

In Vitro **and** *In Vivo* **Antiviral Activity and Resistance Profile of the Hepatitis C Virus NS3/4A Protease Inhibitor ABT-450**

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The development of direct-acting antiviral agents is a promising therapeutic advance in the treatment of hepatitis C virus (HCV) infection. However, rapid emergence of drug resistance can limit efficacy and lead to cross-resistance among members of the same drug class. ABT-450 is an efficacious inhibitor of HCV NS3/4A protease, with 50% effective concentration values of 1.0, 0.21, 5.3, 19, 0.09, and 0.69 nM against stable HCV replicons with NS3 protease from genotypes 1a, 1b, 2a, 3a, 4a, and 6a, respectively. *In vitro***, the most common amino acid variants selected by ABT-450 in genotype 1 were located in NS3 at positions 155, 156, and 168, with the D168Y variant conferring the highest level of resistance to ABT-450 in both genotype 1a and 1b replicons (219- and 337-fold, respectively). In a 3-day monotherapy study with HCV genotype 1-infected patients, ABT-450 was coadministered with ritonavir, a cytochrome P450 3A4 inhibitor shown previously to markedly increase peak, trough, and overall drug exposures of ABT-450. A mean maximum HCV RNA decline of 4.02 log10 was observed at the end of the 3-day dosing period across all doses. The most common variants selected in these patients were R155K and D168V in genotype 1a and D168V in genotype 1b. However, selection of resistant variants was significantly reduced at the highest ABT-450 dose compared to lower doses. These findings were informative for the subsequent evaluation of ABT-450 in combination with additional drug classes in clinical trials in HCV-infected patients. (Study M11-602 is registered at ClinicalTrials.gov under registration no. NCT01074008.)**

epatitis C virus (HCV) infection is a global health problem, with 160 to 180 million individuals infected worldwide [\(1,](#page-7-0) [2\)](#page-7-1). Chronic HCV infection can lead to serious liver disease, including cirrhosis, liver failure, and hepatocellular carcinoma. There are 7 major HCV genotypes, which differ in their geographic distribution, disease progression, and response to therapy [\(3\)](#page-7-2). In the United States, Europe, and Japan, genotype 1 is the most prevalent genotype, and globally it accounts for approximately 60% of HCV infections [\(4\)](#page-7-3).

Therapy for those infected with HCV genotype 1 improved with the approval of the NS3/4A protease inhibitors (PIs) telaprevir, boceprevir, and, more recently, simeprevir [\(5](#page-7-4)[–](#page-7-5)[10\)](#page-7-6). Although the addition of a PI to pegylated interferon (pegIFN) and ribavirin (RBV) therapy significantly improved sustained virologic response (SVR) rates compared to those with pegIFN/RBV therapy alone, IFN-based therapies are associated with treatment-limiting toxicities [\(11\)](#page-7-7). In addition, there are many patients who are ineligible for IFN-based treatment due to comorbidities such as depression [\(12\)](#page-7-8). Early clinical trials with these PIs also demonstrated that drug resistance developed within days after initiation of treatment [\(13](#page-7-9)[–](#page-7-10)[15\)](#page-7-11). The rapid selection of resistant variants is facilitated by a high rate of virus production and the infidelity of the HCV RNA polymerase [\(16\)](#page-7-12). Thus, there is a need for effective treatments for HCV genotype 1 infection that eliminate the need for IFN while increasing SVR rates and reducing the development of resistance.

HCV is a positive-sense single-stranded RNA virus with a genome that consists of a single large open reading frame flanked by 5' and 3' untranslated regions. The large open reading frame is translated into a polyprotein, which is subsequently proteolytically processed into the proteins that are necessary for viral replication [\(17\)](#page-8-0). The viral NS3/4A protease is essential for this process and is a validated drug target, as evidenced by the approval of the linear peptidomimetic covalent inhibitors telaprevir and boceprevir and the macrocyclic noncovalent peptidomimetic inhibitor simeprevir. ABT-450, identified by AbbVie and Enanta as a lead compound, is a macrocyclic noncovalent peptidomimetic inhibitor of HCV NS3/4A protease that is in clinical development at AbbVie for use in combination with the NS5A inhibitor ombitasvir (formerly known as ABT-267) (described in an [accompanying](http://dx.doi.org/10.1128/AAC.04226-14) [article](http://dx.doi.org/10.1128/AAC.04226-14) [\[18\]](#page-8-1)) and the nonnucleoside NS5B polymerase inhibitor dasabuvir (formerly known as ABT-333) (W. Kati, G. Koev, M. Irvin, J. Beyer, Y. Liu, P. Krishnan, T. Reisch, R. Mondal, R. Wagner, A. Molla, C. Maring, and C. Collins, submitted for publication), with or without RBV for the treatment of chronic HCV infection [\(19](#page-8-2)[–](#page-8-3)[23\)](#page-8-4).

