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Adaptive Mechanisms of Developing Brain: Cerebral Lateralization in the Prematurely-Born

Soo Hyun Kwon, M.D.^{1,*}, Dustin Scheinost, Ph.D.^{2,*}, Cheryl Lacadie, B.S.², Gordon Sze, M.D.², Karen C. Schneider, M.P.H.¹, Feng Dai, Ph.D.³, R. Todd Constable, Ph.D.², and Laura R. Ment, M.D.^{1,4}

¹Department of Pediatrics, Yale School of Medicine, New Haven, CT

²Department of Diagnostic Radiology, Yale School of Medicine, New Haven, CT

³Department of Epidemiology and Public Health, Yale School of Medicine, New Haven, CT

⁴Department of Neurology, Yale School of Medicine, New Haven, CT

Abstract

Preterm birth results in alterations in neural connectivity, but the impact of prematurity on the functional organization of the developing brain has yet to be explored. To test the hypothesis that preterm birth alters cortical organization during the late second and third trimesters of gestation, we interrogated cerebral lateralization at rest in 26 very preterm subjects (birth weight 500–1500 g) with no evidence of brain injury and 25 healthy term control subjects at term equivalent age. Employing an unbiased voxel-based measure of functional connectivity, these data demonstrated that cerebral lateralization is impaired in the prematurely-born. At term equivalent age, preterm neonates showed significantly less lateralization in regions subserving both receptive and expressive language, left Brodmann (BA) areas insula-BA22-BA21 and L BA45-BA47 ($p < 0.05$ corrected for multiple comparisons for both). Exploratory region of interest analyses demonstrated significantly less inter-hemispheric connectivity from L BA22 to R BA22 in preterm infants compared to term controls ($p < 0.005$) and from R BA22 to its homolog ($p < 0.005$). L BA22, Wernicke's area, was more strongly connected to R BA39, foreshadowing neural networks for language in preterm subjects at school age, adolescence and young adulthood. For these very preterm neonates born at less than 30 weeks PMA, the degree of prematurity had no influence on lateralization in these differential regions.

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Corresponding author: Soo Hyun Kwon, MD, Department of Pediatrics, Division of Neonatal-Perinatal Medicine Yale School of Medicine, 333 Cedar Street, PO Box 208064, New Haven, CT 06520-8064, USA, soo.kwon@yale.edu, Phone: 203-688-2320, Fax: 203-688-5426.

*These authors contributed equally to the work.

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Keywords

Resting-state functional connectivity; Functional MRI; Intrinsic connectivity distribution; Cerebral lateralization; Preterm infant brain; Language development

1. INTRODUCTION

While it has been known for many years that prematurity results in disordered neural connectivity (Huppi et al., 1998; Inder et al., 2003; Nosarti et al., 2006; Smyser et al., 2010; White et al., 2014), emerging data suggest that preterm (PT) birth alters the fundamental functional organization of developing brain. Multiple studies across the past decade suggest that alterations in neural networks contribute to the cognitive and behavioral difficulties of the prematurely-born (Doesburg et al., 2011; Ment et al., 2009; Myers et al., 2010; Nosarti, 2013; Salvan et al., 2013), but recent reports demonstrate alterations in the genetically-determined process of cerebral lateralization in PT subjects at adolescence and young adulthood (Scheinost et al., 2014; Wilke et al., 2014). Lateralization implies localization of a cognitive task to a specific cerebral region, and lateralization of language is a critical characteristic of developing brain (Power et al., 2010; Renteria, 2012).

Functional MRI studies suggest that those regions known to constitute the neural network for language in adults, children and older infants are also activated in newborns in response to language stimulation (Dehaene-Lambertz et al., 2002; Dehaene-Lambertz et al., 2010; Dehaene-Lambertz et al., 2004). Consistent with neuropathologic studies (Chi et al., 1977), this network involves both frontal and temporal regions with a clear dominance of the left hemisphere (Pena et al., 2003; Perani et al., 2011), and those regions sub-serving language are functionally connected (Hickok and Poeppel, 2007).

