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## Hand transplants and the mandate for tolerance

Maria Koulmanda<sup>1,\*</sup>, Bohdan Pomahac<sup>2</sup>, Zhigang Fan<sup>1</sup>, George F. Murphy<sup>3</sup>, and Terry B. Strom<sup>1,\*</sup>

<sup>1</sup>Department of Medicine, Harvard Medical School; Transplant Institute, Beth Israel Deaconess Medical Center; Boston, MA USA

<sup>2</sup>Department of Surgery, Division of Plastic Surgery, Harvard Medical School and Brigham and Women's Hospital; Boston, MA USA

<sup>3</sup>Department of Pathology, Division of Dermatopathology, Brigham and Women's Hospital and Harvard Medical School; Boston, MA USA

### Abstract

**Purpose of review**—The field of VCA to achieve its full potential will require induction of tolerance. This review will introduce a new method of potential inducing tolerance in hand transplantation.

**Recent Findings**—Hand transplantation is never a life-extending transplant. This fact resulted in considerable debate both for and against the use of immunosuppression for non-life-extending transplants. There is considerable debate about the ethics of hand transplantation (1–8). There is now consensus that non-life-extending transplants are acceptable in properly selected patients. However, ideally hand transplants should not receive life-long immunosuppression. Therefore, attempts to achieve drug free tolerance through non-life endangering therapies are warranted. To this end we propose implementation of tolerizing therapy long after peri-inflammation has subsided and drug minimization has proven successful. Evidence that short term treatment with low doses of IL-2 or a long lived IL-2.Ig can tilt the balance of immunity from tissue destructive to tolerance come from pre-clinical demonstrations in mouse and nonhuman primate models of autoimmunity and/or transplantation and even more recent clinical trials (9–20).

**Summary**—We believe that with the proper use of low dose IL-2 given at an opportune time in the inflammatory process of transplant that reduce immunosuppression and even tolerance can be induced in hand transplantation. We propose that tolerance can be inducted after a long-period of conventional treatment to avoid “tolerance-hindering” adverse inflammation that occurs in the post transplant period. With abatement of post transplant inflammation and with time, we will institute low dose IL-2 based therapy to support the proliferation, viability and functional phenotype of regulatory T cells.

\*Corresponding Authors: Maria Koulmanda, MSc., Ph.D. Mailing Address: 330 Brookline Ave; E/CLS Rm 608; Boston, MA 02215 Physical Address: 3 Blackfan Circle Rm 608; Boston, MA 02115 Tel: +1-617-735-2885; mkoulman@bidmc.harvard.edu And Terry B. Strom, M.D. Mailing Address: 330 Brookline Ave; E/CLS Rm 607; Boston, MA 02215 Physical Address: 3 Blackfan Circle Rm 607; Boston, MA 02115 Tel: +1-617-735 2899; tstrom@bidmc.harvard.edu.

#### Conflicts of interest

All authors have no conflicts of interest in the manuscript, including financial, consultant, institutional and other relationships that might lead to bias or a conflict of interest.

## Keywords

Composite tissue allografts; Ischemia-reperfusion; Inflammation; Tolerance induction

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## Introduction

As skin transplants evoke very powerful rejection in preclinical transplant models, it came as a surprise to many, including several co-authors of this review, that composite tissue allografts, e.g., limb and face allografts, can be engrafted using immunosuppressive protocols that were developed for use in recipients of conventional kidney, liver, heart and pancreas transplants. Rejection, while frequent, is not nearly as formidable a barrier for success as many had predicted. The development of the multi-disciplined infrastructure required to perform the surgery and post-transplant care has been the greater challenge to success (21, 22).

A few “wounded warriors”, victims of severe combat injuries, are recipient-pioneers of hand transplants (23). Should young, otherwise healthy individuals be subject to life-long immunosuppression to save a limb, particularly, a hand allograft? It is reasonable, therefore, to attempt to foster a state of donor specific transplant tolerance as a means to avoid the inevitable toxicity of life-long immunosuppression. Taking into consideration that limb transplants, while technically amazing and providing profound rehabilitation, do not save lives, we must ask which potentially tolerizing protocols are the best fit for limb transplant recipients? The following is an attempt to address these all-important issues.

