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# **Air pollutant exposure and preterm and term small-forgestational-age births in Detroit, Michigan: Long-term trends and associations**

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# **Abstract**

Studies in a number of countries have reported associations between exposure to ambient air pollutants and adverse birth outcomes, including low birth weight, preterm birth (PTB) and, less commonly, small for gestational age (SGA). Despite their growing number, the available studies have significant limitations, e.g., incomplete control of temporal trends in exposure, modest sample sizes, and a lack of information regarding individual risk factors such as smoking. No study has yet examined large numbers of susceptible individuals. We investigated the association between ambient air pollutant concentrations and term SGA and PTB outcomes among 164,905 singleton births in Detroit, Michigan occurring between 1990 and 2001.  $SO_2$ ,  $CO$ ,  $NO_2$ ,  $O_3$  and PM<sub>10</sub> exposures were used in single and multiple pollutant logistic regression models to estimate odds ratios (OR) for these outcomes, adjusted for the infant's sex and gestational age, the mother's race, age group, education level, smoking status and prenatal care, birth season, site of residence, and long-term exposure trends.

Term SGA was associated with CO levels exceeding 0.75 ppm (OR=1.14, 95% confidence interval=1.02–1.27) and NO<sub>2</sub> exceeding 6.8 ppb  $(1.11, 1.03-1.21)$  exposures in the first month, and with PM<sub>10</sub> exceeding 35  $\mu$ g/m<sup>3</sup> (1.22, 1.03–1.46) and O<sub>3</sub> (1.11, 1.02–1.20) exposure in the third trimester. PTB was associated with  $SO_2$  (1.07, 1.01–1.14) exposure in the last month, and with (hourly)  $O_3$  exceeding 92 ppb (1.08, 1.02–1.14) exposure in the first month.

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Exposure to several air pollutants at modest concentrations was associated with adverse birth outcomes. This study, which included a large Black population, suggests the importance of the early period of pregnancy for associations between term SGA with CO and  $NO<sub>2</sub>$ , and between  $O<sub>3</sub>$ with PTB; and the late pregnancy period for associations between term SGA and  $O_3$  and PM<sub>10</sub>, and between  $SO<sub>2</sub>$  with PTB. It also highlights the importance of accounting for individual risk factors such as maternal smoking, maternal race, and long-term trends in air pollutant levels and adverse birth outcomes in evaluating relationships between pollutant exposures and adverse birth outcomes.

# **Keywords**

Ambient air pollution; Term low birth weight; Term small-for-gestational-age; Preterm birth

# **1. Introduction**

Indicators of adverse birth outcomes and fetal health during pregnancy are predictors of infant mortality and morbidity (Goldenberge et al., 2008; Haram et al., 2006; McIntire et al., 1999). Common indicators include growth restriction, often defined as small for gestational age (SGA) and measured by a birth weight below the 10th percentile for the same gestational age by sex; preterm birth (PTB), defined as a live birth before the 37th week of gestation; and low birth weight (LBW), defined as a birth weight less than 2500 g. Air pollutants have been associated with adverse impacts on fetal development in both animal and human studies (Veras et al., 2010). Epidemiological studies have focused on LBW outcomes (Bignami et al., 1994; Falkner, 1986; Glinianaia et al., 2004; Kavlock and Grabowski, 1980; Kavlock et al., 1979; Maisonet et al., 2001; Ritz and Yu, 1999, Shah and Balkhair, 2011; Sram et al., 2005), and PTB and SGA outcomes in California (Huynh et al., 2006; Parker et al., 2005; Ritz et al., 2000, 2007; Salam et al., 2005; Wilhelm and Ritz, 2005). A recent meta-analysis has linked exposure to particulate matter (PM) to LBW and PTB (Sapkota et al., 2010); another multi-study shows adverse birth outcomes at high indoor PM concentrations caused by solid fuel cookstoves in developing countries (Pope et al., 2010). Other pollutants, e.g., sulfur dioxide  $(SO<sub>2</sub>)$  and carbon monoxide  $(CO)$ , also have been associated with adverse birth outcomes. Key gaps in the growing adverse birth effects literature include the effects of pollutants at current exposures, the critical exposure windows during pregnancy, and most fundamentally, the underlying mechanisms (Bosetti et al., 2010; Sapkota et al., 2010; Shah and Balkhair, 2011; Slama et al., 2008).

The present study examines associations between ambient air pollutant concentrations and adverse birth outcomes occurring in three industrialized and urban areas in Detroit, Michigan, U.S. These areas contain a very high proportion of Blacks, a susceptible population that has not been previously examined for such associations. We report on models that evaluate associations between term SGA and PTB outcomes using several pollutants and exposure windows. A parallel analysis examines associations between term LBW and air pollutants in the same population, which is reported in the supplemental materials.