Likewise, studies across the putative third trimester of gestation suggest that healthy PT neonates develop the structural basis for language during this time interval (Dubois et al., 2009; Leroy et al., 2011). Similarly, functional imaging demonstrates the emergence of auditory networks in PT subjects between 30–40 weeks of gestation (Doria et al., 2010; Omidvarnia et al., 2013; Smyser et al., 2011). However, relative to term controls, PT neonates exhibit altered discrimination of speech sounds and deficits in auditory memory at term equivalent age and long term deficits in language processing (Luu et al., 2009; Taylor et al., 2004; Therien et al., 2004).

These data suggest that PT birth results in both proximate and long-lasting changes in cerebral functional organization. To test the hypothesis that PT birth alters cortical organization during the late second and third trimesters of gestation, we interrogated cerebral lateralization at rest in very PT subjects and term control subjects at term-equivalent age employing a novel data-driven voxel-based connectivity analysis strategy. Secondary seed-based analyses provided information about neural networks for language in the preterm brain.

2. METHODS

This study was approved by the Yale University Human Investigation Committee.

2.1 Subjects

Preterm neonates with a birth weight between 500–1500 grams and healthy term controls born between 37–41 weeks' postmenstrual age (PMA) were eligible for the protocol and prospectively enrolled between 9-01-10 and 4-30-14. All were inborn and appropriate for gestational age (AGA). Exclusion criteria included evidence of congenital infections, congenital malformations and/or chromosomal disorders, seizures, intraventricular hemorrhage, periventricular leukomalacia or focal abnormalities on any MRI.

2.2 Imaging Parameters

Subjects were scanned without sedation using a feed-and-wrap protocol in either a 3 T Siemens (Erlangen, Germany) TIM Trio or Verio MR system with a 32-channel parallel receiver head coil. Localizer images were acquired for prescribing the functional image volumes, aligning the seventh or eighth slice parallel to the plane transecting the anterior and posterior commissures. T1-weighted 2D anatomical images were collected (TR=300ms, TE=2.47ms, FoV=220mm, matrix size=256x256, slice thickness=4mm, Flip Angle=60°, Bandwidth=300Hz/pixel with 25 slices) with 25 AC-PC aligned axial-oblique slices in addition to 3D anatomical scans using Magnetization Prepared Rapid Gradient Echo (MPRAGE) (176 contiguous sagittal slices, slice thickness=1mm, matrix size=256x256, FoV=256mm, TR=2530ms, TE=2.77, Flip Angle=7°, Bandwidth=179Hz/pixel). After these structural images, acquisition of functional data began in the same slice locations as the axial-oblique T1-weighted data. Functional images were collected using an echo-planar image gradient echo pulse sequence (TR=1500ms, TE=27ms, FoV=220mm, matrix size=64x64, slice thickness=4mm, Flip Angle=60°, Bandwidth=2520Hz/pixel, 25 slices). Functional runs consisted of 186 volumes on the TIM Trio system or 235 volumes on the Verio system. The first 6 volumes were removed to allow the magnetization to reach the steady-state.

2.3 Connectivity Preprocessing

Data analyses were performed as previously described (Kwon et al., 2014). Briefly, data were converted from Digital Imaging and Communication in Medicine format to analyze format using XMedCon (<http://xmedcon.sourceforge.net/>). Images were slice-time-corrected and motion-corrected using SPM5 (<http://www.fil.ion.ucl.ac.uk/spm/software/spm5/>). All further analysis was performed using BioImage Suite (Joshi et al., 2011). Several covariates of no interest were regressed from the data including linear and quadratic drift, six rigid-body motion parameters, mean cerebral-spinal-fluid (CSF) signal, mean white-matter signal, and overall global signal. The data were temporally smoothed with a zero mean unit variance Gaussian filter (approximate cutoff frequency=0.12Hz). A gray matter mask was applied to the data so only voxels in the gray matter were used in the calculation. Finally, as motion has been shown to confound connectivity studies (Van Dijk et al., 2012), blocks of data with a displacement greater than 1.5mm or a rotation greater than 2 degrees of rotation were removed. There were no significant differences for motion when PT infants were compared to term controls ($p=0.61$). All subjects had at least 2.5 minutes of resting state data.

