

Role of the *Streptococcus mutans* CRISPR-Cas Systems in Immunity and Cell Physiology

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CRISPR-Cas systems provide adaptive microbial immunity against invading viruses and plasmids. The cariogenic bacterium *Streptococcus mutans* UA159 has two CRISPR-Cas systems: CRISPR1 (type II-A) and CRISPR2 (type I-C) with several spacers from both CRISPR cassettes matching sequences of phage M102 or genomic sequences of other *S. mutans*. The deletion of the *cas* genes of CRISPR1 (Δ C1S), CRISPR2 (Δ C2E), or both CRISPR1+2 (Δ C1SC2E) or the removal of spacers 2 and 3 (Δ CRISP13E) in *S. mutans* UA159 did not affect phage sensitivity when challenged with virulent phage M102. Using plasmid transformation experiments, we demonstrated that the CRISPR1-Cas system inhibits transformation of *S. mutans* by the plasmids matching the spacers 2 and 3. Functional analysis of the *cas* deletion mutants revealed that in addition to a role in plasmid targeting, both CRISPR systems also contribute to the regulation of bacterial physiology in *S. mutans*. Compared to wild-type cells, the Δ C1S strain displayed diminished growth under cell membrane and oxidative stress, enhanced growth under low pH, and had reduced survival under heat shock and DNA-damaging conditions, whereas the Δ C2E strain exhibited increased sensitivity to heat shock. Transcriptional analysis revealed that the two-component signal transduction system VicR/K differentially modulates expression of *cas* genes within CRISPR-Cas systems, suggesting that VicR/K might coordinate the expression of two CRISPR-Cas systems. Collectively, we provide *in vivo* evidence that the type II-A CRISPR-Cas system of *S. mutans* may be targeted to manipulate its stress response and to influence the host to control the uptake and dissemination of antibiotic resistance genes.

CRISPRs (clustered regularly interspaced short palindromic repeats) and their associated *cas* (CRISPR-associated) genes found in bacteria provide a sequence-based adaptive immunity against mobile genetic elements such as phages, invasive conjugative plasmids, and transposable elements (1–8). CRISPRs consist of short repeats interspersed with nonrepetitive nucleotides of 26 to 72 bp called spacers derived from exogenous genetic elements (9–11). CRISPRs are often associated with a set of *cas* genes that encode proteins that mediate the defense process. This CRISPR-mediated defense system targets invading DNA in three steps: (i) adaptation via incorporation of foreign genetic element-derived spacers into the CRISPR array, (ii) transcription of CRISPR RNAs containing spacer-repeat units, and (iii) interference with the invasive nucleic acid, leading to their degradation (12). According to the current classification, there are three major types of the CRISPR-Cas systems, i.e., the type I, type II, and type III systems, respectively, that differ by the repertoires of *cas* genes, the organization of *cas* operons, and the structure of repeats in the CRISPR array (12). In the type I CRISPR-Cas systems the maturation of the precursor CRISPR RNA (pre-crRNA) is mediated by an endonuclease, namely, Cse3 (type I-E), Csy4 (type I-F), and Cas5d (type I-C), whereas in the type III system Cas6 is responsible for crRNA maturation (13–20). In the type II systems transactivating CRISPR RNA (tracrRNA) binds to the pre-crRNA, forming a dual-RNA that is essential for both crRNA maturation by RNase III and invading DNA cleavage by Cas9 (21–25). Similar to type I, type II CRISPR-Cas systems require a short protospacer adjacent motif (PAM) that is located immediately adjacent to the protospacer on the foreign DNA element (26–29).

Although CRISPR interference was originally defined as a phage resistance mechanism, CRISPR-Cas systems are now known to play a broader role in limiting horizontal gene transfer

(30). In *Staphylococcus epidermidis* and *Streptococcus pyogenes* the CRISPR-Cas systems were shown to prevent the acquisition of plasmids or prophages by blocking entry in a manner akin to that performed against phage DNA (30, 31). Similar observations have been made in *Enterococcus faecalis*, *Enterococcus faecium*, and *Campylobacter jejuni* (32, 33). However, recently in *Streptococcus pneumoniae* and *Neisseria meningitidis*, CRISPR-Cas systems were shown to prevent natural transformation (34, 35). Beyond their now canonical function in foreign nucleic acid defense, CRISPR-Cas systems have also been implicated in various aspects of bacterial physiology, virulence, and gene regulation (12, 36–42).

In *Streptococcus mutans*, one of the primary pathogens implicated in dental caries, relatively little is known about its virulent phages (43, 44). Only five phages, designated M101, M102AD, M102, e10, and f1, have been shown to have lytic activity against *S. mutans* strains of serotypes c, e, and f, respectively (44, 45). Except for *S. mutans* strain OMZ381, all *S. mutans* serotype c strains,

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TABLE 1 Bacterial strains, plasmids, and phage used in this study

Strain, phage, or plasmid	Relevant characteristics ^a	Source or reference
Strains		
UA159	Wild-type strain; Erm ^s Kan ^s Spc ^s	75
OMZ381	Wild-type strain; Erm ^s Kan ^s Spc ^s	45
SmuvicK	VicK-deficient mutant derived from UA159; Erm ^r	66
CRISPR deletion mutants derived from UA159		
ΔC1S	Lacking <i>cas9</i> to <i>csn2</i> ; Spc ^r	This study
ΔC1K	Lacking <i>cas9</i> to <i>csn2</i> ; Kan ^r	This study
ΔC2E	Lacking <i>cas3</i> to <i>cas2</i> ; Erm ^r	This study
ΔC1SC2E	Lacking all <i>cas</i> genes; Erm ^r Spc ^r	This study
ΔC1KC2E	Lacking all <i>cas</i> genes; Erm ^r Kan ^r	This study
ΔCR1SP13E	Lacking four repeats and spacers 1 to 3; Erm ^r	This study
Phage M102		
		43
Plasmids		
pCG1	<i>Streptococcus-E. coli</i> shuttle vector; Spc ^r	58
pCG1SP	pCG1 plasmid containing irrelevant spacer; Spc ^r	This study
pCR1SP2 (with flank)	pCG1 plasmid containing spacer 2 with potential PAM; Spc ^r	This study
pCR1SP2 (no flank)	pCG1 plasmid containing spacer 2 with no potential PAM; Spc ^r	This study
pCR1SP3 (with flank)	pCG1 plasmid containing spacer 3 with potential PAM; Spc ^r	This study
pCR1SP3 (no flank)	pCG1 plasmid containing spacer 3 with no potential PAM; Spc ^r	This study
pCR1SP6 (with flank)	pCG1 plasmid containing spacer 6 with potential PAM; Spc ^r	This study
pCR1SP6 (no flank)	pCG1 plasmid containing spacer 6 with no potential PAM; Spc ^r	This study
pCR2SP1 (with flank)	pCG1 plasmid containing spacer 1 with potential PAM; Spc ^r	This study
pCR2SP1 (no flank)	pCG1 plasmid containing spacer 1 with no potential PAM; Spc ^r	This study

^a Erm, erythromycin; Spc, spectinomycin; Kan, kanamycin. Superscripts: r, resistant; s, sensitive.

including UA159, are known to be resistant to phage infection by M102; only strain OMZ381 showed sensitivity to phage infection, resulting in cell lysis (45). Despite this knowledge, the mechanisms responsible for resistance to M102 in *S. mutans* serotype c remain unknown. *S. mutans* strain UA159 harbors two distinct CRISPR-Cas systems: a type II-A CRISPR1-Cas system and a type I-C CRISPR2-Cas system (45–48). The analysis of CRISPR cassettes in 29 *S. mutans* strains revealed that CRISPR spacers had high sequence similarity with M102, a virulent siphophage specific for *S. mutans*, suggesting that phage-derived spacers present in these strains likely resulted from M102-like phage attacks (45). Subsequently, it was shown that M102 adheres to phage-sensitive and phage-resistant *S. mutans* serotype c strains, indicating that factors besides phage adsorption determine resistance of *S. mutans* serotype c strains to infection by M102 phage (49). Despite these studies that explored the role of CRISPR-Cas systems in *S. mutans* in conferring phage immunity, recent transcriptome studies suggest other functions that CRISPR-Cas systems might have in *S. mutans* are poorly understood (50–55).

