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## National Institutes of Health Consensus Development Project on Criteria for Clinical Trials in Chronic Graft-versus-Host Disease: I. The 2014 Diagnosis and Staging Working Group Report

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## Abstract

The 2005 National Institutes of Health (NIH) Consensus Conference proposed new criteria for diagnosing and scoring the severity of chronic GVHD. The 2014 NIH consensus maintains the framework of the prior consensus with further refinement based on new evidence. Revisions have been made to address areas of controversy or confusion, such as the overlap chronic GVHD subcategory and the distinction between active disease and past tissue damage. Diagnostic criteria for involvement of mouth, eyes, genitalia, and lungs have been revised. Categories of chronic GVHD should be defined in ways that indicate prognosis, guide treatment, and define eligibility for clinical trials. Revisions have been made to focus attention on the causes of organ-specific abnormalities. Attribution of organ-specific abnormalities to chronic GVHD has been addressed. This paradigm shift provides greater specificity, more accurately measures the global burden of disease attributed to GVHD, and will facilitate biomarker association studies.

## Keywords

Chronic GVHD; NIH; diagnosis; staging

## Background

Chronic graft-versus-host disease (GVHD) remains a serious and common complication of allogeneic hematopoietic cell transplantation (HCT), occurring in 30% to 70% of patients<sup>1</sup>. Chronic GVHD is a syndrome of variable clinical features resembling autoimmune and other immunologic disorders such as scleroderma, Sjögren's syndrome, primary biliary cirrhosis, wasting syndrome, bronchiolitis obliterans, immune cytopenias, and chronic immunodeficiency<sup>2,3</sup>. The pathophysiology of the chronic GVHD syndrome may involve inflammation, cell-mediated immunity, humoral immunity, and fibrosis. Clinical manifestations nearly always present during the first year after transplantation, but some cases develop many years after HCT. Manifestations of chronic GVHD may be restricted to a single organ or site or may be widespread, with profound impact on quality of life. Other cases are self-limited and either smolder or resolve without immunosuppressive therapy.

Diagnosing and scoring the severity of chronic GVHD is challenging for several reasons: limited understanding of the pathophysiology, coexistence of acute GVHD manifestations, previously poorly validated measurement tools and scoring systems, and lack of biomarkers for the diagnosis and assessment of disease activity.

Overall risk profiles for acute GVHD and for chronic GVHD diagnosed according to 2005 NIH consensus criteria<sup>4</sup> were similar in a large comparative study<sup>5</sup>. Of interest, risk factors associated with chronic GVHD were not changed after adjustment for prior acute GVHD, suggesting that chronic GVHD is not simply an evolution of preceding acute GVHD<sup>5</sup>.

Several retrospective and large prospective studies have validated many aspects of the 2005 NIH Chronic GVHD Diagnosis and Staging Consensus criteria<sup>4</sup> including organ scoring, global severity, and GVHD categories<sup>6–21</sup>. Although these criteria represent advancement in the field, many questions remain, including their role in clinical practice, biomarker discovery, and regulatory review of new drugs or devices seeking FDA approval. For certain organs and sites, the minimal criteria to diagnose chronic GVHD have not been clearly defined. Other unresolved issues of the 2005 Consensus criteria include confusion about the chronic GVHD subcategories (especially overlap GVHD), the rules for scoring abnormalities (symptoms, signs, diagnostic testing) not due to GVHD, and lack of distinction between active disease and a fixed deficit resulting from prior tissue damage<sup>6,22</sup>.

Members of the 2014 international NIH Chronic GVHD Diagnosis and Staging Consensus Working Group who contributed to this document were subdivided into organ-specific subgroups. Each subgroup reviewed all new evidence since 2005 and was asked to address controversies and unanswered questions about their assigned organ<sup>22</sup>. Their findings were reviewed by all members of the working group and the steering committee and agreed upon, to establish the 2014 Consensus Criteria.

## Purpose of this document

The goals of this consensus document are to revise the 2005 NIH Chronic GVHD Consensus Criteria<sup>4</sup> based on available evidence, to (a) clarify controversies related to the minimal criteria needed to establish the diagnosis for clinical trials; and (b) refine the definition of GVHD sub-categories and organ severity scoring. The changes proposed in this document will help to identify manifestations of the various clinical phenotypes of chronic GVHD at initial diagnosis and during the subsequent evolution of the disease for the purpose of clinical trials and biomarkers studies needed to advance the field. A summary of the 2014 NIH Chronic GVHD Diagnosis and Staging Consensus Recommendations is shown below.

## Summary of recommendations that are new since the 2005 Consensus<sup>4</sup>

1. Definition of overlap chronic GVHD subcategory has been clarified, and specific manifestations of both acute and chronic GVHD have been added to the organ severity scoring form.
2. Diagnostic criteria for organ system involvement have been modified as follows:
  - a. Mouth: Hyperkeratotic plaques have been removed as a diagnostic feature.
  - b. Eyes: Evaluation by an ophthalmologist is recommended for eye-specific clinical trials. The Schirmer's test has been removed from the severity scoring form.

- c. Lungs: Bronchiolitis obliterans syndrome (BOS) diagnostic criteria have been modified to enhance diagnostic sensitivity in the presence of established chronic GVHD. BOS that meets the new clinical criteria, plus one other distinctive manifestation, is now sufficient for chronic GVHD diagnosis.
  - d. Genitalia: Signs and symptoms for males have been added, and diagnostic criteria for females have been modified.
3. Organ-specific severity scoring has been modified as follows (Figure 1):
- a. Skin: The composite score has been split into two scores to separate the extent of skin involvement (body surface area - BSA) from the specific skin features. Clinical features to be considered in the skin scores have been clarified, and rules for the final skin scoring have been added for calculation of global severity.
  - b. Mouth: Asymptomatic lichen planus-like features (score 0) has been incorporated.
  - c. Eye: Kerato-conjunctivitis sicca (KCS) confirmed by an ophthalmologist in an asymptomatic patient (score 0) has been incorporated. Scoring for the eye drop usage criterion has been clarified to include only lubricant drops.
  - d. Gastrointestinal (GI): Severity of diarrhea has been added to the GI tract severity score.
  - e. Liver: Aspartate aminotransferase (AST) is no longer included in liver severity scoring. The cut-off values for bilirubin, alanine aminotransferase (ALT) and alkaline phosphatase (ALP) have been revised.
  - f. Lungs: The lung function score, which included both FEV1 (forced expiratory volume in 1 second) and DLCO (diffusing capacity of the lung for carbon monoxide), has been simplified to include only the FEV1 (hereafter, FEV1 refers to % predicted), thus increasing specificity for obstructive lung defects. Rules for final lung scoring have been modified to enhance specificity and for calculation of global severity.
  - g. Joints: Photographic image-based range of motion (P-ROM)<sup>23</sup> has been added to the joint assessment as an exploratory measure.
  - h. Genitalia: New criteria are proposed for scoring severity based on signs as an exploratory measure.
  - i. Other indicators have been removed, including the category of progressive onset, and cardiac manifestations such as conduction defects and coronary artery involvement (Figure 1). Weight loss (not due to gastrointestinal involvement by GVHD) has been added to this section.
  - j. Attributions of abnormalities not due to GVHD have been incorporated into the organ-specific scoring.

