

Simultaneous cryo X-ray ptychographic and fluorescence microscopy of green algae

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Edited by Margaret M. Murnane, University of Colorado at Boulder, Boulder, CO, and approved November 25, 2014 (received for review July 9, 2014)

Trace metals play important roles in normal and in disease-causing biological functions. X-ray fluorescence microscopy reveals trace elements with no dependence on binding affinities (unlike with visible light fluorophores) and with improved sensitivity relative to electron probes. However, X-ray fluorescence is not very sensitive for showing the light elements that comprise the majority of cellular material. Here we show that X-ray ptychography can be combined with fluorescence to image both cellular structure and trace element distribution in frozen-hydrated cells at cryogenic temperatures, with high structural and chemical fidelity. Ptychographic reconstruction algorithms deliver phase and absorption contrast images at a resolution beyond that of the illuminating lens or beam size. Using 5.2-keV X-rays, we have obtained sub-30-nm resolution structural images and ~90-nm-resolution fluorescence images of several elements in frozen-hydrated green algae. This combined approach offers a way to study the role of trace elements in their structural context.

ptychography | X-ray fluorescence microscopy | cryogenic biological samples

X-ray fluorescence microscopy (XFM) offers unparalleled sensitivity for quantitative mapping of elements, especially trace metals which play a critical role in many biological processes (1–3). It is complementary to light microscopy, which can study some elemental content in live cells (with superresolution techniques possible) but which is more difficult to quantitate because it depends on the binding affinities of fluorophores. However, XFM does not usually show much cellular ultrastructure, because the light elements (such as H, C, N, and O, which are the main constituents of biological materials) have low fluorescence yield (4). At the multi-keV X-ray energies needed to excite most X-ray fluorescence lines of interest, these light elements show little absorption contrast, but phase contrast can be used to image cellular structure (5, 6) and this can be combined with scanned-beam XFM (7–11).

One can also acquire phase-contrast X-ray images with a resolution beyond X-ray lens limits by recording the diffraction pattern from a coherently illuminated, noncrystalline sample in an approach called coherent diffraction imaging (CDI) (12). This approach has been used to image isolated dried cells (13-15), and 3-nm resolution has been achieved when imaging silver nanocubes (16). The traditional CDI approach requires that samples meet a so-called "finite support" (17) requirement with no observable scattering outside of a defined region; although some limited success has been obtained (18, 19), this finite support condition has proven difficult to achieve with single cells surrounded by ice layers. Ptychography (20-22) is a recently realized CDI method [with an older history (23)] that circumvents this isolated cell requirement by instead scanning a limitedsize coherent illumination spot across the sample. Ptychography has been used to image freeze-dried diatoms at 30-nm resolution (24) and bacteria at 20-nm resolution (25), and frozen-hydrated yeast at 85-nm resolution (26), whereas ptychographic tomography has been used to image nanoporous glass to 16-nm 3D resolution (27).

The spatial resolution of ptychography can in theory reach the wavelength limit. However, radiation damage limits the achievable resolution in X-ray microscopy of hydrated biological specimens (28). A good approach to reduce beam-induced degradation of the sample is to work with frozen-hydrated biological specimens under cryogenic conditions (29, 30). Cryogenic samples can provide high-fidelity structural (31) and ionic elemental (32–34) preservation, and mitigate the effects of radiation damage (35). As a result, the combination of cryogenic sample conditions with fluorescence and ptychographic X-ray imaging can provide simultaneous views of ultrastructure and elemental compositions of specimens at high resolution.

We demonstrate this combination at the Bionanoprobe (34), a hard X-ray fluorescence nanoprobe with cryogenic sample transfer capabilities at beamline 21-ID-D of the Advanced Photon Source at Argonne National Laboratory. Fig. 1 shows the schematic of the experimental layout. A monochromatic X-ray beam at 5.2-keV photon energy is focused by a Fresnel zone plate with 85-nm theoretical Rayleigh resolution onto the sample maintained at a temperature below 110 K in the ~ 10^{-7} -torr vacuum environment of the microscope. The fluorescence spectra and

Significance

X-ray fluorescence microscopy provides unparalleled sensitivity for measuring the distribution of trace elements in manymicrometer-thick specimens, whereas ptychography offers a path to the imaging of weakly fluorescing biological ultrastructure at beyond-focusing-optic resolution. We demonstrate here for the first time, to our knowledge, the combination of fluorescence and ptychography for imaging frozen-hydrated specimens at cryogenic temperatures, with excellent structural and chemical preservation. This combined approach will have significant impact on studies of the intracellular localization of nanocomposites with attached therapeutic or diagnostic agents, help elucidate the roles of trace metals in cell development, and further the study of diseases where trace metal misregulation is suspected (including neurodegenerative diseases).

