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Cranial Neural Crest Cell Contribution to Craniofacial Formation, Pathology, and Future Directions in Tissue Engineering

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Abstract

This review provides an overview of the state and future directions of development and pathology in the craniofacial complex in the context of Cranial Neural Crest Cells (CNCC). CNCC are a multipotent cell population that is largely responsible for forming the vertebrate head. We focus on findings that have increased the knowledge of gene regulatory networks and molecular mechanisms governing CNCC migration and the participation of these cells in tissue formation. Pathology due to aberrant migration or cell death of CNCC, termed neurocristopathies, is discussed in addition to craniosynostoses. Finally, we discuss tissue engineering applications that take advantage of recent advancements in genome editing and the multipotent nature of CNCC. These applications have relevance to treating diseases due directly to the failure of CNCC, and also in restoring tissues lost due to a variety of reasons.

Keywords

craniofacial abnormality; cranial neural crest cell; gene regulatory networks; tissue engineering

What are Neural Crest Cells and in Particular Cranial Neural Crest Cells?

Neural crest cells (NCC) are a transient group of multipotent cells that are specified along the dorsal aspect of the neural tube, delaminate from the neural tube via an epithelialmesenchymal transition (EMT), migrate in streams along their body segment, and subsequently differentiate under the guide of many signaling pathways throughout their journey. A subset of NCC, termed cranial neural crest cells (CNCC), delaminate from the more anterior portions of the folded neural tube and migrate in a single wave to give rise to many vertebrate head structures, including a majority of the skull and face (Le Douarin and Kalcheim, 1999; Mishina and Snider, 2014). As the CNCC are induced and begin to migrate, they are influenced by their physical contact with one another and also respond to reciprocal signals sent to one another. Local molecular gradients are also known to play a role in their migration (Theveneau and Mayor, 2012).

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The authors dedicate this article to Dr. Yoshiki Sasai who, had he lived, would have continued to contribute to the field of regenerative medicine.

Initial investigations into the nature of NCC migration took advantage of an avian model consisting of Quail–Chick (QC) chimeras. This model allowed for easy visualization of NCC, based on chromosomal differences visible during interphase between the two species (Le Douarin, 1973). QC chimera experiments have formed an experimental basis to test which genes and gene products effect CNCC behavior and ability to form skeletogenic components of the craniofacial complex. For example, *Fgf8* is indispensable for the survival of cells that make up the first branchial arch, as shown by the fact that knock down of this gene in the ectoderm and neuroepithelium results in a lack of craniofacial structures due to a failure of CNCC migration. This phenotype can be rescued by exogenous FGF8 application which promotes CNCC proliferation (Creuzet et al., 2004). Today, a variety of model systems, including turtle and lamprey, but mainly mice and avian models, are used to study CNCC (Santagati and Rijli, 2003; Green and Bronner, 2014; Nagashima et al., 2014; Young et al., 2014).

A number of molecular events must take place to orchestrate this induction, migration, and eventual fate determination of these multipotent cells (Trainor, 2013). Alterations in any of these processes can have a devastating array of effects on the developing embryo. In particular, the cranium and face are involved in a disproportionate number of birth defects, nearly a third, likely due to the intricacies involved in the genesis of a diverse collection of tissues present in a relatively small volume. Extensive collections of genes have been identified or are theorized to take part during the normal development of the craniofacial complex. A recent study reported collecting chick embryos electroporated with the *Sox10e2:eGFP* to mark migrating CNCC, and then GFP1CNCC were sorted via FACS. Subsequent extensive bioinformatic analysis of the transcritptome of migrating CNCC revealed *SOX9* and *ETS1* gene expression may be critically important to kick off a cascade of events that helps initiate and then guide CNCC along their migration (Simoes-Costa et al., 2014).

How do NCC Begin Their Migration, and What Structures do They Make?

Several signaling pathways have been shown to be involved in the physiologic and pathologic behavior of NCC, such as the FGF, WNT, TGFβ, and BMP pathways (Mishina and Snider, 2014). Many animal models have been generated to investigate each of these pathways that have been shown to be relevant to human disease. Here, we focus on the BMP and TGFβ signaling pathways and relate advances in knowledge of those pathways in CNCC to increased understanding of craniofacial development.