4. The evaluator's opinion regarding overall severity of chronic GVHD has been added to the scoring form (Figure 1).

## Diagnosis of chronic GVHD

Clinical features determine whether the clinical syndrome of GVHD is considered acute or chronic, not the temporal relationship to transplantation<sup>4</sup>. In the 2005 consensus criteria, the simultaneous presence of acute GVHD features in patients with chronic GVHD was classified as the “overlap” subset of chronic GVHD<sup>4</sup>. This subclassification of chronic GVHD has been a subject of controversy and confusion (see Differential Diagnosis between Acute and Chronic GVHD in the following section). The overlap subcategory of chronic GVHD has been associated with worse survival compared to the “classic” subcategory (absence of acute GVHD features) of chronic GVHD<sup>9,13,20,24</sup>, but not in all studies<sup>18</sup>. Hyperbilirubinemia and small intestinal/colonic involvement are known risk factors for increased mortality in chronic GVHD patients (reviewed in <sup>2</sup>)<sup>7,25,26</sup>. Based on current knowledge and in light of controversy related to the overlap subcategory including problems identified in clinical practice<sup>22</sup>, the 2014 consensus criteria have clarified the overlap subcategory of chronic GVHD and recommend documentation of all clinical features in patients with chronic GVHD that are relevant for prognostication, treatment guidance, response assessment, biomarker studies, and clinical trials (see “Differential Diagnosis between Acute and Chronic GVHD” and “Clinical Scoring of Organ Systems” sections below).

Throughout this document, *diagnostic* signs and symptoms refer to those manifestations that establish the presence of chronic GVHD without need for further testing or evidence of other organ involvement. *Distinctive* signs and symptoms of chronic GVHD refer to those manifestations that are not ordinarily found in acute GVHD but are not considered sufficient in isolation to establish an unequivocal diagnosis of chronic GVHD. Additional testing such as a biopsy documenting histological features of chronic GVHD (or at least “likely” chronic GVHD, see Histopathology document) is needed to establish the diagnosis of chronic GVHD. *Other features or unclassified manifestations* of chronic GVHD define the rare, controversial, or nonspecific features of chronic GVHD that cannot be used to establish the diagnosis of chronic GVHD. Signs and symptoms found in both chronic and acute GVHD are referred as *common* features (Table 1).

Characteristics of the clinical features that establish the diagnosis of chronic GVHD might not serve as the most appropriate parameters for assessing severity of chronic GVHD. Valid and reliable diagnostic criteria might not be sufficiently sensitive to change to be useful as criteria for response after treatment. Conversely, a sensitive measure of chronic GVHD response might not necessarily serve as an appropriate diagnostic and scoring measure.

The Working Group recommends that the diagnosis of chronic GVHD require at least one diagnostic manifestation of chronic GVHD or at least one distinctive manifestation plus a pertinent biopsy, laboratory or other tests (e.g. PFTs, Schirmer's test), evaluation by a specialist (ophthalmologist, gynecologist) or radiographic imaging showing chronic GVHD in the same or another organ, unless stated otherwise. As in acute GVHD, infection and other causes may confound or complicate the differential diagnosis of chronic GVHD and

must be excluded (e.g., nail dystrophy due to onychomycosis, herpes simplex or *Candida albicans* infections of the oral cavity, drug toxicity). Diagnostic and distinctive features of chronic GVHD can be found in the skin and appendages, mouth, eyes, genitalia, esophagus, lungs, and connective tissues. Biopsy or other testing is always encouraged and often valuable to confirm the presence of chronic GVHD, but is not always feasible and is not mandatory if the patient has at least one of the diagnostic findings of chronic GVHD (Table 1).

## Organ-specific manifestations of chronic GVHD

In all cases, drug reaction, infection, recurrent or new malignancy and other causes must be excluded. Diagnostic clinical or laboratory features sufficient for the diagnosis of chronic GVHD are italicized in the sections below.

### Skin

Diagnostic clinical features include *poikiloderma* (i.e., atrophy, pigmentary changes and telangiectasia), *lichen planus-like eruption* (i.e., erythematous/violaceous flat-topped papules or plaques with or without surface reticulations or a silvery or shiny appearance), *deep sclerotic features* (i.e., smooth, waxy, indurated skin - “thickened or tight skin”, caused by deep and diffuse sclerosis over a wide area generally causing limitation of joint mobility), *morphea-like* superficial sclerotic features (i.e., localized patchy areas of moveable smooth or shiny skin, leather-like consistency, often with dyspigmentation), or *lichen sclerosus-like lesions* (i.e., discrete to coalescent, gray to white, moveable papules or plaques, often with follicular plugs, shiny appearance, and cigarette paper-like wrinkled texture). Severe sclerotic features characterized by thickened, tight, and fragile skin are often associated with poor wound-healing, inadequate lymphatic drainage, and skin ulcers from minor trauma.

Depigmentation (vitiligo) and papulosquamous lesions are “distinctive” features of chronic GVHD (i.e., not seen in acute GVHD, but not sufficiently specific to be considered diagnostic of chronic GVHD). These features contribute to the diagnosis of chronic GVHD in combination with biopsy or laboratory confirmation of GVHD in skin or another organ. Sweat impairment and intolerance to temperature change from loss of sweat glands are seen in chronic GVHD, and are considered to be in the “other feature” category along with manifestations such as ichthyosis, keratosis pilaris, hypopigmentation, and hyperpigmentation (Table 1). These features cannot be used to establish the initial diagnosis of chronic GVHD. Skin manifestations found in both acute and chronic GVHD include erythema, maculopapular rash, and pruritus, and are categorized as “common” features. The presence of one or more of the “common” features alone cannot be used to establish the initial diagnosis of chronic GVHD (Table 1).

Assessment of extent and severity of skin chronic GVHD is complex because some clinical features may reflect past “damage” (hypo- and hyperpigmentary changes) or sequelae of longstanding fibrosis (i.e., fixed joint contractures after several years of deep sclerosis). Assessment of disease activity is difficult in patients with poikiloderma when smoldering, ill-defined erythema is admixed with pigmentary changes. Pigmentary change alone (seen in poikiloderma, or more commonly as simple post-inflammatory pigmentary change not

representing active GVHD) is *not* included in the percentage of BSA skin score calculation (See Table 1/Figure 1). Erythema, a “common” feature (Table 1), is included in the BSA skin score calculation as it generally represents inflammation associated with active GVHD. Only the erythema component of poikiloderma is considered in the BSA skin score calculation, but it may be difficult to quantify since it is admixed with pigmentary changes.

## Nails

Dystrophy consisting of longitudinal ridging, nail splitting or brittleness, onycholysis, pterygium unguis, and nail loss (usually symmetric and affecting most nails) are distinctive signs of chronic GVHD.

## Hair

Distinctive features of chronic GVHD include new scarring or non-scarring scalp alopecia (not due to chemotherapy or radiotherapy) and loss of body hair. Other characteristics seen with chronic GVHD include premature graying, thinning, or brittleness.

## Mouth

Diagnostic features of oral chronic GVHD include *lichen planus-like changes*, characterized by hyperkeratotic white lines and lacy-appearing lesions on the oral mucosa. Changes are typically observed on the buccal mucosa and tongue, although all intraoral surfaces and the vermilion lip may be involved. These diagnostic white changes may be observed with or without associated erythema or ulcerations, which are not considered diagnostic features. The presence of isolated hyperkeratotic plaques without lichen planus-like changes, so-called leukoplakia, is no longer considered a diagnostic criterion since these lesions should be considered a separate clinical entity that may imply malignant potential. Decreased range of motion of the jaw secondary to skin sclerosis should be assessed according to skin criteria, and is no longer considered a diagnostic criterion in the oral section. Distinctive features of chronic GVHD include xerostomia (dryness), mucoceles, mucosal atrophy, ulcers, and pseudomembranes, but infectious pathogens such as yeast or herpes virus, and secondary malignancy must be excluded. Manifestations common to both acute and chronic GVHD include gingivitis, mucositis, erythema, and pain. Figure 1 details the scoring and incorporates asymptomatic oral chronic GVHD as a diagnostic feature.

