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# The oral microbiome and the immunobiology of periodontal disease and caries

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# Abstract

The composition of the oral microbiome differs from one intraoral site to another, reflecting in part the host response and immune capacity at each site. By focusing on two major oral infections, periodontal disease and caries, new principles of disease emerge. Periodontal disease affects the soft tissues and bone that support the teeth. Caries is a unique infection of the dental hard tissues. The initiation of both diseases is marked by an increase in the complexity of the microbiome. In periodontitis, pathobionts and keystone pathogens such as Porphyromonas gingivalis appear in greater proportion than in health. As a keystone pathogen, P. gingivalis impairs host immune responses and appears necessary but not sufficient to cause periodontitis. Historically, dental caries had been causally linked to Streptococcus mutans. Contemporary microbiome studies now indicate that singular pathogens are not obvious in either caries or periodontitis. Both diseases appear to result from a perturbation among relatively minor constituents in local microbial communities resulting in dysbiosis. Emergent consortia of the minor members of the respective microbiomes act synergistically to stress the ability of the host to respond and protect. In periodontal disease, host protection first occurs at the level of innate gingival epithelial immunity. Secretory IgA antibody and other salivary antimicrobial systems also act against periodontopathic and cariogenic consortia. When the gingival immune response is impaired, periodontal tissue pathology results when matrix metalloproteinases are released from neutrophils and T cells mediate alveolar bone loss. In caries, several species are acidogenic and aciduric and appear to work synergistically to promote demineralization of the enamel and dentin. Whereas technically possible, particularly for caries, vaccines are unlikely to be commercialized in the near future because of the low morbidity of caries and periodontitis.

# 4 keywords for indexing

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# Introduction

The digestive system begins with the oral cavity where food and microorganisms are introduced, mixed with salivary proteins and digestive enzymes, swallowed, and enter the lower gastrointestinal (GI) tract to be further digested. In the oral environment, several unique ecological niches can be mapped where microorganisms establish in consortial communities. Failing to establish in oral communities, some environmental microorganisms simply transit to the lower GI tract.

Despite continuous shedding of superficial epithelial layers, the oral mucosae are persistently colonized by microorganisms growing in unique ecological niches. One distinctive feature of the oral cavity is the surface of the tooth or tooth enamel (Fig 1). This non-shedding surface supports the growth and maturation of a complex microbial biofilm. The nutrient foundations of the microbiota surviving on mucosae or within the tooth biofilm are the proteins and glycoproteins of saliva, and the carbohydrates, proteins and lipids of dietary food. Since the teeth are anchored to the jaws, but grow out of the gums or gingivae, serum proteins that exude at the gingival sulcus (the junction of the tooth and the gingiva; Fig. 2) are an additional source of nutrients in specific ecological niches. In this review, we will discuss the composition of the microbiota that has shed from oral surface niches into saliva, biofilm communities on the tooth enamel, and within gingival sulcus. The current literature reveals that contrary to what occurs in the GI tract, initiation of oral infectious diseases and disease status are associated with increased diversity and richness of the microbiota. Oral health is associated with low diversity and richness within the microbial community. This review also highlights the host response to the oral microbiomes in specific niches by consideration of the immunopathogenesis of periodontal disease and the immune defenses against caries.

# The CORE oral microbiome today

Many basic observations of the composition of the oral microbiome were formulated well before ribosomal RNA-based systematics. Unachievable with classical methods, however, the power and scope of molecular taxonomy have resulted in the discovery of new phylotypes and, more importantly, a high level of bacterial community analysis that had been hypothesized [1].

Today, one of the most important databases of taxa present in the oral cavity is the Human Oral Microbiome Database (HOMD) (http://www.homd.org/). HOMD stores 34,753 filtered cloned sequences representing a wide variety of healthy and diseased sites throughout the oral cavity such as the dorsum of the tongue, lateral sides of the tongue, buccal fold where the gingiva folds into the cheek, surface of the cheeks (buccal mucosa), hard palate, soft palate, labial gingiva, tonsils, and supragingival and subgingival plaques from tooth surfaces (Fig. 1). [2,3]. As a system open to the environment, accounting for 100% of the organisms found in the oral cavity can potentially include all of the organisms in nature. Classified as operational taxonomic units (OTUs), the organisms of the oral cavity will differ among individuals, reflecting diet, sampling times of day, and geographical locations. From a data-driven perspective, the HOMD 34,753 clones yielded 1179 OTUs; 875 OTUs comprise 99%

of the clones. The remaining 1% or 347 clones represent 304 OTUs, comprising organisms rarely retrieved from the oral cavity. As of March 2014, HOMD stores 688 taxa, of which 343 are named species, 101 are unnamed cultivated microorganisms and 243 are uncultured phylotypes [4]. The relative abundance of the oral microbiome at the genus level stored in HOMD is presented in Figure 3 (reviewed in [5]).

Representing a minimally redundant collection of OTUs regularly found in the oral cavity (the "core" microbiome), CORE (http://microbiome.osu.edu/) is another sequence database complementary to HOMD (Fig. 4) [6]. The CORE database contains 636 phylotypes; each phylum was defined to contain DNA sequences that are 98% similar. At this level of similarity, patterns of variation due to sequence artifacts could be distinguished from heterogeneity among paralogous operons and the co-existence of similar but differentiated taxa [7]. Of the 636 phylotypes, 365 presently lack a cultured member and none of which is a singleton sequence. Singleton sequences are unique with no overlap with other sequences to generate the contig, or with so many overlaps that cannot be assembled to be assigned to any particular phylum. Interestingly, 1000 pyrosequencing reads were compared from subgingival samples of 24 patients, sequences were more effectively assigned to existing taxa by both CORE and HOMD than the Ribosome Database Project or GenBank [6].

# Oral microbiome modulated by dietary habits

The members of the oral microbiome in health or disease appear to select depending on the availability of nutrients (reviewed in [8]). Diet of the human host appears to shape the symbiosis of the microbiota residing on the mucosae and on the non-shedding surfaces of teeth. A simple example is the use of refined carbohydrates in the diet of modern humans. Sucrose contributes to increased risk of caries.