CNCC are initially specified at the neural plate border and then migrate from the dorsal portion of the neural tube to populate developing cranial structures. An EMT is necessary prior to CNCC commencing their migration. *LSox5, p53*, and *ETS1* are expressed by CNCC (Perez-Alcala et al., 2004; Theveneau et al., 2007; Rinon et al., 2011). It is interesting, and also important for potential therapeutic measures, that apoptosis mediated by p53 is required for normal outcomes in CNCC migration (Rinon et al., 2011). BMP, bone morphogenetic protein, signaling is important to help regulate the cell cycle, which is important for induction and EMT to occur properly (Burstyn-Cohen et al., 2004). *MSX2*, a downstream target of the BMP signaling pathway, plays a role in the development of the craniofacial

complex and also in the apoptosis of CNCC. It has been demonstrated that BMP4 is necessary to induce apoptosis in CNCC (Graham et al., 1994; Winograd et al., 1997; Takahashi et al., 2001).

The first evidence that the TGFβ signaling pathway is quite important during craniofacial development, in particular in palatogenesis, came with the knowledge that global knock out of the *TGF*-β3 gene results in cleft palate in mice (Kaartinen et al., 1995). Tissue specific interactions resulting from TGFβ signaling were further elucidated with the use of epithelium specific Keratin14-Cre and NCC specific *Wnt1-Cre*. Tissue specific deletions using mice with the type 1 TGFβ receptor flanked by loxP sites, *Alk5*fl/fl, revealed that both *Wnt1-Cre/Alk5*fl/fl mice as well as *K14-Cre/Alk5*fl/fl displayed cleft palate; however, the deletion of *Alk5* in NCC also revealed pathology of the nasal cavity as well as the skull vault (Dudas et al., 2006). Although the underlying mechanism of cleft palate formation between the *K14-Cre/Alk5*fl/fl and *Wnt1-Cre/Alk5*fl/fl may be different, as suggested by increased proliferation and cell death in the mesenchyme of the *Wnt1-Cre/Alk5*fl/fl mice, the indispensible nature of TGFβ signaling within both ectodermal and ectomesenchymal cell types during normal craniofacial development was strongly suggested.

Using *Wnt1-Cre* to delete a type two TGFβ receptor *Tgfbr2*, another component in the TGFβ signaling pathway, results in craniofacial development with a phenotype partially overlapping that of the *Wnt1-Cre/Alk5*fl/fl mice. When mice carrying the *Wnt1-Cre* transgene are bred with *Tgfbr2*fl/fl mice, the resulting pups have a cleft palate due to decreased proliferation of CNCC derived palatal mesenchyme, as well as severe skull malformation (Ito et al., 2003). The similarity of the skull vault malformation between *Wnt1-Cre/ Tgfbr2*fl/fl and *Wnt1-Cre/Alk5* reveals potential redundancies in TGFβ receptor function in CNCC during skull vault formation.

As *Osterix-Cre* (*Osx-Cre*) is expressed by osteoblasts as well as odontoblasts, *Tgfbr2*fl/fl mice have also been bred with mice carrying the Osx-Cre transgene to investigate the function of *Tgfbr2* in cells critical to mineralized tissue formation. Osteoblasts are critical to cranial bone formation and odontoblasts form a majority of the molar tooth root, so using Osx-Cre deletes *Tgfbr2* in the skeletogenic progeny of CNCC, thus testing the effects of TGFβ signaling as CNCC become more committed. The palate of *Osx-Cre/Tgfbr2*fl/fl mice develops normally, whereas the molar root formation and dental mesenchyme are adversely affected (Wang et al., 2013). The absence of a drastic skull phenotype found in *Osx-Cre/ Tgfbr2*fl/fl, compared to those described in *Wnt1-Cre/Tgfbr2*fl/fl, and *Wnt1-Cre/Alk5*, indicates that TGFβ signaling in CNCC is required during early skull formation, but becomes dispensable in this process as CNCC continue to differentiate into more committed cells.

Together, these studies underscore the effect of spatiotemporal expression of TGFβ signaling components in CNCC and their derivatives during craniofacial development. These findings also reinforce the notion that the promoter used to drive Cre expression can result in information critical to dissecting out which combinations of genes expressed by unique cell populations are indispensible for a given tissues formation. These TGFβ pathway studies in mice have been shown to be a good model for human disease. The finding of 10

families presenting with craniofacial anomalies and concomitant mutations in either *TGF*β*R1* or *TGF*β*R2* provided strong support that TGFβ signaling is critical for craniofacial development in humans as well (Loeys et al., 2005).