## Eyes

Distinctive manifestations of chronic GVHD include new onset of dry, “gritty”, or painful eyes, cicatricial conjunctivitis, keratoconjunctivitis sicca (KCS), and confluent areas of punctate keratopathy. Other features include photophobia, periorbital hyperpigmentation, and blepharitis (erythema and edema of the eye lids and telangiectasia of lid margin). New ocular sicca documented by low Schirmer’s test with a mean value of  $\leq 5$  mm at 5 minutes (preferably with confirmation of normal values at an established baseline) or a new onset of keratoconjunctivitis sicca by slit lamp exam with mean Schirmer’s test values of 6 to 10 mm (preferably with confirmation of normal values at an established baseline) not due to other causes is sufficient for the diagnosis of ocular chronic GVHD for the purpose of treatment



and for clinical trials designed specifically for ocular GVHD, but an additional distinctive feature is necessary to establish eligibility for general chronic GVHD trials. Patients with ocular symptoms prior to transplant should be evaluated by an ophthalmologist for assessment of ocular surface abnormalities including presence of KCS, conjunctival scarring, and inflammation. Some experts strongly encourage baseline evaluation post-transplant (approximately day 100)<sup>27,28</sup>. Figure 1 details the scoring and incorporates asymptomatic ocular chronic GVHD. The scoring of ocular involvement includes the number of times a patient has to use lubricant eye drops each day. The international consensus guidelines on ocular GVHD have proposed a more detailed scoring schema which involves comprehensive ophthalmological evaluation including pre-transplant evaluation<sup>28</sup>. These remain to be validated and should be considered in clinical trials addressing ocular involvement. Schirmer's test may be useful for diagnosis of ocular GVHD, but the numerical values are not useful for follow-up of ocular GVHD due to poor correlation with symptom change<sup>15</sup>. For this reason, Schirmer's test values have been removed from the scoring form in the current recommendation (Figure 1).

## Genitalia

Chronic GVHD of the genital tract (female and male) is often associated with oral chronic GVHD<sup>29,30</sup>. Diagnostic features of genital chronic GVHD include *lichen planus-like features*, *lichen sclerosus-like features*, *vaginal scarring*, *clitoral/labial agglutination (females)*, *phimosis and scarring or stenosis of the urethral or meatus (males)*. Distinctive features of genital chronic GVHD include erosion, fissure and ulcer (Table 1).

Genital examination is recommended, even in asymptomatic patients (female and male), especially if signs of chronic GVHD are present in the mouth. If a gynecologist is unavailable, external examination may be performed, but, in this instance, vaginal scarring may be missed (Supplemental Figure 1).

### Female genitalia

The vulva and vagina may be affected by chronic GVHD. Symptoms may include dryness, burning, pruritus, pain to touch, dysuria, and dyspareunia either with penile insertion or deep penetration leading to sexual dysfunction. Signs of genital chronic GVHD may include patchy or generalized erythema, tenderness on palpation of vestibular gland openings or vulvar mucosa with a cotton-tipped applicator, mucosal erosions or fissures, lace-like leukokeratosis, labial resorption, labial fusion or clitoral hood agglutination, fibrinous vaginal adhesions, circumferential fibrous vaginal banding, vaginal shortening, synechiae, dense sclerotic changes, and complete vaginal stenosis<sup>29,31-34</sup>.

### Male genitalia

Manifestations of chronic GVHD may be under recognized and underreported in men. The glans penis and the urethra or meatus may be affected. Patients may report painful sexual intercourse and a burning sensation. Genital signs of GVHD include non-infectious balanoposthitis, lichen sclerosus-like or lichen planus-like features, phimosis, or urethra or meatus scarring or stenosis<sup>35,36</sup>.



## Gastrointestinal tract (GI)

Diagnostic features include *esophageal web, stricture, or concentric rings* documented by endoscopy or barium contrast radiograph. Manifestations common to both acute and chronic GVHD include anorexia, nausea, vomiting, diarrhea, weight loss, and failure to thrive (Table 1). These symptoms can be due to non-GVHD causes such as drug side effect, motility disorders, or infections. Wasting syndrome may be a manifestation of chronic GVHD but is often multifactorial (i.e., decreased caloric intake, poor intestinal absorption of macronutrients, increased resting energy expenditures, and hypercatabolism). Unintentional weight loss occurring over a three-month period should be documented in clinical trials, irrespective of causality, unless a definitive causality other than GVHD is identified. Chronic GVHD may be associated with pancreatic atrophy and exocrine insufficiency leading<sup>37</sup> to malabsorption that often improves with oral pancreatic enzyme supplementation. Endoscopic findings of gastrointestinal mucosal edema and erythema or focal erosions with histologic changes of apoptotic epithelial cells and crypt cell dropout are manifestations of acute GVHD.

## Liver

There are no hepatic manifestations that are either distinctive or diagnostic of chronic GVHD. Liver GVHD can also be accompanied by clinical manifestations of acute GVHD, with or without manifestations of chronic GVHD. Other causes of liver disease occurring beyond day 100 after HCT include viral infections, biliary obstruction, drug toxicity, and other less-common disorders (e.g., nonalcoholic steatohepatitis). Liver GVHD can present in two ways after day 100. One resembles acute hepatitis (steeply rising serum ALT, with or without jaundice), almost always after tapering of immunosuppressive drugs or after donor lymphocyte infusion. This presentation requires a prompt diagnosis and treatment intervention, and liver biopsy may be needed in the absence of GVHD in another organ. The other presentation resembles a slowly progressive cholestatic disorder with elevated serum alkaline phosphatase and gamma-glutamyl transpeptidase concentrations, followed by jaundice. Acute hepatitis and progressive cholestatic features are included in the “common” category (Table 1). The liver has no clinical features in the “other” category.

## Lungs

Historically, the only diagnostic pulmonary manifestation of chronic GVHD was biopsy-proven bronchiolitis obliterans (BO). However, because biopsy is invasive and associated with risk of bleeding and other complications, experts now endorse the diagnosis of bronchiolitis obliterans syndrome (BOS) using pulmonary functions testing (PFT)<sup>38,39</sup>. BOS is characterized by the new onset of an obstructive lung defect. Clinical manifestations may include dyspnea on exertion, cough, or wheezing; however many patients are asymptomatic early in the disease process. For this reason, screening PFTs are recommended at day 100 posttransplant, at initial diagnosis of chronic GVHD, at one year after transplant, and at 6-month intervals for the first two years after the initial diagnosis of chronic GVHD. More frequent PFT monitoring is recommended in patients diagnosed with BOS and in those with significant decline in lung volumes but not yet meeting the criteria for BOS (see upcoming

supportive care and ancillary care NIH consensus document). Pneumothorax, pneumomediastinum, and subcutaneous emphysema are rare and often associated with advanced disease. Restrictive pulmonary function abnormalities are not characteristic of BOS but may reflect extra-pulmonary restriction (leading to non-obstructive reduction of FEV1) secondary to advanced sclerotic GVHD of the chest wall or intrapulmonary processes not related to GVHD, such as cryptogenic organizing pneumonia or pulmonary fibrosis. Further investigation beyond simple pulmonary testing is needed to evaluate these complex problems.