Here, we investigated the role of CRISPR-Cas systems in phage defense, natural transformation, and stress resistance of *S. mutans* by utilizing *cas* gene deletion mutants in *S. mutans* UA159. We found that *S. mutans* CRISPR-Cas systems are not essential for phage resistance against M102. However, we demonstrate that the *S. mutans* type II-A CRISPR1-Cas system inhibits plasmid transformation. Furthermore, we show that CRISPR-Cas systems are regulated by the VicR/K signaling system to modulate environmental stress tolerance and DNA repair, thereby expanding the role of CRISPR-Cas systems in this pathogen.

MATERIALS AND METHODS

Strains, plasmids, phage, and growth conditions. Bacterial strains, plasmids, and the phage used in the present study are listed in Table 1. All *S. mutans* strains were grown in Todd-Hewitt broth supplemented with 0.3% yeast extract (THYE; Becton Dickinson, Sparks, MD) as static cultures or on THYE medium with 1.5% (wt/vol) agar (Bioshop, Burlington, Ontario, Canada) at 37°C in a 5% (vol/vol) CO₂ atmosphere. Kanamycin (1 mg/ml), spectinomycin (1 mg/ml), and/or erythromycin (10 μg/ml) were added as needed. *Escherichia coli* DH5α was used as the host for propagation of plasmids and was routinely cultured in Luria-Bertani medium supplemented (when necessary) with spectinomycin (100 μg/ml) at 37°C with aeration. Phage M102 was propagated in *S. mutans* strain OMZ381, and the phage titer was determined using a plaque assay as described previously (43). Phage resistance was assayed using both the plaque formation assay as described previously (45) and liquid growth assays. For plaque assays, 100 μl of exponentially growing bacterial cultures was mixed with 100 μl of undiluted (~10⁸ PFU) and 100-fold serial dilutions of phage M102. After incubation at 37°C for 20 min, 4 ml of THYE soft top agar was added and immediately poured on THYE plates, followed by incubation for 48 h at 37°C. Phage sensitivity was assessed based on the number of discrete plaques. For liquid growth assays, 350 μl of exponentially growing cultures were challenged with 5 μl of undiluted phage M102 (~10⁸ PFU), and phage sensitivity was monitored by using an automated growth reader (Bioscreen C Labsystems, Finland). Growth kinetic experiments were performed under the following stress conditions: pH 5.5, 0.4 M NaCl, 0.003% H₂O₂, 0.004% sodium dodecyl sulfate (SDS), or 25 mM paraquat, as previously described (56). No antibiotics were used in growth assays in order to avoid additional stress. For heat shock resistance assays, mid-log-phase cells were incubated at 50°C for 60 min. Samples after heat exposure or incubation at 37°C for 1 h were serially diluted and plated on THYE plates, and the CFU were counted.

Construction of mutants in *S. mutans*. PCR ligation mutagenesis (57) with the primers listed in Table S1 in the supplemental material was utilized to construct nonpolar deletion mutants in *cas* genes or CRISPR spacers in *S. mutans* UA159 wild-type strain: (i) two deletion mutants in the *cas9-csn2* operon within the CRISPR1-Cas system (strain Δ C1S and Δ C1K), (ii) one deletion mutant in the *cas3-cas2* operon within the CRISPR2-Cas system (strain Δ C2E), (iii) two deletion mutants in all *cas* genes within the CRISPR1-Cas system and CRISPR2-Cas system (strain Δ C1SC2E and strain Δ C1KC2E), and (iv) a deletion mutant lacking four repeats and three spacers within the CRISPR1 array, including the spacer identical to M102 (strain Δ CR1SP13E). Successful mutagenesis was validated using nucleotide sequence analysis and quantitative reverse transcription-PCR (qRT-PCR).

Plasmid construction for transformation studies. Shuttle vector pCG1 that replicates in both *E. coli* and *S. mutans* was used to clone predicted protospacers for the purposes of plasmid transformation assays (58). This plasmid has a β -galactosidase gene that can be disrupted by inserting the spacer sequence and can be quickly screened (blue/white on X-Gal [5-bromo-4-chloro-3-indolyl- β -D-galactopyranoside]) for successful cloning. Oligonucleotides (50 to 54 nucleotides [nt]) corresponding to protospacer candidates (matching spacers 2, 3, and 6 of the CRISPR1 locus and spacer 1 of the CRISPR2 locus), along with or without 10-nt upstream and downstream sequences were obtained from ACGT Toronto, Ontario, Canada (see Table S2 in the supplemental material). Protospacer candidates were selected as containing a sequence with >85% similarity to the *S. mutans* UA159 spacer sequences and originating from virulent phage M102 or genomic DNA from closely related species (*S. mutans* GS-5 and LJ23 genomes). Extra bases corresponding to SacI restriction sites were added onto the synthesized oligonucleotides so that sticky ends were created when annealed oligonucleotides were digested. After digestion, these protospacers were ligated to pCG1 digested with SacI and dephosphorylated with alkaline phosphatase (New England BioLabs). All constructs were transformed and propagated in *E. coli* DH5 α prior to transformation of *S. mutans* UA159. Successful spacer cloning was validated using nucleotide sequence analysis.

Competence assays. In the present study, natural transformation of planktonic-cell suspensions of UA159 and Δ C1K, Δ C2E, and Δ C1KC2E mutants was assessed by using streptococcal plasmid pCG1 constructs (Table 1; see also Fig. 2 and associated text for further details) and compared to an empty vector control. Overnight cultures of UA159 and its mutant strains were diluted 20-fold in THYE and incubated at 37°C until an optical density at 600 nm (OD₆₀₀) of ~0.1 was reached, and transformation frequency (TF) assays were conducted as described previously (56).

In vivo assay for DNA damage. Cells in mid-exponential phase were exposed to UV light (at an intensity of ~125 μ W/cm²) for 0, 2, 4, 6, 8, and 10 min, and then serially diluted cultures were spotted on THYE agar plates, followed by incubation in the dark at 37°C for 48 h. The CFU were then counted. For mitomycin C (MMC) sensitivity assays, exponentially growing strains in THYE broth were harvested by centrifugation, resuspended in THYE in the presence or absence (control) of 0.05 μ g of MMC/ml, and incubated at 37°C for 90 min. Sensitivity was quantitatively assessed by plating cells after incubation.

Gene cloning and protein purification. Cas5d (SMU.1763c) was cloned using genomic DNA from *S. mutans* UA159 and primers in Table S1 in the supplemental material into the modified pET15b plasmid as previously described (59). For enzymatic assays, Cas5d protein was overexpressed as a fusion with an N-terminal His₆ tag in *E. coli* BL21(DE3) strain (Novagen) which contains no *cas* genes (2). The protein was purified to >95% homogeneity using metal-chelate affinity chromatography on a nickel affinity resin and subsequent ion-exchange chromatography on a MonoQ column as previously described (59).

RNase assays. RNA1 (39 nt; 5'-AAAUACGUUUUCUCAUUGUCAUAUUGCGCAUAAGUUGA) and RNA2 (40 nt; 5'-UUUCAUUCUU

UUAGGAUUAUUCUUGAAGAUAGAGUUA) substrates were obtained from Integrated DNA Technologies. Labeling of RNA substrates was conducted using [γ -³²P]ATP and T4 polynucleotide kinase according to the manufacturer's instructions (Fermentas). Reaction conditions were as follows: 50 mM Tris (pH 7.5), 100 mM KCl, 5 mM MgCl₂, 0.1 nM substrate, and enzyme for 1 h at 37°C. Samples were run on 15% PAGE gels containing 8 M urea, and reaction products were visualized by autoradiography. When RNA from UA159 was used as the substrate, total RNA was extracted from cells grown to mid-log phase (OD₆₀₀ \approx 0.4) in THYE medium. One microgram of total RNA was incubated with 1 μ g of Cas5d protein in transcription buffer (50 mM Tris-HCl [pH 8.5], 100 mM KCl, 5 mM MgCl₂, 1 mM dithiothreitol [DTT]) for 1 h at 37°C. RNA samples were purified by using an RNeasy kit (Qiagen) and then subjected to microarray analysis as described below (51).