ABT-450 is metabolized primarily by cytochrome P450 (CYP) 3A4. In a prior study of healthy volunteers, coadministration of ABT-450 with a low dose of the CYP3A4 inhibitor ritonavir (combination denoted ABT-450/r) dramatically increased peak, trough, and overall ABT-450 plasma concentrations as well

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FIG 1 Chemical structure of ABT-450.

as half-life, resulting in sustained high plasma levels with oncedaily dosing [\(24,](#page-8-5) [25\)](#page-8-6). In this paper, we report the mean viral load declines and resistance-associated variants (RAVs) observed after 3 days of therapy in HCV genotype 1-infected patients treated with 1 of 3 doses of ABT-450 (50 mg, 100 mg, or 200 mg) combined with ritonavir at 100 mg (50/100 mg, 100/100 mg, or 200/100 mg). We also report the *in vitro* inhibitory activity of ABT-450 against wild-type genotypes 1a, 1b, 2a, 3a, 4a, and 6a (genotype 5 has not been evaluated, as attempts to generate a functional chimeric replicon containing NS3 protease from genotype 5 have been unsuccessful), as well as its *in vitro* resistance profile in genotype 1.

MATERIALS AND METHODS

Compound. ABT-450, (2*R*,6*S*,12*Z*,13*aS*,14*aR*,16*aS*)-*N*-(cyclopropylsulfonyl)-6-{[(5-methylpyrazin-2-yl)carbonyl]amino}-5,16-dioxo-2- (phenanthridin-6-yloxy)-1,2,3,6,7,8,9,10,11,13a,14,15,16,16a-tetradecahydrocyclopropa[e]pyrrolo[1,2-a][1,4]diazacyclopentadecine-14a(5H) carboxamidehydrate [\(Fig. 1\)](#page-1-0), was synthesized at AbbVie (North Chicago, IL) [\(26\)](#page-8-7).

Replicon cell lines. The genotype 1a and 1b stable subgenomic replicon cell lines used for compound characterization in cell culture are derived from HCV strains 1a-H77 and 1b-Con1 (GenBank accession numbers [NC_004102](http://www.ncbi.nlm.nih.gov/nuccore?term=NC_004102) and [AJ238799,](http://www.ncbi.nlm.nih.gov/nuccore?term=AJ238799) respectively) [\(Fig. 2A\)](#page-2-0). Both constructs are bicistronic subgenomic replicons similar in structure to those de-scribed by Lohmann et al. [\(27\)](#page-8-8). The genotype 1a replicon contains the 5' nontranslated region (NTR) from 1a-H77 followed by a firefly luciferase reporter gene and the neomycin phosphotransferase (Neo) gene, which together comprise the first cistron of the bicistronic replicon construct. This is followed by the encephalomyocarditis virus (EMCV) internal ribosome entry site (IRES) and the second cistron containing the 1a-H77 NS3-NS5B-coding region with adaptive mutations encoding E1202G, K1691R, K2040R, and S2204I and finally by the 1a-H77 3' NTR. The 1b-Con1 replicon construct is similar in structure to the 1a-H77 replicon; however, the 5' NTR, NS3-NS5B-coding region, and 3' NTR are derived from 1b-Con1. The adaptive mutations in the 1b-Con1 replicon are those encoding K1609E, K1846T, and Y3005C, and this replicon construct contains a poliovirus IRES between the HCV 5' NTR and the firefly luciferase gene [\(28\)](#page-8-9).

In addition to the genotype 1a and 1b replicons, chimeric replicons in the 1b-Con1 background were generated by insertion of the region encoding the first 251 amino acids of NS3 from genotype 3a, 4a, or 6a in place of the corresponding region in a 1b-Con1 NS2-NS5B replicon that contained adaptive mutations encoding the same amino acid changes as found in the 1b-Con1 NS3-NS5B replicon described above [\(Fig. 2A\)](#page-2-0). In the genotype 3a replicon, the region encoding NS4A amino acids 21 to 32 (numbered relative to NS4A open reading frame) of the 1b-Con1 backbone was also replaced with the corresponding residues from genotype 3a. In the genotype 4a replicon, the region encoding the 20 amino acids at the C terminus of NS2 from the 1b-Con1 backbone was replaced with the corresponding region from genotype 4a. In the genotype 6a replicon, the

region encoding the entire 54 amino acids of NS4A was also replaced with the corresponding region from genotype 6a. The genotype 3a and 4a sequences were each derived from a population sequence from a single treatment-naive HCV-infected patient. The NS3 and NS4A genes of the genotype 6a replicon were synthetically constructed based on generation of a consensus sequence derived from the alignment of 15 sequences in GenBank. Stably replicating cell lines were generated by transfecting RNA transcribed *in vitro* from each of the replicon constructs into a Huh7 derived cell line and selecting for individual colonies using 400 µg/ml G418 [\(29\)](#page-8-10). Activity of ABT-450 against genotype 2a JFH-1 (GenBank accession number [AB047639\)](http://www.ncbi.nlm.nih.gov/nuccore?term=AB047639) was determined at Southern Research Institute (Birmingham, AL) with a quantitative reverse transcriptase PCR (qRT-PCR) assay using a subgenomic replicon that did not contain a luciferase reporter [\(30,](#page-8-11) [31\)](#page-8-12).

