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# Anxiety and Health-Related Quality of Life Among Patients With Low–Tumor Burden Non-Hodgkin Lymphoma Randomly Assigned to Two Different Rituximab Dosing Regimens: Results From ECOG Trial E4402 (RESORT)

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# A B S T R A

### Purpose

The purpose of this study was to compare illness-related anxiety among participants in the Rituximab Extended Schedule or Retreatment Trial (RESORT) randomly assigned to maintenance rituximab (MR) versus rituximab re-treatment (RR). A secondary objective was to examine whether the superiority of MR versus RR on anxiety depended on illness-related coping style.

СТ

### **Patients and Methods**

Patients (N = 253) completed patient-reported outcome (PRO) measures at random assignment to MR or RR (baseline); at 3, 6, 12, 24, 36, and 48 months after random assignment; and at rituximab failure. PRO measures assessed illness-related anxiety and coping style, and secondary end points including general anxiety, worry and interference with emotional well-being, depression, and health-related quality of life (HRQoL). Patients were classified as using an active or avoidant illness-related coping style. Independent sample *t* tests and linear mixed-effects models were used to identify treatment arm differences on PRO end points and differences based on coping style.

#### Results

Illness-related anxiety was comparable between treatment arms at all time points (P > .05), regardless of coping style (active or avoidant). Illness-related anxiety and general anxiety significantly decreased over time on both arms. HRQoL scores were relatively stable and did not change significantly from baseline for both arms. An avoidant coping style was associated with significantly higher anxiety (18% and 13% exceeded clinical cutoff points at baseline and 6 months, respectively) and poorer HRQoL compared with an active coping style (P < .001), regardless of treatment arm assignment.

### Conclusion

Surveillance until RR at progression was not associated with increased anxiety compared with MR, regardless of coping style. Avoidant coping was associated with higher anxiety and poorer HRQoL.

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# INTRODUCTION

Non-Hodgkin lymphoma (NHL) is the sixth most common cancer in the United States<sup>1</sup> and often managed as a chronic disease as a result of high long-term survival rates. Survivors of NHL live with their disease and treatment effects for years; therefore, health-related quality of life (HRQoL) is a central concern for clinical management. For patients with low tumor burden and intact HRQoL, potential benefits of treatment must be weighed against treatment toxicities that compromise HRQoL<sup>2-8</sup> and symptom burden associated with recurrence or active disease.<sup>8-10</sup> Elevated anxiety and fear of progression have been documented in survivors of NHL.<sup>11-14</sup> Survivors of NHL with indolent, incurable disease face unique challenges. Patients offered a watch-and-wait strategy may experience anxiety as a result of lack of active treatment, compounded by anxiety about inevitable disease

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progression. Alternatively, patients undergoing immune therapy or chemotherapy face HRQoL decrements associated with treatment.

Rituximab as a potential first-line treatment for NHL offers promise. Rituximab is well tolerated, with no measurable detriment to patient-reported HRQoL.<sup>15</sup> Patients with NHL randomly assigned to rituximab induction followed by maintenance rituximab (MR) every 8 weeks reported comparable physical well-being to patients randomly assigned to observation.<sup>6</sup> Rituximab may offer psychological benefit by providing a viable alternative to the watch-and-wait strategy, reducing anxiety and bolstering HRQoL. Patients with NHL receiving MR reported feeling more in control of their disease, less worry, and less illness-related anxiety compared with patients randomly assigned to observation.<sup>16</sup> This presents a clinical challenge regarding whether MR is better than the watch-and-wait strategy given the adverse emotional effects of what patients may perceive as a passive approach to managing their disease with the watch-and-wait approach.

The Eastern Cooperative Oncology Group (ECOG) Rituximab Extended Schedule or Retreatment Trial (RESORT; E4402)<sup>17</sup> provided a unique opportunity to prospectively assess anxiety among a large sample of patients with indolent NHL, randomly assigned to MR every 3 months or rituximab re-treatment (RR) at progression. Primary trial results indicate no significant differences between MR and RR on time to treatment failure and disease-related outcomes.<sup>17</sup> The purpose of this study was to compare illness-related anxiety among

trial participants randomly assigned to MR versus RR. A secondary objective was to examine superiority of MR versus RR with regard to illness-related anxiety given participant coping style for managing illness (active v avoidant). We hypothesized that participants endorsing active coping would report less anxiety on MR compared with RR, because MR is the more active option. We further reasoned that participants reporting avoidant illness-related coping would report less anxiety on RR compared with MR because with RR they could more easily avoid worry about recurrence.

# **PATIENTS AND METHODS**

### Study Population

A subgroup of participants (N = 253) enrolled onto RESORT who achieved complete or partial response after 4-week rituximab induction therapy completed patient-reported outcome (PRO) measures. PRO end points were added 18 months after trial activation (November 2003). The study was approved by local human investigations committees at all participating institutions, all participants provided written informed consent, and research was conducted in accordance with the Declaration of Helsinki. All participants enrolled onto RESORT after May 2005 completed PROs. Figure 1 displays the study CONSORT diagram.<sup>18</sup> RESORT eligibility criteria included age  $\geq$  18 years, ECOG performance status of 0 to 1, stage III or IV (modified Ann Arbor staging) biopsy-proven NHL with no evidence of transformation to large-cell histology, and low tumor burden. Primary trial outcomes have been analyzed

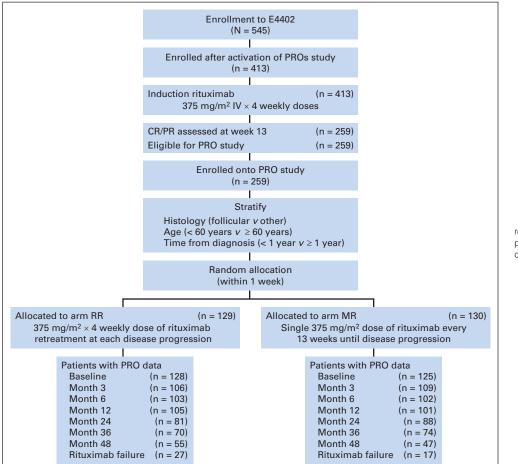


Fig 1. CONSORT diagram. CR, complete response; MR, maintenance rituximab; PR, partial response; PROs, patient-reported outcomes; RR, rituximab re-treatment.

separately for participants with follicular<sup>17</sup> and nonfollicular<sup>19</sup> NHL. No significant differences were observed on PRO measures between participants with follicular and nonfollicular NHL; therefore, results presented are based on the combined sample.

