

# Diagnosis and Management of Heart Failure with Preserved Ejection Fraction: 10 Key Lessons

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**Abstract:** Heart failure with preserved ejection fraction (HFpEF) is a common clinical syndrome associated with high rates of morbidity and mortality. Due to the lack of evidence-based therapies and increasing prevalence of HFpEF, clinicians are often confronted with these patients and yet have little guidance on how to effectively diagnose and manage them. Here we offer 10 key lessons to assist with the care of patients with HFpEF: (1) Know the difference between diastolic dysfunction, diastolic heart failure, and HFpEF; (2) diagnosing HFpEF is challenging, so be thorough and consider invasive hemodynamic testing to confirm the diagnosis; (3) a normal B-type natriuretic peptide does not exclude the diagnosis of HFpEF; (4) elevated pulmonary artery systolic pressure on echocardiography in the presence of a normal ejection fraction should prompt consideration of HFpEF; (5) use dynamic testing in evaluating the possibility of HFpEF in patients with unexplained dyspnea or exercise tolerance; (6) all patients with HFpEF should be systematically evaluated for the presence of coronary artery disease; (7) use targeted treatment for HFpEF patients based on their phenotypic classification; (8) treat HFpEF patients now by treating their comorbidities; (9) understand the importance of heart rate in HFpEF—lower is not always better; and (10) do not forget to consider rare diseases (“zebras”) as causes for HFpEF when evaluating and treating patients. Taken together, these 10 key lessons can help clinicians care for challenging patients with HFpEF while we eagerly await the results of ongoing HFpEF clinical trials and observational studies.

**Keywords:** B-type natriuretic peptide, comorbidities, diagnosis, diastolic heart failure, exercise testing, pulmonary hypertension, treatment.

## INTRODUCTION

Heart failure (HF) with preserved ejection fraction (HFpEF) currently represents approximately 50% of HF cases and is increasingly recognized as a leading cause of morbidity and mortality [1-3]. Recent data suggest that the prevalence of HFpEF relative to HF with reduced ejection fraction (HFrEF) is increasing at a rate of 1% per year. With the aging population and high prevalence of HFpEF risk factors such as hypertension, obesity, and diabetes mellitus (DM), HFpEF will soon be the most prevalent HF phenotype [2]. Similarly, hospitalizations due to HFpEF have been rising relative to HFrEF [4].

Population-based studies and registries have reported that HFpEF patients are predominantly female and elderly, with high rate of comorbidities, such as obesity, hypertension, chronic kidney disease, coronary artery disease (CAD), anemia, hyperlipidemia, DM, and atrial fibrillation [1, 5-7]. Patients with HFpEF are as functionally limited as their counterparts with HFrEF, they require frequent hospitalizations, and have generally poor quality of life [3, 4, 8]. Survival of patients with HFpEF is poor and similar to HFrEF, with

observational studies reporting a dismal 5-year survival of only 35-40% post-hospitalization for HF [2, 5], a survival rate similar to advanced, stage 3B non-small cell lung cancer [9]. In a wider variety of HF patients (inpatients and outpatients, observational studies and clinical trials), a patient-level meta-analysis found that risk of death was higher in HFrEF compared to HFpEF; nevertheless, the overall risk of death was high in HF regardless of the underlying EF [10]. One of the key reasons underlying the high morbidity and mortality of HFpEF is the lack of evidence-based treatments [2, 11].

These statistics highlight the pressing and unmet clinical need for new strategies for improving HFpEF quality of life and outcomes. While HFpEF clinical trials are ongoing, clinicians need help diagnosing and treating HFpEF today. Here we report 10 key lessons for the care of patients with HFpEF, based on our experience from a novel, dedicated HFpEF clinical and research program at Northwestern University.

## LESSON #1: KNOW THE DIFFERENCE BETWEEN DIASTOLIC DYSFUNCTION, DIASTOLIC HEART FAILURE, AND HFpEF

Diastolic dysfunction (DD) is a pathophysiologic condition associated with impaired myocardial relaxation and/or decreased left ventricular (LV) compliance, both of which can lead to elevated filling pressures [12]. Thus, DD is not a

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clinical syndrome and does not necessarily mean that HF is present. HF, on the other hand, refers to a clinical syndrome characterized by a classic constellation of signs and symptoms of pulmonary and/or systemic venous congestion caused by impaired ability of the heart to fill with and/or to eject blood proportional to the metabolic needs of the body [1, 13].

HFpEF was initially termed diastolic HF [14] or HF due to DD [15]. However, the term “diastolic HF” is suboptimal for several reasons. Diastolic HF suggests a single underlying mechanism, which is not present in all HFpEF patients [16]. Several alternative and complementary pathophysiologic mechanisms exist in HFpEF, including longitudinal LV systolic dysfunction (despite a normal EF), pulmonary hypertension, abnormal ventricular-arterial coupling, abnormal exercise-induced vasodilation, extracardiac volume overload, and chronotropic incompetence [11, 17-25]. It should also be noted that echocardiographic evidence of DD is nearly universal in HF<sub>r</sub>EF (“systolic HF”); therefore, DD is not unique to diastolic HF [26]. Thus, diastolic HF is a subset of HFpEF (Fig. 1) and although its frequency is not well known, “isolated” or “pure” diastolic HF is most likely a rare phenomenon, as shown in a study of HFpEF pathophysiology by Prasad *et al.* [27]. In this study, 1119 patients with a discharge diagnosis of HF and EF > 50% were identified using screening of inpatient electronic medical records; after several exclusion criteria, only 23 (2%) of the patients with “pure” diastolic HF met criteria for enrollment.