# **2. Material and methods**

# **2.1. Study group, health outcomes, and covariates**

The study group consisted of all live, singleton births for mothers living at time of birth in three areas of Detroit between January 1, 1990 and December 31, 2001. Birth certificate data, obtained from the Michigan Department of Community Health, were used to determine individual level covariates, which included gestational age, infant sex, date of birth, maternal age, race, smoking status, education level, and level of prenatal care. Eligible mothers resided in postal (ZIP) codes that were wholly or partially contained within a 4 km radius surrounding an air quality monitoring station, a distance that has shown stronger risk estimates for subjects than larger radii and presumed to better represent community level exposure (U.S. EPA, 1998; Wilhelm and Ritz, 2005). Eligible births were restricted in weight from 750 to 4000 g, gestational age from 22 to 42 weeks, and maternal age from 16 to 45 years. Birth weights exceeding 4000 g may result from poorly controlled maternal diabetes, and birth weights below 750 g are rarely viable and unlikely to be affected by air pollutant exposure. Gestational age was based on the date of the last menstrual period (LMP), if available, or the estimated week of gestation as estimated by the mother's physician.

Term SGA births were defined as infants whose birth weights fell below the 10th percentile by sex and gestational week, based on study population's distribution and restricted to gestational ages between 37 and 42weeks. A PTB was defined as a birth occurring before 37 weeks gestation. Term LBW was defined for infants born at or after 37 weeks of gestation and weighing less than 2500 g, but was not a focus of the present analysis because, by definition, it overlaps with term SGA. The LBW analysis is shown, however, in the supplemental materials.

# **2.2. Exposure assessment**

Three fixed site ambient air monitors located in densely populated, urban areas that measured multiple air pollutants over extended periods were chosen. These sites were approximately 20 km apart (Fig. 1), and were selected because they were the only sites that sampled CO, nitrogen dioxide  $(NO<sub>2</sub>)$ ,  $SO<sub>2</sub>$  and particulate matter with aerodynamic diameter below 10  $\mu$ m (PM<sub>10</sub>) in Detroit during the study period. The ambient data were collected by Michigan Department of Environmental Quality (MDEQ) with oversight by U.S. EPA using federal reference methods and MDEQ protocols (U.S. EPA, 2006). A total of 5, 8 and 13 zip codes were within the 4 km radius of the Allen Park, East Seven Mile, and Linwood monitors, respectively, out of a total of 30 zip codes in Detroit (Fig. 1). CO measurements were available at the Allen Park and Linwood sites for the entire study period; however, due to vandalism in July 1997 and quality assurance (QA) issues, CO data at Linwood were restricted to 1990–1996.  $SO_2$  measurements were available at East Seven Mile and Linwood sites for the entire study period, but only up to 1997 at Allen Park.  $NO<sub>2</sub>$  was available for the entire study period at the East Seven Mile and Linwood sites; however, several months (September 1996 at Linwood; March, April and September through November 1997 at East Seven Mile) were omitted due to QA concerns. Ozone  $(O_3)$  was monitored only during the high O<sub>3</sub> season (April to September) in Detroit, however, year-round monitoring was

conducted at downtown Windsor, Canada (approximately 20 km east), and these measurements were highly correlated to the Detroit data ( $r > 0.83$ , high  $O_3$  season only).  $PM_{10}$  was measured every sixth day at Allen Park only. PM<sub>2.5</sub> (PM less than 2.5 µm) was collected every third day from only May 1999. Due to the short time record,  $PM_{2.5}$  was excluded from the present analysis.

Hourly measurements below method detection limits (MDLs) were replaced by one-half the MDL to avoid erroneous zero values. For CO,  $NO<sub>2</sub>$  and  $SO<sub>2</sub>$ , daily (24-h) averages were computed from hourly data, from which monthly and trimester (3 month) averages were computed. For  $O_3$ , the daily 1-hmaximum was used to compute daily, monthly and trimester averages. Running monthly and trimester averages were computed from the every sixth day PM<sub>10</sub> measurements at Allen Park. Daily, monthly and trimester averages each required the availability of 75% of all possible measurements, e.g., daily averages required at least 18 of 24 possible hourly values. Exposures were estimated for five windows (time periods) for each pregnancy based on the gestational period and LMP: the first and last gestational months, and each trimester using divisions of 1–13, 14–26, and 27 weeks to birth, respectively (Parker et al., 2005).