Shifts in the diet of early human ancestors to modern foods have contributed to subtle changes in the oral microbial community. The oral microbiome of Neolithic huntergatherers appeared surprisingly stable over the millennia to medieval times in the proportional composition of different phyla [9]. Although small changes were detectable in the composition of the microbiota between hunter/gatherers, early agriculturist humans, and medieval humans, shifting dietary habits was generally associated with a decrease in microbial diversity. Interestingly, periodontal disease-associated taxa, including Porphyromonas gingivalis and members of the Tannerella and Treponema genera, were more prevalent in the farming than hunter-gatherer populations. The decrease in microbial diversity culminated in dramatic shifts in the proportional composition of the microbial communities of humans of the industrial revolution. In contrast, from medieval to modern times, the oral microbiome associated with the gingival sulcus and periodontal disease also appeared to change little in the face of significant dietary changes and the advent of antibiotics [10]. From early humans to modern times, subtle trends include a decrease in non-pathogenic clostridia taxa and members of the *Ruminociccaceae* family, and an increased frequency of caries-associated Veillonellaceae, Lachnospiraceae, and Actinomycetales. Notably, the frequency of S. mutans is significantly higher in modern samples than in preindustrial agricultural samples [9]. It is reasonable to point out, however, that DNA sequences buried in ancient calculus sampled to compare to modern viable

microbiomes may not be representative of the loose plaque biofilm directly facing the epithelium of the periodontal pocket or sites on the tooth surface that are susceptible to caries.

Clearly, the environment and perhaps host genetics modify the oral microbiome. In South American Amerindians living in a remote village of the Amazon forest, who are less exposed to selective pressures of modern diet and are genetically less diverse than multiracial and multiethnic urban societies, show a more restricted oral mucosal microbiome than urban people. Despite the lower number of genera identified, the Amerindians harbor an increased frequency of previously unclassified *Proteobacteria* [11]. Similarly, remote Eskimo tribes showed low prevalence of periodontal disease and caries [12] until modern diets were introduced [13], whereas Sri Lankan tea workers with diets essentially identical to early ancestors and in the absence of conventional oral hygiene measures had little caries but showed a range of incidence and severity of periodontitis as people aged in a landmark longitudinal study [14]. In the Sri Lankan population, the severity of periodontitis appeared to reflect the presence of putative pathogens in the subgingival microflora [15]. Since the Sri Lankans employed no oral hygiene measures and enjoyed similar diets, host genetic polymorphisms within racially and ethnically similar populations may account for differences in acquisition or outgrowth of pathogens and the occurrence of disease.

During the past few hundred years, the human mouth appears to have become a substantially less biodiverse ecosystem. Since higher phylogenetic diversity is associated with greater ecosystem resilience [16,17], the decreased diversity of the modern oral environment may be associated with less resistance to perturbations and greater susceptibility to insertion of pathobionts or even true pathogens in the microbial community [9]. Whereas this hypothesis has yet to be tested, the microbiota of different ecological niches within the oral cavity may reflect greater or less stability over time.

# Characteristics of different oral ecological niches

In defining health and disease or the microbial communities that prelude the establishment of caries or periodontal disease, it is critical to define the characteristic microbiota within specific ecological niches. In the broadest terms, the oral cavity harbors at least five communities: the teeth, which are non-shedding surfaces; the saliva; the dorsal and lateral surfaces of the tongue; and the gingival sulcus and the periodontal pocket; and the remaining epithelial surfaces of the oral mucosae [2,18]

## Salivary microbiome

Saliva has no indigenous microbiota. The bacteria in saliva are those shed from biofilms on oral tissues. All epithelial surfaces desquamate, releasing associated bacteria into the bathing saliva. The salivary microbiome consists disproportionally of microorganisms from the tongue biofilm. The papillate surfaces of the tongue harbor a microbiota skewed towards anaerobic genera such as *Prevotella* and *Veillonella*, whereas the ventral surface bears a microbiota rich in streptococci and *Gemella* [19]. Consistent with the contribution of the shedding biofilm from the tongue, the salivary microbiome has been reported to contain a number of genera with the most prevalent or autochthonous being *Prevotella* and

*Streptococcus* (Fig. 5) [20]. Genera present at <1% prevalence are considered transients or allochthonous microorganisms.

#### Saliva and salivary constituents

The tissues and surface biofilms of the oral cavity are constantly immersed in saliva. The myriad proteins and glycoproteins in saliva provide lubrication for mastication and gustatory sensation, and both support and antagonize biofilm formation [21]. In conditions of nutrient deprivation, bacteria in the oral milieu show consortial behavior to facilitate metabolism of specific salivary glycoproteins as a nutrient source [22]. A salivary film conditions the enamel of the tooth crown. In the salivary film, salivary proteins (glycoproteins) are available to interact with microbial adhesins of pioneer colonizers as modeled in vitro, facilitating the initiation of biofilm formation on the tooth surface [23–25]. Expression of adhesins is dynamic and responsive to the adhesion status of the pioneer colonizer [26]. As the salivary film transitions from the crown into the gingival sulcus, the composition changes and the proportion of serum proteins increases due to the proximity with the gingival crevicular fluid (GCF) (Fig. 2) [27]. With the adsorption of serum proteins, the composition of the dental biofilm also changes from predominantly pioneer *Streptococci* and *Actinomyces* spp. to an increased proportion of putative periodontal pathogens.

Salivary proteins (glycoproteins) that affect oral biofilm formation include secretory IgA [28], mucins [29], agglutinin (GP340) [30], and proline-rich proteins [31,32]. These proteins can promote microbial adhesion because the salivary film and its constituent proteins coat the teeth and mucosal membranes [33]. Also present as fluid-phase salivary constituents, however, the constituent proteins also appear to promote desorption, agglutination and microbial clearance by swallowing of saliva. Indeed, saliva is swallowed at the rate of ~1 mL per minute. The constituent antimicrobial proteins and peptides of whole saliva include cystatins [34] and histatins [35,36], lysozyme, lactoferrin and lactoperoxidase [37], defensins [38], cathelicidin [39], and calprotectin (S100A8/A9) [40]. The antimicrobial proteins/peptides all likely limit the overgrowth of many species in the dental biofilm.

# Microbiota on teeth

Unlike the shedding surfaces of the oral epithelia, the tooth surfaces are the only "non-shedding" surfaces in the oral cavity. The non-shedding surfaces facilitate a stable anchoring location for long-term biofilm development. As a substrate for biofilm formation, the surfaces of the teeth are more complex than the hydroxyapatite mineral of the enamel forming the crowns and the cementum, which coats the roots. The tooth enamel in the mouth is coated with a salivary film, whereas the roots can be coated with an admix of salivary and serum proteins. The protein-rich films are the actual sites of initial adhesion of the pioneer microbial colonizers (reviewed in [33,41]. Pioneer streptococcal adhesins initially bind the salivary film of the enamel via "catch-bond" or shear-enhancement interactions, requiring sheer-induced conformational changes in the adhesins [42]. As the biofilm matures, the community becomes more complex. During maturation, the changing architecture of the dental plaque biofilm reflects the forces of interspecies coaggregation more than new interactions between early tooth colonizers with the salivary film (Fig. 6) [43].