2.4 Determination of Connectivity Lateralization

After preprocessing, the connectivity lateralization of each voxel as measured by the cross-hemisphere intrinsic connectivity distribution (ch-ICD) was calculated for each individual subject as described previously (Scheinost et al., 2014). To calculate ch-ICD, the time course for a voxel was correlated with the time course for every other voxel in the ipsilateral hemisphere. ICD was used to model the distribution of these correlations as a Weibull distribution (Scheinost et al., 2012). The ICD parameterization efficiently summarizes the greater than 10,000 connections to a voxel to a single summary parameter of interest. This procedure was repeated for every voxel resulting in a map representing each voxel's connectivity to the same hemisphere. Similarly, a map representing a voxel's connectivity to the contralateral hemisphere was calculated. Both the ipsilateral and contralateral maps were standardized by converting to z-scores such that maps across participants could be averaged and compared. This standardization does not change the connectivity patterns, but ensures all participants are equally scaled (Buckner et al., 2009). Finally, to detect patterns of between hemisphere connectivity, the ipsilateral and contralateral connectivity maps were subtracted creating our ch-ICD metric (Figure 1).

2.5 Region of Interest Connectivity

Secondary region of interest (ROI) connectivity analysis was performed to explore (post-hoc) regions highlighted in our primary analysis (see Table 1 for seed regions). The ROIs were defined on the reference brain and transformed back (via the inverse of the transforms described below) into individual participant space. The time course of the reference region in a given participant was then computed as the average time course across all voxels in the reference region. This time course was correlated with the time course for every other voxel in the gray matter to create a map of r-values, reflecting ROI-to-whole-brain connectivity. These r-values were transformed to z-values using Fisher's transform yielding one map for each ROI and for each participant representing the strength of correlation to the ROI.

2.6 Common Space Registration

To facilitate comparisons of imaging data, all single participant ch-ICD results were first spatially smoothed with a 6mm Gaussian filter and warped to a common template space through the concatenation of a series of linear and non-linear registrations. The functional series were linearly registered to the T1 axial-oblique (2D anatomical) image. The 2D anatomical image was linearly registered to the MPRAGE (3D anatomical) image. The 3D anatomical image was non-linearly registered to the template brain. All transformation pairs were calculated independently and combined into a single transform warping the single participant results into common space. This single transformation allows the single participant images to be transformed to common space with only one transformation, reducing interpolation error. All transformations were estimated using the intensity-only component of the method implemented by BioImage Suite as previously reported (Papademetris et al., 2004).

2.7 Brain Volumes

To control for possible differences in brain volumes, total brain volume (TBV) was calculated from each subject's MPRAGE images. For each subject, the brain was manually traced and extracted from non-brain tissue using previously described tools and methods (Blumberg et al., 2005). TBV was calculated as volume of cerebral gray matter, white matter, ventricular CSF, cerebellum and brainstem. To validate our volumes, two raters each performed the manual segmentation of 5 randomly chosen structural MRIs. The correlation between raters for volume was $r=0.978$, the intra-class correlation was $r=0.989$, and the average Jaccard index between segmentation was 92.8%.

2.8 Statistical Analyses

Imaging data were analyzed using t-tests. Significance was assessed at a $p<0.05$ for between group ch-ICD comparisons (PT group minus term group), $p<0.001$ for within group seed composites (within PT and term groups), and $p<0.005$ for between group seed comparisons (PT group minus term group). All maps were corrected for multiple comparisons across gray matter via AFNI's AlphaSim program. All results were also localized in terms of the Brodmann areas (BA) and Talairach coordinates using BioImage Suite's digital Brodmann atlas and Talairach atlas (Lacadie et al., 2008).

Demographic data were analyzed either using standard chi-squared test statistics or using Fisher's exact test for categorical data. Continuous-valued data were analyzed either using t-tests or using Mann-Whitney u-tests when a normal distribution could not be assumed to compare groups. Finally, Pearson's correlations and general linear model (GLM) analyses were performed to assess the relationship between perinatal variables and ch-ICD. The comparison of ch-ICD between PT and term group status was performed after adjusting for five biologically or clinically relevant covariates including gender, PMA at scan, scanner type, TBV and a motion variable (mean frame-to-frame displacement). PMA at birth was indicative of a subject's group status (PT vs. term), therefore we did not include it in the regression analyses.