In the presence of a distinctive manifestation of chronic GVHD, the clinical diagnosis of *bronchiolitis obliterans syndrome* (BOS) is sufficient to establish the diagnosis of chronic GVHD for the purposes of enrollment on clinical trials when all of the following criteria are met:

1. FEV1/VC < 0.7 or the 5<sup>th</sup> percentile of predicted.
  - a. FEV1= Forced Expiratory Volume in 1 second.
  - b. VC= Vital Capacity (Forced Vital Capacity “FVC” or Slow Vital Capacity “SVC”, whichever is greater).
  - c. The 5<sup>th</sup> percentile of predicted is the lower limit of the 90% confidence interval.
  - d. For pediatric or elderly patients, use the lower limits of normal defined according to NHANESIII calculations.<sup>40</sup>
2. FEV1 < 75% of predicted with 10% decline over less than 2 years. FEV1 should not correct to > 75% of predicted with albuterol, and the absolute decline for the corrected values should still remain at 10% over 2 years.
3. Absence of infection in the respiratory tract, documented with investigations directed by clinical symptoms, such as chest radiographs or computed tomographic scans or microbiologic cultures (sinus aspiration, upper respiratory tract viral screen, sputum culture, bronchoalveolar lavage).
4. One of the two supporting features of BOS:
  - a. Evidence of air trapping by expiratory CT or small airway thickening or bronchiectasis by high-resolution chest CT OR
  - b. Evidence of air trapping by PFTs: RV (Residual Volume) > 120% of predicted or RV/TLC elevated outside the 90% confidence interval (RV/ Total Lung Capacity).

If a patient already carries the diagnosis of chronic GVHD by virtue of organ involvement elsewhere, then only the first three criteria above are necessary to document chronic GVHD lung involvement. If BOS is the *only* clinical manifestation in a patient without a prior established diagnosis of chronic GVHD, a lung biopsy is required to establish the diagnosis of chronic GVHD for the purposes of enrollment on general chronic GVHD trials.

The current recommended work-up for BOS includes PFTs and expiratory CT. Because a new diagnostic technique for BOS termed parametric response mapping is currently under investigation, a high resolution (helical) CT of inspiration and expiration is encouraged if available. This technique will permit visual representation of lung affected by obstructive disease (BOS) versus lung tissue with normal aeration or restrictive disease, and may become a valuable measure in the future<sup>41</sup>.

Other entities that currently are not diagnostic or distinctive of lung chronic GVHD, but remain areas of active investigation include: (1) cryptogenic organizing pneumonia (COP) (formerly known as bronchiolitis obliterans organizing pneumonia), and (2) progressive restrictive lung disease (in the absence of extra-pulmonary causes). These unclassified entities have been placed in the “other” category in Table 1. There are no “common” pulmonary features of GVHD.

## Musculoskeletal system

Diagnostic features include *fascial involvement* often affecting the forearms or legs and often associated with sclerosis of the overlying skin and subcutaneous tissue. Fascial involvement may develop without overlying sclerotic changes of the skin, and can result in *joint stiffness or contractures* when present near joints. Early fasciitis may present with pain and swelling, and with or without erythema. *Fasciitis* is detected on examination by stiffness, restricted range of motion (e.g., often decreased dorsal wrist flexion or inability to assume a Buddha prayer posture), edema of extremities with or without erythema (early sign), peau d'orange (edematous skin with prominent pores resembling the surface of an orange) or *joint contractures* (late complications). Clinical myositis with muscle tenderness and elevated muscle enzymes in the blood is a distinctive but non-diagnostic manifestation of chronic GVHD. Myositis may present as proximal myopathy, but this complication is rare and does not explain the frequent complaints of severe cramps. Evaluation of myositis includes electromyography and measurement of creatinine phosphokinase or aldolase. Muscle/sural nerve biopsies should be considered in the absence of other manifestations of GVHD to rule out other causes of myositis. Arthralgia and “true” arthritis are uncommon and are occasionally associated with the presence of autoantibodies.

## Hematopoietic and immune systems

Hematopoietic and immunological abnormalities are frequently associated with chronic GVHD but cannot be used to establish the diagnosis of chronic GVHD. Cytopenias may result from stromal damage or autoimmune processes. Lymphopenia ( $< 500/\mu\text{l}$ ), eosinophilia ( $> 500/\mu\text{l}$ ), hypogammaglobulinemia, or hypergammaglobulinemia may be present. Autoantibodies may develop with autoimmune hemolytic anemia and idiopathic thrombocytopenic purpura. Thrombocytopenia ( $< 100,000/\mu\text{l}$ ) at the time of chronic GVHD diagnosis has been associated with a poor prognosis.

## Other findings

Serositis (pericardial or pleural effusions or ascites), peripheral neuropathy, myasthenia gravis, nephrotic syndrome, membranous glomerulonephritis, Raynaud's phenomenon, and

cardiac involvement have been attributed to chronic GVHD, but these manifestations are rare. For these entities, attribution to chronic GVHD is often a diagnosis of exclusion.

## Differential Diagnosis between Acute and Chronic GVHD

As in the 2005 consensus criteria, the 2014 consensus recognizes two main categories of GVHD (acute and chronic). The broad category of acute GVHD includes (1) classic acute GVHD (erythema, maculopapular rash, nausea, vomiting, anorexia, profuse diarrhea, ileus, or cholestatic liver disease) occurring within 100 days after transplantation or DLI in a patient not meeting criteria for the diagnosis of chronic GVHD and (2) persistent, recurrent, or late onset acute GVHD: features of classic acute GVHD occurring beyond 100 days post transplantation or DLI in a patient not meeting criteria for the diagnosis of chronic GVHD (often seen during the taper or after withdrawal of immune suppression).

In the 2005 criteria, the broad category of chronic GVHD included two subcategories: (1) classic chronic GVHD without features characteristic of acute GVHD and (2) an overlap syndrome where features of chronic and acute GVHD appear together. Clarification of the definition of the “overlap” subcategory of chronic GVHD is now provided to address problems identified when applying this terminology in clinical practice<sup>22</sup>. The term “overlap” refers to the presence of one or more acute GVHD manifestations in a patient with a diagnosis of chronic GVHD. Manifestations of acute GVHD can be present at initial diagnosis of chronic GVHD or can develop after the diagnosis of chronic GVHD and may recur with or without resolution of prior chronic GVHD manifestations. Findings indicating the overlap subcategory can be transient, often depend on the degree of immunosuppression, and are subject to changes during the disease course. Many patients who present with “overlap” chronic GVHD resolve the acute features, while chronic GVHD features persist. Similarly patients with classic chronic GVHD may develop acute GVHD features when immunosuppression is tapered.

The 2014 chronic GVHD consensus recommends documentation of all specific manifestations (acute and chronic) when scoring organ severity at onset and at any time after the diagnosis of chronic GVHD (Figure 1). Complete documentation of all involved organs provides a better description of the chronic GVHD syndrome and more detailed information for prognostic and biologic studies, while allowing retrospective confirmation of the “overlap” designation rather than relying on clinicians to apply the appropriate definition. Specific manifestations are shown in Figure 1 and are discussed below with reference to scoring. For example, skin sclerosis and fasciitis manifestations have been separated from BSA calculations that are more applicable to other manifestations such as erythema. Severity of diarrhea has been added to the GI tract scoring. Liver scoring was modified to reflect the biochemical liver abnormalities that appear in early versus later (or more severe) phases of GVHD.

