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# **Microsatellite repeat instability and neurological disease**

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# **Abstract**

Over 20 unstable microsatellite repeats have been identified as the cause of neurological disease in humans. The repeat nucleotide sequences, their location within the genes, the ranges of normal and disease-causing repeat length and the clinical outcomes differ. Unstable repeats can be located in the coding or the non-coding region of a gene. Different pathogenic mechanisms that are hypothesised to underlie the diseases are discussed. Evidence is given both from studies in simple model systems and from studies on human material and in animal models. Since somatic instability might affect the clinical outcome, this is briefly touched on. Available data and theories on the timing and mechanisms of the repeat instability itself are discussed, along with factors that have been observed to affect instability. Finally, the question of why the often harmful unstable repeats have been maintained throughout evolution is addressed.

#### **Keywords**

microsatellite instability; neurological disease; repeat instability; trinucleotide repeat

# **Introduction**

In 1991, a (CGG)*n* in the fragile X mental retardation 1 (*FMR1*) gene and a (CAG)*n* in the coding region of the androgen receptor were the first expanded trinucleotide repeats identified as the genetic cause of diseases, namely fragile X syndrome (FRAXA) and Xlinked spinal and bulbar muscle atrophy (SBMA: Kennedy's disease), respectively.<sup>(1,2)</sup> These repeat expansions form a distinct class of mutations, based on their unusual properties. Since the repeat length is variable and the mutation rate depends on the repeat length, the risk of mutation of an allele is different in each subsequent generation. Therefore, this mechanism was termed 'dynamic mutation'. Since instability increases with expanding repeat length, the risk of getting the disorder is greater in successive generations. In addition, age of onset is likely to be lower and severity of the disorder may be worse with increasing repeat length. This is known as 'anticipation'. These trinucleotides repeats are polymorphic and show a normal range, seen in healthy individuals, and a pathological range, above a threshold length, associated with clinical manifestations.<sup>(3)</sup>

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Up to now almost 20 other unstable repeats have been described to be associated with neurological disorders. Clinically these conditions range from congenital syndromes to lateonset neurodegenerative disorders. For a description of the clinical outcome of the diseases described here, we refer to a recent review by Orr and Zoghbi.<sup>(4)</sup> Although the majority of repeats consist of trinucleotides, tetra- and pentanucleotide repeats can also expand. Although this review mostly focuses on intergenerational repeat instability, somatic instability is touched on briefly, as it might have clinical consequences. The pathogenic mechanisms through which different repeat tracts may cause disease are discussed, as well as the timing of the events and the factors that are thought to play a role in the instability of the repeat tracts.

#### **Pathogenic mechanisms underlying disease**

Various pathogenic pathways can underlie trinucleotide repeat-induced disorders. Both lossof-function and gain-of-function mechanisms are recognised to result from expanded trinucleotide repeats. In loss-of-function mechanisms, the gene product is either not produced, or produced at lower levels. When a disease is associated with an mRNA or protein that has attained a new cellular function, it is considered a gain-of-function mechanism. A gain-of-function can occur at the RNA as well as at the protein level, depending on the location of the trinucleotide repeat within the gene. If an expanded repeat is translated, because it is within the coding sequence, it is likely to affect protein structure and thereby function (reviewed in  $Ref.$ <sup> $(4,5)$ </sup>). When a repeat is located in a non-coding region, it will not change protein structure or function directly. However, as the repeat is transcribed, mRNA function might be altered. All diseases and their pathogenic pathways discussed in this review are summarised in Table 1 and the locations of the repeats within their genes are schematically summarised in Fig. 1.

# **Loss-of-function at the protein level**

The most common syndrome in which protein function is lost is FRAXA which occurs when the  $(CGG)_n$  in the 5<sup>'</sup>UTR of the *FMR1* gene exceeds 200 CGGs (full mutation  $(FM)$ .<sup>(1)</sup> Beyond this length, the CpG island within the promoter region as well as the repeat become methylated and histone acetylation decreases. This leads to transcriptional silencing. The gene product FMRP is normally highly expressed in brain neurons and regulates mRNA translation in dendrites, thereby modulating synaptic function. Its absence leads to the mental retardation seen in FRAXA.<sup>(6)</sup>

A closely related disease is due to a  $(CCG)<sub>n</sub>$  at the FRAXE locus, which lies just downstream of the FRAXA locus. The expanded  $(CCG)<sub>n</sub>$  lies in the 5'-untranslated region (5′UTR) of the *FMR2* gene. Similar to FRAXA, when the repeat exceeds 200 triplets, *FMR2*  is silenced due to methylation and protein function is lost. The FMR2 protein is a nuclear protein thought to act as a transcription factor,<sup> $(7)$ </sup> but its cellular function and the role in the disease have not yet been elucidated.

Friedreich ataxia (FRDA) is caused by expansion in the range of 66–1,700 of a (GAA)*n* in the first intron of the *FDRA* gene. FRDA is an autosomal recessive disorder. The so-called premutation (PM; 34–100 GAA) alleles can expand to up to ten-fold in length to give the

disease-causing alleles.<sup>(8)</sup> In FRDA, the  $(GAA)_n$  expansion results in decreased mRNA levels so that the level of the gene product, Frataxin, is greatly decreased. Frataxin appears to control iron availability in mitochondria and has a role in biogenesis of iron–sulphur clusters and haem. Low levels of frataxin decrease energy production and increase the release of free radicals.(9,10)

# **RNA gain-of-function**

An expanded repeat located in a non-coding region causes cellular toxicity in myotonic dystrophy (DM), fragile X-associated tremor/ataxia syndrome (FXTAS) and spinocerebellar ataxias type 8, 10 and 12 (SCA8, SCA10 and SCA12). The repeat is transcribed, but not translated, therefore the proteins do not play a central role in the development of the disease.

