

## RESEARCH ARTICLE

# Nuclear Overhauser Enhancement Imaging of Glioblastoma at 7 Tesla: Region Specific Correlation with Apparent Diffusion Coefficient and Histology

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## Abstract

### Objective

To explore the correlation between Nuclear Overhauser Enhancement (NOE)-mediated signals and tumor cellularity in glioblastoma utilizing the apparent diffusion coefficient (ADC) and cell density from histologic specimens. NOE is one type of chemical exchange saturation transfer (CEST) that originates from mobile macromolecules such as proteins and might be associated with tumor cellularity via altered protein synthesis in proliferating cells.

### Patients and Methods

For 15 patients with newly diagnosed glioblastoma, NOE-mediated CEST-contrast was acquired at 7 Tesla (asymmetric magnetization transfer ratio ( $MTR_{asym}$ ) at 3.3 ppm,  $B_1 = 0.7 \mu T$ ). Contrast enhanced T1 (CE-T1), T2 and diffusion-weighted MRI (DWI) were acquired at 3 Tesla and coregistered. The T2 edema and the CE-T1 tumor were segmented. ADC and  $MTR_{asym}$  values within both regions of interest were correlated voxelwise yielding the correlation coefficient  $r_{Spearman}$  ( $r_{Sp}$ ). In three patients who underwent stereotactic biopsy, cell density of 12 specimens per patient was correlated with corresponding  $MTR_{asym}$  and ADC values of the biopsy site.

### Results

Eight of 15 patients showed a weak or moderate positive correlation of  $MTR_{asym}$  and ADC within the T2 edema ( $0.16 \leq r_{Sp} \leq 0.53$ ,  $p < 0.05$ ). Seven correlations were

**Competing Interests:** The authors have declared that no competing interests exist.

statistically insignificant ( $p>0.05$ ,  $n = 4$ ) or yielded  $r_{Sp} \approx 0$  ( $p<0.05$ ,  $n = 3$ ). No trend towards a correlation between  $MTR_{asym}$  and ADC was found in CE-T1 tumor ( $-0.31 < r_{Sp} < 0.28$ ,  $p < 0.05$ ,  $n = 9$ ;  $p > 0.05$ ,  $n = 6$ ). The biopsy-analysis within CE-T1 tumor revealed a strong positive correlation between tumor cellularity and  $MTR_{asym}$  values in two of the three patients ( $r_{Sp}^{patient3} = 0.69$  and  $r_{Sp}^{patient15} = 0.87$ ,  $p < 0.05$ ), while the correlation of ADC and cellularity was heterogeneous ( $r_{Sp}^{patient3} = 0.545$  ( $p = 0.067$ ),  $r_{Sp}^{patient4} = -0.021$  ( $p = 0.948$ ),  $r_{Sp}^{patient15} = -0.755$  ( $p = 0.005$ )).

## Discussion

NOE-imaging is a new contrast promising insight into pathophysiologic processes in glioblastoma regarding cell density and protein content, setting itself apart from DWI. Future studies might be based on the assumption that NOE-mediated CEST visualizes cellularity more accurately than ADC, especially in the CE-T1 tumor region.

## Introduction

As the diagnostic gold standard in neurooncology [1], MRI is supposed to provide information on tumor grade, tumor progression, response to therapy and biopsy planning sites. When developing new MRI contrasts, it is important not only to show differences to basic contrasts such as T1 and T2 weighted images—it should also complement and provide additional information to already established functional MRI imaging sequences such as diffusion-weighted MRI (DWI), perfusion-weighted MRI and susceptibility-weighted MRI (SWI).

Nuclear Overhauser enhancement (NOE)-mediated imaging is a new MRI contrast and is one type of Chemical Exchange Saturation Transfer (CEST) imaging [2]. Within the field of neuroradiology, CEST imaging has been proved useful for the evaluation of brain tumors [2–5] and ischemia [6,7]. CEST is based on the saturation transfer between exchanging protons of tissue proteins and bulk water [8]. CEST data are commonly acquired using asymmetry analysis ( $MTR_{asym}(\Delta\omega) = Z(-\Delta\omega) - Z(\Delta\omega)$ ) with respect to the water frequency set at  $\Delta\omega = 0$  and normalized to unsaturated signal ( $S_0$ ) creating a Z-spectrum.

The most commonly used CEST-contrast is the Amide Proton Transfer (APT). The APT contrast arises from –NH groups of mobile proteins and peptides and occurs at 3.5 ppm in the Z-spectrum [4]. It shows an increased signal in brain tumors [3,4] and correlates with cell density in gliomas [9]. It was also reported that this method may differentiate tumor recurrence from radiation necrosis after radiation therapy [10].

NOE mediated effects on the other hand occur due to dipolar spin-spin interaction of immobile protons with exchanging protons within macromolecules; they are also called exchange-relayed NOEs [11]. The NOE-based signals originate from mobile macromolecular components such as proteins that are composed of aliphatic and olefinic molecules with a spectral range up-field from the water frequency at -2 to -5 ppm [2]. Accordingly, the NOE is reported to be influenced by protein content, protein folding and protein mobility within tissue [12].