### Measures: PROs

PRO measures assessed illness-related anxiety and coping style (active  $\nu$  avoidant) and secondary end points including general anxiety, illness-related worry and interference with emotional well-being, depression, and overall HRQoL. We collaboratively designed this assessment with investigators from the Rituximab Versus Watch-and-Wait trial<sup>16</sup> to allow comparison of results. Reasons for not completing PROs were collected using a standardized form.

*Illness-related anxiety.* The 22-item Impact of Event Scale–Revised (IES-R)<sup>20</sup> is revised from the original 15-item Impact of Event Scale<sup>21</sup> and assesses subjective distress caused by traumatic events, such as illness. Per IES-R administration procedures, revised instructions directed participants to answer with respect to having lymphoma. IES-R subscales measure intrusive thoughts, avoidance of reminders of illness, and symptoms of hyperarousal. Items are rated on a 5-point Likert scale from 0 (not at all) to 4 (extremely), yielding a total score of 0 to 88. Higher scores represent greater illness-related anxiety. Analyses of subscale scores yielded similar findings to the IES-R total score, so the latter is reported.

*Illness-related coping style.* Four items were written for this protocol to evaluate illness-related coping style (active  $\nu$  avoidant) specific to managing lymphoma, as recommended by coping experts.<sup>22</sup> Two items assessed whether medical care reduced anxiety as a result of increased feelings of control (active illness-related coping style). Two questions assessed the degree to which receiving medical care increased anxiety as a reminder of illness (avoidant illness-related coping style). Participants endorsed items using a 5-point Likert scale from 0 (not at all) to 4 (very much), using standard response options from the Functional Assessment of Cancer Therapy (FACT).<sup>23</sup>

*General anxiety and depression.* The Hospital Anxiety and Depression Scale (HADS)<sup>24,25</sup> was administered to measure general anxiety (seven items) and depression (seven items). Subscales are scored separately. Total scores for each subscale range from 0 to 21, and higher scores represent greater anxiety or depression.

Illness-related worry and interference with emotional well-being. Nine items were administered to assess worry about the future as a result of illness and illness-related interference with emotional well-being (illness-related worry). Given targeted study aims, two FACT-Lymphoma<sup>26</sup> items and five items from a preliminary version of the National Institutes of Health Patient-Reported Outcomes Measurement Information System Illness Impact item bank<sup>27</sup> were selected. Two additional items were written for this study to assess fears about lymphoma progression. Internal consistency of these nine items was good across assessment time points (Cronbach's  $\alpha = .86$  to .89), so items were summed for analysis. The total score ranges from 0 to 36; higher scores represent greater worry about the future and distress.

*Loss of control.* Three items from the Mental Adjustment to Cancer Scale<sup>28,29</sup> were administered to assess the extent to which patients reported feeling a loss of control over their cancer. The total score ranges from 0 to 9; higher scores represent feeling less control.

*HRQoL.* The FACT–General (FACT-G)<sup>23,30</sup> is a 27-item assessment used to measure HRQoL, specifically, physical, functional, social, and emotional well-being. The FACT-G has previously been used to investigate HRQoL among patients with indolent lymphoma.<sup>31,32</sup> The total score ranges from 0 to 108, with higher scores indicating better HRQoL.

### Assessment Schedule

Participants completed PRO measures at the time of random assignment to MR versus RR. This occurred 3 months after registration on RESORT and after 4 weeks of induction rituximab. Herein, this is referred to as the baseline assessment for ease of interpretation, with the clarification that this assessment was administered after rituximab induction and before treatment random assignment. PRO measures were administered at 3, 6, 12, 24, 36, and 48 months after baseline. PRO measures were also completed at the time of rituximab failure, which was defined as any one of the following events: no response to rituximab, time to progression  $\leq 26$  weeks, initiation of alternative therapy, or inability to complete protocol therapy (as a result of adverse events, patient preference, or any other reason including death).

### Statistical Analysis

The primary end point was the difference between participants randomly assigned to MR versus RR on IES-R score change (illness-related anxiety) from baseline to 6 months after random assignment. Six-month assessment corresponded with the third scheduled dose of rituximab for participants randomly assigned to MR. A sample size of 180 patients (90 per arm) would yield 80% power to detect a 4.2-point difference (effect size, 0.42) between groups on IES-R change scores from baseline to 6 months, using two independent sample t test with a two-sided type I error of 0.05, assuming a common standard deviation of 10 for the score changes.