Cell preparation for gene expression and microarray analysis. For quantitative real-time PCR (qRT-PCR), cells from *S. mutans* UA159 and SmuVicK were grown to mid-log phase (OD₆₀₀ of ~0.4) under regular or acidic conditions (pH 7.5 versus pH 5.5). To study *cas* gene expression under regular conditions, overnight cultures were diluted 1:20 in sterile prewarmed THYE and grown to mid-log phase. Cells were harvested by centrifugation, snap-frozen in liquid nitrogen, and stored at -80°C until required. To measure *cas* gene expression under acidic conditions, overnight cells were diluted 20-fold in sterile prewarmed TYE supplemented with 0.5% glucose (pH 7.5) and grown to mid-log phase (OD₆₀₀ = 0.4 to 0.5). Cultures were then divided into two aliquots, and cells were collected by centrifugation. Pellets were resuspended in 0.5% glucose supplemented TYE adjusted to pH 7.5 or pH 5.5, and cultures were incubated for 1 h at 37°C with 5% CO₂. The cells were then harvested by centrifugation, snap-frozen in liquid nitrogen, and stored at -80°C until RNA isolation. For microarray analysis, cells from *S. mutans* UA159 at mid-log phase were harvested by centrifugation and utilized for RNA isolation. For qRT-PCR to study nonpolar effects on the downstream genes, cells from UA159 and *cas* deletion mutants were grown in THYE until an OD₆₀₀ of ~0.4 was reached, harvested by centrifugation, snap-frozen in liquid nitrogen, and stored until used for cDNA synthesis. For Northern blot analysis, cells from *S. mutans* UA159 were grown to early log phase (OD₆₀₀ of ~0.1), mid-log phase (OD₆₀₀ of ~0.4), and early stationary phase (OD₆₀₀ of ~1.0) in THYE at 37°C. For competence-stimulating peptide (CSP) treatment, cells from UA159 grown to early-log phase (OD₆₀₀ of ~0.1) were supplemented with 0.2 μ M CSP and incubated for 2 h at 37°C until a final OD₆₀₀ of ~0.4 was reached. For heat shock and oxidative stress analyses, cells that reached an OD₆₀₀ of ~0.4 were pelleted, and the cells exposed to (0.003%) H₂O₂ and 50°C were incubated for 20 min. The cells were then pelleted, snap-frozen in liquid nitrogen, and stored at -80°C until used.

Gene expression analysis using qRT-PCR. DNase treatment, cDNA synthesis, qRT-PCR and expression analysis were carried out as previously described (56). Primers used for qRT-PCR are listed in Table S1 in the supplemental material. Expression was normalized against internal standards, *gyrA*, 16S rRNA, and *gfbB*. Changes in gene expression were determined using the Pfaffl method (60).

Global transcriptome analysis. RNAs from mid-exponential-phase cells of *S. mutans* UA159 were incubated in the presence (experimental) or absence (control) of Cas5d (SMU.1763c) protein for 60 min at 37°C. The RNA samples were transcribed into cDNA using a First-Strand synthesis kit (Invitrogen) as specified in the manufacturer's protocol. Control and experimental cDNAs were used for microarrays (51). A class comparison analysis was performed to identify statistically significant genes. The statistical algorithm used was the two-sample *t* test (with random variance model) with the parametric *P* value cutoff set to *P* < 0.05. Selected genes that showed significant differential expression under experimental conditions were validated utilizing qRT-PCR.

In vitro transcription analysis. RNA transcripts from DNA templates of SMU.995 and SMU.1502c were obtained by *in vitro* transcription using MAXIscript kit (Ambion). Transcription reactions (20 μ l) containing 10

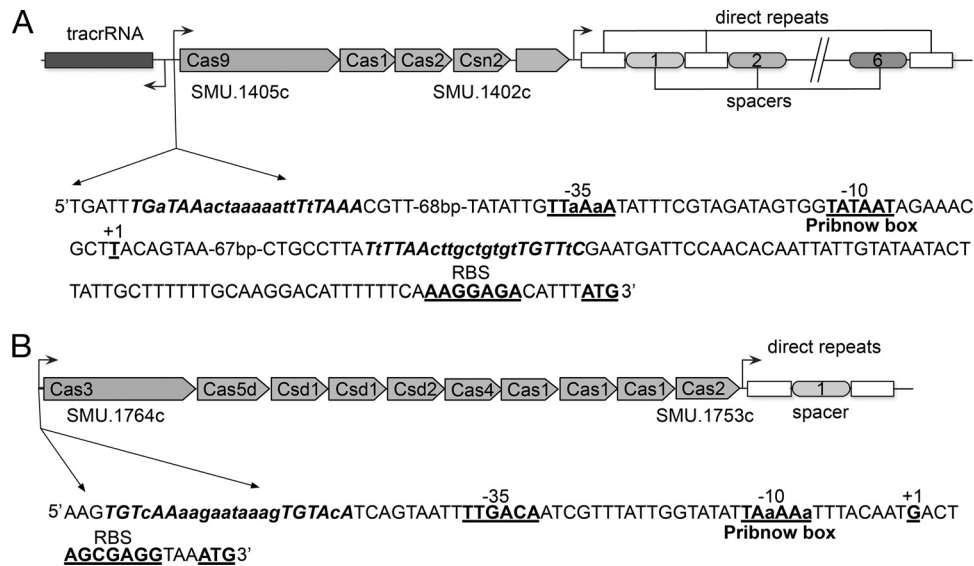


FIG 1 Gene maps of the CRISPR-Cas systems in *S. mutans* UA159. (A) CRISPR1-Cas system. (B) CRISPR2-Cas system. Analysis of the promoter regions of *cas* genes identified the putative -10 box, -35 box, transcriptional start site (TSS), and ribosome binding site (RBS) (all underlined in boldface), as well as the putative VicR binding consensus sequence (TGTWAH-6/10 bp-TGTWAH) for *cas* gene regulation.

mM (each) ATP, CTP, GTP, and UTP, 1 μ g of PCR product for each transcript, 2 μ l of transcription buffer, and 2 μ l of T7 phage RNA polymerase was incubated for 1 h at 37°C. Turbo DNase (1 μ l) was added to the reaction to remove the template DNA. The transcripts were purified using 3 M sodium acetate and 100% ethanol and then used for RNase activity assay as described above.

Northern blot analysis. Total RNA was isolated from UA159 cultures incubated under different growth conditions, as indicated above, using Direct-zol RNA MiniPrep (Zymo Research). Five micrograms of total RNA was loaded by lane and resolved on a 8% (wt/vol) polyacrylamide denaturing gel containing 7 M urea. Size-fractionated RNA was transferred to a positively charged nylon membrane (Fermentas) using a Bio-Rad Mini Trans-Blot cell and subjected to UV cross-linking for 5 min. Membranes were prehybridized using DIG Easy Hyb (Roche) for 30 min at 42°C and followed by hybridization with DIG High-Prime DNA probes (25 ng/ml) in DIG Easy Hyb hybridization buffer (Roche) at 42°C overnight. The probes for tracrRNA were PCR amplified from UA159 gDNA using tracrRNA-For and tracrRNA-Rev primers (see Table S1 in the supplemental material) and labeled using the digoxigenin (DIG) High Prime Labeling kit (Roche) according to the supplier's instructions. The probed membrane was incubated with CSPD (Roche), and the chemiluminescent signal was visualized using a chemiluminescent detector (Bio-Rad) and photographed. Densitometry was used to determine the transcript expression levels within the detected bands, and these levels were quantified using the ImageJ64 program (National Institutes of Health, Bethesda, MD) (61). 5S rRNA served as a loading control.

