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MINIREVIEWS

# Role of surgical resection for hepatocellular carcinoma based on Japanese clinical guidelines for hepatocellular carcinoma

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### Abstract

In the Algorithm for Diagnosis and Treatment in the Japanese Evidence-Based Clinical Practice Guidelines for Hepatocellular Carcinoma, the treatment strategy is determined by three major factors: liver function and the number and size of tumors. The algorithm is quite simple, consisting of fewer components than the Barcelona-Clinic Liver Cancer staging system. In this article, we describe the roles of the treatment algorithm in hepatectomy and perioperative management of hepatocellular carcinoma.

Key words: Hepatocellular carcinoma; Guideline; Liver resection; Treatment algorithm

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**Core tip:** In the Algorithm for Diagnosis and Treatment in the Japanese Evidence-Based Clinical Practice Guidelines for Hepatocellular Carcinoma, the treatment strategy is determined by three major factors: liver function and the number and size of tumors. The algorithm is quite simple, consisting of fewer components than the Barcelona-Clinic Liver Cancer staging system. In this article, we describe the roles of the treatment algorithm in hepatectomy and perioperative management of hepatocellular carcinoma.

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### INTRODUCTION

Hepatocellular carcinoma (HCC) is the sixth most common neoplasm worldwide and the third most frequent cause of cancer-related death. More than 0.7 million people were diagnosed with HCC in 2008, indicating an incidence of 16 per 0.1 million people<sup>[1]</sup>. The distribution of HCC is regional, with approximately 80% of HCC cases found in Eastern Asia and central Africa. The risk factors in these



Table 1 Staging systems for nepatocentular carcinoma								
Classification	Year	Background	Variables					
			Tumor status	Liver function	Health status			
Okuda staging	1985	850 Japanese patients	50% liver involvement	Ascites, bilirubin, albumin	-			
BCLC staging	1999	Selected papers	Size, number, vascular invasion, Okuda stage	Child-Pugh, bilirubin, porta hypertension	Performance status			
CLIP score	2000	435 Italian patients	50% liver involvement, vascular invasion, AFP	Child-Pugh	-			
CUPI	2002	926 Chinese patients	TNM, AFP	Bilirubin, albumin, alkaline phosphatase	Presence of symptoms			
JIS score	2003	3334 Japanese patients	TNM (Japanese)	Child-Pugh	-			
m-JIS score	2006	42269 Japanese patients	TNM (Japanese)	Liver damage	-			
Tokyo score	2005	403 Japanese patients	Size, number	Bilirubin, albumin	-			

BCLC: Barcelona Clinic Liver Cancer; CLIP: Cancer of the liver Italian Program; CUPI: Chinese University Prognostic Index; JIS: Japan Integrated Staging; m-JIS: Modified Japan Integrated Staging; AFP: Alpha-fetoprotein. Revise from ref. [22].

areas are hepatitis B and aflatoxin, but those in North America, Europe, and Japan are hepatitis C and alcohol.

The spread of the concept of evidence-based medicine (EBM) has provided an opportunity for development of treatment guidelines. In Western countries, the Barcelona Clinic Liver Cancer (BCLC) staging system was published as practice guidelines in 2005 and updated in 2011, and is recommended for use by the American Association for the Study of Liver Diseases (AASLD)<sup>[2]</sup> and the European Association for the Study of the Liver (EASL). In Japan, the Clinical Practice Guidelines for Hepatocellular Carcinoma were published in  $2005^{[3,4]}$  and then revised in 2009 and 2013 to add new information<sup>[5]</sup>. The "treatment algorithm" listed in the guidelines has become well disseminated as a standard method for selection of optimal treatment based on liver function and tumor conditions<sup>[6]</sup>. Here, we describe the roles of the treatment algorithm in hepatectomy for HCC and we discuss current knowledge on hepatectomy in Japan.

#### **STAGING SYSTEM FOR HCC**

Staging systems for liver cancer have three elements: (1) tumor stage (TNM system); (2) hepatic functional reserve; and (3) integrated stage, a combination of (1) and (2). The International Union Against Cancer (UICC) published the UICC TNM classification of malignant tumors in 1968 and added liver cancer to the TNM classification in 1987. Now, the seventh edition is used from 2009<sup>[7]</sup>. The UICC-TNM classification is based on the staging system of the American Joint Committee on Cancer (AJCC) Cancer Staging Manual, with a database from multicenter research by the International Cooperative Study Group on Hepatocellular Carcinoma<sup>[8]</sup>. The UICC-TNM classification is a simplified version of the AJCC Manual, and the 7<sup>th</sup> edition set the cutoff for tumor size as 5 cm. In 1983, the Liver Cancer Study Group of Japan published the "General Rules for Clinical