Using the HFpEF term reminds us to think broadly about the underlying etiologies and pathophysiologies of HFpEF in each individual patient. We use the term “huff-puff” to help patients and healthcare providers understand that HFpEF is a better, more inclusive term compared to diastolic HF, and that “huffing and puffing” (dyspnea and exercise intolerance) are the most common symptoms in patients with HFpEF.

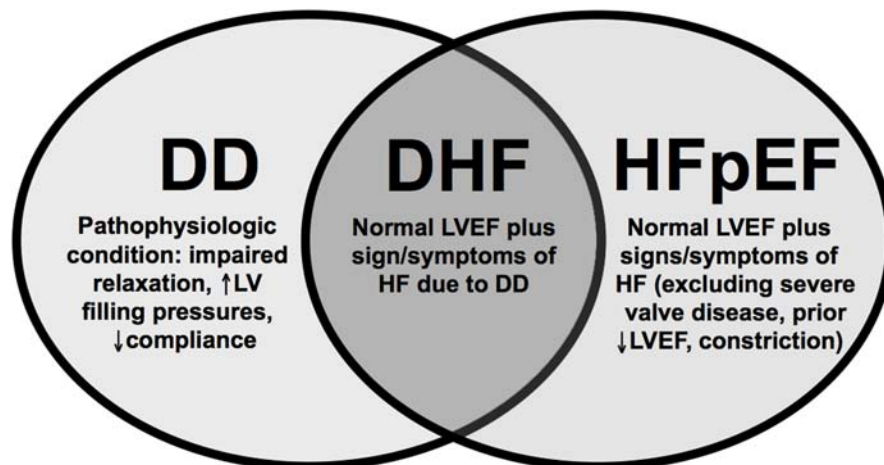
## LESSON #2: DIAGNOSING HFpEF IS CHALLENGING, SO BE THOROUGH AND CONSIDER INVASIVE HEMODYNAMIC TESTING TO CONFIRM THE DIAGNOSIS

The diagnosis of HFpEF can be challenging, because symptoms are nonspecific and can be explained by several

alternative non-cardiac conditions, such as chronic lung disease, anemia, and chronic kidney disease [28]. Furthermore, many patients are morbidly obese and clinicians often have difficulty estimating jugular venous pressure. Echocardiographic estimation of right atrial pressure by inspection of the size and collapsibility of the inferior vena cava can also be challenging in the obese patient. As discussed below, even natriuretic peptides can be unreliable for the diagnosis of HFpEF. Finally, there is no simple index, such as a low EF, to help rule in the diagnosis of HFpEF. Thus, diagnosing HFpEF requires diligence and hypervigilance. If all else fails and there is still diagnostic uncertainty, we advocate the use of invasive hemodynamic testing to firmly establish the diagnosis of HFpEF. If cardiac filling pressures are normal at the time of invasive hemodynamic testing, one must make certain that the cardiac index is normal. If the cardiac index is low, a diagnostic maneuver such as leg raise, fluid challenge, and/or exercise should be performed to determine whether the “normal” cardiac filling pressures are truly normal.

Four sets of guidelines have been published for the diagnosis of HFpEF. All of these guidelines require the simultaneous and obligatory presence of signs and/or symptoms of HF, evidence of normal LVEF, and evidence of DD [11, 14, 29-31]. As mentioned above, emphasis on DD in these guidelines does not necessarily imply the fact that DD is the only underlying mechanism of HFpEF [26]. Ultimately, presence of DD on echocardiography (especially moderate [grade 2] or worse DD, along with left atrial enlargement) simply helps with objectively documenting the presence of increased LV filling pressures.

Studies have shown no statistically significant difference in the prevalence of signs and symptoms between patients with HFpEF and HF<sub>r</sub>EF. Patients with either condition often present with dyspnea on exertion, impaired exercise tolerance, paroxysmal nocturnal dyspnea or orthopnea. Each may have similar signs of HF, such as jugular venous distension, rales, S<sub>3</sub>, S<sub>4</sub>, hepatomegaly, and edema, and the 2 types of HF share similar chest radiographic findings [32, 33]. Echocardiography is considered as the single most useful diagnostic test in the evaluation of the patients with HF due to its availability and ability to provide information about cardiac anat-



**Fig. (1).** Differentiation of diastolic dysfunction, diastolic heart failure, and heart failure with preserved ejection fraction.

Abbreviations: DD—diastolic dysfunction; DHF—diastolic heart failure; HFpEF—heart failure with preserved ejection fraction; LV—left ventricular; LVEF—left ventricular ejection fraction.