## Ethical Considerations For Tolerance Induction In Hand Transplant

### Recipients

Life-long maintenance immunotherapy cannot be easily justified as a means to preserve the engraftment of hand transplants since hand transplants are not “life-saving” and prosthetic hands provide acceptable, albeit not perfect, function. Successful application of tolerizing strategies would lessen the risk for opportunistic infectious disease and cancer, avoid off target non-immune system drug toxicity (e.g., kidney toxicity by calcineurin inhibitors) and prevent chronic rejection, thereby improving graft and patient survival.

Hence, many in the field regard tolerance induction as not merely an interesting exercise but instead crucial to the ability to avoid life-long immunosuppression and thus a necessity for wider application of hand transplantation. Efforts to achieve tolerance must be safe without undue risk for toxicity or loss of graft function although it seems unlikely that not every hand transplant recipient will be rendered tolerant using “safe” therapeutic tools currently in hand. It is notable that some transplant patients, such as select liver transplant recipients, can undergo drug withdrawal and yet maintain transplant function indefinitely (24–34).

For example, drug withdrawal can be successful with little risk of graft loss. However monitoring for liver fibrosis is necessary. Withdrawal is not always tolerated. It is possible that properly supervised hand transplant recipients can also be withdrawn from

immunosuppression, if treated with low dose IL-2 at the proper time, and by monitoring of skin rejection.

Should treatment to induce tolerance fail short- or long-term in hand transplant recipients, the diagnosis of early hand transplant rejection can be made far more readily than in recipients of conventional kidney, heart, lung or liver transplants and the opportunity for prompt restoration of immunosuppressive drug therapy is obvious. The diagnosis of rejection of conventional kidney, liver and other transplants is made in the course of a work up for graft injury/dysfunction or through random surveillance biopsies. Thus, rejection is not readily detected at an early stage. Early rejection of hand transplants involves the skin and rejection related changes are obvious upon physical examination (Figure 1). However, monitoring of deep tissues such as arteries will also be mandatory. Skin is not always a perfect marker of rejection (35).

The diagnosis of rejection can often be confirmed or refuted through pathologic analysis of small skin biopsies. As a consequence, anti-rejection therapy can be quickly re-instituted enabling salvage of the hand transplant. Interestingly, hand transplant patients often experience many more rejection episodes than recipients of conventional transplants yet graft loss is uncommon in hand transplant as compared to conventional transplant recipients. This issue is discussed in companion articles in this issue.

### Potential tolerizing therapies

Transplant tolerance can be induced through one of two broad mechanisms, either total deletion of anti-donor effector T cells or donor specific dominant immunoregulation. Perhaps the most durable form of transplant tolerance is created through selective total and durable deletion of recipient anti-donor T cell clones. In many mouse models in which total deletion of anti-donor T cell clones can be obtained and in human recipients of successful hematopoietic cell allograft who have been treated for hematopoietic malignancies, the recipients can later be successfully transplanted with organ allografts from the hematopoietic stem cell donor in the absence of maintenance immunosuppressive therapy. To date, clinical protocols that employ donor hematopoietic stem cells to achieve stable multi-lineage mixed hematopoietic cell chimerism have shown promise for the induction of kidney allograft tolerance. (36); (37))

The appropriateness of this approach for hand transplants is diminished by the risk for graft versus host disease. The use of donor hematopoietic stem cells as the facet of treatment inherently carries this risk in the immunosuppressed hand transplant recipient, a complication that may not be consistent with the mandate for safe treatment in recipients of a transplant that is not life-saving or life-sustaining. We acknowledge that this is an opinion, not a fact. A secondary consideration inherent in tolerance produced by hematopoietic stem cell protocols is the difficulty of achieving tolerance in HLA mismatched individuals.

Another means of producing transplant tolerance is to produce dominant donor- directed immunoregulation, a state in which overall recipient anti-donor immunity is marked by the functional superiority of donor reactive regulatory T cells over donor reactive effector T cells. Donor reactive regulatory T cells hold donor reactive effector T cells in check and

thereby the immune system protects not attacks the transplant. Paradoxically this state might be responsible for the ability to withdraw immunosuppression in recipients who have been given donor hematopoietic stem cells but transient and modest rather than durable and robust mixed chimerism has been established. (36)) in preclinical models other therapeutic strategies such co-stimulation blockade have been used to achieve this end and evolution of this state (38)) with convention treatment is almost certainly responsible for the ability to successfully withdraw immunosuppressive from select transplant recipients long-term.