Antiviral activity in cell culture. Replicon cell lines were maintained in Dulbecco's modified Eagle medium (DMEM) supplemented with 100 IU/ml penicillin, 100 µg/ml streptomycin, and 200 µg/ml G418, all of which were from Invitrogen (Carlsbad, CA), as well as 10% (vol/vol) fetal bovine serum (FBS) (Atlanta Biologicals, Flowery Branch, GA). The inhibitory effect of ABT-450 was evaluated by incubating replicon-containing cells in the presence of a series of ABT-450 dilutions for 3 days in the same medium containing 5% FBS, followed by measurement of firefly luciferase activity using the luciferase assay system (Promega, Madison, WI). In assays measuring inhibitory activity in the presence of human plasma, the medium contained 40% human plasma (Bioreclamation, Westbury, NY) and 5% FBS. The percent inhibition of HCV RNA replication was calculated for each compound concentration, and the 50% effective concentration (EC_{50}) was calculated using nonlinear regression sigmoidal dose-response variable slope curve fitting to the 4-parameter logistic equation [\(32\)](#page-8-13) and GraphPad Prism 4 software. The cytotoxicity of ABT-450 was determined by the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (Sigma-Aldrich, St. Louis, MO) colorimetric as-say [\(33\)](#page-8-14). The 50% cytotoxicity concentration (CC_{50}) was calculated using nonlinear regression sigmoidal dose-response variable slope curve fitting as described above.

In vitro **resistance selection.** The 1a-H77 and 1b-Con1 replicon cell lines (10^5 cells) were plated in 150-mm cell culture plates and grown in the presence of G418 (400 μ g/ml) and ABT-450 at a concentration that was 10-, 100-, or 500-fold above the EC_{50} for the respective cell line. After approximately 3 weeks of treatment, most cells were cleared of replicon RNA and therefore were unable to survive in the G418-containing medium. The cells containing resistant replicon variants survived and formed colonies that were isolated and further expanded. In order to characterize resistant replicon variants, total RNA was extracted from the expanded colonies, the NS3 protease-coding region was amplified by RT-PCR using gene-specific primers, and the nucleotide sequence of the amplified sample was determined.

Antiviral activity against a panel of resistant mutants. The 1a-H77 and 1b-Con1 subgenomic replicon shuttle vector constructs used for introduction of mutations of interest in the NS3 gene were similar to the replicon cell line constructs described above, but in both cases the Neo gene was not present, and the HCV NS2 gene was inserted between the EMCV IRES and the NS3 gene [\(Fig. 2B\)](#page-2-0). In addition, the 1a-H77 replicon construct had the adaptive mutation in NS3 protease encoding E1202G replaced with one encoding P1496L in NS3 helicase. An AscI restriction site was introduced into the NS2 gene 62 nucleotides upstream of the 5' end of the NS3 gene, and a BstBI restriction site was introduced within the helicase domain of NS3 after the NS3 amino acid 251 codon. The introduction of these restriction sites did not result in an amino acid insertion or change in either the genotype 1a or 1b replicon. Mutations encoding resistance-associated variants were introduced by site-directed mutagenesis and confirmed by sequence analysis. Subgenomic replicon RNA was generated by linearization of plasmid DNA followed by *in vitro* transcription. Replicon RNA was transfected into Huh7-derived cells, and inhibition of replication of the HCV replicon by ABT-450 was measured using

FIG 2 Schematic diagrams of HCV replicons used in this study. (A) Replicons for stable cell lines. (B) Replicons for transient assays. C, cysteine; E, glutamic acid; delta ribozyme, ribozyme from hepatitis delta virus; E, glutamic acid; EMCV, encephalomyocarditis virus; F-luc, firefly luciferase; G, glycine; I, isoleucine; IRES, internal ribosome entry site; K, lysine; L, leucine; Neo, neomycin phosphotransferase gene; NTR, nontranslated region; P, proline; polio, poliovirus; R, arginine; S, serine; T, threonine, Y, tyrosine.

the luciferase assay as described above, except that cells were incubated for 4 days rather than 3 days prior to lysis. Replication efficiency was calculated as a percentage of wild-type replication using the following equation: $100 \times$ [(mutant 4-day luciferase activity/wild-type 4-day luciferase activity)/(mutant 4-h luciferase activity/wild-type 4-h luciferase activity)].

