0.19 \pm 0.02$  in the lesioned rat ( $n=38$  cells, 11 rats). This increased coherence between spiking and LFP activity, in addition to the increased oscillatory activity in PPN spike trains in the  $\sim 1$  Hz range, predict increased phase locking between PPN spiking and LFP activity following dopamine cell lesion (Fig. 4B).

Consistent with this prediction, significant phase locking between PPN spiking and LFP oscillations was evident in both the intact and lesioned rats. In the intact rat, PPN spiking occurred predominantly at the troughs (periods of peak negativity or greatest depolarization) of PPN and MCx LFP oscillations. The phase angles between PPN spiking and PPN LFP oscillations were significantly unimodally clustered around  $180 \pm 62^\circ$  ( $n=54$  cells, 16 rats) with 76% of PPN cells firing in the troughs of PPN LFP oscillations (exhibiting phase angles between  $90$  and  $270^\circ$ ). Similarly, the phase angles between PPN spiking and MCx LFP activity were significantly unimodally clustered around  $180 \pm 69^\circ$  ( $n=37$  cells, 12 rats) with 71% of all cells firing in the troughs of MCx LFP oscillations. This suggests that PPN firing occurs in phase with firing in the MCx in the intact rat (Fig. 5A) since cortical firing also tends to occur at the troughs of cortical LFPs (Murthy and Fetz, 1996a, 1996b; Donoghue et al., 1998; Destexhe et al., 1999; Parr-Brownlie et al., 2007; Rasch et al., 2008).

Dopamine cell lesion resulted in significantly different spike-timing relationships between PPN spiking and LFP oscillatory activity. In contrast to the trough-locked firing observed in intact animals, PPN firing tended to occur at the peaks (periods of least depolarization) of LFP oscillations in the lesioned rats. The phase angles between PPN spiking and PPN LFP oscillations were significantly unimodally clustered around  $0 \pm 65^\circ$  ( $n=37$  cells, 11 rats) with 68% of all cells firing in the peaks of PPN LFP oscillations (exhibiting phase angles that are less than  $90^\circ$  and greater than  $270^\circ$ ). The phase angles between PPN spiking and MCx LFP were also significantly unimodally clustered around  $1 \pm 68^\circ$  ( $n=39$  cells, 11 rats) with 62% of all cells firing in the peaks of MCx LFP oscillations. This demonstrates a predominantly antiphase relationship between spiking in the PPN and MCx following dopamine cell lesion (Fig. 5B).

### **PPN and MCx spike and LFP relationships in the ketamine-anesthetized rat**

Ketamine, a common anesthetic and non-competitive NMDA antagonist, is often used in conjunction with xylazine as an anesthetic or as an anesthetic supplement to urethane during rat electrophysiological recordings (Rudolph and Antkowiak, 2004; Wolff and Winstock, 2006). Ketamine differs from urethane in its mechanism of action and has also been shown to induce slow oscillations in the cortex but at somewhat faster frequencies (Magill et al., 2000; Fontanini et al., 2003; Musizza et al., 2007). To study the effects of dopamine cell lesion in this different commonly-used anesthetic preparation, PPN spiking, PPN LFP, and



MCx LFP were examined in intact and hemi-parkinsonian ketamine-xylazine anesthetized rats.

Similar to results observed with urethane anesthesia, coherence between PPN and MCx LFPs in the 0.3-2.5 Hz range was significant in both intact and lesioned ketamine-anesthetized rats with mean coherence at  $0.41 \pm 0.03$  in the intact animals (n=9 rats) and at  $0.44 \pm 0.02$  in the lesioned animals (n=10 rats) (Fig. 6A). However, in contrast to the urethane-anesthetized preparation, PPN LFP power ( $0.50 \pm 0.08 \text{ mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the intact rat and  $0.40 \pm 0.03 \text{ mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the lesioned rat) and the RMS of LFP amplitude ( $0.11 \pm 0.01 \text{ mV}$  in the intact rat and  $0.10 \pm 0.01 \text{ mV}$  in the lesioned rat) did not differ significantly between the intact (n=9 rats) and lesioned ketamine-anesthetized animals (n=10 rats) (Fig. 6B). MCx LFP power ( $9.1 \pm 1.5 \text{ mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the intact rat and  $8.7 \pm 1.5 \text{ mV}^2 \cdot \text{Hz} \cdot 10^{-3}$  in the lesioned rat) and the RMS of LFP amplitude ( $0.42 \pm 0.05 \text{ mV}$  in the intact rat and  $0.44 \pm 0.04 \text{ mV}$  in the lesioned rat) also did not differ significantly between the intact (n=9 rats) and lesioned ketamine-anesthetized animals (n=12 rats) (Fig. 6C). As expected, ketamine anesthesia induced higher frequency cortical oscillations than did urethane, though there was no significant difference in peak spectral frequency between intact ( $1.66 \pm 0.07 \text{ Hz}$ ) and lesioned ( $1.64 \pm 0.07 \text{ Hz}$ ) animals.