A hierarchical cluster analysis (Ward's linkage) of the four-item illnessrelated coping style measure was used to categorize participants as having an active illness-related coping style (active) or an avoidant illness-related coping style (avoidant). An IES-R score  $\geq$  33 was defined as clinically significant illness-related anxiety.<sup>20</sup> Scoring  $\geq$  11 on the HADS-Anxiety and HADS-Depression measures was defined as moderate-severe.<sup>24</sup> Patient characteristics were compared between treatment arms and coping style (active v avoidant) using the  $\chi^2$  test and Fisher's exact test. The proportion of clinically significant anxiety and depression was compared between coping styles using Fisher's exact test. PRO scores and change scores were compared between treatment arms and coping style using two independent sample t tests. Multivariable linear mixed-effects models with random intercept (repeated measures within single patients with unstructured covariance matrices) were fit using the maximum likelihood method to examine the time trend for PRO measures (time included as a continuous variable) and to estimate the average difference in PRO measures between treatment arms and illness-related coping style, after adjusting for other covariates, assuming that any missing data were missing at random. Separate multivariable linear mixed models tested whether the time trend for PRO measures was different between treatment arms (via treatmentby-time interaction term) and whether treatment arm differences depended on coping style (via treatment-by-coping style interaction term). Log-normal survival models were conducted as a sensitivity analysis to analyze longitudinal data that incorporated the potential nonignorable censoring mechanism. The same covariates were adjusted in all models, including age, sex, ECOG performance status, serum lactate dehydrogenase level, Follicular Lymphoma International Prognostic Index,<sup>33</sup> and modified Ann Arbor stage. No multicollinearity was found among these covariates using the variance inflation factor method.

No adjustment was made for multiple comparisons. All *P* values were two-sided, and P = .05 was considered statistically significant. STATA version 11.2 (StataCorp, College Station, TX) was used for all analyses.

# RESULTS

# Study Population

RESORT participants who completed PROs (N = 253; Table 1) were a mean of 59.7 years old (SD, 11.6 years), were predominantly white (n = 241, 97.6%), and mostly had an ECOG performance status of 0 (n = 215, 85.0%). The majority of patients had follicular lymphoma (n = 210, 83.0%). Participants were balanced between treatment arms with regard to demographic and medical characteristics. Participants who completed PROs were similar to those on RESORT who did not complete PRO assessment (n = 292; Table 1) with regard to demographic and medical characteristics at study entry in the 253 patients with PRO data (48.6%  $\nu$  64.5%, respectively).

Table 1. Patient Dem Participants (								0	
	Pa	tients V	Vith P	RO	E4402 Whole Population (N = 545)				
		Data (n Arm	= 253		Wit	ients thout ) Data	With	ients n PRO ata	
Demographic or Clinical		= 128)	<u> </u>	125)		= 292)		253)	
Characteristic	No.	%	No.	%	No.	%	No.	%	
years									
Mean SD		9.29 1.2		.14 2.0		.58 .75		9.71 .58	
Sex	FO	45.0	FC	44.0	145	40.7	111	45.1	
Male Female	58 70	45.3 54.7	56 69	44.8 55.2	145 147	49.7 50.3	114 139	45.1 54.9	
Race	70	01.7	00	00.2	117	00.0	100	01.0	
White	122	98.4	119	96.0	275	95.5	241	97.8	
Black	1	0.8	2	2.0	10	3.5	3	1.1	
Other Ethnicity	1	0.8	2	2.0	3	1.0	3	1.1	
Hispanic	1	0.8	2	1.6	6	2.3	3	1.3	
Non-Hispanic	112	97.4	113	97.4	244	94.2	225	97.4	
Unknown	2	1.6	1	0.8	9	3.5	3	1.3	
ECOG PS									
0 1	106 22	82.8 17.2	109 16	87.2 12.8	241 50	82.8	215 38	85.0 15.0	
LDH	22	17.2	10	12.8	50	17.2	38	15.0	
Normal	111	88.8	100	82.0	251	87.2	211	85.4	
High	14	11.2	22	18.0	37	12.9	36	14.6	
Prior treatment									
No	128	100.0	124	99.2	291	100.0	252	99.6	
Yes FLIPI score	0	0.0	1	0.8	0	0.0	1	0.4	
0	1	0.8	1	0.8	4	1.4	2	0.8	
1	14	10.9	16	12.8	48	16.5	30	11.9	
2	64	50.0	61	48.8	120	41.2	125	49.4	
3	41	32.0	37	29.6	91	31.3	78	30.8	
4 5	5 3	3.9 2.3	10 0	8.0 0.0	23 5	7.9 1.7	15 3	5.9 1.2	
Disease status at	0	2.0	0	0.0	0	1.7	0	1.2	
registration									
Initial diagnosis	126	98.4	122	97.6	285	97.9	248	98.0	
Relapsed Refractory	1 1	0.8 0.8	3 0	2.4 0.0	6 0	2.1 0.0	4	1.6 0.4	
Modified Ann Arbor stage at study		0.0	0	0.0	0	0.0		0.1	
entry	2	1.0	2	1.0	2	1.0	4	1.6	
I-II III	2 70	1.6 47.7	2 56	1.6 40.0	3 100	1.0 29.3	4 126	1.6 43.9	
IV	56	43.8	67	53.6	187	64.5	123	48.6*	
Stratification factor: histology									
Follicular	97	85.8	94	82.5	—	—	—	—	
Other Stratification factor:	16	14.2	20	17.5	_	_	—	_	
time from diagnosis, years									
< 1	106	93.8	106	93.0	—	—	—	_	
$\geq 1$	7	6.2	8	7.0	_	—	—	_	

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; FLIPI, Follicular Lymphoma International Prognostic Index; LDH, lactate dehydrogenase; MR, maintenance rituximab; PRO, patient-reported outcome; RESORT, Rituximab Extended Schedule or Retreatment Trial; RR, rituximab re-treatment; SD, standard deviation.

\*For comparison between the 253 patients with PRO data and the 292 patients without PRO data, P < .001 for comparison of proportion of stage IV disease between groups, and P > .05 for all other variables. For comparison between the two arms among the 253 patients, P > .05 for all variables.