Which Birth Defects in Particular are Rooted in CNCC Defects?

Many birth defects often result in growth and developmental delays at sites in the craniofacial complex as well as involving other anatomical locations. The broad phenotypic display presented in these syndromes obviates the difficulty in ascertaining which findings are primary and which arise secondarily to the etiopathology. For example, aberrant mandibular growth may persist for years in several syndromes which have a broad phenotypic display present from birth (Boutros et al., 2007). Although it is desirable to move away from discussing syndromes based on the names of the discoverer, and instead refer to them by a more informative name indicative of either the etiology or phenotype, eponyms are referred to here to be complete. Improper migration or reduced survival of CNCC is implicated in several syndromes and congenital conditions termed neurocristopathies. Craniofacial anomalies are seen in neurocristopathies, such as DiGeorge syndrome, Waardenburg syndrome (WS), CHARGE syndrome, Treacher Collins syndrome (TCS), and craniofacial microsomia (CFM). (Table 1 provides a summary of neurocristopathies with additional information.)

DiGeorge (velocardiofacial) syndrome is a classical example of a disease due to changes in CNCC migration due to genetic mutation. The 22q11.2 deletion syndrome (22q11DS) occurs in approximately 1 in 4000 births and typically includes a wide range of defects involving the initial formation and subsequent development of the craniofacial complex. In particular, cardiac defects, cognitive-behavioral problems, speech-language disorders, velopharyngeal insufficiency (VPI), and dysmorphic facial appearance have been well documented. 22q11DS is recognized as the most frequently occurring syndrome associated with VPI and anomalies affecting the palate (Shprintzen et al., 1978; Bassett et al., 2011). Hemizygosity at the *TBX1* gene locus is believed to underlie the pathology, although other genes are deleted when this portion of chromosome 22 is deleted (Papangeli and Scambler, 2013).

WS is a neurocristopathy with heterogeneous presentation; four forms have been identified. The most common findings include hypopigmentation of the eyes, isolated patches of white hair on the anterior scalp, and sensori-neural hearing loss, ranging from total deafness to a progressive loss of hearing. Mutations in *SOX10* have been implicated to be a potential cause of the hypopigmentation of the skin, hair, and eyes commonly found in patients with WS (Hou and Pavan, 2008). In support of this notion, mice haploinsufficient for *Sox10* show similar symptoms, including isolated patches of white fur reminiscent of the hypopigmentation found in WS patients (Southard-Smith et al., 1999). A labor-intensive mutagenesis screening of mice haploinsufficient for *Sox10* has also been used to identify genomic loci that exacerbate the hypopigmentation phenotype. Three new loci were identified as potential modifiers of *Sox10*. A compound heterozygote formed between *Sox10* and one of the modifiers led to a decrease in NCC ability to form melanoblasts (Matera et

al., 2008). Several other genes have also been identified that lead to specific forms of WS (Pingault et al., 2010).

CHARGE syndrome is diagnosed by the appearance of several distinct features. All of the features may not be present, but CHARGE usually presents with the following findings: coloboma of the eye, choanal atresia, heart defects, retardation of growth, genital malformations, and abnormal ears. *CHD7* mutations have been identified as causes of the syndrome (Lalani et al., 2006)

TCS results from a failure of migration of CNCC. Underdeveloped facial bones resulting from autosomal dominant mutations in the *TCOF1* gene phenotypically characterize TCS. A mouse model haploinsufficient for *Tcof1* and recapitulating the characteristics of TCS has been generated. This allowed the demonstration that lack of formation and proliferation of CNCC were responsible for the craniofacial phenotype (Dixon et al., 2006). Although there is much work yet to be done, showing that inhibition of p53, either with a chemical inhibitor or through genetic deletion of a *p53* allele, rescues the TCS phenotype in mice was a huge step toward being able to treat this disease clinically (Jones et al., 2008). Interestingly, the TCS phenotype was corrected without resolving the lack of ribosome biogenesis observed, which suggests that it makes sense to target downstream events in the treatment of neurocristopathies resulting from increased cell death of CNCC.