Also in contrast to the results obtained in the urethane-anesthetized preparation, dopamine cell lesion had no effect on oscillatory activity in PPN spike trains in the ketamine-anesthetized rat. Mean total PPN spike power between 0.3-2.5 Hz was  $0.77 \pm 0.16 \text{ Hz}^3$  in the intact rat (n=37 cells, 9 rats) and  $0.65 \pm 0.14 \text{ Hz}^3$  in the lesioned rat (n=38 cells, 12 rats) (Fig. 7A). PPN firing rates were also comparable between the dopamine cell-lesioned and intact ketamine-anesthetized animals. In the intact rat, the mean firing rate in the PPN (n=37 cells, 9 rats) was  $5.2 \pm 0.9 \text{ Hz}$  and ranged from 0.1 – 18.3 Hz with 93% of all cells firing at rates less than 9.5 Hz. The mean PPN firing rate in the lesioned rat (n=38 cells, 12 rats) was  $5.1 \pm 1.0 \text{ Hz}$  and ranged from 0.1 – 31 Hz with 95% of all cells firing at rates less than 9.5 Hz (Fig. 7B).

Finally, the spike-LFP relationships observed in the urethane-anesthetized preparation were also not observed in the ketamine-anesthetized preparation. There was no change in the coherence between PPN spiking and PPN LFP or MCx LFP oscillations following dopamine cell lesion in the ketamine-anesthetized animals. Mean coherence between PPN spiking and PPN LFP activity was  $0.15 \pm 0.02$  in the intact rat (n=37 cells, 9 rats) and  $0.17 \pm 0.02$  in the lesioned rat (n=38 cells, 12 rats) (Fig. 8A). Mean coherence between PPN spiking and MCx LFP activity was  $0.18 \pm 0.02$  in the intact rat (n=37 cells, 9 rats) and  $0.19 \pm 0.02$  in the lesioned rat (n=38 cells, 12 rats) (Fig. 8B). PPN spike timing relationships with PPN LFP or MCx LFP oscillations were also not evident in either the intact or lesioned animals; the distribution of phase relationships between PPN spiking and PPN LFP (n=38 significantly oscillatory cells in 9 intact rats, 32 cells in 12 lesioned rats) or MCx LFP (n=36 cells in 9 intact rats, 35 cells in 12 lesioned rats) was not significantly unimodally or bimodally clustered (Fig. 8C).

## Discussion

The robust connections between the PPN and basal ganglia indicate that the PPN will be affected by alterations in basal ganglia output after dopamine cell death. The aim of this study was to explore this issue by determining how loss of dopamine affects PPN activity and its relationship to slow oscillatory activity in the MCx in a rodent model of PD. Both urethane and ketamine-xylazine anesthetized preparations were used to examine this relationship 7-10 days after unilateral 6-OHDA-induced dopamine cell lesion. Oscillatory activity in PPN spike trains and coherence between PPN spiking and PPN LFP were increased after dopamine cell lesion in the urethane-anesthetized preparation. In addition, changes in PPN spike timing with respect to MCx LFP slow oscillations were observed. Viewed in the context of the oscillatory activity that emerges in basal ganglia nuclei following dopamine cell lesion, these results suggest that the increased oscillatory activity in basal ganglia output is transmitted to the PPN most directly via inhibitory oscillatory output from the SNpr or GPi. These changes in spike timing and oscillatory activity were not observed in rats anesthetized with ketamine/xyzazine, suggesting that the NMDA antagonist properties of ketamine may attenuate some of the effects of dopamine cell lesion.

### **Predicted effect of basal ganglia output on PPN activity after dopamine cell lesion in the urethane-anesthetized rat**

Dopamine depletion increases transmission of oscillatory activity from the cortex to the basal ganglia via the striatum (Murer et al., 2002). Consistent with this phenomenon, increases in burstiness and oscillatory activity have been shown in spike trains of several basal ganglia nuclei following dopamine cell lesion in the anesthetized rat model of PD (Sanderson et al., 1986; MacLeod et al., 1990; Hollerman and Grace, 1992; Burbaud et al., 1995; Hassani et al., 1996; Murer et al., 1997; Rohlfis et al., 1997; Magill et al., 2000; Tseng et al., 2000, 2001a; Perier et al., 2000; Vila et al., 2000; Ni et al., 2001; Belluscio et al., 2003; Tai et al., 2003; Walters et al., 2005, 2007; Parr-Brownlie et al., 2007; Zold et al., 2007).

The effect of oscillatory output from the basal ganglia on spike timing in the PPN is an interesting issue with respect to mechanisms underlying motor dysfunction in PD as the PPN has been suggested to play a role in the control of gait (Garcia-Rill et al., 1985, 1987; Reese et al., 1995) and is in a position to be affected by DBS of the STN (Florio et al., 2007; Stefani et al., 2007). Since the PPN is strongly interconnected with both the STN (Spann and Grofova, 1991) and SNpr (Shink et al., 1996, 1997), the oscillatory outputs of these nuclei are likely to affect PPN spike timing. However, as increased oscillatory input to the PPN from the STN is excitatory and in phase with increased inhibitory oscillatory input from the SNpr (Walters et al., 2007), these temporally coincident oscillatory inputs might effectively cancel each other in the PPN at the level of individual neurons or at the level of the overall PPN neuronal population.

The present results show that PPN spiking activity becomes more oscillatory in the urethane-anesthetized rat. Mean power in PPN spike trains in the ~1 Hz range significantly increased following dopamine cell lesion and total power in PPN spike trains in the 0.3-2.5 Hz range doubled. Furthermore, coherence between PPN spiking and PPN LFP and

coherence between PPN spiking and MCx LFP activity also increased significantly following dopamine cell lesion indicating that PPN spiking becomes more entrained to the slow oscillations observed in the MCx following dopamine loss in the urethane-anesthetized rat. These results are consistent with the view that phase cancellation in PPN spiking activity is not the dominant result of the coincident excitatory and inhibitory oscillatory input from the STN and SNpr/GPi.

