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Treatment With Lenalidomide Modulates T-Cell Immunophenotype and Cytokine Production in Patients With Chronic Lymphocytic Leukemia

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Abstract

BACKGROUND—Lenalidomide, an immunomodulatory agent, has activity in lymphoproliferative disorders. The authors, therefore, evaluated its effects on T-cell immunophenotype and cytokine production in patients with chronic lymphocytic leukemia (CLL).

METHODS—To study the immunomodulatory effects of lenalidomide in CLL, the authors recruited 24 patients with untreated CLL enrolled in a phase 2 clinical trial of lenalidomide and obtained peripheral blood specimens for immunologic studies consisting of enumeration of T cells and assessing their ability to synthesize cytokines after activation through T-cell receptor (TCR).

RESULTS—After 3 cycles of therapy, patients had a significant reduction in percentage (%) and absolute lymphocyte count (ALC) and an increase in percentage of T cells, percentage of activated CD8⁺ T cells producing IFN- γ , and percentage of regulatory T (T_R) cells when compared with their respective levels before treatment. After 15 cycles of treatment, responder patients had significant reduction in percentage of lymphocytes and ALC, percentage of activated CD4+ T cells producing IL-2, IFN- γ , or TNF- α , and percentage of T_R cells when compared with their perspective levels after 3 cycles of treatment. Furthermore, the numbers of activated CD4+ T cells producing IL-2, IFN-γ, or TNF-α, activated CD8⁺ T cells producing IFN-γ, and T_R cells normalized to the range of healthy subjects.

CONCLUSIONS—Treatment with lenalidomide resulted in the normalization of functional Tcell subsets in responders, suggesting that lenalidomide may modulate cell-mediated immunity in patients with CLL.

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Keywords

chronic lymphocytic leukemia; immunomodulatory agents; T cells; cytokines

Lenalidomide

((RS)-3-(4-amino-1-oxo-3H-isoindol-2-yl)piperidine-2,6-dione) has shown clinical activity in several hematological disorders, including multiple myeloma (MM), myelodysplastic syndrome (MDS), and chronic lymphocytic leukemia (CLL) .^{1,2} The relevant mechanisms of action of lenalidomide vary by disease setting. In multiple myeloma, lenalidomide increases the apoptotic rate of neoplastic plasma cells, inhibits cell adhesion, and induces changes in the bone marrow microenvironment by inhibiting adhesion of myeloma cells to the bone marrow stromal cell³; whereas in del(5q) MDS, lenalidomide directly affects erythroid progenitors by upregulating *activin A* and the tumor suppressor gene *SPARC* (secreted protein acidic and rich in cysteine).⁴ In CLL, lenalidomide's mechanisms of action are not fully understood, and several possibilities are currently being considered, including suppression of cytokines such as tumor necrosis factor-alpha (TNF-α), inhibition of angiogenesis, and activation of natural killer cells.⁵

With new agents available to patients with CLL, the management of CLL becomes increasingly personalized.⁶ Lenalidomide offers a beneficial effect in patients with refractory/relapsed CLL, yet fatigue, thrombocytopenia, and neutropenia were frequently observed, and tumor flare reactions, characterized by painful lymphadenopathy with or without fever and bone pain, were reported in a subset of patients.^{7,8} Nevertheless, a recent trial with the combination of lenalidomide and rituximab demonstrated superior treatment effects to lenalidomide alone and no increase in toxicity.⁹ Unfortunately, lenalidomide in combination with fludarabine and rituximab in previously untreated CLL patients was very poorly tolerated when administered concurrently.¹⁰

The stimulatory effects of lenalidomide on both humoral¹¹ and cellular immunity^{12,13} have been attributed to its ability to restore T-cell immune synapse formation^{12,13} and the CD154 expression on B-CLL cells with subsequent activation phenotype.¹¹ Furthermore, it has been suggested that lenalidomide-associated immune activation is responsible for tumor flare reactions, a unique phenomenon seen only in CLL patients. To determine the effect of lenalidomide treatment on cell-mediated immunity of treatment-naive CLL patients, we measured changes in the immunophenotypes of T cells, including regulatory T (T_R) cells, and the ability of $CD4^+$ and $CD8^+$ T cells to synthesize cytokines after activation through the T-cell receptor (TCR) with immobilized anti-CD3 antibodies. Cytoplasmic cytokine staining of cells identified by their surface antigens provided unique opportunities to detect cytokine expression within individual cells and to gather important information regarding the functionality of T cells.

