

# Nutritional advantages of oats and opportunities for its processing as value added foods - a review

Prasad Rasane · Alok Jha · Latha Sabikhi · Arvind Kumar · V. S. Unnikrishnan

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**Abstract** Oats (*Avena sativa* L.) have received considerable attention for their high content of dietary fibres, phytochemicals and nutritional value. It is believed that consumption of oats possesses various health benefits such as hypocholesterolaemic and anticancerous properties. Oats have also recently been considered suitable in the diet of celiac patients. Owing to their high nutritional value, oat-based food products like breads, biscuits, cookies, probiotic drinks, breakfast cereals, flakes and infant food are gaining increasing consideration. Research and development on oat and its products may be helpful in combating various diseases known to mankind. This paper provides an overview of the nutritional and health benefits provided by oats as whole grains and its value added products. It is designed to provide an insight on the processing of oats and its effect on their functional properties. The manuscript also reviews various uses of oats and its fractions for clinical and industrial purposes and in development of value added food products.

**Keywords** Oats · Phytochemicals · Speciality foods · Functional properties · Dietary fibre

## Introduction

Cereal grains feed a large population around the world. They constitute a significant part of daily diet of the consumers. Wheat, rice and maize are the leading grains in terms of consumption

(Bushuk 2001). These grains are consumed as whole or in fractionated forms. Oat remains an important cereal crop in the developing world and the most popularly cultivated species is *Avena sativa* L. and is trivially known as common covered white oat (White 1995). Oat requires lesser nutrients (N-Sodium, P-Phosphorus and K-Potassium) to cultivate than that required for wheat or maize. Since oat requires more moisture to produce a given unit of dry matter than all other cereals except rice, it grows well in cool and moist climate (Forsberg and Reeves 1995). Oat is predominantly grown in American and European countries, mainly Russia, Canada and United States of America. It is used mostly for animal feeding and to some extent as human food. The use of oat as animal feed has declined steadily owing to emerging use and interest in oats as human health food (Ahmad et al. 2010).

Studies reveal that oat possesses beneficial health effects against gastrointestinal problems (Anderson and Bridges 1993; Wrick 1993, 1994; Stark and Madar 1994), and also boasts of anti-cancerous effects (Oku 1994; Salminen et al. 1998; Gallaher 2000). Oat consumption in human diet has been increased because of health benefits associated with dietary fibres such as  $\beta$ -glucan, functional protein, lipid and starch components and phytochemicals present in the oat grain. Oats also contain a varied range of phenolic compounds including ester linked glycerol conjugates (Gray et al. 2002), ester linked alkyl conjugates (Daniels and Martin 1967), ether and ester linked glycerides (Collins 1986), anthranilic acids and avenanthramides (AVAs) (Dimberg et al. 1993). These compounds possess high level of antioxidant activity. These antioxidants are concentrated in the outer layer of the kernel in the bran fraction of the oat grain. The nutritional benefits of oat have attracted attention from researchers worldwide and have resulted in the increased interest of food industry in using oats as food ingredient in various food products including infant foods (Del Valle et al. 1981), bread

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P. Rasane · A. Jha (✉) · A. Kumar · V. S. Unnikrishnan  
Centre of Food Science and Technology, Banaras Hindu  
University, Varanasi 221 005, India  
e-mail: alok\_ndri@rediffmail.com

L. Sabikhi  
Dairy Technology Division, National Dairy Research Institute,  
Karnal 132 001, India

(Zhang et al. 1998), oat milk (Onning et al. 1999), beverages (Gupta et al. 2010), breakfast cereals (Ryan et al. 2011) and biscuits (Ballabio et al. 2011).

### Consumption of oat as whole grain cereal

Whole grain consumption has assured growing popularity owing to the health benefits they provide, opening various novel opportunities for consumption of flavourful cereal grains. American Association of Cereals Chemists (AACC) International defined whole grains as ‘the intact ground, cracked or flaked caryopsis, whose principal anatomical components, the starchy endosperm, germ and bran are present in substantially the same relative proportion as they exist in the intact caryopsis’ (AACC 1999).

Whole grain oat contains considerable amount of valuable nutrients such as proteins, starch, unsaturated fatty acids and dietary fibre as soluble and insoluble fractions. Oat also contains micronutrients such as vitamin E, folates, zinc, iron, selenium, copper, manganese, carotenoids, betaine, choline, sulphur containing amino acids, phytic acid, lignins, lignane and alkyl resorcinols (Flander et al. 2007). Although, wheat and rice are consumed in considerably higher quantities worldwide than oat, oat has the advantage that it is consumed as a whole grain cereal normally than its processed products (Peterson 2001). Increasing recognition is now being given to the consumption of whole grain cereals due to the prophyllactic benefits they provide (Marquart et al. 2007).

### Nutritional components of oats

Oat has a well-balanced nutritional composition. It is a good source of carbohydrates and quality protein with good amino acid balance. Oat contains high percentage of oat lipids especially unsaturated fatty acid, minerals, vitamins and phytochemicals (Head et al. 2010). Oats’ nutritional components and their percent availability are given in Table 1.