RESULTS

The *S. mutans* UA159 CRISPR-Cas systems. The *S. mutans* UA159 genome contains two CRISPR-Cas systems: the CRISPR1-Cas system (Fig. 1A) and the CRISPR2-Cas system (Fig. 1B). The CRISPR1-Cas system of subtype II-A spans ~ 5.8 kb and has four *cas* genes organized in an operon: the genes for Cas1 (SMU.1404c, 288 amino acids [aa]) and Cas2 (SMU.1403c, 109 aa) encoding core proteins predicted to be implicated, together with Csn2 (SMU.1402c, 190 aa) in spacer acquisition, and the gene for Cas9 (also known as Csn1) (SMU.1405c, 1,345 aa), which encodes the hallmark protein of type II systems associated

with the interference step. A CRISPR array (located between open reading frames [ORFs] SMU.1400 and SMU.1398) consists of seven repeats (36 bp) interspaced by six spacers (30 bp). Spacer 3 shared 100% nucleotide identity with a 30-bp sequence from *S. mutans* phage M102, and it was previously hypothesized to confer resistance against M102-like phage infection (45). Our sequence similarity searches using the NCBI database for the other spacer sequences within the CRISPR1 locus revealed at least one potential target. For simplicity, we considered only candidate proto-spacers that matched the CRISPR spacers without or with a few mismatches within the CRISPR spacers. Spacer 2 partially matched (26 bp of 30 bp with 100% sequence identity) to phage M102 and spacer 6 had 100% sequence identity to a segment in the *S. mutans* GS-5 genome, suggesting that the CRISPR1-Cas system of *S. mutans* UA159 might target not only M102 phages but also incoming DNA from other *S. mutans* genomes (see Table S4 in the supplemental material). This possibility is in agreement with the recent studies that examined *in vivo* expression of the CRISPR1 locus in *S. mutans* UA159, suggesting that the CRISPR1 locus is transcriptionally active (21, 22). Our deduced protein sequence homology search using BLASTP revealed that the *cas* genes associated with CRISPR1 encoded hypothetical proteins that are predicted to have nuclease activity involved in defense mechanisms or DNA repair (see Table S3 in the supplemental material) (46, 62). The CRISPR2-Cas system of subtype I-C spans ~ 8.0 kb and consists of 10 *cas* genes organized in an operon: the genes for three Cas1 subunits, i.e., SMU.1757c (94 aa), SMU.1755c (199 aa), and SMU.1754c (131 aa), and Cas2 (SMU.1753c, 97 aa), predicted to act in the adaptation step; genes encoding proteins that are predicted to form Cascade-like complexes involved in the interference stage, i.e., Cas3 (SMU.1764c, 131 aa), Cas4 (SMU.1758c, 214 aa), and Cas5d (SMU.1763c, 249 aa); and three Cas genes representing the CRISPR subtype group Dvulg, i.e., SMU.1760c (291 aa), SMU.1761c (469 aa), and SMU.1762c (187 aa). An array of two 32-bp repeats interspaced by one 34-bp spacer was present

downstream of its associated *cas* genes. Spacer 1 within CRISPR2 array matched 100% to a genomic nucleotide sequence of *S. mutans* LJ23 (see Table S4 in the supplemental material). Using *in silico* analysis of CRISPR2 array, we found a leader sequence upstream of the first repeat possibly acting as a promoter for the transcription of the array CRISPR (data not shown). However, in accordance with previous findings (45, 47), we observed that Cas1, required for spacer acquisition, is very unusual. Unlike most other Cas1 proteins that typically are encoded by one gene (forming asymmetrical homodimers) (36, 63, 64), Cas1 of the type I-C CRISPR2-Cas system has three apparent ORFs in UA159. Since both SMU.1757c (94 aa) and SMU.1754c (133 aa) appear to be too short to encode a functional assembly of Cas1, they might represent truncated regions that prevent the genes from functioning properly. Alternatively, they might result from annotation errors. Since in this locus only one spacer was identified, it is possible that the CRISPR2 locus has lost its ability to incorporate novel CRISPR2 spacers as hypothesized previously (45, 47). However, the *in vivo* activity of the CRISPR2 array remains to be elucidated. Similar to *cas* genes from CRISPR1, our BLASTP analysis of Cas proteins revealed high sequence similarity to nucleases, helicases and DNA repair proteins (see Table S3 in the supplemental material) (46, 62). Promoter analysis of CRISPR1 *cas* genes and CRISPR2 *cas* genes revealed classical elements, including a putative Pribnow box (−10 box; TATAAT and TAAAAaT, respectively) and the −35 element (TTAaAa and TTGACA, respectively), suggesting that *cas* genes within the same cluster are likely to be cotranscribed. We detected a putative binding site (TGTW AHNNTNTGTWAH) (65) for the VicR response regulator protein, which is the regulatory component of the VicR/K signaling system for CRISPR1 *cas* genes regulation (located at positions −118 to −139 and positions +84 to +105 from the putative transcriptional start site) and for CRISPR2 *cas* regulation (located at positions −47 to −68 from the putative transcriptional start site). This finding is consistent with our published (51) and unpublished work. It is likely that VicR may bind these target sequences to activate or repress the expression of *cas* genes (65, 66) (Fig. 1).

Loss of the M102-specific CRISPR spacers or *cas* genes of both CRISPR-Cas systems have no effect on the phage resistance phenotype of *S. mutans* UA159. Since spacers 2 and 3 within the CRISPR1 array matched sequences of the M102 genome, we hypothesized that their presence might facilitate phage defense in *S. mutans* UA159. To test whether the M102-targeting spacers and CRISPR-Cas systems confer immunity against M102 phage infection, *S. mutans* UA159, OMZ381 (a phage-sensitive strain) and CRISPR-Cas-deficient strains were assessed for phage resistance by challenging with the virulent phage M102 in both liquid growth assays and plaque formation assays. Deletion of *cas* genes of CRISPR1 and/or CRISPR2 or removal of spacers 2 and 3 within the CRISPR1 array in *S. mutans* UA159 did not affect phage sensitivity of UA159, since none of these strains were lysed by M102 in plate or liquid-based lytic assays (data not shown). However, in accordance with previous findings by Van der Ploeg (45), the control OMZ381 strain displayed sensitivity to phage, as judged by its complete lysis in the presence of M102 (see Fig. S1A and B in the supplemental material). Since all mutant strains remained resistant to infection by phage M102, we concluded that an as-yet-unidentified and CRISPR-independent mechanism(s) is responsible for the M102-resistant phenotype displayed by UA159.

The type II-A CRISPR-Cas system prevents natural transformation by plasmids in *S. mutans* UA159. In addition to conferring phage immunity, CRISPR-Cas systems were shown to constitute an effective barrier against artificial means of transformation (e.g., electroporation) in several bacteria (22, 30, 67, 68). Further, it was shown that the introduction of the engineered *Streptococcus pyogenes* CRISPR-Cas system reduced the transformation efficiency in a heterologous host, *Streptococcus pneumoniae* (34). Zhang et al. (35) found that the native meningococcal CRISPR-Cas system was able to prevent natural transformation of spacer-matching sequences, suggesting that it can limit the horizontal spread of virulence genes. These studies raised the question whether naturally transformable *S. mutans* employs CRISPR-Cas systems to form an effective barrier to limit foreign DNA acquisition by transformation. The *S. mutans* spacer sequences have potential matches to either phage M102 or other bacterial species present in the dental plaque (data not shown); however, for simplicity we selected only candidate protospacers that fully matched spacer sequences from UA159 or had only a few mismatches, namely, CR1SP2, CR1SP3, and CR1SP6 within the CRISPR1 locus and CR2SP1 within the CRISPR2 locus of *S. mutans* UA159 (Fig. 2). Previously, it was shown that in type II systems, the PAM sequence is located at the protospacer 3' end, whereas for the type I systems it is located at the 5' end of the protospacer (23, 68, 69). To deduce putative PAM motifs for *S. mutans* UA159, the identified 10-nt sequences located directly downstream and upstream of the protospacer sequences were aligned using WebLogo (data not shown). Sequence logos revealed that all potential natural targets except for the one matching spacer 2, with 3'-PAM(5'-TGGTGTAAATT-3') downstream of the protospacer 2, have flanking sequences that deviate significantly from the PAM consensus identified in other *S. mutans* strains (see Table S4 in the supplemental material) where 5'-NGG-3' is located at 3' end for the type II-A system and 5'TTC-3' at the 5' end for the type I-C system (45, 70). Since we were not able to identify the most common nucleotides that could represent the PAM sequence, we designed our plasmid constructs containing the protospacers matching CR1SP2, CR1SP3, and CR1SP6 within the CRISPR1 locus and CR2SP1 within the CRISPR2 locus of *S. mutans* UA159 and included 10 nt on both sides of the protospacer. For comparison, we also cloned protospacers lacking flanking sequences (Fig. 2B). The resulting pCG1vectors were used in transformation assays into wild-type UA159 and its *cas* deletion mutant strains. The transformation frequency of each plasmid carrying a protospacer was compared to that of an empty vector (Fig. 2C and D). Empty pCG1 consistently exhibited percent transformation frequencies (%TF) of $(2 \text{ to } 4) \times 10^{-2}$, an observation consistent with previous work (data not shown). Protospacers with identity to spacers 2 and 3 within CRISPR1 had dramatically decreased %TF values compared to the native plasmid, suggesting that the type II-A CRISPR-Cas system is functional against the plasmids carrying the protospacers matching CR1SP2 and CR1SP3. In contrast, plasmids carrying targets for spacer 6 within CRISPR1 and spacer 1 within CRISPR2 (both matching sequences from other *S. mutans* genomes) exhibited transformation frequencies comparable to those of the empty vector, suggesting that they were recognized as self after introduction by transformation and were not targeted by CRISPR machinery (Fig. 2C and D). Similar to previous work (71), we found that the degree of inhibition of transformation varied widely depending