All analyses were performed using SAS version 9.4 (Cary, NC). P-value of less than 0.05 was considered to be statistically significant.

3. RESULTS

Thirty PT infants and 32 term controls met all inclusion criteria, and data from 26 PT and 25 term infants were analyzed after exclusion of 11 infants due to motion artifact. There was no significant difference in motion between the PT and term groups ($p=0.51$). Although there was no gender difference between the two groups, PMA at scan and TBV were significantly less for PT subjects compared to term controls ($p<0.001$ for both; Table 2).

As shown in Figure 2, voxel-wise, whole brain analyses revealed significant differences in cerebral lateralization in the left hemisphere language regions, including lateral BA45 and 47 (regions contributing to Broca's area), insula, BA22 (Wernicke's area) and BA21 (the lateral temporal cortex), as well as portions of BA39 and 40 ($p<0.05$ for all) for PT subjects compared to term controls. As shown in Table 3, after adjusting for five covariates including

gender, PMA at scan, scanner type, TBV and the motion variable (mean frame-to-frame displacement), group status (i.e., PT or term control) was shown to be an independent and statistically significant predictor of cerebral lateralization for both L IFG (lateral BA45-BA47) [beta (standard error): -0.162 (0.054), $p=0.005$] and the perisylvian region including insula-BA22-BA21 (beta (standard error): -0.125 (0.045), $p=0.008$). The negative regression coefficients (i.e., beta values) suggested that a PT subject had less lateralization than a term subject who otherwise had the same characteristics and these negative differences were statistically significant in insula-BA22-BA21 and BA45-BA47 regions.

In contrast, there was no statistically significant association between group status and lateralization in the motor region ($p=0.477$).

In addition, a regression analysis of PT subjects did not identify bronchopulmonary dysplasia as a significant predictor of lateralization ($p=0.076$ for insula-BA22-BA21; p -values >0.1 for all other regions), although there was a trend to significance for insula-BA22-BA21. There was no correlation between PMA at birth and cerebral lateralization within the PT group for either of these differential regions (e.g., lateral BA45-BA47: Pearson $r=0.04$, $p=0.85$; insula-BA22-BA21: $r=0.18$, $p=0.37$).

Comparing seed-based connectivity from L BA22, the region of greatest differential lateralization in PT and term controls, PT infants showed decreased connectivity from L BA22 to its R BA22 homolog and increased connectivity from L BA22 to R BA39 (Figure 3). Seed locations and volumes are given in Table 1. Similarly, when a seed was placed in R BA22, there was decreased connectivity from R BA22 to its L BA22 homolog in PT infants compared to term controls (Figure 4). In addition, a seed placed in R BA39 demonstrated that PT infants showed both decreased local connectivity within this region and greater cross-hemispheric connections from R BA39 to the contralateral hemisphere (L BA22, insula, putamen and amygdala) compared to term controls (Figure 5).

In contrast, a seed-connectivity analysis was performed for the L motor area as a control region; as shown in Figure 6, there were no statistically significant differences in connectivity from the L motor seed between the two groups.

4. DISCUSSION

Lateralization of language is an essential characteristic of the developing human brain, and these data suggest that this most fundamental process is impaired in the prematurely-born. At term equivalent age, PT neonates show significant lack of lateralization to regions subserving both receptive and expressive language, L insula-BA22-BA21 and L lateral BA45-BA47. ROI analyses demonstrate a significant decrease in inter-hemispheric connectivity from L BA22 to its homolog – and back again from R BA22 to L Wernicke's for the PT group. Furthermore, L BA22 is more strongly connected to R BA39, angular gyrus, in preterm infants than term controls, foreshadowing neural networks for language in PT subjects at school age, adolescence and young adulthood. Also noteworthy is the lack of correlation of the degree of prematurity (PMA at birth) with lateralization in language regions at term equivalent age in the PT group. Likewise for the PT group, PMA at scan

shows no association with lateralization, suggesting the lack of late postnatal influence on lateralization.