The authors declare no conflict of interest.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10. 1073/pnas.1413003112/-/DCSupplemental.

Author contributions: J.D., D.J.V., Q.J., S.V., and C.J.J. designed research; J.D., D.J.V., S.C., Q.J., and N.W.P. performed research; J.D., D.J.V., Y.S.G.N., T.P., R.R., S.V., and C.J.J. analyzed data; and J.D., D.J.V., and C.J.J. wrote the paper.

This article is a PNAS Direct Submission.

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Fig. 1. Schematic of the experimental layout of combined cryogenic fluorescence and ptychographic imaging. A cryogenic sample is raster-scanned through a focused X-ray beam; at each scan position, an energy-dispersive detector records the X-ray fluorescence spectrum from the sample, whereas a pixelated area detector records the far-field diffraction pattern.

far-field diffraction patterns are recorded simultaneously as the sample is raster-scanned, yielding two independent but complementary contrast modes: the fluorescence signal provides quantitative elemental composition from all but the lightest elements, whereas the ptychographic image reconstructed from diffraction patterns shows biological structure at high resolution. The combination of fluorescence and ptychography has been demonstrated for imaging metallic test structures (36), and freeze-dried diatoms (24). We address two challenges in this combination. First, the need for single-mode coherence in traditional CDI would reduce the flux that can be used from partially coherent sources, leading to long imaging times; we have used a multimode reconstruction method (37) to allow us to open up spatially filtering apertures for higher flux (Fig. S1). Second, as the spatial resolution of fluorescence imaging is limited by the beam size, the focused beam needs to be as small as possible (24, 38) (about 80-nm FWHM for the combined probe modes in our experiment; see Fig. S2). This is different from the practice in many other ptychography experiments where a coherent beam spot of $\sim 300-3000$ nm is scanned in a spiral pattern with overlaps, yielding a smaller number of diffraction patterns (27, 39, 40). In our case, the smaller beam size combined with the ptychographic overlap requirements leads to a larger dataset for reconstruction; we have used graphical processing units (GPUs) to significantly speed up data processing.

Results

In Fig. 24, we show the ptychographic data from a frozenhydrated alga (Ostreococcus sp.), which is the average of far-field diffraction patterns from the scan points within the cell region. The diffraction pattern is dominated by a red annulus, which is the far-field diffraction pattern of a Fresnel zone plate with a central stop. Scattering outside of the annulus is due primarily to diffraction from the alga. Fig. 2B shows the azimuthally averaged power spectrum of this average diffraction pattern after subtracting the average diffraction measured with no sample present; this power spectrum shows that the signal S declines with spatial frequency f in a power-law relationship $S \propto f^{-3.54}$ before reaching a noise floor at high spatial frequencies. This $f^{\sim -3.5}$ signal decrease is consistent with what is observed in model objects (41), in scanning X-ray microscope images (42, 43), and in other CDI and ptychography experiments (14, 25). The spatial resolution of the ptychography reconstruction cannot exceed that corresponding to the maximum spatial frequency at which photons are distinguishable from noise, which in this case is at $29 \ \mu m^{-1}$ with a corresponding half-period size of 17 nm.

Ptychography allows for the recovery of both the probe and the complex object transmission function (22). This quantifies the X-ray phase shift and absorption due to the object [although phase contrast is much stronger than absorption contrast for hard X-ray imaging of light elements (5, 6)]. The enhanced resolution given by ptychography exceeds the lens resolution limit, unlike that of conventional X-ray phase contrast microscopy. To illustrate this, absorption contrast and horizontal differential phase contrast (DPC) images were extracted from the collected diffraction patterns (22, 44), which are shown in Fig. 3 A and B, respectively. Because light elements are largely transparent to hard X-rays, there are few visible features of the alga in the absorption contrast image, which is dominated by imperfectly normalized incident flux variations. The alga is visible in DPC; however, the resolution of the image is limited by the focused beam size to be no better than the Rayleigh resolution of 85 nm. Ptychography reconstructions were carried out using the extended ptychographic iterative engine (ePIE) algorithm (45), with scan-line to scan-line flux variations (the horizontal lines in Fig. 3A) normalized out by setting the outside-of-cell area to have constant signal values. With a partially coherent source, tradeoffs between coherence degree and total flux of the beam must be considered. We chose to work with