#### **Myotonic dystrophy**

Two forms of DM exist: DM1 is caused by a (CTG)*n* in the 3′UTR of the *DMPK* gene encoding DM protein kinase (DMPK), while DM2 results from expanded tetranucleotide repeat [(CCTG)*n*] in intron 1 of the zinc finger protein 9 gene (*ZNF9*). Both DM1 and DM2 show childhood and adult onset, but congenital forms only occur for  $DM1$ <sup> $(11,12)$ </sup>

Although the two genes involved in DM1 and DM2 are functionally different, the syndromes share a toxic RNA gain-of-function mechanism. The first evidence pointing in this direction was that almost all of the expanded repeat-containing mRNAs were retained in nuclear foci.<sup>(13)</sup> This is thought to occur because expanded RNA  $(CUG)_n$  molecules form secondary structures such as hairpins.<sup> $(14)$ </sup> In the foci, RNA molecules sequester RNAbinding proteins (RNA-BPs), which bind specifically to (CUG)*n* (CUG-binding proteins  $(CUG-BPs)$ ).<sup>(15,16)</sup> Since mRNA processing, including splicing, is normally regulated by a dynamic complex of RNA-BPs, the function of these proteins in the presence of expanded  $(CUG)_n$  was investigated. <sup>(4)</sup>

CUG-BP1 and muscleblind-like protein 1 (MBNL1) bind (CUG)*n*. CUG-BP1 is a heterogeneous nuclear ribonucleoprotein (hnRNP) and a member of the CUG-BP/ETR-3 like family (CELF) of proteins. These regulate alternative splicing, editing and translation. Splicing of the repeat-containing *DMPK* and *ZNF9* mRNAs occurs normally. It is proposed that CUG-BPs are sequestered to expanded *DMPK*-(CUG)*n*, which causes aberrant expression of other transcripts that are normally regulated by these proteins.<sup>(17)</sup> MBNL1 is a specific CUG-BP, homologous to the *Drosophila* muscleblind proteins that are essential in terminal differentiation of muscle and photoreceptor cells. It accumulates in the nuclear foci in DM1 cells, so that MBNL1 cannot exert its normal function during a critical period of cell differentiation. $(16)$ 

MBNL1 and CUG-BP1 are antagonistic splicing regulators of specific transcripts affected in DM.<sup>(18)</sup> Relative activities of CELF proteins and human muscleblind control an important developmental switch in splice pattern. CELF proteins promote an embryonic splice pattern for certain transcripts, while an adult pattern is seen when MBNL1 activity predominates. In DM, the switch to an adult splice pattern does not occur (summarised in Ref.<sup>(19)</sup>).

Evidence supporting this hypothesis is now accumulating from murine models.<sup>(18)</sup> In addition, *Drosophila* expressing a non-coding expanded (CUG)*n* show several DM features, including altered CUG-bp1 and mbnl1 levels and distribution. Overexpression of human MBNL1 suppressed the (CUG)*n*-induced toxicity, whereas higher levels of human CUG-BP1 worsen the phenotype.<sup>(20)</sup> However, how expansion of the C(C)UG-repeats alters the activities of CUG-BP1 and MBNL is still not known.

#### **Fragile X-associated tremor/ataxia syndrome**

Elderly carriers of (CGG)55–200 (PM) in the 5′UTR of the *FMR1* gene can develop FXTAS.(21,22) In PM carriers, *FMR1* mRNA levels are elevated, while the gene product FMRP is present at levels that are close to normal, suggesting that toxicity originates at the RNA rather than the protein level. Postmortem immunohistochemical studies on FXTAS brains revealed the presence of ubiquitin-positive intranuclear inclusions in neurons and astrocytes in many brain regions. The presence of inclusions is related to  $\text{FXTAS}$ .  $^{(23,24)}$ Also *FMR1* mRNA has been detected within the intranuclear inclusions, further supporting the hypothesis that *FMR1* mRNA plays a central role in the pathogenesis of  $\text{FXTAS}$ .<sup>(24)</sup>

FXTAS has been proposed to result from a pathogenic mechanism similar to that for DM.<sup>(25)</sup> MBNL1, among many other proteins, has been identified in the intranuclear inclusions in human FXTAS brain.<sup> $(26)$ </sup> No downstream effects on splicing patterns as in DM have been described to occur in FXTAS. Some signs of cellular toxicity are observed in cellular models.<sup> $(27,28)$ </sup> Studies are currently focussing on finding possible CGG-BPs that cause cellular dysfunction. Indeed, studies in *Drosophila* have identified hnRNP A2/B1 and pur alpha as CGG-BP. Overexpressing these proteins suppresses the expanded (CGG)*n*induced neurodegenerative eye phenotype.<sup> $(29,30)$ </sup> Although these findings support a pathogenesis model that predicts that PM-sized (CGG)*n* disturb cellular function by sequestering RNA-BPs, a role for these proteins in humans and the precise mechanism through which they cause clinical symptoms remains to be confirmed.