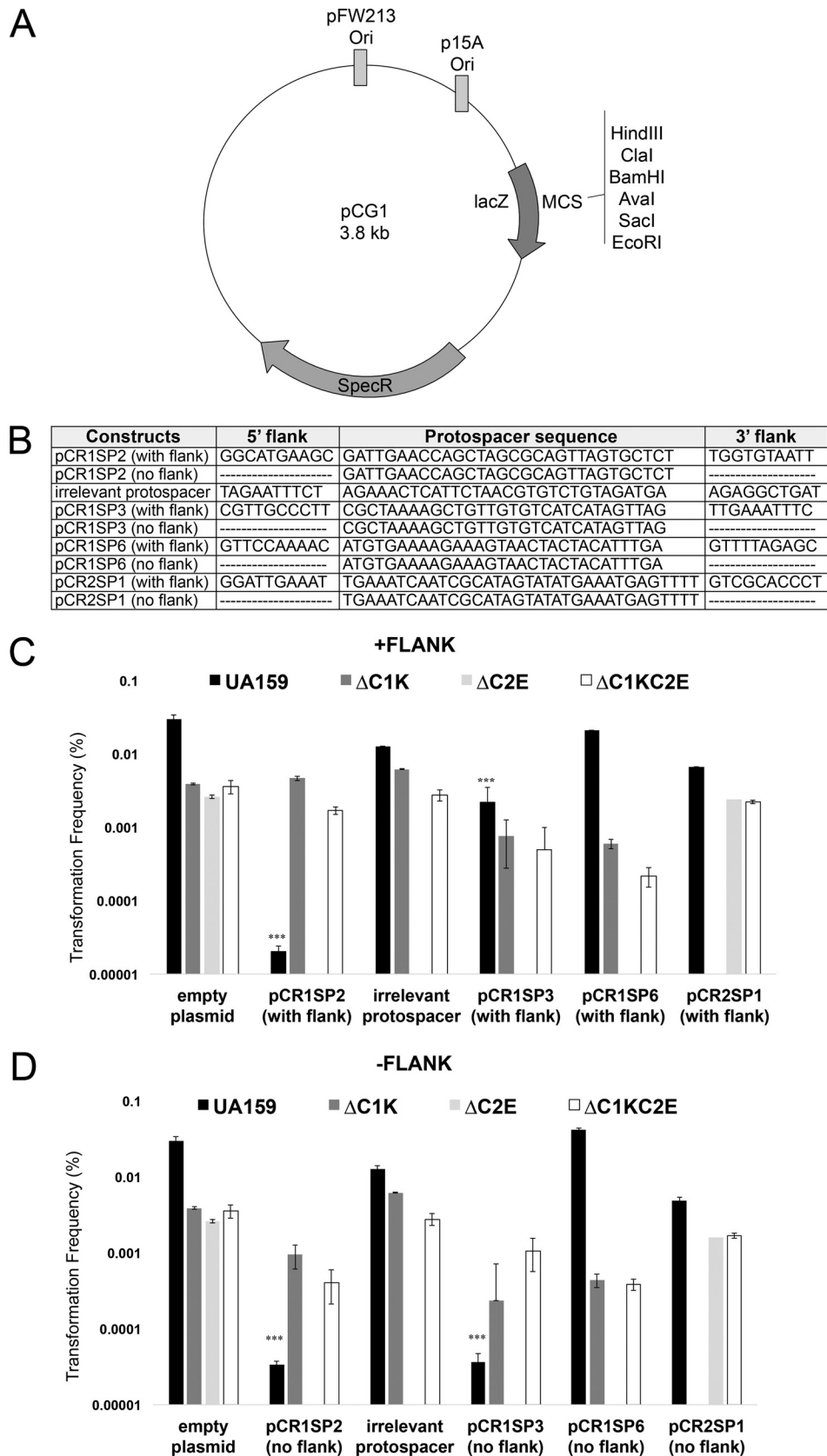


FIG 2 The CRISPR1-Cas system of *S. mutans* UA159 provides immunity against plasmid transformation. (A) Schematic representation of cloning vector pCG1 used for the construction of plasmids for the transformation interference assay. Plasmids for interference assays were produced by inserting a protospacer and 10 nt on both sides of the protospacers into pCG1 plasmid. (B) pCG1 constructs containing potential targets for different *S. mutans* UA159 spacers (spacers 2, 3, and 6 within CRISPR1 array and spacer 1 within CRISPR2 array). pCG1 derivatives in the presence (C) or absence (D) of flank sequences were tested by natural transformation assays using the wild-type *S. mutans* UA159, Δ C1K, Δ C2E, and Δ C1SC2E strains. The transformation frequency was calculated as the transformant CFU divided by the total number of viable cells. The results shown are representative of at least two independent experiments. ***, constructs showing targeting phenotype.

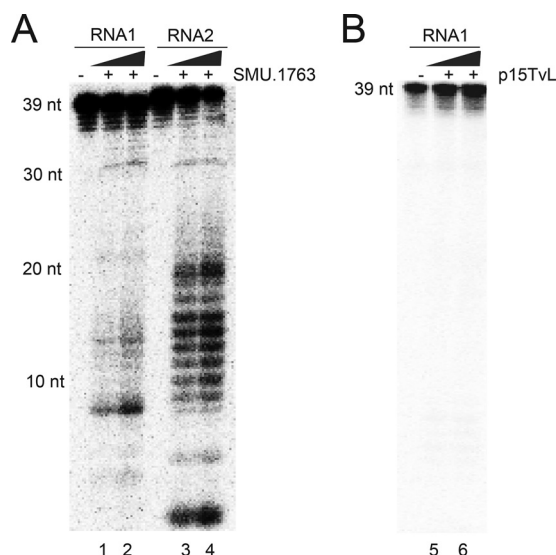


FIG 3 Cleavage of the synthetic ssRNA substrates by the Cas5d protein from *S. mutans* UA159. 5'-³²P-labeled RNA1 or RNA2 (0.05 μ M) was incubated in the absence or in the presence of 100 ng (lanes 1 and 3) or 200 ng of Cas5d (SMU.1763c) (lanes 2 and 4) or in the presence of 100 or 200 ng of purification product obtained from *E. coli* cells transformed with an empty p15TvL vector (lanes 5 and 6) at 37°C for 30 min in the presence of 50 mM Tris-HCl (pH 7.0), 5 mM MnCl₂, 100 mM KCl, and 1 mM DTT. Reaction products were separated on a 15% PAGE-8 M urea gel and visualized by phosphorimaging. 39-nt RNA1 and 40-nt RNA2 were prepared by using the oligonucleotides 5'-AAA UACGUUUUCUCAUUGUCAUAUUGCGCAUAAGUUGA and 5'-UUUC AAUUCUUUUAGGAUUAUCUUGAAGAUAGAGUUA, respectively.

on the protospacer tested. For example, the protospacer from M102 phage with 86% identity to the CRISP2 spacer caused an ~1,000-fold inhibition of transformation while the protospacer from M102 phage with 100% identity to CRISP3 resulted in only ~10-fold inhibition. Intriguingly, despite the previously demonstrated importance of the PAM sequence in interference in type II-A systems (35, 68, 69, 72, 73), protospacers matching CRISPR spacers 2 and 3 cloned without any flanking sequences, consistently failed to yield transformants, indicating that they likely elicited CRISPR interference (Fig. 2D). Transformation frequency of Δ C1K and Δ C1KC2E in the presence of plasmids targeting CRISP2 was restored to that of empty vector, as well as in the presence of CRISP3, though to a lesser extent, indicating that the CRISPR1-Cas function was abolished by deletion of *cas* genes.