and Pathological Studies of Primary Liver Cancer" (henceforth referred to as the "General Rules"), which included the Japanese TNM classification<sup>[9]</sup> and was prepared based on a database developed by the Liver Cancer Study Group. In the latest edition, the stages are classified using a cutoff tumor size of 2 cm, single/multiple lesions, and vascular invasion. In a comparison of these two staging systems in Japanese patients, Minagawa *et al*<sup>[10]</sup> found that both systems allowed clear stratification of patients into prognostic groups, but that the General Rules were more appropriate for stratifying patients with early-stage HCC<sup>[10]</sup>.

The Child-Pugh classification is most commonly used for evaluation of hepatic functional reserve<sup>[11,12]</sup>. This classification has five parameters: serum bilirubin, serum albumin, ascites, hepatic encephalopathy, and prothrombin activity, which are used to assess liver function in three classes: A, B and C. The indocyanine green retention rate at 15 min (ICGR15) is also used in Japan, eastern Asia, and some European countries as a more detailed index for assessment of hepatic functional reserve. ICGR15 is useful for prediction of postoperative mortality<sup>[13]</sup> and as a marker of liver function for determining the extent of hepatectomy<sup>[14]</sup>. The General Rules also have a liver damage classification system that uses ICGR15, as well as serum bilirubin, serum albumin, ascites, and prothrombin activity<sup>[9,15]</sup>. The degree of liver damage has replaced the Child-Pugh classification to evaluate liver function in Japan. For serious liver failure patients, model for end stage liver disease (MELD) is used to indicate liver transplantation<sup>[16]</sup>.

Integrated Stage score for liver function and tumor stage, including OKUDA<sup>[17]</sup>, Cancer of the Liver Italian Program (CLIP)<sup>[18]</sup>, Chinese University Prognostic Index (CUPI)<sup>[19]</sup>, Japan Integrated Staging (JIS)<sup>[20]</sup>, modified-JIS<sup>[15]</sup>, and Tokyo<sup>[21]</sup>, is effective for prognostic assessment in HCC (Table 1). Kudo *et al*<sup>[20]</sup> proposed the JIS score, which unified TNM staging in the General Rules and the



For patients with the severity of liver damage class A accompanied by vascular invasion, hepatectomy, chemotherapy, transcatheter arterial embolization may be selected. For patients with Child-Pugh class A with extrahepatic metastasi, chemotherapy is an option.

<sup>1</sup>When taking non-surgical treatment into consideration, using of Child-Pugh classification is possible; <sup>2</sup>Selected when the tumor measuring is 3 cm or less; <sup>3</sup>Oral or arterial infusion chemotherapy may be selected; <sup>4</sup>A single tumor measuring 5 cm or less in diameter; <sup>5</sup>Patients 65 years of age or younger.

Figure 1 Treatment algorithm for hepatocellular carcinoma. Revise from ref. [5]. Hx: Hepatectomy; RFA: Radiofrequency ablation; TACE: Transcatheter arterial chemo embolization; Chemo: Chemotherapy.

Child-Pugh classification<sup>[20]</sup>. The JIS is superior to the CLIP system [a combination of the Child-Pugh classification, tumor morphology,  $\alpha$ -fetoprotein (AFP), and portal vein tumor thrombosis] in terms of (1) clear stratification of scores; (2) prognostic predictive power in HCC with a score of 0; and (3) differentiation of scores in patients with a poor prognosis. Thus, the JIS score is useful for prediction of prognosis of patients, but is not appropriate for comparison of treatment modalities or selection of optimal treatment.

#### **CLINICAL GUIDELINES FOR HCC**

The BCLC staging system, which is recommended by AASLD and EASL, is used worldwide to plan treatment for HCC. In contrast, in Japan, the treatment algorithm in the Clinical Practice Guidelines for Hepatocellular Carcinoma is commonly used for selection of optimal treatment based on liver function and tumor conditions (Figure 1). BCLC system links stage stratification to a treatment strategy and recommends standard care for a given patient, whereas the Japanese guidelines are not directly associated with clinical tumor stage, such as the JIS score<sup>[22]</sup>. The another major difference between the treatment algorithm used in Japan and the BCLC system is the indication of hepatectomy for HCC with  $\leq$  3 lesions and a diameter  $\leq$  3 cm on Child-Pugh A/B. The BCLC system recommends liver transplantation or radiofrequency ablation (RFA) for HCC with 2 or 3 nodules and a diameter  $\leq$  3 cm. In contrast, the treatment algorithm in Japan recommends hepatectomy for HCC with  $\leq$  3 lesions if liver function is good, regardless of the tumor size. The recommended treatment strategy also differs for HCC with portal hypertension (Table 2). The BCLC system states that liver transplantation or RFA, instead of hepatectomy, is indicated in such patients, but the treatment algorithm in Japan advises that aggressive hepatectomy based on ICGR<sub>15</sub> should be performed because the therapy must yield positive results<sup>[23]</sup>.