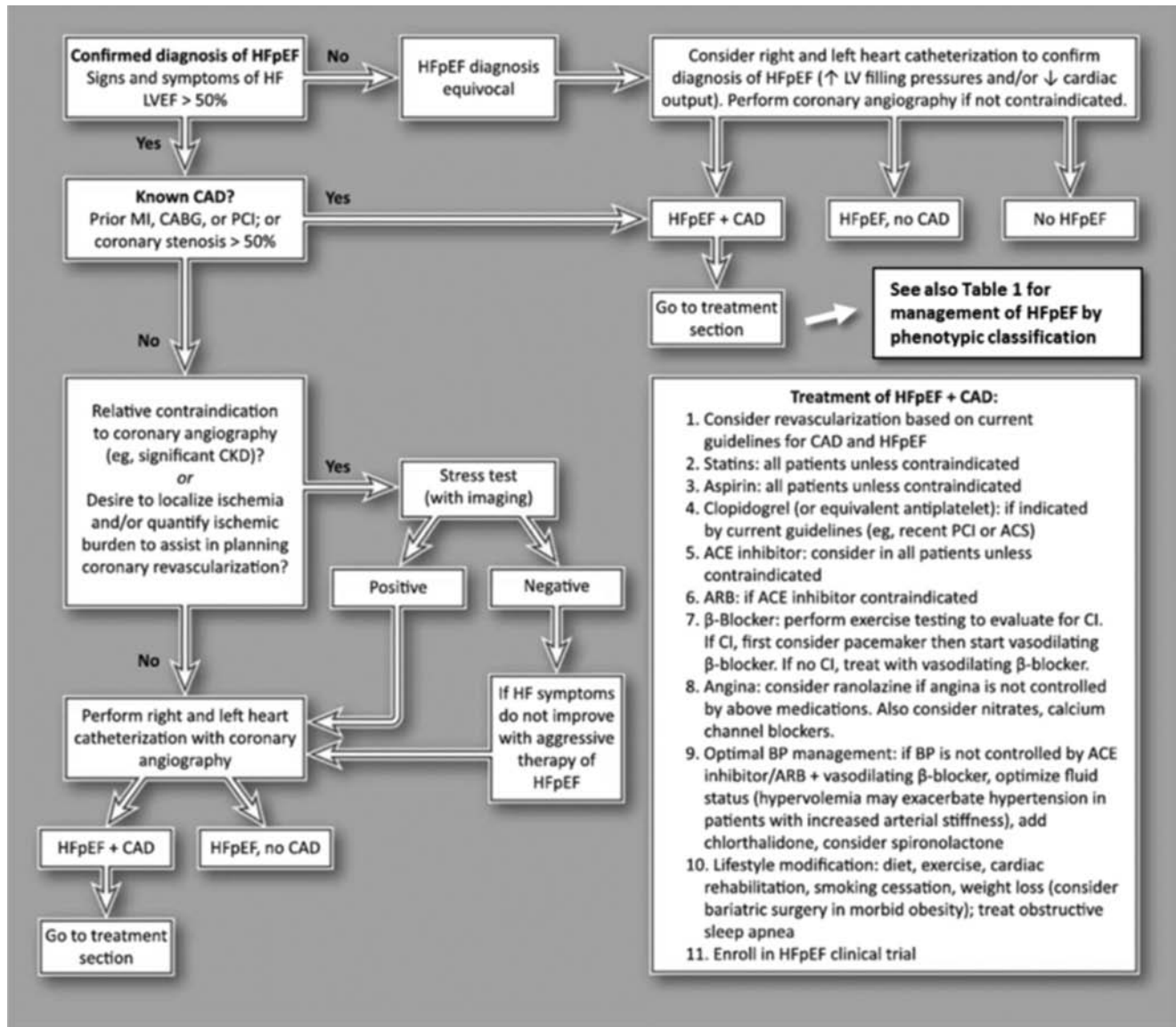
omy, valvular structures, wall thickness, and filling pressures [34, 35]. Although there is no clear consensus, we consider a “preserved” EF to be > 50%, and also require an LV end-diastolic volume index < 97 ml/m<sup>2</sup> as suggested previously [14, 29-31].

It is critical to remember that in a patient with signs and symptoms of HF, EF > 50%, and evidence of elevated LV filling pressure (elevated E/e' ratio, increased left atrial volume, elevated BNP or NT-proBNP, or elevated invasive LV filling pressure) is all that is required for diagnosis of the HFpEF syndrome. Because diastolic function grading can be somewhat variable and subjective, the absence of “diastolic dysfunction” on echocardiography does not rule out the diagnosis of HFpEF as long as there is alternative objective

evidence of elevated LV filling pressure at rest or with exertion. Figure (2) summarizes a diagnostic and management approach to HFpEF, with specific emphasis on CAD (see also Lesson #6 below).

### LESSON #3: A NORMAL B-TYPE NATRIURETIC PEPTIDE DOES NOT EXCLUDE THE DIAGNOSIS OF HFpEF

Natriuretic peptides (B-type natriuretic peptide [BNP] and NT-proBNP) provide valuable information for the diagnosis of HF [36], and elevated levels of BNP and NT-proBNP are potent predictors of adverse outcomes in HF regardless of underlying EF. The European Society of Cardiology guideline on the diagnosis of HFpEF therefore



**Fig. (2).** Systematic diagnosis and treatment algorithm for heart failure and preserved ejection fraction (HFpEF), with specific criteria for diagnosis of coronary artery disease in HFpEF.

Modified with permission from Shah SJ, *Current Treatment Options in Cardiovascular Medicine*, 2010; 12: 58-75. ACE—angiotensin-converting enzyme; ACS—acute coronary syndrome; ARB—angiotensin receptor blocker; BP—blood pressure; CABG—coronary artery bypass grafting; CAD—coronary artery disease; CI—chronotropic incompetence; CKD—chronic kidney disease; HF—heart failure; LV—left ventricular; LVEF—left ventricular ejection fraction; MI—myocardial infarction; PCI—percutaneous coronary intervention.

recommends the exclusion of HFpEF in the setting of normal BNP level ( $<100$  pg/ml) [29]. However, most studies on natriuretic peptides have included patients with HFrEF, and BNP is less sensitive for the diagnosis of HFpEF, with levels that are usually lower in patients with HFpEF compared to those with HFrEF [37]. BNP levels more accurately reflect LV wall stress compared to LV filling pressures, and LV wall stress is known to be lower in HFpEF compared to HFrEF [38, 39]. Several studies have shown that patients with HFpEF can have normal BNP levels [40, 41]. We found that up to 30% of patients with HFpEF have BNP levels  $< 100$  pg/ml despite HF signs and symptoms and invasive hemodynamic evidence of significantly elevated LV filling pressures ( $> 20$  mmHg) [28]. Obesity, which is very common in HFpEF, is well known to be associated with low natriuretic peptide levels [42, 43], and may be one of the most important underlying reasons for the presence of normal BNP levels in some patients with HFpEF.