Antiviral activity against a panel of genotype 1a and 1b isolates. The HCV 1a-H77 and 1b-Con1 replicon shuttle vectors described above were used to generate replicons containing NS3 genes from a panel of genotype 1a and 1b patient isolates for assessing the activity of ABT-450. These replicon shuttle vectors allowed insertion of the region encoding the complete NS3 protease domain without adaptive mutations. The region encoding the C-terminal 20 amino acids of NS2 and amino acids 1 to 251 of NS3 was amplified by RT-PCR of viral RNA from genotype 1a and 1b

isolates using primers incorporating AscI and BstBI restriction sites. The amplified products were inserted into the appropriate shuttle vector, and the EC_{50} s of ABT-450 were evaluated in transient assays as described above.

Clinical study design. Study M11-602 [\(ClinicalTrials.gov](http://ClinicalTrials.gov) registration no. NCT01074008) was a randomized, multiple-dose, placebo-controlled, blinded (active versus placebo), dose-ranging phase 2a clinical trial to explore the safety, tolerability, pharmacokinetics (PK), and antiviral activity of three direct-acting antiviral agents (DAAs), i.e., ABT-450/r and 2 nonnucleoside inhibitors of HCV NS5B polymerase, dasabuvir and ABT-072, in genotype 1 HCV-infected treatment-naive patients in the United States, including Puerto Rico [\(34\)](#page-8-15). All of the patients provided written informed consent. The study was performed in accordance with

TABLE 1 Activity of ABT-450 against replicon cell lines with NS3 from different genotypes in the presence or absence of 40% human plasma

	EC_{50} (nM, mean \pm SD) with genotype:							
Human plasma concn (%)			za	эа	4a	bа		
	1.0 ± 0.33	0.21 ± 0.07	5.3 ± 1.2	19 ± 5.2	0.09 ± 0.03	0.69 ± 0.09		
40	17.3 ± 5.7	5.2 ± 2.0	ND ^a	ND	ND	ND		

^a ND, not determined.

Good Clinical Practice guidelines and the principles of the Declaration of Helsinki, and the study protocol was approved by the relevant institutional review boards and regulatory agencies. In this study, 75 patients meeting all eligibility criteria and none of the exclusion criteria were randomized to receive various doses of ABT-450/r, dasabuvir, or ABT-072. Only data from the 24 patients treated with ABT-450/r are discussed in this report. Eligibility criteria for study M11-602 included the following: age of 18 to 65 years, body mass index (BMI) of \geq 18 and \lt 35 kg/m², chronic HCV genotype 1 infection for at least 6 months prior to study enrollment, plasma HCV RNA level of \geq 100,000 IU/ml at screening, liver biopsy within the past 3 years with histology consistent with HCV-induced liver damage, and no evidence of cirrhosis. Exclusion criteria included the following: liver biopsy with a METAVIR fibrosis score of 3 or 4, positive test result for hepatitis B surface antigen or anti-HIV antibodies, history of major depression within the 2 years prior to enrollment, history of disease precluding the use of pegIFN or RBV, and unresolved clinically significant diseases other than HCV.

Patients were randomized to receive 1 of 3 doses of ABT-450/r (50/100 mg, 100/100 mg, or 200/100 mg) or placebo once daily (QD). Following 3 days of monotherapy, pegIFN alfa-2a at 180 µg/week and weight-based RBV at 1,000 to 1,200 mg/day were added, and the same dose of ABT-450/r or placebo was continued to complete a total of 12 weeks. At week 12, ABT-450/r or placebo was discontinued, and patients received pegIFN/RBV alone for up to 36 additional weeks.

Pharmacokinetic evaluations.ABT-450 and ritonavir concentrations were determined using a liquid chromatography-tandem mass spectrometry (LC-MS/MS) method with lower limits of quantitation of 0.5 ng/ml (ABT-450) and 5 ng/ml (ritonavir). Pharmacokinetic analyses were conducted using WinNonlin Professional version 5.2 (Pharsight Corporation, CA).

Efficacy analysis. HCV RNA was measured using the COBAS TaqMan HCV Test v2.0 real-time reverse transcriptase PCR (RT-PCR) assay (Roche, Pleasanton, CA), with a lower limit of quantitation of 25 IU/ml and a lower limit of detection of 10 IU/ml. The virologic response was assessed as HCV RNA decrease from baseline in log_{10} IU/ml.

Sequence analysis of patient samples. Viral RNA was isolated from plasma samples from HCV-infected patients at baseline (prior to the first dose on day 1) and after 3 days of ABT-450/r dosing (prior to the first dose on day 4) from samples with viral loads of \geq 500 IU/ml either by an automated method using the m2000 instrument (Abbott Molecular, Inc., Des Plaines, IL) or with the QIAamp viral RNA minikit (Qiagen, Valencia, CA). RT-PCR was performed with the Superscript III one-step RT-PCR system with Platinum *Taq* High Fidelity (Invitrogen, Carlsbad, CA) using sense and antisense primers located outside NS3/4A. Nested PCR was performed with Platinum *Pfx* DNA polymerase (Invitrogen, Carlsbad, CA) using sense and antisense primers specific for the NS3 protease domain. The NS3 protease domain PCR fragment was inserted into the pJET1.2 vector (Fermentas, Glen Burnie, MD), plasmid DNA was isolated from individual colonies, and the plasmid DNA insert was sequenced. An average of 87 clones per sample were sequenced, with a minimum of 75 clones sequenced from each sample.