# **2.3. Statistical methods**

Logistic regression models were used to estimate adjusted odds ratios (AORs) and 95% confidence intervals (CIs) for each outcome and each of the five exposure windows (first month, last month, and first, second and third trimesters, as described above). For PTB, only the first and last months' exposures were examined since, by definition, PTBs do not reach three full trimesters and analyses using the final trimester exposure would reduce the sample size. Term SGA and PTB outcomes were analyzed as dichotomous variables. Pollutant concentrations were expressed in quartiles, and AORs were calculated as ratios of associations for the second, third and fourth exposure quartiles relative to the first exposure quartile.

Models for PTB were adjusted for infant sex, maternal race (Black, White, other), maternal education level  $(\langle 12, 12, \rangle)$  years), maternal smoking status during pregnancy (yes/no), use of prenatal care (yes/no), late prenatal care (starting after the fourth month of pregnancy; yes/no) and residence location (Allen Park, Linwood, East Seven Mile). To control for possible seasonal or community-specific effects not captured by birth records (Ritz and Wilhelm, 2008), models included variables for birth season, defined as spring (March–May), summer (June–Aug.), fall (Sept.–Nov.), and winter (Dec.–Feb.). To examine long-term trends in pollutant levels, a locally-weighted regression smoother was applied to the air pollutant concentrations (Cleveland, 1979). To control for possible biases associated with temporal changes in the study population and environment, models were adjusted for birth year using consecutive 4-year periods (1990–1993–1994–1997 and 1998–2001). No adjustments for gestational age were used because PTB was already restricted to births with gestational age from 22 to <37 weeks. Models for term SGA used similar adjustments, except infant sex was excluded since, by definition, this outcome captures sex and gestational age.

Single pollutant models were constructed by pooling data across all sites, with analytic control for site in the models. Multiple pollutant models were restricted to Linwood where  $CO$ ,  $SO<sub>2</sub>$  and  $NO<sub>2</sub>$  were measured. PM<sub>10</sub> measured at Allen Park was assigned to Linwood in multiple pollutant models given the modest PM concentration gradients in the region (Heindorf, 2007; Stevens et al., 2006).  $O_3$  was not included in the multiple pollutant models due to the lack of data during low  $O_3$  season. Models including  $O_3$  were evaluated using both Detroit data for the high  $O_3$  season, as well as the year-round data collected at Windsor. As a single test across the exposure quartiles for each pollution-outcome comparison, Wald type tests were conducted for joint hypotheses with 3 degrees of freedom, and trend tests were conducted for pollution-outcome associations for p-values below 0.05. Lastly, possible effect measure modification by race and maternal smoking status was investigated using analyses stratified by these variables. This analysis excluded "other" races due to small sample sizes.

The supplemental materials present sensitivity analyses which show similar analyses, but with stratification by maternal race, smoking status and educational levels, as well as additional analyses for term LBW.

# **3. Results**

# **3.1. Study population**

A total of 186,214 births occurred in the study zip codes between 1990 and 2001, which was reduced to 173,492 births (93% of all births) due to the 4 km radius restriction, and then to 164,905 births due to the remaining eligibility criteria (95% of births with in the 4 km radius). Infant and maternal characteristics by birth outcome and race are shown in Table 1. Most mothers were Black. In comparison to Black mothers, White mothers had fewer births as teenagers (16–19 years of age), were more likely to have completed high school, were more likely to obtain prenatal care, and were more likely to be smokers. Unsurprisingly, maternal smoking and lack of perinatal care also were associated with large increases in adverse birth outcomes. Based on (unadjusted) odds ratios of term SGA and PTB, infants born to Black mothers had an approximately 2-fold higher risk of both term SGA and PTB than those born to White mothers. (Supplemental Table S-1 lists odds ratios for each covariate.)

Several long-term trends were observed (Supplemental Table S-2). First, the overall birth rate as well as the rate of adverse birth outcomes declined, with the greatest change occurring between the 1990–3 and 1994–7 periods. Second, most but not all risk factors also showed downward trends, with some difference by race. For example, the rate of teenage pregnancies declined from 19% in 1990 to 15% in 2001, largely due to decreases among Black mothers (from 25 to 18%), rather than among White mothers, which were relatively stable at 11%. Many of these patterns observed followed national trends, e.g., downward trends of teenage pregnancy and smoking during pregnancy (Hamilton et al., 2003; Mathews, 2001).