Emerging research suggests a strong coupling between functional connectivity, blood supply, and metabolic demand (Liang et al., 2013; Vaishnavi et al., 2010), suggesting that regions with greater connectivity have greater blood flow and metabolism to support the additional connections. As such, a decrease in voxel-wise connectivity or lateralization may reflect an underlying decrease in blood flow or metabolism. This decrease in functional connectivity may be seen in injured regions of the brain, as well as in regions with altered development secondary to epigenetic changes related to prematurity, and may result in the reduced capacity to establish long-range connections.

Prior functional imaging studies of PT neonates at term equivalent age have examined the emergence of inter-hemispheric neural networks (Doria et al., 2010; Fransson et al., 2007; Smyser et al., 2010). They have begun to describe those rich club nodes destined to serve as hubs for the efficient long-range transfer of information (Ball et al., 2014; van den Heuvel et al., 2014), but none has yet described the functional organization of developing brain at a time before the onset of language.

Nonetheless, the fetus may provide the appropriate control for PT neonates. Recent studies demonstrate the emergence of inter-hemispheric connectivity for language regions including both Wernicke's and Broca's regions during the late second and third trimester of gestation in typically developing fetuses (Anderson and Thomason, 2013; Thomason et al., 2013). In addition, graph theory analyses suggest that a ventral-frontal-temporal cortex module present in both younger and older fetuses (i.e., those < 31 weeks of gestation and those > 31 weeks of gestation) becomes more left-lateralized and integrated with frontal and parietal language regions with increasing postmenstrual age (Thomason et al., 2014). Taken together, these data present evidence for fetal functional lateralization of language mimicking that of older infants, children and adults. It also provides confirming evidence for the alterations in functional lateralization of language regions we report in the prematurely-born.

The strengths of this study are the innovative imaging strategies, prospective data collection and moderately robust sample size. The weaknesses include the as yet unavailable language testing data and longitudinal imaging studies targeting both critical periods and regions of functional alteration.

5. CONCLUSIONS

Preterm birth is a major pediatric public health problem in the world today (Blencowe et al., 2013a; Blencowe et al., 2013b), and almost 40% of preterm subjects experience language disorders (Northam et al., 2012; Pritchard et al., 2014). If a major aim of perinatal intensive care is to promote typical development in the prematurely born (Bauer and Msall, 2010), then interrogation of molecular mechanisms responsible for language in the developing brain is critically important for this goal (Johnson et al., 2009; Tebbenkamp et al., 2014). Future studies should identify the critical periods and regions in which lateralization are perturbed in preterm neonates and the epigenetic events which drive these findings.

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ABBREVIATIONS

BA	Brodman area
Ch-ICD	Cross-hemisphere intrinsic connectivity distribution (i.e., lateralization)
GLM	General linear model
ICD	Intrinsic connectivity distribution
PMA	Postmenstrual age
PT	Preterm
TBV	Total brain volume

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HIGHLIGHTS

- We compare cerebral lateralization in preterm and term neonates at term age.
- Preterm neonates have altered lateralization in left hemisphere language areas.
- L BA22, Wernicke's area, is more strongly connected to R BA39 in preterm neonates.
- Results foreshadow findings in preterm children, adolescents and young adults.
- Preterm birth at very low postmenstrual age alters corticogenesis.

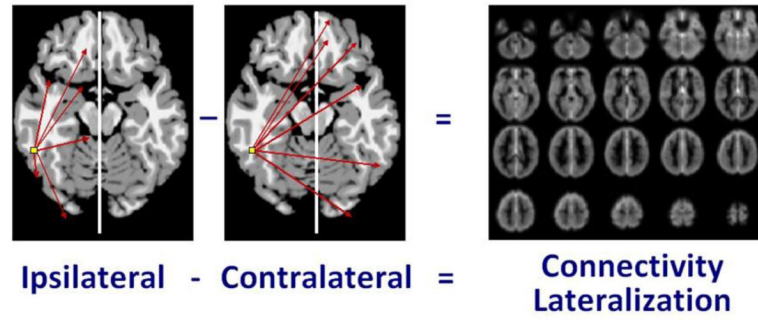


Figure 1. Cerebral Lateralization

Ch-ICD, or cross-hemisphere Intrinsic Connectivity Distribution, is a measure of the cerebral lateralization of any voxel to a particular hemisphere. It independently compares the voxel's connectivity to the intra-hemispheric (ipsilateral) and inter-hemispheric (contralateral) hemisphere and is calculated as ipsilateral connectivity minus contralateral connectivity.