Taken together, these findings demonstrate that while BNP levels are powerful and independent predictors of future events in patients with HFpEF, a normal BNP or NT-proBNP level cannot exclude the diagnosis of HFpEF. In patients who have signs and symptoms of HF with normal BNP or NT-proBNP, clinicians must remain vigilant and use echocardiography and/or invasive hemodynamic testing to look for alternative objective evidence of elevated cardiac filling pressures.

#### **LESSON #4: ELEVATED PULMONARY ARTERY SYSTOLIC PRESSURE ON ECHOCARDIOGRAPHY WITH A NORMAL LEFT VENTRICULAR EJECTION FRACTION? CONSIDER HFpEF**

Left heart disease is the most common cause of pulmonary hypertension (PH), and patients with left heart disease-associated PH have a worse prognosis compared to patients with pulmonary artery hypertension (PAH) [44-46]. A seminal study by Lam and colleagues showed that the frequency of elevated pulmonary artery systolic pressure (PASP) among patients with HFpEF is 83% [23]. PH in patients with HFpEF is predominantly due to pulmonary venous hypertension secondary to passive congestion of the pulmonary vasculature. HFpEF comorbidities such as obesity, obstructive sleep apnea, and chronic kidney disease likely contribute to the elevated pulmonary artery pressures in HFpEF, and a small subset of HFpEF patients likely develop superimposed PAH [23, 47].

Despite its technical limitations, Doppler echocardiography has been the cornerstone of estimation of PASP given its widespread availability, portability, and ease of use. PASP estimated by echocardiography was shown to be a better predictor of HFpEF when compared to other echocardiographic parameters associated with DD such as E/e' ratio, LA volume, and LV wall thickness [23]. Therefore, in patients with normal LVEF, elevated PASP is suggestive of HFpEF until proven otherwise, especially since HFpEF is much more prevalent than PAH. However other potential causes of PH, such as valvular heart disease, lung disease, chronic thromboembolic disease, and obstructive sleep apnea should be considered while evaluating these patients.

PASP can also be elevated due to high cardiac output states (such as anemia, hyperthyroidism, cirrhosis, arteriovenous malformation) or because of increased systolic blood pressure [46]. Several clinical features can be helpful to differentiate HFpEF associated PH from PAH [48]. These features include older age, CAD, and/or systemic hypertension. Echocardiographic clues to the presence of HFpEF instead of PAH include increased E/A ratio, increased E/e' ratio (using the lateral e' velocity), and left atrial enlargement (especially if the left atrium is larger than the right atrium) [49].

These data suggest that in a patient with signs and symptoms of HF or possible HF (such as unexplained dyspnea), elevated PASP in the presence of a normal LVEF should prompt consideration of HFpEF, especially if other causes of elevated PASP (as detailed above) have been excluded.

#### **LESSON #5: USE DYNAMIC TESTING TO EVALUATE UNEXPLAINED DYSPNEA OR EXERCISE TOLERANCE WHEN CONSIDERING THE HFpEF DIAGNOSIS**

Patients with early stages of HFpEF may present with exertional dyspnea and/or fatigue in the absence of signs of overt volume overload on physical examination. In addition, resting echocardiography may demonstrate only mild (grade I) DD and normal or indeterminate LV filling pressures (E/e' ratio). Chest radiography may also be normal with lack of evidence of HF. In such patients who are at early stages of HFpEF and asymptomatic at rest, making a specific diagnosis may be challenging, and hemodynamic evaluation during exercise might be the only way to detect the hemodynamic derangements specific to HFpEF [11].

Several studies have also shown that although LVEF is "preserved" in patients with HFpEF, they can still have abnormalities in regional contractility and this leads to impaired systolic reserve due to blunted increase in contractility and LVEF during exercise [50]. Similarly, patients with HFpEF were shown to have impairment in diastolic reserve (ability to increase preload volume with no increase in filling pressures in response to exercise) [51], chronotropic reserve (ability to appropriately increase heart rate in response to exercise) [19, 20], and vascular reserve (ability to vasodilate appropriately with exercise) [50]. In a prospective study by Borlaug and colleagues, measurement of hemodynamic parameters with invasive methods during exercise was found to be helpful for accurate and specific diagnosis of HFpEF [38]. Diastolic stress testing (non-invasive echocardiographic estimates of LV filling pressures [E/e'] during rest and peak exercise) has also been shown to be useful in diagnosing exercise-induced elevations in LV filling pressure [52], and may be useful to diagnose HFpEF via exercise echocardiography.

In patients with unexplained dyspnea or exercise intolerance, in whom HFpEF may be a possibility, we typically start with exercise echocardiography (including measurement of E/e' ratio and PASP at peak stress) and cardiopulmonary exercise testing. If the cause of dyspnea is still equivocal, we proceed with exercise cardiac catheterization for further evaluation of possible HFpEF.

## **LESSON #6: LOOK FOR CORONARY ARTERY DISEASE IN ALL PATIENTS WITH HFpEF**

CAD is less prevalent in patients with HFpEF compared to those with HFrEF [6]. However the frequency of CAD in HFpEF is still very high. Several epidemiologic and observational studies have documented a CAD prevalence of approximately 50% in HFpEF, although the number varies among studies [4, 6]. In addition, the presence of CAD is known to be associated with increased risk of developing HFpEF and increased mortality among HFpEF patients [53].