### **Inhibitory oscillatory output from the basal ganglia output nuclei dominates phase relationships between PPN spiking and LFP activity following dopamine cell lesion in the urethane-anesthetized rat**

To further explore the relationship between oscillatory activity in the PPN and oscillatory activity in the basal ganglia and motor cortex, PPN spike-triggered MCx LFP averages were analyzed. The troughs of LFP oscillations represent the periods of greatest negative potential in the extracellular space and thus correspond to the periods of greatest membrane depolarization of recorded cells. Consistently, cortical spiking activity has been shown to predominantly occur at the troughs of cortical LFP oscillations in awake animals (Murthy and Fetz, 1996a, 1996b; Donoghue et al., 1998), in anesthetized animals (Parr-Brownlie et al., 2007; Rasch et al., 2008), and at the troughs of slow cortical LFP oscillations (<1 Hz) observed during sleep (Destexhe et al., 1999). Firing in the STN and SNpr is also locked to the troughs of MCx LFP oscillations after dopamine loss suggesting that firing in these structures is in phase with firing in the MCx (Magill et al., 2001; Tseng et al., 2001b; Murer et al., 2002; Belluscio et al., 2003; Sharott et al., 2005; Walters et al., 2007).

Changes in phase relationships observed between PPN spiking and MCx LFP activity in the urethane anesthetized preparation support the view that inhibitory oscillatory output from the basal ganglia, i.e. from the SNpr and GPi, dominates PPN spike timing following dopamine loss. In the intact urethane-anesthetized rat, PPN spiking most frequently coincided with the troughs of MCx LFP oscillations. These results suggest that input from the STN may influence PPN spike timing relationships resulting in the more frequent occurrence of trough-locked PPN firing in phase with firing in the MCx. Excitatory input to the PPN directly from the MCx could also result in PPN firing in phase with MCx firing in the intact rat. However, it is unknown whether a direct connection in the rat exists. In contrast, in the parkinsonian 6-OHDA lesioned rat, PPN spiking most frequently coincided with the peaks of MCx LFP oscillations. Since increases in bursty and oscillatory activity in the STN are associated with inphase increases in oscillatory activity in the SNpr in the lesioned rat (Walters et al., 2007), the results from this study suggest that PPN neurons are more influenced by trough-locked inhibitory input from the SNpr resulting in predominantly peak-locked PPN firing out of phase with firing in the MCx. These results also corroborate observations in PD patients and evidence from parkinsonian non-human primates that suggest that the PPN is over-inhibited in PD (Nandi et al., 2002; Jenkinson et al., 2004; Mazzone et al., 2005; Plaha and Gill, 2005; Stefani et al., 2007).

It should be pointed out that while the majority (62-76%) of PPN cells exhibited the phase locking described above (firing in phase with MCx firing in the intact rat, but antiphase to MCx firing in the lesioned rat), 24-38% of all PPN cells exhibited the opposite phase

relationship (firing antiphase to MCx firing in the intact rat but in phase with MCx firing in the lesioned rat). This diversity in spike timing within the PPN, despite the transmission of a stable  $\sim 1$ Hz cortical rhythm, is not surprising noting the numerous inputs to the PPN (Inglis and Winn, 1995; Lee et al., 2000; Pahapill and Lozano, 2000), the existence of at least three different electrophysiologically-defined PPN neuronal subtypes (Kang and Kitai, 1990; Florio et al., 2007), and the multiple neurochemically-defined PPN neuronal subtypes including cholinergic (Spann and Grofova, 1992), glutamatergic (Rye et al., 1987), dopaminergic (Rye et al., 1987), and GABAergic (Ford et al., 1995) neuronal populations.

### **Coincident antiphase oscillatory inputs to the MCx and PPN following dopamine cell lesion may result in coherent decreases in MCx and PPN LFP power in the urethane-anesthetized rat**

Since the troughs of LFPs should correspond to the periods when cells closest to the recording site are most likely to fire, one might expect PPN spiking to be predominantly trough-locked to PPN LFP oscillations in both the intact and lesioned rat. However, discrepant relationships between PPN spike timing and PPN LFP were observed in the present study. The results show that PPN spiking is predominantly peak-locked to PPN LFP oscillations in the lesioned rat. This dissociation between PPN spike timing and PPN LFP phase after dopamine cell lesion raises questions about the extent to which PPN LFP reflects local net depolarization related solely to the observed PPN spiking activity. In this context, it is interesting to note that PPN LFP activity was significantly and highly coherent with MCx LFP activity in both intact and lesioned rats and that MCx LFP amplitude observed in this study is almost 5 times larger than PPN LFP amplitude. This may be a result of the more regular organization of MCx neuronal elements and suggests that PPN LFP may not be as robust a measure of neuronal activity as MCx LFP.

The present study also demonstrated that dopamine cell lesion induced significant decreases in MCx and PPN LFP total power between 0.3-2.5 Hz in conjunction with mean decreases in LFP amplitude. Decreases in PPN LFP power are incongruent with the observed increases in synchronization in PPN firing and the increased coherence between PPN spiking and PPN LFP activity discussed above, both of which suggest that PPN LFP power should increase with dopamine cell lesion. In addition, decreases in cortical LFP in the  $\sim 1$  Hz frequency range after dopamine cell lesion is inconsistent with the idea that basal ganglia-thalamo-cortical circuits may become more entrained to the slow oscillatory rhythm after loss of dopamine (Goldberg et al., 2002, 2004; Leblois et al., 2006).