**Oat starch** Starch constitutes about 60 % of oat grain. It is mainly a constituent of endosperm. There is considerable difference observed between the physicochemical properties of oat starch and other cereal starches. Differences in physicochemical properties are also observed in different cultivars of oat. These differences are probably due to differences in the magnitude of interaction between and among starch chains within the amorphous and crystalline regions of the native granules and by the chain length of amylose and amylopectin fractions of oat starch. Oat starch offers untypical properties such as small size of granules, well developed granule surface and high lipid content (Berski et al. 2011). Hoover and Vasanathan (1992) studied the characteristics of oat starches in light of their differences with other cereal

starches. They reported that oat starches showed higher swelling factor, decreased amylose leaching, coleaching of a branched starch component and amylose during pasting process, higher peak viscosity and set back, low gel rigidity, greater susceptibility towards acid hydrolysis, greater resistance to  $\alpha$ -amylase action and high free-thaw stability. However, wide range of differences has been observed among different cultivars of oats (Hoover and Senanayake 1996; Hoover et al. 2003). Starch has been classified into three fractions on the basis of digestion rate, rapidly digestible starch (RDS), slowly digestible starch (SDS) and resistant starch (RS). Slow rate starch digestibility is important for human health to maintain balances blood glucose levels. SDS is one of the most important fractions as it moderates the glycemic response and improves nutritional quality of the food (Ovando-Martinez et al. 2013). Resistant starch has been recognized as functional fibre. It is believed to perform an important role in digestive physiology. It escapes digestion and provides fermentable carbohydrates for colonic bacteria, similar to oligosaccharides such as fructo-oligosaccharides. They also provide benefits such as the production of desirable metabolites including short chain fatty acids in colon. Along with the therapeutic effects, resistant starch provides better appearance, texture and mouth feel than conventional fibres (Martinez Flores et al. 1999). Resistant starch is naturally found in cereal grains and in heated starch or starch containing foods, but is frequently destroyed during processing. RS doses of 20–30 g/day are required to observe physiological effects of RS consumption. However, this level is 3–4 times higher than the actual consumption reported human diet (5–10 g/day); estimated RS intake among the United States population is 3–8 g/day. Most foods have RS content less than 3 g per serving (Murphy et al. 2008). Oats contain significant amount of RS and other starch fractions. Approximately 7 % RDS, 22 % SDS and 25 % RS of the total starch has been reported in oats (Ovando-Martinez et al. 2013). Regular consumption of oat can be used to supplement these starches in diet.

**Oat protein** Oat is considered to be a potential source of low cost protein with good nutritional value. Oat has a unique protein composition along with high protein content of 11–15 %. Cereal proteins have been classified into four types according to their solubility as follows: albumins (water soluble), globulins (salt water soluble), prolamins (soluble in dilute alcohol solution) and glutelins (soluble in acids or bases). Oat protein not only differs in the structural properties but also differs in distribution of protein fraction in comparison to other cereal grains. Other cereals such as wheat and barley have characteristic protein matrix which lacks in oat. In wheat and some other cereals, the storage protein is insoluble in salt solutions, while in oats, a large portion of salt water soluble globulins also belong to the storage proteins of the endosperm (Klose et al. 2009).

**Table 1** Nutritional components of oat

Component of oat	Availability in oat (%)	References
Starch	60 %	Berski et al. (2011)
Protein	Total: 11–15 % Globulins: 80 % of total protein Prolamins: 15 % of total protein Glutelin: 5–66%of total protein Albumin: 1–12 % of total protein	Robert et al. (1985) Lasztity (1996) Klose et al. (2009)
Lipid	5–9 %	Flander et al. (2007) Keying et al. (2009)
Dietary fibres	$\beta$ -glucan: 2.3–8.5 %	Flander et al. (2007)
Phytochemicals	$\alpha$ -Tocotrienols and $\alpha$ -tocopherols: 86–91 % of total tocols Phenolic compounds: 5.7 % Protocatechuic <i>p</i> -hydroxy benzoic acid Vanillic Syringic Ferulic Caffeic <i>p</i> -coumaric Sinapic Flavonoids (trace amounts) : Apigenin Glycosylvitexin Isovitexin Tricin Vitexin Avenanthramides: AVA1: 2.1–4.3 % AVA3: 2.8–6.2 % AVA4: 2.5–4.7 %	Peterson (2001) Matilla et al. (2005)
Trace Minerals	Calcium : 0.54 % Iron : 0.047 %	Chavan and Kadam (1989)
Vitamins	Thiamine : 0.002 % Riboflavin : 0.001 % Niacin : 0.032 %	Chavan and Kadam (1989)

Oat contains lower quantity of prolamins (15 %) relative to the high amount of globulins (80 %) of the total oat protein. Prolamins (avenins) are low molecular weight (30 kDa) fractions of oat proteins. These prolamins are soluble in 50–70 % ethyl alcohol or 40 % 2-propyl alcohol. Prolamins have high percentage of glutamine and proline and are low in lysine as compared to the other protein fractions (Capouchova et al. 2004). Avenins, a type of prolamins, have storage function similar to that of other cereal prolamins. Glutelin values are reported to be varying from 5 to 66 % of the total protein as they are difficult to be completely solubilised and are dependent on the extraction solvent and solvent concentration (Robert et al. 1985). Of the

total metabolically active proteins of oat, water soluble albumin accounts for most of the fraction. Albumins account for about 1–12 % of the total oat protein. In general, albumin and globulin have higher lysine content. Thus oats are rich in lysine content compared to other cereals while they have rather lower content of glutamic acid and prolamin (Lasztity 1996).