Both chronic CAD and acute myocardial ischemia have been associated with DD [54]. Two major mechanisms underlying the link between CAD and DD are the following: (1) impairment of active relaxation, an energy-dependent phase of diastole which is vulnerable to ischemia; and (2) alteration of the passive relaxation properties of the myocardium due to fibrosis or scarring [54, 55]. Longstanding myocardial ischemia can also induce myocardial hypertrophy and change in the extracellular matrix and this results in decreased LV compliance permanently [56]. Myocardial ischemia due to epicardial/microvascular coronary disease is also thought to be associated with decreased diastolic and/or systolic reserve in HFpEF patients [11]. Finally, by interfering with diastolic coronary filling, DD itself may also lead to myocardial ischemia [55].

CAD is a treatable condition that can play a significant role in the pathogenesis of HFpEF if present. In addition, symptoms of CAD can mimic symptoms of HF. Therefore, systematic identification of CAD is an important part of management of HFpEF [55]. Guidelines from the Heart Failure Society of America recommend evaluation for ischemic heart disease and inducible myocardial ischemia in patients with HFpEF [34]. We therefore screen for CAD in all patients with HFpEF. Given the high prevalence (pre-test probability) of CAD in HFpEF, along with the typical test characteristics of imaging-based stress testing, a negative stress test for CAD in HFpEF may not reliably exclude the diagnosis. Thus, we start with coronary angiography in all patients with HFpEF unless contraindicated (or if there is a desire to help localize ischemia prior to coronary angiography) [55]. In these cases, we perform stress testing to evaluate for the presence and extent of CAD and myocardial ischemia. Figure 2 displays our recommended diagnostic and treatment algorithm for CAD in HFpEF.

## **LESSON #7: CATEGORIZE HFpEF PATIENTS INTO CLINICAL PHENOTYPES TO HELP DETERMINE THE BEST MANAGEMENT STRATEGY IN THE INDIVIDUAL PATIENT**

All patients with HFpEF will benefit from blood pressure control, diuresis, HF education (i.e., dietary sodium restriction, fluid restriction, daily weights), management of poly-pharmacy and medication interactions, diagnosis and treatment of comorbidities, routine follow-up, and close interaction with primary care and other providers for management of comorbidities. For blood pressure control, we typically use a combination of carvedilol (given its vasodilating and cardioprotective properties), ACE-inhibitors/ARBs, and thiazide diuretics. For control of volume overload, we typically use bumetanide rather than furosemide given its better

bioavailability. Once initial diuresis is complete, we try to minimize the loop diuretic dose to prevent over-diuresis and sympathetic activation. If volume overload is severe or resistant, we add a more potent thiazide diuretic as needed (and judiciously, to avoid electrolyte imbalances), and we have a low threshold for spironolactone, especially in patients with right heart failure.

HFpEF is a heterogeneous syndrome with multiple etiologies and comorbidities. Therefore, aside from general treatment recommendations, we have found it helpful to categorize patients into clinical phenotypes to target specific therapies towards specific types of HFpEF. Table 1 lists the various clinical phenotypes of HFpEF along with specific management strategies for each subtype of HFpEF.

## **LESSON #8: IT IS POSSIBLE TO TREAT HFpEF—TREAT NOW BY TREATING UNDERLYING COMORBIDITIES**

Over the past decades, the prognosis of patients with HFrEF has improved significantly with the help of HFrEF-specific therapies. However, despite the use of similar pharmacological agents, prognosis of patients with HFpEF remained unchanged during the same time period [2]. Previous clinical trials with different pharmacological agents with strong evidence for benefit in HFrEF such as angiotensin converting enzyme (ACE) inhibitors, angiotensin receptor blockers (ARBs), beta-blockers, and digoxin have all failed to show significant benefit in the treatment of HFpEF [57-62].

A recent study on the effects of spironolactone in mild HFpEF showed improvement in DD without any effect on exercise capacity, patient symptoms, or quality of life [63]. Treatment of Preserved Cardiac Function Heart Failure with an Aldosterone Antagonist (TOPCAT), a large trial of spironolactone (N=3445) in more symptomatic, advanced HFpEF is still in progress [3]. Better understanding of pathophysiology of HFpEF has identified new drug targets [64]. A small trial with a short follow-up period showed significant improvement in pulmonary pressure, right ventricular function, and LV relaxation and distensibility with the use of sildenafil in HFpEF patients who had evidence of superimposed PAH [65]. However, in the Phosphodiesterase-5 Inhibition to Improve Clinical Status and Exercise Capacity in Diastolic Heart Failure (RELAX) trial, a more recent randomized controlled trial of HFpEF (which did not require the presence of PH), sildenafil did not result in significant improvement in clinical outcomes or exercise capacity [66]. A phase 2 clinical trial of a novel agent, an angiotensin receptor neprilysin inhibitor (ARNI), showed significant improvement in NT-proBNP levels compared to valsartan in patients with HFpEF and mild-to-moderate HF symptoms [67]. Several other agents such as L-carnitine [68], I(f)-inhibition (ivadarabine) [69], and soluble guanylate cyclase activators, may also be beneficial in HFpEF. However, these novel therapeutic options require further investigation in HFpEF to determine whether they are associated with improved outcomes in Phase III trials [67].