MATERIALS AND METHODS

Patients and Treatment

Sixty treatment-naive CLL patients were enrolled in a phase 2 clinical trial of lenalidomide; 24 patients agreed to provide 15 mL of peripheral blood for optional immunologic studies before treatment after receiving 3 cycles and 15 cycles of treatment. To be eligible for this study, patients had to be aged 65 years or older and have standard indications for treatment according to National Cancer Institute (NCI) and International Workshop on CLL (IWCLL) guidelines.14 Treatment consisted of lenalidomide 5 mg daily continuous for each 28-day cycle. Completion of 2 28-day cycles (56 days) was required before escalating the daily lenalidomide dose to 10 mg, and then further escalation up to 25 mg daily by increments of 5 mg per cycle was allowed. The treatment was continued until disease progression. This study was approved by The University of Texas MD Anderson Cancer Center Institutional Review Board and registered at [clinical trial.gov](http://clinicaltrial.gov) as *NCT00535873– Lenalidomide as Initial Treatment of Patients with Chronic Lymphocytic Leukemia (CLL) Aged 65 and Older*. All patients provided written informed consent in accordance with the Declaration of Helsinki before participation in this study. Patients were evaluated for clinical response according to the National Cancer Institute Working Group (NCI-WG) criteria¹⁵ after 3 cycles of treatment and every 6 cycles thereafter when they remained on treatment. In addition, we recruited 22 agematched healthy subjects to participate in the study as a control group for data analyses.

Enumeration of T-Cell Subsets and TR Cells

We enumerated lymphocyte subsets as previously described.¹⁶ Briefly, 100 µL aliquots of peripheral blood were incubated with mouse monoclonal antibody reagents to detect T-cell subsets (CD3, CD4, and CD8). Surface expressions of CD3, CD4, and CD25 were determined by using mouse antibodies conjugated with peridinin chlorophyll protein, fluorescein isothiocyanate, and phycoerythrin, respectively.

Expression of the Forkhead box protein P3 (FoxP3) is important in the differentiation of natural T_R cells.¹⁷ T_R cells were enumerated in peripheral blood mononuclear cells (PBMC) by using the FoxP3 staining kit (BD Pharmingen, San Diego, California) according to the manufacturer's recommended protocol. All reagents were purchased from BD Biosciences (San Jose, California). Mouse immunoglobulin isotype controls were used to control autofluorescence.

Measurement of Cytokines Synthesis by Activated T Cells

PBMC were isolated from whole blood by Ficoll-Hypaque density-gradient centrifugation and stored at −80°C in fetal bovine serum (FBS) with 10% dimethyl sulfoxide. Upon thawing, PBMC were suspended in complete medium consisting of RPMI-1640 (Whittaker Bioproduct, Walkersville, Maryland) supplemented with 10% heatinactivated FBS, 100 U/mL of penicillin (Whittaker Bioproduct), 100 µg/mL of streptomycin (Whittaker Bioproduct), and 2 mM glutamine (GIBCO, Grand Island, New York). PBMC (6×10^6) were activated through the T-cell receptor (TCR) by anti-CD3 antibodies that were immobilized to the surface of a 24-well plate (Costar, Cambridge, Massachusetts). Briefly,

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the bottom of each well of a 24-well plate was coated with 0.75 µg of anti-CD3 (Coulter Immunology, Hialeah, Florida), and the plate was incubated at 37°C for 6 hours. Thereafter, the plates were air-dried with the plate lid off in a laminar flow hood. One mL of complete medium, 1.5 µg of anti- CD28 (BD Biosciences, San Jose, California), and 10⁶ PBMC were added to each well coated with anti-CD3, and the plate was incubated at 37°C for 16 to 18 hours. Ten ug of brefeldin A were added to each milliliter of PBMC culture for the last 3 hours of the incubation period. Next, a total of 6×10^6 activated PBMC were harvested and treated with monoclonal antibody reagents for the detection of the intracellular cytokines produced by activated T cells as previously described.^{16,18}