A number of factors may contribute to the decrease in power and amplitude in the PPN and MCx LFPs. Inhibitory oscillatory input from the SNpr could compete with excitatory oscillatory input from the STN resulting in the decreased PPN LFP amplitude and power observed in this study. A factor that could contribute to decreases in MCx LFP amplitude and LFP power following dopamine cell lesion is a reduction in the number of regularly firing MCx cells. As basal ganglia output from the SNpr is inhibitory and in phase with cortical activity (Murer et al., 1997; Tseng et al., 2000, 2001a; Walters et al., 2007), this inhibitory basal ganglia output might modulate excitatory thalamocortical activity and thus reduce the number of MCx cells firing synchronously in the urethane-anesthetized rat

following dopamine lesion. This hypothesis is supported by studies in animal models of PD demonstrating hypoactivity of glutamatergic thalamic projection neurons to the cortex (Palombo et al., 1988; Schwartzman et al., 1988; Mitchell et al., 1989; Gnanalingham et al., 1995; Brownell et al., 2003; Rolland et al., 2007). Evidence for attenuation of cortical output following dopamine cell lesion also supports this hypothesis. Decreased striatal dendritic length and a decrease in the number of striatal dendritic spines have been observed in 6-OHDA lesioned rats and transgenic mouse models of PD (Ingham et al., 1989, 1993, 1998; Day et al., 2006; Solis et al., 2007) and could be related to decreased connectivity and glutamatergic input to the striatum (McAllister, 2000; Day et al., 2006) from the cortex.

### **Comparison of the neurological effects of urethane and ketamine: ketamine attenuates the effects of dopamine cell lesion**

Ketamine anesthesia is associated with a faster slow oscillation in MCx LFP (Magill et al., 2000; Fontanini et al., 2003; Musizza et al., 2007) and a different mechanism of action from urethane. Results showed that PPN spike timing with respect to MCx was not significantly modulated in ketamine-xylazine anesthetized rats. Unlike in the urethane-anesthetized preparation, 6-OHDA lesion in the ketamine-anesthetized rat had no significant effect on PPN spike power, PPN or MCx LFP power, coherence between PPN spike and LFP activity, coherence between PPN LFP and MCx LFP activity, or on the phase relationships between PPN spiking and LFP oscillations.

Urethane has been shown to have a modest effect on several neurotransmitter-gated ion channels at concentrations close to that used for maintaining anesthesia (Hara and Harris, 2002) but may preferentially affect Ba<sup>2+</sup>-sensitive K<sup>+</sup> leak conductance (Sceniak and Maciver, 2006). These effects on ion channel physiology may confer urethane with its anesthetic properties. Ketamine's anesthetic effect, on the other hand, is thought to result from its action as a non-competitive NMDA-receptor antagonist (Rudolph and Antkowiak, 2004; Wolff and Winstock, 2006), which ultimately results in depression of neuronal responses by interfering with the excitatory effects of glutamate (Anis et al., 1983; Wolff and Winstock, 2006).

Ketamine may have effects similar to other NMDA antagonists such as amantadine which have effectively been used as adjuvant parkinsonian therapies (Papa et al., 1995; Papa and Chase, 1996; Blanchet et al., 1997, 2003; Verhagen et al., 1998; Luginer et al., 2000), potentially acting at the level of the STN to reduce glutamatergic output from this structure (Greenamyre and O'Brien, 1991; Allers et al., 2005).

### **Relevance to faster oscillations in PD patients**

It is important to note that the anesthetized rodent 6-OHDA lesion model of PD is not a comprehensive representation of PD and oscillatory activity in the awake human PD patient. For example, oscillations in the  $\theta$  (3-8 Hz),  $\alpha$  (8-12 Hz), and  $\beta$  (12-30 Hz) frequencies tend to dominate LFP recordings in the cortex and basal ganglia of awake PD patients (Salinas and Sejnowski, 2001; Brown, 2003) while we examined the transmission of slow  $\sim 1$  Hz oscillatory activity from the MCx to the basal ganglia and PPN in the anesthetized rodent preparation. Our results in the anesthetized animal should be interpreted conservatively with

regard to phase relationships during the transmission of faster frequency oscillations through basal-ganglia/PPN/thalamocortical networks as lags due to axonal conduction and synaptic transmission may become more relevant. However, the network dynamics observed in this study may be relevant to transmission of lower physiological frequencies such as the <30 Hz frequencies that have been associated with parkinsonian motor symptoms (Brown, 2003) and the <11 Hz frequencies that have been recently observed in PPN LFP recordings in PD patients (Androulidakis et al., 2008).

### Implications for DBS in PD patients

DBS is thought to ameliorate parkinsonian symptoms by disrupting pathological oscillations that emerge in the basal ganglia in the parkinsonian brain (Benazzouz and Hallett, 2000; Obeso et al., 2000; Brown, 2003; Brown and Williams, 2005; Wichmann and DeLong, 2006). The PPN exhibits robust connections with the basal ganglia and dopamine cell lesion has been shown to result in increased transmission of oscillatory activity from the cortex to the basal ganglia (Murer et al., 2002). Our results suggest that this increased oscillatory activity in the basal ganglia is also transmitted downstream to the PPN as evidenced by increased power in PPN spike trains following dopamine cell lesion. Therefore, similar to DBS of the STN, it is possible that DBS of the PPN works by optimally disrupting the transmission of pathological oscillations to the PPN.