### **Rates of PRO Data Collection**

PRO questionnaires were available from 253 patients at baseline (92% of RESORT participants; Fig 1), 215 patients (78%) at month 3, 205 patients (74%) at month 6, and 206 patients (75%) at month 12. Rates of missing PRO data were similar between treatment arms for all assessments. Patients who provided PROs at 6 months were comparable to patients with missing data at 6 months, with the exception of age and histology (Appendix Table A1, online only). All assessments combined, the main reasons for missing PROs were staff error (77%) and patient refusal or missed appointment (18%). Few patients missed assessments as a result of being too ill or death (3%).

# Differences Between Treatment Arms on Anxiety and HRQoL

Descriptive statistics for PRO measures at each time point are listed in Table 2. Illness-related anxiety (IES-R) was comparable between treatment arms at all time points (P < .05), and the difference between treatment arms did not exceed the clinically meaningful difference of 4 to 7 points estimated using a distribution-based method.<sup>34</sup> Results were similar for secondary end points, including general anxiety, illness-related worry and interference with emotional well-being, loss of control, depression, and overall HRQoL. Longitudinal trajectories of illness-related anxiety, general anxiety, and HRQoL scores were similar for patients receiving MR and RR (Fig 2). For both treatment arms, illness-related anxiety and general anxiety decreased over time. HRQoL scores were relatively stable for both treatment arms and did not change significantly from baseline, indicating that treatment was well tolerated (Fig 2C). Multivariable linear mixed-effects models showed similar results after adjusting for other covariates. The treatment-by-time interaction term was not significant in models for illness-related anxiety, general anxiety, and HRQoL (P > .5 for all; Appendix Table A2, online only), indicating a similar time trend in PRO scores in both arms after adjusting for other covariates. In the mixed-effects models without any interaction term, anxiety declined significantly with time (P < .001 for illness-related anxiety, P = .007 for general anxiety), whereas HRQoL was relatively stable (P = .297; Appendix Table A3, online only). The log-normal survival models produced similar results as the previously mentioned analyses after considering the possible informative censoring mechanism of the missing data (data not shown).

The magnitude of change on PROs from baseline to 6 months was similar for patients on MR and RR (Appendix Table A4, online only). The mean difference in FACT-G change scores (1.76 points) between treatment arms from baseline to 6 months was not statistically significant and below the estimated minimally important difference of 4.2 points.<sup>34</sup>

The majority of participants (n = 177, 70.0%) were classified as using avoidant illness-related coping. A higher proportion of participants classified as using active illness-related coping versus avoidant coping were randomly assigned to the MR arm (36.0%  $\nu$  24.2%, respectively; P = .041). Participant groups (active  $\nu$  avoidant) were balanced with regard to demographic and medical characteristics (data not shown). Figure 3 illustrates the trajectory of illness-related anxiety, general anxiety, and HRQoL scores by treatment arm, separated by illness-related coping style (active  $\nu$  avoidant). Overall, the difference between treatment arms did not vary by coping style, and the treatment-by-coping style interaction terms were not statistically

	145				t Each Time Poi				
			RR			MR	Difference		
Time Point and Subscale	Score Range*	No. of Patients	Mean Score	SD of Score	No. of Patients	Mean Score	SD of Score	in Mean Score	P ( test
Baseline									
IES-R	0-88	128	15.90	14.67	124	15.24	13.29	-0.66	.71
FACT-G	0-108	128	87.81	13.18	124	89.65	12.73	1.84	.28
IRW	0-36	127	11.66	7.58	125	10.41	6.71	-1.25	.19
HADS-Anxiety	0-21	126	4.27	3.72	123	4.31	3.90	0.04	.87
, HADS-Depression	0-21	126	2.00	2.38	124	2.17	3.12	0.17	.61
MAC	0-9	128	2.09	1.95	125	2.12	1.90	0.03	.83
3 months									
IES-R	0-88	105	14.65	12.37	109	14.83	13.95	0.18	.61
FACT-G	0-108	106	90.45	12.49	108	88.77	13.85	-1.68	.54
IRW	0-36	106	10.05	6.99	109	10.39	6.46	0.34	.81
HADS-Anxiety	0-21	103	3.64	3.54	105	4.61	4.38	0.97	.27
HADS-Depression	0-21	103	2.07	2.41	105	2.51	3.27	0.44	.48
MAC	0-9	103	2.07	2.41	105	2.04	1.94	-0.06	.40
6 months	0.0	104	2.10	2.10	100	2.04	1.04	0.00	.01
IES-R	0-88	103	14.44	13.50	102	13.78	12.08	-0.66	.43
FACT-G	0-88	103	88.86	13.50	102	88.43	12.08	-0.43	.43
IRW	0-36	103	10.06	6.80	101	10.08	6.84	0.02	.37
	0-30	98	3.87	3.67		4.42	4.17		
HADS-Anxiety	0-21	98 99		2.51	101 101	2.83		0.55 0.89	.46 .07
HADS-Depression			1.94				3.60		
MAC	0-9	102	2.19	2.25	102	2.30	1.99	0.11	.84
12 months	0.00	100	10.00	40.00	100	40.04	10.00	0.00	-
IES-R	0-88	102	13.33	13.26	100	13.01	12.39	-0.32	.56
FACT-G	0-108	105	88.72	13.11	100	89.59	16.41	0.87	.52
IRW	0-36	104	9.8	7.05	101	9.34	6.26	-0.46	.48
HADS-Anxiety	0-21	99	4.03	3.79	99	3.95	4.13	-0.08	.49
HADS-Depression	0-21	99	2.07	2.94	99	2.62	3.48	0.55	.27
MAC	0-9	102	2.34	2.02	100	2.17	2.13	-0.17	.31
24 months									
IES-R	0-88	79	12.31	11.93	88	11.92	11.99	-0.39	.63
FACT-G	0-108	81	89.37	13.28	88	89.84	14.48	0.47	.78
IRW	0-36	80	9.09	6.65	85	8.77	6.02	-0.32	.64
HADS-Anxiety	0-21	80	3.58	3.64	84	3.67	3.55	0.09	.96
HADS-Depression	0-21	80	1.91	2.90	84	2.38	3.34	0.47	.28
MAC	0-9	80	2.16	2.03	88	1.80	1.89	-0.36	.21
36 months									
IES-R	0-88	68	10.90	8.42	74	11.52	12.41	0.62	.99
FACT-G	0-108	70	89.73	13.48	72	89.50	14.75	-0.23	.90
IRW	0-36	68	10.16	6.45	73	9.09	6.45	-1.07	.28
HADS-Anxiety	0-21	66	3.48	3.33	72	3.62	3.95	0.14	.97
HADS-Depression	0-21	67	2.07	2.39	72	2.57	3.64	0.50	.39
MAC	0-9	69	2.46	2.17	72	2.01	1.90	-0.45	.17
48 months									
IES-R	0-88	55	11.10	10.32	47	11.44	11.92	0.34	.97
FACT-G	0-108	55	88.15	13.21	47	91.39	12.59	3.24	.24
IRW	0-36	54	9.13	6.08	47	9.48	6.04	0.35	.82
HADS-Anxiety	0-21	52	3.20	3.76	46	2.98	3.41	-0.22	.75
, HADS-Depression	0-21	53	1.73	2.22	46	2.00	3.13	0.27	.53
MAC	0-9	55	1.85	1.91	47	2.17	2.07	0.32	.60
Rituximab failure									
IES-R	0-88	26	13.30	14.65	17	18.06	4.78	4.76	.50
FACT-G	0-108	26	88.02	11.70	17	82.26	16.20	-5.76	.18
IRW	0-36	27	9.44	6.20	17	18.35	16.50	8.91	.29
HADS-Anxiety	0-21	27	4.11	3.52	17	11.24	8.22	7.13	.41
HADS-Depression	0-21	27	2.17	2.66	17	4.88	5.48	2.71	.4
MAC	0-21	27	1.69	2.00	17	4.00 3.76	5.33	2.07	.19

