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# $GABA_A \alpha I$ -Mediated Plasticity in the Orbitofrontal Cortex Regulates Context-Dependent Action Selection

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An essential aspect of goal-directed action selection is differentiating between behaviors that are more, or less, likely to be reinforced. Habits, by contrast, are stimulus-elicited behaviors insensitive to action–outcome contingencies and are considered an etiological factor in several neuropsychiatric disorders. Thus, isolating the neuroanatomy and neurobiology of goal-directed action selection on the one hand, and habit formation on the other, is critical. Using *in vivo* viral-mediated gene silencing, we knocked down *Gabra1* in the orbitofrontal prefrontal cortex (oPFC) in mice, decreasing oPFC GABA<sub>A</sub> $\alpha$ I expression, as well as expression of the synaptic marker PSD-95. Mice expressing Green Fluorescent Protein or *Gabra1* knockdown in the adjacent M2 motor cortex served as comparison groups. Using instrumental response training followed by action–outcome contingency degradation, we then found that oPFC GABA<sub>A</sub> $\alpha$ I deficiency impaired animals' ability to differentiate between actions that were more or less likely to be reinforced, though sensitivity to outcome devaluation and extinction were intact. Meanwhile, M2 GABA<sub>A</sub> $\alpha$ I deficiency enhanced sensitivity to action–outcome relationships. Behavioral abnormalities following oPFC GABA<sub>A</sub> $\alpha$ I knockdown were rescued by testing mice in a distinct context relative to that in which they had been initially trained. Together, our findings corroborate evidence that chronic GABA<sub>A</sub> $\alpha$ I deficiency remodels cortical synapses and suggest that neuroplasticity within the healthy oPFC gates the influence of reward-related contextual stimuli. These stimuli might otherwise promote maladaptive habit-based behavioral response strategies that contribute to—or exacerbate neuropsychiatric illness.

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## INTRODUCTION

Abundant evidence indicates that both humans and rodents can select actions based on the likelihood that they will be reinforced with a desired outcome (Balleine and O'Doherty, 2010). With repeated performance or exposure to stressors or drugs of abuse, these 'goal-directed' actions can take on the characteristics of stimulus-response habits—motor behaviors elicited by conditioned stimuli rather than their association with specific outcomes. Stimulus-elicited habits may be etiological factors in several psychopathologies, including obsessive-compulsive disorder and addiction, and in animals, a potential model of ruminative thought and behavior in depression. Thus, isolating the neuroanatomy and neurobiology of action selection on the one hand, and habit formation on the other, is a critical research imperative.

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Within the frontal cortex, a neural substrate closely associated with goal-directed action selection is the prelimbic prefrontal cortex. For example, lesions in this region result in insensitivity to the predictive relationship between a behavior and its outcome, as well as insensitivity to reinforcer devaluation (Balleine and Dickinson, 1998; Corbit and Balleine, 2003; Killcross and Coutoureau, 2003). The orbitofrontal prefrontal cortex (oPFC) is ventral and lateral to the prelimbic cortex, yet its contributions to action selection based on action-outcome contingencies remain somewhat unclear. On the one hand, rats and mice with oPFC lesions are behaviorally sensitive to reinforcer devaluation, providing evidence of knowledge of action-outcome relationships (Ostlund and Balline, 2007; Gourley et al, 2013a). On the other hand, surgical disconnection of the oPFC from the dorsal striatum results in insensitivity to modifications in the predictive relationship between a response and the associated outcome (Gourley et al, 2013a). Moreover, the oPFC mediates the pleasurable properties of rewards, which likely has a role in goal-directed reward seeking (Grabenhorst and Rolls, 2011).

The current study aimed to further clarify the role of the oPFC in goal-directed action selection. We selectively knocked down the  $\alpha 1$  subunit of the GABA<sub>A</sub> receptor, the

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predominant inhibitory ligand-gated ion channel in the brain. The  $\alpha$ 1 subunit confers fast inhibitory properties, and chronic knockdown increases the expression of immature filopodiallike dendritic spine protrusions that are unlikely to contain synapses, and also decreases the density of mature, mushroom-shaped spines in the cerebral cortex (Heinen *et al*, 2003). Diminished GABA<sub>A</sub> $\alpha$ 1 expression and function are implicated in depression and other chronic stressor-related psychopathologies, and *in utero* cocaine exposure also downregulates GABA<sub>A</sub> $\alpha$ 1 in rodent prefrontal cortex (Lu *et al*, 2009; Skilbeck *et al*, 2010; Hines *et al*, 2012), suggesting that GABA<sub>A</sub> $\alpha$ 1 expression is a factor in disease risk or etiology.