Statistical analysis

The nonparametric Wilcoxon signed-rank test was used to compare the differences in levels of white blood cell count (WBC), percentage and absolute lymphocyte counts, T-cell subsets (including T_R cells), and activated T cells synthesizing cytokines at pretreatment versus their respective levels after 3 cycles of treatment as well as for comparing their levels after 3 cycles versus after 15 cycles of treatment. The Mann-Whitney test was used to compare the differences in median of WBC, percentage and absolute count of lymphocytes, T-cell subsets (including T_R cells), and TCR-activated T cells producing cytokines IL-2, IL-10, IFN-γ, or TNF-α between healthy subjects and patients at pretreatment, after 3 cycles or after 15 cycles of treatment.

RESULTS

Patient Population

The clinical characteristics of the 24 patients studied are listed in Table 1. Six patients did not respond to treatment and were taken off study after 3 cycles. Eighteen patients had partial responses (PR) after 3 or 9 cycles of treatment including 3 nodal partial responses (nPR) after 9 cycles of therapy. Four patients had complete responses (CR), and 2 patients had incomplete CR (CRi) after 15 or 21 cycles of treatment.

Nine of 24 patients had Rai stages III or IV (Rai Classification system for CLL), and the median serum beta-2 microglobulin (β_2 M) was 4.2 mg/dL (range, 2.1 to 12.0 mg/dL). Immunoglobulin heavy-chain (*IGVH*) variable gene sequencing was determined on leukemia cells of 22 patients and $14 (64%)$ had unmutated ($2%$ deviation from germline) *IGVH*. Expression of ZAP-70 was measured by immunocytochemistry in 18 patients, of whom 10 (56%) were positive. Twenty-two patients had cytogenetic abnormalities by fluorescence in situ hybridization (FISH) testing, 7 had chromosome 13q deletion, and 8 had chromosome 11q deletion. Peripheral blood was collected from patients before initiation of therapy ($n = 24$), after 3 cycles ($n = 24$), and again after 15 cycles ($n = 17$) of treatment.

On the basis of the 60 patients enrolled in the study, the most common toxicity was grade 3– 4 neutropenia, which occurred in 34% of evaluable treatment cycles. Other hematological toxicities were less common with grade 3 or 4 thrombocytopenia and anemia occurring in only 12% and <1% of cycles, respectively. Grade 1 and 2 toxicities were more common and included fatigue (75%), diarrhea (52%), constipation (48%), rash (47%), and/or pruritus

(42%). Grade 1 or 2 tumor flare reactions occurred in 48% of patients, but these were generally mild and treated with short administration of nonsteroidal anti-inflammatory drugs, steroids, or dose adjustment of lenalidomide. There were no grade 3 or 4 episodes of tumor flare or tumor lysis in this study. (Xavier Badoux et al, personal communication).

Lenalidomide Significantly Lowered the Absolute Count of Lymphocytes and T Cells

The median WBC at start of treatment was 100.2×10^3 /µL. Along with significant reductions in WBC (11.9 \times 10³/µL) after 3 cycles of treatment (*P* = .000), there were significant decreases in the ALC as well as absolute count of T cells of CLL patients ($P =$. 000 and *P* = .009, respectively; Fig. 1). After 15 cycles of treatment, patients had further reductions in WBC (4.8 \times 10³/ μ L) and ALC (2.5 \times 10³/ μ L) with median values within the normal range for healthy subjects established at the University of Texas M D Anderson Cancer Center (Fig. 1). In addition, the median number of T cells of CLL patients was also within the normal range of healthy subjects.

Lenalidomide Significantly Lowered the Percentage of Lymphocytes and Increased the Percentages of T Cells

The median percentage of lymphocytes at the start of treatment was 90.5%. After 3 cycles of therapy, there was a significant decreases in the percentage of lymphocytes ($P = .004$), and further reduction was observed after 15 cycles ($P = .002$; Fig. 1). However, despite significant reductions in percentage of lymphocytes, patients still had significantly higher median percentage of lymphocytes than healthy subjects $(55.0\% \text{ vs } 26.5\%, P = .000)$.