CFM is a congenital disorder of the face with an estimated prevalence of 1:3500 to 1:5500. CFM is characterized by asymmetric underdevelopment of structures originating from the first and second branchial arches, including the orbit, mandible, nerve, soft tissue, and muscles of mastication. Goldenhar syndrome is one of the forms of CFM and was first described in the 1950s. Goldenhar syndrome presents with the same constellation of symptoms in addition to auricular malformation in the form of partial duplication being noted (Ashokan et al., 2014). In mouse embryos, *Hoxa2* expression in the neural crest is restricted to only the second branchial arch which contributes to pinna development, and ectopic expression of *Hoxa2* in the first branchial arch neural crest results in the recapitulation of partial duplication of the pinna, a characteristic finding in Goldenhar Syndrome (Minoux et al., 2013).

CNCC and Craniosynostosis

Normal skull growth occurs as the neural tissues expand and appositional bone growth occurs at the border of the bony plates of the skull (Fig. 1). Sutures separate the bony plates, and normal murine suture formation requires epithelial-mesenchymal interactions between CNCC derived mesenchyme, as well as mesoderm-derived mesenchyme. Generally, the more anterior portions of the murine skull are derived from the CNCC, with the exception of the interparietal bone (Noden and Trainor, 2005; Mishina and Snider, 2014). As neural tissue growth slows the pliable infant skull matures, and the sutures begin to fuse, leading to the formation of the more protective adult skull. In the event these sutures fuse prematurely, a condition known as craniosynostosis occurs, resulting in abnormal skull growth resulting in increased intracranial pressure (Fig. 1). The dura mater envelops the brain and is continuous with the calvarial periosteum at the suture. The underlying dura mater is known

to interact molecularly with the developing suture and influence patency in rabbit models of craniosynostosis (Cooper et al., 2012; Mishina and Snider, 2014). Dura mater formation is compromised in *Wnt1-Cre/Tgfbr2*fl/fl mice, leading to skull vault anomalies (Ito et al., 2003). These observations imply that the signaling events from the dura mater are critically important to normal growth and development of the calvaria as neural tissues expand.

The FGF receptor family as well as the BMP signaling pathway is known to be involved in syndromic forms of craniosynostosis (Table 2). Several forms of craniosynostosis may occur, affecting a single suture or as part of a phenotypically diverse syndrome. There are several molecules that are known to be involved in a suture-specific manner (Roscioli et al., 2013; Fig. 1). Craniosynostosis is well documented to occur in isolation but is also known to present within a constellation of symptoms in syndromes with diverse clinical presentation. For example, gain of function mutations of receptors in the FGF pathway can result in Crouzon syndrome, as well as the closely related Apert syndrome (Jabs et al., 1994; Reardon et al., 1994). Both syndromes include craniosynostosis with Apert syndrome also presenting with syndactyly. Pfeiffer syndrome also presents with craniosynostosis and mutations in FGF receptors (Ibrahimi et al., 2004). Any individual suture as well as combinations of sutures may be affected each with a characteristic defect in cranial morphology (Fig. 1). For example, sagittal synostosis inhibits the lateral growth of the skull and results in an unusually narrow skull with increased anterior–posterior dimension. Figure 2 illustrates the differences between the cranial defect produced by either positional head deformation or craniosynostosis affecting the right, lambdoid suture. The key to differentiating these two ostensibly similar phenotypes is by noting the finding of an ipsilateral frontal protuberance and anteriorly displaced ear in positional head deformation.

Premature fusion of any single suture or combinations of sutures results in an increase of intracranial pressure, necessitating a series of surgery to offset the pressure increase and allow for normal growth; however, these surgeries are rarely fully corrective. This state of the art allows surgeons to remove the affected suture endoscopically in some instances, thus encouraging normal growth to resume (Sanger et al., 2014). The premature fusion of sutures has wide reaching consequences with regards to the other components of the craniofacial complex. Craniosynostosis affecting the metopic suture results in trigonocephaply with subsequent orbital dysmorphology. The effect on the orbit is directly proportional to the extent of the premature fusion (Ezaldein et al., 2014). The options available to correct the tissue deficits remaining after surgical intervention are currently limited.

Tissue Engineering with CNCC

A complete molecular catalog of the events shaping the craniofacial complex will yield the potential for tissue engineering approaches to regenerate tissues lost to a variety of pathologies. The ability to easily collect and manipulate less differentiated cells offers major advantages for patients in terms of restoring lost tissues. In fact, these areas are currently being investigated with a number of possible sources found in the oral cavity (Achilleos and Trainor, 2012). Numerous sources of purported "stem" cell populations have been identified in the craniofacial region: dental pulp stem cells, stem cells from human exfoliated deciduous teeth, stem cells from apical papilla, periodontal ligament stem cells, as well as

progenitor sources that have been reported from the gingiva (Miura et al., 2003; Huang et al., 2009; Xu et al., 2013). A connexin-43 enriched human cell population isolated from periodontal ligament cells from extracted third molars has been shown to be multipotent in both in vitro culture and in vivo teratoma formation assays (Pelaez et al., 2013). This is particularly exciting, due to the fact that third molar extraction is routinely done and would provide a readily accessible source of postnatal stem cells that could be used to regenerate craniofacial tissues lost for a variety of reasons.