The dental plaque microbial community that forms on the enamel salivary film (supragingival; above the gum line) differs from the subgingival (below the gum line) community, which forms on the proteinaceous film that coats the cementum of the root. As the growth of the community extends along the root and away from the salivary environment, the film contains more serum and less saliva. The environment becomes more anaerobic and increasing shielded from foodstuffs and extremes in pH and temperature. Surface sheer is reduced. Consequently the subgingival and supragingival communities differ in the proportion of facultative bacteria.

The microbiota of the tooth surface (crown) has a composition slightly different than the saliva with *Streptococcus* ssp. and *Veillonella* being the most prevalent (Fig. 7). These frequency distributions among the different taxa however should be considered in light of the method of analysis. Cloning and sequencing of 16s rRNA genes and pyrosequencing of the different V (variable) regions of 16s rRNA genes have different levels of bias in amplifying such variable rRNA sequences [44].

#### Ecological niches on the crown of the tooth

The tooth crown can be further divided in five distinct areas and ecological niches, each characterized by specific caries risks: the occlusal or chewing surface; the approximal surface or contact point between teeth; the supragingival surface; the buccal or cheek-contacting surface; and the lingual surface approximating the tongue (Fig. 1).

Occlusal and approximal surfaces are the most susceptible to caries. These ecological niches harbor microbial communities that are acidogenic, producing organic acids, and/or aciduric and able to withstand an acid environment. Plaque community diversity is greater on the approximal and lingual (tongue) surfaces of molar teeth, and less diverse on buccal (cheek) and anterior or front teeth [18,45]. The approximal surfaces are protected from regular toothbrushing and more susceptible to caries development. Plaque stagnates in these sites; the resident microbiota is bathed, however, in a serum-like exudate. This exudate, gingival crevicular fluid, is dissimilar from saliva and further contributes to the proportional composition of the microbiota. The microbiota in the approximal area differs from other flat surfaces of the crown or the chewing surfaces where the enamel forms pits and fissures. Therefore the composition of the tooth microbiota is influenced not only by the location of the tooth within the mouth and the proximity to salivary flow from nearby ducts, but also by the anatomy and physiology of the tooth surface and the surrounding gingiva (Fig. 8).

Hence, the undisturbed and more established ecological niches of the tooth display more diverse communities [18,45]. Since more established and undisturbed microbial communities are more susceptible to caries, these findings seem to contradict the earlier hypothesis established for the intestine, where a less diverse community is more permissive to the insertion of new pathogenic taxa [17].

#### Gingival sulcus and periodontal pocket

The gingival sulcus and periodontal pocket form unique ecological niches for microbial colonization. The gingival sulcus or crevice is a space between the enamel of the tooth

crown and the epithelium of the visible gingiva that folds to create an epithelial-lined crease around the tooth (Fig 2). Superficially the sulcus or pocket opens into the oral cavity, whereas the base of the trough is bounded by a thin, modified epithelium (junctional epithelium), which ends where the connective tissue bundles attach to the cementum surface of the root [46]. The sulcular and junctional epithelia are immunologically active sensors of the proximal dental plaque biofilm, expressing Toll-like receptors and other pathogen recognition receptors (PRR) on the lining stratified squamous, keratin-17-positive epithelial cells [47]. The keratinocytes of the gingival epithelium connect to one another through desmosomes and cell adhesion molecules such as CEACAM1 [46]. The junctional and sulcular epithelia release chemotactic molecules such as IL-8 (CXCL8) MCP-1 [48], CCL28, secretory leukocyte protease inhibitor (SLPI) [47,49] and RANTES [50]. These cytokines and chemokines signal neutrophils and monocytes to transmigrate through the epithelium into the sulcus or pocket [51]. Microbe-associated molecular patterns (MAMPs) from the biofilm activate the epithelium, increasing the proliferative rate, expression of adhesion molecules [47], and production of IL-1ß [52] and antimicrobial proteins and peptides such as calprotectin and defensins [53] (reviewed in [54]). This mixture of immune and inflammatory mediators and white cells is contained in the gingival crevicular fluid (Fig. 2 and 8) (reviewed in [55]), which brings serum proteins such as IgG and albumin and cytokines such as IL-1β, IL-6, TNFa into the microbial biofilm and oral environments.

The gingival crevicular epithelium [56,57] and PMNs [58] express antimicrobial proteins including S100A8/A9. In the extracellular environment, S100A8/A9 appears antimicrobial when incorporated in neutrophil extracellular traps (NETs) [58,59]. When present in the cytoplasm of the mucosal epithelial cells, S100A8/A9 contributes to innate intracellular immunity, protecting against invasive bacterial pathogens [60,61]. Employing S100A8/A9, therefore, the gingival crevicular epithelium forms a barrier against invasive bacteria using innate intracellular immunity and extracellular NETs.

The microbiota of the dental plaque biofilm drives the inflammatory process. In susceptible individuals, inflammation in the gingival tissues activates neutral proteases, elastases [62], collagenases [63] and metalloproteinases [64] destroying the epithelial and connective tissue attachments to the tooth. In an apparent attempt to heal, the junctional epithelium responds to the damage by migrating toward the apex of the tooth. Some workers suggest that apical migration of the junctional epithelium is stimulated by proteolytic activity from degranulating neutrophils within the gingival crevice [65]. As the sulcus deepens due to the loss of connective tissue attachment to the root of the tooth a periodontal pocket forms. An anaerobic environment characterizes deep periodontal pockets with a pH range from 6 to 8 [66]. Ultimately, the microbial biofilm in the gingival sulcus and periodontal pocket elicits inflammation in the surrounding connective tissue. Characteristic of periodontitis, this chronic inflammatory process, ultimately drives the destruction of the alveolar bone that supports the tooth in the socket (Fig. 2) [67,68].

# Periodontal microbiome and immunity

# Microbiota of periodontal health

The microbiota of the healthy gingival sulcus or crevice has recently been defined by pyrosequencing of V1-2 and V4 regions of 16S rRNA genes [45,69]. In healthy gingival sulci (less than 4 mm deep), the phylum *Proteobacteria*, particularly the *gammaproteobacteriae* of genus *Acinetobacter*, *Haemophilus* and *Moraxella*, were most prevalent. Within the phylum *Firmicutes*, the class *Bacilli* comprising genus *Streptococcus*, *Granulicatella* and *Gemella* were also health-associated (Fig. 9 and 10). These genera can be considered symbionts, which also return to periodontal pockets in high proportion after periodontal treatments [70].