The median percentage of T cells at the start of treatment was significantly lower than that of healthy subjects but had significantly increased after 3 cycles of therapy $(P = .000)$ with an additional increase after 15 cycles of treatment that reached the median value (52%) of T cells that was comparable to that of healthy subjects (Fig. 1).

Lenalidomide Affects Cytokine Production by Anti-CD3 Activated CD4+ T Cells

The median percentages and numbers of activated CD4⁺ T cells that synthesized IL-2, IFN- γ or TNF-α before treatment were higher than those of healthy subjects (Table 2 and Fig. 2). After 3 cycles of treatment, the percentages and numbers of $CD4^+$ T cells producing these cytokines were similar to their baseline levels. However, percentages and numbers of CD4⁺ T cells producing IL-2, IFN-γ, or TNF-α decreased significantly after 15 cycles of treatment $(P < .02)$, and their median values were equivalent to those of healthy subjects.

Compared with healthy subjects, the percentage of activated $CD4+T$ cells producing IL-10 was lower at pretreatment and after 3 cycles of therapy (*P* < .003). After 15 cycles of treatment, the percentage and number of $CD4^+$ IL-10⁺ T cells were still significantly lower that those of healthy subjects ($P < .003$).

Cytokine Synthesis by Activated CD8+ T Cells Is Affected by Treatment With Lenalidomide

Whereas the percentages of activated $CD8^+$ T cells synthesizing IL-2, IFN- γ , or TNF- α before initiation of treatment were similar to those of healthy subjects, interestingly the percentage of activated CD8⁺ T cells producing IFN- γ after 3 cycles of therapy was

significantly increased (Table 2). Moreover, the median numbers of activated $CD8^+$ T cells producing IL-2, IFN-γ, or TNF-α were significantly higher than those of healthy subjects at pretreatment and after 3 cycles of therapy, but they were significantly decreased after 15 cycles of therapy and became comparable to those of healthy subjects (Fig. 3).

The Percentage and Absolute Number of TR Cells Normalized During Treatment With Lenalidomide

The median percentage of T_R cells was 2.2% at pretreatment and significantly increased after 3 cycles of treatment ($P = .015$; Fig. 4). However, after 15 cycles of treatment, the median percentage and absolute number of T_R cells decreased significantly and were equivalent to that of healthy subjects.

DISCUSSION

Treatment of B-CLL patients with lenalidomide significantly decreased the total number of lymphocytes and T cells to levels observed in healthy subjects. Similarly and more importantly, after 15 cycles of treatment, the percentages of T-cell subsets that synthesized cytokines resembled those of healthy subjects. In particular, the numbers of $CD4^+$ IL-2⁺ Thelper and $CD8^+$ IFN⁻ γ^+ T cells were restored to the normal range for healthy subjects.

T-cell dysfunction in patients with CLL may contribute to the progression of disease,19 and others have reported that CLL patients have increased numbers of T_R cells²⁰ that are responsible for suppression of cytokine production. Multiple T-cell abnormalities including over-expression of CD3 zeta chain and ZAP-70 in CLL patients with indolent disease indicated a state of chronic and aberrant activation of T cells.²¹ Thus, it was not unexpected that T-cell responses before treatment, ie, percentage of activated $CD4⁺$ T cells producing IL-2, IFN-γ, or TNF-α, were significantly higher when compared with those of healthy subjects, and the heightened activation through the TCR could be related to the intrinsic activation of T cells by B-CLL cells. Despite reductions in absolute number of T cells after 3 cycles of therapy, the residual T cells retained their ability to synthesize cytokines. This was followed by significant reductions in the percentages of activated $CD4^+$ and $CD8^+$ T cells that synthesized IL-2, IFN-γ, or TNF-α after 15 cycles of therapy. In addition, the median numbers of activated CD4⁺ T cells that produced IL-2, IFN- γ , or TNF- α and activated CD8⁺ T cells that produced IFN-γ were equivalent to those of healthy subjects.