About 20% of the female PM carriers develop premature ovarian failure (POF: cessation of menstruation at or before 40 years of age).<sup>(31)</sup> POF has also been postulated to result from a similar toxic RNA gain-of-function mechanism.<sup>(31,32)</sup>

A clear difference between FXTAS and DM is that in FXTAS *FMR1* transcript levels are increased, while *DMPK* and *ZNF9* are normally expressed. The explanation for this discrepancy might be that disease-associated C(C)UG-repeats are much longer (several thousands), as opposed to 55–200 CGGs in *FMR1*. Shorter, but more abundant repeat tracts in FXTAS might produce the same effect. Penetrance of FXTAS appears dependent on  $(\text{CGG})_n$  length,<sup>(33)</sup> and severity of the  $(\text{CGG})_n$ -induced eye neurodegeneration in *Drosophila* depends both on expression level and  $(CGG)_n$  length.<sup>(34)</sup> Thus, a threshold might exist for the number of RNA-BPs bound to the expanded repeat at which cellular toxicity to become apparent.

#### **Uncertainty of pathogenic mechanisms in the spinocerebellar ataxias**

Expanding repeats also cause a group of SCA disorders. Nine SCAs have been described to date, of which three (SCA8, -10 and -12) are caused by repeats in non-coding regions of different genes. SCA8 is the result of a  $(CTG)<sub>n</sub>$  in the 3<sup>'</sup>UTR of the gene coding for Ataxin-8, SCA10 involves an (ATTCT)*n* in intron 1 of the *ATXN10* (ataxin-10) gene, and SCA12 is caused by a  $(CAG)_n$  in the 5<sup>'</sup>UTR of the *PPP2R2B* gene (protein phosphatase 2) (formerly 2A), regulatory subunit B (PR 52), β-isoform).

 $(CTG)_{>100}$  in the *SCA8* locus is usually associated with the disease. The function of the *SCA8* gene is unknown. However, its overlap with the 5' end of a gene (Kelch-like 1, encoding an actin-binding protein) that is transcribed in the opposite direction suggests transcriptional regulation of this gene. Since transgenic mice and flies show parallels to some aspects of DM and FXTAS, an RNA gain-of-function mechanism has been proposed to underlie SCA8, although further studies will have to confirm this.(35)

SCA10 is the only human disease known to be caused by an expansion of a pentanucleotide repeat. Repeats shorter than 29 units are present in normal alleles of the *ATXN10* gene. (ATTCT)>800 are considered SCA10 mutations. The function of the *ATAXN10* protein product and the pathogenic mechanism that causes SCA10 are at present unknown. Since transcript levels are not reduced in patient cell lines, an RNA gain-of-function mechanism has been proposed. $(12)$ 

SCA12 is a very rare disease. Normal alleles have up to 32 trinucleotides in the gene coding for phosphatase PP2ABb. The lower border of disease-causing repeat length is unclear, but affected patients have alleles in the range of 51–78 CAGs. The gene *PPP2R2B* encodes a brain-specific regulatory subunit of the trimeric phosphatase PP2A. The repeat seems to affect transcriptional efficiency, as transcript levels are increased. Protein levels are also elevated. Many splice isoforms exist and it is conceivable that the SCA12 repeat expansion disrupts splice regulation, thereby altering the function of PP2A. PP2A is a ubiquitously expressed enzyme and is involved in many cellular processes, including apoptosis. A toxic role for  $(CAG)_n$ -containing transcripts cannot be excluded in the development of  $SCA12$ .<sup>(36)</sup> It is also possible that a toxic polyglutamine (polyQ)-containing protein exists.

### **Gain-of-function at the protein level**

The most common trinucleotide repeat causing disease by altering protein physiology is the  $(CAG)_n$ . When translated, this results in a polyQ tract. Many different disorders share a  $(CAG)_n$  in the coding region of a gene. Although expansion sizes, structures, cellular localisation and functions of the resulting proteins differ, all  $(CAG)_n$ -induced diseases are neurodegenerative disorders.

All disorders are associated with neuronal aggregates that contain the disease-causing gene product. PolyQ stretches have an inherent ability to aggregate. Apart from binding to many other proteins, glutamine also shows self-interaction.<sup>(37)</sup> Once polyQ stretches exceed a certain length, they are no longer soluble and form aggregates. The threshold length, above which *in vitro* aggregation takes place, is strikingly similar to the threshold that causes

disease  $(\sim]35-40 \text{ CAGs}.^{(38)}$  Although it is tempting to hold the aggregates responsible for the development of disease, some evidence exists that the large aggregates are not the primary cause of cell toxicity. Some disease models have indeed shown cell toxicity in the absence of aggregates, or that toxicity decreased as aggregate density increased.<sup>(39)</sup>

Nine expanded  $(CAG)_n$  disease-associated genes have been identified. However, these are not the only polyQ-encoding sequences in the genome. Getting to know the normal function of polyQ tracts might facilitate understanding how expansion of the (CAG)*n* tracts and loss of the normal protein function causes disease.(37)