Celiac disease is triggered by the ingestion of gluten in gluten intolerant persons. Gluten is an alcohol soluble complex protein present mostly in wheat and other related cereals such as barley and rye. In individuals who are genetically susceptible, the ingestion of gluten causes an inappropriate small intestinal immune response characterized by villous

atrophy and crypt hyperplasia (Fasano and Catassi 2001; Wahab et al. 2001), resulting in malabsorption of protein, fats, carbohydrates, soluble vitamins, folate and minerals especially, iron and calcium. The only therapy available at present is to completely exclude gluten from the diet of the individual. Oat contains comparatively more favourable and nutritionally more valuable composition of protein fractions (Capouchova et al. 2004). However, it has long been debated, whether oat can be considered safe for celiac patients (Ballabio et al. 2011). Dicke et al. (1953) and Baker and Read (1976) recommended complete elimination of oats; while, Ripsin et al. (1992), Janatuinen et al. (1995) and Storsrud et al. (1998) advocated the use of oats in celiac diet. The use of oats in gluten free diet depends on the composition of the protein fractions; albumins, globulins, prolamins (avenins) and glutelins. Prolamins together with glutelins forms the reserve protein located in the grain endosperm, which forms about 60–70 % of the grain proteins of cereals. The prolamins are less susceptible to hydrolysis and hence are also difficult to digest. The prolamins content in oats (10–15 % of the total protein) is rather low as compared to wheat (40–50 %), rye (30–50 %) and barley (35–45 %) (Capouchova et al. 2004). Kumar and Farthing (1995) stated that avenins (oat prolamins) could be responsible for toxicity in the celiac patients only if oats are consumed in high amounts, as compared to rye and barley. Capouchova et al. (2004, 2006) reported that the amount of prolamins in oats varies with species, variety and time of cultivation and suggested that use of oats in celiac diet could be risky. However, recently European commission regulation (EC) No. 41/2009 has included oats amongst permitted ingredients, if the gluten content does not exceed 20 ppm (mg/kg) (European Commission 2009).

Lapvetelainen and Aro (1994) reported that oats contain 78 % alkali-soluble, 11 % alcohol-soluble, 2.9 % salt-soluble protein fraction, 4 % residual protein and non-protein nitrogen (NPN). To broaden application of oat protein as a food ingredient, chemical modification was performed to improve solubility and further increase already good emulsifying and binding properties. These properties were found desirable for possible application in different low fat food products (Mohamed et al. 2009). Acylation and succinylation of oat protein improve their solubility, fat binding and emulsifying properties whereas acylation adversely affects water holding capacity and foaming stability of oat proteins (Ma and Khanzada 1987).

**Dietary fibres** Dietary fibres (DF) are an essential part of the human diet. They consist of many substances of plant origin that are not digested in the human upper gastrointestinal tract. They include polysaccharides such as cereal  $\beta$ -glucan, arabinoxylans and cellulose. Dietary fibres are located in the cell walls of the grain. The outer layers, the seed coat and the pericarp contribute significantly to the insoluble dietary fibre

content of the grain. According to American Association of Cereal Chemists (AACC), a dietary fibre is defined as “the edible part of plant or analogous carbohydrates that are resistant to digestion and absorption in the human small intestine with complete or partial fermentation in the large intestine. It includes polysaccharides, oligosaccharides, lignin and associated plant substances. Dietary fibres promote beneficial physiological effects including laxation and/or blood cholesterol attenuation and/or blood glucose attenuation” (AACC 2001).

According to this definition, oat  $\beta$ -glucans are components of dietary fibre. As  $\beta$ -glucan is a plant polysaccharide resistant to digestion and absorption in the small intestine, it also attenuates both blood cholesterol and glucose. Schneeman (2001) suggested that dietary fibre regulates the rate of nutrient digestion and absorption and serves as a substrate for the microflora of the gut and promotes laxation.

The Codex Alimentarius Commission’s committee on nutrition and foods in 2008 adopted a new definition of dietary fibre as “carbohydrate polymers with 10 or more monomeric units, which are not hydrolysed by the endogenous enzymes in small intestine of humans” (Codex alimentarius 2010). Starch is not considered to be part of dietary fibre because it is hydrolysed by enzymes and is absorbed in the small intestine. Whole oats contain significant amount of dietary fibre, especially water soluble (1 $\rightarrow$ 3) (1 $\rightarrow$ 4)  $\beta$ -glucan (Peterson 2001). The  $\beta$ -glucan content in oat ranges from 2.3 to 8.5/100 g (Flander et al. 2007). The Food and Drug Administration (FDA) has accepted a health claim stating that a daily intake of 3 g of soluble oat  $\beta$ -glucan can lower the risk of coronary heart disease (FDA 1997; Amundsen et al. 2003; Berg et al. 2003). It is also known to reduce blood cholesterol level (Ripsin et al. 1992). Dietary fibres, particularly oat  $\beta$ -glucan has potential anti-cancerous property, as they reduce compounds which are causative agents of colon cancer (Sadiq Butt et al. 2008; Bode and Dong 2009; Hsueh et al. 2011), reduce blood cholesterol level (Ripsin et al. 1992; Amundsen et al. 2003; Chen et al. 2006) and reduce blood pressure (He et al. 2004). The recommended dose of  $\beta$ -glucan for a single food is 0.75 g/serving (Flander et al. 2007).

**Lipids** Oat is a good source of lipids. It contains much higher levels of lipids than other cereals which are excellent sources of energy and unsaturated fatty acids. The majority of lipids of oats are in the endosperm. The fat content of oat ranges from 5.0 to 9.0 % of the total lipid content. The lipid content in an intact kernel of oat stored for 1 year at room temp was found to be stable (Keying et al. 2009), due to the protection from endogenous antioxidants such as tocopherols, L- ascorbic acid, thiols, phenolic amino acids and other phenolic compounds.

The lipids and other lipid associated compounds in the oat groat play an important role in the functionality of oat products. The high lipid content of oat provides an advantage when used for animal feed as it provides high energy along

with good fatty acid composition. But when used as human food, this high lipid content provides fewer benefits, while leading to various processing problems such as poor flavour and excessive browning of toasted products. Along with lipids, oat contains considerable amount of lipases, which are capable of acting under low moisture condition. If not controlled, these lipases cause rancidity and short storage life for processed products of oat (Lehtinen et al. 2003).