Phenotypic analysis of patient samples. The nested PCR products from patient samples described above were digested and cloned into the appropriate genotype 1a or 1b replicon shuttle vector. Plasmid DNA and *in vitro*-transcribed RNA were prepared and transfected into Huh7-derived cells, and luciferase assays were performed with EC_{50} s calculated as described above.

RESULTS

In vitro **activity.** ABT-450 inhibited genotype 1a-H77 and 1b-Con1 HCV subgenomic replicons in cell culture with EC_{50} s of 1.0 and 0.21 nM, respectively. In the presence of 40% human plasma, the EC_{50} s increased by 17- to 24-fold [\(Table 1\)](#page-3-0). The CC_{50} of ABT-450 was $>$ 37 μ M, resulting in an *in vitro* selectivity index of \geq 37,000-fold. ABT-450 demonstrated activity across multiple HCV genotypes, with an EC_{50} of 5.3 nM against the genotype 2a JFH-1 subgenomic replicon and EC_{50} s of 19, 0.09, and 0.69 nM against replicons containing NS3 protease from genotypes 3a, 4a, and 6a, respectively. As has been observed for other NS3/4A protease inhibitors such as simeprevir and asunaprevir, ABT-450 has reduced potency against genotype 3a, likely caused by the presence of the NS3 polymorphism D168Q, which is commonly found in this genotype [\(35,](#page-8-16) [36\)](#page-8-17).

Activity of ABT-450 against chimeric replicons containing NS3 protease from genotype 1 patients.To determine the breadth of coverage in genotype 1, the activity of ABT-450 against chimeric replicons containing sequences derived from 11 genotype 1a- and 9 genotype 1b-infected patients was characterized. EC_{50} s ranged from 0.43 to 1.87 nM against the genotype 1a isolates and from 0.033 to 0.087 nM against the genotype 1b isolates [\(Table 2\)](#page-4-0), indicating that ABT-450 can inhibit NS3 proteases across a broad range of genotype 1 isolates.

Characterization of resistance. HCV genotype 1a and 1b subgenomic replicon cell lines were passaged in the presence of ABT-450 at concentrations 10-, 100-, or 500-fold over the EC_{50} . No colonies survived selection at a concentration 100- or 500-fold over the EC_{50} in genotype 1a, and no colonies survived at 500-fold over the EC_{50} in genotype 1b. The following major variants in HCV NS3 were observed in selected colonies: R155K, D168E, and D168N in 1a-H77 and A156T, D168H, D168V, and D168Y in 1b-Con1 [\(Table 3\)](#page-4-1). In order to achieve a more complete understanding of the ABT-450 resistance profile, its activity was assessed against HCV replicons containing NS3 variants that have been observed in HCV-infected patients treated with the HCV protease inhibitor telaprevir, boceprevir, simeprevir, asunaprevir, danoprevir, or faldaprevir [\(14,](#page-7-10) [37](#page-8-18)[–](#page-8-19)[41\)](#page-8-20). Most of the tested variants conferred less than 100-fold resistance to ABT-450. R155K in genotype 1a, which has been shown to confer resistance to virtually all HCV NS3/4A protease inhibitors, confers 37-fold reduced susceptibility to ABT-450. In both genotypes 1a and 1b, the D168V variant has been shown to confer resistance to the macrocyclic and acyclic noncovalent protease inhibitors such as simeprevir, asunaprevir, danoprevir, and faldaprevir but not to the linear keto amide class of covalent inhibitors such as telaprevir and boceprevir. This variant confers 96- or 159-fold-reduced susceptibility to ABT-450 in genotype 1a or 1b, respectively. The highest level of resistance to ABT-450 among the evaluated variants was observed with the D168Y variant, which conferred 219- or 337-fold reduced susceptibility in genotype 1a or 1b, respectively.

TABLE 2 Antiviral activity of ABT-450 in transient HCV replicon assays using chimeric replicons containing NS3 protease genes from HCV genotype 1-infected patients

TABLE 3 Loss in activity for ABT-450 against resistant variants selected	
by NS3/4A protease inhibitors	

^a GenBank accession numbers for 1a-H77 and 1b-Con1 are [AF011751](http://www.ncbi.nlm.nih.gov/nuccore?term=AF011751) and [AJ238799,](http://www.ncbi.nlm.nih.gov/nuccore?term=AJ238799) respectively. Infected patient samples were obtained from ProMedDx (Norton, MA).