Fig. 2. View of the ptychographic data produced by X-ray diffraction from a frozen-hydrated *Ostreococcus* alga, acquired at 5.2-keV photon energy. (A) The average (logarithmic scale) of 4,000 far-field diffraction pattern recordings from the cell region within the 100×100 -point scan; the high-intensity red annulus represents the incident beam focused by a Fresnel zone plate with a central stop, whereas the light blue areas represent significant scattering from the cell (the speckles of individual diffraction patterns are not visible in this summed image). By subtracting the diffraction pattern measured with no sample present, and carrying out an azimuthal average, one arrives at *B*, which is a plot of diffraction from the alga as a function of spatial frequency *f*. The diffraction intensity signal *S* decreases as $S \propto f^{-3.54}$ until, at a length scale with a half-period of about 17 nm, it reaches a flat region consistent with spatially uncorrelated noise fluctuations.



Fig. 3. Images of a frozen-hydrated *Ostreococcus* alga obtained from 100×100 -point scan data. (A) Absorption contrast image of the alga, obtained from the total signal recorded on the pixelated area detector at each scan point. (B) Differential phase contrast image in the horizontal direction, obtained by plotting the first moment of the diffraction patterns as a function of position. (C) Phase of the sample complex transmission function reconstructed via ptychography. The arrow points to structures that resemble ribosome-like complexes observed in cryo electron microscopy studies of similar algae (46). (D) X-ray fluorescence maps of the distributions of the elements K, S, and P, along with their color-composite overlay on the ptychographic image C. For the fluorescence images, the numbers of X-ray photons recorded per pixel dwell time are shown as "counts." The presence of K within the cell suggests good preservation of membrane integrity in the frozen-hydrated sample preparation.

higher flux and instead reconstruct several mutually incoherent probe modes along with the object (Fig. S1). The reconstruction details are provided in Materials and Methods. Fig. 3C shows the phase of the cell complex transmission function reconstructed via ptychography. More structural details are shown in this phase image. The dark spots (indicated by an arrow), visible only in the ptychographic image, are suggestive of ribosome-like complexes observed in cryo electron microscopy studies of similar algae (46). The fluorescence maps (Fig. 3D) show the elemental distributions of K, S, and P within the sample, which can be excited with the 5.2-keV beam used in this experiment (beam energies of 10 keV are commonly used in X-ray fluorescence experiments to excite a broader range of elemental signals, but for this first demonstration we emphasized improved contrast in ptychographic images). The presence of potassium (K) within the cell indicates good preservation of membrane integrity in the frozen-hydrated cell preparation. Power spectrum analysis of the fluorescence images shows a rolloff to noise at a half-period of about 90 nm (Fig. S3), which is consistent with the 85-nm calculated Rayleigh resolution of the X-ray probe beam.

We have also imaged the unicellular green algae *Chlamydomonas* reinhardtii, which serves as a model organism for molecular genetic

studies (47, 48). Fig. 4A shows fluorescence maps of the elements P, S, K, and Ca (where the K map again shows good membrane containment of diffusible ions), whereas Fig. 4B shows the phase of the cell transmission function which is reflective of light elements comprising the main cellular structures. The contrast and visibility of cellular structures in this image is not dissimilar from Chlamydomonas images obtained using soft X-ray cryo microscopy (29). The pyrenoid in the chloroplast, highlighted slightly in the S fluorescence image, can be easily identified in the ptychographic image. A starch sheath with gaps between starch plates is formed at the periphery of the pyrenoid (49, 50). Several electron-dense spherical structures in the ptychographic image show large amounts of P and Ca in fluorescence maps, presumably representing polyphosphate bodies that contain polyphosphate complexed with calcium (51). Structural and elemental features of polyphosphate bodies have been studied by electron microscopy and X-ray microanalysis, respectively (51, 52), whereas both of these are simultaneously revealed in our study.

Several methods have been used to estimate the spatial resolution of images obtained from coherent diffraction patterns. For traditional CDI involving a single diffraction pattern, the phase retrieval transfer function (PRTF) (53) [also called the intensity



Fig. 4. Fluorescence maps and ptychographic image of a frozen-hydrated *C. reinhardtii* alga obtained from 167×151 -point scan data. (A) Elemental distributions of P, S, K, and Ca within the cell. (B) Phase image reconstructed via ptychography. A number of organelles can be identified inside the cell wall (Cw): polyphosphate bodies (Ph), pyrenoid (Py), thylakoids (Th), chloroplast (Ch), starch granule (Sg), and starch sheath (Sh). Some ice changes can be seen in the top half of the cell due to a malfunction of a cryogenic component during the second half of the scan (*Materials and Methods*). One can also see three white spots where, due to glitches in the scan control, the X-ray beam was allowed to dwell for a long time, thus leading to "beam burn," which has been observed before in frozen-hydrated specimens at cryogenic temperatures (see, e.g., figure 8 of ref. 30).