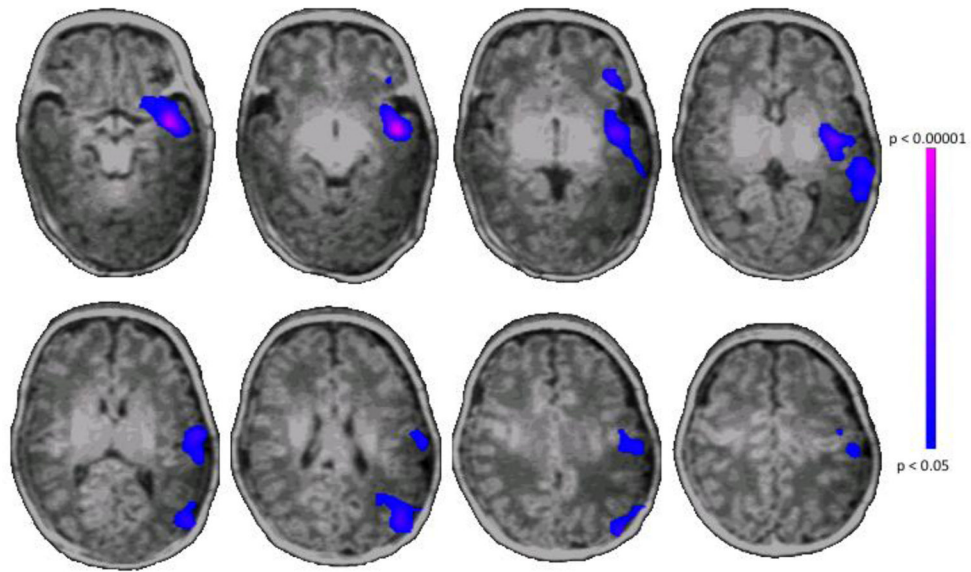


Figure 2. Group comparison of cerebral lateralization

ch-ICD analysis showed preterm subjects have decreased cerebral lateralization in L BA45, 47, 21, 22, lateral temporal cortex, insula, 39 and 40 compared to term subjects ($p < 0.05$ for between group comparisons, corrected for multiple comparisons).