**Phytochemicals** Oat has been widely shown to provide a vast range of human health benefits such as reduced symptoms of diabetes (Tapola et al. 2005) and obesity (Zdunczyk et al. 2006). The primary component of oat responsible for these health benefits is considered to be  $\beta$ -glucan, however phenolic compounds of oat and other antioxidant compounds also provide health benefits. Oats possess antioxidant capacity mainly due to presence of tocopherols, tocotrienols, phytic acid, flavanoids and non flavanoid phenolic compounds such as AVAs.

**Vitamin E** Antioxidants such as vitamin E are known to protect the body from damaging free radicals and play an important role in prevention of diseases such as cancer (Packer 1991), arthritis (Yoshikawa et al. 1983), atherosclerosis (Srivastava 1986), cataract (Trevithick et al. 1981; Ross et al. 1981; Creighton et al. 1985) etc. Oat germ has high levels of tocopherols (a and c isomers), whereas tocotrienols are mainly concentrated in endosperm but, are absent in germ. The primary tocol of oat is  $\alpha$ -tocotrienol but, small amount of tocopherols and their  $\beta$  homologs are also present. The total tocols ranged from 19 to 30.3 mg/kg. Out of the total tocols,  $\alpha$ -tocotrienol &  $\alpha$ -tocopherols combinedly account for 86 to 91 %. Tocols are found to be stable in unprocessed groats for over 7 months of storage at room temperature, while processing of oats result in degradation of these compounds within 1 to 2 months (Peterson 2001).

**Phenolic compounds** Oat is a good source of phenolic compounds. These phenolic compounds may contribute to the functional and nutritional properties of the grain. Cereals account for phenolic compounds derived mainly from hydroxybenzoic and hydroxycinnamic acids. Early studies have shown that the phenolic acids in oat possess antioxidant properties both in vitro (Peterson 2001; Shewry et al. 2008) and in vivo (Ryan et al. 2007). The major phenolic acids in oats are ferulic, p-coumaric, caffeic, vanillic, hydroxybenzoic acid and their derivatives (Matilla et al. 2005; Kova cova and Malinova 2007). Traditionally, polyphenols are considered potent antioxidants. Emerging studies shows that polyphenols may have far more important effects in vivo such as enhancing endothelial function (Caton et al. 2010), cellular signalling and anti-inflammatory property (Ramos 2008). Oat hulls have not much uses in food but they contain significant amount of

soluble ferulic acid; an avenanthramide antioxidant and also several other phenolic acids. The total free phenolic acid esters in oats are found to be low at about 8.7 mg/kg, whereas soluble phenolic acid esters account for 20.6 mg/kg and insoluble phenolic acids totalled to be about 57.7 mg/kg (Peterson 2001).

**Avenanthramides (AVAs)** Oats are known for a unique group of antioxidants reported among cereals known as avenanthramide (AVA) (Dimberg et al. 1993; Meydani 2009). There are abundant AVAs in oat, namely 2c, 2p & 2f, number 2 indicates 5 hydroxyanthranilic acid and letter c, p and f indicates the kind of hydroxycinnamic acids as p-caumaric, caffeic and ferulic acids, respectively. Dimberg et al. (1993) reported that AVAs have an antioxidant activity of 10–30 times greater than that of other phenolic antioxidants such as vanillin and caffeic acid. Preliminary studies indicated that the AVAs might possess anti-inflammatory and antiatherogenic properties, since they inhibit monocyte adhesion to human aortic endothelial cells and are presumed to inhibit release of proinflammatory compounds from macrophages (Liu et al. 2004). They are also involved in controlling the blood pressure, as they produce nitric oxide which dilates the blood vessels (Nie et al. 2006).

## Processing of oats

Oats are processed in order to produce oat based food products with health-beneficial properties. Table 2 illustrates the effect of various processing steps associated with oats and their effects on functional and nutritional quality of oats.

**Milling** Prior to processing of oats into products, the oats are dehulled and groats are subsequently separated and decontaminated. Oat milling is performed to get good quality appearance and taste. The milling operations consist of cleaning, grading, hulling, ‘hull, fine and groat separation’ and kilning (Fig. 1). Oats are graded on the basis of groat length and thickness. The kernels are dehulled using either impact or stone hulling systems. However, impact hulling is more commonly used than stone hulling (Zwer 2004).

**Pearling** Pearling technology, also referred to as debranning and pre-processing, was originally used for polishing of rice and wheat. By integrating pearling with milling of wheat, improved flour yield was obtained (Bradshaw 2005). Laca et al. (2006) suggested that pearling could lead to substantial microbial decontamination of wheat grains. Pearling of oat has been studied to a limited extent. These studies demonstrate high potential of oat pearling for removal of trichomes that is found to be closely related to aluminium content in oats. Industrial application and control of oat pearling may