Gorgun and collaborators have suggested a role for leukemic B cells in T-cell dependent immune deficiency. They observed that coculturing B-CLL cells with normal T cells resulted in the inability of CD4+ T cells to differentiate into Th1 cells and CD8+ T cells with defective cytotoxic ability.²² Interestingly, in our study, there was a significant transient increase in percentages of activated $CD8^+$ T cells producing IFN- γ after 3 cycles of treatment with lenalidomide (Fig. 3). These data suggest that cell-mediated cytotoxicity may be involved in the clinical activity of lenalidomide. This mechanism is supported by other studies reporting that the administration of lenalidomide to TCL1 mice reversed the dysfunctional immunological synapse formation between T cells and leukemic cells.^{12,22}

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The role of IL-10 in the pathogenesis of CLL is still controversial, as some investigators have reported IL-10 as a survival factor for B cells, 23 and others have shown IL-10–induced apoptosis of CLL cells in vitro.²⁴ Although the median percentage of IL-10–producing CD4+ T cells of CLL patients at pretreatment was significantly lower than that of the healthy subjects, the median level was further decreased after 3 cycles of treatment. These data tend to support the hypothesis that decreased IL-10 production may relate to increased apoptosis of CLL cells as suggested by another in vitro study.²⁵

Natural T_R cells are believed to arise as a suppressive population within the thymus,²⁶ but there is evidence that they may also arise peripherally.²⁷ In humans, T_R cells compose about 1% to 2% of circulating CD3+ CD4+ T cells that coexpress the IL-2 receptor-α (IL-2Rα or CD25) at high density (CD25hi).28 CLL patients have been reported to have an increase in T_R cells, which were reduced after thalidomide therapy.²⁹ In our study, there was a transient increase (~18%) in the percentage of T_R cells in the peripheral blood after 3 cycles of treatment (Fig. 4) that was followed by a significant decrease (\sim 40%) in T_R cells after 15 cycles of treatment ($P = .001$). The transient increase of T_R cells after 3 cycles of therapy may be explained by the activation of $CD4+T$ cells through increased circulating tumor antigens³⁰ associated with the destruction of B-CLL cells by lenalidomide.³¹ We suggest that whereas the transient increase in T_R cells after 3 cycles of treatment may be beneficial by preventing the development of the tumor flare reaction in patients receiving lenalidomide, the subsequent reduction in percentages of T_R cells after 15 cycles of therapy is consistent with the in vitro inhibitory effect of lenalidomide on T_R cells.³² Interestingly, patients with complete responses had significantly higher percentages of CD4+ T cells and low percentages of T_R cells when compared with patients who experienced partial response after 15 cycles of treatment.

Lastly, lenalidomide induced significant reductions in the level of serum cytokines, TNF-α, and its soluble receptor sTNF-R1, angiogenesis factor bFGF, inflammatory factors IL-1RA and IL-10, and chemokine MIP-1 α in CLL patients after 9 cycles of therapy. The results further substantiate the immunomodulatory effects of lenalidomide in CLL patients. To date, there have been limited attempts to reverse immune defects in CLL patients. A recent clinical trial among CLL patients with high-risk cytogenetics treated with lenalidomide showed a 38% overall response rate, with 19% of patients achieving a complete response.³³ We previously reported that treatment with lenalidomide was associated with an overall response rate of 31% in CLL patients with 11q or 17p deletion, of 24% in patients with unmutated V(H), and of 25% in patients with fludarabine-refractory disease.³¹ These studies suggest that the restoration of immune function have the potential to effectively eliminate B-CLL cells. Despite the small sample size due to the limited number of participants for this optional immunologic study, herein, we have provided evidence that treatment with lenalidomide modulates T-cell immunity profiles of CLL patients and that changes in the proportion of functional T-cell subsets may reverse CLL-related immune defects and contribute to a favorable clinical response.