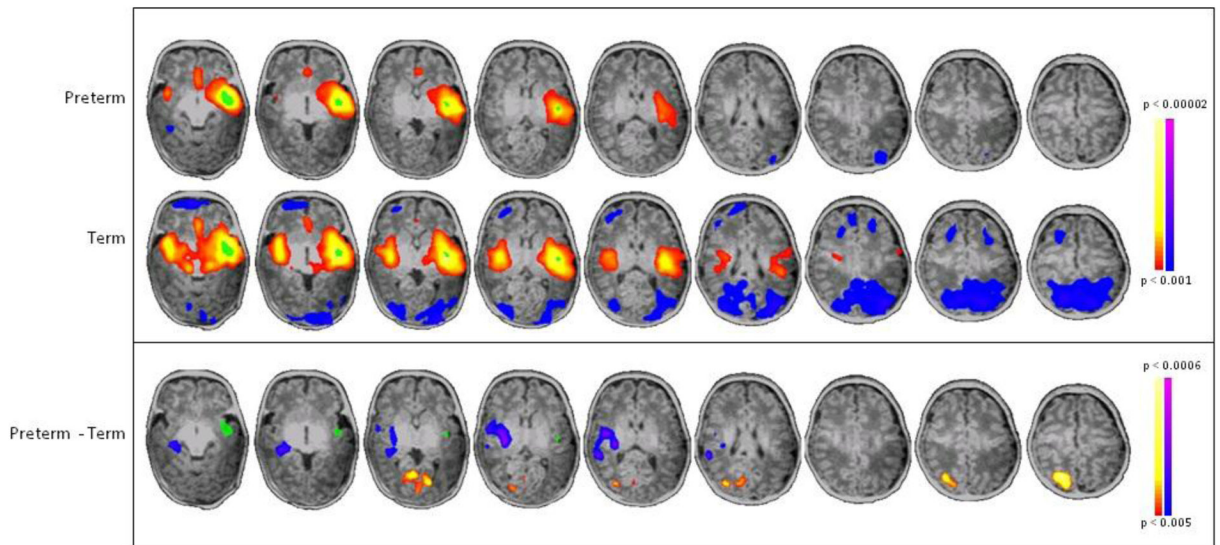


Figure 3. Seed-based connectivity analysis of L BA22

Seed placed in L BA22 (green) showed that preterm subjects have decreased connectivity to its homologue, R BA22 (blue/purple), and increased connectivity to R BA39 (yellow/red) compared to term controls ($p < 0.001$ for individual group composites and $p < 0.005$ for between group comparisons, both corrected for multiple comparisons).

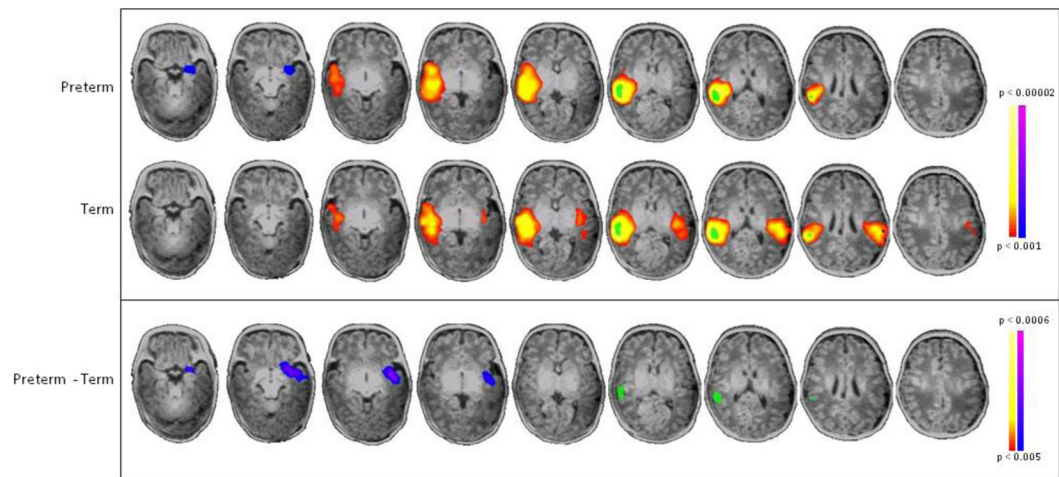


Figure 4. Seed-based connectivity analysis of R BA22

Seed placed in R BA22 showed preterm subjects have decreased connectivity to its homologue, L BA22 (blue/purple) in preterm subjects compared to term controls ($p < 0.001$ for individual group composites and $p < 0.005$ for between group comparisons, both corrected for multiple comparisons).