Three-day monotherapy pharmacokinetics and antiviral efficacy in study M11-602. Twenty-four treatment-naive patients infected with HCV genotype 1 (8 per group) were randomized in study M11-602 and administered an ABT-450/r dose of 50/100 mg, 100/100 mg, or 200/100 mg QD for 3 days. Demographic and baseline characteristics were similar between groups. Mean baseline HCV RNA levels were 6.86 log_{10} IU/ml for patients receiving placebo and 6.74 log_{10} IU/ml for patients receiving ABT-450/r [\(34\)](#page-8-15). Pharmacokinetic analysis of samples from the HCV-infected patients administered different doses of ABT-450 showed a greaterthan-dose-proportional increase in ABT-450 exposure with increasing dose through 3 days of treatment with ABT-450/r [\(Fig. 3\)](#page-5-0) [\(25\)](#page-8-6). The mean trough concentration increased 58-fold when the ABT-450 dose was increased from 50 mg to 200 mg. HCV RNA levels were analyzed during the 3-day monotherapy treatment. The mean maximum HCV RNA decrease from baseline was $4.02 \pm 0.43 \log_{10}$ IU/ml for all patients receiving ABT-450/r, compared with 0.36 \pm 0.13 log₁₀ IU/ml for patients receiving placebo $(P < 0.001)$ [\(34\)](#page-8-15). Similar HCV RNA decreases were seen with all ABT-450/r doses at the end of the 3-day treatment [\(Fig. 4\)](#page-5-1).

Phenotypic evaluation of *in vivo* **resistance development.** Phenotypic analyses of viral isolates from baseline (before the first dose was administered) and at the end of 3 days of ABT-450/r monotherapy were performed in order to characterize the selection of resistant variants. The development of phenotypic resistance to ABT-450 during 3 days of dosing was assessed by calculating the fold change in EC_{50} at the end of the 3-day monotherapy compared to baseline [\(Table 4\)](#page-5-2). Thirteen of the 24 patients (12 of 19 infected with genotype 1a and 1 of 5 infected with genotype 1b)

^a GenBank accession numbers for 1a-H77 and 1b-Con1 are [AF011751](http://www.ncbi.nlm.nih.gov/nuccore?term=AF011751) and [AJ238799,](http://www.ncbi.nlm.nih.gov/nuccore?term=AJ238799) respectively.

^b Selected by ABT-450 *in vitro*.

had a viral load level sufficient (\geq 500 IU/ml) to allow amplification of the target gene at the end of the 3-day dosing period (5, 3, and 5 patients in the ABT-450/r 200/100-, 100/100-, and 50/ 100-mg treatment groups, respectively).

Among the genotype 1a-infected patients with amplifiable samples, 4 of 5 (80%) from the ABT-450/r 50/100-mg group, 2 of 2 (100%) from the ABT-450/r 100/100-mg group, and 0 of 5 (0%) from the ABT-450/r 200/100-mg group showed a 3- to 12-fold loss of susceptibility to ABT-450 relative to the baseline value for that patient. The remaining samples had less than a 3-fold loss of susceptibility to ABT-450 relative to the baseline value for that patient. The single genotype 1b-infected patient with amplifiable HCV RNA, who received ABT-450/r at 100/100 mg QD, showed a 386-fold loss of susceptibility to ABT-450 relative to the baseline value for that patient.

Since many of the clinical samples at day 4 contained a mixture

FIG 3 Mean (\pm standard deviation [SD]) ABT-450 plasma trough concentration levels after 3-day monotherapy in HCV genotype 1-infected patients following various ABT-450/r doses.

of NS3 variants (including the wild type) and since each of these variants may have differing replication fitness and variable susceptibility to ABT-450, the EC_{50} against clinical isolates is a reflection of the multiple variants present in the quasispecies. Therefore, the EC_{50} s from reference replicons harboring single defined resistant variants differ from those for the clinical isolates.

Sequence analysis at baseline and on day 4 (after 3 days of ABT-450/r monotherapy). In order to identify known RAVs at baseline and to monitor the emergence of variants at the end of ABT-450/r monotherapy, clonal nucleotide sequence analysis was performed on the NS3 protease gene from all baseline and day 4 samples with HCV viral titers of \geq 500 IU/ml. The analysis of the baseline samples focused on changes at amino acid positions 155, 156, and 168 of NS3, since these are the positions at which resistant variants were identified during *in vitro* selection experiments with ABT-450 and where variants have most commonly emerged in patients treated with other NS3/4A protease inhibitors. The consensus amino acids at these positions, based on the prototypic standards, are arginine (R) at amino acid position 155 (R155), alanine (A) at 156 (A156), and aspartic acid (D) at 168 (D168). For all of the patients in this study, the HCV NS3 sequence at baseline

TABLE 4 Phenotypic resistance after 3 days of treatment with ABT-450/r in HCV genotype 1-infected patients