Our approach was to investigate a possible link between cellularity and the NOE-contrast in tumors which is based on the assumption that protein metabolism is a crucial factor for glioblastoma cells. It is known that significant metabolic reprogramming occurs in astrocytes as they turn malignant, for example the abundance of enzymes responsible for protein synthesis, processing and degradation varies in comparison to normal astrocytes [13,14]. Consequently, the expressed proteins in glioblastoma cells differ significantly from those in normal astrocytes [15] which might influence the NOE-signal in areas of condensed tumor cells. A

histopathological correlate to the NOE-effects in glioblastoma has not been reported yet. One key to determining the clinical value of this new contrast is to test its ability to demarcate areas of malignancy or infiltrative tumor growth for planning of biopsy, resection margins or radiation therapy. We investigated a possible association of NOE-effects and tumor cellularity with two coherent approaches.

Firstly, we analyzed the NOE mediated CEST in comparison to an established MRI contrast, the apparent diffusion coefficient (ADC), derived from diffusion weighted MRI. This approach is based on the findings of numerous studies that showed inverse correlation between ADC and tumor cellularity [16–19]. Besides, low ADC values indicate a worse prognosis in glioblastoma patients [20, 21].

Typical region specific signal intensity gradations can be observed for both contrasts in glioblastoma: The ADC value generally increases towards tumorous tissue and necrosis compared to peritumoral edema [22], whereas NOE mediated CEST effects turned out to decrease from normal appearing white matter via peritumoral edema to CE-T1 tumor and necrosis [2, 12, 23]. Since the NOE is located upfield from the water resonance, reduced NOE effects display as hyperintensities on the MTR<sub>asym</sub> contrast.

The second approach was to correlate MTR<sub>asym</sub> values with tumor cellularity obtained from stereotactic biopsies. To the best of our knowledge, such an analysis has not been conducted before. In our patient collective, biopsy data was obtained from three patients and our methodic approach promises an interesting first insight into how MTR<sub>asym</sub> correlates with underlying histopathologic findings in glioblastoma, primarily with tumor cellularity.

## Patients and Methods

### Patients

Fifteen patients (4 female, 11 male; age:  $61.6 \pm 14.1$  years) with newly diagnosed and subsequently histopathologically confirmed glioblastoma were included in this prospective study. The study was approved by the Medical Ethics Committee of the University of Heidelberg and written informed consent was received from all participants before enrollment.

### Conventional MRI at 3T

All images were acquired on a 3T whole body MR imaging system (Magnetom Verio/ Trio TIM; Siemens Healthcare, Erlangen, Germany) with a 12-channel head matrix coil. CE-T1 weighted images (TE = 4.04 ms, TR = 1710 ms, FoV 256 x 256 mm<sup>2</sup>, resolution 512 x 512, slice thickness 1 mm) and T2-weighted images (TE = 89 ms, TR = 5140 ms, FoV 172 x 229 mm<sup>2</sup>, resolution 384 x 230, slice thickness 4 mm) were performed. SWI data were obtained with a three-dimensional fully flow-compensated gradient-echo sequence (27/19.7, flip angle 15°, section thickness 2.5 mm).

### Diffusion imaging at 3T

The DWI was performed for all patients as part of the routine tumor brain protocol on a 3T whole-body MR tomograph (Magnetom Verio/ TrioTIM; Siemens Healthcare, Erlangen, Germany). The DWI images were obtained using parallel imaging (GRAPPA) and an echo planar read-out with the following parameters: TR/TE 5300/90 ms, b = 0 mm<sup>2</sup>/s and b = 1200 mm<sup>2</sup>/s, 3 directions, FoV 230x 230 mm<sup>2</sup>, matrix 130x130, slice thickness 5 mm. ADC maps were calculated with a commercially available software (syngo MR; Siemens Healthcare).

## CEST-MRI at 7T

1–5 days after the 3T MRI, the NOE mediated CEST sequence was obtained with a 7T whole body MRI scanner (Magnetom 7T; Siemens Healthcare, Erlangen, Germany) using a 24 channel head coil and a centric-reordered three-dimensional gradient echo sequence [24] with the same parameters that were employed in our institution by Paech et al [23] before: TR/TE 12/2.88 ms, FoV phase = 78.125%, matrix 128x100, 26 slices, resolution = 1.8 mm x 1.8 mm x 2 mm, BW = 320 Hz/px, FA = 10°, GRAPPA acceleration factor 3. The pulse train before each segment of the 3D stack consisted of 5 Gaussian pulses with a duration of 100 ms per pulse and a pulse-train-average amplitude of  $B_1 = 0.7 \mu\text{T}$ .