Using site-selective knockdown of *Gabra1*, which encodes GABA<sub>A</sub> $\alpha$ 1, we discovered divergent roles for GABA<sub>A</sub> $\alpha$ 1 in the oPFC and the adjacent motor region, M2, in action selection. Specifically, chronic oPFC GABA<sub>A</sub> $\alpha$ 1 silencing impairs the performance of goal-directed response strategies, while M2 GABA<sub>A</sub> $\alpha$ 1 inhibition enhances sensitivity to action–outcome relationships. The effects of oPFC GABA<sub>A</sub> $\alpha$ 1 knockdown are context selective, consistent with evidence that spatial information is represented within the ventrolateral oPFC during appetitive choice tasks (Feierstein *et al*, 2006), and that the oPFC regulates behavioral sensitivity to reward-associated contextual cues (Lasseter *et al*, 2009, 2014).

## SUBJECTS AND METHODS

## Subjects

Transgenic *Gabra1-tm1Geh* mice back-crossed onto a C57BL/ 6 background were used (Vicini *et al*, 2001). Mice possess loxP sites on both sides of the  $\alpha$ 1 exon encoding an essential transmembrane domain. In the presence of Cre recombinase (Cre), the transmembrane domain is deleted, and local expression of *Gabra1*, which encodes GABA<sub>A</sub> $\alpha$ 1, is reduced (Heldt and Ressler, 2010). Initial oPFC experiments used both males and females. No sex differences were observed, and experiments proceeded using males. Mice were > 8 weeks of age, maintained on a 12-h light cycle (0700 hours on), and were provided food and water *ad libitum* except during instrumental conditioning when body weights were reduced to 90–93% of baseline to motivate responding. Procedures were approved by the Emory University IACUC.

## Surgery

Lentiviruses were generated as described (Heldt and Ressler, 2010). Mice were anaesthetized with ketamine/ xylazine and placed in a digitized stereotaxic frame (Stoelting). The scalp was incised, skin retracted, bregma and lambda identified, the head leveled, and coordinates located. Viral vectors expressing Cre or Green Fluorescent Protein (GFP) under the CMV promoter were infused over 2.5 min in a volume of  $0.25 \,\mu$ l at AP + 2.6, ML ± 1.2, DV-2.8 for the oPFC, and 0.5 mm dorsally for M2 (Gourley *et al*, 2010). Needles were left in place for an additional 5-min period prior to withdrawal, suturing, and recovery.

## Immunoblotting

We aimed to quantify the degree to which Cre-mediated knockdown decreased regional expression of  $GABA_A \alpha 1$  and

related targets. For this purpose,  $\sim 50\%$  of mice with oPFCtargeted lenti-Cre or lenti-GFP in our final behavioral experiment were killed by rapid decapitation 11 weeks following surgery for immunoblotting experiments. An additional group of behaviorally-naïve mice expressing lenti-Cre or lenti-GFP in M2 were killed by rapid decapitation 2 weeks after surgery. Brains were frozen at -80 °C, and then sectioned into 1 mm sections. The oPFC or M2, hippocampus, and dorsal striatum were dissected by a single experimenter using a 1-mm tissue core. Tissue was homogenized by sonication in lysis buffer (160 µl: 137 mM NaCl, 20 mM tris-Hcl (pH = 8), 1% igepal, 10% glycerol, 1:100 Phosphatase Inhibitor Cocktails 2 and 3 (Sigma), 1:1000 Protease Inhibitor Cocktail (Sigma)). Protein concentrations were determined by Bradford colorimetric assay (Pierce), and 15 µg/sample was separated by SDS-PAGE on a 12% gradient tris-glycine gel (Bio-rad). Following transfer to PVDF membrane, membranes were blocked with 5% nonfat milk.

Anti-GABA<sub>A</sub> $\alpha$ 1 (Millipore; Rb; 1:500); anti-GABA<sub>A</sub> $\alpha$ 2 (Abcam; Rb; 1:250); anti-GABA<sub>A</sub> $\alpha$ 3 (Abcam; Rb; 1:250); anti-PSD-95 (Cell Signaling; Rb; 1:5000); anti-synapto-physin (AbCam; Rb; 1:5000); and anti-HSP-70 (Santa Cruz; Ms; 1:5000) were used. Membranes were incubated at 4 °C overnight and then incubated in horseradish peroxidase goat anti-rabbit (Vector; 1:8000) and goat anti-mouse (Jackson Immunoresearch; 1:8000) secondary antibodies. Immunoreactivity was assessed using a chemiluminescence substrate (Pierce) and measured using a ChemiDoc MP Imaging System (Bio-rad). Densitometry values were normalized to the corresponding loading control (HSP-70) and then normalized to the control sample mean from the same membrane to control for variance between gels.