$ABT-450/r$		Genotype	EC_{50} , nM		Fold
treatment (mg QD)	Patient		Baseline	After 3 days of dosing	change in EC_{50}
200/100	1	1a	1.31	1.14	0.9
	2	1a	1.36	1.06	0.8
	3	1a	3.11	3.23	1.0
	$\overline{4}$	1a	2.42	2.57	1.1
	5	1a	0.56	0.86	1.5
100/100	6	1a	1.55	10.2	6.6
	7	1b	0.04	15.5	386
	8	1a	2.80	8.84	3.2
50/100	9	1a	0.81	8.88	11
	10	1a	5.97	66.7	11
	11	1a	1.52	11.5	7.6
	12	1a	1.01	1.69	1.7
	13	1a	1.54	9.78	6.4

was identical to the prototypic sequence at these 3 amino acid positions.

The analysis of the viral isolates obtained at the end of the 3-day monotherapy period also focused on changes at amino acids 155, 156, and 168, as no emergent variants were observed in samples from more than 1 patient at any other amino acid position in NS3/4A. In the ABT-450/r 200/100-mg dose group, clonal sequences from 4 of 5 samples did not contain any known RAVs at the end of 3 days of dosing, while clonal sequences from 1 sample contained D168V [\(Fig. 5\)](#page-6-0). In contrast, sequences from all patients receiving lower doses of ABT-450 contained RAVs. Samples from the 3 patients from the ABT-450/r 100/100-mg dose group that could be amplified and sequenced had a high percentage of clones containing RAVs, most commonly R155K or D168V. Similarly, samples from the 5 patients in the ABT-450/r 50/100-mg dose group that were sequenced contained clones with known RAVs; R155K was the most common RAV identified. In most of the genotype 1a-infected patients who received the 50- or 100-mg dose of ABT-450, a number of other RAVs which confer modest

FIG 4 Mean (± standard error [SE]) HCV RNA change from baseline during 3-day treatment with ABT-450/r in HCV genotype 1-infected patients. BL, baseline; PBO, placebo; P/R, pegylated interferon plus ribavirin.

FIG 5 Distribution of wild-type virus and resistant variants in patient samples with viral loads of ≥500 IU/ml after 3 days of treatment with ABT-450/r. A, alanine; D, aspartic acid; E, glutamic acid; G, glycine; K, lysine; R, arginine; S, serine; T, threonine; V, valine; W, tryptophan; Y, tyrosine.

levels of resistance to ABT-450, such as R155G, R155S, R155T, R155W, D168A, and/or D168E, were present at lower prevalence.

DISCUSSION

ABT-450 is an inhibitor of HCV NS3/4A protease with nanomolar activity against genotypes 1, 2, 3, 4, and 6. We assessed the resistance-associated variants selected *in vitro* by ABT-450 since these variants may have clinical implications relative to other HCV protease inhibitors. Resistance selection experiments with ABT-450 in genotype 1a and 1b replicons identified RAVs at amino acid positions 155 and 168 in genotype 1a and at positions 156 and 168 in genotype 1b. When ABT-450 was evaluated against a panel of NS3 variants that have been observed in patients treated with other HCV NS3/4A protease inhibitors, cross-resistance was noted with variants known to confer resistance to other protease inhibitors. The D168Y variant conferred the highest level of resistance to ABT-450 in both genotypes 1a and 1b.

In study M11-602, the mean maximum HCV RNA decrease from baseline was $4.02 \pm 0.43 \log_{10}$ IU/ml for all patients receiving ABT-450/r, and was similar across all 3 ABT-450/r doses during the 3-day treatment [\(Fig. 4\)](#page-5-1). While the decrease in viral RNA was independent of dose, resistance analysis from the ABT-450/r monotherapy portion of this study demonstrated a relationship between higher ABT-450 doses and a lower likelihood of emergence of RAVs. Among the 13 patients in whom HCV RNA could be amplified and sequenced after 3 days of monotherapy (12 with genotype 1a and 1 with genotype 1b), clonal sequencing of samples from 4 of the 5 patients who received the highest ABT-450 dose (200 mg QD) did not identify RAVs at position 155, 156, or 168, while one sample contained D168V. In contrast, samples from all patients receiving lower doses of ABT-450 contained multiple RAVs, most commonly R155K or D168V. These findings suggest that, despite similar decreases in HCV RNA levels, ABT-450 exposures associated with the 50-mg dose were not sufficient to suppress replication of the R155K and D168V variants, which are associated with approximately 30- to 100-fold loss in ABT-450 susceptibility. In contrast, the higher exposures achieved with the

200-mg dose suppressed emergence of all detectable RAVs at day 4 in a substantial proportion of patients. These findings suggest that the ABT-450 exposures achieved with all tested doses were adequate to suppress replication of wild-type HCV, but only those at the 200-mg dose consistently prevented emergence of virus harboring RAVs during the 3 days of treatment. These 3-day monotherapy findings are consistent with resistance analyses conducted in a dose-ranging phase 2 trial, AVIATOR (M11-652, [Clinical](http://ClinicalTrials.gov) [Trials.gov](http://ClinicalTrials.gov) registration no. NCT01464827), in which ABT-450/r was administered for up to 24 weeks. In this study, the most prevalent treatment-emergent variant in NS3 among patients who experienced virologic failure was D168V; treatment-emergent R155K was seen infrequently in patients with virologic failure who received an ABT-450 dose of \geq 150 mg [\(42\)](#page-8-21).