An interpulse delay of 100 ms was set because of SAR limitations. Thus, the effective saturation time was 900 ms. Thirteen equidistant frequency offsets between -4 and +4 ppm and the additional  $M_0$  image were acquired, resulting in an acquisition time of 9 min 30 s. Employing a cubic spline interpolation, the minima of the Z-spectra were determined resulting in a  $B_0$  deviation map, which is used to correct  $B_0$  inhomogeneities. For each pixel, the reduced water magnetization M was normalized by the unsaturated magnetization  $M_0$  yielding  $Z = M/M_0$ . Z plotted as a function of the irradiation frequency offset  $\Delta\omega$  formed the Z-spectrum. The relative contributions of different CEST-effects can be tuned by varying saturation pulse length ( $t_{\text{sat}}$ ) and field strength ( $B_1$ ) [25]. Zhou et al [25] found that the  $\text{MTR}_{\text{asym}}(3.5 \text{ ppm})$  contrast at 0.6  $\mu\text{T}$  is predominantly contributed by the NOE and Jin et al [26] state an NOE-dominated contrast for  $B_1 = 1 \mu\text{T}$ . APT-mediated effects that occur at 3.5 ppm [4] can confound the measurement of exchange relayed NOE occurring in the whole range from -2 to -5 ppm [2, 27]. Therefore, the CEST-signal intensity was defined as magnetization transfer ratio asymmetry ( $\text{MTR}_{\text{asym}}$ ) at 3.3 ppm and  $B_1 = 0.7 \mu\text{T}$  where CEST-effects are mainly NOE-dominated.

$\text{MTR}_{\text{asym}}$  was calculated as:

$$\text{MTR}_{\text{asym}}(3.3 \text{ ppm}) = Z(\Delta\omega = -3.3 \text{ ppm}) - Z(\Delta\omega = +3.3 \text{ ppm})$$

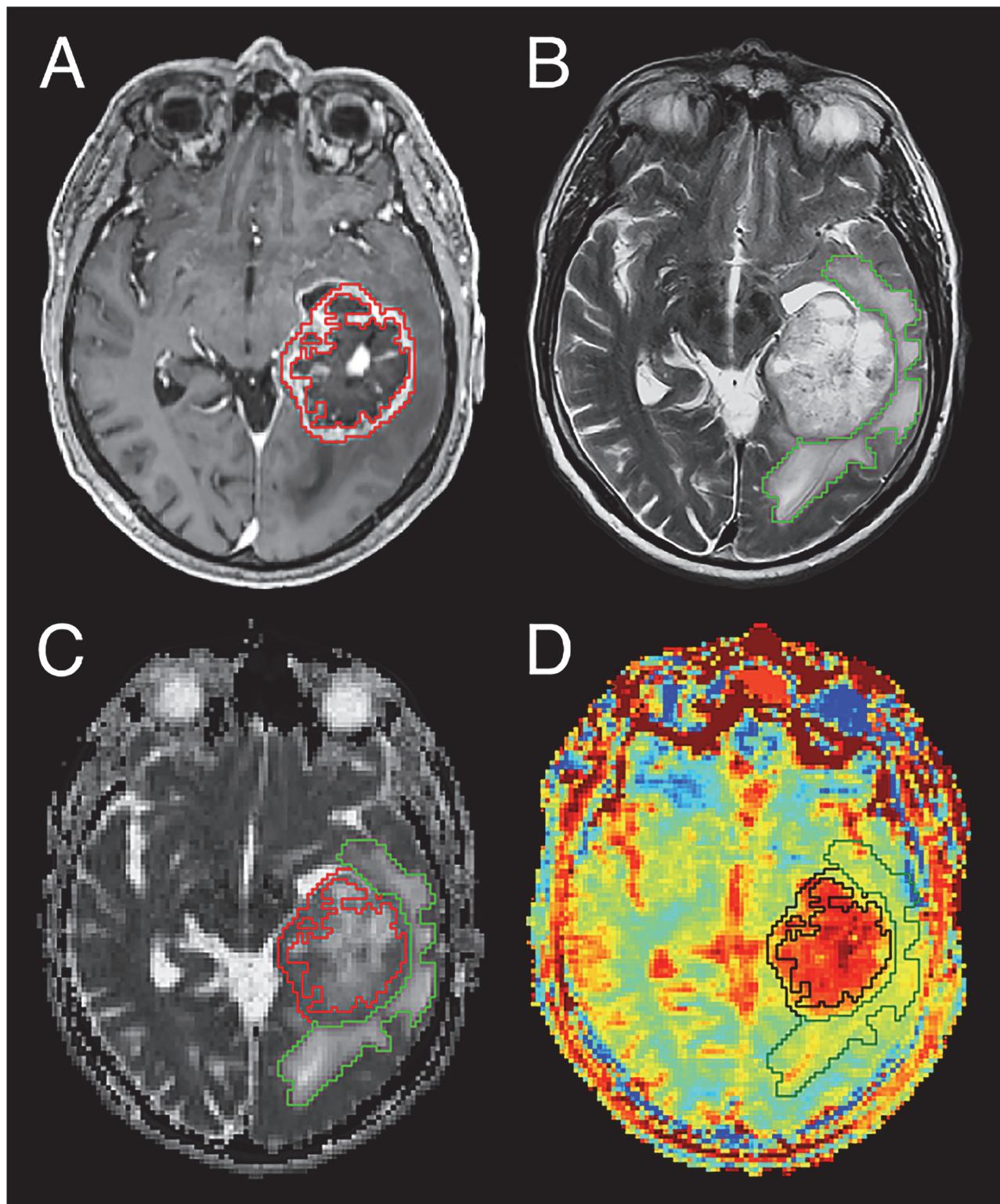
Consequently, high  $\text{MTR}_{\text{asym}}$  values mark decreased NOE-mediated CEST-effects. The CEST-contrast was windowed between  $\text{MTR}_{\text{asym}}$  values from -10% to 5%. Images of all sequences (CE-T1, T2,  $\text{MTR}_{\text{asym}}$ , DWI, SWI) were co-registered with the software MITK [28]. The registration of all data types was done by an automatic multi modal rigid registration algorithm [29] and the registration results were validated by clinical experts before being used in the analysis process.