## Instrumental Response Training and Experimental Design for Behavioral Experiments

Three weeks following viral vector delivery, mice were trained to nose poke for food reinforcers using illuminated Med-Associates conditioning chambers with two nose poke apertures and a separate magazine. Training was initiated using a continuous reinforcement schedule (ie, fixed ratio 1). Thirty reinforcers were available for responding on each aperture, resulting in 60 reinforcers/session. 5–7 daily training sessions were conducted, during which all mice acquired the responses; the final 5 of these sessions for each mouse are shown, presented as sessions 1–5 in Figures 2b and 3a. Next, mice were shifted to a random interval (RI) 30-second schedule of reinforcement for 2 sessions, presented as sessions 6–7.

First (Figure 2), we characterized the development of habitual response strategies using a within-subject design, eg, according to the study by Dias-Ferriera *et al* (2009) and others. Here, we tested sensitivity to instrumental contingency degradation three times, first after continuous reinforcement training, next after RI30-second training, and a final time after four additional training sessions using an RI60-second schedule. Both responses were reinforced with 20 mg grain-based Bioserv precision pellets.

In a separate group of mice (Figure 3), we next assessed whether habit-like behavior was context specific, by testing sensitivity to contingency degradation twice following RI30-second training as described under 'Context shift.' Here we also employed a two-outcome approach such that each response was reinforced with a unique outcome—either one pellet or a liquid sucrose solution (10% w/v; 100  $\mu$ l). The rationale for this decision is discussed in the Results section.

#### Instrumental Contingency Degradation

To assess whether mice selected actions based on their consequences, or based on stimulus-response habit-based strategies, we used a modified contingency degradation task (Gourley et al, 2012, 2013a,b; Swanson et al, 2013). Here, one nose poke aperture is occluded, and reinforcers are delivered for 25 min independent of animals' interactions with the remaining aperture, thus 'degrading' the actionoutcome contingency associated with that nose poke response. The reinforcer delivery rate is yoked to each animal's individual reinforcement rate from the previous session. In another session, only the opposite aperture is available, and responding is reinforced using a variable ratio 2 schedule of reinforcement for 25 min. Thus, one response becomes significantly more predictive of reinforcer delivery than the other (Hinton et al, 2014). The order of these sessions and the location of the 'degraded' aperture within the chambers are counter balanced.

The following day, both apertures are available for 5 min, and responding during this probe test is nonreinforced. Preferential engagement of the response that was more likely to be reinforced is considered 'goal-directed,' while nondiscriminate responding is considered 'habitual' (Balleine and O'Doherty, 2010). When sensitivity to contingency degradation was tested multiple times, the location of the 'degraded' aperture was opposite of that in the previous test.

#### **Context Shift**

Sensitivity to instrumental contingency degradation was tested twice, once in the original testing chambers, and then again using the same 3-day protocol, but in unique chambers located in a separate room in the laboratory. The chambers were contextually distinct and configured differently, with two nose poke apertures located on opposite sides of the chambers relative to the original chambers, and relative to each other. In addition, mice were tested at a different time of the day.

#### **Outcome Devaluation, Response Extinction**

In the same mice tested in the 'Context shift,' responding on the pellet-associated aperture was then reinstated during three 70-min training sessions using a continuous reinforcement schedule. Then, mice were placed individually in a novel cage with *ad libitum* access to the reinforcer pellets for 1 h. Immediately following, mice were injected with 0.15 M LiCl (40 ml/kg; Quinn *et al*, 2007) to induce conditioned taste aversion. Alternately, mice had access to a sucrose solution (10% w/v) for 1 h, followed by an injection of NaCl. Pellet and sucrose intake were compared by twofactor ANOVA (group × outcome) to confirm specificity of conditioned taste aversion. The following day, mice were returned to the conditioning chambers, and responding in



extinction was monitored for 10 min. Response rates were compared to a 10-min extinction test conducted prior to conditioned taste aversion.

Finally, responding was extinguished by placing mice in the conditioning chambers for 15 min per day for 7 days. Reinforcement was withheld, and response rates were quantified. This protocol is sufficiently sensitive to detect deficiencies in extinction conditioning (Gourley *et al*, 2009).

#### Histology

Infusion sites in fixed brains were verified by immunostaining for Cre as described (DePoy *et al*, 2013) with amplification using DAB (SIGMAFAST 3,3'-Diaminobenzidine tablets, Sigma) in accordance with the manufacturer's instructions. Alternatively, GFP was imaged. Mice lacking viral vector infection selective to the oPFC or M2 were excluded (n = 1 oPFC-targeted GFP, n = 3 oPFC-targeted Cre and n = 1 M2-targeted Cre).

#### Statistical Analyses

Data were analyzed by SPSS. Densitometry values from immunoblotting experiments were compared by two-factor (protein target  $\times$  knockdown) ANOVA within each brain region. Response rates from behavioral experiments were compared by two-factor (response  $\times$  knockdown) ANOVA with repeated measures when appropriate. In the case of significant interaction effects, *post-hoc* comparisons were made using Tukey's *t*-tests and are indicated graphically.