It is rapidly becoming obvious that the CRISPR-Cas9 system allows multiple genes to be edited rather quickly and their combinatorial effects assessed in a variety of settings (Hsu et al., 2014). A small guide RNA molecule with base pair complementarity to a particular locus in the genome allows an enzyme that cleaves DNA, Cas9, to locate and remove certain sites in the genome, or the CRISPR-Cas9 system can be used to insert new genetic elements. It will be informative to the field to simultaneously edit combinations of genes in CNCC from a variety of model organisms. The continued characterization of the stem cell nature of CNCC obtained from early mouse embryos (Ishii et al., 2012) and relative ease in obtaining anatomically unique CNCC populations after birth make it attractive to investigate them as a relevant tool for tissue engineering. Moreover, bioinformatic approaches that identify genes and gene networks coupled with the ability to test these networks using CRISPR-Cas9 to simultaneously edit the proposed genes in CNCC culture is a promising strategy to reveal the complex molecular interplay in CNCC.

Once the gene networks are identified, many potential avenues of treatment can be investigated. As ectomesenchymal tissues in the craniofacial complex are generated by CNCC, the knowledge of which gene networks result in lineage specification to either osteoblasts or chondrocytes provides an avenue to pursue regenerating these tissues. This may improve treatment options by allowing for therapies where patient derived cells are collected and used to regenerate lost bone or cartilage. In particular, one could isolate CNCC progenitors from a patient and use the identified gene network to promote bone formation in culture. This cultured bone could then be used to treat bone loss due to periodontal disease. Many hurdles remain in making this a reality, but progress is definitely being made.

Discussion

A complete understanding of CNCC behavior in terms of the molecular events involved initially in their migration and those that end their journey will allow for targeted therapies involving these pathways. The wide ranging nature of the phenotypes displayed by birth defects affecting the head and neck have made ascertaining the root cause of these defects extremely difficult. Increased ability to manipulate the genomes of many different model organisms will allow researchers to identify responsible gene regulatory networks and eventually to intervene to either prevent the phenotype altogether or halt its progression.

The wide range of potential research uses makes studying CNCC particularly appealing. For instance, in addition to the many advantages outlined in this review, consider the following: given the deleterious migration of metastasizing cancer cells which also often undergo EMT,

knowing exactly how this extensive migration of CNCC is orchestrated could possibly be extrapolated to halt unwanted migration of oncogenic cell populations (Rogers et al., 2013).

In light of recent reduction in the expense of bioinformatics techniques coupled with their increasing power, it is becoming feasible to check many tissues and gather a snapshot of the differential gene expression patterns at play in them. This will illuminate therapeutic targets that can be tested in model systems to translate genomic readouts into information to treat patients. The knowledge gleaned from these studies will not only be applicable to patients suffering from diseases directly caused by failure of CNCC but also to those patients requiring regeneration of tissue lost due to trauma or other disease processes.

Although CRISPR-Cas9 is a new technology with many potential obstacles yet to be encountered, one can see how clinically useful such a technique could be. It is not out of reach to imagine the following scenario: a craniosynostic patient undergoes endoscopic surgery to resect their prematurely fused suture, stem cells are isolated from the resected tissue, CRISPR-Cas9 is used to excise the defective sequence, and the edited cells are reintroduced into the patient to correct the defect.

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FIGURE 1.

Illustration of human and murine skull sutures and a chart of gene mutations resulting in suture-specific craniosynostosis. This figure outlines the diverse collection of genes that can result in craniosynostosis.

FIGURE 2.

Diagram of positional head deformation versus lambdoid synostosis. The ability to differentiate between similar phenotypic presentations is key. Noting ear and frontal protuberance position is critical to differentiate these diagnoses. Red indicates a fused suture whereas green indicates patency.

Neurocristopathies with Potential Causes and Clinical Characteristics

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Summary of Craniosynostoses with Causes and Characteristics