## **Kennedy's disease**

Kennedy's disease was the first disorder known to result from expansion of a polymorphic  $(CAG)_n$  in the coding region of the androgen receptor gene located on the X-chromosome. Patients have 38–62 CAGs as opposed to 9–36 in normal controls.<sup>(2)</sup> The androgen receptor is a nuclear receptor that regulates transcription of hormone-responsive genes upon androgen binding. The polyQ tract is located in the *trans*-activation domain. Presence of an expanded  $(CAG)_n$  decreases receptor expression and alters transcriptional activation. This may account for the androgen insensitivity seen in SBMA patients, but it does explain motor neuron toxicity. Therefore, it has been proposed that the mutant androgen receptor causes motor neuron dysfunction by a toxic gain of function. $(40)$ 

#### **Huntington's disease**

In Huntington's disease (HD), the expanded  $(CAG)_n$  is located at the N terminus of the gene that codes for a protein named Huntingtin. Although the precise function of normal Huntingtin has not yet been established, this protein is known to be involved in several cellular processes such as vesicular transport, cytoskeletal anchoring and clathrin-mediated endocytosis.<sup>(41)</sup> Although loss-of-function of Huntingtin may account for some of the pathogenesis seen in HD, evidence points to a more prominent role for a toxic gain-offunction of mutant Huntingtin. Inclusion bodies containing N-terminal Huntingtin and ubiquitin have been found in postmortem brain material of HD patients. Abnormal protein interactions with mutant Huntingtin could take place, possibly leading to coaggregation of other proteins. However, the precise mechanism by which inclusions lead to pathology, and whether they are a prerequisite for pathology remains to be elucidated. Evidence also exists that inclusions might exert a neuroprotective effect by sequestering the more toxic forms of the aggregated protein.<sup>(42)</sup> However, in transgenic mouse models the presence of inclusions was clearly correlated to disease pathology.<sup> $(43)$ </sup> A conditional mouse model showed reversal of neuropathology and disappearance of inclusion bodies, when mutant Huntingtin expression was turned off.<sup>(44)</sup>

# **Spinocerebellar ataxias**

Expanded  $(CAG)_n$  in coding regions are the cause of SCA1, 2, 3, 6, 7 and 17 (summarised in  $Ref.$ <sup>(4)</sup>). Other than sharing a polyQ tract, the gene products of the different SCA genes bear no homology. SCA1–3 and 7 are caused by Ataxin-1–3 and −7, respectively. SCA6 involves the  $\alpha_1$ A-subunit of the voltage-dependent calcium channel (CACNA1A), and SCA17 is the

result of an expanded polyQ tract in the gene coding for TATA-binding protein (TBP). Much remains to be elucidated in these disease pathways. $(4)$ 

Formation of inclusions suggests that the cellular quality control mechanisms fail to deal appropriately with mutant polyQ-containing proteins. Research focussing on the precise role for chaperones and the ubiquitin proteasome system in these diseases could lead to promising therapeutical strategies.

It cannot be ruled out that in some polyQ diseases loss-of-function of the polyQ-containing protein contributes to the pathogenesis.<sup>(4)</sup> Alternatively, it may be that the repeat-containing RNA also contributes to pathogenesis in polyQ-mediated disease.<sup>(45)</sup>

#### **Other trinucleotide repeats in coding regions**

Another coding repeat that causes neurological disease is a (GCG)*n*, coding for a polyalanine (poly(A)) tract, in the poly(A)-binding protein 2 (PABP2). This leads to oculopharyngeal DM (OPMD). The (GCG)*n* represents the first short disease-causing trinucleotide repeat discovered, *i.e.* 8–13 repeat units in the OPMD families, as opposed to the normal allele of 6 GCGs. Furthermore, the  $(GCG)<sub>n</sub>$  does not show the features of a dynamic mutation.<sup>(46)</sup> The poly(A) tract was postulated to cause pathologically expanded PABP2 to accumulate as filament inclusions in nuclei, which are seen in OPMD patients.<sup>(47)</sup>

An expanded (CTG)*n* in an alternatively spliced exon of the junctophilin-3 (*JPH3*) gene leads to HD-like 2 (HDL2). Two out of the three splice isoforms result in the presence of the repeat in the coding region, leading to a poly(A) or a polyleucine tract. Intranuclear protein aggregates are seen in brain, but the exact effect of the  $poly(A)$  and polyleucine tracts in the pathogenesis of HDL2 is unclear. Formation of RNA inclusions has been postulated, which might suggest a role played by toxic transcripts.<sup>(48)</sup>

# **Timing of repeat instability**

Timing of repeat instability remains surrounded by question marks. Attempts have been made to gain insight into what happens around fertilisation, although the availability of human material is limited.