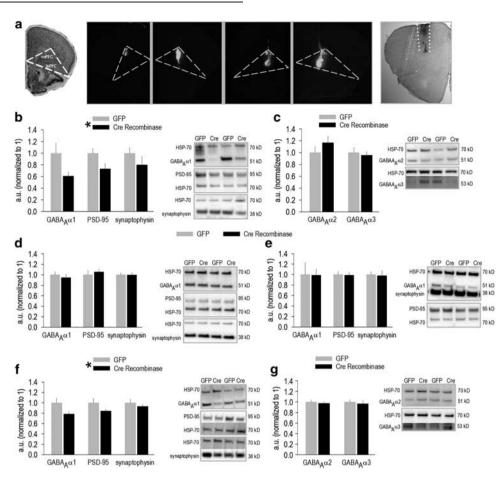
#### RESULTS

Using viral vector strategies, we selectively expressed GFP or Cre in the oPFC or M2 of 'floxed' *Gabra1* mice to knock down GABA<sub>A</sub> $\alpha$ 1 expression (Figure 1a). Eleven weeks following viral vector delivery, GABA<sub>A</sub> $\alpha$ 1 expression was reduced by ~40% in homogenized oPFC tissue, and in concert, PSD-95 and synaptophysin expression were reduced (main effect of knockdown  $F_{(1,8)} = 7.1$ , p = 0.01; Figure 1b). Meanwhile, expression of GABA<sub>A</sub> $\alpha$ 2 and GABA<sub>A</sub> $\alpha$ 3 subunits were unchanged (F's < 1; Figure 1c). Moreover, GABA<sub>A</sub> $\alpha$ 1, PSD-95, and synaptophysin levels were unchanged off-target in the hippocampus and dorsal striatum (F's < 1; Figure 1d and e).

In another experiment, we quantified protein expression 2 weeks following viral vector delivery to M2. Again, regional GABA<sub>A</sub> $\alpha$ 1 was significantly reduced, in concert with reduced PSD-95 and a modest decrement in synaptophysin (main effect of knockdown  $F_{(1,19)} = 8.5$ , p = 0.005; Figure 1f). The GABA<sub>A</sub> $\alpha$ 2 and GABA<sub>A</sub> $\alpha$ 3 subunits were again unaffected (*F*'s < 1; Figure 1g). Notably, comparable protein expression levels at 2 and 11 weeks (compare Figure 1b and f) suggest that knockdown reaches nearmaximal levels by 2 weeks.

## Divergent Roles for oPFC and M2 $GABA_A \alpha 1$ in Complex Decision-Making

The oPFC can be sub-divided into multiple compartments (Ongur and Price, 2000). Our histological analyses indicated that viruses largely infected the ventrolateral compartments and also confirmed that M2-targeted infusions were



**Figure I** GABA<sub>A</sub> $\alpha$ I silencing regulates synaptic marker expression. (a) A coronal section from the Mouse Brain Library (Rosen *et al*, 2000) is shown adjacent to images of Green Fluorescent Protein (GFP) expression within the orbitofrontal prefrontal cortex (oPFC) of multiple mice. 'voPFC' refers to the ventral oPFC, and 'loPFC' refers to the lateral oPFC. Expression patterns contrast those following M2-targeted infusions. For example, Cre recombinase (Cre) immunoreactivity in M2 (between the dotted white lines) is shown at far right. (b) Regional GABA<sub>A</sub> $\alpha$ I protein expression was decreased in homogenized oPFC tissue punches collected 11 weeks following viral vector infusion; PSD-95 and synaptophysin were also reduced. (c) By contrast, expression of the GABA<sub>A</sub> $\alpha$ I and GABA<sub>A</sub> $\alpha$ I and synaptic marker expression were also reduced in M2 following Cre delivery, and effects were detectable 2 weeks after infusion. (g) As in the oPFC, GABA<sub>A</sub> $\alpha$ 2 and GABA<sub>A</sub> $\alpha$ 3 were unaffected (n = 10-11/group). Representative blots are adjacent throughout. Mean + SEM, \*p < 0.05, main effect of group.

contained within M2 (Figures 1a and 2a). When mice were trained to nose poke for food reinforcement, mice with oPFC GABA<sub>A</sub> $\alpha$ 1 knockdown generated lower response rates overall (main effect  $F_{(2,50)} = 23.7$ , p < 0.001) (Figure 2b). Importantly, however, there were no differences between the response rates on the 'to be degraded' *vs* 'to be non-degraded' response apertures (*F*'s < 1; Figure 2b).