In the absence of features fulfilling criteria for the diagnosis of chronic GVHD, the persistence, recurrence, or new onset of characteristic skin, gastrointestinal tract or liver abnormalities should be classified as acute GVHD regardless of the time after transplantation. With appropriate stratification, however, patients with persistent, recurrent

or late acute GVHD may be included in clinical trials together with patients who have NIH chronic GVHD<sup>5</sup>.

## Clinical Scoring of Organ Systems

Modifications have been made to the 2005 consensus organ scoring system based on available evidence, or lack thereof, and to address concerns raised by investigators and in clinical practice<sup>22</sup>. Figure 1 shows the consensus scoring system for individual organs. Several considerations explain the selection of features for the proposed scoring system versus the response criteria discussed in a separate article. (1) Scoring criteria are intended for baseline or cross-sectional use, whereas response criteria are intended for longitudinal evaluation in therapeutic trials. (2) In general, scoring measures have been designed so that they can be easily performed by general practitioners (non-transplant physicians and nurses). Two organ systems, eyes and female genitalia (Supplemental Figure 1) are best assessed by a specialist. By design, the only required laboratory testing needed to complete the scoring table is measurement of liver values. Lung scoring is preferentially determined by pulmonary function tests, when available, but symptoms may be substituted if PFT results are not available. (3) The broad scoring categories help to classify patients and provide immediate, clinically meaningful information summarizing disease extent and severity. (4) The scoring system does not attempt to distinguish between disease activity (inflammation and apoptosis of target cells) and fixed anatomic deficits from past tissue injury, but now incorporates the attribution of abnormalities not due to chronic GVHD. (5) The overall skin score is determined by the higher subscore of the BSA and type of involvement. (6) Sites or organs with unequivocal documentation of attribution other than GVHD cannot be evaluated and are not included in computing the overall severity, but the data are collected in the scoring form (Figure 1). For example, 12.5% BSA skin rash entirely due to varicella zoster is scored as 1 for skin, shortness of breath after walking on flat ground due to lobar pneumonia is scored 2 for lung, FEV1 of 60% is scored 1 if is unchanged from the pre-transplant FEV1 value, but the box “*Abnormality present but explained entirely by non-GVHD documented cause*” should be checked so the organ can be excluded from global score calculation. We anticipate that patients will often have multifactorial etiologies to explain abnormalities (e.g. shortness of breath in a patient with established BOS and now with worsening FEV1 due to superimposed viral bronchiolitis). In these instances, the abnormality is scored as if the entire deficit is due to GVHD. This inherent limitation of the scoring system is unavoidable, until better quantitative tests are available to ascertain abnormalities solely due to chronic GVHD.

Organs and sites to be scored include skin, mouth, eyes, gastrointestinal tract, liver, lungs, joints and fascia, and the genital tract. Each organ or site is scored according to a 4-point scale (0–3) with 0 representing no involvement and 3 reflecting severe impairment. In addition, performance status is captured on a 0 to 3 scale, and check boxes note the presence or absence of other specific manifestations.

The current consensus document proposes changes to the 2005 consensus scoring system for some organs, as follows (Figure 1):

1. Skin: The composite score is now split into two scores to document the extent of skin involvement (BSA) and the specific skin features separately. Clinical features to be considered in the skin scores have been clarified. The higher of the two scores is to be used for computation of global severity.
2. Mouth: Lichen planus-like features in asymptomatic patients (score 0) are now incorporated.
3. Eye: Keratoconjunctivitis sicca (KCS) confirmed by an ophthalmologist in an asymptomatic patient (score 0) is now incorporated. Scoring regarding the requirement of eye drops is clarified to include only lubricant drops. Schirmer's test values have been removed from the scoring form.
4. Gastrointestinal (GI): The severity of diarrhea is now incorporated as an additional feature in the GI tract severity scoring system. Weight loss due to gastrointestinal GVHD is captured under the GI tract.
5. Genitalia: Scoring is now based on severity of the signs instead of symptoms, based on limited available data<sup>29,31,35,36</sup> and the opinions of experts (Supplemental Figure 1 represents an exploratory measure to be completed by specialists or trained practitioners). Female or male genital GVHD is not scored if a practitioner is unable to examine the patient.
6. Liver: Scoring is based on increments in values for total serum bilirubin, alanine aminotransferase (ALT), and alkaline phosphatase (ALP). Aspartate aminotransferase (AST) is no longer considered for the scoring.
7. Lungs: Lung function score, which used both FEV1 and DLCO, was simplified to FEV1 values alone, thus improving specificity. The rule for the final lung scoring has been changed such that the FEV1 score should be used in cases with discrepancy between symptoms and FEV1 scores.
8. Joint: Photographic-range of motion (P-ROM)<sup>23</sup> has been added to joint assessment as an exploratory measure but should not be included in the calculation of global severity (Figure 1).
9. Other indicators, clinical manifestations or complications related to chronic GVHD have been simplified. This includes the removal of progressive onset-type of chronic GVHD, cardiomyopathy, cardiac conduction defects and coronary artery involvement. Weight loss (measured over previous 3 months) due to causes other than GI tract GVHD has been added (Figure 1).

The form shown in Figure 1 should be completed based on an assessment of current status without consideration of past manifestations or the causes for the abnormality in each organ. Abnormalities with unequivocal causes other than GVHD are annotated in scoring each organ or site. This change will help to address some of the controversies and confusion raised by investigators<sup>22</sup>. Furthermore, identification of abnormalities not due to GVHD will help in the selection of patients for clinical trials and biomarker studies of chronic GVHD. We realize that abnormalities may have multiple causes. If GVHD represents a contributing cause, the organ should be scored as if the entire abnormality is due to GVHD.



## Global Scoring of Chronic GVHD

Fundamentals of the global scoring of chronic GVHD remain unchanged from the 2005 NIH consensus criteria<sup>4</sup>. Several studies have shown that the 2005 NIH global severity score at baseline predicts overall survival and non-relapse mortality<sup>11,18,42</sup> and some elements of the score have been validated with patient-reported quality of life measures<sup>10,43</sup>.

Eight organs or sites (skin, mouth, eyes, gastrointestinal tract, liver, lungs, joint and fascia, and genital tract) are considered for calculating global score. Elements included in the proposed global scoring include both the number of organs or sites involved and the severity score within each affected organ. Performance status scoring is not incorporated into the global scoring system. The global descriptions of mild, moderate, and severe were chosen to reflect the degree of organ impact and functional impairment due to chronic GVHD.

Although scoring is often used at the time of initial diagnosis, evaluating the clinical score periodically during the course of chronic GVHD may revise prognostic expectations and better describe the current severity of chronic GVHD. It is important to note that change in global score over time is not synonymous with response. The global scoring system can be applied only after the diagnosis of chronic GVHD is confirmed by either (1) presence of a diagnostic feature or, if a diagnostic feature is not present, (2) at least one distinctive manifestation of chronic GVHD with the diagnosis supported by histologic, radiologic, or laboratory evidence of GVHD from any site. Table 2 outlines the computation of the chronic GVHD global severity scoring which is categorized as mild, moderate, or severe.