In the gingival sulcus, the conditions of ecological niche are driven from steady state by host and microbial perturbations. Through the crevicular fluid, the host dispenses isotypeswitched immunoglobulins [71] specific for components of the microbiota [72,73]. Perturbations in the microbial community are also caused by growth of microorganisms such as *P. gingivalis*, which can alter the nutrient foundation of the niche and disrupt the equilibrium between symbionts and pathobionts (Fig. 2) (reviewed by [74]). The community is also susceptible to modulatory effects of bacteriophagic activity [75]. Hence, both hostinduced species-specific suppression and interspecies microbial competition can increase the pathogenic potential of the complex, mixed-species dental plaque community.

#### Microbiota of periodontal disease

Since the 1950s, the microbiota of the periodontal pocket has been studied with culture methods. Investigators sought to define the microbial species critical for the initiation and progression of the disease. Defined historically as microorganisms of the "red complex" by Sigmund Socransky, *P. gingivalis, Tannerella forsythia* (formerly *Bacteroides forsythus*) and *Treponema denticola* were considered the cultivable microorganisms most associated with disease as defined by deep periodontal pockets [76]. Although present in low numbers in healthy subjects [77,78], these species were considered to be responsible for initiation and progression of disease. After periodontal treatment, the red complex microorganisms disappear (below the limits of detection). When inflammation and deep pockets reappear, the red complex is again prominent. A cluster of species with less stringent association with disease was defined as the "orange complex" and includes *Prevotella* spp., *Fusobacterium* spp. and *Parvimonas micra* (formerly *Peptostreptococcus micros*). The red and orange clusters and their association with periodontitis were originally characterized by culture studies and more recently confirmed using DNA-DNA hybridization.

Newly identified microorganisms have also been associated with progression of periodontal disease using more contemporary sequencing technology. In earlier studies using cloning and Sanger sequencing [78–80], the complexity of the dental plaque ecosystem could not be ascertained because the expense of sequencing limited the genetic information that could be obtained. The power to comprehensively study bacterial community composition through determination of thousands of sequences per sample was facilitated by the advent of 454 pyrosequencing of V regions of 16S rRNA genes [18,20]. When V1-2, V4-6 and V7-9

regions are assessed, differences are observed at all phylogenetic levels between health- and periodontitis-associated bacterial communities (Fig. 9 and 10) [44,69,81].

Using pyrosequencing, the microbiota highly associated with diseased pockets greater than 4 mm in depth included the phyla *Spirochaetes* genus *Treponema*, *Synergistetes* genus *Sinergistes* [82], and *Bacteroidetes* such as genera *Porphyromonas*, *Prevotella* and *Tannerella*. The class of *Fusobacteria* genera *Fusobacterium* and *Leptotrichia* was also highly associated with disease. As the level of disease increased as measured by deeper pockets, the class *Negativicutes* genera *Seleomonas* and *Megasphera* appeared most prevalent [69,79,83,84] and *Clostridia* became prominent including the genera *Filifactor*, *Lachnospiraceae* and *Peptostreptococcus*. In deeper diseased sites, also associated was the class *Erysipelotrichia* genera *Erysipelothrix*, *Solobacterium* and *Bulleidia* [69,83].

New sequencing technologies also facilitated novel associations between periodontitis and previously uncultivable or previously underappreciated species, including the Gram-positive *Filifactor alocis* [69] and *Peptostreptococcus stomatis*, and species from the genera *Prevotella*, *Synergistes* [82], *Megasphaera*, *Selenomonas*, and *Desulfobulbus* [3,79]. Many of these newly recognized organisms correlate with disease as strongly as the classical red complex bacteria (Fig. 10). Clearly, periodontitis is a polymicrobial infection resulting from the expansion of pathobionts within the microbial community. The expansion appears to be initiated by low prevalence microorganisms capable of modulating the nutrient foundation of the community through induction of inflammation, extravasation of blood born nutrients and serum proteins (Fig. 2). The presence of Koch's postulate-fulfilling "true" pathogens is not apparent (Fig. 10).

Generally microorganisms associated with pockets deeper than 4 mm are reduced to very low or even undetectable levels after periodontal treatment. Scaling and root planing ("deep cleaning") or periodontal surgery, disrupt the microbiota of the periodontal pocket by mechanically scraping the biofilm off the root surface to a point that the microbial richness and biodiversity are significantly decreased [70]. Antibiotic treatments alone or in combination with scaling and root planing disrupt the relative proportions of the taxa within the community. However, when the selective pressure is lifted, the community tends to return to equilibrium without persistence of antibiotic resistance in the various taxa [85].

#### Other domains of life

Bacteria are not the only microorganisms present in the periodontal pocket. Members of the *Archaea* domain have also been described in the subgingival biofilm (reviewed in [86]). *Methanobrevibacter oralis* phylotypes SBGA-1 and SGBA-2 are detected in a subset of severe periodontitis patients who harbor low levels of *Treponema* spp [87]. *Treponema* spp. and *Methanobrevibacter* spp. are potential syntrophic competitors. Both are hydrogenotrophic and synthesize organic acids (acetogenic) using CO<sub>2</sub> and H<sub>2</sub> in anaerobic conditions [88]. Potential users of H2 derived from the fermentation processes of other anaerobic bacteria in the subgingival plaque biofilm in deep pockets of severe periodontitis patients include methanogenic archaea (*mcrA* gene) and sulfate-reducing bacteria (*dsrAB* gene) such as *Desulfovibrio* and *Desulfubulbus* [69,89].

Viruses have also been hypothesized to contribute to the microbiome of the periodontal pocket. The hypothesis posits that subgingival bacteria and viruses infecting the adjacent periodontal tissues would form a pathogenic consortium. Epstein-Barr virus type 1 (EBV-1) infects periodontal B-lymphocytes and human cytomegalovirus (HCMV) infects periodontal monocytes/macrophages and T-lymphocytes. EBV-1, HCMV and other herpesviruses are present more frequently in periodontils lesions and acute necrotizing ulcerative gingivitis-lesions than in gingivitis or periodontally healthy sites [90]. Although plausible, this hypothesis of viral consortial or cooperative pathogenesis in periodontal disease has never been tested definitively.