Breaks in the response acquisition curves annotate tests for sensitivity to instrumental contingency degradation (Figure 2b). Initially, main effects of response selection ('non-degraded' vs 'degraded') indicated that overall, mice responded more when responding was reinforced, relative to when pellets were delivered non-contingently ( $F_{(1,25)} = 7.8$ , p = 0.01; Figure 2c). During a probe test when both nose poke apertures were available simultaneously, a main effect again indicated that mice preferentially generated the response most predictive of reinforcement ( $F_{(1,25)} = 14.2$ , p < 0.001; interaction p = 0.2; Figure 2c). In other words, mice were initially able to use information about action-outcome associative contingencies to guide action selection strategies. Notably, when the number of 'non-degraded' responses was divided by the number of 'degraded' responses to generate a preference score (Hinton *et al*, 2014), control mice and mice with M2-targeted knockdown significantly preferred the non-degraded response, a ratio significantly >1 (one-sample *t*-test compared to 1, both p < 0.03; Figure 2c inset). Meanwhile, the oPFC knockdown mice, as a group, responded at chance levels not differing from 1 ( $t_6 = 1.8$ , p = 0.13), suggesting that mice with oPFC-targeted knockdown may be biased toward habit-based response strategies.

In a second test after RI training, a response pattern parsimonious with this interpretation emerged: Control mice and mice with M2-targeted knockdown generated higher response rates when pellets were delivered contingently, providing evidence of sensitivity to action-outcome associations. By contrast, mice with oPFC-targeted knockdown generated identical response rates regardless of whether responding was explicitly reinforced or not (interaction  $F_{(2,25)} = 7.3$ , p = 0.003; Figure 2d). In a probe test when both nose poke apertures were presented simultaneously, mice with oPFC GABA<sub>A</sub> $\alpha$ 1 knockdown

again failed to differentiate between the 'non-degraded' and 'degraded' contingencies (interaction  $F_{(2,25)} = 4.6$ , p < 0.05; grows Figure 2d). By contrast, other mice preferentially generated the response that had been most predictive of reinforcement. And again, an analysis of preferences scores indicated that

levels ( $t_6 = -0.3$ , p = 0.8 compared to 1; Figure 2d inset). Next, we further trained mice using an RI60-second schedule of reinforcement that can cause stimulus-response habits. Consequently, all mice failed to modify response rates during action-outcome contingency degradation (main effect  $F_{(1,25)} = 1.9$ , p = 0.2; interaction p = 0.2; Figure 2e). Surprisingly, however, when both nose poke apertures were simultaneously available in a probe test, mice with M2 knockdown preferentially generated the response that had been more predictive of reinforcement, evidence of prolonged sensitivity to action-outcome relationships (interaction  $F_{(2,25)} = 3.5$ , p < 0.05; Figure 2e). Accordingly, an analysis of preference scores indicated that the M2 group responded above chance levels ( $t_5 = 7.2$ , p = 0.001 compared to 1; other groups p > 0.3; Figure 2e inset).

mice with oPFC-selective knockdown responded at chance

#### Habit-Like Behavioral Inflexibility Following oPFC GABA<sub>A</sub>α1 Knockdown is Context Dependent

To summarize, mice with oPFC-selective GABA<sub>A</sub> $\alpha$ 1 deficiency generate low response rates for food reinforcement, and they develop habit-like response strategies more rapidly than control mice. Next, we trained a new group of mice with oPFC-targeted knockdown to respond for two unique outcomes—food pellets or a liquid sucrose solution. This approach—relative to reinforcing two responses with identical outcomes, as above—enhances response acquisition in intact rats (Trapold, 1970), and it rescued response rates in mice with oPFC-targeted GABA<sub>A</sub> $\alpha$ 1 knockdown here, such that response rates during training in control and knockdown mice did not differ (effect of knockdown  $F_{(1,11)} = 1.2, p = 0.3$ ; Figure 3a).

When the schedule of reinforcement escalated from a continuous reinforcement schedule to an RI30-second schedule, response rates diverged, with both groups responding at higher rates for the pellets (interaction  $F_{(1,11)} = 68.5$ , p < 0.001; Figure 3a). Thus, we degraded the action-outcome contingency associated with the preferred pellet in all mice (rather than using a counter-balanced approach). Response rates are expressed as a percent of the final day of training to normalize response rates associated with the two outcomes. Control mice decreased responding on the pellet-associated nose poke aperture as expected, and as in our initial experiments, mice with oPFC-targeted knockdown failed to differentiate between the two responses (interaction  $F_{(1,11)} = 5.3$ , p < 0.05; Figure 3b). These findings indicate that low response rates during training in Figure 2 cannot obviously account for insensitivity to degradation of the instrumental contingency (see also Corbit and Balleine, 2003).