**Table 2** Effects of processing on oats

Processing	Effect (s)	References
Pearling	De-branning, Along with milling, improves flour yield; Microbial decontamination, Facilitates separation of $\beta$ -glucan rich fractions	Laca et al. (2006) Wang et al. (2007)
Heat processing: Kiln drying, steam processing, microwave processing	Maillard reaction, Prevention of lipid hydrolysis, Stabilize the oat flour, Enzyme deactivation, Increased phenolic content and antioxidant activity in oat bran extracts, Reduction of grain micro flora, Brings characteristic oat taste	Fors and Schlich (1989) Jiaxun et al. (1993) Klensporf and Jelen (2008) Keying et al. (2009)
Superheated steam processing	Acceptable moisture content, Acceptable colour, Increased viscosity, Deactivation of peroxidase,	Head et al. (2010)
Germination	Improve its nutritional value, Reduce anti nutritional factors, Improve the functionality of oat seed proteins, Increase in avananthramide content, Increased activity of $\beta$ -glucanase (Degradation of $\beta$ -glucan), Increased total protein content (increase in essential amino acids such as lysine and tryptophan) also	Peterson (1998) Wilhelmson et al. (2001) Kaukovirta-Norja et al. (2004) Skoglund et al. (2008)
Extrusion cooking	Resistant starch can be possibly generated, Gelatinization of starch molecule, Cross linking of proteins, Generation of flavour in oats	Vasanthan et al. (2002) Zhang et al. (2011)
Hydrothermal processing	Moisturizing, Seasoning, Heating and cooling of grains	Panasiewicz et al. (2009)
Supercritical fluid extraction	Better extraction of aromatic compounds from oats.	Morello (1994)

be easier than in the case of wheat because of their softness and higher lipid content which reduce kernel breakage. Application of pearling technology to oat facilitates separation of  $\beta$ -glucan-rich fractions from pericarp, aleurone and sub-aleurone layers of oat (Wang et al. 2007).

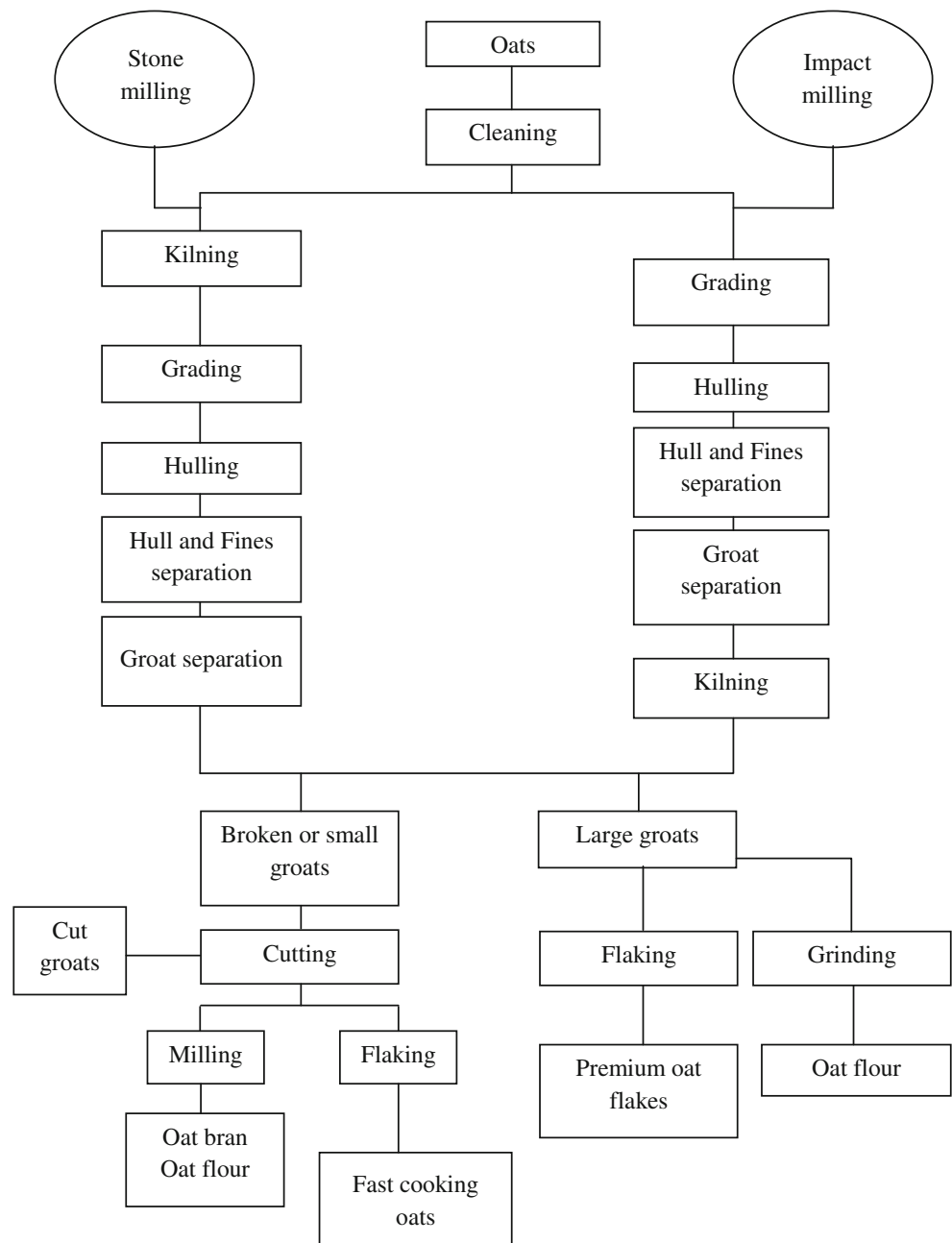
**Flaking** Oat groats are mainly flaked. Flaking process involves various unit operations such as cleaning, heat treatment, dehulling, cutting and flaking (or milling). These steps are mainly dependent upon the final oat product and also on the variety of oats (covered or naked) used. The oats are cleaned to remove coarse field trash, dust etc. which may interfere in further processing. Oats are rich in lipid content and hence oat flour shows high adhesiveness and is difficult to handle. Despite having this disadvantage, oat flakes are the most common whole grain oat product used in baking industry (Kaur et al. 2012). Owing to high amount of lipases, the lipids may be prone to hydrolysis leading to rancidity in the flaked oats. Thus oats for food purpose are heat treated in order to deactivate the enzymes responsible for changes in oat lipids (Deane and Commers 1986). Generally, during the heat treatment, the moisture is increased to approximately and the grains are kept at temperature above 100 °C for 90–120 min (Ganssmann and Vorwerck 1995). Additionally, heat treatment provides other benefits such as destruction of bacteria and fungi and also development of oat aroma. Oats are graded to have similar sized grains before they are dehulled. This improves the efficiency of dehulling process. Steam (99–104 °C) is used to increase the moisture content and soften the groats to obtain minimum breakage during the