#### **Timing of expansion in the fragile X mutation**

Expansion to a fragile X FM occurs only upon maternal transmission of a PM allele, while daughters of FM males are clinically and cytogenetically normal. This is due to the sole presence of PM alleles in sperm of FM carriers.<sup>(49)</sup> Two models that consider the moment of instability have been proposed to explain this. First, the prezygotic model (Fig. 2) predicts that an expansion of PM to FM could occur during maternal meiosis, with the FM contracting to a PM in the gametes of male offspring. PM gametes might have some selection advantage either due to the presence of FMRP, or against the presence of an expanded CGG repeat.<sup>(50)</sup> Furthermore, FMs are responsible for a delay in replication of the *FMR1* gene during the cell cycle.<sup>(51)</sup> Thus, primordial germ cells (PGCs) with a PM might have a proliferative advantage, thereby outgrowing FM cells. However, if multiple

contraction events can take place, it is puzzling why only one distinct PM band is mostly seen in sperm of FM men.<sup>(49)</sup>

The other model considers a postzygotic expansion, after separation of the germ line. It assumes that the FM allele has never been present in male or female gametes.<sup>(52)</sup> However, oocytes of a foetal female FM carrier had only FM alleles.<sup>(50)</sup> A postzygotic expansion mechanism occurring only for a PM allele on the maternal X-chromosome would require an imprinting mechanism to distinguish it from a paternally derived PM, which would not expand. $(49)$ 

Based on a simulation study and analysis of tissues of affected foetuses, the prezygotic model is favoured.<sup>(53)</sup> Naturally, a final conclusion can only be drawn after analysis of oocytes from a PM carrier. This material is not available for obvious reasons. Expanded  $(\text{CGG})_n$  knock-in mouse models have been developed,  $(54, 55)$  and these show intergenerational repeat instability.(55,56) These models will be valuable in answering the remaining questions.

#### **Timing of expansion in other trinucleotide repeat disorders**

The exact timing of expansion is also still under debate for other trinucleotide repeat disorders. Intergenerational expansion in DM1 was suggested to result from initial expansion, followed by somatic instability.<sup>(57)</sup> Studies in tissues of monozygotic twin pairs suggest that this first instability event happens at very early embryonic stages, as the twins showed identical expansion patterns. Monozygotic twinning happens at the latest on day 10.5 of embryonic development.<sup>(57)</sup> Expanded *DMPK* alleles are already detectable in oocytes (including the germinal vesicle stage)<sup>(58)</sup> and embryos of mothers with expanded (CTG)*n*, who underwent *in vitro* fertilisation (IVF) with pre-implantation diagnostics for  $DM1<sup>(58)</sup> (CAG)<sub>n</sub> expansions have also been detected in oocytes in a transgenic mouse.$ model for SCA1, *i.e.* after meiotic DNA replication and prior to fertilisation.<sup>(59)</sup> Thus, oogenesis seems the most likely moment of (CTG)*n* expansion.(58) A study in a DM mouse model did not show significant differences between spermatozoa and spermatogonia, implying that germinal expansions are already present very early in spermatogenesis.<sup>(60)</sup>

Instability of normal *DMPK* alleles can occur during male gametogenesis or early embryogenesis. It not yet known whether expansions in the normal range are the result of the same mechanism as that causing larger expansions. Further studies will also need to clarify whether (CTG)*n* expansion continues after fertilisation, during embryonic cell division.(58)

Instability of repeats in coding regions has been less well characterised. Expansions of the  $(CAG)_n$  have been observed in sperm of HD patients,<sup>(61)</sup> as well as transgenic mouse models for HD.(62) Testicular germ cells of two men who died from HD showed that repeat expansions were already present before the end of the first meiotic division, and in some cells even before the start of meiosis. However, the majority of the larger expansions was found in the postmeiotic cell population, suggesting that instability processes may continue after meiosis.(63)

# **Somatic repeat instability**

Despite the intergenerational repeat instability that links all diseases described in this review, the extent of somatic instability varies. Very little, if any, somatic (CGG)*n* instability occurs over the course of life in FRAXA. It has been proposed that CpG methylation stabilises the repeat. $(64)$ 

Other trinucleotide repeat disorders show substantial somatic instability. In particular, the  $(CTG)<sub>n</sub>$  in DM1 is highly unstable and displays tissue-specific rates of instability.<sup>(65)</sup> Muscle cells consistently show longer repeats than peripheral blood leukocytes, suggesting somatic mosaicism.<sup>(66,67)</sup> To date no studies have been published that correlate tissue repeat length to the severity of the phenotype. Ongoing expansions in somatic cells may contribute to the progressive and tissue-specific nature of DM.(68)

Somatic (CTG)<sub>n</sub> instability is dependent on repeat size and age. Thus, older patients show a longer average repeat size.<sup>(68)</sup> In a knock-in mouse model for DM1, which reproduces somatic instability of the  $(CTG)<sub>n</sub>$ , it was observed that once mutant alleles had expanded, they continued to increase in length, while the stable alleles remained of a constant length. $(69)$ 

Somatic (CAG)<sub>n</sub> length heterogeneity within and between tissues has been observed in HD patients. Interestingly, region-specific repeat length analysis revealed dramatic increases in repeat length in the striatum, which is the brain region that is primarily affected in HD both in a mouse model for HD<sup>(70)</sup> and in early stage HD patients.<sup>(71)</sup> Somatic (CAG)<sub>n</sub> instability has also been seen in SCA1, SCA3, SCA7, SBMA and FRDA.<sup>(72)</sup>

## **Molecular mechanisms of repeat instability**

One of the first proposed mechanisms involved in repeat instability at the molecular level was slippage of the replication fork during replication. Unpaired bases form loops, which result in expansions or contractions in a next round of replication, depending on whether the looped repeats are in the newly synthesised or template strand (Fig. 3).<sup>(73)</sup> However, slippage alone cannot explain all aspects of repeat expansions.