DBS of the PPN could also drive PPN activity in the parkinsonian brain. Low frequency stimulation, generally thought to drive neuronal activity, is found to be most therapeutically effective when stimulating the PPN in PD patients (Mazzone et al., 2005; Plaha and Gill, 2005; Stefani et al., 2007) and studies in non-human primates suggest that the PPN is over-inhibited in PD (Kojima et al., 1997; Munro-Davies et al., 1999; Matsumura and Kojima, 2001; Nandi et al., 2002; Jenkinson et al., 2004). Our results also suggest that the PPN is dominated by inhibitory oscillatory input from the SNpr in the parkinsonian brain. Therefore, driving PPN neuronal activity via low frequency stimulation could attenuate the effects of excessive inhibitory input to the PPN in PD.

Alternatively, DBS of the PPN could also affect PPN spike timing relationships. The significant changes in PPN spike timing following dopamine cell lesion observed in this study suggest that PPN spike timing in the parkinsonian brain is potentially dysfunctional. Low frequency stimulation of the PPN, in addition to driving PPN neuronal activity, may disrupt this pathological spike timing relationship or properly synchronize PPN firing with excitatory input.

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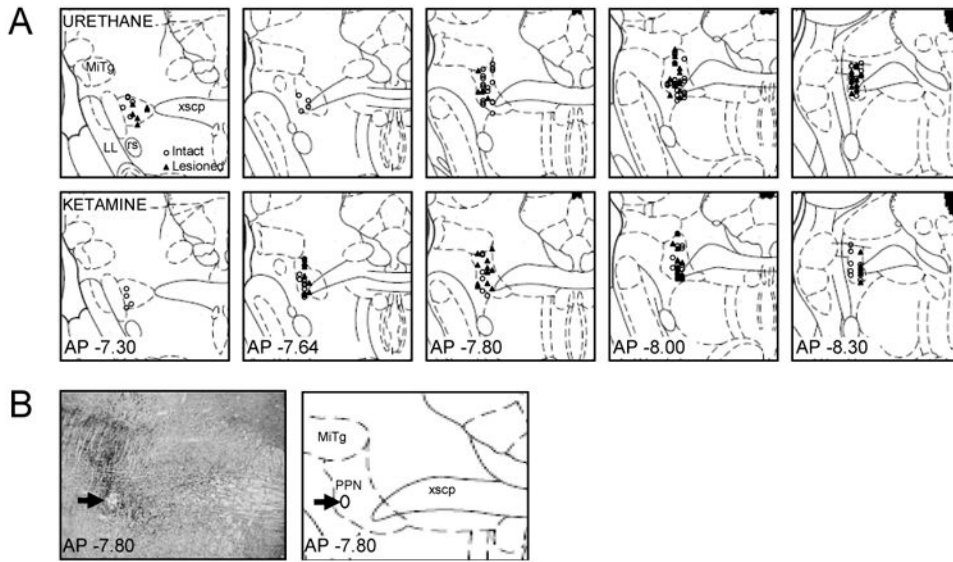
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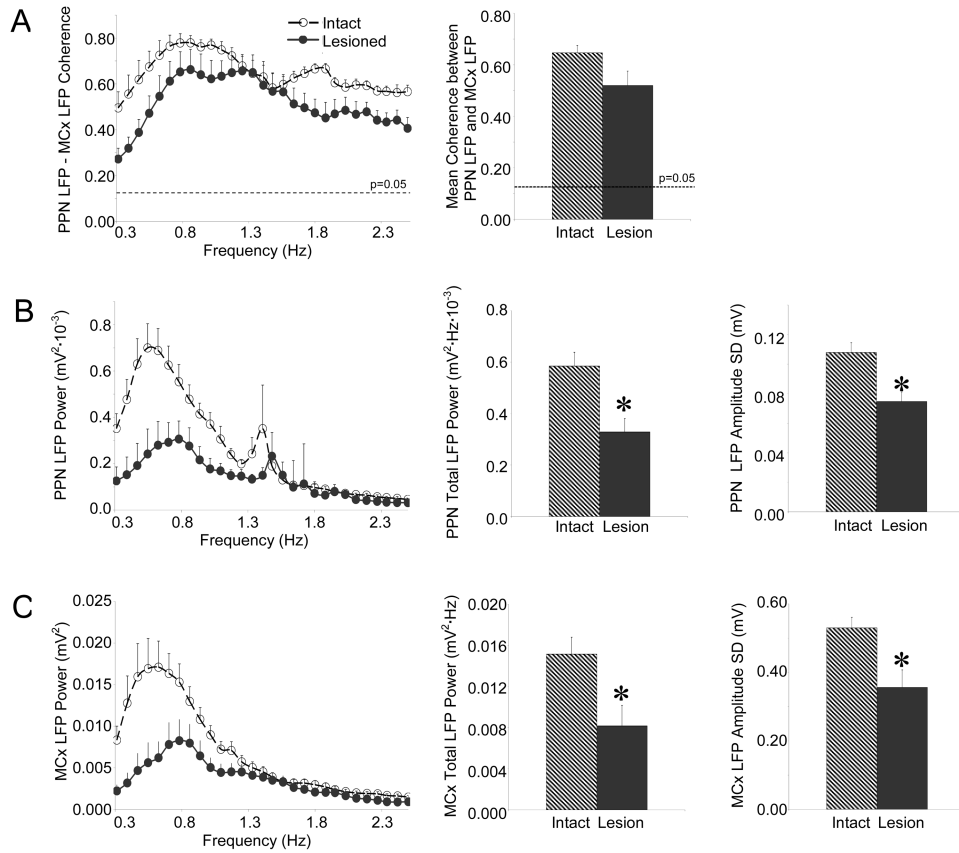