## Volumetric segmentation

Data analysis was done on MITK [28]. Two types of regions of interest (ROIs) that depicted the lesion were manually segmented as shown for patient 6 (Fig. 1). On the CE-T1 image, the contrast enhancing area was delineated (CE-T1 tumor), excluding necrotic parts thoroughly. On the T2 image, the area of peritumoral edema was selected, again excluding areas of contrast enhancement and necrosis. The segmentation was done in all slices, using a volumetric approach. To avoid decreased ADC values caused by the confounding factor of micro-bleeds within the glioblastoma, we also used coregistered SWI sequences to eliminate areas of SWI hypointensity in both ROIs [30–32]. For further statistical analysis, a voxel-wise read-out of  $\text{MTR}_{\text{asym}}$  values and registered corresponding ADC values was performed.

## Stereotactic biopsy and calculation of tumor cellularity

Histologic specimens were obtained from Patient 3, 4 and 15 via stereotactic biopsies which were performed for histopathologic confirmation of the diagnosis instead of direct surgical



**Fig 1. Segmentation of the regions of interest (ROI) CE-T1 tumor and T2 peritumoral edema.** Glioblastoma of the left temporal lobe in patient 6. Coregistered images from the different sequences showing an exemplary slice of the whole tumor volume for this patient. **A)** CE-T1 tumor ROI (red line) segmented on CE T1-weighted image while thoroughly excluding central necrosis. **B)** T2 peritumoral edema ROI (green line) segmented on T2-weighted image. **C)** Coregistered ADC map and **D)** MTR<sub>asym</sub> contrast illustrating both ROIs. The glioblastoma tumor and the cerebrospinal fluid in sulci and ventricles display hyperintense on NOE mediated CEST based on MTR<sub>asym</sub>.

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resection. A stereotactic biopsy ring was mounted to the patient's skull. The attending Neurosurgeon (JON) calculated a trajectory on the intraoperative CE-T1 MRI (iPS Software, inomed Medizintechnik GmbH, Emmendingen, Germany) from an entry point at the skull to a target point in the contrast enhancing zone of the CE-T1 image. The coordinates of those points were transferred to the intraoperative CE-T1 image, the MTR<sub>asym</sub> image and the ADC image using a custom in-house MATLAB script (MATLAB 2014b, The Mathworks, Natick, MA, USA) after having coregistered all sequences on the intraoperative CE-T1 with an automatic multi modal rigid registration algorithm in MITK [28, 29]. A total of 12 biopsies were taken along the trajectory of every patient and the distance from the target point in mm was noted in the pathology report. For each position of a biopsy, the average MTR<sub>asym</sub> and average ADC of the surrounding 3x3x3 voxels (27 mm<sup>3</sup>) were obtained in order to correct for possible spatial inaccuracy.

All biopsies were scanned at x20 magnification and analyzed by a Neuropathologist. To calculate cell density, biopsy images were postprocessed using NIH ImageJ, 64-bit version [33]. Cell density was calculated semi-automatically with the ImageJ plugin ITCN [34], which required an estimate of cell width and cell spacing as input. A Neuropathologist (FS) checked the correctness of cell detection and cell count. The procedure of correlating the position of the biopsy on the MRI images with the results of histopathologic cell counting is illustrated in Fig 2.

## Statistical Analysis

**Correlation analysis of MTR<sub>asym</sub> and ADC values.** The obtained data from the region specific voxelwise readout was used for statistical evaluation with SigmaPlot version 12.5 (SystatSoftware, Inc., San Jose California USA). For each patient, a Spearman correlation analysis was performed for MTR<sub>asym</sub> values and corresponding ADC values in the two ROIs. Furthermore, 95% confidence intervals were conducted employing the approach of Bonett and Wright [35].