The current consensus incorporates asymptomatic organ manifestations (e.g. asymptomatic oral chronic GVHD). These do not affect the global scoring of chronic GVHD, since the recorded score is still 0. Attribution of abnormalities to causes other than chronic GVHD could have an impact on the global scoring. For instance, if a patient has a score of 1 in an organ and if the abnormality is explained entirely and unequivocally by a non-GVHD cause, the organ is excluded from calculation of the global severity. Documentation of potential confounders in organ scoring (attribution due to other causes than chronic GVHD) will correct any overestimation of organ involvement<sup>11,42</sup> and improve the specificity of the scoring system. These changes are supported by the results of a recent prospective study evaluating the impact of confounders in the organ scoring and in the global severity of chronic GVHD, and showed that approximately 40% of abnormalities in at least one organ were unequivocally attributed to causes other than chronic GVHD, resulting in a modest downgrade of global severity after the confounder was taken into account<sup>44</sup>. As outlined previously, if the abnormality in an organ is multifactorial, the organ is scored as if the entire deficit is due to GVHD.

### Indications for systemic therapy

Symptomatic mild chronic GVHD may often be managed with local therapies alone (e.g. topical corticosteroids for the skin involvement). In patients with chronic GVHD that involves three or more organs or with a score of 2 or greater in any single organ, however, systemic immunosuppressive therapy should be considered. In some organ sites (mouth, eyes, genital tract), aggressive local therapy alone may be reasonable, as response to



systemic therapy may be suboptimal or may not warrant the risk of treatment. Comorbidities and infections may also modify decisions regarding the onset and intensity of therapy. Good medical practice and judgment dictate flexibility in this recommendation. Comprehensive monitoring for early detection of insidious disease progression in other sites is essential when management relies entirely on local therapy. Early intervention with effective systemic therapy can prevent progression to severe chronic GVHD. Effective immune-modulating therapy can ameliorate clinical manifestations and possibly prolong survival. In patients with newly diagnosed chronic GVHD who are already taking immune suppressive medications, the dosage may be increased, or other agents can be added. Chronic GVHD itself and systemic immunosuppressive therapy both impair immune defenses. Therefore patients should receive infection-prevention measures as outlined in the forthcoming Ancillary Therapy and Supportive Care working group document.

### **Assessment of risk of transplant-related mortality (TRM)**

Chronic GVHD is one of the major causes of late TRM after allogeneic HCT. Prospective studies using the 2005 criteria have shown that the skin score, lung score, and gastrointestinal score each predict the risk of TRM<sup>8,10,16,42</sup>. Previous studies have identified several factors associated with an increased risk of TRM among patients with chronic GVHD, including involvement of multiple organs or sites, decreased clinical performance score, thrombocytopenia (platelet count <100,000/ $\mu$ L) at the time of diagnosis, progressive onset of chronic GVHD from prior acute GVHD (or onset of chronic GVHD during steroid treatment), hyperbilirubinemia, a higher percentage of skin involvement at the time of diagnosis, and others<sup>5,14,25,45-51</sup>. Characteristics consistently associated with an increased risk of late TRM among patients with chronic GVHD are thrombocytopenia and progressive onset of chronic GVHD from acute GVHD.

The consensus guidelines for assessment of chronic GVHD severity summarized in this document can be used in making decisions about treatment and enrollment in clinical trials. The goals of treatment for chronic GVHD are to relieve symptoms, control disease activity, and prevent damage and disability. As a general rule, the intensity of treatment should be calibrated to the extent and severity of disease manifestations. Patients with mild or asymptomatic manifestations limited to a single organ or site can often be managed with close observation or topical treatment, or by slowing the taper of prophylactic immunosuppressive treatment. Those with more severe manifestations or involvement of multiple organs or sites typically require systemic treatment. Although it is commonly assumed that systemic treatment might improve survival, previous randomized trials have not demonstrated such a benefit, and some studies have shown statistically significant differences or trends indicating worse survival with intensive immunosuppressive treatment. Therefore, chronic GVHD should be managed with the lowest amount of treatment needed to control the disease until immunological tolerance eventually emerges. Therapeutic interventions that facilitate tolerance induction remain an unmet clinical need.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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## APPENDIX: NATIONAL INSTITUTES OF HEALTH CONSENSUS-DEVELOPMENT PROJECT ON CRITERIA FOR CLINICAL TRIALS IN CHRONIC GVHD STEERING COMMITTEE

Members of this committee included: Steven Pavletic, Georgia Vogelsang and Stephanie Lee (project chairs), Mary Flowers and Madan Jagasia (Diagnosis and Staging), David Kleiner and Howard Shulman (Histopathology), Kirk Schultz and Sophie Paczesny (Biomarkers), Stephanie Lee and Steven Pavletic (Response Criteria), Dan Couriel and Paul Carpenter (Ancillary and Supportive Care), Paul Martin and Corey Cutler (Design of Clinical Trials), Kenneth Cooke and David Miklos (Chronic GVHD Biology), Roy Wu, William Merritt, Linda Griffith, Nancy DiFronzo, Myra Jacobs, Susan Stewart, and Meredith Cowden (members).

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|  | SCORE 0   | SCORE 1   | SCORE 2  | SCORE 3   |
|--|---|---|--|---|
| <b>PERFORMANCE</b><br><b>SCORE:</b><br><input type="text"/><br><b>KPS ECOG LPS</b>   | Asymptomatic and fully active (ECOG 0; KPS or LPS 100%) | Symptomatic, fully ambulatory, restricted only in physically strenuous activity (ECOG 1, KPS or LPS 80-90%) | Symptomatic, ambulatory, capable of self-care, >50% of waking hours out of bed (ECOG 2, KPS or LPS 60-70%) | Symptomatic, limited self-care, >50% of waking hours in bed (ECOG 3-4, KPS or LPS <60%)                                     |
| <b>SKIN†</b><br><input type="text"/><br><b>SCORE % BSA</b><br><u>GVHD features to be scored by BSA:</u><br><b>Check all that apply:</b><br>Maculopapular rash/erythema<br>Lichen planus-like features<br>Sclerotic features<br>Papulosquamous lesions or ichthyosis<br>Keratosis pilaris-like GVHD                 | No BSA involved   | 1-18% BSA   | 19-50% BSA   | >50% BSA  |
| <b>SKIN FEATURES</b><br><b>SCORE:</b>  | No sclerotic features                                   |   | Superficial sclerotic features “not hidebound” (able to pinch)   | <b>Check all that apply:</b><br>Deep sclerotic features<br>“Hidebound” (unable to pinch)<br>Impaired mobility<br>Ulceration |
| <u>Other skin GVHD features (NOT scored by BSA)</u><br><b>Check all that apply:</b><br>Hyperpigmentation<br>Hypopigmentation<br>Poikiloderma<br>Severe or generalized pruritus<br>Hair involvement<br>Nail involvement<br>Abnormality present but explained entirely by non-GVHD documented cause (specify): _____ |   |   |  |   |
| <b>MOUTH</b><br>Lichen planus-like features present:<br><b>Yes</b><br><b>No</b><br>Abnormality present but explained entirely by non-GVHD documented cause (specify): _____  | No symptoms   | Mild symptoms with disease signs but not limiting oral intake significantly                                 | Moderate symptoms with disease signs with partial limitation of oral intake                                | Severe symptoms with disease signs on examination with major limitation of oral intake                                      |