# Challenges sampling the periodontal pocket

The periodontal plaque biofilm harbors different constituents in the loose superficial plaque and in plaque most adherent to the root surface [91]. Given the small space, applying precise sampling methods is a challenge. Depending on the sampling method, different clades of microorganisms from the "same site" can be identified because of inadvertent sampling of proximal ecological communities [92]. Given the anatomy, the crude sampling tools for the periodontal pocket such as curettes and paper points yielded similar results. Sub-sites or communities could not be resolved. Nonetheless the subgingival biofilm is characterized by specific aggregation patterns or co-colonization between different genera [43]. It is important to note that in diseased sites the diversity and richness of the community was significantly greater than in healthy sites [69,81]. The microbiota in the subgingival diseased pocket at the time of sampling may reflect both a proportional shift among genera secondary to initiation of disease and also a shift that foreshadows the progression of disease.

# Cellularity and pathogenesis of periodontal disease

The immunological response elicited by the microbial biofilm is clearly complex. Innate immunity maintains tissue homeostasis and prevents periodontal tissue destruction. Neutropenia, agranulocytosis, neutrophil adhesion and chemotaxis deficiencies and diseases affecting degranulation of lysosomal contents are characterized by severe periodontitis [93]. Phagocytic antigen presenting cells such as dendritic cells (DCs) and Langerhans cells direct the phenotype of T helper (Th) cells. The cellular infiltrate in human gingivitis is primarily composed of Th cells [94]. During an undefined transition, the immune response in the gingiva switches from the recruitment and activation of neutrophils to clear pathogenic bacteria to a chronic infiltrate of T, B cells and plasma cells [50,95,96]. The chronic infiltrate of immune cells induces destruction of connective tissue, vascular proliferation and alveolar bone destruction characteristic of periodontitis.

In murine models of periodontitis, CD4<sup>+</sup> T cells are central to the development of alveolar bone destruction [65,97,98]. Although memory B cells and T cells release RANK-ligand to contribute to osteoclastic activation, Th cells release an ill-defined set of cytokines immediately before initiation of alveolar bone destruction [99]. Current research seeks to characterize *P. gingivalis*-specific CD4<sup>+</sup> T cells locally in the marginal gingiva, define the cellularity that predicts disease initiation and progression, and determine the kinetics of clonal expansion and cytokine expression. Using new immunological tools, epitope-specific CD4<sup>+</sup> T cell phenotypes have been recognized that are specific for virulence factors of

keystone pathogens such as *P. gingivalis* [100,101]. Also critical to the understanding of the pathogenesis of periodontal disease, the epithelial innate immune cells such as DCs and Langerhans cells appear to drive differentiation of Th phenotypes against keystone pathogens [102].

# Polymicrobial synergy and dysbiosis hypothesis

Periodontal disease is a polymicrobial infection. It is likely that members of the microbiome show consortial behavior to initiate and cause progression of periodontitis. For example, conventional rodents (not germ-free) develop inflammation and periodontal bone destruction when silk ligatures are placed around their teeth [103]. In contrast, germ-free rodents are not susceptible to periodontal destruction even when placing silk ligature around teeth [103]. In rodents and humans, periodontitis appears to be a disease in which host immunity activates by outgrowth of multiple bacterial species within the biofilm community. Although the direct pathogenic effects of the bacteria remain unclear in vivo, evidence suggests that collateral damage from the host response to the infection ultimately destroys the periodontal structures that support the tooth.

To explain the occurrence of periodontitis without one or more emergent pathogens, the dysbiosis hypothesis has been recently proposed [104]. The dysbiosis hypothesis maintains that the transition from periodontal health to disease reflects changes in abundance of low-abundance species in the bacterial community of the periodontal pocket. This shift in the composition of the microbial community leads to alterations in the host-microbe crosstalk sufficient to mediate destructive inflammation and bone loss [74] (Fig. 2). One microorganism potentially responsible for the initiation of the dysbiosis phenomenon is the Gram-negative asaccharolytic bacterium *P. gingivalis. P. gingivalis* appears to thrive at low frequency within the microbial community [69,80], but is consistently associated with the onset of periodontal disease, progression, and treatment failure [76,105].

As a minor member of the plaque biofilm but nonetheless a keystone pathogen, the virulence factors of *P. gingivalis* appear to manipulate and depress the host response [74] rather than induce inflammation and bone destruction [106]. Monocolonization of mice with *P. gingivalis* does not induce periodontal bone destruction after silk ligatures were placed on the teeth unless the commensal microbiota was present [107]. *P. gingivalis*, therefore, appeared necessary but not sufficient to induce bone destruction in a murine model of periodontal disease [104].

Indeed, *P. gingivalis* impairs host defenses in ways that facilitate the growth and development of the entire microbial community. The ability of *P. gingivalis* to modify the nutritional foundation for the microbial community promotes significant shifts in the composition of the community. These features define *P. gingivalis* as a keystone pathogen, a microorganism that can change the environment to alter proportions of other microorganisms within the ecological niche. The consequent disruption of the proportional relationship between strict symbionts and pathobionts triggers the destructive cascade leading to activation of inflammation and subsequent bone destruction [108] (Fig. 2).

In general, the diversity and richness of the plaque microbiome associated with periodontal disease is greater than in health. Whereas studies of the oral microbiome must be interpreted in view of the technical sampling challenges, the increased diversity in disease reflects the etiology. In contrast to the gastrointestinal microbiota, for example, in which a singular pathogen emerges in a microbiota of less complexity, the microbiota in periodontal disease shifts to include a higher proportion of pathobionts and keystone pathogens. No singular pathogen emerges and the disease-associated microbiome is of greater complexity than in health (Fig. 10).

#### Virulence strategies of P. gingivalis

An asaccharolytic microorganism, *P. gingivalis* expresses a set of proteases that degrade proteins to use as an energy source and also potentiate inflammation. The activation of inflammation is part of a basic survival strategy increasing the protein-rich gingival crevicular fluid bathing the gingival sulcus while dampening killing mechanisms in phagocytic cells [109]. *P. gingivalis* produces Lys-and Arg-proteases (Kgp, RgpA and RgpB gingipains), which can activate complement C1q independently of antibody [110] and use the C5 convertase-like enzymatic activity of RgpA and RgpB to generate C5a anaphylotoxin [111]. Interfering with complement-toll-like receptor cross talk and phagocytosis facilitates survival of *P. gingivalis* whereas the products of protein hydrolysis provide a nutrient source in the complex antimicrobial environment of the gingival sulcus.