## DIAGNOSIS OF CLASSICAL HCC AND TREATMENT FOR EARLY HCC

Classical HCC is diagnosed based on CT images with early arterial enhancement and delayed washout (EASL criteria)<sup>[1,24]</sup>. Various guidelines have also adopted these criteria. Early HCC generally has stromal invasion in the portal region with remaining tumor<sup>[25]</sup> and has a macroscopically small nodular type with indistinct margins. Diagnostic imaging identifies this type as an ischemic mass. Prolongation of survival time by liver resection for early HCC is not significant and is limited due to the lead time bias<sup>[26]</sup>. This suggests that early HCC should be followed up

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Tumor number	Tumor size (cm)	Child-Pugh class	Treatment		
			BCLC system	Japanese guidelines	
Single	2	A, B	Resection	1 Resection	
				2 Ablation	
	2.1-3	А, В	1 Resection	1 Resection	
			2 Transplantation or ablation	2 Ablation	
	3.1-5	А, В	1 Resection	Resection	
			2 Transplantation		
2 or 3 nodules	≤3	А, В	Transplantation or ablation	Resection or ablation	
		С	Palliative care	Transplantation	
	> 3	А, В	Chemoembolization	1 Resection	
				2 Chemoembolization	
4 or more nodules		А, В	Chemoembolization	1 Chemoembolization	
				2 Chemotherapy	
		С	Palliative care	Palliative care	

Table 2 Treatment options for hepatocellular carcinoma in barcelona clinic liver cancer system and Japanese guidelines

Degree of Liver damage replaced Child-Pugh classification as liver function in Japan Revise from ref. [22].

without treatment based on the risk of a second primary cancer. This strategy is accepted according to the HCC management based on the consensus in the Japan Society of Hepatology<sup>[27]</sup>.

# EVIDENCE FOR EFFICACY OF

#### HEPATECTOMY

The indication of hepatectomy for HCC is determined by the balance between liver function and tumor conditions. Excessive liver resection to completely remove lesions based on overestimation of hepatic functional reserve may cause hepatic failure, whereas minimal resection that does not correspond to the degree of tumor progression and focuses only on safety may increase the risk of early recurrence of HCC. Therefore, it is important to select an optimal approach that is appropriate for the degree of tumor progression based on the indication for hepatectomy. The major methods for preoperative assessment of liver function are the galactose tolerance test, <sup>99m</sup>Tc-GSA liver scintigraphy, and the ICG loading test. Makuuchi's criteria are particularly useful for patients with chronic hepatitis or hepatic cirrhosis<sup>[14]</sup>. These criteria are based on three factors: ascites, serum bilirubin, and ICGR15. Patients who still have ascites after diuretic administration or those with a serum bilirubin level that is consistently > 2.0 mg/dL are not indicated for surgery. The patients with 1 < bilirubin level  $\leq$  2.0 mg/dL are indicated for limited liver resection. For eligible patients with serum bilirubin in the normal range of  $\leq 1.0$ mg/dL, the extent of resection is then determined based on ICGR15 as resection of 2/3 of the total liver volume (TLV) (*e.g.*, right lobectomy) in patients with normal ICGR<sub>15</sub> of < 10%; 1/3 of the TLV (*e.g.*, left lobectomy) in those with ICGR<sub>15</sub> of 10%-19%; and 1/6 of the TLV (Couinaud segmentectomy) in those with ICGR<sup>15</sup> of 20%-29%. If ICGR<sup>15</sup> is  $\geq$  30%,

limited resection or enucleation should be applied. A surgical mortality rate of 0% has been reported in 1056 consecutive hepatic resections performed in accordance with these criteria<sup>[28]</sup>.