flaking process. Steamed oats develop characteristic oat flavour and steaming also results in deactivation of enzymes including lipases. In a study, flaking of intact oat groat produced rolled oats of 0.5–0.8 mm thickness. After flaking, the rolled oats were cooled with air to about 45 °C and the product had a moisture content of about 9–11.5 % (Deane and Commers 1986).

**Heat processing** A typical heat processing operation of oats includes kiln drying and steam stabilization, while superheated steam processing and microwave heating are recent methods used for processing of oats. Moltenberg et al. (1986) reported that thermal treatment of oats may result in rancid and bitter flavour if processed with hulls. Fors and Schlich (1989) reported that the biggest influence on flavour composition was the lipid content and the processing of oats such as heat treatment and milling before or after roasting. Maillard reaction is often associated with heat treatment, which is involved in flavour development in oats (Klensporf and Jelen 2008).

Prevention of lipid hydrolysis in oats is the main goal in manufacture of oat based products. Though kiln drying and steam processing serve the purpose, novel processing techniques are being explored. Microwave heating is one such technique used to deactivate lipase and lipoxygenase in cereal bran, germ, soybean (Jiaxun et al. 1993), groundnuts (Ramesh et al. 1995), rapeseed (Ponne et al. 1996) and olive oil (Farag et al. 1997). Microwave heating is reported to stabilize the oat flour, by enzyme deactivation. Microwave heating at above 150 °C for 15 min, has shown increased

**Fig. 1** The milling process of oat kernel (Adapted from Zwer 2004)



phenolic content and antioxidant activity in oat bran extracts (Keying et al. 2009).

**Superheated steam processing** Commercial oat processing involves conditioning with saturated (wet) steam followed by kiln drying (upto 100 min at 88–98 °C) (Cenkowski et al. 2006). Kiln drying develops the characteristic oat taste, brings about starch gelatinization to a certain degree and helps in reduction of grain microflora. Although widely used, kiln drying is difficult to control and not an energy efficient process. In addition, there is a risk of grain cross contamination with microorganisms present in the air used

for cooling of the grain after the kilning process. Superheated steam processing is an alternative method for drying of food products (Uengkimbuan et al. 2006). The superheated steam is generated by addition of sensible heat to saturated steam. The addition of heat increases steam temperature above corresponding saturation or boiling point at a given pressure.

Oat groats processed with superheated steam at low temperature (110–130 °C) showed acceptable moisture content (9–10 g/100 g wet basis) and colour. These also exhibited higher values of viscosity than oats processed commercially. Superheated steam processing of oat is found to be effective in deactivation of peroxidase in oat groats (Head et al. 2010).

**Germination** Germination of cereal seed has been used for centuries to soften the kernel structure, improve its nutritional value, reduce antinutritional effects and improve the functionality of oat seed proteins (Kaukovirta-Norja et al. 2004). Skoglund et al. (2008) reported an increase in avenanthramide content of oat during germination. The activity of  $\beta$ -glucanase was found to be increased during germination of oat resulting in almost total degradation of  $\beta$ -glucan (Peterson 1998).  $\beta$ -glucan is known to have health benefits in humans. Thus, the degradation is not desired if the oats are intended for use in food products rather than brewing purpose. A shorter germination process (72 h) at low temp (15 °C) showed 55–60 % of  $\beta$ -glucan content can be retained (Wilhelmson et al. 2001). Also total protein content was found to be increased during germination, leading to increase in essential amino acids such as lysine and tryptophan. This improves nutritional value of oat protein (Peterson 1998).

**Fermentation** Fermentation is an ancient and economical process for producing and preserving food products. It is found to provide health benefits by reducing the non-nutritive compounds such as phytates in cereals. This reduction in phytate results in increase in amount of soluble iron, zinc and calcium (Blandino et al. 2003). Apart from the extension of shelf life, fermentation also caused improvement in texture and flavour (Chavan and Kadam 1989). Martensson et al. (2001) reported a non-dairy fermented product based on oat. The product named Adavena M40 was comparable to yoghurt and had high acceptability. It also retained high  $\beta$ -glucan content after fermentation.

**Extrusion cooking** Extrusion is a thermal processing that involves the application of high heat, high pressure and shear forces to an uncooked mass such as cereal foods (Kim et al. 2006). Extrusion cooking has advantages over other common processing methods because of low cost, speed, high productivity, versatility, unique product shapes and energy savings. Extrusion cooking is used extensively in the food industry for production of breakfast cereals and snacks from cereals such as oats and its fractions (Meuser and Wiedmann 1989; Kahlon et al. 1998; Zhang et al. 2011). It leads to change in starch components, in solubility of dietary fibre and enhances functional properties of cereal products (Vasanthan et al. 2002). Resistant starch can possibly be generated by extrusion of starch-rich materials such as whole grain meal from oats and barley (Huth et al. 2000). Extrusion cooking is involved in various physical, chemical and textural changes including gelatinization of starch molecule, cross linking of proteins and the generation of flavour in oats (Harper and Clark 1979; Linko and Mercier 1981; Yao et al. 2006; Zhang et al. 2011).