In the absence of evidence-based therapies for HFpEF, many clinicians may feel a bit of therapeutic nihilism towards these patients. However, we can treat these patients

**Table 1. Management of heart failure with preserved ejection fraction (HFpEF) by phenotypic classification.**

Phenotypic Classification	Management Strategies
Garden-variety HFpEF	<ul style="list-style-type: none"> <li>• Treat comorbidities (see Table 2)</li> <li>• Enroll in HFpEF clinical trial</li> </ul>
Coronary artery disease-HFpEF	<ul style="list-style-type: none"> <li>• Consider revascularization</li> <li>• Aggressive medical management of coronary artery disease (see Fig. 2)</li> </ul>
Right heart failure-predominant HFpEF	<ul style="list-style-type: none"> <li>• Diuresis/ultrafiltration</li> <li>• Digoxin (dose qMWF if elderly and/or if CKD is present)</li> <li>• Midodrine to support systemic blood pressure if systemically hypotensive</li> <li>• PDE5 inhibition if superimposed pulmonary arterial hypertension is present (i.e., if PA diastolic pressure – pulmonary capillary wedge pressure &gt; 5 mmHg)</li> </ul>
Atrial fibrillation-predominant HFpEF	<ul style="list-style-type: none"> <li>• Typically require rate/rhythm control more than anti-hypertensive therapy</li> <li>• Trial of cardioversion or ablation, especially if very symptomatic loss of atrial contraction</li> <li>• Anticoagulation unless contraindicated</li> </ul>
Hypertrophic cardiomyopathy-like HFpEF	<ul style="list-style-type: none"> <li>• Verapamil, diltiazem, long-acting metoprolol; cautious use of diuretics and vasodilators (use only if absolutely necessary)</li> </ul>
Valvular HFpEF	<ul style="list-style-type: none"> <li>• Medical treatment of underlying valve disease if possible</li> <li>• Surgical treatment of valvular disease if indicated</li> </ul>
High output HFpEF	<ul style="list-style-type: none"> <li>• Determine underlying cause of high output state (i.e., anemia, liver disease, AV fistula, hyperthyroidism)</li> <li>• Treat underlying cause of high output state</li> <li>• Diuretics/ultrafiltration typically necessary</li> </ul>
Rare causes of HFpEF (“zebras”)	<ul style="list-style-type: none"> <li>• Determine underlying etiology</li> <li>• Treat underlying cause</li> <li>• Enroll in clinical trial if possible</li> </ul>

HFpEF—heart failure with preserved ejection fraction; qMWF—every Monday, Wednesday, and Friday; GFR—glomerular filtration rate; PDE5—phosphodiesterase-5 inhibitor; PA—pulmonary artery; LVEDP—LV end-diastolic pressure; AV—arteriovenous.

now by treating their comorbidities. In patients with HFpEF, although morbidity and mortality are high, comorbidities drive as much of the adverse outcomes in these patients as the HF syndrome itself. In addition, in HFpEF, the number of comorbidities has been shown to be associated with increased risk for all-cause hospitalization in a dose-response relationship [70]. Therefore, clinicians should aggressively identify and treat conditions such as CAD, hypertension, diabetes, CKD and cerebrovascular disease in HFpEF because doing so may improve overall outcomes [71]. Table 2 lists several common HFpEF comorbidities and the management strategies we recommend to treat them.

**LESSON #9: UNDERSTAND THE IMPORTANCE OF HEART RATE IN HFpEF**

There is a complex relationship between heart rate and the pathophysiology of HFpEF. Elevated resting heart rate is known to be associated with increased mortality and hospitalization in HFpEF patients [72, 73]. At the same time, chronotropic incompetence is prevalent in HFpEF and plays an important role in its pathogenesis. Traditionally, heart rate lowering agents have been suggested to be beneficial for LV filling by increasing the diastolic filling period [34]. How-

ever, in the absence of tachycardia, slowing the heart rate tends to only prolong diastasis, during which time transmittal flow is minimal or absent [11]. Studies on beta-blockers have mostly shown neutral (no benefit or harm) outcomes in HFpEF, although potential benefits exist in patients with HFpEF-CAD [74-76]. Beta-blockers may worsen exercise intolerance by exacerbating chronotropic incompetence in patients with HFpEF. Therefore, whenever possible, heart rate response to exercise should be determined with exercise testing in patients with HFpEF, and if chronotropic incompetence is present, rate adaptive pacemaker insertion may be considered to improve exercise tolerance and this may allow use of beta-blockers [55].

Some patients with advanced HFpEF (especially those with restrictive cardiomyopathies such as cardiac amyloidosis) have a fixed stroke volume (due to severe DD and severely reduced LV compliance); these patients therefore require the ability to increase heart rate in order to augment cardiac output with exercise. In this setting, permitting heart rates as high as 90-100 bpm may be beneficial, and heart rate lowering agents should be used with caution, as they may precipitate lightheadedness, dizziness, hypotension, and syncope.