Insensitivity to instrumental contingency degradation can result from interference by reward-associated Pavlovian stimuli (Colwill and Rescorla, 1986), so we hypothesized that the context may have served as a conditioned stimulus that interfered with goal-directed action selection. We thus re-tested mice in chambers that were contextually distinct and configured differently relative to those in which they had been originally trained to nose poke. In this case, both groups responded at higher rates when reinforcer delivery was contingent upon responding, evidence of sensitivity to instrumental contingency degradation (main effect  $F_{(1,11)} = 9.8$ , p = 0.01; Figure 3c). When both apertures were simultaneously available during a subsequent probe test, both groups again preferentially engaged the non-degraded response (main effect  $F_{(1,11)} = 10$ , p = 0.009; Figure 3d). In other words, sensitivity to action–outcome relationships was intact in a unique context, despite oPFC-selective GABA<sub>A</sub> $\alpha$ 1 knockdown. We do not believe this rescue could be attributable to additional experience with action– outcome contingency degradation because response differentiation was instead impaired in a second test in the training-associated environment in Figure 2.

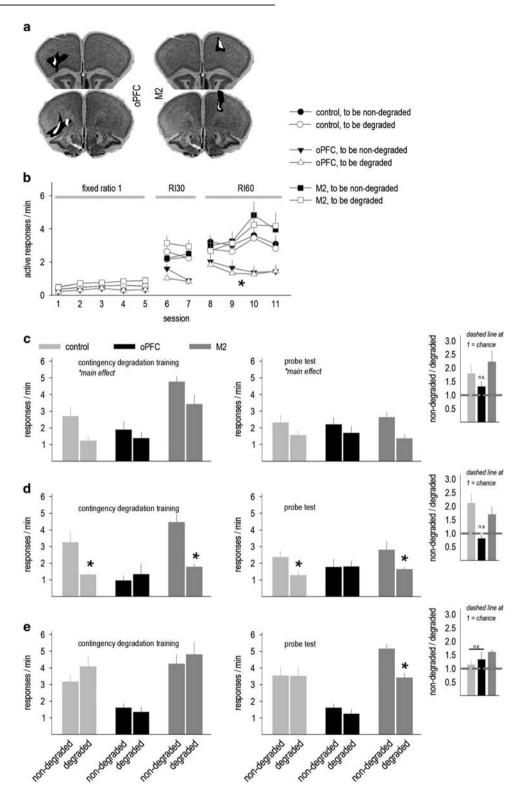
# Sensitivity to Reinforcer Devaluation and Extinction are Intact

We next paired the preferred reinforcer, food pellets, with repeated LiCl injections. All but two mice developed rapid conditioned taste aversion (interaction  $F_{(1,9)} = 30$ , p < 0.001; Figure 3e); these mice were excluded (n = 1/group), and no group differences in food consumption were detected (F < 1). When returned to the conditioning chambers, all mice inhibited responding on the nose poke aperture associated with the now-devalued food (main effect  $F_{(1,9)} = 18$ , p = 0.002; Figure 3f).

In addition, in the absence of any reinforcer, responding decreased across sessions with no differences between groups, demonstrating intact extinction conditioning despite oPFC GABA<sub>A</sub> $\alpha$ 1 knockdown (*F*'s < 1; Figure 3g).

## DISCUSSION

The GABA<sub>A</sub> receptor is the predominant inhibitory ligandgated ion channel in the brain. The  $\alpha 1$  subunit confers fast inhibitory properties, and as such, reducing prefrontal cortical GABA<sub>A</sub> $\alpha$ 1 function can dampen sensitivity to local GABA release and augment long-term potentiation (eg, Lu et al, 2010). Prolonged GABA<sub>A</sub> $\alpha$ 1 deficiency can cause the proliferation of immature filopodial-like dendritic spine protrusions, and a loss of mature dendritic spines, the primary sites of excitatory synapses in the brain (Heinen et al, 2003). Prior studies concerning the effects of cortical  $GABA_A \alpha 1$  deficiency have largely utilized mice with unconditional heterozygous deletion of Gabra1 or forebrain-selective knockdown with early-life onset (eg, Kralic et al, (2002); Sonner et al, (2005); Zhou et al, (2013)). Instead, we knocked down  $GABA_A \alpha 1$  selectively in the cortex of mature mice. Synaptic marker expression was reduced as early as 2 weeks following viral vector delivery, and in the absence of compensatory upregulation of  $GABA_A\alpha 2$  or  $GABA_A\alpha 3$ . By contrast,  $GABA_A\alpha 3$  is upregulated following heterozygous deletion (Zhou et al, 2013). Mice with oPFC-targeted GABA<sub>A</sub> $\alpha$ 1 knockdown were unable to select actions based on their consequences, developing instead habit-like behavioral inflexibility, recapitulating the effects of early-life cocaine exposure (Hinton et al, 2014) and chronic stressor exposure (Dias-Ferreira et al, 2009),

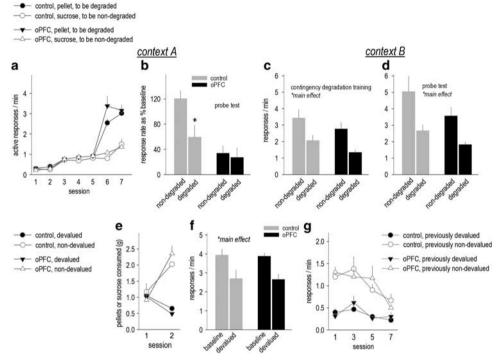


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among other manipulations modeling chronic psychiatric illness in rodents (reviewed by Schwabe *et al*, (2011); Schwabe and Wolf, (2013)). Our findings thus provide a neuroanatomical substrate by which  $GABA_A \alpha 1$  dysfunction may contribute to addiction, depression, and other stressor-related psychopathologies.