Abbreviations: FACT-G, Functional Assessment of Cancer Therapy–General; HADS, Hospital Anxiety and Depression Scale; IES-R, Impact of Event Scale–Revised; IRW, illness-related worry; MAC, Mental Adjustment to Cancer Scale; MR, maintenance rituximab; PRO, patient-reported outcome; RR, rituximab re-treatment; SD, standard deviation. \*For FACT-G, higher score indicates better health-related quality of life. For all other PROs, higher scores indicate worse outcome (more symptoms).

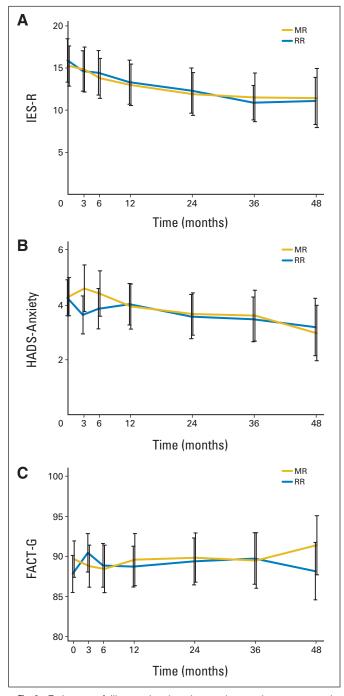
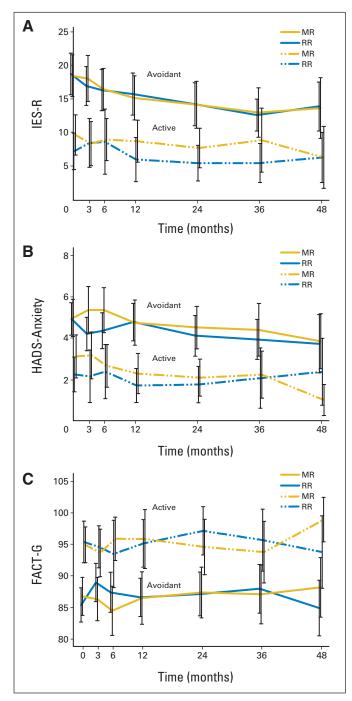


Fig 2. Trajectory of illness-related anxiety and secondary outcomes by treatment arm: (A) Impact of Event Scale–Revised (IES-R), (B) Hospital Anxiety and Depression Scale (HADS) –Anxiety, and (C) Functional Assessment of Cancer Therapy–General (FACT-G) scores. For IES-R, the total score ranges from 0 to 88, and higher scores indicate a higher level of illness-related anxiety. For HADS-Anxiety, the total score ranges from 0 to 28, and higher scores indicate a higher level of 28, and higher scores indicate a higher level of general anxiety. The black error bars indicate 95% CIs for the mean scores. For FACT-G, the total score ranges from 0 to 108, and higher scores indicate better quality of life. MR, maintenance rituximab; RR, rituximab re-treatment.

# significant in the multivariable linear mixed-effects models (Appendix Table A2).

On both treatment arms, participants classified as avoidant reported significantly higher illness-related anxiety (Fig 3A) and general



**Fig 3.** Trajectory of illness-related anxiety and secondary outcomes by treatment arm and coping style: (A) Impact of Event Scale–Revised (IES-R), (B) Hospital Anxiety and Depression Scale (HADS) –Anxiety, and (C) Functional Assessment of Cancer Therapy–General (FACT-G) score. For IES-R, the total score ranges from 0 to 88, and higher scores indicate a higher level of illness-related anxiety. For HADS-Anxiety, the total score ranges from 0 to 21, and higher scores indicate a higher level of general anxiety. The black error bars indicate 95% CIs for the mean scores. For FACT-G, total score ranges from 0 to 108, and higher scores indicate better quality of life. MR, maintenance rituximab; RR, rituximab re-treatment arm.

anxiety (Fig 3B) and poorer HRQoL (Fig 3C) than those classified as using active coping. Avoidant coping was also associated with greater worry about progression and loss of control over disease and higher depression (data not shown). Multivariable liner mixed effects models showed similar results for the comparison between coping styles (coefficient for coping style, 8.25 for IES-R; -2.26 for HADS-Anxiety; and 8.86 for HRQoL; P < .001 for all; Appendix Table A3).