**Table 2. Management of comorbidities in heart failure with preserved ejection fraction (HFpEF).**

Comorbidity	Management Strategies
Systemic hypertension	<ul style="list-style-type: none"> <li>• Consider vasodilating beta-blocker (e.g., carvedilol), ACE-inhibitor/ARB, and thiazide diuretic in all patients</li> <li>• Thiazide and thiazide-like diuretics (e.g., chlorthalidone, indapamide) prevent HFpEF</li> <li>• Consider and work-up secondary causes of hypertension in patients with difficult to control blood pressure</li> <li>• Most patients can be treated with a combination of vasodilating beta-blocker, ACE-inhibitor/ARB, thiazide, loop diuretic, spironolactone (and hydralazine/nitrates or dihydropyridine calcium channel blocker, if needed); therefore, avoid clonidine, minoxidil, atenolol as these drugs are either ineffective or have several unwanted side effects</li> </ul>
Coronary artery disease	<ul style="list-style-type: none"> <li>• Although drugs such as beta-blockers and ACE-inhibitors/ARBs, used to treat CAD, have not shown clear benefit in HFpEF clinical trials, these drugs were not specifically tested in the subset of patients with HFpEF-CAD; therefore, we still recommend treating with these drugs in patients with HFpEF-CAD</li> <li>• There is no known benefit of coronary revascularization in HFpEF (data is limited). However, revascularization can be helpful for exclusion of diagnosis of HFpEF when there is diagnostic dilemma (HFpEF vs. CAD) regarding the causes of signs and symptoms in the individual patient</li> <li>• Nitrates and ranolazine both have potential beneficial effects in HFpEF above and beyond their effects on ameliorating myocardial ischemia; nitrates act as pulmonary venodilators, and ranolazine can improve diastolic relaxation; therefore, we use these drugs in patients with symptomatic HFpEF and CAD to see if we can improve symptoms</li> <li>• Aspirin and statins in all patients unless contraindicated for primary or secondary prevention of myocardial infarction</li> </ul>
Atrial fibrillation	<ul style="list-style-type: none"> <li>• Trial of restoration of normal sinus rhythm in all patients (this could include cardioversion, percutaneous ablation, or surgical maze procedure, as indicated depending on symptoms in the setting of atrial fibrillation)</li> <li>• Rate control strategy with beta-blockers or non-dihydropyridine calcium channel blockers (diltiazem or verapamil) is usually preferred due to potential side effects of rhythm control agents.</li> <li>• Drugs to control rhythm reserved for patients who have worsening of HF with loss of atrial kick.</li> <li>• Anticoagulation with warfarin, dabigatran, or rivaroxaban unless contraindicated</li> </ul>
Obesity	<ul style="list-style-type: none"> <li>• Diet counseling (including sodium and fluid restriction) for all patients</li> <li>• Consider referral to obesity management program (and bariatric surgery in select patients with morbid obesity)</li> </ul>
Chronic kidney disease	<ul style="list-style-type: none"> <li>• Consider co-management with a nephrologist in patients with GFR &lt; 30 ml/min/1.73 m<sup>2</sup></li> <li>• Patients with right heart failure can develop renal venous congestion, especially if systemic blood pressure is low; these patients can present as “pre-renal” but require diuresis to improve renal blood flow</li> <li>• Patients with symptoms of HFpEF who have “normal” renal function with “normal” serum creatinine (i.e., &lt; 1.2 mg/dl) often have a falsely low creatinine due to hemodilution; in these patients, look for signs of volume overload; and increased creatinine with diuresis in this setting may simply be a sign of hemoconcentration</li> </ul>
Obstructive sleep apnea	<ul style="list-style-type: none"> <li>• Risk factors for HFpEF (i.e., obesity) overlap with OSA; thus, HFpEF and OSA often co-exist. OSA can result in LVH and diastolic dysfunction as well as pulmonary hypertension and right heart failure, both of which can exacerbate HFpEF.</li> <li>• HFpEF can be associated with oropharyngeal and laryngeal edema which can cause OSA; patients with severe HFpEF can also have central sleep apnea</li> <li>• Consider overnight polysomnography testing after initial diuresis in all patients, and all patients with documented OSA should undergo treatment for OSA</li> <li>• Co-management with a sleep specialist is key for patients with HFpEF who have (1) CPAP intolerance; (2) mixed apnea; or (3) persistent evidence of sleep apnea despite treatment with CPAP</li> </ul>
Chronic lung disease	<ul style="list-style-type: none"> <li>• Even mild chronic lung disease can cause significant hypoxemia, dyspnea, and exercise intolerance in the HFpEF patient</li> <li>• Given exquisite sensitivity to pulmonary edema / fluid overload, patients with both chronic lung disease and HFpEF often require frequent monitoring and judicious use of diuretics</li> <li>• Aggressive treatment of chronic lung disease such as COPD may help improve symptoms and quality of life</li> </ul>

HF—heart failure; HFpEF—heart failure with preserved ejection fraction; ACE—angiotensin converting enzyme; ARB—angiotensin receptor blocker; CAD—coronary artery disease; CKD—chronic kidney disease; GFR—glomerular filtration rate; OSA—obstructive sleep apnea; LVH—left ventricular hypertrophy; CPAP—continuous positive airway pressure; COPD—chronic obstructive pulmonary disease.

**LESSON #10: REMEMBER THE ZEBRAS WHEN EVALUATING PATIENTS WITH HFpEF**

The broad differential diagnosis of HFpEF must be considered during the evaluation of patients with known or possible HFpEF [34], especially when initial treatment strategies

are unsuccessful. Careful history and detailed physical examination can help narrow the differential diagnosis. Common diagnoses such as anemia, chronic kidney disease, atrial fibrillation, CAD, valvular heart disease, pulmonary hypertension, and lung disease can all mimic HFpEF. Addition-



ally, several “zebras” (rare diseases such as restrictive cardiomyopathies, including cardiac amyloidosis, and constrictive pericarditis) can result in the HFpEF syndrome [77]. Patients with these rare conditions often benefit from early diagnosis; therefore, clues to their presence are essential in the proper diagnosis and management of patients with HFpEF.