Purified recombinant SMU.1763c (Cas5d) has RNase activity. Cas5d protein belongs to the subtype I-C/Dvulg CRISPR-Cas system, and recent work provided evidence that pre-crRNA processing, which is the key molecular event that initiates the CRISPR interference, is mediated by the Cas5d protein which, after the maturation process, assembles with crRNA, Csd1, and Csd2 proteins to form an interference complex (18). To investigate whether SMU.1763c (Cas5d) in *S. mutans* UA159 is capable of cleaving the RNA, the protein was overexpressed in *E. coli*, and purified protein was assayed for nuclease activity in a dose-dependent manner using the ³²P-labeled single-stranded (ss) synthetic oligoribonucleotides as the substrates. As shown in Fig. 3A, purified SMU.1763c cleaved ssRNA substrates into small fragments. A control protein fraction purified from *E. coli* cells containing the empty plasmid was used to confirm that the observed RNase activity was associated with SMU.1763c and not with contaminating *E. coli* RNases

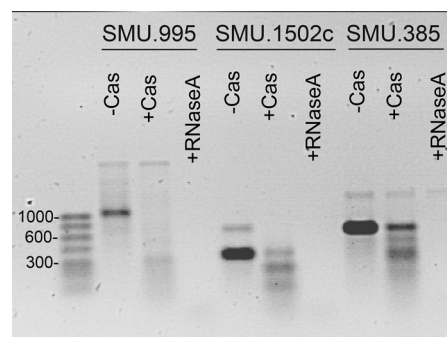


FIG 4 Cas5d (SMU.1763c) cleavage of RNA transcripts of SMU.995 and SMU.1502c generated by *in vitro* transcription.

(Fig. 3B). Although the purified SMU.1763c was >95% pure (see Fig. S2 in the supplemental material), at this stage we cannot exclude copurification of contaminant proteins, and we will address this in future work using site-directed mutagenesis. Similar results were obtained when the DNase-treated RNA from UA159 was used as a substrate (data not shown). These results indicate that SMU.1763c protein is a RNase with activity against ssRNA.

SMU.1763c cleaves cellular RNAs. To identify potential RNA substrates targeted and cleaved by the SMU.1763c protein, we used RNAs from mid-exponential-phase cells of *S. mutans* UA159 in the presence (experimental) or absence (control) of this protein. DNase-treated RNA samples were converted to cDNA and used for global microarray analysis. Five transcripts were down-regulated >1.8-fold by the addition of Cas5d protein ($P < 0.05$) (see Fig. S3 in the supplemental material), and four were confirmed as significantly reduced by qRT-PCR ($P < 0.05$), including a putative ABC transporter (SMU.995), a putative cell envelope protein (SMU.246c), and two hypothetical proteins (SMU.1502c and SMU.2075c). To confirm the ability of SMU.1763c to specifically target these substrates, we performed “*in vitro*” transcription analysis using full-length DNAs of SMU.995, SMU.1502c, and SMU.385 (a random substrate from UA159) and T7 phage RNA polymerase. SMU.1763c cleaved all targets, including the control SMU.385, suggesting that Cas5d did not exhibit sequence specificity in its RNA cleavage activity as anticipated from the microarray experiment (Fig. 4). It is possible that RNAs that appear as differentially regulated in the presence of Cas5d were more accessible to RNase activity due to their abundance or their location on the genome.

Cas proteins are involved in sensitivity to DNA damage. Cas1 and Cas2 proteins have been predicted to be involved in DNA repair (74). Recent work in *E. coli* demonstrated that a mutant deficient in Cas1 had a DNA repair-deficient phenotype (36). Since proteins encoded by SMU.1403c, SMU.1404c, SMU.1754c, SMU.1753c, SMU.1755c, and SMU.1757c within CRISPR-Cas systems display sequence similarity to the Cas1 and Cas2 family proteins (see Table S3 in the supplemental material), we tested *cas* deletion mutants under DNA-damaging conditions to evaluate their putative roles in DNA repair. Hence, we examined the survival of *S. mutans* UA159 and mutant strains under DNA-damaging conditions induced by 0.05 μ g mitomycin C (MMC)/ml or UV irradiation. The survival of Δ C1S, and Δ C1SC2E cells was drastically altered when exposed to MMC ($P < 0.005$) or UV irradiation ($P < 0.005$) relative to the wild-type strain, suggesting

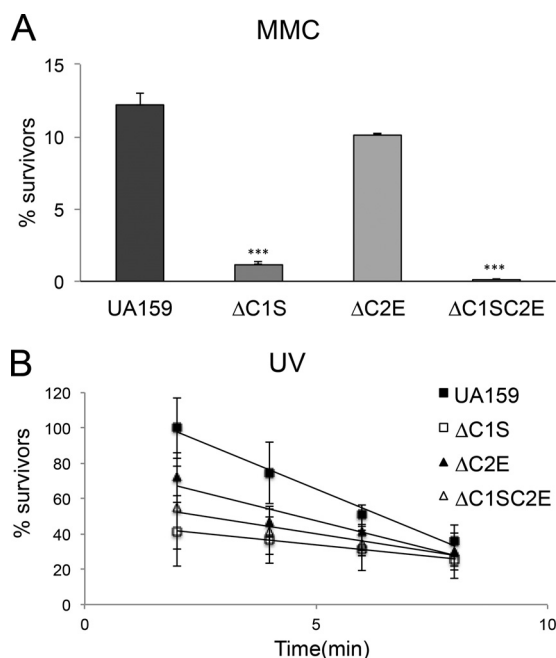


FIG 5 Effects of MMC or UV irradiation on viability of *S. mutans* UA159 and mutant strains. (A) *S. mutans* UA159, as well as Δ C1S, Δ C2E, and Δ C1SC2E strains, was exposed to 0.050 μ g of MMC/ml for 1 h. The results shown are representative of at least two independent experiments. Statistical analyses were performed using the Student *t* test (***, $P < 0.005$). (B) Actively growing cells of UA159 and its mutant strains were exposed to UV irradiation for 2, 4, 6, and 8 min. The results here represent the average of two independent experiments \pm the standard errors. The differences were statistically significant ($P < 0.005$; $P < 0.05$ [Student test]).

a role in DNA repair (Fig. 5). Further, to validate that our phenotypic changes were caused only by the lack of *cas* genes, we carried out qRT-PCRs on their downstream genes. Deletion of *cas* genes within CRISPR1 (Δ C1S strain), CRISPR2 (Δ C2E strain), and CRISPR1 + 2 (Δ C1SC2E) had no polar effects on the downstream genes, as judged by expression analysis using UA159 and mutant strains (see Fig. S4 in the supplemental material). Together, our results suggested a role for these proteins in conferring protection to DNA damaging agents.

Δ C1S responds to oxidative, SDS, acid, and high-temperature stressors. Since previous transcriptome studies in *S. mutans* linked CRISPR-Cas systems with environmental stress tolerance (50–55), we monitored growth kinetics of UA159 and *cas* deficient mutants under low pH (5.5), H₂O₂ (0.003%), SDS (0.004%), paraquat (25 mM), NaCl (0.4 M), and ethanol (2%), using an automated growth reader. Δ C1S strain grew faster under low pH and had a higher yield compared to the wild-type strain, suggesting that *cas* genes associated with the CRISPR1 locus have a role in the acid tolerance of *S. mutans* (Fig. 6A). The Δ C1S strain was impaired in its ability to tolerate stresses induced by paraquat, H₂O₂ and SDS, suggesting the Δ C1S played a role in responding to intracellular oxidative stress (paraquat), extracellular oxidative stress (H₂O₂), and cell membrane stress (SDS) (Fig. 6B and C). In the presence of other stresses induced by NaCl and ethanol, the Δ C1S mutant grew similarly to UA159 (data not shown). Although the Δ C2E mutant did not reveal drastically altered growth rates compared to wild-type UA159 strain under any of the environmental stressors tested (see Fig. S5 in the supplemental mate-

rial), Δ C1SC2E mutant displayed growth phenotypes similar to those of the Δ C1S strain (data not shown). Under high-temperature stress, the survival of all mutant strains was impaired ($P \leq 0.05$) compared to the wild type, suggesting that *S. mutans cas* genes have a role in temperature stress tolerance (Fig. 7). Since the double mutant displayed sensitivity higher than either of the single mutants, it is possible that both CRISPR-Cas systems work cooperatively or sequentially to combat temperature stress.