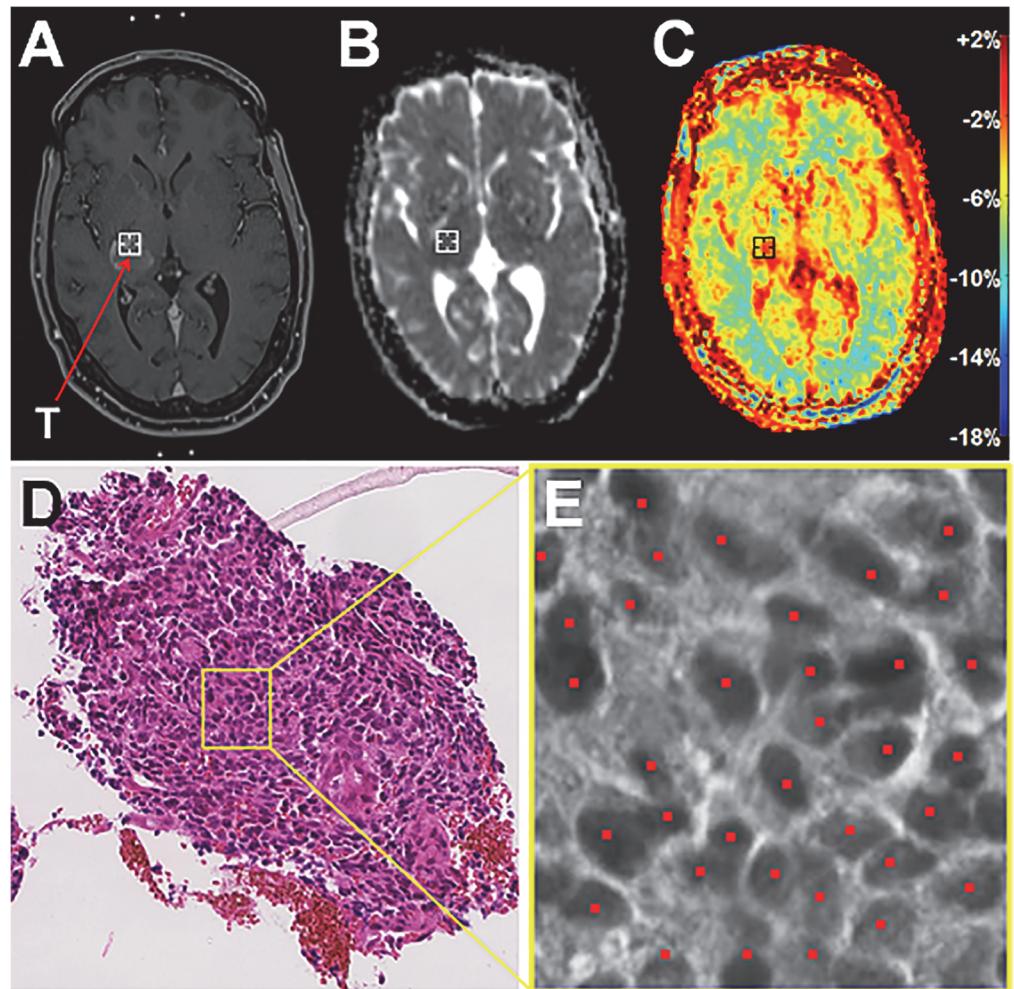
We interpreted the Spearman correlation coefficient r<sub>Sp</sub> as suggested by Zou et al [36], where r<sub>Sp</sub>≈0 means no association, r<sub>Sp</sub>≈±0.2 is a weak correlation and r<sub>Sp</sub>≈±0.5 is a moderate correlation.

**Correlation analysis of MRI data and tumor cellularity.** We obtained corresponding MTR<sub>asym</sub> values, ADC values and cell density values for 12 biopsy sites per patient as described above. In a first step, Spearman correlation was performed with SPSS (IBM SPSS Statistics 21). Scatterplots were generated and a linear regression model was fitted if possible. For all statistical analyses the level of significance was set at p<0.05.

## Results

### Correlation between MTR<sub>asym</sub> and ADC in the CE-T1 tumor region

Of the 15 patient-individual correlation analysis for the CE-T1 tumor ROI, two patients tended towards a weak positive correlation between ADC and MTR<sub>asym</sub> ( $r_{Sp} = 0.19$  and  $0.28$ ,  $p < 0.001$ ) and two patients showed a weak negative correlation ( $r_{Sp} = -0.17$  and  $-0.31$ ,  $p < 0.05$  and  $< 0.001$ ). In the other 11 patients, the correlation was either not significant ( $p > 0.05$ ,  $n = 6$ ) or  $r_{Sp}$  was too small to claim any association between ADC and MTR<sub>asym</sub> ( $n = 5$ ). Consequently, there is no tendency towards any correlation between ADC and MTR<sub>asym</sub> values in the area of CE-T1 tumor in glioblastoma. The results of the correlation analysis between ADC and MTR<sub>asym</sub> are summarized in Table 1.