## **Barriers To Immune Tolerance In Patients With Organ/Tissue Transplants**

### **Memory B and T Cells**

Donor reactive memory-type immunity can result from immunization with microbes, a previous transplant or transfusion when the new transplant shares antigens or antigenic epitopes with these previous antigenic exposures. Following transplantation the ability to detect early rejection of conventional (kidney, liver, heart, pancreas or lung) transplants is compromised by the lack of early clinical signs of rejection. As a consequence, memory type anti-donor immunity is well established by the time that a clinical diagnosis of rejection is established and treated. While treatment may suppress the action of donor reactive T cells, they can be reactivated if an inflammatory state develops. There are only a few studies in which surveillance biopsies of kidney transplants have been undertaken. These studies demonstrate that it is not uncommon for transplant biopsies to reveal typical histopathologic evidence of “subclinical” rejection despite an absence of conventional clinical evidence or even suspicion of ongoing rejection. In recipients with “subclinical rejection”, long-term outcomes are compromised. Unlike conventional transplants, the hand transplant can be visually inspected and early signs of rejection are clearly evident and the diagnosis can often be confirmed or refuted by biopsy. Surprisingly, the incidence of diagnosis is frequent yet long term outcomes are excellent (39). Frequent rejections in recipients of conventional transplant are ominous and associated with poor outcomes. As surveillance biopsies reveal that “subclinical rejection” episodes are missed in conventional transplants and that this situation leads to poor outcomes, it seems reasonable to speculate that the ease of diagnosis of rejection in hand transplants leads to a better response to treatment long term.

Early diagnosis also should reduce the number of donor reactive memory type immune cells that accrue in the recipient. As memory cells are far less responsive to treatment or the tolerizing effects of regulatory T cells than naïve immune cells, a reduction in the burden of anti-donor directed memory cells leads to excellent long-term outcomes and almost certainly enhances the opportunities for successful minimization and withdrawal of immunosuppressive drug therapy.

## **The peri-transplant inflammatory response**

### **Implications for tolerance induction**

Ischemia-reperfusion injury is an inherent component of the recipient response to organ or tissue transplants and is evident even in syngeneic transplants. Of course this situation is particularly problematic in deceased donor transplants. It seems certain that ischemia-perfusion injury will be a problem for tolerance induction in limb transplants (40). As a

consequence of ischemia-reperfusion injury expression of pro-inflammatory cytokines and chemokines, complement activation, a pro-thrombotic state and very rapid influx of innate immune cells ensues. This situation exerts an adverse ripple effect upon the nature of recipient anti-donor immunity. It is now abundantly clear that the phenotype assumed by adaptive immunity is strongly influenced by the state of innate immunity. (41); (42) Naïve T cells that recognize antigen within a microenvironment devoid of pro-inflammatory cytokines are prone to become regulatory T cells through the unopposed action of TGF- $\beta$ , a constitutively expressed cytokine. (43); (44). With ischemia-reperfusion injury expression of IL-6 or IL-21 and other pro-inflammatory cytokines is induced. The presence of IL-6 or IL-21 negate the ability of TGF- $\beta$  promote polarization of naïve T cells to the regulatory T cell phenotype and also promote polarization of antigen activated naïve CD4+T cells to the highly inflammatory and cytotoxic Th17 phenotype. (45) (46) (47) The negation of induction to regulatory T cells is likely more detrimental than polarization to the Th17 phenotype for induction of transplant tolerance. Other pro-inflammatory molecules impact upon the nature of T cell polarization occurring with antigen stimulation (Figure 2). IL-12, a monokine, and IL-4 promote polarization of naïve CD4+T cells following antigen activation to the Th1 and Th2 phenotypes respectively. The development of drug-free immune tolerance in the absence of complete eradication of antigen specific clones is dependent upon an enduring functional supremacy of antigen specific regulatory T cells over conventional antigen reactive immune cells. Hence, the microenvironment created by ischemia-reperfusion injury and inflammatory damage to the transplant in the peri-transplant period is not at all conducive to the creation of transplant tolerance because of the adverse influence of this environment upon polarization of T cells to the regulatory T cell phenotype.