# **3.2. Air pollutant exposures**

Exposure levels for 3-h, 24-h, first month and first trimester averaging periods are shown in Table 2. Concentrations were below the U.S. National Ambient Air Quality Standards (NAAQS) with the exception of  $O_3$ , which exceeded the current 8-h NAAQS of 75 ppb. Over the 12-year study period, both the average concentration and the amplitude of concentration fluctuations declined for CO and  $SO_2$  (Fig. 2a, b), but not for  $NO_2$  and  $PM_{10}$ (Fig. 2c, d). For  $O_3$ , the maximum 1-h concentration (April– September) slightly increased over the study period, and East 7 Mile had the highest levels (Fig. 3). Considering monthly averages,  $SO_2$  had low-to-moderate correlation with CO (r=0.35) and  $NO_2$  (r=0.27); CO and NO<sub>2</sub> had low correlation (r 0.27); and PM<sub>10</sub> had low correlation with both CO and SO<sub>2</sub>  $(r<sub>0.11</sub>)$ . The correlation coefficients varied little when different exposure windows were used. Although they capture only pair-wise dependencies, the correlation coefficients suggest that collinearity would not be a problem in multi-pollutant models.

# **3.3. Single pollutant models**

Results of single pollutant models for  $CO$ ,  $SO_2$ ,  $NO_2$  and  $PM_{10}$  for term SGA and PTB outcomes are summarized in Table 3; results for  $O_3$  are shown in Table 4. We first describe results for term SGA. CO was positively associated with term SGA for all exposure windows, and the odds ratio of term SGA births increased from 1.05 to 1.20 for women with higher CO levels ( $>0.56$  ppm; second through highest exposure quartiles). After adjusting for long-term trends, the strongest association between CO and term-SGA persisted for women in the highest quartile  $(>0.75$  ppm) of first month CO exposures (AOR=1.14; 95% CI: 1.02–1.27; trend test p=0.04).

For SO2, only first trimester exposures showed consistent odds ratios of term SGA birth increasing with  $SO<sub>2</sub>$  concentration without trend-adjustments, however, trend-adjusted AORs were attenuated toward the null. First month and first trimester  $NO<sub>2</sub>$  exposures were positively associated with term SGA, and results were unchanged after trend adjustment. The odds ratio of term SGA birth increased from 1.02 to 1.10 for women with higher  $NO<sub>2</sub>$ levels (>18.7 ppb; second through the highest exposure quartiles). Women with the highest quartile first-month  $NO_2$  exposures (>23.6 ppb) had the highest risk (AOR=1.10; 95% CI: 1.01–1.19).

Maternal exposure to  $PM_{10}$  during all three trimesters was positively associated with term SGA, and results were unaffected by trend adjustment. The odds ratio of term SGA birth and PM<sub>10</sub> exposure increased from 1.01 to 1.22 for women with higher PM<sub>10</sub> (>22.8 µg m<sup>-3</sup>; second through the highest exposure quartiles). The third trimester top quartile  $PM_{10}$ exposure (>35.8  $\mu$ g m<sup>-3</sup>) gave the highest risk of a term SGA birth (AOR=1.22; 1.04–1.44; trend test  $p=0.01$ ).

For the high  $O_3$  season, the last month, first trimester and third trimester exposures showed consistent patterns of increasing odds ratios for term SGA births as  $O_3$  concentrations increased, both with and without trend-adjustments. For example, the trend-adjusted odds ratios increased from 1.04 to 1.13 (>63 ppb; second through top exposure quartiles), and women with highest quartile first trimester  $O_3$  exposures (>97ppb) had the highest risks

(AOR=1.13; 95% CI: 1.01–1.27). The year-round models using the Windsor data did not show similar or consistent associations.

Turning to PTB,  $SO_2$  exposure during the last month was positively associated with this outcome, both with and without adjustments for long-term trends. The odds ratio for PTB birth increased from 1.07 to 1.11 for women with  $SO_2$  levels  $>4.5$  ppb (second through highest exposure quartiles). No associations were observed for PTB with CO,  $NO<sub>2</sub>$  or  $PM<sub>10</sub>$ . First month  $O_3$  exposure was positively associated with PTB in both the high  $O_3$  season (trend test p=0.01) and the year-round analyses (the latter using the Windsor data), and the odds ratio of a PTB birth increased from 1.06 to 1.10 for women with high  $O_3$  levels (>63 ppb; second through highest exposure quartiles).

# **3.4. Multiple pollutant models**

Table 5 summarizes results of the four-pollutant models (CO,  $SO_2$ ,  $NO_2$  and  $PM_{10}$ ) for term SGA and PTB outcomes. All models were adjusted for long-term trends and were restricted to mothers near the Linwood site for the 1990–1996 period (given the availability of CO data, as described earlier). In these models, consistent patterns were obtained for term SGA births for CO (first and second trimester),  $SO_2$  (all trimesters),  $NO_2$  (first month and all trimesters), and  $PM_{10}$  (first month and first trimester). For term PTB births, odds ratios were elevated during only first-month  $SO_2$  and  $NO_2$  exposures. Overall, these results did not differ greatly from the results of single-pollutant models for the Linwood area mothers (data not shown). Furthermore, the patterns of associations among infants of the Linwood mothers did not differ appreciably from those obtained using single-pollutant models that included mothers from Allen Park and East Seven Mile, which suggests that the multi-pollutant model results may be representative of the larger study population. However, risk estimates for the multipollutant models were less precise, a result of the smaller sample size (n ranged from 24,191 to 32,768).