Recognition of the unusual structural properties of trinucleotide repeats yielded new insights. Disease-causing repeats are almost exclusively formed of (CNG)*n* triplets. Singlestranded  $(CNG)_n$  can form hairpin-like structures that can have both Watson–Crick and mismatched base pairs. Due to their different sequences, the leading and lagging strand have different tendencies to form hairpins. The secondary structures are likely to affect recognition and subsequent repair or recombination of this structure.(5,74)

Unusual DNA structures may stall DNA polymerases. Studies in yeast replication mutants showed a marked increase in frequency of repeat instability. Proteins involved in lagging strand synthesis, coordination between synthesis of the leading and the lagging strand and restarting of the replication fork play a role in the stability of microsatellite repeats (reviewed in Ref.(5) ). A complex model based on replication fork stalling and restarting is described in detail by Mirkin.<sup>(75)</sup> This model can explain all observations on trinucleotide

instability on a molecular level. For instance, anticipation could be the result of progressively increased instability due to consecutive replication stalls and restarts within longer repeat tracts. Also, the threshold length of the repeat tract for the formation of secondary structures coincides with the length of the average eukaryotic Okazaki initiation zone (~200 bases), which might explain why various repeats show similar thresholds for expansion.(5)

# **Modifiers of repeat instability**

Initial studies in simple model systems as *Escherichia coli* and *Saccharomyces cerevisiae*  have provided important insight into the dynamics of triplet repeat instability. They are, however, of limited value since repeats have a tendency to contract in bacteria and yeast, as opposed to human expansions. In addition, germ line and somatic instability cannot be studied. Transgenic and knock-in mouse models have been shown to mimic human repeat dynamics more closely.(72)

The repetitive nature of the sequence of a trinucleotide repeat is a primary determinant of its unstable nature, but many other factors also play a role. Results obtained in the different model systems are discussed below, divided in *cis-*and *trans*-acting factors. *Cis-*acting refers to factors that are directly associated with the repeat, whereas elements that interact with the repeat can be considered *trans*-acting elements.

# **Cis-acting factors**

The most obvious factor involved is the length of the repeat tract. Most trinucleotide repeat disorders show a stable length range, as well as a threshold above which they become unstable.(72)

#### **Repeat sequence**

The precise sequence of a repeat affects instability. When a different trinucleotide interrupts a repeat tract, it is more stable than a pure repeat. Interruptions determine the chances of folding into hairpins and their stability. For example, AGG interruptions greatly stabilise expanded  $(CGG)_n$  tracts.<sup>(76)</sup> Also the position of the AGG interruption influences stability as most  $(CGG)_n$ -length variations are seen at the 3' end of the repeat in the *FMR1* gene.<sup>(77)</sup> A similar stabilising effect of interruptions on  $(CAG)_n$  has been described in humans<sup>(78)</sup> and mice.(59)

A sequence-specific effect can also be concluded from observations that (CTA)*n* and  $(TAG)<sub>n</sub>$  did not show detectable expansions in a yeast model that did show  $(CAG)<sub>n</sub>$  and (CTG)*n* instability, possibly related to the impossibility of forming secondary structures for the former repeat sequences.<sup>(79)</sup>

#### **Flanking sequences**

The first attempts to mimic intergenerational repeat instability in mouse models expressing expanded trinucleotide repeats failed. Transgenic models expressing (CGG)*n* of lengths that cause instability in humans showed stable transmission to next generations.(80)

The observation that almost all C/G-rich trinucleotide repeat-associated genes have a CpG island in close proximity was seen as a clue that flanking regions and chromatin structure near the triplets might play a role.  $(81)$  The relative expandability of a repeat is associated with the GC content of the flanking region. GC-rich chromatin might affect the flexibility of the trinucleotide repeat and the stability of secondary structures formed by the repeat. This could affect DNA replication and transcription processes.<sup>(82)</sup> The observation that a fragile site can be induced at the FRAXA locus might also hint at an abnormal chromatin structure,<sup>(83)</sup> although  $(CAG)_n$  and  $(CTG)_n$  expansions have not been associated with fragile sites.

A transgenic mouse model expressing a 45-kb genomic segment with a  $(CTG)_{55}$  showed moderate intergenerational and somatic repeat instability. It was suggested that the threshold for large increases may be higher in transgenic mice.<sup>(84)</sup> Indeed, mice expressing  $(CTG)_{>300}$ exhibited dramatically increased instability, as compared to the  $(CTG)_{55}$  line, again confirming that instability strongly depends on repeat length.(85)

A knock-in mouse model expressing a human (CGG)<sub>PM</sub> tract in the *Fmr1* gene, thus preserving the genomic context, showed substantial repeat instability,  $(55,56)$  while mice transgenically expressing an expanded (CGG)*n* with some flanking sequences only showed moderate instability.<sup>(86)</sup> Thus, the insertion site also determines repeat instability. This was confirmed by different mouse lines expressing the same transgene, albeit differently integrated, that showed different repeat instability. $(84,87)$ 

#### **Orientation of the repeat with respect to the replication fork**

The orientation of the repeat relative to an origin of replication largely determines the stability of the repeat,<sup>(88)</sup> possibly as a result of the temporary existence of single-stranded DNA (ssDNA) during lagging strand synthesis. This could allow hairpin formation. An origin of replication has recently been identified adjacent to the *FMR1* promoter. The position of this origin of replication with respect to the (CGG)*n* is such that it favours contraction, since the CGG sequence, which is more prone to form stable hairpins than is  $(CCG)<sub>n</sub>$ , is in the lagging strand template.<sup>(89)</sup> Use of an alternative origin of replication downstream of the repeat would cause the  $(CGG)_n$  to be in the nascent Okazaki fragment, which could lead to expansions.<sup>(45)</sup>

## **Trans-acting factors**

Not only characteristics of the repeat itself or the direct vicinity thereof play a role, but also other factors, such as DNA metabolism or the function of other gene, have been described to affect trinucleotide repeat stability.