Acknowledgments

CONFLICT OF INTEREST DISCLOSURES

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Bang-Ning Lee, Alessandra Ferrajoli, and James M. Reuben conceived of design for the immunology studies and are responsible for preparation of the manuscript. Hui Gao and Evan N. Cohen were responsible for quantifying the T-cell subsets and TR cells, the functional studies of TCR-activated PBMC assays, and statistical analysis. Xavier Badoux, William G. Wierda, Zeev Estrov, Stefan H. Faderl, Alessandra Ferrajoli, and Michael J. Keating conceived and conducted the clinical trial, obtained informed consent from the patients, and reviewed the manuscript.

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Figure 1.

Decreases in (a) percentages and (b) numbers of lymphocytes and increases (c) in percentage and (d) number of T cells with lenalidomide are shown. Data obtained from healthy subjects (HS) are shown on the right side of each figure. Asterisks represent significant differences between healthy subjects and those of CLL patients at the designated time points during treatment. Solid line represents significant differences between pretreatment versus after 3 cycles of therapy; dashed line represents significant differences between after 3 cycles versus after 15 cycles of therapy.

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Figure 2.

Lenalidomide modulates the absolute numbers of TCR-activated CD4+ T cells that synthesized (a) IL-2, (b) IFN- γ , (c) TNF- α , and (d) IL-10. Data obtained from healthy subjects are shown on the right side of each figure. Asterisks represent significant differences between responses of healthy subjects (HS) and those of CLL patients at the designated time points during treatment. Solid line represents significant differences in activated CD4+ T cells synthesizing cytokines between pretreatment versus after 3 cycles of therapy; dashed line represents significant differences between after 3 cycles versus after 15 cycles of therapy.

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Figure 3.

Lenalidomide modulates the absolute number of TCR-activated CD8+ T cells that synthesized (a) IL-2, (b) IFN- γ , (c) TNF- α , and (d) IL-10. Data obtained from healthy subjects are shown on the right side of each figure. Asterisks represent significant differences between responses of healthy subjects (HS) and those of CLL patients at the designated time points during treatment. Solid line represents significant differences between pretreatment versus after 3 cycles of therapy; dashed line represents significant differences between after 3 cycles versus after 15 cycles of therapy.

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Figure 4.

(a) Percentage and (b) number of regulatory T cells (T_R) of pretreatment CLL patients are shown after 3 cycles and after 15 cycles of lenalidomide therapy. Data obtained from healthy subjects (HS) are shown on the right side of each figure. Asterisks represent significant differences in T_R cells between healthy subjects and CLL patients at the designated time points during treatment. Solid line represents significant differences in TR cells between pretreatment versus after 3 cycles of therapy; dashed line represents significant differences in T_R cells after 3 cycles versus after 15 cycles of therapy.

ALC, absolute lymphocyte count (per µL); Rai Stage, use of the cytologic Rai Classification system for chronic lymphocytic leukemia; NCI, National Cancer Institute; FISH, fluorescence in situ
hybridization; IGVH, IgVH gene hybridization; *IGVH*, IgVH gene mutation analysis; M, men; NR, ; *ATM*, microarray *ATM* gene analysis; nPR, nodal partial response; ND, not done; W, women; D13, ; CRi, incomplete complete response; ALC, absolute lymphocyte count (per µL); Rai Stage, use of the cytologic Rai Classification system for chronic lymphocytic leukemia; NCI, National Cancer Institute; FISH, fluorescence in situ PR, partial response; CR, complete response.

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Table 2

Percentages of TCR-Activated CD4+ and CD8+ T Cells That Synthesized IL-2, IFN-γ, TNF-α, or IL-10 at Pretreatment, After 3 cycles, and After 15 cycles of Lenalidomide Therapy

SEM indicates standard error of the mean.

^a There were significant differences between CLL patients and healthy subjects with respect to cytokine synthesis by TCR-activated CD4⁺ or CD8+ T cells.

b
There were significant changes between the median percentages of TCR-activated CD4⁺ or CD8⁺ T cells producing cytokines by CLL patients after 15 cycles of treatment *versus* their respective levels after 3 cycles of treatment.

^{*c*} There were significant changes between the median percentages of TCR-activated CD4⁺ or CD8⁺ T cells producing cytokines by CLL patients after 3 cycles of treatment *versus* their respective levels before treatment.