*P. gingivalis* lipoprotein is another important virulence factor. TLR2 recognition of the lipoprotein induces a weak cAMP response. Activation of either CXCR4 or C5aR fails to induce cAMP. Strikingly, however, cAMP production is synergistically increased when *P. gingivalis*-stimulated TLR2 cooperates with activated C5aR and CXCR4 in lipid rafts. Combined activation greatly increases cAMP-dependent protein kinase (PKA) signaling, inactivating glycogen synthase kinase-3β and impairing inducible nitric oxide synthase (iNOS)-dependent killing of bacteria *in vitro* and *in vivo* [112].

*P. gingivalis* also expresses an atypical lipopolysaccharide (LPS) (4-acyl monophosphate lipid A). In contrast to agonist *E. coli* LPS, the atypical *P. gingivalis* LPS functions as a TLR4 antagonist [113,114]. Using this immunological subterfuge, *P. gingivalis* further reduces TLR-dependent iNOS production and iNOS-dependent killing.

*P. gingivalis* employs other subterfuge mechanisms to internalize into phagocytic cells and yet be protected against killing mechanisms. Binding of *P gingivalis* fimbriae (FimA) to phagocyte CD14-TLR2/TLR1 elicit an inside-out signal, which promotes internalization of *P. gingivalis*. Normally committed to the uptake of apoptotic cells, the physiological role of CR3 is essentially hijacked to internalize *P. gingivalis* [115,116]. Binding of CR3 activates ERK1 and ERK2 signaling in human monocytes, thereby inhibiting TLR2-induced IL-12 production and possibly Th1 differentiation [117]. After phagocytosis, *P. gingivalis* can sequester from phagolysosomes by diverting into the autophagic pathway.

# Other pathogenic microorganisms

Emerging in aggressive juvenile forms of periodontitis, *Aggregatibacter actinomycetemcomitans* (formerly *Actinobacillus actinomycetemcomitans*) and *Treponema* spp. are considered true pathogens. *A. actinomycetemcomitans* is always below the levels of detection in healthy sites whereas the *Treponema* spp. are also considered pathobionts. Recently, a murine commensal microorganism similar to *A. actinomycetemcomitans* (NI1060, a Gram-negative member of the *Pasteurellaceae* family) has been associated with severe alveolar bone loss in a murine silk ligature model of periodontitis [118]. The bone destruction was dependent on activation of the nucleotide-binding oligomerization domain receptor 1 (NOD1). NOD1 is an innate immune receptor that mediates neutrophil recruitment by inducing the secretion of chemokines from non-hematopoietic cells. Mice lacking NOD1 show decreased chemokine CXCL1 secretion from the gingival epithelium in a ligature-induced model of periodontitis and decreased bone destruction when compared to the wild-type [118].

Several *Treponema* spp. appear consequent to *P. gingivalis* appearance and grow to high abundance in diseased periodontal pockets. Despite a rich diversity of phylotypes in healthy sites, only a small group of *Treponema* phylotypes show stronger association with diseased sites [119] fulfilling the characteristics of a pathobiont rather than a keystone pathogen.

Interestingly, as bacterial biomass and clinical periodontal inflammation increase, the ecological succession from health to disease is manifested as emergence of newly dominant community members rather than appearance of novel species [120]. Consequently, the emerging community may include members that can subvert or evade the immune response (e.g., keystone pathogens), thereby contributing to the stabilization of a disease-provoking biofilm dominated by pathobionts in individuals susceptible to periodontitis. Reflecting host genetic diversity and variability, susceptibility may be related to several immunoregulatory factors. For example, individuals of African descent show increased frequency and concurrent colonization with *A. actinomycetemcomitans* strain JP2 in association with aggressive and localized forms of periodontitis [121]. Interestingly, *A. actinomycetemcomitans* not only has a tropism and infects a certain host genetic background [122] but infection of the ecosystem generally follows a specific chronologic and site-specific pattern during the eruption of permanent incisors and first molars [123]. Hence, typically the incisor and molar teeth are selectively affected and *A. actinomycetemcomitans* is considered the causative agent of these aggressive forms of disease [124].

# Challenges correlating shifts in the microbiota and disease progression

Despite very sophisticated efforts to analyze the periodontal microbiota at various stages of disease, knowing the microbial community that is predictive of disease progression is still not possible [125]. Indeed, the progression of periodontal disease is very difficult to measure accurately [126] unless the disease has destroyed at least 1 to 2 mm of connective tissue and bone support along the root length [127]. Despite the sophisticated methods to define the composition of the microbial community, we still measure periodontal disease crudely, with a metal pin known as the periodontal probe [127]. It will be extremely challenging, therefore, to predict the microbial community members that elicit tissue destruction, not

because the specific species cannot be identified but because of the lack of sensitivity of the method to measure progression of periodontal disease.

# Caries microbiome and immunity

Dental caries is the single most common disease in childhood with a prevalence rate five times higher than the next most prevalent disease, asthma. Over 50% of children in the United States ages 5–9 years have at least one cavity or filling. This number increases to 78% by the time children reach adulthood (17 years of age). The condition significantly contributes to the burden of pain, is associated with impaired development and marked decrease in quality of life [128,129]. For decades since the 1950s, *Streptococcus mutans* was held to be the etiological agent in dental caries. More recent attempts to define the specific etiological agent(s) of dental caries have proven to be elusive. Caries etiology is more complex and multi-faceted than previously recognized.

Caries active and caries-free individuals share approximately 50% of the supragingival microbiome [130]. Only 10 genera were expressed in high abundance including *Streptococcus* spp, *Veillonella* spp and *Actinomyces* spp. *S. mitis* (25.5%) and *S. sanguinis*, (9.1%) were predominant. *Streptococcus mutans* (1.2%) was a comparatively minor constituent. When caries-active and caries-free children were compared, significant differences in the microbiomes were attributed to low abundance phylotypes, *Megasphera*, *Oribacterium*, *Moryella* and *Corynebacterium*. The great majority of represented phenotypes were similar in both caries-free and caries-active children but decreased in caries-active samples. Phylotypes overrepresented in caries-active subjects included *S. sanguinis*, *S. mutans*, *S. sobrinus*, *S. mitis*, *S. intermedius*, *S. gordonii*, *S. parasanguinis*, *S. constellatus*, *S. cristatus*, *S. oralis*, *S. equi*, *S. dentirousetti* and *S. peroris*. Whereas *S. mutans* displayed greatest differential abundance of the observed phylotypes, the spectrum of other overrepresented bacteria suggests that a *S. mutans* etiology is ambiguous in dental caries.