**Fig 2. Correlation of the biopsy point on the MTR<sub>asym</sub> image and the ADC image with histology and semi-automatic cell counting.** **A)** Target point T of the biopsy trajectory on the intraoperative CE-T1 image of a 45-year-old male with glioblastoma (patient 15). It lies in the contrast-enhancing area. Marks of the stereotactic biopsy ring are visible frontally and occipitally. **B)** Target point T on the ADC image which was coregistered to the intraoperative CE-T1 image in MITK with an automatic multi modal rigid registration algorithm. The average ADC value of 3x3x3 voxels (= 27mm<sup>3</sup>) was read out to account for possible inaccuracies in coregistration and biopsy sampling. In the shown example, it yielded ADC = 659mm<sup>2</sup>/s. **C)** Target point T on the MTR<sub>asym</sub> image which was coregistered to the intraoperative CE-T1 image in MITK with an automatic multi modal rigid registration algorithm. The average MTR<sub>asym</sub> value of 3x3x3 voxels (= 27mm<sup>3</sup>) was read out to account for possible inaccuracies in coregistration and biopsy sampling. In the shown example, it yielded MTR<sub>asym</sub> = -1,72%. **D)** Corresponding slice of the 1 mm<sup>3</sup> biopsy specimen (HE stain) in x20 magnification obtained at the target point T. **E)** Exemplary section to illustrate semi-automatic cell counting with the ImageJ plugin ITCN. Tumor cells that were recognized by the algorithm are marked red. Overall cell density of the shown biopsy specimen was 1684 cells/mm<sup>2</sup>.

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### Correlation between MTR<sub>asym</sub> and ADC in the T2 peritumoral edema region

For the T2 peritumoral edema ROI, we found a trend towards a weak positive correlation between ADC and MTR<sub>asym</sub> as seven of the 15 patients classify as weakly correlating ( $r_{Sp} = 0.16; 0.18; 0.20; 0.20; 0.23; 0.26; 0.29$ ) and one as moderately correlating ( $r_{Sp} = 0.53, p < 0.001$ ). In the other seven patients, the correlation was either not significant ( $p > 0.05, n = 4$ ) or  $r_{Sp}$  was too

**Table 1.** Region specific Spearman correlation analysis of MTR<sub>asym</sub> and ADC contrast.

Patient	r <sub>Sp</sub> (CE-T1)	p-value	total voxel	r <sub>Sp</sub> (T2 edema)	p-value	total voxel
1	-0.07	>0.05	658	0.09*	<0.001	2577
2	-0.17	<0.05	316	0.16*	<0.001	1083
3	0.02	>0.05	325	0.18*	<0.05	198
4	0.06	>0.05	98	0.20*	<0.001	851
5	0.04	>0.05	242	0.20*	<0.001	1077
6	0.00	>0.05	1515	0.23*	<0.001	3044
7	0.19*	<0.001	1931	0.26*	<0.001	1254
8	-0.1	<0.05	663	0.29*	<0.001	3759
9	0.28*	<0.001	713	0.53*	<0.001	2366
10	0.12*	<0.001	1662	-0.07	<0.001	3261
11	-0.31	<0.001	1967	-0.13	<0.05	414
12	0.07*	<0.05	916	0.03	>0.05	1269
13	0.07*	<0.05	2172	0.03	>0.05	1203
14	0.13*	<0.001	1720	-0.01	>0.05	1080
15	-0.01	>0.05	225	-0.04	>0.05	170

\*significant positive correlations. Correlation coefficients ( $r_{Sp}$ ) sorted by their values for the ROI T2 peritumoral edema. Insignificant values ( $p > 0.05$ ) or values around  $r_{Sp} \approx 0$  [-0.15:+0.15] are not considered as tendency towards any correlation.

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small to claim any association between ADC and MTR<sub>asym</sub> ( $n = 3$ ) (results are summarized in [Table 1](#)).