# Proportion of Patients With Clinically Significant Anxiety and Depression

A significantly higher proportion of patients classified as using avoidant illness-related coping, compared with patients using active coping, exceeded the established clinical cutoff<sup>20</sup> on illnessrelated anxiety at baseline (17.6% v 4.0%, respectively; P < .01), 3 months (17.3% v 3.1%, respectively; P < .01), 6 months (12.5% v 3.3%, respectively; P < .05), and 24 months (10% v 0%, respectively; P < .05; Table 3). At 12 months, 10% of avoidant copers demonstrated evidence of moderate to severe<sup>24</sup> general anxiety (active, 0%; P < .05). At 36 and 48 months, differences between participants based on coping style were less pronounced. There was no significant difference between treatment arms for rates of clinically significant depression.

	Avoid	ant	Activ	P	
Time Point and PRO	No. of Patients	%	No. of Patients	%	(Fisher' exact test)
Baseline					
IES-R score $\geq$ 33	31	17.61	3	3.95	.004
HADS-Anxiety score $\geq$ 11	11	6.32	2	2.67	.234
HADS-Depression score $\geq$ 11	3	1.72	0	0.00	.250
3 months					
IES-R score $\geq$ 33	26	17.33	2	3.13	.005
HADS-Anxiety score $\geq 11$	14	9.72	2	3.13	.099
HADS-Depression score $\geq$ 11	5	3.45	0	0.00	.136
6 months					
IES-R score $\geq$ 33	18	12.50	2	3.28	.042
HADS-Anxiety score $\geq$ 11	17	12.14	2	3.39	.055
HADS-Depression score $\geq$ 11	6	4.26	1	1.70	.369
12 months					
IES-R score $\geq$ 33	14	9.72	2	3.45	.135
HADS-Anxiety score $\geq 11$	14	9.86	0	0.00	.015
HADS-Depression score $\geq 11$	9	6.34	0	0.00	.054
24 months					
IES-R score $\geq 33$	12	10.00	0	0.00	.024
HADS-Anxiety score $\geq$ 11	9	7.69	0	0.00	.051
HADS-Depression score $\geq$ 11	7	5.98	1	2.13	.300
36 months					
IES-R score $\geq$ 33	5	5.00	2	4.76	.952
HADS-Anxiety score $\geq 11$	4	4.17	0	0.00	.179
HADS-Depression score $\geq$ 11	5	5.16	0	0.00	.134
48 months	Ū	0.10	Ū	0.00	
IES-R score $\geq 33$	6	8.82	1	2.94	.268
HADS-Anxiety score $\geq 11$	3	4.69	1	2.94	.678
HADS-Depression score $\geq$ 11	2	3.08	0	0.00	.302
Treatment failure	2	0.00	0	0.00	.002
IES-R score $\geq 33$	5	16.13	0	0.00	.139
HADS-Anxiety score $\geq$ 11	5	15.63	0	0.00	.146
HADS-Depression score $\geq$ 11	2	6.25	1	8.33	.807

For most malignancies, progression events are associated with cancerrelated symptoms and/or shortened survival. As a result, strategies that delay disease recurrence (ie, maintenance) are generally considered beneficial for patients. Indolent NHL is unique, however. Progression events are typically not associated with disease-related symptoms or shortened survival. Why then, have maintenance strategies become so common in these diseases? It is probably because the agent used (rituximab) is extremely well tolerated and has been shown in several trials to improve progression-free survival.<sup>6,33,35</sup> Because it is commonly assumed that relapse will generate anxiety, it is reasonable to conclude that strategies that delay relapse (eg, maintenance) should diminish anxiety and improve HRQoL. Consistent with these assumptions are the results from an international trial comparing rituximab maintenance with a watch-and-wait approach for patients with asymptomatic low-tumor burden follicular lymphoma.<sup>16</sup> Patients receiving rituximab felt more in control of their disease, had less negative associations with hospital visits, and were less likely to avoid thinking about their disease.

The results from RESORT suggest that an alternative treatment strategy can produce a similar patient-centered outcome. In our study, patients randomly assigned to rituximab at progression reported a similar level of illness-related anxiety, general anxiety, and HRQoL compared with patients receiving MR every 3 months. This result holds even when taking illness-related coping style (active v avoidant) into account. Surveillance until RR at progression was not associated with increased anxiety compared with MR, even among participants who reported emotional benefit from receiving medical care.

We find these results instructive. Patients assigned to a watch-andwait strategy in the international trial faced the prospect of observation until their disease reached a high-tumor burden state and were then likely managed with immunochemotherapy. Patients assigned to observation and RR in the RESORT trial were to be immediately re-treated with single-agent rituximab at progression. Our data suggest that relapse is not automatically associated with anxiety, if the recurrence will be rapidly addressed with a well-tolerated therapy. The comparison of the two trials is appropriate, because the patient populations were similar and, by design, both trials used the same PRO measures.