**Supercritical fluid extraction** Supercritical fluid extraction provides an excellent alternative to chemical solvent extraction

method. It has been used to extract and isolate various valuable natural compounds from various sources (Mansoori et al. 1988; Martinelli et al. 1991; Del Valle and Aguilera 1999; Hartono et al. 2001). Supercritical fluid extraction of aromatic compounds from extruded oat ready-to-eat cereal was studied by Morello (1994). The study reported increased efficiency and reduced time of extraction of aromatic compounds from the product. This technique has been reported to affect various physical and chemical properties of oat and its products (Stevenson et al. 2007).

### Value added oat based products

In recent years, demand of oat based product has been increased due to increased knowledge about the many nutritional benefits of oats. Increased consumer awareness towards health has emphasized on intake of high fibre diet. Oat is an excellent source of dietary fibre. Thus promoting its use in functional food products based on oat such as porridge, oatmeal, muesli, granola bars, oat flour, oat bread, biscuits and cookies, oat milk, oatrim, oat based probiotic drink, oat based breakfast cereals, flakes and infant food. Oat  $\beta$ -glucan can be used to stabilize ice creams. Oat antioxidants are useful to stabilize milk and meat products sensitive to fat oxidation during storage. Oat proteins have been used in food products including heat resistant chocolates owing to their viscous and emulsifying properties (Zwer 2004). The incorporation of oat has been found to improve the overall quality of the foodstuffs. Sanchez-Pardo et al. (2010) reported enhanced textural characteristics for pound cake made with 25 % (w/w) of oat fibre than the conventional product. Table 3 illustrates various uses of oat for clinical, industrial and food purpose.

Bread is an important part of daily diet for a vast population throughout the world. Flander et al. (2007) has reported an oat based bread with nutty, mild and pleasant flavour. Oats have an excellent moisture retention property that keeps bread fresh for longer period (McKechnie 1983). Incorporation of oat starch or oat lecithin to wheat bread was found to retard the staling rate of the bread (Zhang et al. 1998). Oat proteins are susceptible to denaturation by heat treatment resulting in poor baking properties of oat. Oats also lack in gluten essential for visco-elastic property of flour used for bread making.

Yilmaz and Daglioglu (2003) have reported use of oat bran in meat balls as fat substitute. Meat balls prepared with 20 % oat bran is reported to have highest protein, salt and ash content. These meat balls with oat bran showed high sensory acceptability. Oat based breakfast cereals have received considerable attention in recent times. These are rich in functional ingredients such as  $\beta$ -glucan and bioactive components which



**Table 3** Utilization of oats for food clinical and industrial purposes

Use (s)	Component of oat	References
Food uses:		
Bread	Oat flour	Zhang et al. (1998)
	Oat starch	Flander et al. (2007)
	Oat lecithin	
Beverage	Whole oat	Gupta et al. (2010)
Biscuits and cookies	Oat flour	Ballabio et al. (2011)
Breakfast cereal	Whole oat	Ryan et al. (2011)
Pasta products	Oat starch	Chillo et al. (2009)
		Hager et al. (2013)
Granola bars and cereals	Whole oat and resistant starch fractions	Aigster et al. (2011)
Infant Food	Whole oat	Del Valle et al. (1981)
Oat milk	Whole oat extract	Onning et al. (1999)
Oat based non-dairy fermented yoghurt, Adavena M40	Whole oat	Martensson et al. (2001)
Fat substitute in meat balls, dairy and bakery products	Oat bran	Yilmaz and Daglioglu (2003)
		Lee et al. (2005)
		Liu and Wang (2006)
Fat substitute	Oat dextrin	Crehan et al. (2000)
	Soluble $\beta$ -glucan	Sun et al. (2008)
		Shen et al. (2011)
Stabilizer in ice creams	$\beta$ -glucan	Zwer (2004)
Clinical Uses:		
Gluten-free diet	Whole oat	Ballabio et al. (2011)
Cholesterol lowering effect	$\beta$ -glucan	Wang et al. (1992)
		Kahlon et al. (1993)
		Hallfrisch et al. (1997)
Anticancerous effect	$\beta$ -glucan	Hsueh et al. (2011)
	Short chain fatty acids	Murphy et al. (2004)
Industrial Uses:		
Methane production for Biogas	Oat husk	Kusch et al. (2011)

are known to reduce serum and plasma cholesterol levels and reducing postprandial glycemic response (Ryan et al. 2011).

Oat starches and their modified products have been reported to be used in pasta products and were found to be organoleptically comparable to conventional product (Chillo et al. 2009; Hager et al. 2013). Healthy foods such as low calorie, low fat and high fibre granola bars and cereals are developed using oats and its resistant starches (Aigster et al. 2011). Oat dextrin is hydrolysed product of oat starch consisting of  $\alpha$  (1 $\rightarrow$ 4) and  $\alpha$  (1 $\rightarrow$ 6) linked D glucose polymer and/or oligomers with a dextrose equivalent (DE) value less than 20. Oat dextrines possess different physico-chemical properties including solubility and viscosity (Sun et al. 2008). Oatrim, a powder consisting of oat dextrines and soluble  $\beta$ -glucan is a non-sweet starch hydrolysate fat substitute. It stabilises substantial amount of water in gel like matrix, resulting in lubricant and flow properties similar to that of fats (Inglett et al. 1994; Shen et al. 2011). Along with oat bran,

these are also been reported to be used in food industry as fat substitutes such as in meat products (Crehan et al. 2000), dairy products (Liu and Wang 2006) and bakery products such as cakes (Lee et al. 2005).