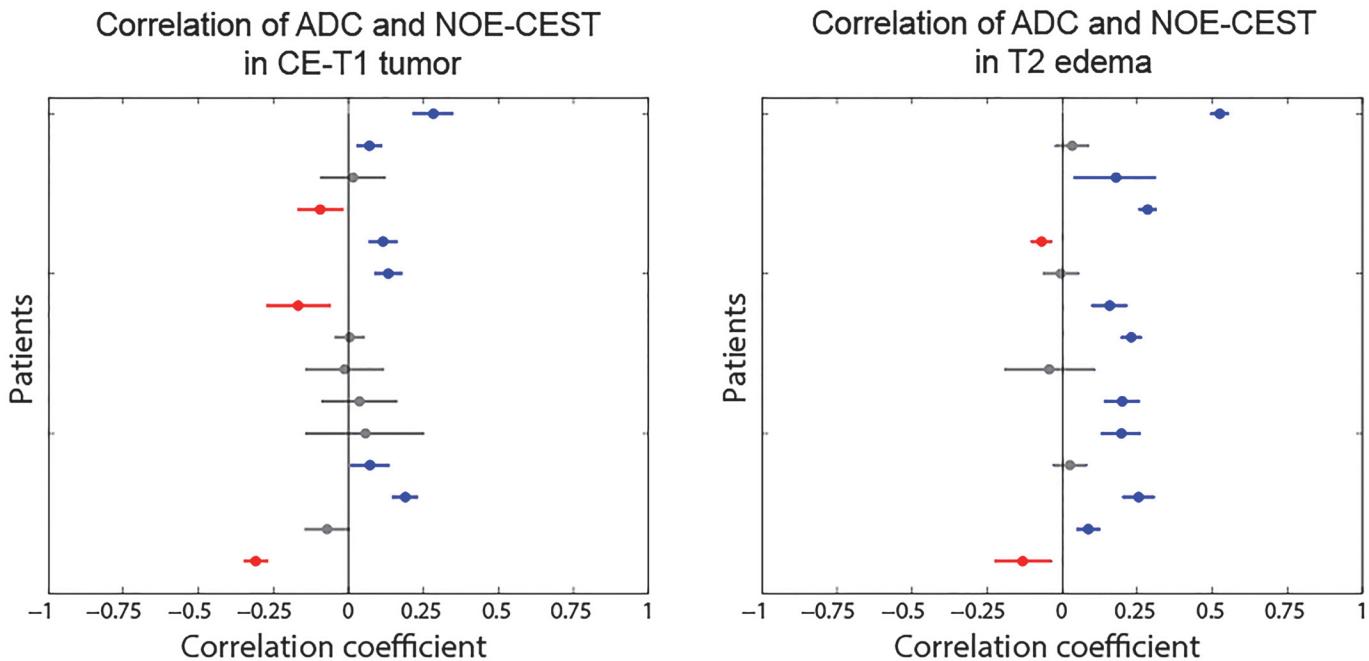
[Fig. 3](#) illustrates all  $r_{Sp}$ -values with their 95% confidence intervals for both ROIs. The scatterplot in [Fig. 4](#) is a representative example to illustrate the raw data obtained from the voxel-wise readout as this patient shows no significant correlation in the area of CE-T1 tumor and a weak positive correlation within the T2 peritumoral edema.

### Correlation analysis between MRI data and cell density from stereotactic biopsy specimens in the CE-T1 tumor region

The correlation analysis of the MTR<sub>asym</sub> values and the biopsy-derived cell densities yielded strong positive correlations for two of the three patients ( $r_{Sp}^{patient3} = 0.685$  and  $r_{Sp}^{patient15} = 0.867$ ,  $p < 0.05$ ). In patient 4, the correlation coefficient was also positive but not statistically significant ( $r_{Sp}^{patient4} = 0.126$ ,  $p = 0.697$ ) ([Table 2](#)). The maximum values for the obtained cell densities were 9699 cells/mm<sup>3</sup> (Patient 3) and 17256 cells/mm<sup>3</sup> (Patient 15) from the two significantly positive correlating analyses, while the maximum cell density in the insignificantly correlating analysis was 3293 cells/mm<sup>3</sup> (Patient 4). The correlation of ADC values with the corresponding histologic cell densities resulted in significantly negative ( $r_{Sp}^{patient15} = -0.755$ ,  $p = 0.005$ ) and insignificant ( $r_{Sp}^{patient4} = -0.021$ ,  $p = 0.948$ ;  $r_{Sp}^{patient3} = 0.545$ ,  $p = 0.067$ ) Spearman rank correlation coefficients ([Table 2](#)). Consequently, there was no trend towards an overall correlation for ADC and cell density in CE-T1 tumor. Scatterplots and linear regression models are shown in [Fig. 5](#).

### Discussion

In this study, we investigated the properties of the NOE-mediated CEST-signal in a region-specific approach regarding tumor cellularity, in which we used the ADC and histologic specimens from stereotactic biopsies as matters of comparison.



**Fig 3. Patient-individual Spearman correlation coefficients ( $r_{Sp}$ ) with 95% confidence intervals.** Positive correlations are marked blue, negative correlations are red, insignificant correlations ( $p > 0.05$ ) are grey. No trend towards any correlation between  $MTR_{asym}$  and ADC could be found in the CE-T1 tumor region (left diagram), since correlation coefficients scatter around  $r_{Sp} \approx 0$ . For the T2 edema region (right diagram) a trend towards a positive correlation could be observed. Eight of fifteen patients correlate weakly or moderately positive, while seven coefficients are insignificant ( $n = 4$ ) or too low to claim an association ( $n = 3$ ). The trend towards a positive correlation within the T2 edema region is suspected to be due its more homogeneous structure, compared to the CE-T1 tumor which is characterized by different cell types, vasculogenesis and necrotic foci.