# **Parental effects**

Different trinucleotide repeat disorders have different patterns of transmission. For instance, a paternal bias for transmission of expansions is seen in HD, while expansions into the fragile X-range occur solely with alleles of maternal origin.<sup>(90)</sup> Observations that the intergenerational mutability of some repeats depends on the sex of the parent suggests that sex-specific factors might induce mosaicism in the male and female germ line, such as

differences in DNA metabolism or the number of rounds of replication during gametogenesis (summarised in Ref.<sup> $(72)$ </sup>). In females, instability might occur when oocytes are arrested in meiosis I, after meiotic DNA replication, which gives a large time window of opportunity for DNA damage to occur. Cells at this stage have only undergone a limited number of cell divisions, which would point to a role for DNA repair in quiescent cells.<sup>(91)</sup> When considering the number of cell cycles, it is not surprising that age of the transmitting parent also appears to have an effect on instability. In fact, expansions can accumulate in sperm over time<sup>(60)</sup> and the magnitude of  $(CAG)_n$  expansions correlates with the age of the transmitting father.(92)

#### **Tissue-specific factors**

The differences in repeat length found between tissues point towards tissue-specific factors influencing repeat stability. Mosaicism has been seen in both proliferating and quiescent tissues, suggesting a replication-independent mechanism.<sup>(93)</sup> Mouse models for DM also show somatic instability throughout life. It is interesting to note that different individual mice of the same mouse line presented the same pattern of mosaicism. This means that somatic instability is not a random process, but that some, as yet unknown, deterministic processes underlie these tissue differences (reviewed in Ref.<sup> $(72)$ </sup>).

#### **DNA replication and repair**

Despite the abundant presence of repetitive DNA in the genome, no general microsatellite instability occurs in the diseases described. Thus, repeat instability is limited to the disease locus. It was therefore concluded that expansions do not result primarily from aberrant *trans*-acting factors involved in DNA replication, repair and recombination. However, evidence is currently accumulating that factors involved in DNA repair and replication do in fact influence trinucleotide instability.

Disturbed replication fork dynamics have been shown to play a role in  $(CTG)<sub>n</sub>$ <sup>n</sup> instability.<sup>(94)</sup> Trinucleotide repeats with a high G–C content impair replication. Flap endonuclease 1 (FEN1) has endonuclease activities acting specifically on 5′ flaps that are created by strand displacement during Okazaki fragment maturation. FEN1 deficiency led to increased (intergenerational) instability of (CAG)*n*/(CTG)*n*. (95)

However, somatic instability has also been seen in non-dividing cells.<sup>(57,96)</sup> Thus, if intergenerational instability is the result of the same mechanism as somatic instability, it cannot solely depend on replication-dependent mechanisms.

DNA repair pathways ought to maintain genome integrity and stability, thus they are likely candidates for modifying trinucleotide repeat instability. Aberrant mismatch repair (MMR) systems may cause repeats to become unstable. In MMR, heterodimers are formed of MutS homologue 2 (MSH2) with either MSH3 or MSH6, which can then recognise single-base mismatches or short unpaired regions. Transgenic mice expressing  $(CTG)_{>300}$  were crossed with mice knockout for *Msh2*. Lack of *Msh2* changed the direction of both intergenerational and somatic instability, with only contractions taking place, as opposed to mostly expansions in a wild-type *Msh2* background.<sup>(97)</sup> Mouse models for HD have also

shown increased contraction frequencies in an *Msh2*-deficient background.(98) *Msh3* is also necessary for  $(TG)$ <sub>n</sub> expansion, while *Msh6* does not play a key role.<sup>(99)</sup>

The influence of genes involved in replication and repair on instability of the (CGG)*n* repeat in *Fmr1 gene* has also been investigated in a mouse model for FXTAS. Only ataxiatelangiectasia and rad3-related kinase (ATR) deficiency was found to influence (CGG)*<sup>n</sup>* instability. ATR responds to stalled replication forks and bulky DNA adducts. Maternal *ATR*  heterozygosity led to more expansions, and an additional effect was seen for *ATR*  heterozygosity in the offspring. This suggests that expansion can occur prior to fertilisation of the oocyte, and subsequently in the haploid oocyte. $(100)$ 

Mutant screens have been conducted in yeast to search for genes that have a modulating effect on (CAG)*n* instability. Mediator of the replication checkpoint protein 1 (Mrc1) was revealed as a mediator of instability. Mrc1 and associated proteins have a role in preventing development of too-long stretches of ssDNA that are likely to form aberrant secondary structures. Mrc1 also has a function as a checkpoint protein after secondary structure formation, aiming at prevention of establishing the mutagenic event.  $(101)$ 

Taken together, it is clear that disturbed replication and repair processes increase the frequency of repeat instability events. These processes can be difficult to distinguish, as replication can be part of normal mitosis, or repair dependent. Whether expansion in human disease is the result of normal or repair-induced replication<sup> $(102)$ </sup> remains to be elucidated.