# **4. Discussion**

After controlling for trends and covariates, the estimated odds ratios for term SGA with CO,  $NO<sub>2</sub>, O<sub>3</sub>$  and PM<sub>10</sub> exposures, and for PTB with  $O<sub>3</sub>$  and SO<sub>2</sub> exposures, showed that low to moderate exposure to ambient air pollutants was associated with small, though consistent increases in the risk of adverse birth outcomes. A similar association between term LBW and  $SO<sub>2</sub>$  exposure during the early pregnancy period in the same population was also found (Supplemental Tables S-3 to S-5). This is the first adverse birth effects study examining air pollutants in Detroit, an area with considerable industry and a large Black population. We did not always find monotonically increasing dose–response relationships between pollutant exposures and risks, which could reflect sample size and statistical power limitations, artifacts due to exposure misclassification or trend adjustments, non-logit-linear dose– response relationships, and other reasons discussed below.

# **4.1. Possible mechanisms**

Biological pathways linking pollutant exposure to term SGA and PTB are not well understood (Demerjian, 2000; Liu et al., 2003; Xu et al., 1995). Term SGA may be triggered

by an abnormal reaction between trophoblast and uterine tissues in the first few weeks of pregnancy, which is consistent with the timing of the CO-term-SGA and NO<sub>2</sub>-term-SGA associations found in this study. CO reduces the oxygen-carrying capacity of maternal hemoglobin, which decreases oxygen delivery to the fetus, and it crosses the placental barrier where it interferes with oxygen binding to fetal hemoglobin (Di Cera et al., 1989; Sangalli et al., 2003). Both effects may induce tissue hypoxia and reduce fetal growth. Alternatively, CO may be a proxy for PM emissions from vehicles and other combustion sources that contain polycyclic aromatic hydrocarbons (PAHs) that can induce DNA adducts, which have been associated with increased risks of LBW (Perera et al., 1998, 1999; Sram et al., 1999).  $NO<sub>2</sub>$  exposure increases lipid peroxidation in both maternal and cord blood, which could interfere with normal intrauterine growth development via oxidative stress (Tabacova et al., 1998). PM is a complex toxicant, which includes mixtures of fine particles, metals and organic matter (e.g., PAHs), and compositions are source-specific (Dejmek et al., 1999). Several mechanisms have been proposed for  $PM_{10}$ , including the DNA adduct pathway discussed above.

The operative mechanism determines the critical exposure period, and periods identified in previous studies may inform this understanding. The critical exposure period for PTB associated with coal combustion toxics was the first two months of pregnancy (Mohorovic, 2004). For CO and  $SO<sub>2</sub>$ , exposures during the last month of pregnancy have been associated with PTB (Liu et al., 2003). Potential etiologic factors for PTB (infection and stress) may be associated with air pollutant exposures in late pregnancy (Lockwood, 1994), and possibly include alveolar inflammation or systemic infection (Maisonet et al., 2004; Yang et al., 2004), in which case the induction period may be short and immediate, leading to an early birth after a relevant exposure (Maisonet et al., 2001). The present study focused on both early and late exposure periods during pregnancy that have been previously associated with PTB. We found that only the last month's  $SO<sub>2</sub>$  exposure was associated with PTB births.

# **4.2. Comparison with previous studies**

Our results for term SGA are generally consistent with studies examining CO,  $O_3$  and  $PM_{2,5}$ in California, U.S. (Parker et al., 2005; Salam et al., 2005),  $NO_2$ ,  $PM_{2.5}$  and  $PM_{10}$  in Australia (Mannes et al., 2005), CO, NO<sub>2</sub> and PM<sub>2.5</sub> in Canada (Liu et al., 2003, 2007), and PM<sub>10</sub> in the Czech Republic (Dejmek et al., 1999). Regarding PTB, our results are consistent with studies examining prenatal exposures to  $SO<sub>2</sub>$  (Bobak, 2000; Leem et al., 2006; Liu et al., 2003; Sagiv et al., 2005; Xu et al., 1995) and O3 (Leem et al., 2006). Still, as discussed below, these studies used a variety of designs, populations, airsheds, study durations, sample sizes, exposure measures, covariates and model structures, and thus vary with respect to their statistical power, degree of exposure misclassification, and control of confounding.