We also found that patients who endorsed avoidant coping are at increased risk of illness-related anxiety, general anxiety, and lower HRQoL regardless of rituximab treatment schedule. These individuals may benefit from learning active strategies for managing anxiety and worry about progression. Psychosocial interventions, specifically cognitive-behavioral interventions, are highly successful in reducing anxiety and illness-related distress among survivors of cancer.<sup>36-40</sup> Minimizing the influence of patient anxiety on medical decision making will likely enhance patient-provider communication and optimize outcomes by reducing the risk of oversurveillance and overtreatment, a growing concern in oncology care.<sup>41,42</sup>

There are some limitations to our data. PRO measures were added 18 months after RESORT initiation, meaning not all patients participated. However, patients with PRO data had similar demographic and medical characteristics as the entire RESORT sample. Once added to RESORT, PRO measures were required from all participants to minimize selection bias. We recognize that missing PRO data at follow-up imposes a bias. We collected explanations for missing data to facilitate interpretation of results. Overall, the proportion of missing data was comparable to similar trials.

The RESORT results have significant implications for clinical care. For patients, not treating a malignant condition is counterintuitive.<sup>43</sup> However, for patients with follicular NHL, the RESORT trial has demonstrated that MR provides no clinical benefit over RR but requires approximately four times as much rituximab.<sup>17</sup> Taken in tandem with findings from this study, clinicians can choose RR over MR and expect similar patient-centered outcomes while saving resources and achieving similar clinical outcomes.

### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at www.jco.org.

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### **GLOSSARY TERMS**

health-related quality of life (HRQoL): a broad multidimensional concept that usually includes self-reported measures of physical and mental health.

**patient-reported outcomes:** questionnaires used in a clinical setting to systemically collect information directly from the patient.

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### **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

Anxiety and Health-Related Quality of Life Among Patients With Low–Tumor Burden Non-Hodgkin Lymphoma Randomly Assigned to Two Different Rituximab Dosing Regimens: Results From ECOG Trial E4402 (RESORT)

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# Appendix

	Pat	ients With PR	IO Data at B	aseline (n =	253)				
	Patients Without 6-Month PRO Data (n = 48)		Patients With 6-Month PRO Data (n = 205)			Patients Without 6-		Month Data (n = 48) MR Arm	
Demographic or Clinical Characteristic	No.	%	No.	%	Р	No.	%	No.	%
Age at registration, years					.013				
Mean	63	3.44	58	8.83		6	5.19	6	61.54
SD		2.82		.12			1.88		3.79
Sex					.277				
Male	25	52.1	89	43.4		14	56.0	11	47.8
Female	23	47.9	116	56.6		11	44.0	12	52.2
Race	20	1710		0010	.378		1110		02.2
White	46	97.9	195	98.0		23	95.8	23	100.0
Black	0	0.0	3	1.5		0	0.0	0	0.0
Other	1	2.1	1	0.5		1	4.2	0	0.0
Ethnicity		2.1		0.0	.579		1.2	Ū	0.0
Hispanic	1	2.2	2	1.1	.070	0	0.0	1	4.6
Non-Hispanic	44	97.8	181	97.3		23	100.0	21	95.5
Unknown	0	0.0	3	1.6		0	0.0	0	0.0
ECOG PS	0	0.0	0	1.0	.089	0	0.0	0	0.0
0	37	77.1	178	86.8	.000	18	72.0	19	82.6
1	11	22.9	27	13.2		7	28.0	4	17.4
LDH	11	22.3	27	13.2	.363	/	20.0	4	17.4
Normal	43	89.6	168	84.4	.303	23	92.0	20	87.0
	43 5	10.4	31	04.4 15.6		23	92.0 8.0	20	13.0
High	C	10.4	31	15.0	600	Z	8.0	3	13.0
Prior treatment	40	100.0	204	00 F	.628	25	100.0	22	100.0
No	48 0	100.0	204	99.5		25 0	100.0	23	100.0
Yes	0	0.0	1	0.5	704	0	0.0	0	0.0
FLIPI score	1	0.1	1	0.5	.724	0	0.0	1	
0	1	2.1	1	0.5		0	0.0	1	4.4
1	4	8.3	26	12.7		2	8.0	2	8.7
2	22	45.8	103	50.2		13	52.0	9	39.1
3	17	35.4	61	29.8		8	32.0	9	39.1
4	3	6.3	12	5.9		1	4.0	2	8.7
5	1	2.1	2	1.0		1	4.0	0	0.0
Disease status at registration					.848				
Initial diagnosis	47	97.9	201	98.1		25	100.0	22	95.7
Relapsed	1	2.1	3	1.5		0	0.0	1	4.4
Refractory	0	0.0	1	0.5		0	0.0	0	0.0
Modified Ann Arbor stage at study entry					.257				
1-11	2	4.2	2	1.0		1	4.0	1	4.4
III	22	45.8	104	50.7		11	44.0	11	47.8
IV	24	50.0	99	48.3		13	52.0	11	47.8
Stratification factor: histology					.005				
Follicular	27	69.2	164	87.2		12	57.1	15	83.3
Other	12	30.8	24	12.8		9	42.9	3	16.7
Stratification factor: time from diagnosis, years					.264				
< 1	38	97.4	174	92.6		21	100.0	17	94.4
$\geq 1$	1	2.6	14	7.5		0	0.0	1	5.6

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; FLIPI, Follicular Lymphoma International Prognostic Index; LDH, lactate dehydrogenase; MR, maintenance rituximab; PRO, patient-reported outcome; RR, rituximab re-treatment; SD, standard deviation.

Interaction Test and PRO	Coefficient for Interaction Term	95% CI	F
Time-by-treatment			
IES-R	0.009	-0.062 to 0.081	.79
FACT-G	-0.002	-0.080 to 0.076	.96
HADS-Anxiety	-0.006	-0.025 to 0.014	.58
Freatment-by-coping style			
IES-R	2.477	-3.272 to 8.226	.39
FACT-G	-0.139	-6.847 to 6.569	.9
HADS-Anxiety	0.647	-1.100 to 2.394	.46

NOTE. In addition to treatment, coping style, and time variables, other covariates included in the models were age, sex, Eastern Cooperative Oncology Group performance status, lactate dehydrogenase, Follicular Lymphoma International Prognostic Index score, and modified Ann Arbor stage. Abbreviations: FACT-G, Functional Assessment of Cancer Therapy–General; HADS, Hospital Anxiety and Depression Scale; IES-R, Impact of Event Scale–Revised; PRO, patient-reported outcome.