#### **Epigenetic factors**

Transcription of repeats might promote formation of secondary structures, which then attract various chromatin-modifying complexes.  $(103,104)$  This is in line with the stabilising effect of CpG methylation that has been reported for the (CGG)*n* in the *FMR1* gene, since DNA methylation causes transcriptional silencing.<sup>(64)</sup> Other epigenetic changes may also occur in the vicinity of long (CTG)*n* that may trigger formation and spreading of heterochromatin, affecting transcription. In a mouse model for SCA1, heterozygosity for the maintenance DNA (cytosine-5-)methyltransferase 1 (*Dnmt1*), indeed promoted intergenerational (CAG)*<sup>n</sup>* expansion. (105)

A transgenic mouse model expressing a construct containing an origin of replication of SV40, followed by a  $(CGG)_{26}$ , showed large intergenerational expansions, into the FRAXA FM range.<sup>(106)</sup> SV40 origin of replication is known to exclude nucleosomes, which is likely the cause of the large instability seen in these mice, since expanded  $(CGG)_n$  have also been shown to exclude nucleosomes in experiments *in vitro*. This also hints at chromatin characteristics having an influence on repeat instability. $(107)$ 

# **Concluding remarks**

This review has dealt solely with the negative consequences of the presence of expanded trinucleotide repeats, so the question remains 'why have these repetitive elements evolved, and even more striking, been maintained'? Transcriptome-wide database analysis has identified several hundreds of transcripts containing triplet repeat tracts (>6 repeat units).

Repeats are overrepresented in 5′UTRs. 5′UTRs often play a role in regulation of translation. The preferential localisation of the repeats within the mRNA might hint at their biological role. Many repeat-containing transcripts are indeed involved in cell signalling or transcription and translation. Expansion of the repeat may impair these functions.(108)

Concerning polyQ tracts, it is noteworthy that glutamine is encoded for by both CAG and CAA. Therefore, if there were some selectional advantage for a polyQ tract, it would not need a pure CAG tract, as is generally seen. Evidence exists that both (CTG)*n*- and (CAG)*n*repeat, but not non-repeat, sequences form a functional component of an insulator element, thereby influencing regulation of gene expression.  $(CTG)<sub>n</sub>$  and  $(CAG)<sub>n</sub>$  repeats have been found to act as strong nucleosome positioning elements *in vitro*<sup>(109,110)</sup> and also  $(CGG)_n$  has been shown to exclude nucleosomes in *in vitro* studies.<sup>(107)</sup>

Thus, trinucleotide repeats do not only generate negative effects. However, negative effects might prevail once they expand beyond a certain threshold. Future studies might shed light on beneficial characteristics, which will explain why they have been maintained over the course of evolution. Naturally, future studies should also focus on how instability, as well as the negative effects of expansion, can be prevented.

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# **Abbreviations**





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## **Figure 1.**

Location of repeats within genes in relation to pathogenic mechanism. This schematic gene shows all microsatellite repeats described in this review, with their location within the gene. The colours indicate which pathogenic mechanism is thought to underlie the associated diseases. All repeats depicted above the gene are located in a coding sequence of the gene, while all repeats below are in non-coding regions. Please refer to the text and Table 1 for further information regarding these repeats, genes and associated disorders.



#### **Figure 2.**

ovary

The prezygotic model of expansion of the fragile X mutation. This model assumes that an expansion of a maternal PM to an FM takes place during meiosis. The fertilised oocyte carries an FM allele. After separation from the embryo proper, the PGCs have an FM. Some alleles will contract to PMs. To explain why FMs are only transmitted through females, some selection must exist against FMs in the male germ line during spermatogenesis. In the mature testes, PM alleles predominate. In somatic cells and the female germ line, this selection does not take place. Cells with a PM are shown in green and cells with an FM are depicted in orange.

mature testes



#### **Figure 3.**

Replication fork progression and repeat instability. During normal replication, helicases break the hydrogen bonds that keep the two DNA strands together, which yield the replication fork. DNA polymerase can only synthesise a new strand in a 5′ to 3′ direction. Hence, on one strand (leading strand) DNA polymerase reads the DNA and adds nucleotides to the nascent strand in a continuous manner. On the other strand (lagging strand) the complementary strand is synthesised in short segments (Okazaki fragments) at a time, which are later joined together by DNA ligase. Formation of secondary structures, such as hairpins can form in one of the strands. This can impair normal replication fork processing. The sequence of the strands, together with the position of the origin of replication with respect to the repeat sequence, determine which strand is more prone to form hairpins. Whether a hairpin is present in the template or nascent strand, in turn determines whether contraction or expansion results in the next round of replication.

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# **Table 1**

Characteristics of all microsatellite repeats described in this review and the diseases that they cause, categorised by the pathogenic mechanism thought to underlie these neurological trinucleotide repeat Characteristics of all microsatellite repeats described in this review and the diseases that they cause, categorised by the pathogenic mechanism thought to underlie these neurological trinucleotide repeat disorders.