## Regulation of Action Selection by the oPFC

In classical instrumental contingency degradation experiments, rats generated a single response reinforced with a single food reinforcer. Then, the likelihood of reinforcement was decreased by the experimenter, and response rates decreased in concert, providing evidence of knowledge of the response-reinforcement relationship (Hammond, 1980; Dickinson, 1980). Similarly, if rats were trained to perform two discrete responses for two discrete reinforcers and the likelihood of reinforcement associated with one response was decreased, typical rats preferentially performed the remaining response (eg, Colwill and Rescorla, (1986); Balleine and Dickinson, (1998)). Rodents can also differentiate between two actions when both actions result in identical outcomes, as here (Trapold, 1970; Gourley *et al*, 2012, 2013a, b; Swanson *et al*, 2013; Hinton *et al*, 2014),



**Figure 3** Orbitofrontal prefrontal cortex (oPFC) GABA<sub>A</sub> $\alpha$ I deficiency impairs action selection in a context-specific manner. (a) Mice were trained in context A to respond for two distinct outcomes, food pellets and sucrose. Using this two-outcome approach, we detected no group differences in response acquisition, however, response rates associated with pellets were higher during the final two sessions. (b) Nonetheless, when the action–outcome contingency associated with the pellet was degraded, control mice inhibited responding. Mice with oPFC-selective GABA<sub>A</sub> $\alpha$ I deficiency failed to selectively modify response strategies. (c) Sensitivity to instrumental contingency degradation was retested in a separate context, 'context B.' Here, both groups differentiated between the degraded and non-degraded instrumental contingencies during training and (d) the probe test, inhibiting the response less likely to be reinforced. (e) Next, the pellet was paired with LiCl. Ad libitum consumption decreased, while the ingestion of a sucrose solution paired with NaCl remained robust. (f) Response rates associated with the now-devalued pellet were also reduced. (g) In addition, mice extinguished responding when the reinforcer was withheld. Mean + SEM, \*p < 0.05. Control n = 6; oPFC n = 7.

←

**Figure 2** Dissociable effects of orbitofrontal prefrontal cortex (oPFC)- and M2-targeted GABA<sub>A</sub> $\alpha$ I silencing on action selection strategies. (a) Histological representations of viral vector infection are represented on images from the Mouse Brain Library (Rosen *et al*, 2000). Black represents the largest infusion spread, and white the smallest. Infusions were bilateral. (b) Response rates during instrumental response training are shown, with the schedules of reinforcement indicated and breaks in the response acquisition curves signaling tests for sensitivity action–outcome contingency degradation. oPFC GABA<sub>A</sub> $\alpha$ I deficiency decreased response rates. (c) Initially, a main effect of response type indicated that mice inhibited responding when responding was less likely to be reinforced, both during contingency degraded response. Here, only control and M2 mice preferred the non-degraded response, a preference ratio significantly > I. Meanwhile, oPFC knockdown mice, as a group, did not significantly differ from I. (d) With additional training, mice with oPFC GABA<sub>A</sub> $\alpha$ I deficiency developed marked insensitivity to instrumental contingency degradation, detectable both during the contingency degradation training period and during the subsequent probe test. Meanwhile, other mice inhibited responding when responding was unlikely to be reinforced. Inset: a comparison of preference scores again indicated that mice oPFC knockdown responded at chance levels. (e) With further training, control mice also developed habit-like insensitivity to modifications in action–outcome relationships as expected. Mice with M2 GABA<sub>A</sub> $\alpha$ I deficiency retained sensitivity, although this effect was detected only during the probe test. Inset: a comparison of preference scores indicated that mice with M2-targeted knockdown generated a score significantly > I. Mean + SEM, \*p < 0.05. Combined control group n = 15 including 4 intact control mice that did not differ from Green Fluorescent Protein (GFP)-expressing control mice; M2 n = 6;

providing further evidence that like humans, rodents can select specific behaviors based on their likely outcomes, even in the absence of other factors such as conditioned reactions associated with each specific outcome (Overmier and Linwick, 2001).