#### Caries microbiota evolves with age and during disease progression

In predentate and dentate infants and adult mothers, the predominant bacterial phyla in saliva common to all are *Firmicutes*, *Proteobacteria*, *Actinobacteria*, and *Fusobacteria* [131]. The diversity of genera in the adult was greater than in the infant. *Streptococcus* spp. are the exception, predominating in infant saliva as 60% of clones while in the adult mother represent only 20%. The *Veillonella*, *Neisseria*, *Rothia*, *Haemophilus*, *Gemella*, *Granulicatella*, *Leptotrichia*, and *Fusobacterium* are also the also predominant genera in the infant, while *Haemophilus*, *Neisseria*, *Veillonella*, *Fusobacterium*, *Oribacterium*, *Rothia*, *Treponema*, and *Actinomyces* are predominant in adults [131]. In some Chinese populations, infants may show greater diversity in salivary phylotypes than adults by the age of 3 to 6 while maintaining proportions between different genera similar to other reports [132].

The buccal and approximal surfaces of 4-year-old children harbor caries-associated taxa *Granulicatella elegans*, *Veillonella* spp. as well as *S. mutans* and *Bifidobacteriaceae* spp. when analyzed with PCR. Alternatively, caries-free children harbor *Capnocytophaga* 

gingivalis, Abiotrophia defectiva, Lachnospiraceae spp, Streptococcus sanguinis and Streptococcus cristatus [133].

Environmental acidification is hypothesized to be the main driving force of the phenotypic and genotypic changes in the microbial community during the caries process. In dentin affected by severe caries common in children before the age of 16, acid-producing *Lactobacillus* spp. primarily *L. gasseri-L. johnsonii* and *L casei-L. paracasei* are dominant [134]. As caries progresses from initial demineralized lesions (white spots on the enamel) to deep cavitated lesions, levels of these species increase significantly. In recent studies *S. mutans* is often observed at high levels in the white spots but is also present in some healthy subjects in small numbers [134,135]. Surprisingly, *S. mutans* is only associated with caries initiation (white spots) but not with caries progression. *S. mutans* appears to have the characteristics of a keystone pathogen or of a pathobiont driven by a changing dietary environment. In some patients, *Lactobacillus* spp. and *S. mutans* are found at low levels or below detection suggesting that the initiation and progression of carious lesions cannot be attributed to *S. mutans* [134,135].

In white spots indicating early, demineralized enamel, other potential acid producers are observed at high levels including strains of *Selenomonas*, *Neisseria*, and *S. mitis*. *Propionibacterium* spp. are associated with caries progression but are not found at high levels. Attempts to discriminate the microbiome of caries-active and caries-free individuals at the specific ecological niche have proven technically difficult. The biomass available for analysis is limited at specific sites such as enamel pits and fissures or interproximal areas where the caries risk is the greatest (Fig. 8). Overall an initially diverse community in caries-free sites and in white spots appears to shift to progressive loss of families in caries-active sites. Species minimized in caries-active sites include *Lachnospiraceae* spp., the *S. mitis-S. pneumoniae-S. infantis* group, *Corynebacterium matruchotii, S. gordonii, S. cristatus, Capnocytophaga gingivalis, Eubacterium* IR009, and *Campylobacter rectus* [134].

Directly associated with caries formation, organic acids derived from the hydrolysis of disaccharides like sucrose, are the final metabolic products [136]. Environmental acidification of dental plaque influences enzyme activities and also regulates transcription and translation (acid-induced adaptation through induction of proteins/enzymes). An acidified environment causes a shift of the microbial composition (acid-induced selection of acidogenic and aciduric microorganisms). This cycle will continue as long as the environmental acidification persists [137]. To fully explain the cariogenic potential of the microbial community at different stages of the caries process, the metabolic activities relevant to environmental acidification of the bacteria must be understood. Therefore studies of the metabolome may be more relevant for explaining caries activity than studies focusing exclusively on the microbiome.

Collectively these data suggest that like the microbiome in periodontal disease, the plaque microbiota at the initiation of the carious lesions is of greater complexity than in health to then fall to a lower diversity in the established carious lesion. For decades, *S. mutans* was viewed as a singular pathogen in caries. Recent studies of the caries microbiome suggest a complex microbial etiology with emergent pathobionts. A bacterial disease like dental

caries, which appears to be caused by a complex microbiota rather than a single pathogen, may be associated with a microbiota of greater complexity only at the initiation of disease. Unlike periodontal disease, established caries shows decreases in microbiome complexity probably due to acid environment, which limits the microbiota to acidogenic and aciduric microorganisms.

#### Immunity against caries

Individuals with low or non-detectable levels of Mutans streptococci early in life remain caries-free into adulthood as reported in cross-sectional and longitudinal studies in Sweden [138]. Caries susceptibility is inversely related to the output of salivary IgA in children and young adults [139]. Indeed, salivary IgA antibody titers against *S. mutans* are inversely related to levels of early oral colonization and the colonized individual's caries experience; the mechanistic immunogenic target of these relationships are the bacterial adhesins, glucosyltransferases (GTF), and glucan-binding proteins (GBP) [140,141]. These antibody specificities are not unexpected, given the life-long presence of mutans streptococci in the oral biofilm. IgG antibody to mutans streptococci can be detected in infant sera as a consequence of placental transfer and specific IgA is found in colostrum and breast milk, reflecting maternal experience with these microorganisms [142].

Early in childhood, children begin to synthesize serum IgG antibody to Mutans streptococcal antigens followed in time by production of IgA. Serum IgG antibody levels increase during childhood and remain detectable throughout life. In young adults, anti-*S. mutans* IgG titers are inversely related to disease levels [143]. In older adults, serum IgG antibody to cariogenic streptococci is directly related to cumulative dental caries experience, whereas IgA levels were inversely related [144]. This reciprocal relationship between IgA and IgG responses throughout life indicates that the initial adaptive immune responses to mutans streptococci join the biofilms of the primary dentition.

The level and specificity of the immune response may also affect the ability of commensal Mutans streptococci to colonize newly erupting primary and permanent teeth. For example, salivary IgAs against Mutans streptococci in caries-active children react to different epitopes when compared to anti-*S. mutans* salivary IgA in caries-free individuals [145]. Secretory IgA antibody from parotid gland or serum IgG derived from the gingival crevicular fluid may influence the accumulation of a cariogenic microbiota at various stages of infection [146]. Caries and the associated microflora likely increase the antigenic load and stimulate the immune responses. Yet the antigenic load and history disease in adulthood may tell us little about the impact of the immune response on the establishment of cariogenic oral microorganisms and the clinical course of disease. In the presence of a seemingly protective immune response, environmental challenges like an increase in dietary sugar may cause an increase in production in bacterial acids and mark progression of caries (reviewed in [147]. After eruption of primary teeth, the formation of the initial oral biofilm may reflect the immune responses, but the caries microbiome and clinical caries involve relationships that remain to be characterized with complex environmental factors.