In portal venous invasion of HCC<sup>[29]</sup>, the area supplied by the portal vein branches should be systemically removed as much as possible within the acceptable range of liver function. A new procedure of systematic subsegmentectomy has been developed to overcome the potential incompatibility between cure of cancer and preservation of liver function<sup>[30]</sup>. A study of survival after hepatectomy indicated a good prognosis in cases with a tumor diameter < 5 cm, a single lesion, capsule formation, no vascular invasion, serum albumin < 4.0 g/dL, and pathological TNM (pTNM) stage I or II. Of these parameters, pTNM stage is the most reliable prognostic factor<sup>[31]</sup>. A study of recurrence-free survival also identified the significant prognostic factors as the tumor stage, tumor size, number of tumors, and capsule formation, and also found that vascular invasion was a poor indicator of long-term survival<sup>[32]</sup>. Risk factors for early recurrence within 2 years postoperatively include non-anatomical resection, microscopic vascular invasion, and AFP  $\geq$ 32 ng/mL<sup>[33]</sup>. A retrospective study showed that the cumulative survival rate was significantly greater after anatomical resection compared to that after non-anatomical resection, which suggests that the surgical technique can influence prognosis<sup>[34]</sup>. A future prospective study is required to clarify all of these findings.

Determination of the acceptable liver remnant volume after hepatectomy is an important task. In general, it is desirable to preserve the 20%-40% of the total liver volume (TLV) or the standard liver volume (SLV) in normal livers<sup>[35-42]</sup>. The MD Anderson group proposed that the smallest acceptable liver remnant volume is  $\geq$  20% of the SLV in cases

without chronic underlying liver disease<sup>[36]</sup>, with the validity of this proposal supported by an analysis of 301 consecutive patients after extended right lobectomy  $^{\left[ 43\right] }.$  On the other hand, there was a mortality rate on postoperative day 60 of 4.7% in this literature cited. However, HCC often develops in livers with chronic hepatitis or hepatic cirrhosis, and major hepatectomy such as lobectomy may induce hepatic failure due to insufficient liver remnant volume. Portal vein embolization (PE) prevents hepatic failure since the portal vein branches in hepatectomy are blocked to induce compensatory hypertrophy in the remnant liver area<sup>[44]</sup>. PE can be applied to cases with  $ICGR_{15} < 10\%$  and a ratio of nontumorous parenchymal volume of the resected liver to that of the whole liver (R2)  $\geq$  60%, and those with ICGR15  $\geqslant$  10% - < 20% and R2 of 40%-60%<sup>[35]</sup>. Three-dimensional CT permits simple and accurate determination of the relative positions of major blood vessels and the tumor, resection ranges, and liver remnant volume<sup>[45]</sup>.

#### **HEPATIC RESECTION**

In liver surgery, hepatic parenchymal transection is associated with increased intraoperative blood loss, postoperative hemorrhage, and early complications such as bile leakage and surgical site infection (SSI). In addition to hemostasis, new devices have been developed to stop bleeding from the resection margin, which allows performance of safer and more secure hepatic resection. The Pringle maneuver, which blocks hepatic inflow once by manual compression of the hepatoduodenal ligament to minimize blood loss during hepatic resection, is also widely used. Several randomized clinical trials (RCTs) have shown that the Pringle maneuver reduces blood loss without affecting liver function<sup>[46,47]</sup>. Hemihepatic vascular occlusion has also been applied when resection is limited to one lobe<sup>[48,49]</sup>.

Bleeding from the hepatic vein occurs most commonly during hepatic resection. Intraoperative hemorrhage is positively associated with central venous pressure (CVP) and several RCTs have shown that a decrease of CVP to  $\leq 5$  cm H<sub>2</sub>O during hepatectomy reduces intraoperative blood loss and stabilizes hemodynamics<sup>[50,51]</sup>. In contrast, infrahepatic inferior vena cava clamping with a low CVP has been shown not to reduce blood loss during hepatectomy<sup>[52]</sup>, and thus the effects of low CVP require further study.

Hepatic parenchymal transection is performed using methods such as clamp crushing<sup>[53]</sup> and devices including the cavitron ultrasonic aspirator (CUSA)<sup>[54]</sup>, Tissue Link<sup>[55]</sup>, water jet scalpel<sup>[56]</sup>, harmonic scalpel<sup>[57]</sup>, floating ball<sup>[58]</sup>, and LigaSure. In clamp crushing, a Pean clamp is used to ligate and resect remaining blood vessels after the hepatic parenchyma is crushed using the clamp. In RCTs, there were Nakayama H et al. HCC resection by Japanese guidelines

no differences in operating time, volume of blood loss, and incidence of postoperative complications between patients treated with clamp crushing and CUSA, but clamp crushing was superior in terms of complete appearance of landmark hepatic veins on the cut surface<sup>[53]</sup>. However, volume of blood loss and incidence of postoperative complications have also been reported to be lower using CUSA compared with clamp crushing<sup>[59]</sup>. A RCT comparing clamp crushing with Tissue Link found no differences in operating time, volume of blood loss and incidence of postoperative complications<sup>[60]</sup>. Another RCT showed the superiority of the LigaSure Vessel Sealing System for liver resection compared to vascular ligation based on clamp crushing<sup>[61]</sup>, but a second RCT found no differences between these techniques<sup>[62]</sup>.