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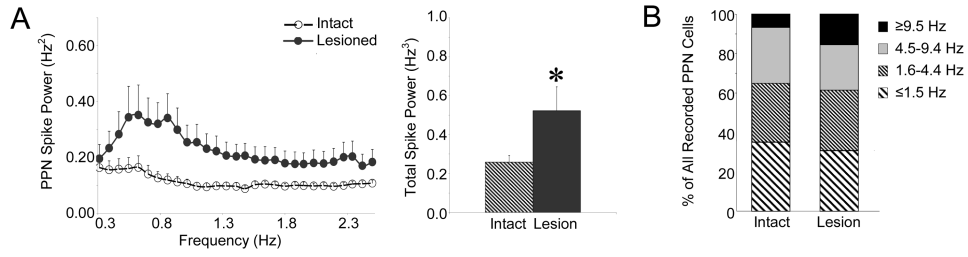




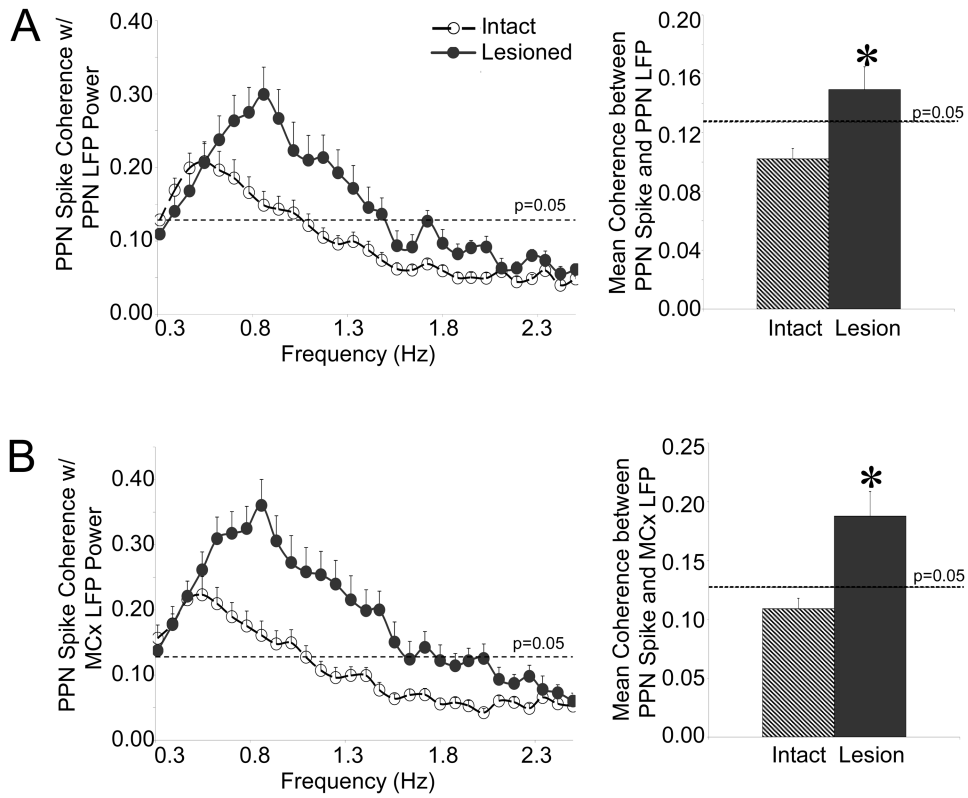
**Figure 1.** Location of Recorded PPN Cells in Intact and 6-OHDA Lesioned Urethane and Ketamine-Anesthetized Rats. Recordings in intact rats are shown as open circles and recordings in lesioned rats are shown as closed triangles (A). An example of histological confirmation of recording location in the PPN is shown on a 20 µm coronal brain section stained for acetylcholinesterase (B). Arrows indicate the site of a dye deposit on an image (left) and schematic (right) of the stained section. The dye deposit itself is washed off during acetylcholinesterase staining to leave a hole in the slice that is visible on the image (left) and indicated as an ellipse on the schematic (right). Distance from bregma is indicated for each coronal slice in mm. Abbreviations: PPN: pedunclopontine nucleus, PAG: periaqueductal gray, xscp: decussation of the superior cerebellar peduncle, MiTg: microcellular tegmental nucleus, LL: lateral lemniscus, rs: rubrospinal tract. Schematics of coronal slices are adapted from *The Rat Brain in Stereotaxic Coordinates, 2<sup>nd</sup> Ed.* (Paxinos and Watson, 1986).