The *S. mutans cas* genes are transcriptionally regulated by the two-component regulatory system VicR/VicK. Search of the promoter regions located upstream of the CRISPR1 *cas* and CRISPR2 *cas* genes revealed the presence of putative binding sites for the VicR response regulator protein on their expression. To test the regulatory role of the VicR/K system in modulating the activity of *cas* genes, we performed qRT-PCR using cDNAs isolated from a VicK-deficient mutant (SmuvicK) and UA159 strains and examined the expression of two candidate genes from each CRISPR operon. High expression levels of *cas* genes from both CRISPR operons were observed in UA159 cells, suggesting that these genes are being expressed under mid-log-growth phase. Loss of VicK caused >2-fold downregulation of SMU.1753c and SMU.1755c expression from the CRISPR2-Cas system, suggesting that VicK mediated a positive regulatory role on their expression (Fig. 8A). Conversely, SMU.1403c and SMU.1404c from the CRISPR1-Cas system were 2-fold upregulated by *vicK* deletion, suggesting that VicK mediated a negative regulatory role on their expression (Fig. 8B). Hence, it is possible that VicR/K differentially regulates CRISPR systems to prevent or reduce their simultaneous expression.

DISCUSSION

S. mutans is one of several bacterial species known to be competent for horizontal gene transfer via natural transformation. Only a few phages are known to infect *S. mutans* and transformation is the key process used by *S. mutans* to acquire exogenous DNA. Frequent horizontal gene transfer occurs in *S. mutans* to promote homology-based DNA repair, genetic diversity, or other functions. However, the mechanisms that regulate the transfer, uptake, and recombination of incoming DNA in naturally transformable *S. mutans* are still poorly understood. Consistent with previous findings (45), we demonstrated that in *S. mutans* UA159, CRISPR-Cas systems do not play a prominent role in acquired resistance to M102 phage infection. Based on the high variability of the CRISPR spacers (including M102 sequences) between *S. mutans* serotype c (45), it is unlikely that CRISPR-Cas systems would be so widespread if they were unable to provide adaptive protection to their hosts. Probably, *S. mutans* has a variety of natural phage resistance mechanisms, including restriction/modification systems and/or CRISPR-Cas systems to target diverse steps of the phage life cycle to prevent M102 phages from attacking these genomes (48, 75).

We also revealed that the native type II-A CRISPR1-Cas system of *S. mutans* UA159 is important for preventing natural transformation via plasmid DNA. Using transformation assays, pCRISP2 and pCRISP3 constructs which contained protospacer sequences matching spacers SP2 (86% sequence identity to M102) and SP3 (100% identity to M102) in the CRISPR1 locus yielded drastically reduced TF compared to that of the empty plasmid. Consistent with previous reports, we found that CRISPR1-Cas machinery can tolerate a few nucleotide mismatches between spacer and proto-

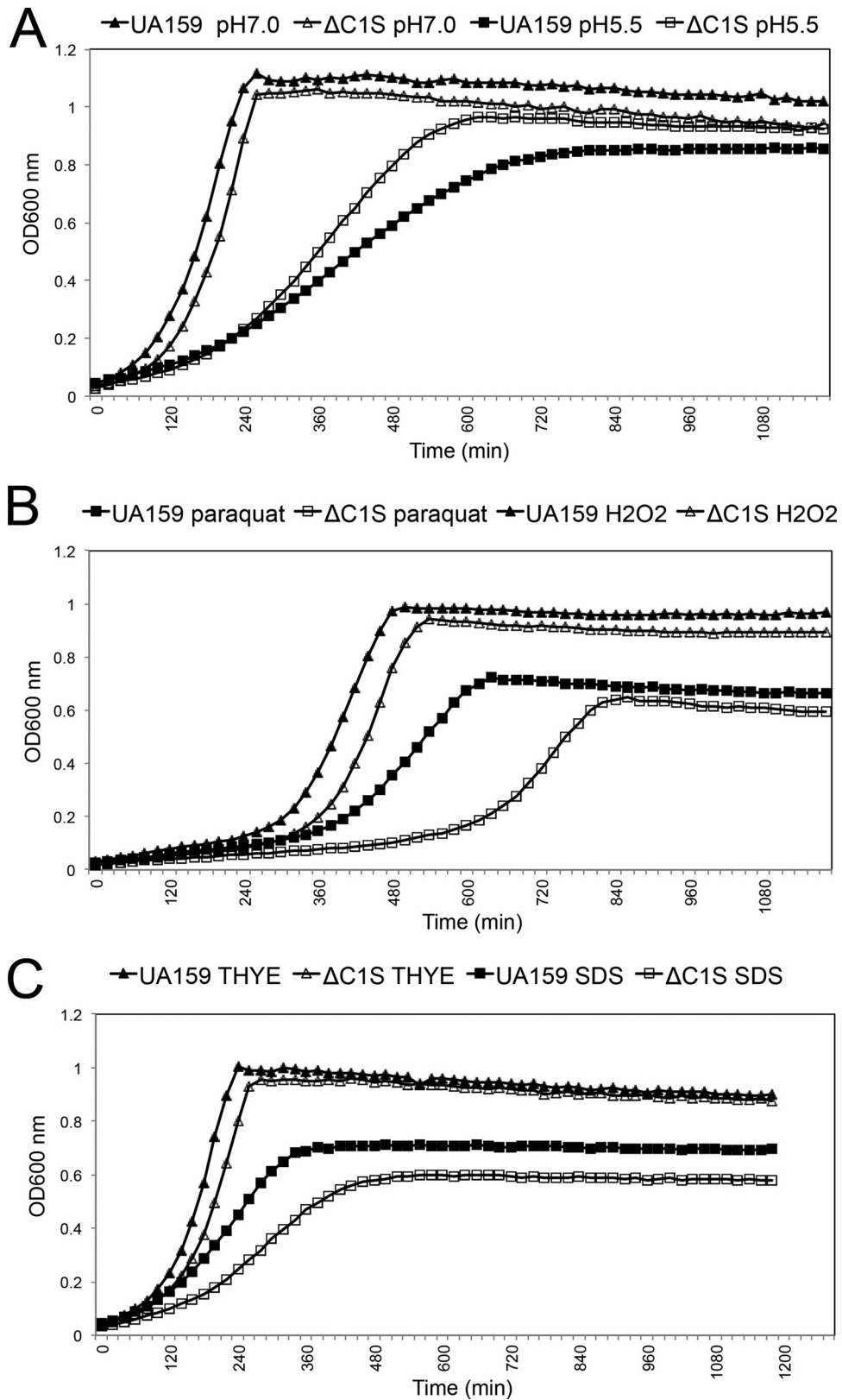


FIG 6 Growth kinetics of *S. mutans* UA159 and Δ C1S under various stressors: pH 7.0 or 5.5 (A), 25 mM paraquat or 0.003% H₂O₂ (B), and THYE or 0.004% SDS (C). Each point represents the average of four independent optical density values per sample. These results shown are representative of two independent experiments conducted with the mutant and UA159 parent strain.

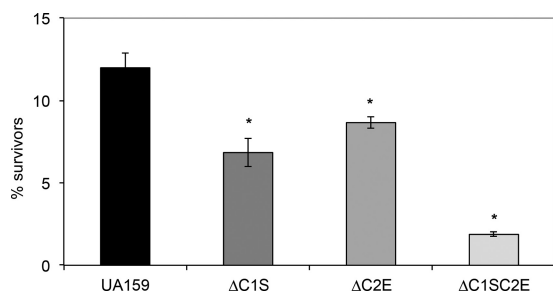


FIG 7 Survival of *S. mutans* UA159 and mutant strains after exposure to 50°C temperature stress for 1 h. The results represent mean CFU counts \pm the standard deviations. The differences were statistically significant ($P \leq 0.05$, Student *t* test). These results shown are representative of two independent experiments conducted with the mutants and UA159 parent strain.