ratio (14)] is a commonly used method to assess resolution by finding the finest periodicity at which phases are consistent in iterative phase retrieval (Fig. S4). However, the diffraction patterns in ptychography have contributions from two unknown but entangled functions (object and probe, with the probe function being stronger and with possible variations between illumination spots), and this complicates PRTF analysis of the reconstructed object (40). Here, we have estimated the spatial resolution of the reconstructed phase image using Fourier ring correlation (FRC) (54, 55), which is a well-established approach to measure resolution in cryo-electron microscopy. Because two independent datasets are needed for FRC analysis, each ptychographic dataset was split into two subsets which were then reconstructed [Fig. S5; this resulted in fewer measurements and less overlap between illumination spots in one reconstruction, which is known to reduce the resolution and fidelity of the reconstructed image (56)]. The FRC was computed between these two independent reconstructed images (details of the FRC analysis are provided in SI Text). Fig. 5 shows the computed FRC as a function of spatial frequency. The 1/2-bit threshold criterion (55) was used to quantitatively estimate the image resolution from the FRC. The intersection between the FRC and 1/2-bit threshold indicates a spatial resolution of 28 nm in the Ostreococcus image and 26 nm in the Chlamydomonas image. This provides a conservative estimate of the spatial resolution achieved in that the images obtained of the full datasets show somewhat greater detail than either of the split-data images, and FRC measurements of full-data reconstructions obtained from different random-phase starts of the ptychographic reconstruction algorithm also suggest higher resolution (SI Text).

Discussion

The work reported here demonstrates a biological sample imaging method for frozen-hydrated cells by combining cryogenic fluorescence and ptychographic X-ray imaging techniques. By rapidly freezing the sample and maintaining it at cryogenic



Fig. 5. Resolution estimation of the ptychographic phase images of *Ostreococcus* (Fig. 3) and *Chlamydomonas* (Fig. 4) by FRC. In each case, the overlapping beam spot data were divided into two separate sets, with each set reconstructed to yield two images of the same object from independent data. This approach leads to lower resolution images than one obtains by using the full dataset, but it provides a conservative estimate of the spatial resolution. FRC measures the phase correlation of the Fourier transforms of the two images at various spatial frequency ranges, or length scales.

conditions, its structural and elemental information can be better preserved while radiation damage is minimized. The fluorescence images show some elemental composition within the sample, whereas ptychography visualizes the light-element biological structure at high resolution. By setting the elemental signals in the same context with high-resolution biological structures given by ptychography, the analysis of trace elements can be improved. Although we show here 2D images, both ptychography (39) and fluorescence (57) are compatible with tomographic approaches to nanoscale 3D imaging of biological materials. Compared with "water window" soft X-ray tomography (30, 58, 59), tomography with multi-keV X-rays offers the capability for increased sample thickness, and increased depth of focus for a given spatial resolution, which allows this combined method to image larger cells and tissue sections in 3D. This method will aid the interpretation of studies of the localization of nanoparticles attached to therapeutic agents (1, 60), or the role of metals in cell development (61), and in diseases where trace metal misregulation is implicated as a cause (62). One could of course carry out separate experiments where one first does a fluorescence scan with a finely focused beam, followed by a separate ptychographic imaging experiment with a larger beam spot and fewer illumination points; this would however involve a longer time for the experiment if done in one instrument, or risk of specimen frosting if one instead transferred the cryo sample to a separate instrument. It would also involve a higher radiation dose, because the sample would have to be exposed twice to collect the signals separately.

Materials and Methods

Frozen-Hydrated Sample Preparation. Ostreococcus algae were purchased from Provasoli-Guillard National Center for Marine Algae and Microbiota at Bigelow Laboratory for Ocean Sciences (cat #CCMP2407). C. reinhardtii [American Type Culture Collection (ATCC) No. 18798] were grown mixotrophically in a tris-acetate-phosphate medium at 296 K on a rotary shaker (100 rpm). Five microliters of fresh cell suspensions were dispersed on Si_3N_4 windows (200-nm thick, 1.5×1.5 -mm membrane area) and incubated for 10 min in a humidified Petri dish. The windows were then mounted and plunge frozen in liquid nitrogen cooled liquid ethane using FEI Vitrobot Mark IV plunge freezer following the manufacturer's instructions with the chamber set at 20 °C, 100% humidity, a blot time of 2 s, a blot offset of 0 mm, and a blot total of 1 to reduce the overlying water layer to about 1-µm thickness. The frozen-hydrated cells on Si₃N₄ windows were then transferred from liquid ethane to liquid nitrogen and observed under a cryogenic light microscope (Nikon 50i light fluorescent microscope with a N.A. = 0.45 CFI Super Plan Fluor ELWD (extra long working distance) objective, equipped with an Instec CLM77K cryo stage) at -170 °C (Fig. S6). Isolated single cells were chosen for investigation.