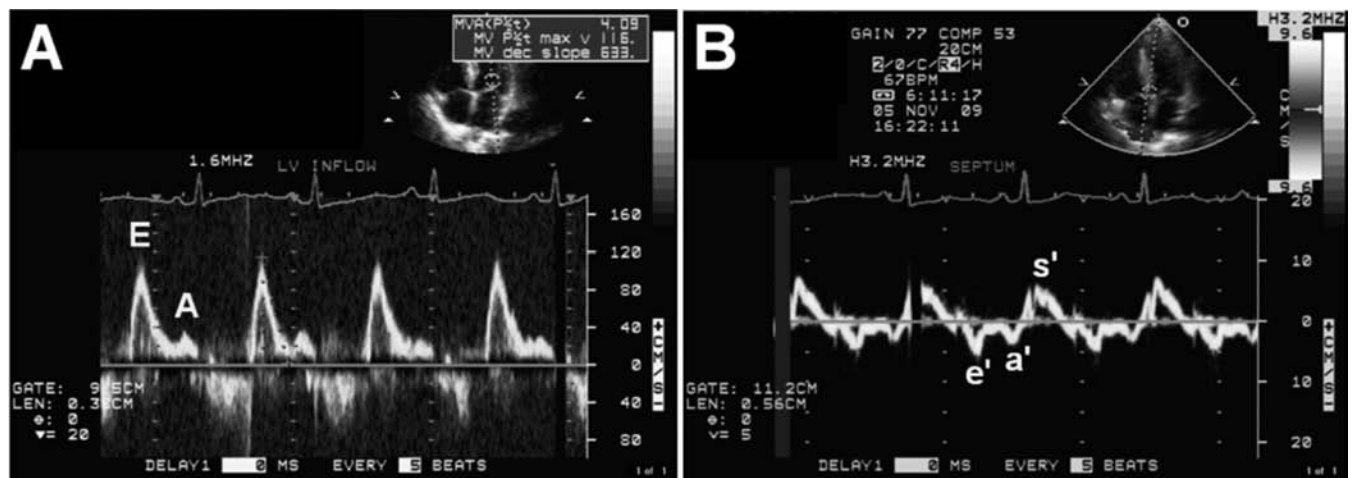
On physical examination, Kussmaul’s sign (an increase in jugular venous pressure during inspiration) can be suggestive of restrictive cardiomyopathy, constrictive pericarditis, significant right ventricular dysfunction, or severe tricuspid regurgitation. A pericardial knock can be present in patients with constrictive pericarditis [78]. Although similarly timed

within the cardiac cycle, a pericardial knock can be differentiated from an S3 by its intensity, pitch, and timing (the pericardial knock is louder, higher pitched, and slightly earlier than the S3). Periorbital purpura and bilateral carpal tunnel syndrome are clues for the diagnosis of amyloidosis [79]. The presence of low voltage QRS on electrocardiography (often times with a pseudoinfarct pattern [pathologic Q waves due to cardiomyopathy]), especially in a patient with increased LV wall thickness, should also prompt consideration of cardiac amyloidosis. Careful examination of echocardiographic findings in patients with HFpEF can provide clues to the presence of restrictive cardiomyopathy and constrictive pericarditis, as outlined in Table 3 and Fig. (3).

**Table 3. Clues for the presence of restrictive cardiomyopathy or constrictive pericarditis in patients with heart failure and preserved ejection fraction.**

Parameter(s)	Restrictive Cardiomyopathy	Constrictive Pericarditis
General clues on echocardiography	<ul style="list-style-type: none"> <li>Sparkling myocardium (abnormal echocardiographic “texture” of the myocardium)</li> <li>Severely reduced tissue Doppler velocities</li> <li>Preserved radial function; reduced longitudinal function</li> <li>Small thick ventricles with bi-atrial enlargement</li> </ul>	<ul style="list-style-type: none"> <li>Diastolic septal bounce (more exaggerated during inspiration)</li> <li>Normal or accentuated lateral e’ velocity</li> <li>Increased respiratory variation in mitral inflow</li> </ul>
Lateral tissue Doppler e’ velocity	Severely reduced	Normal or accentuated
Hepatic vein imaging	Diastolic flow reversal during inspiration	Diastolic flow reversal during expiration
Natriuretic peptide levels	Increased	May be normal; however, if constrictive pericarditis is long-standing, RV volume overload can occur, which results in natriuretic peptide levels
Invasive hemodynamic testing	<ul style="list-style-type: none"> <li>RV and LV pressures concordant with respiration</li> <li>RA pressure &lt; 1/3 RV systolic pressure</li> </ul>	<ul style="list-style-type: none"> <li>RV and LV pressure discordant with respiration</li> <li>RA pressure &gt; 1/3 RV systolic pressure</li> </ul>

RV—right ventricular; LV—left ventricular; RA—right atrial.



**Fig. (3).** Doppler and tissue Doppler tracings from a 50-year-old patient with dyspnea, exercise intolerance, and preserved left ventricular ejection fraction. Panel A = Doppler imaging of mitral inflow; Panel B = tissue Doppler imaging of the septal mitral annulus. The high E velocity, high E/A ratio, short E deceleration time, and severely reduced tissue Doppler e’ and a’ velocities all point to severe (Grade 3) diastolic dysfunction. The presence of severe diastolic dysfunction with severely reduced e’ and a’ tissue Doppler velocities is highly indicative of an underlying cardiomyopathy (restrictive or infiltrative) in a relatively young patient with preserved left ventricular ejection fraction and no evidence of severe coronary disease or end-stage renal disease. This particular patient had symptoms for 2 years and had seen multiple cardiologists prior to the diagnosis of HFpEF due to biopsy-proven cardiac AL amyloidosis. She underwent chemotherapy followed by autologous stem cell transplantation and has been free of heart failure symptoms or evidence of primary AL amyloid recurrence for 5 years.



## CONCLUSION

HFpEF (“huff puff”), a common clinical syndrome that is increasing in prevalence with the aging population, is associated with an alarmingly high morbidity and mortality. Unfortunately, the majority of multi-center randomized clinical trials have failed to identify treatments with proven benefit in quality of life or outcomes, especially in the outpatient setting. Clinicians therefore may approach HFpEF with diagnostic and therapeutic nihilism, thereby considering these patients as untreatable and difficult to manage because of the lack of guidelines and treatment options. Indeed, the Cambridge Idioms Dictionary defines “huff and puff” as follows: “to complain noisily about something but not be able to do anything about it”. It is our hope that these 10 key lessons for HFpEF will show clinicians that we can do something about HFpEF by giving them tools to help diagnose, treat, and manage these patients effectively, thereby ultimately improving outcomes.

## CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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