# **Caries Vaccine**

Active and passive immunization strategies have been pursued to deal with infections leading to dental caries. Several acidogenic microorganisms have been associated with various stages of dental caries as we have seen, but S. mutans remains one of the species identified in white spots of initial enamel demineralization. Since S. mutans behaves as a keystone pathogen, it is a reasonable target for a caries vaccine. S. mutans, like other viridans streptococci, offer obvious cell wall and extracellular targets that are central to their attachment to the tooth and accumulation in the oral biofilm. Mutans streptococcal components that participate in adhesion (antigen I/II), glucan formation or binding, or cell wall synthesis, alone or in combination, have each been candidate immunogens of vaccine strategies to inhibit experimental dental caries formation in rats or mice [148]. The production of glucans and related dextrans stabilize the dental plaque microbial community. In animal models, therefore, glucosyltransferase (GTF), an enzyme produced by S. mutans or S. sobrinus that catalyzes the extracellular formation of  $\alpha$ -1,3 and  $\alpha$ -1,6-linked glucans from dietary sucrose, has been used to target adaptive immunity to explore the cariesprotective effect of specific salivary IgA and IgG antibodies. Delivery of antigens with appropriate adjuvants via mucosal routes increases anti-GTF salivary (secretory) IgA and serum IgG levels and protects against experimental caries. Anti-caries protection in animals was obtained after immunization with intact GTF or glucan binding protein (GBP), their derived synthetic peptides, recombinant peptide-enzyme fusion proteins or, even DNA vaccines encoding one or more target antigens or their fragments [149,150]. Clearly, proof of principle exists for a dental caries vaccine.

Passive immune approaches have also been shown to be promising. Dietary supplements of polyclonal IgG or IgY antibody to GTF, GBP, or monoclonal or transgenic reagents that have specificity for other S. mutans cell surface antigens (Ag I/II), have each successfully reduced dental caries in experimental animals during infection with cariogenic streptococci [151]. Small-scale human clinical trials in which transgenic IgA/G antibody was administered in dental trays to adults over several weeks showed mixed success in preventing Mutans streptococcal recolonization of tooth surfaces after chlorhexidine treatment [152,153]. Passively administered antibody during the period of initial colonization with cariogenic streptococci in concert with adaptive immune approaches has been suggested to increase the effectiveness of protection. Effective methods of delivery of non-host-generated antibody need to be identified for human applications. Unfortunately, despite rising prevalence of caries in underserved children worldwide, the medical community is still reluctant to approve a vaccine for a normally non-life-threatening disease, and commercial entities are unwilling to invest because of the unfavorable risk-benefit ratio. The risk of mortality from the vaccine exceeds the reduction in morbidity represented by successful immunization of dental caries.

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Anatomy and ecological niches of the oral cavity.



# Figure 2. Microbial diversity and richness in periodontal health and disease

Microbial communities with lower diversity and richness harbor keystone pathogens, symbionts and pathobionts at very low frequency and in proportions adequate to ensure health. When environmental perturbations occur in the periodontal tissues (e.g., trauma or idiopathic growth of a keystone pathogen) or the host is genetically susceptible, keystone pathogens elicit inflammation that changes the nutrient foundation of the ecological niche (i.e. periodontal pocket). The altered nutrient foundation promotes the proportional expansion of pathobionts relative to symbionts, promoting inflammation that ultimately leads to connective tissue and bone destruction. Diversity and richness are higher.





Proportions of oral microorganisms in the Human Oral Microbiome Database (HOMD) [5].



#### Figure 4. CORE microbiome of oral cavity

The tree was generated with RAxML BlackBox Web server [154] and viewed in ITOL [155]. Genera are color-coded by phyla, except for the Firmicutes and Proteobacteria, which are shown at the level of class (Adapted from [6]).



Figure 5. Proportions of different genera recovered from whole saliva of healthy adults Saliva was collected from a group of 71 healthy individuals by mouthrinse with 10 mL UV-irradiated sterile saline for 30 sec and stored at  $-80^{\circ}$ C. The asterisks (\*) denote the best classification possible as adapted from a table in [20].



# Figure 6. Selective coaggregation and relative abundance of microorganisms in supra/ subgingival dental plaque of humans

(A) Spatial analysis of human dental plaque using Combinatorial Labeling and Spectral Imaging - Fluorescence In Situ Hybridization (CLASI-FISH) strategy reveals specific interactions between certain microorganisms and not with others. (B) The 2D plot reveals the relative abundance (diameter of circles) and intertaxon associations observed between labeled taxons. A line connecting two taxa indicates that cells of the lower-abundance taxon of any pair were observed to associate with cells of the higher-abundance taxon with >3% frequency and more frequently than would be expected from random associations. (Adapted with permission from Valm A.M. *et al.* PNAS 2011;108:4152–4157.)





**Figure 7. Proportions of different genera recovered from dental plaque of healthy adults** Supragingival plaque from a group of 98 healthy individuals by sampling cheek-side dental surfaces using a sterile, DNA-free wooden toothpick and stored at -80°C. The asterisks (\*) denote the best classification possible as adapted from a table in [20].



**Figure 8.** Diversity and richness of microbial communities directly relate to caries risk Microbial communities of the tooth surface and irregularities in the enamel differ with respect to diversity and richness. Surfaces and sites with highest diversity and richness mature at ecological niches most susceptible to caries. When caries is established, the acid environment reduces the diversity and richness of the local microbiota.



#### Figure 9. Microbiome of the periodontal pocket in health and disease

Subgingival samples were collected form pockets < 4 mm (healthy) or > 5mm (diseased) in depth. After the removal of supragingival plaque and drying the target sites, samples were collected by insertion of four medium paper points for 10 s into three sites. Deep and shallow sites were sampled separately in subjects with periodontitis. (Adapted with permission from Griffen AL. *et al.* ISME J. 2012 Jun;6(6):1176–85)





Data show differences between health and disease at level of phylum, genus and species. Pie charts indicate the number of taxa that were significantly different. The graphs show levels for genera that were 0.1% different and species that were 0.2% different in health and periodontitis samples. Taxa were sorted according to magnitude of change. P 0.05 after FDR correction for all taxa shown. (Adapted with permission from Griffen AL. et al. <u>ISME J.</u> 2012 Jun;6(6):1176–85)