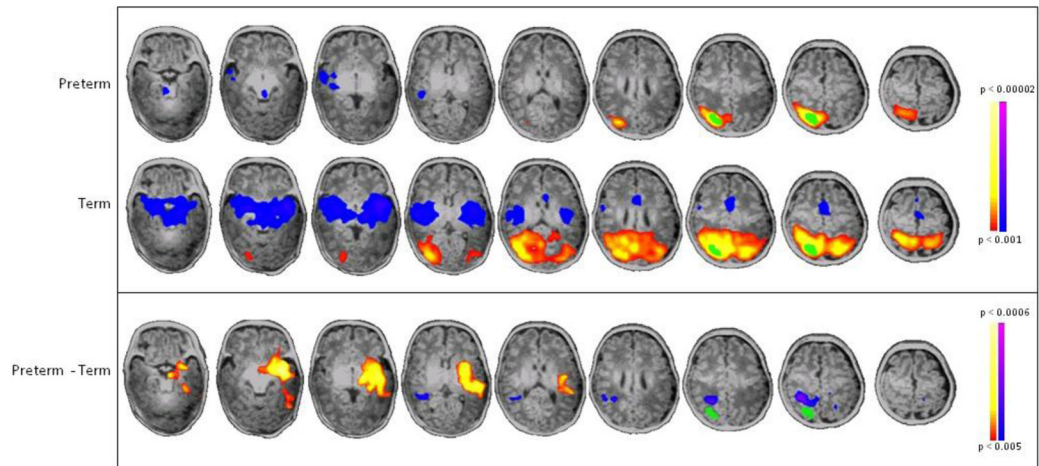


Figure 5. Seed-based connectivity analysis of R BA39

Seed placed in R BA39 showed preterm subjects have decreased intra-hemispheric connectivity within this region and increased inter-hemispheric connectivity to L BA22, insula, putamen and amygdala (yellow/red) compared to term controls ($p < 0.001$ for individual group composites and $p < 0.005$ for between group comparisons, both corrected for multiple comparisons).

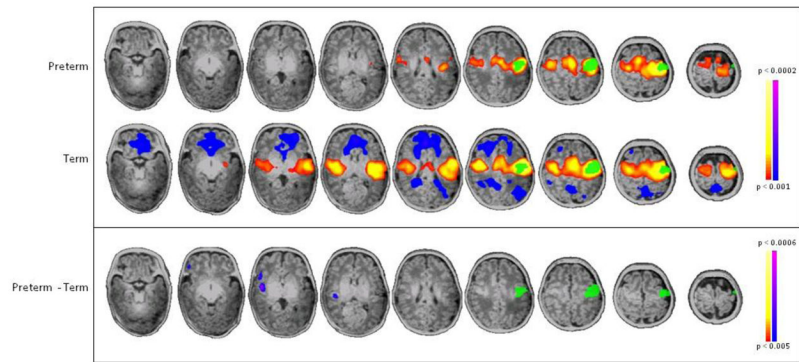


Figure 6. Seed-based connectivity analysis of L motor cortex

Seed placed in L motor cortex (green) showed no statistically significant difference in connectivity throughout the whole brain between preterm and term subjects ($p < 0.001$ for individual group composites and $p < 0.005$ for between group comparisons, both corrected for multiple comparisons).

Table 1

Characteristics of Seed Regions of Interest

Seed ROI	Volume (mm ³)	Talairach Coordinates ^a	Origin of Seed Regions
L BA22	1018.51	-42, -7, -7	ch-ICD analysis ^b
R BA39	1402.82	29, -71, 31	Seed-based connectivity analysis from L BA22 ^b
R BA22	744.63	54, -36, 14	Seed-based connectivity analysis from L BA22 ^b
L Motor Cortex	4098.75	-44, -17, 42	Control region

^aTalairach coordinates are given for the center of the mass;

^bSeeds are $p < 0.005$ on original maps

Table 2Characteristics of study subjects^a

	Preterm (n=26)	Term (n=25)	P-value
Postmenstrual age at birth (weeks)	27 ± 1.5 (24 – 30)	40 ± 1 (38 – 41)	< 0.001
Birth weight, range (grams)	970 ± 280 (550 – 1500)	3450 ± 460 (2850 – 4000)	< 0.001
Postmenstrual age at scan (weeks)	39.6 ± 2.9 (36 – 43)	42.3 ± 1.0 (39 – 44)	< 0.001
Male gender	8 (31%)	15 (60%)	0.05
Bronchopulmonary dysplasia	11 (42%)		
Retinopathy of prematurity	6 (23%)		
Total Brain Volume (mm ³)	386,355 ± 82,518 (213,387 – 508,223)	457,260 ± 52,543 (352,775 – 553,518)	< 0.001

^aValues are mean ± SD and (ranges: min – max)

Table 3

Association between group status and lateralization in left hemisphere language regions and motor region

Regions	Group (PT vs. Term)	
	Beta (standard error)	P-value
Insula-BA22-BA21	-0.125 (0.045)	0.008
BA19-BA39	-0.106 (0.084)	0.21
Lateral BA45-BA47	-0.162 (0.054)	0.005
Medial BA47	-0.123 (0.084)	0.15
BA40	-0.083 (0.050)	0.11
BA21	-0.108 (0.075)	0.16
Motor Region	0.035 (0.048)	0.48

Note: Gender, PMA at scan, scanner type, TBV and motion variable (mean frame-to-frame displacement) were adjusted in the regression analyses.