HCV exists as a quasispecies containing all possible preexisting single RAVs even before the onset of drug pressure (16) . Thus, sufficient and ongoing drug exposure for the duration of the dosing interval is critical to maintain viral suppression. It has been observed previously that higher plasma concentrations of HCV NS3 protease inhibitors such as telaprevir result in both greater antiviral activity and lower selection of resistant HCV variants [\(41\)](#page-8-20). Preclinical data suggested that the plasma levels of HCV protease inhibitors might be boosted with ritonavir coadministration [\(43\)](#page-8-22). Based on these observations, we utilized ritonavir for pharmacokinetic enhancement of ABT-450. Our hypothesis was that a strategy based on achieving consistently high ABT-450 exposures throughout the 24-hour dosing period may reduce the risk of emergence of resistance, improve efficacy, and allow use of a lower dose of ABT-450 while permitting once-daily dosing.

The strategy of ritonavir pharmacokinetic enhancement to increase drug exposures has long been utilized in antiretroviral therapy for the treatment of human immunodeficiency virus (HIV) infection. Coadministration of HIV protease inhibitors with ritonavir improves efficacy by maintaining peak, trough and overall drug exposures of the protease inhibitor at levels necessary to inhibit replication of both wild-type virus and resistant variants [\(44\)](#page-8-23). High rates of HIV suppression despite less than optimal

medication adherence has been attributed to the "forgiveness of ritonavir" and the favorable PK profile of boosted HIV protease inhibitors [\(45,](#page-8-24) [46\)](#page-9-0), which have been first-line therapies for more than a decade. In addition, by prolonging the drug's half-life, ritonavir also permits less frequent dosing. These advantages have resulted in the widespread use of low-dose ritonavir to enhance the pharmacokinetics of HIV protease inhibitors [\(47,](#page-9-1) [48\)](#page-9-2).

A comparison of pharmacokinetic parameters in healthy volunteers receiving ABT-450 alone or combined with ritonavir at 100 mg demonstrated that ritonavir substantially increases ABT-450 plasma concentration and half-life, with trough concentrations (C_{24}) approximately 340-fold higher in the presence of ritonavir than with ABT-450 alone [\(25,](#page-8-6) [49\)](#page-9-3). Based on pharmacokinetic data for healthy patients, the trough ABT-450 concentrations achieved with a daily dose of 200 mg of ABT-450 and 100 mg of ritonavir are predicted to be greater than that seen with 1,000 mg ABT-450 twice daily in the absence of ritonavir.

Thus, coadministration of ABT-450 with low-dose ritonavir enhances ABT-450 exposures considerably. While the relationship between plasma ABT-450 levels and those achieved in hepatocytes is unclear, rapid suppression of HCV RNA and a sustained virologic response after the end of treatment in patients who received ABT-450 in study M11-602 suggest that coadministering an adequate dose of ABT-450 with ritonavir achieves ABT-450 concentrations at the site of action that are well in excess of the EC_{50} of RAVs [\(50\)](#page-9-4). As the emergence of RAVs may lead to treatment failure, inhibition of these variants, resulting in more complete HCV suppression, may account for the high response rates seen in large trials of DAA combination therapy that include ABT-450/r, where ABT-450 was administered at a dosage of 150 mg QD with ritonavir at 100 mg QD in a coformulated tablet [\(19](#page-8-2)[–](#page-8-3)[23\)](#page-8-4).

In conclusion, RAVs in NS3 result in decreased activity of ABT-450 *in vitro*; however, when ABT-450 exposures are optimized through use of ritonavir for pharmacokinetic enhancement, emergence of these variants is prevented or delayed *in vivo*. This finding may explain the low rates of virologic breakthrough seen in the phase 3 clinical trials of ABT-450/r, ombitasvir, and dasabuvir with or without RBV, since similarly constructed drug regimens that did not use pharmacokinetic enhancement have been associated with high rates of virologic breakthrough [\(51,](#page-9-5) [52\)](#page-9-6). In addition, the lower dosing of ABT-450, facilitated by the boosting effect of ritonavir, may correlate with the low rates of treatment discontinuation and good tolerability seen in these large clinical trials [\(19](#page-8-2)[–](#page-8-3)[23\)](#page-8-4).

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