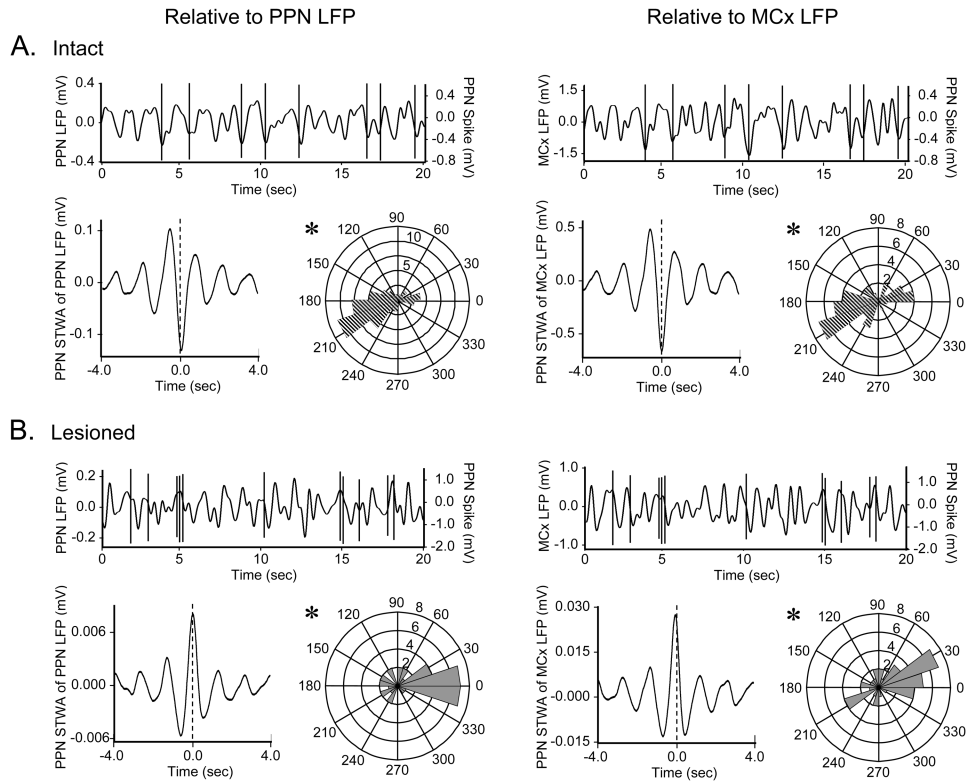
**Figure 2.** Characteristics of PPN LFP and MCx LFP activity in the urethane-anesthetized preparation. Coherence between PPN and MCx LFP activity (A, n=10 intact rats, 11 lesioned rats) does not change significantly in the  $\sim 1$  Hz range (left) following dopamine cell lesion nor does mean coherence in the 0.3-2.5 Hz range (right). Dashed horizontal lines indicate the  $P=0.05$  level of significance for coherence. LFP activity recorded in the PPN (B, n=15 intact rats, 11 lesioned rats) and MCx (C, n=11 intact rats, 11 lesioned rats) is described by comparing LFP power spectra in the 0.3-2.5 Hz range (left), total LFP power in the 0.3-2.5 Hz range (middle), and the RMS of LFP amplitude (right) between intact and lesioned rats. Both PPN and MCx LFP activity exhibit decreases in power in the  $\sim 1$  Hz range (left), decreases in total power between 0.3-2.5 Hz (middle), and decreases in LFP amplitude (right) following dopamine cell lesion. \* $P < 0.05$  compared with intact.



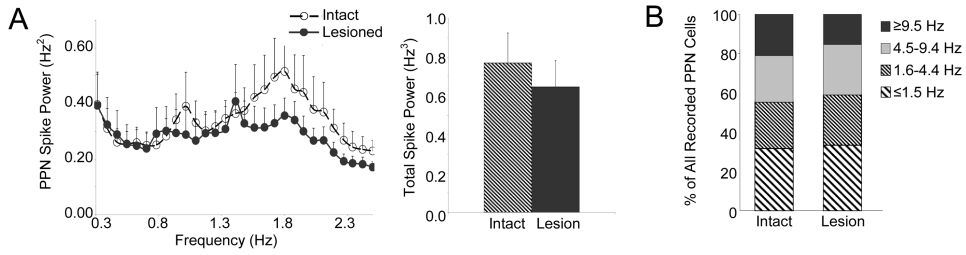
**Figure 3.** Characteristics of PPN spike trains in the urethane-anesthetized preparation. Oscillatory activity in PPN spike trains (A, n=59 PPN cells in 17 intact rats, 38 cells in 11 lesioned rats) was determined by converting PPN spike trains to Gaussian waveforms with a 20 Hz sampling rate and then using FFT to determine power in the 0.3-2.5 Hz range. There is an increase in power in the ~1 Hz range in PPN spike trains following dopamine cell lesion (left) with a significant increase in total power between 0.3-2.5 Hz following dopamine cell lesion (right). \*  $P < 0.05$  compared with intact. Firing rate distributions (B, n=59 cells in 17 intact rats, 38 cells in 11 lesioned rats) are indicated for the intact and 6-OHDA lesioned animals. There was no significant difference in firing rate between intact and lesioned rats.



**Figure 4.** Relationships between oscillatory activity in PPN spiking and LFP activity in the urethane-anesthetized preparation. Coherence between PPN spike trains and PPN LFP (A, n=59 cells in 17 intact rats, 38 cells in 11 lesioned rats) and coherence between PPN spike trains and MCx LFP (B, n=42 cells in 12 intact rats, 38 cells in 11 lesioned rats) LFP activity increase following dopamine cell lesion in the ~1 Hz range (left). Mean coherence between PPN spiking and PPN LFP activity and mean coherence between PPN spiking and MCx LFP activity also increase across the 0.3-2.5 Hz range (right). \*  $P < 0.05$  compared with intact.