Ptychography and Fluorescence Experiments. Ptychography and fluorescence scanning experiments were conducted at the Bionanoprobe at the 21-ID-D beamline of the Advanced Photon Source, Argonne National Laboratory. A beam energy of E = 5.2 keV was used to maximize the partially coherent flux (because coherent flux scales as source brightness divided by E^2), and ptychographic image contrast. A partially coherent portion of the incident beam was selected by movable slits ~27 m from the undulator source. The transverse coherence length was governed by the slit width of 50 µm. Longitudinal coherence was determined by the bandwidth of $\Delta E/E \approx 0.02\%$ of the double-crystal Si(111) monochromator. The partially coherent beam was focused by a 160- μ m-diameter Fresnel zone plate with an outermost zone width of 70 nm. The focused flux was of the order of 3×10^8 photons/s. The estimated radiation dose imparted to the specimen with 3-s exposure times per (40 nm)² pixel was about 1.4×10^9 Gy, which is above the 4.3×10^7 -Gy dose at which atom-to-atom spatial correlations are significantly reduced as observed in X-ray diffraction patterns (63), but well below the dose (which we estimate to be about 3×10^{13} Gy) used for single metal atom detection in electron microscopy (64). In other experiments using cryo X-ray microscopy, no significant mass loss or redistribution has been observed at 10⁹ Gy doses at 30–100-nm length scales when studying frozen-hydrated specimens at cryogenic temperatures (29, 65). The sample was kept in the Bionanoprobe high-vacuum (~ 10^{-7} torr) chamber at cryogenic temperature below 110 K. A collimated four-element silicon drift detector (Vortex-ME4, Hitachi High-Technologies Science America) with a total active area of 170 mm² and

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maximum solid angle acceptance of about 0.65 steradians was mounted at 90° with regard to the incident X-ray beam to collect fluorescence signals. A Dectris Pilatus 100K photon-counting hybrid pixel array detector was positioned 2.2 m from the sample to record diffraction patterns. Frozen-hydrated samples were raster-scanned using a step size of 40 nm: with positioning and computer overheads, the total scanning time for an *Ostreococcus* alga (100 × 100 scan grid, 3-s exposure time) was about 10 h, and was about 6.5 h for a *Chlamydomonas* alga (167 × 151 scan grid, 0.5-s exposure time). One thing to note is that the cold shield (which surrounds the sample to maintain a stable temperature) suffered a mechanical problem during the second half of the *Chlamydomonas* scan; as a result, the sample chuck suffered a temperature increase to 143 K, leading to some changes in ice condition in the top half of Fig. 4*B* (scan from the bottom to the top).

Reconstructions. The diffraction data from *Ostreococcus* alga were divided into two subsets (and *Chlamydomonas* into four subsets) because of the memory capacity of the graphical processing unit (Tesla M2050 GPU) used. Each subset was reconstructed using the ePIE algorithm (45) with the multimode modification proposed by Thibault and Menzel (37) with GPU parallel programming. The probe function was set as a superposition of eight probe

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modes that were individually fully coherent but mutually incoherent. Each reconstruction ran for 500 iterations with the probe updating beginning from the 10th iteration. The reconstructed phases from the subdatasets were set to a matching global phase shift and then recombined into one image. The FRC was computed between two independent ePIE reconstructions from separated datasets (*SI Text*). Before computing the FRC, the two phase images were aligned with subpixel precision using an efficient image method based on cross-correlation (66) and then were multiplied by a soft-edged mask (Tukey window) to avoid correlation from the image field boundaries. See Nashed et al. (67).

ACKNOWLEDGMENTS. We thank R. Mak and M. Guizar-Sicairos for valuable discussions, and K. Brister, C. Roehrig, J. VonOsinkski, and M. Bolbat for help during the experiments. We thank NIH National Institute of General Medical Sciences for support of this work under Grant 1R01GM104530. The Bionanoprobe is funded by NIH/National Center for Research Resources High End Instrumentation Grant 1S10RR029272-01 as part of the American Recovery and Reinvestment Act. Use of the Advanced Photon Source, an Office of Science User Facility operated for the US Department of Energy (DOE) Office of Science by Argonne National Laboratory, was supported by the US DOE under Contract DE-AC02-06CH11357.

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