The duration of a tolerance unfriendly post-transplant inflammatory state in a living donor non-human primate model as evidenced by expression of pro-inflammatory cytokines, chemokines and resistance to tolerance induction lasts for months (48). Yet, time-related resolution of the tolerance-unfriendly pro-inflammatory state achieved through delayed application of tolerizing protocols affords a far better opportunity to establish more enduring mixed chimerism and tolerance than application in the immediate post-transplant period (48). Similarly liver transplants have been long known to be far more amenable to tolerance induction than other conventional organ transplants and it is possible to discontinue immunosuppression in a substantial number of patients who have safely undergone dose minimization of their immunosuppressive drugs (49, 50). More recently it has become apparent that the ability to successfully withdraw immunosuppressive drugs in liver transplant recipients is related to the duration of engraftment at which drug withdrawal is attempted (50). In order to induce transplant tolerance in patients lacking detrimental inflammation, a strategy that we will embrace in our hand transplant program, we will utilize standard therapy initially and wait until detrimental, tolerance-negating inflammation resolves before trying to induce tolerance. Recall that the presence of IL-6 in microenvironment in which naïve T cells recognize antigen negates the ability to generate regulatory T cells. Moreover, expression of IL-6 and other pro-inflammatory cytokines, often expressed during early acute rejection episodes, disrupts the phenotype of established regulatory T cells and turns these former regulatory cells into aggressive effector T cells.

Waiting to create tolerance at a time point beyond the early period in which hand transplants are rejection prone may also prove beneficial. Again, this will be our core strategy to maximize the possibilities to generate antigen specific regulatory T and hence donor-specific tolerance. Our patients will be carefully monitored for expression of pro-inflammatory cytokines during and after the withdrawal of conventional immunosuppressive therapy. Should robust inflammation accompany drug withdrawal we will consider adding therapy to selectively neutralize these cytokines. Corticosteroids, agents that broadly block inflammation, will not be used for lack of specificity in this regard.

What else can be done to maximize the potential for generating and maintaining the enduring supremacy of donor reactive regulatory T cells over donor reactive effector T cells as a means to achieve durable transplant tolerance? In our effort we will use short-term low dose IL-2 therapy to bridge the period between the total withdrawal of conventional immunosuppression and absolute freedom from drugs. Our strategy is informed by the knowledge that the meta-stable phenotype of regulatory T cells and their survival is IL-2 dependent. IL-2 treatment should, if properly administered, strengthen the molecular and functional phenotype and durability of regulatory T cells and hence help tilt the balance of immunity more firmly toward tolerance.

Nonetheless, IL-2 therapy will cause unnecessary pro-inflammatory side effects and as a consequence fail to tilt immunity toward tolerance unless such therapy is nuanced and carefully conceived (11, 51, 52). Low-, not high-, doses of IL-2 are required to achieve selective targeting of regulatory T cells and thereby achieve the best outcomes. Regulatory T cells express the tri-molecular, high affinity IL-2 receptor. The high-affinity IL-2 receptor consists of the JAK/STAT signaling common chain, a trans-membrane protein that is an all-important component of receptors for each of the T cell growth factors including IL-2, IL-4, IL-7, IL-15 and IL-21 (11, 51). The IL-2 receptor as well as the tri-molecular IL-15 receptor includes a beta chain (11, 51, 53). Recalling that regulatory T cells are CD25 high, the 3rd component of the high affinity IL-2 receptor also includes the IL-2 receptor specific chain also known as CD25. The tri-molecular, high affinity IL-2 receptor responds to IL-2 concentrations as low as  $10^{-11}$  to  $10^{-12}$  M. Newly activated T cells transiently express trimolecular high affinity IL-2 receptors and IL-2 serves as an apparent death factor for these cells (54). Significantly higher concentrations of IL-2, i.e.,  $10^{-9}$  M, stimulate a variety of innate immune cells and memory T cells. These features result in highly undesirable side effects resulting from an out-pouring of inflammatory cytokines and tissue infiltration by activated innate immune cells. Indeed high doses of IL-2 probably act to inhibit tolerance induction.

## Recent findings

Evidence that short term treatment with low doses of IL-2 or a long lived IL-2.Ig can tilt the balance of immunity from tissue destructive to tolerance come from pre-clinical demonstrations in the NOD mouse model of type 1 diabetes (55), islet transplantation in the daunting model in which NOD mice are transplanted with allogeneic islets (56) and in the cynomolgus islet allograft model (52). More recently low dose IL-2 therapy has achieved

remarkably favorable effects in humans with drug resistant graft versus host disease and hepatitis virus induced vasculitis (57, 58).