# **4.3. Air pollutant sources and trends**

Detroit is a diverse airshed that contains many emission sources, e.g., steel mills, waste water treatment plants and sewage sludge incinerators, automotive fabrication and assembly plants, a petroleum refinery and storage facilities, asphalt plants, chemical manufacturing, and a high density of mobile sources (including many diesel trucks and a major international

truck crossing). This diversity, along with the long record and monthly averages used, helps to explain the modest correlations observed among the pollutant measures, which are lower than those reported in most other studies (e.g., Liu et al., 2003, 2007). In an exposure study, Williams et al. (2008) identified likely emission sources at the Allen Park site as industry and freeway traffic, while the Linwood and East Seven Mile sites were affected by diesel truck traffic and other industrial sources. Other factors contributing to variability include the long time period and different long-term trends among the pollutants, the significance of background or regional concentrations, and the effects of meteorology (e.g., wind speed and direction, inversions, and precipitation) that can alter the dispersion and fate of different pollutants and different emission sources.

# **4.4. Temporal trends and exposure levels**

Concentrations of pollutants such as  $SO<sub>2</sub>$  and CO have declined over the past several decades, as have several individual risk factors of adverse birth outcomes, at least in some countries (Ananth et al., 2003; Dugandzic et al., 2006; Martin et al., 2006). We demonstrated that accounting for long-term declines in  $CO$  and  $SO<sub>2</sub>$  concentrations attenuated effect sizes in the CO-term-SGA and  $SO<sub>2</sub>$ -term-SGA associations. In analyses examining CO-term-LBW associations using trend-adjusted and de-trended CO data (data not shown), associations were also attenuated. Conversely, associations for  $NO<sub>2</sub>$  and  $PM<sub>10</sub>$ did not show such trends and results were insensitive to temporal adjustments. With a few exceptions, previous birth effect studies have been short in duration, and effects of long-term temporal trends have not been extensively examined. A 13 year-long study in Canada found that birth year confounded associations between  $SO_2$  and  $PM_{10}$  and LBW (Dugandzic et al., 2006). These results, and our findings, suggest the need for time trend adjustments when analyzing long periods.

Another factor contributing to differences among studies are the considerable differences in pollutant levels, cut-offs considered, averaging times, and mixtures among cities and countries examined. For example, a Canadian study (Liu et al., 2003) examined 1 ppm and 10 ppb increases in CO and  $NO<sub>2</sub>$  exposures, respectively, while a southern California study (Salam et al., 2005) used inter-quartile ranges of 1.2 ppm and 25 ppb, respectively, for monthly average CO and  $NO<sub>2</sub>$  concentrations.

We also note the lack of multi-pollutant models in the adverse births effect literature, which are essential for understanding effects of simultaneous exposure to several pollutants. Only the most recent Canadian study (Liu et al., 2007) used multi-pollutant models  $(NO<sub>2</sub>, CO<sub>2</sub>)$  and  $PM_{2.5}$ ).

#### **4.5. Social economic status, race and smoking and effect modification**

Both socio-economic status (SES) and maternal smoking are well-known risk factors for adverse birth outcomes, and this was clearly seen in the Detroit data (e.g., Supplemental Table S-1). However, SES and smoking may not have ideal indicators (we used maternal education level for SES), and they have been inconsistently treated in the literature, e.g., they were included in analyses in the southern California study (Salam et al., 2005), but not in the Canadian studies (Liu et al., 2003, 2007). In addition to being a covariate, Ponce et al.

(2005) suggested that SES might modify exposures or interact with effects of air pollutants. In stratified analyses, we found that term SGA births were positively associated with first trimester CO exposure among mothers with fewer than 12 years of education, but not among mothers with more education (Supplemental Table S-6). While this suggests that SES is an effect modifier that acts to increase the vulnerability of low SES women to the effects of air pollution, the evidence is limited and does not support the broader hypothesis.

In addition to SES, maternal race and smoking may modify effects of pollutant exposure, e.g., stronger effects have been found associating CO exposure with LBW(Maisonet et al., 2001) and PM<sub>2.5</sub> with LBW(Bell et al., 2007) among infants of Black mothers as compared to White mothers. We saw some evidence of stronger associations between CO and term- $SGA$ ,  $NO<sub>2</sub>$  and term-SGA,  $SO<sub>2</sub>$  and term-SGA, and  $SO<sub>2</sub>$  and PTB for infants of Black mothers as compared to associations for White mothers (Supplemental Tables S-7 to S-10). Such heterogeneity could reflect susceptibility attributable to individual-level factors for which race is a proxy, but also neighborhood-level vulnerability factors correlated with race, e.g., a lack of access to health care or intra-group diffusion of harmful health behaviors (Huebner, 2006). Both the Linwood area where  $CO_2$ ,  $NO_2$  and  $SO_2$  were measured, and the East Seven Mile area where  $NO<sub>2</sub>$  and  $SO<sub>2</sub>$  were measured, were predominantly Black areas, while Allen Park where  $CO$  and  $SO<sub>2</sub>$  were measured was predominantly White. While we do not know of any specific biases, this pattern of housing segregation could allow a neighborhood effect.