spacer at certain positions (23, 67, 68, 76, 77). As previously observed (23, 71), the degree of inhibition of transformation varied widely depending on the protospacer tested. The UA159 strain could be transformed with the protospacer with no mismatch (pCRISP3) at higher frequencies than the protospacer with mismatches (pCRISP2), suggesting that the CRISPR-Cas machinery is more permissive for pCRISP3. Although it is still unclear, it might reflect a weak or altered interaction between the CRISPR-Cas system and plasmid DNA; however, that has yet to be elucidated. Intriguingly, effective interference was not observed with pCRISP6 and pCR2SP1 constructs (100% identity to a *S. mutans* GS-5 spacer and 100% identity to a *S. mutans* LJ-23 spacer, respectively), possibly suggesting that crRNA transcripts complementary to these targets are only weakly expressed to produce interference. Since UA159 contains only one CRISPR2 spacer, further investigations are warranted to confirm that the observed phenotype is explained by the function of the CRISPR2-Cas machinery and rule out the lack of effective interference activity due to *cas* gene mutations (45, 47). Surprisingly, the presence or absence of flanking sequences within pCRISP2 and pCRISP3 had no effect on the ability to interfere with plasmid transformation. These results contrast to those data obtained using *in vitro* plasmid cleavage assays, where dual-tracrRNA/crRNA-guided Cas9 from *S. mutans* could efficiently cleave target DNA in the presence of a NGG sequence (70). Consistent with our findings, it was also observed in *Streptococcus thermophilus* that plasmids carrying protospacers associated with consensus or with nonconsensus (degenerate) PAMs could not be transformed into the corresponding plasmid-interfering strains, whereas phages carrying the degenerative PAMs could infect the matching phage-insensitive mutants (23, 72). These researchers suggested that the tolerance of PAM degeneracy for CRISPR-Cas function could be due to the lower selective pressure for plasmids compared to phages. Such an activity could theoretically produce a lower level of TF in the presence or absence of flanking sequences observed in our study. Alternatively, the presence of plasmids inside the cell could increase the expression of Cas proteins, reflecting higher interference activity that might not require a PAM site (78).

Using purified recombinant protein, we also demonstrated that SMU.1763c possesses RNase activity against synthetic oligoribonucleotides and total RNA extracted from *S. mutans* UA159. Further, based on our *in vitro* transcription and DNA microarray studies, SMU.1763c had no obvious sequence preference in RNA

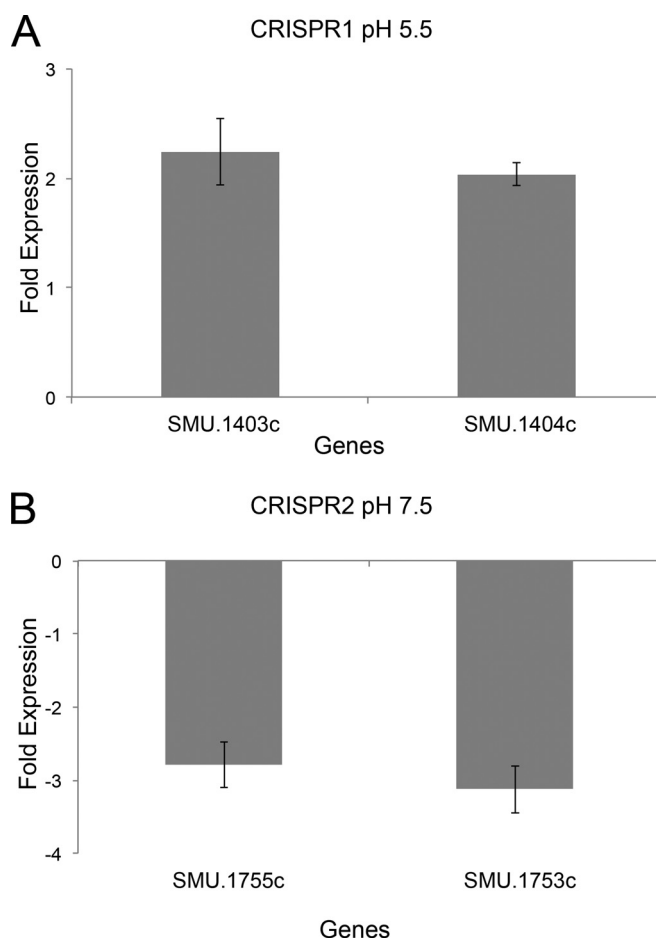


FIG 8 Expression of *cas* genes from the CRISPR1 (A) and CRISPR2 (B) operations. RNA analysis from mid-logarithmic-phase cultures of *S. mutans* UA159 and SmuVicK grown under regular or acidic conditions. The results are the averages of triplicate samples from three independent experiments \pm the standard errors.

cleavage. Recently, it was also reported that Cas5d ortholog from *B. halodurans* cleaves pre-crRNA by recognizing both the hairpin structure and the 3' single-stranded sequence in the CRISPR repeat region (18). Based on these findings and the fact that we were not able to identify sequence specificity in SMU.1763c cleavage, we speculate that RNA secondary structure elements such as stem-loop are required for SMU.1763c to process RNA substrates in a sequence- and site-specific manner.

Consistent with previous studies (36, 79), we found that the CRISPR1 *cas*-deficient mutant exhibited enhanced sensitivity to killing by MMC (which inhibits growth by causing DNA cross-linkage) (80) or UV irradiation (inhibits growth by causing bulky DNA lesions). In addition, the CRISPR2 *cas*-deficient mutant was not sensitive to DNA damage, a finding which is in perfect agreement with previous bioinformatic work where Cas1 associated with CRISPR2 appeared to be truncated (45, 47). *S. mutans* possesses several DNA repair systems to support functions related to DNA protection or repair: RecA (81), apurinic-apyrimidinic endonuclease (82) or a UV repair excinuclease (*uvrA*) (83). The nucleotide excision repair (NER) has been shown to be the major system for repairing damaged DNA caused by UV light and geno-

toxic agents such as MMC (83). Although Cas components of the CRISPR1-Cas system possibly act in the NER pathway in response to DNA damage caused by environmental stress, their specific role in this repair pathway remains to be elucidated.

Further, our transcriptional analysis identified that the VicR/K system modulates the expression of *S. mutans cas* genes. The VicR/K system, one of 14 two-component signal transduction systems (TCSTs) in *S. mutans* (66, 75, 84), is comprised of a VicK histidine sensor kinase and an essential VicR response regulator. It was previously shown to be involved in biofilm formation, genetic competence, stress tolerance, bacteriocin production, and cell viability (51, 56, 66, 85). Based on our finding that VicR/K modulates the expression of *cas* genes, a role of CRISPR-Cas systems in contending with various environmental stressors was not surprising. In *S. mutans* UA159 it has been proposed that dual crRNA/tracrRNA participates in type II-A CRISPR function (22); therefore, it raised the question as to whether the entire CRISPR1-Cas system is necessary to mediate the stress responses observed for the Δ C1S mutant. Using Northern blot analysis, the expression of the tracrRNA under stress conditions was noted for the wild type (see Fig. S6 in the supplemental material). Although our observations may indicate that tracrRNA possibly with crRNA mediates stress response *in vivo*, our assumption warrants further investigations. We also noted that Δ C2E did not share the same sensitivity to the tested stressors as that seen with the Δ C1S mutant, suggesting that CRISPR1 *cas* and CRISPR2 *cas* genes are differentially regulated to function independently within the environment. In fact, this is the case in *S. thermophilus* where CRISPR-Cas systems were observed to function independently (86). Furthermore, several transcriptome studies revealed that deletion of virulence or global regulatory genes of *S. mutans* (including genes involved in stress response) differently affected transcription of *cas* genes within CRISPR-Cas systems, suggesting different roles for *cas* genes within the cell (50, 54, 87). As already proven in other systems (88–91), it is possible that different regulatory systems, in addition to VicR/K, interact with *S. mutans* CRISPR-Cas systems to mediate gene expression in response to cues such as oxidative stress and cell membrane changes or alterations in the internal pH of the cell. The presence of diverse and complex regulatory strategies to modulate the CRISPR-Cas activity might also explain why some phenotypes displayed by Δ C1S and Δ C2E are not compatible with those of a VicK mutant as shown previously (51). Currently, studies are under way to examine whether VicR exerts a direct regulatory role on the transcription of CRISPRs by binding to their respective promoters. Examination of other regulatory systems in *S. mutans* on CRISPRs transcription and function would be of interest.

In summary, our data provide the first experimental evidence that the CRISPR1-Cas system of *S. mutans* UA159 play novel roles in resistance against incoming plasmids that carry matching protospacer sequences and stress response. Given their multiple roles in the cell physiology, the type II-A system may prove to be useful target for therapeutics to diminish the virulence and also to influence *S. mutans* species to prevent the uptake and dissemination of antibiotic resistance genes.

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