|   | SCORE 0  | SCORE 1   | SCORE 2   | SCORE 3   |
|---|--|---|---|---|
| <b>EYES</b>   | No symptoms                                      | Mild dry eye symptoms not affecting ADL (requirement of lubricant eye drops $\leq 3$ x per day) | Moderate dry eye symptoms partially affecting ADL (requiring lubricant eye drops $> 3$ x per day or punctal plugs), <b>WITHOUT</b> new vision impairment due to KCS | Severe dry eye symptoms significantly affecting ADL (special eyewear to relieve pain) <b>OR</b> unable to work because of ocular symptoms <b>OR</b> loss of vision due to KCS   |
| <i>Keratoconjunctivitis sicca (KCS) confirmed by ophthalmologist:</i>                     | <b>Yes</b><br><b>No</b><br><b>Not examined</b>   |   |   |   |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i> |  |   |   |   |
| <b>GI Tract</b>   | No symptoms                                      | Symptoms without significant weight loss* ( $< 5\%$ )   | Symptoms associated with mild to moderate weight loss* (5-15%) <b>OR</b> moderate diarrhea without significant interference with daily living                       | Symptoms associated with significant weight loss* $> 15\%$ , requires nutritional supplement for most calorie needs <b>OR</b> esophageal dilation <b>OR</b> severe diarrhea with significant interference with daily living |
| <b>Check all that apply:</b>  |  |   |   |   |
| Esophageal web/proximal stricture or ring   |  |   |   |   |
| Dysphagia   |  |   |   |   |
| Anorexia  |  |   |   |   |
| Nausea  |  |   |   |   |
| Vomiting  |  |   |   |   |
| Diarrhea  |  |   |   |   |
| Weight loss $\geq 5\%$ *  |  |   |   |   |
| Failure to thrive   |  |   |   |   |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i> |  |   |   |   |
| <b>LIVER</b>  | Normal total bilirubin and ALT or AP $< 3$ x ULN | Normal total bilirubin with ALT $\geq 3$ to 5 x ULN or AP $\geq 3$ x ULN                        | Elevated total bilirubin but $\leq 3$ mg/dL or ALT $> 5$ ULN  | Elevated total bilirubin $> 3$ mg/dL  |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i> |  |   |   |   |
| <b>LUNGS**</b>  |  |   |   |   |
| <b>Symptom score:</b>   | No symptoms                                      | Mild symptoms (shortness of breath after climbing one flight of steps)                          | Moderate symptoms (shortness of breath after walking on flat ground)  | Severe symptoms (shortness of breath at rest; requiring O <sub>2</sub> )  |
| <b>Lung score:</b>  | FEV1 $\geq 80\%$                                 | FEV1 60-79%   | FEV1 40-59%   | FEV1 $\leq 39\%$  |
| % FEV1 <input type="text"/>   |  |   |   |   |
| <i>Pulmonary function tests</i>   |  |   |   |   |
| Not performed   |  |   |   |   |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i> |  |   |   |   |



|  | SCORE 0                                 | SCORE 1  | SCORE 2  | SCORE 3   |   |   |            |
|--|---|--|--|---|---|---|------------|
| <b>JOINTS AND FASCIA</b>   | No symptoms                             | Mild tightness of arms or legs, normal or mild decreased range of motion (ROM) | Tightness of arms or legs <b>OR</b> joint contractures, erythema thought due to fasciitis, moderate decrease ROM <b>AND</b> mild to moderate limitation of ADL | Contractures <b>WITH</b> significant decrease of ROM <b>AND</b> significant limitation of ADL (unable to tie shoes, button shirts, dress self etc.) |   |   |            |
| <b>P-ROM score</b><br><i>(see below)</i>   |   |  |  |   |   |   |            |
| Shoulder (1-7): ___  |   |  |  |   |   |   |            |
| Elbow (1-7): ___   |   |  |  |   |   |   |            |
| Wrist/finger (1-7): ___  |   |  |  |   |   |   |            |
| Ankle (1-4): ___   |   |  |  |   |   |   |            |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i>  |   |  |  |   |   |   |            |
| <b>GENITAL TRACT</b><br><i>(See Supplemental figure<sup>†</sup>)</i>   | No signs                                | Mild signs <sup>‡</sup> and females with or without discomfort on exam         | Moderate signs <sup>‡</sup> and may have symptoms with discomfort on exam  | Severe signs <sup>‡</sup> with or without symptoms  |   |   |            |
| Not examined   |   |  |  |   |   |   |            |
| Currently sexually active  |   |  |  |   |   |   |            |
| Yes  |   |  |  |   |   |   |            |
| No   |   |  |  |   |   |   |            |
| <i>Abnormality present but explained entirely by non-GVHD documented cause (specify):</i>  |   |  |  |   |   |   |            |
| <b>Other indicators, clinical features or complications related to chronic GVHD (check all that apply and assign a score to severity (0-3) based on functional impact where applicable none – 0, mild -1, moderate -2, severe – 3)</b> |   |  |  |   |   |   |            |
| Ascites (serositis) ___  | Myasthenia Gravis ___                   |  |  |   |   |   |            |
| Pericardial Effusion ___   | Peripheral Neuropathy ___               |  | Eosinophilia > 500/μl ___  |   |   |   |            |
| Pleural Effusion(s) ___  | Polymyositis ___                        |  | Platelets <100,000/μl ___  |   |   |   |            |
| Nephrotic syndrome ___   | Weight loss>5%* without GI symptoms ___ |  | Others (specify): ___  |   |   |   |            |
| <b>Overall GVHD Severity</b><br><i>(Opinion of the evaluator)</i>  |   |  |  |   |   |   |            |
|  | <input type="checkbox"/> No GVHD        | <input type="checkbox"/> Mild  | <input type="checkbox"/> Moderate  | <input type="checkbox"/> Severe   |   |   |            |
| <b>Photographic Range of Motion (P-ROM)</b>  |   |  |  |   |   |   |            |
|  | 1 (Worst)                               | 2  | 3  | 4   | 5 | 6 | 7 (Normal) |
| Shoulder   |   |  |  |   |   |   |            |
| Elbow  |   |  |  |   |   |   |            |
| Wrist/finger   |   |  |  |   |   |   |            |
| Ankle  |   |  |  |   |   |   |            |

† Skin scoring should use both percentage of BSA involved by disease signs and the cutaneous features scales. When a discrepancy exists between the percentage of total body surface (BSA) score and the skin feature score, OR if superficial sclerotic features are present (Score 2), but there is impaired mobility or ulceration (Score 3), the higher level should be used for the final skin scoring.

\* Weight loss within 3 months.

\*\*Lung scoring should be performed using both the symptoms and FEV1 scores whenever possible. FEV1 should be used in the final lung scoring where there is discrepancy between symptoms and FEV1 scores.

**Abbreviations:** ECOG (Eastern Cooperative Oncology Group), KPS (Karnofsky Performance Status), LPS (Lansky Performance Status); BSA (body surface area); ADL (activities of daily living); LFTs (liver function tests); AP (alkaline phosphatase); ALT (alanine aminotransferase); ULN (normal upper limit).

‡ To be completed by specialist or trained medical providers (see Supplemental Figure).