For maternal smoking, heterogeneity was observed in some associations for term SGA, e.g., positively associations with first month  $NO<sub>2</sub>$  exposures among smokers, and first month CO exposures and third trimester  $PM_{10}$  exposures among non-smokers. The lack of associations for CO or  $PM_{10}$  among smokers may reflect the large CO and  $PM_{10}$  doses already received by smoking mothers, diminishing the effects of ambient exposures or increasing the variability of the responses.

In summary, although we examined stratum-specific estimates to check for heterogeneity by maternal education (a measure of maternal SES), race and smoking, only a handful of results were found that were consistent with underlying effect measure modification. These findings should be interpreted cautiously. A large number of associations were examined in the stratified analyses, and the few results consistent with heterogeneity do not provide strong evidence that the associations were heterogeneous with respect to these three factors, and they may have been spurious. Despite an overall large sample size, the stratified analyses may not have had sufficient power to detect true effect measure modification.

# **4.6. Strengths and limitations**

Strengths of this study included a large sample size  $(n=164,905)$ , a study duration adequate for trend assessment (7 to 12 years, depending on pollutant), and individual-level information on residence location, maternal race, smoking status, pregnancy outcomes and SES. The analysis accounted for time trends in pollutant concentrations, which affected  $SO<sub>2</sub>$ and CO results, and considered simultaneous exposures to multiple pollutants. Additionally, the study included a large Black population, an understudied and susceptible population, which allowed examination of possible heterogeneity of exposures and effect by race.

We also recognize limitations to the analysis. Geocoding of individual residences was unavailable, thus residences (and subjects) were selected if their ZIP code area was within 4 km of an air quality monitor. In the worst case, a residence could have been as far as 12 km from the monitor, which would increase the likelihood of exposure misclassification and bias. However, most homes were much closer since most of the studied areas were densely populated. Further, air pollution exposures at the ZIP code level can yield reasonable exposure estimates (Basu et al., 2004). Over time, pollutant levels in Detroit fell below those recorded in most other studies, and the low exposures may be subject to greater exposure measurement errors. Exposure misclassification is especially likely for subjects living near major traffic routes (more likely near Linwood and East Seven Mile sites), which could increase exposures above levels measured at the monitoring sites. (Monitor sites were located in residential areas at least several blocks from major roads.) However, limiting study areas to a relatively small radius (4 km) around monitors should minimize such errors. Missing pollutant data may have influenced results, although the results using a single monitor (Linwood) were consistent with those using all three sites, suggesting any such bias was minimal. Additional information on potential covariates and confounders not contained in the birth certificate database might have been helpful, e.g., alcohol consumption, although effects of many such factors would likely be correlated with other individual-level risk factors that were available. Finally, measurements of personal, occupational, or indoor exposures were unavailable, a limitation of all studies that rely on ambient exposure measures (Alm et al., 2001; Brunekreef et al., 2005; Kim et al., 2006).

Continuous exposures of air pollutants were considered but are not presented in this study for several reasons: the biologic pathways of air pollution exposures that lead to adverse birth outcomes are still not well understood, and exposure cut-offs (or thresholds) reported in the literature are inconsistent; the range of each pollutant and sometimes its units can differ among studies, which makes comparisons among studies difficult, especially in multiple pollutant models; and the use of categorical exposures allows examination of the dose–response relationship.

Since a large number of associations were estimated, there exists the possibility of spurious associations. We report point and interval estimates without constructing joint confidence regions determined using Bonferroni or other adjustments, which have been criticized as being overly conservative, even when there is scientific interest in testing the global hypothesis that all associations are null (Rothman et al., 2008). In the present study, interest focuses on the individual relations between pollutants and outcomes. Due to the moderate correlations observed among the pollutants, conventional multiple comparison "adjustments" would not be appropriate; other methods, e.g., hierarchical modeling, are beyond the scope of this paper and were not explored.