### Other uses

Consumption of oats, oatmeal and oat bran provides various clinical and industrial usages. It is known to reduce total plasma cholesterol and low density lipoprotein cholesterol level, postprandial blood glucose and insulin response, occurrence of coronary heart disease, chronic inflammation of arteries and development of cancer and atherosclerosis.

*Gluten allergy* Oats are officially concluded as gluten free by European commission regulation (EC) No. 41/2009 and thus found to be suitable for the celiac patients. Earlier studies on

the suitability of oats for patients with celiac disease (CD) showed contradictory results (Dissanayake et al. 1974; Baker and Read 1976). It may be because of lack of sensitive and reliable diagnostic tests and of suitable clinical trials. Even probable contamination of oat with other cereals may occur in the field, during transportation, storage, milling or food processing (Kanerva et al. 2006). Owing to this, oats were primarily excluded from gluten free diet. Subsequent clinical studies have proved that consumption of moderate or even large amounts of oat can be tolerated by the majority of adult CD patients. Various reports suggest safety of oats to be included in the gluten free diet in children suffering from celiac disease (Hoffenberg et al. 2000; Hogberg et al. 2004; Holm et al. 2006). Oats are considered as suitable in celiac disease. Hence, gluten free products such as pasta, biscuit and snacks have been developed for celiac patients from oats (Ballabio et al. 2011).

**Cholesterol lowering effect** Soluble fibres have been known to lower blood cholesterol levels. Oat fibre has been associated with reduced risk of heart diseases. A number of mechanisms have been proposed which includes reduced rate of absorption because of increased viscosity of the gut contents, binding of bile salts to increase excretion and production of short chain fatty acids in the large intestine from fermentation of undigested carbohydrates which then inhibit cholesterol synthesis. Consumption of 1–10 % of  $\beta$ -glucan was found to be successful in lowering cholesterol, glucose and insulin response in moderately hypercholesterolemic patients (Hallfrisch et al. 1997). Oat bran has been found to lower total serum cholesterol in hypercholesterolemic patients by 23 % with no change in high density lipoprotein (HDL) cholesterol level (Anderson et al. 1991). Upon consumption of 140 g of rolled oats, an average reduction of 11 % in the plasma total was observed (Poinerou et al. 2001). The hypocholesterolemic effect of oats is attributed to  $\beta$ -glucan; two hypotheses for possible mechanisms are suggested; first, the intestinal viscosity effect of  $\beta$ -glucan which is believed to increase the thickness of the unstirred layer of the small intestine, slowing and inhibiting the absorption of lipids and cholesterol (Wang et al. 1992). The second mechanism of action hypothesized is that  $\beta$ -glucan causes binding of bile acids in the intestine causing them to be excreted in faecal waste. The body cholesterol is broken down to replace them, thus changes in bile acid metabolism in response to  $\beta$ -glucan have been implicated in their hypocholesterolemic action (Kahlon et al. 1993). Oats have been studied to contain lunasin peptide (approximately 0.197 mg/g of grain) known to possess cholesterol reducing properties (Nakurte et al. 2013).

**Anticancerous effect of oats** The high amount of short chain fatty acids among dietary fibre fractions from oat is believed

to possess potent anticancerous activity. In vitro studies imply butyrate exerts multiple effects to modulate gene expression and regulatory effect of apoptosis and cell cycle. This is involved in countering colon cancer (Hsueh et al. 2011). Short chain fatty acids such as butyric acid are used by colonic mucosa as a source of energy (Roediger 1982). Butyric acid, acetic acid and propionic acid stimulate cell proliferation in normal colonic epithelium. These acids retard the growth of carcinoma cell lines and also induce apoptosis (programmed cell death) in carcinoma cells. Though limited data is available, some beneficial effects of  $\beta$ -glucan on carcinoma cells are reported (Murphy et al. 2004). Fung Chan et al. (2009) reported no direct cytotoxic reports of  $\beta$ -glucan on a panel of common cancer cell lines tested including carcinoma, sarcoma and blastoma. Contradicting the health benefits, the report suggests that the  $\beta$ -glucan stimulates the proliferation of monocytic lineage leukaemic cells in vitro and facilitates the maturation of dendritic cells derived from leukemic cells. Lunasin peptides isolated from oats are believed to have anti-inflammatory and anticancerous properties (Nakurte et al. 2013).

**Industrial usage** Oat husk is lignocellulosic biomass and it is a by-product of mills. Kusch et al. (2011) reported use of oat husk for production of methane for biogas purpose. An innovative continuous two phase, two stage prototype biogas plant at Yettereneby Farm in Jarna, Sweden (2003) used oat husk for production of biogas in solid phase slow process. The biogas production by this method is slow but it is a steady process.

### Future opportunities

Oat compounds provide various opportunities for incorporating oats in functional food products. There is a great need to determine the bioavailability of antioxidants from oat and other food sources and to determine various effects on human and animal health. Oats contain very interesting components including antioxidants and  $\beta$ -glucan. Oat, being a convenience food material consumed by humans irrespective of the age, requires more scientific attention to justify and modify its nutraceutical status in geriatric as well as paediatric diets. Research and development is further needed to determine novel functional compounds in oat to extract these components in fractions that can be incorporated in food products. Food security being envisaged as a global concern, process upgradation of oat and oat derived products need to be worked on so as to ensure their proper utilization and thus contributing to the growing nutritional demands. Another area for research may include alteration of specific enzyme or enzyme system in oats by genetic engineering to alter the pathways to yield high production of the desired constituents.

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