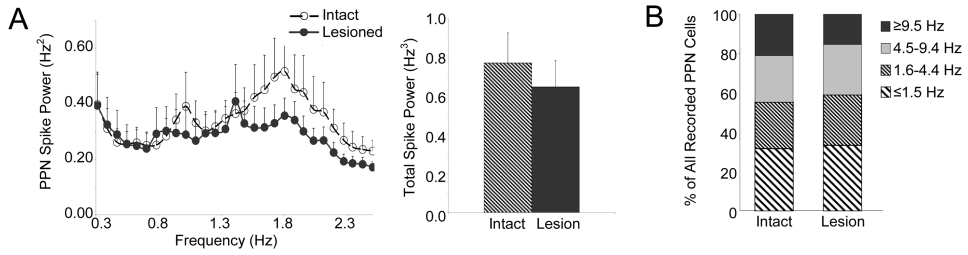


**Figure 5.** PPN spike timing relative to LFP oscillatory activity in the urethane-anesthetized preparation. Typical PPN spike trains in intact (A) and lesioned (B, n=37 significantly oscillatory cells in lesioned rats) rats are shown at the top of each panel overlaid on simultaneously recorded PPN LFP (left, n=54 cells with significant peaks in their STWAs in 16 intact rats, 37 cells in 11 lesioned rats) or MCx LFP (right, n=37 cells in 12 intact rats, 39 cells in 11 lesioned rats) activity. PPN spike-triggered LFP waveform averages (lower left of each panel) illustrate the time of PPN spiking relative to the phase of LFP oscillatory activity in the example spike train. Polar histogram plots (lower right of each panel) summarize the distribution of phases of PPN spikes with respect to PPN LFP (left) and MCx LFP (right) oscillations. In the intact rat, spiking occurs at or near the trough (~180°) of PPN and MCx LFP activity. Dopamine cell lesion significantly changes this phase relationship as PPN spiking occurs primarily at the peaks (~0°) of LFP oscillations in lesioned rats. \*Significantly ( $P < 0.05$ ) unimodal distributions of phase relationships between PPN spiking and LFP activity.

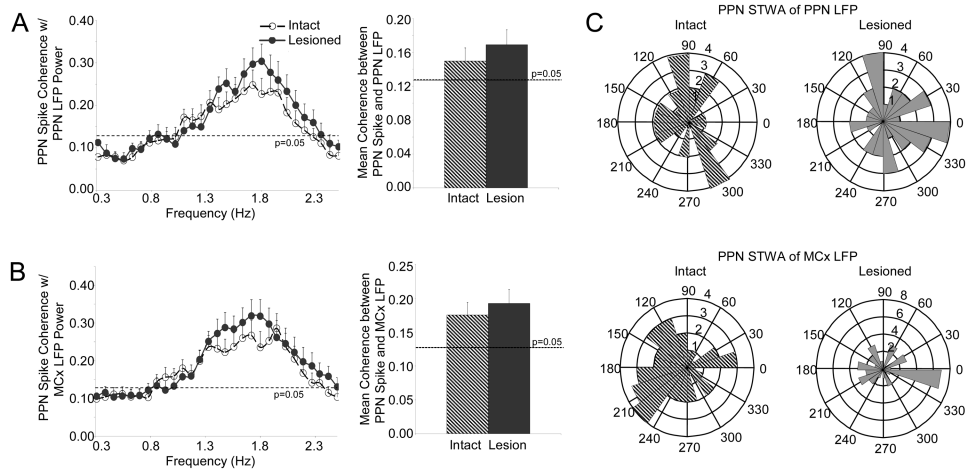


**Figure 6.** Characteristics of PPN LFP and MCx LFP activity in the ketamine-anesthetized preparation. Coherence between PPN LFP and MCx LFP activity (A, n=9 intact rats, 10 lesioned rats) also does not change significantly in the 0.8-2.5 Hz range (left) following dopamine cell lesion nor does mean coherence in the 0.3-2.5 Hz range (right). LFP activity recorded in the PPN (B n=9 intact rats, 10 lesioned rats) and MCx (C n=9 intact rats, 12 lesioned rats) is described by comparing LFP power spectra in the 0.3-2.5 Hz range (left), total LFP power in the 0.3-2.5 Hz range (middle), and the RMS of LFP amplitude (right) between intact and lesioned rats. Dopamine cell lesion does not change PPN LFP or MCx LFP power or amplitude in the 0.3-2.5 range.





**Figure 7.** Characteristics of PPN spike trains in the ketamine-anesthetized preparation. Oscillatory activity in PPN spike trains (A, n=37 cells in 9 intact rats, 38 cells in 12 lesioned rats) was not significantly different between intact and lesioned rats in the ~1 Hz range (left). Dopamine cell lesion had no significant effect on total power in PPN spike trains between 0.3-2.5 Hz (right). Firing rate distributions (B, n=37 cells in 9 intact rats, 38 cells in 12 lesioned rats) are indicated for the intact and dopamine cell lesioned animals. There was also no significant difference in firing rate between the intact and lesioned rat.



**Figure 8.** Relationships between PPN spiking and LFP activity in the ketamine-anesthetized preparation. Coherence between PPN spike trains and PPN LFP (A, n=37 cells in 9 intact rats, 38 cells in 12 lesioned rats) and coherence between PPN spike trains and MCx LFP (B, n=37 cells in 9 intact rats, 38 cells in 12 lesioned rats) do not change following dopamine cell lesion. There is also no change in mean coherence between PPN spiking and PPN LFP or MCx LFP activity in the 0.3-2.5 Hz range (right). Polar histogram plots (C) summarize the distribution of phases of PPN spikes with respect to PPN LFP (top, n=38 significantly oscillatory cells in 9 intact rats, 32 cells in 12 lesioned rats) and MCx LFP (bottom, n=36 cells in 9 intact rats, 35 cells in 12 lesioned rats) oscillations. There is no consistent phase-locking between PPN spiking and LFP activity in the intact (left) or lesioned (right) rats.