# **5. Conclusions**

Exposures to ambient air pollutants CO,  $NO<sub>2</sub>$ ,  $O<sub>3</sub>$  and  $PM<sub>10</sub>$  were associated with increased risk of term SGA, and exposures to  $O_3$  and  $SO_2$  were associated with increased risk of PTB in the Detroit population, which contains a high percentage and number of Black women. This study suggests the importance of the early period of pregnancy for the CO-term-SGA,

 $NO<sub>2</sub>$ -term-SGA and  $O<sub>3</sub>$ -PTB associations, and the late pregnancy period for  $O<sub>3</sub>$ -term-SGA (high season),  $PM_{10}$ -term-SGA and SO<sub>2</sub>-PTB associations. Results accounted for individual risk factors including maternal race and smoking, as well as the long-term trends in ambient air pollutant exposures.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Fig. 2.**







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 $41.9$ <br>29.7

26.0

 $\frac{1.7}{17.2}$ 

 $28.4$ 

11.6<br>59.1<br>29.3

 $\frac{1}{2}$  ,  $\frac{1}{2}$  ,  $\frac{1}{2}$ 

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White<br>N=68,164 (%) **N=68,164 (%)**

48.8 51.2

 $171.7$   $171.7$   $19.5$   $1$ 1994–1997 31.3 29.7 31.0 31.3 31.0 31.5

29.7

31.3

1994-1997

 $\begin{array}{c} 37.7 \\ 31.5 \end{array}$ 

31.0  $41.1$ 

 $31.3$ 

 $31.0$ 

 $26.3$ <br> $24.5$ <br> $23.6$ 

25.7



Abbreviations: SGA, small for gestational age; PTB, preterm births. Abbreviations: SGA, small for gestational age; PTB, preterm births.

 $^a\!$  All births included Blacks, Whites and others.  $a'$ All births included Blacks, Whites and others.

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**Table 2**

Summary of air pollutant concentrations. Summary of air pollutant concentrations.





Abbreviations: AP, Allen Park; E7M, East Seven Mile; LW, Linwood; SD, standard deviation; 25th, 50th, 75th are percentiles. Abbreviations: AP, Allen Park; E7M, East Seven Mile; LW, Linwood; SD, standard deviation; 25th, 50th, 75th are percentiles.

N represents the numbers of 3-h, 24-h, and annual average concentrations, and the numbers of subjects who had month and trimester average concentrations. N represents the numbers of 3-h, 24-h, and annual average concentrations, and the numbers of subjects who had month and trimester average concentrations.

 $^4\!$  Month and trimester averages are subjects' exposure estimates for 1st month and 1st trimester. *a*Month and trimester averages are subjects' exposure estimates for 1st month and 1st trimester.

**Table 3**

Adjusted odds ratio and 95% confident interval (95% CI) for each window of exposure to air pollutants (CO, SO<sub>2</sub>, NO<sub>2</sub>, and PM<sub>10</sub>) for term small for gestational age (SGA) and preterm birth (PTB). Adjusted odds ratio and 95% confident interval (95% CI) for each window of exposure to air pollutants (CO, SO2, NO2, and PM10) for term small for gestational age (SGA) and preterm birth (PTB).



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Note: N = numbers of mothers who had pollutant exposures by exposure windows; term SGA models do not include infant sex. Note: N = numbers of mothers who had pollutant exposures by exposure windows; term SGA models do not include infant sex.

 $a$  Adjusted for infant sex, maternal race, age groups, education levels, tobacco use, prenatal care, birth seasons and site of residency. *a*Adjusted for infant sex, maternal race, age groups, education levels, tobacco use, prenatal care, birth seasons and site of residency.

 $b$  Adjusted for variables above and birth periods. *b*Adjusted for variables above and birth periods.

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![](_page_23_Picture_552.jpeg)

![](_page_23_Picture_553.jpeg)

![](_page_23_Picture_554.jpeg)

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*b*Adjusted for variables above and birth periods. (Note: Term SGA models do not include infant sex.)

 $b$  Adjusted for variables above and birth periods. (Note: Term SGA models do not include infant sex.)

# **Table 5**

Results of the multipollutant models (including CO, SO<sub>2</sub>, NO<sub>2</sub> and PM<sub>10</sub>) for the Linwood site for term small for gestational age (SGA) and preterm birth Results of the multipollutant models (including CO, SO<sub>2</sub>, NO<sub>2</sub> and PM<sub>10</sub>) for the Linwood site for term small for gestational age (SGA) and preterm birth (PTB).

![](_page_24_Picture_353.jpeg)

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Adjusted for infant sex, maternal race, age groups, education levels, tobacco use, prenatal care, birth seasons, site of residency and birth periods.

Note: Term SGA models do not include infant sex.

Note: Term SGA models do not include infant sex.