#### PERIOPERATIVE MANAGEMENT

Since 1990, hepatectomy for HCC has been performed with acceptable blood loss of approximately 500 ml at many high-volume medical centers<sup>[28,63-67]</sup>. Allogenic blood transfusion in the perioperative period should be avoided when possible because it is likely to promote cancer recurrence and to induce hyperbilirubinemia and hepatic failure, and lower hematocrit is also desirable for microcirculation in the liver<sup>[68]</sup>. Autologous blood transfusion avoids homogenous red blood cell transfusion and does not increase the frequency of cancer recurrence<sup>[69]</sup>. The use of fresh frozen plasma (FFP) has been recommended for supplement of coagulation factors, maintenance of an effective plasma volume, and volume substitution<sup>[70]</sup>. However, FFP transfusion has also been reported to have no effect on the posthepatectomy course<sup>[71]</sup> and to be unnecessary in Child-Pugh class A cases with intraoperative blood loss of < 1000 mL and serum albumin levels > 2.4 g/dL on postoperative day (POD)  $2^{[72]}$ .

#### PREVENTION OF COMPLICATIONS

Bile leakage is a complication that is specific to hepatectomy and may be intractable. A RCT of the efficacy of a bile leakage test on prevention of bile leakage from the liver resection margin showed no difference in the incidence of bile leakage between patients who did and did not receive the test<sup>[73]</sup>, whereas another RCT found that the test was able to prevent bile leakage and complications after hepatic resection<sup>[74]</sup>. Thus, more cases are required to evaluate the utility of this test.

Other post-hepatectomy complications include hemorrhage and intra-abdominal abscess, and these conditions may be fatal if diagnosis is delayed. Intraperitoneal drain placement is required for monitoring and treatment of these complications, but the efficacy of elective hepatectomy with standardized drain placement has been questioned and the Nakayama H et al. HCC resection by Japanese guidelines

Centers for Disease Control and Prevention (CDC) guidelines state that such routine drain placement is not necessary: "If drainage is necessary, use a closed suction drain. Place a drain through a separate incision distant from the operative incision. Remove the drain as soon as possible"<sup>[75]</sup>. RCTs conducted in several countries on the need for drainage have also concluded that drain placement is not necessary<sup>[76-81]</sup>. Due to differences in the healthcare environment and health insurance system, drain placement has not been completely withdrawn in Japan, but early removal of drains has been recommended<sup>[82]</sup>. A RCT has also shown that subcutaneous drainage is not effective for prevention of SSI<sup>[83]</sup>.

Immunity is weak after hepatectomy and this may result in hepatic failure and disseminated intravascular coagulation (DIC). A RCT of the efficacy of steroid administration for improvement of liver function after hepatectomy compared the post-hepatectomy liver function in patients treated with and without 500 mg/body hydrocortisone before hepatectomy<sup>[84]</sup>. Serum bilirubin levels were significantly lower in the steroid group on POD 2 compared with the nonsteroid group and there were significant differences in serum bilirubin and prothrombin levels until POD 7, which shows the efficacy of steroid administration prior to hepatectomy. To unify the definition of post-hepatectomy liver failure (PHLF), in 2010 the International Study Group of Liver Surgery (ISGLS) proposed defining PHLF as an increased international normalized ratio (INR) and concomitant hyperbilirubinemia on or after POD 5<sup>[85]</sup>. PHLF seems to be the more efficient indicator comprehensively compared to 50-50 criteria<sup>[86]</sup> and MELD score because it is significantly associated with both of the incidence of post-hepatectomy complications and the posthepatectomy mortality<sup>[87]</sup>. As for 50-50 criteria, it was not significantly related to the incidence of posthepatectomy complications. As for MELD score, it revealed less strong association of the odds ratio (2.06) to the post-hepatectomy mortality.

### CONCLUSION

In this article, we have described evidence-based techniques for hepatectomy and perioperative management of HCC. Improved assessment of liver function and development of surgical devices are likely to contribute to safe and effective hepatectomy and a good prognosis for patients.

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