Here, mice initially differentiated between responses that were more, or less, likely to be reinforced following instrumental contingency degradation. Nonetheless, oPFCselective GABA<sub>A</sub> $\alpha$ 1 knockdown accelerated the adoption of habitual response strategies, such that knockdown mice developed habit-like insensitivity to action-outcome contingency degradation with less response training than control mice or mice with M2-selective knockdown. The presentation of reward-associated Pavlovian stimuli can impinge upon goal-directed action selection, resulting in habit-like behavioral inflexibility, as here (Colwill and Rescorla, 1986). Further, cocaine self-administration studies indicate that prolonged oPFC inactivation enhances context-elicited reinstatement of drug seeking, sparing drug-seeking behaviors induced by other conditioned stimuli (Fuchs et al, 2004; Lasseter et al, 2009). Thus, we hypothesized that mice with oPFC-selective  $GABA_A \alpha 1$  deficiency failed to engage outcome-based decision-making strategies due to exaggerated sensitivity to reward-related contextual cues. This hypothesis predicts that action selection in a novel environment should be intact, and indeed, behavioral deficiencies were undetectable in contextually distinct chambers, relative to those in which mice had originally been trained. These findings provide new evidence that the healthy oPFC gates the influence of contextual cues associated with appetitive outcomes to promote the expression of goal-directed response strategies.

Given that the oPFC knockdown mice additionally responded less overall, an alternative perspective is that oPFC GABA<sub>A</sub> $\alpha$ 1 silencing results in over-generalization of action-outcome contingency degradation, resulting in reduced responding regardless of the likelihood of reinforcement. While our current findings do not dissociate these possibilities, the 'context shift' experiment suggests that in either case, the oPFC is essential for guiding instrumental response strategies in a context-dependent manner.

How might the oPFC be acting? Current models suggest that local dopamine D1 receptor stimulation signals the salience of contextual stimuli by interacting with the glutamatergic input to oPFC (Lasseter et al, 2014). In addition, glutamatergic projections from the cortex and dopamine input from the ventral tegmental area converge on dendritic spines within the rodent nucleus accumbens (Bouyer *et al*, 1984); the targeted medium spiny neurons may then coordinate information being shuttled to the basal ganglia to regulate the expression of instrumental decisionmaking strategies or habits, depending on an animal's drug, stress, or training history. In addition, oPFC neurons, particularly within the ventrolateral region affected here, encode information regarding space, context, and motor requirements as they pertain to acquiring a reinforcer (Feierstein et al, 2006). All of these functions could be degraded by chronic oPFC GABAAa1 silencing and associated molecular sequelae.

Lesions of the entorhinal cortex, a primary source of input to the hippocampus, also impair sensitivity to actionoutcome contingency degradation (Corbit *et al*, 2002; Lex and Hauber, 2010). Entorhinal cortex lesions do not, however, impact sensitivity to outcome devaluation, presumably because response regulation is not influenced by the discord between the reinforcement-associated context and the validity of responding following noncontingent pellet delivery (discussed by Corbit *et al*, (2002); and Lex and Hauber, (2010)). Consistent with this model, mice with oPFC GABA<sub>A</sub> $\alpha$ 1 deficiency were also sensitive to reinforcer devaluation here, reducing responding in the original training context following conditioned taste aversion.

## M2 Regulates Action Selection

Lesion studies in rodents indicate that M2 is involved in action differentiation—specifically, mice with M2 lesions can acquire food-reinforced instrumental responses, but are unable to perform a series of responses in the correct sequence (Yin, 2009) or select actions based on the value of an outcome (Gremel and Costa, 2013). Here, M2 GABA<sub>A</sub> $\alpha$ 1 knockdown enhanced sensitivity to modifications in action–outcome contingencies, even after extensive response training that produced habit-like insensitivity in control mice. This finding was unexpected but provocative because there are many reported manipulations that can cause habits, but few that prevent them.

M2 is largely analogous to the supplementary motor cortex in nonhuman primates and has been closely associated with timing and serial motor learning (eg, Chen et al, (1995)). Neurons in the supplementary motor area in primates fire prior to the production of a specific, trained series of actions (Tanji et al, 1996). Moreover, supplementary motor cortex lesions impair primates' ability to initiate goal-directed motor actions; notably, responding is rescued by the presentation of reward-associated conditioned stimuli (Chen et al, 1995), suggesting that action selection based on action-outcome contingencies is uniquely regulated by the supplementary motor cortex. Pronounced projections from the basolateral amygdala to rodent M2 could be critical to goal-directed action selection since the basolateral amygdala is necessary for sensitivity to instrumental contingency degradation (Kita and Kitai, 1990; Balleine et al, 2003). Future research should resolve the precise role(s) of GABA-mediated M2 neuroplasticity in outcome-based decision-making, since harnessing corticostriatal-amygdalar contributions to outcome-oriented action selection could provide an outlet for novel therapeutic interventions aimed at optimizing goal-directed decision-making.

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