**Figure 1.**  
Organ Scoring of Chronic GVHD

Table 1

## Signs and symptoms of chronic GVHD

| ORGAN OR SITE       | DIAGNOSTIC (Sufficient to establish the diagnosis of chronic GVHD) | DISTINCTIVE* (Seen in chronic GVHD, but insufficient alone to establish a diagnosis)            | OTHER FEATURES OR UNCLASSIFIED ENTITIES**   | COMMON*** (Seen with both acute and chronic GVHD) |
|---------------------|--|---|---|---|
| Skin                | • Poikiloderma   | • Depigmentation  | • Sweat impairment  | • Erythema  |
|                     | • Lichen planus-like features                                      | • Papulosquamous lesions  | • Ichthyosis  | • Maculopapular rash                              |
|                     | • Sclerotic features   |   | • Keratosis pilaris   | • Pruritus  |
|                     | • Morphea-like features  |   | • Hypopigmentation  |   |
|                     | • Lichen sclerosus-like features                                   |   | • Hyperpigmentation   |   |
| Nails               |  | • Dystrophy   |   |   |
|                     |  | • Longitudinal ridging, splitting or brittle features   |   |   |
|                     |  | • Onycholysis   |   |   |
|                     |  | • Pterygium unguis  |   |   |
|                     |  | • Nail loss (usually symmetric, affects most nails)   |   |   |
| Scalp and Body Hair |  | • New onset of scarring or non-scarring scalp alopecia, (after recovery from chemoradiotherapy) | • Thinning scalp hair, typically patchy, coarse or dull (not explained by endocrine or other causes), |   |
|                     |  | • Loss of body hair   | • Premature gray hair   |   |
|                     |  | • Scaling   |   |   |
|                     |  |   |   |   |
| Mouth               | • Lichen planus-like changes                                       | • Xerostomia  |   | • Gingivitis                                      |
|                     |  | • Mucocelles  |   | • Mucositis                                       |
|                     |  | • Mucosal atrophy   |   | • Erythema  |
|                     |  | • Ulcers  |   | • Pain  |
|                     |  | • Pseudomembranes   |   |   |
| Eyes                |  | • New onset dry, gritty, or painful eyes  | • Photophobia   |   |
|                     |  |   | • Periorbital hyperpigmentation   |   |

| ORGAN OR SITE  | DIAGNOSTIC (Sufficient to establish the diagnosis of chronic GVHD)   | DISTINCTIVE* (Seen in chronic GVHD, but insufficient alone to establish a diagnosis)  | OTHER FEATURES OR UNCLASSIFIED ENTITIES**  | COMMON*** (Seen with both acute and chronic GVHD)   |
|----------------|--|---|--|---|
| Genitalia      | <ul style="list-style-type: none"> <li>Lichen planus-like features</li> <li>Lichen sclerosus-like features</li> </ul>  | <ul style="list-style-type: none"> <li>Cicatricial conjunctivitis</li> <li>Keratoconjunctivitis sicca</li> <li>Confluent areas of punctate keratopathy</li> </ul> | <ul style="list-style-type: none"> <li>Blepharitis (erythema of the eye lids with edema)</li> </ul>  |   |
| <i>Females</i> | <ul style="list-style-type: none"> <li>Vaginal scarring or clitoral/labial agglutination</li> </ul>  | <ul style="list-style-type: none"> <li>Erosions</li> <li>Fissures</li> <li>Ulcers</li> </ul>  |  |   |
| <i>Males</i>   | <ul style="list-style-type: none"> <li>Phimosis or urethral/meatus scarring or stenosis</li> </ul>   |   |  |   |
| GI Tract       | <ul style="list-style-type: none"> <li>Esophageal web</li> <li>Strictures or stenosis in the upper to mid third of the esophagus</li> </ul>                        |   | <ul style="list-style-type: none"> <li>Exocrine pancreatic insufficiency</li> </ul>  | <ul style="list-style-type: none"> <li>Anorexia</li> <li>Nausea</li> <li>Vomiting</li> <li>Diarrhea</li> <li>Weight loss</li> <li>Failure to thrive (infants and children)</li> </ul> |
| Liver          |  |   |  | <ul style="list-style-type: none"> <li>Total bilirubin, alkaline phosphatase &gt; 2 × upper limit of normal</li> <li>ALT &gt; 2× upper limit of normal</li> </ul>                     |
| Lung           | <ul style="list-style-type: none"> <li>Bronchiolitis obliterans diagnosed with lung biopsy</li> <li>Bronchiolitis obliterans syndrome (BOS)<sup>†</sup></li> </ul> | <ul style="list-style-type: none"> <li>Air trapping and bronchiectasis on chest CT</li> </ul>   | <ul style="list-style-type: none"> <li>Cryptogenic organizing pneumonia (COP)<sup>‡</sup></li> <li>Restrictive lung disease<sup>‡</sup></li> </ul> |   |

| ORGAN OR SITE            | DIAGNOSTIC (Sufficient to establish the diagnosis of chronic GVHD)   | DISTINCTIVE* (Seen in chronic GVHD, but insufficient alone to establish a diagnosis)      | OTHER FEATURES OR UNCLASSIFIED ENTITIES**   | COMMON*** (Seen with both acute and chronic GVHD) |
|--------------------------|--|---|---|---|
| Muscles, Fascia, Joints  | <ul style="list-style-type: none"> <li>• Fasciitis</li> <li>• Joint stiffness or contractures secondary to fasciitis or sclerosis</li> </ul> | <ul style="list-style-type: none"> <li>• Myositis or polymyositis<sup>††</sup></li> </ul> | <ul style="list-style-type: none"> <li>• Edema</li> <li>• Muscle cramps</li> <li>• Arthralgia or arthritis</li> </ul>   |   |
| Hematopoietic and Immune |  |   | <ul style="list-style-type: none"> <li>• Thrombocytopenia</li> <li>• Eosinophilia</li> <li>• Lymphopenia</li> <li>• Hypo- or hyper-gammaglobulinemia</li> <li>• Autoantibodies (AIHA, ITP)</li> <li>• Raynaud's phenomenon</li> </ul>                           |   |
| Other                    |  |   | <ul style="list-style-type: none"> <li>• Pericardial or pleural effusions</li> <li>• Ascites</li> <li>• Peripheral neuropathy</li> <li>• Nephrotic syndrome</li> <li>• Myasthenia gravis</li> <li>• Cardiac conduction abnormality or cardiomyopathy</li> </ul> |   |

\* In all cases, infection, drug effect, malignancy, or other causes must be excluded.

\*\* Can be acknowledged as part of the chronic GVHD manifestations if diagnosis is confirmed.

\*\*\* Common refers to shared features by both acute and chronic GVHD.

<sup>†</sup> BOS can be diagnostic for lung chronic GVHD only, if distinctive sign or symptom present in another organ (see text)

<sup>‡</sup> Pulmonary entities under investigation or unclassified.

<sup>††</sup> Diagnosis of chronic GVHD requires biopsy.

Abbreviation: ALT (alanine aminotransferase); PFTs (pulmonary function tests); AIHA (autoimmune hemolytic anemia); ITP (idiopathic thrombocytopenic purpura).

**Table 2**

## NIH Global Severity of Chronic GVHD

|  |
|--|
| <p><b><u>Mild chronic GVHD</u></b></p> <p>1 or 2 organs involved with no more than score 1 <i>plus</i><br/>Lung score 0</p> <p><b><u>Moderate chronic GVHD</u></b></p> <p>3 or more organs involved with no more than score 1</p> <p>OR</p> <p>At least 1 organ (not lung) with a score of 2</p> <p>OR</p> <p>Lung score 1</p> <p><b><u>Severe chronic GVHD</u></b></p> <p>At least 1 organ with a score of 3</p> <p>OR</p> <p>Lung score of 2 or 3</p> <p><b>Key points:</b></p> <ol style="list-style-type: none"><li>1 In skin: higher of the two scores to be used for calculating global severity.</li><li>2 In lung: FEV1 is used instead of clinical score for calculating global severity.</li><li>3 If the entire abnormality in an organ is noted to be unequivocally explained by a non-GVHD documented cause, that organ is not included for calculation of the global severity.</li><li>4 If the abnormality in an organ is attributed to multifactorial causes (GVHD plus other causes) the scored organ will be used for calculation of the global severity regardless of the contributing causes (no downgrading of organ severity score).</li></ol> |
|--|