## Conclusion

In this review, we have described the current status of composite tissue allografts in a donor specific transplant tolerance. Special circumstances inherent in hand transplantation favor attempts to induce tolerance. Considering the limited success of conventional treatments, low dose IL-2 based therapy is likely a viable option.

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\* of special interest

\*\* of outstanding interest

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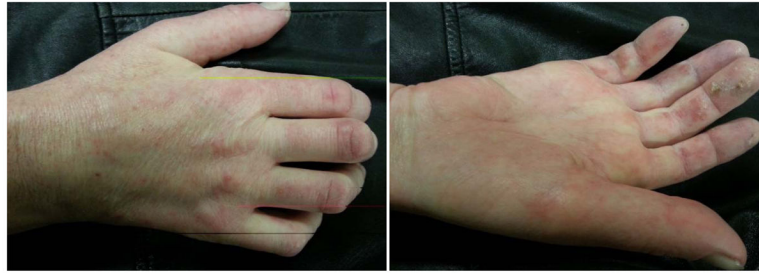
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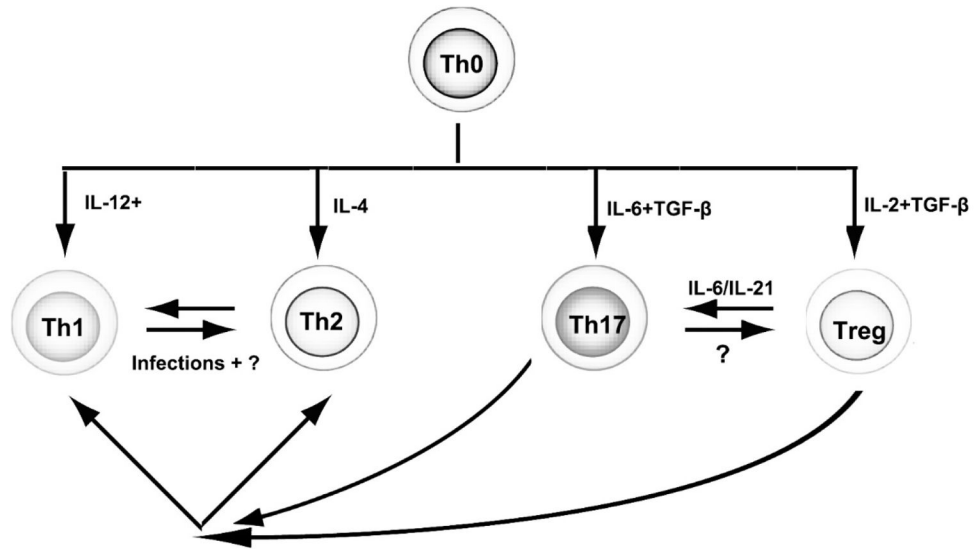
**Key points**

- Hand transplant recipients should not receive life-long immunosuppressive therapy as the transplants are not life-sustaining.
- Tolerance induction not maintenance immunosuppressive therapy is the goal in this patient population.
- To this end and recognizing the adverse influence of post transplant inflammation on tolerance we will employ delayed induction of tolerizing protocols.
- Low not high dose IL-2 will serve as the linchpin of our tolerizing regimen.



**Figure 1. Rejection of the hand transplant at 16 months post bilateral hand allograft transplantation**

Patient was treated with topic tacrolimus, as well as increases in maintenance immunosuppression. Rejection resolved after 4–6 weeks.



**Figure 2. The differentiation and conversion of CD4<sup>+</sup> T cells is determined by the cytokines present in the environment**

Naive CD4<sup>+</sup> T cells have plasticity to differentiate into Th1, Th2, Th17, and Treg (regulatory T cells). Upon antigen activation, naïve CD4 T cells respond to cytokines produced by innate immune cells, such as IL-12 and IFN- $\gamma$ , which are important for Th1 cell differentiation, and IL-4, which is crucial for Th2 cell differentiation. TGF- $\beta$  together with IL-6 induces Th17 cell differentiation, whereas Treg differentiation is induced by TGF- $\beta$  and IL-2. It is important to note that these T differentiated cell phenotypes also have plasticity. When IL-6/IL-21 is present, such as in the episode of autoimmune inflammation, Treg phenotype can change to Th17, therefore losing the immunoregulatory functions. Recently, it was also found that Treg can become Th1 and Th2 in certain conditions. Th17, on the other hand, can become Th1 and Th2 respective in the milieu of IL-12 and IL-4.