Table A3. Multivariable Mixed-Effects Models for PROs								
PRO and Covariate	Coefficient for Covariates	95% CI	Р					
FACT-G								
Treatment	-1.13	-4.27 to 2.02	.482					
Coping style	8.86	5.48 to 12.24	< .001					
Time	-0.02	-0.04 to 0.01	.297					
HADS-Anxiety								
Treatment	0.69	-0.12 to 1.49	.095					
Coping style	-2.26	-3.12 to -1.39	< .001					
Time	-0.01	-0.02 to 0.00	.007					
IES-R								
Treatment	0.39	-2.29 to 3.08	.774					
Coping style	-8.25	-11.13 to -5.37	< .001					
Time	-0.08	-0.11 to -0.05	< .001					

NOTE. In addition to treatment, coping style, and time variables, other covariates included in the models were age, sex, Eastern Cooperative Oncology Group performance status, lactate dehydrogenase, Follicular Lymphoma International Prognostic Index score, and modified Ann Arbor stage. Abbreviations: FACT-G, Functional Assessment of Cancer Therapy–General; HADS, Hospital Anxiety and Depression Scale; IES-R, Impact of Event Scale–Revised; PRO, patient-reported outcome.

	Table A	4. Change in P	RO Measures	s Between Follo	w-Up and Ba	seline		
		RR			MR			
Time Point and PRO	No. of Patients	Mean Score	SD of Score	No. of Patients	Mean Score	SD of Score	Difference in Mean Score	P (t test)
Between 3 months and baseline								
IES-R	105	-0.61	11.73	108	-1.42	10.81	-0.81	.600
FACT-G	106	2.65	10.41	107	-0.53	7.03	-3.18	.01
IRW	105	-1.63	5.98	109	-0.24	5.18	1.38	.07
HADS-Anxiety	102	-0.62	2.95	103	0.09	2.85	0.71	.08
HADS-Depression	102	0.04	2.03	104	0.21	1.70	0.17	.50
MAC	104	-0.01	2.20	108	-0.19	1.93	-0.18	.53
Between 6 months and baseline								
IES-R	103	-1.67	13.26	101	-1.96	10.18	-0.28	.86
FACT-G	100	1.04	12.82	100	-0.71	9.38	-1.76	.27
IRW	102	-1.83	6.37	102	-0.44	5.12	1.39	.08
HADS-Anxiety	98	-0.47	3.35	99	0.01	3.23	0.49	.30
HADS-Depression	99	-0.03	2.82	100	0.57	2.55	0.60	.11
MAC	102	0.14	2.26	102	0.24	1.99	0.10	.73
Between 12 months and baseline								
IES-R	102	-2.21	12.08	99	-3.01	11.17	-0.80	.62
FACT-G	105	1.04	12.82	100	0.06	9.67	-0.99	.53
IRW	103	-1.51	5.28	101	-1.20	5.34	0.31	.68
HADS-Anxiety	98	-0.23	2.94	98	-0.20	3.71	0.03	.95
HADS-Depression	98	0.02	2.69	98	0.39	3.40	0.37	.39
MAC	102	0.32	2.12	100	-0.09	2.22	-0.41	.00
Between 24 months and baseline	102	0.02	2.12	100	0.00	2.22	0.11	,
IES-R	79	-3.82	13.91	88	-3.94	11.81	-0.12	.95
FACT-G	81	1.03	13.27	88	-0.54	11.48	-1.58	.40
IRW	79	-2.42	7.13	85	-1.56	6.13	0.86	.40
HADS-Anxiety	78	-0.71	3.44	82	-0.54	2.50	0.17	.72
HADS-Depression	78	-0.17	2.77	83	0.16	2.65	0.32	.45
MAC	80	0.17	2.07	88	-0.35	2.28	-0.45	.43
Between 36 months and baseline	00	0.10	2.07	00	0.00	2.20	0.40	.10
IES-R	68	-3.94	11.02	74	-3.94	12.21	0.00	1.00
FACT-G	70	1.96	10.92	74	-1.75	10.62	-3.71	.04
IRW	68	-1.37	5.15	72	-1.32	6.10	0.05	.04
HADS-Anxiety	65	-0.46	3.04	70	-0.50	3.28	-0.05	.93
HADS-Depression	66	-0.40	3.04 2.67	70	-0.50	2.62	0.63	.93
MAC	69	0.10	2.67	71	-0.08	2.62	-0.53	.16
Between 48 months and baseline	05	0.45	2.55	12	0.00	2.15	0.00	.10
IES-R	55	-3.35	12.23	47	-5.67	12.24	-2.32	.34
FACT-G	55 55	-3.35 -0.18	12.23	47 47	-5.67	8.81	-2.32	.34 .71
IRW	55 54				0.54 	5.77		
		-1.85	5.67	47			0.09	.93
	52	-0.52	3.19	45	-0.82	3.27	-0.30	.64
HADS-Depression	53	-0.23	2.23	45	0.17	2.38	0.40	.39
MAC	55	-0.16	2.48	47	0.04	2.29	0.21	.66

NOTE. P values were from two independent sample t tests for comparison between treatment arms. Abbreviations: FACT-G, Functional Assessment of Cancer Therapy–General; HADS, Hospital Anxiety and Depression Scale; IES-R, Impact of Event Scale–Revised; IRW, illness-related worry; MAC, Mental Adjustment to Cancer Scale; MR, maintenance rituximab; PRO, patient-reported outcome; RR, rituximab re-treatment; SD, standard deviation.