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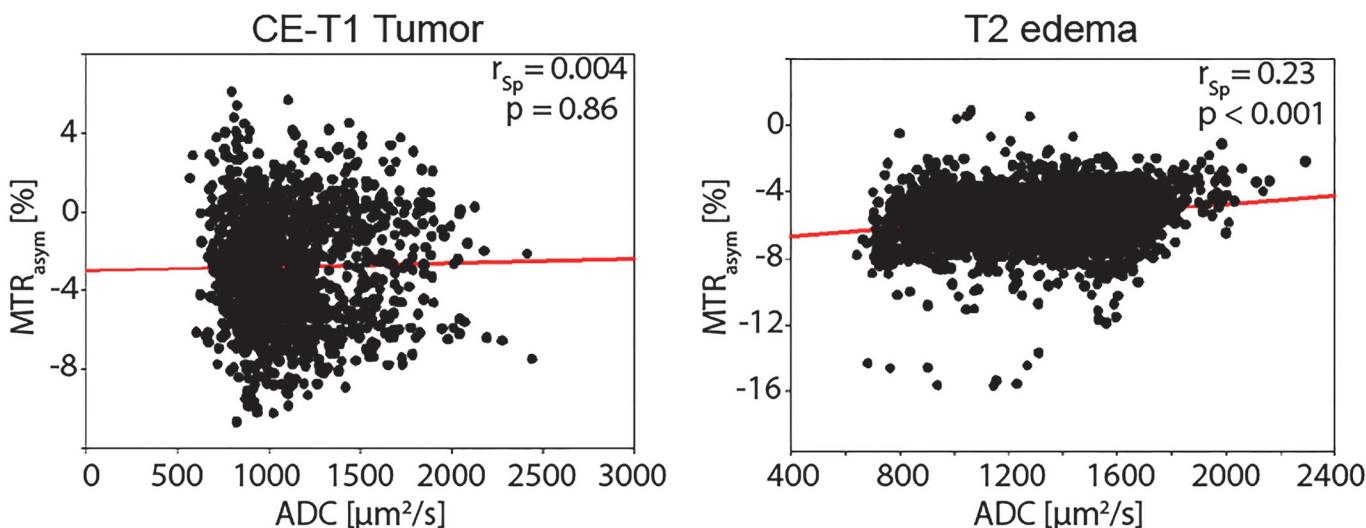
### CE-T1 tumor region

As a principle finding of this study, we showed that in the area of CE-T1 tumor, ADC and  $MTR_{asym}$  did not correlate suggesting that these MRI contrasts have distinct underlying principles and that the NOE-mediated CEST contrast provides information about glioblastoma that is different from the one obtained in DWI.

Furthermore, the correlation analysis between  $MTR_{asym}$  and cell density, obtained from biopsy specimens, revealed a strong positive correlation in two cases while one correlation coefficient was positive but statistically not significant. Remarkably, the maximum cell density from the patients in which we found a strong positive correlation is much higher than in the patient without significant correlation (17256 and 9699 cells/mm<sup>3</sup> versus 3293 cells/mm<sup>3</sup>). This might lead to the suggestion that the obtained correlation between  $MTR_{asym}$  and cell density can only be observed in tumors of high cell densities.

The analogous correlation analysis between ADC and cell density produced very variable results including both significant negative and insignificant correlation coefficients ( $r_{Sp}^{Patient^3} = 0.545, p = 0.067$ );  $r_{Sp}^{Patient^4} = -0.021, p = 0.948$ ;  $r_{Sp}^{Patient^{15}} = -0.755, p = 0.005$ ), questioning whether the ADC is a suitable marker for cellularity in the CE-T1 tumor zone. In this regard, the heterogeneity of our correlation analysis is in agreement with inconsistent results that are found in literature concerning an association of ADC and cellularity:

Chen et al [37] reported a pooled correlation coefficient of  $\rho = -0.61$  for ADC and cellularity whereas Stadlbauer et al [38] found a positive correlation ( $\rho = 0.41$ ) in an analysis of 77 biopsies.



**Fig 4. Voxelwise correlation of ADC and MTR<sub>asym</sub> contrast for patient 6.** The shown scatterplots correspond to the volumetric segmentation of the CE-T1 tumor and T2 peritumoral edema region for an exemplary patient (Patient 6). A linear regression (red line) was additionally plotted for both regions. For the CE-T1 tumor, no correlation could be observed over the 1515 voxel, whereas a weak positive correlation over the 3044 voxel of the T2 edema region of this patient is found. The weakly positive correlation within the T2 edema signifies that high MTR<sub>asym</sub> values (caused by decreased NOE effects) tend to correspond to high ADC values in this region. The graphs represent the generally observed trend within the patient collective.

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Moreover, our results are in accordance with Xu et al [39], as their MTR\*<sub>asym</sub> signal in rat 9L glioma tumors at 9.4 Tesla and B1 = 1 $\mu\text{T}$  (defined as Z(-3.5ppm)-Z(3.5ppm)) did correlate with ADC, while the more NOE-specific signal NOE\* (based on asymmetry analysis at  $\pm 3.5$  ppm with a three-offset method) did not correlate with ADC.

While one cannot deduct any certain conclusions from such a small number of patients, it is still interesting to look at possible hypotheses that might explain a positive correlation of MTR<sub>asym</sub> and cellularity. Concerning the MTR<sub>asym</sub> values, one has to bear in mind that low MTR<sub>asym</sub> values represent high NOE-mediated exchange rates (which is represented by a drop in the Z-spectrum at -3.3ppm) and vice versa. This would mean a decreasing NOE signal with increasing cellularity. Possible factors influencing the NOE signals are protein concentration, folding and mobility.

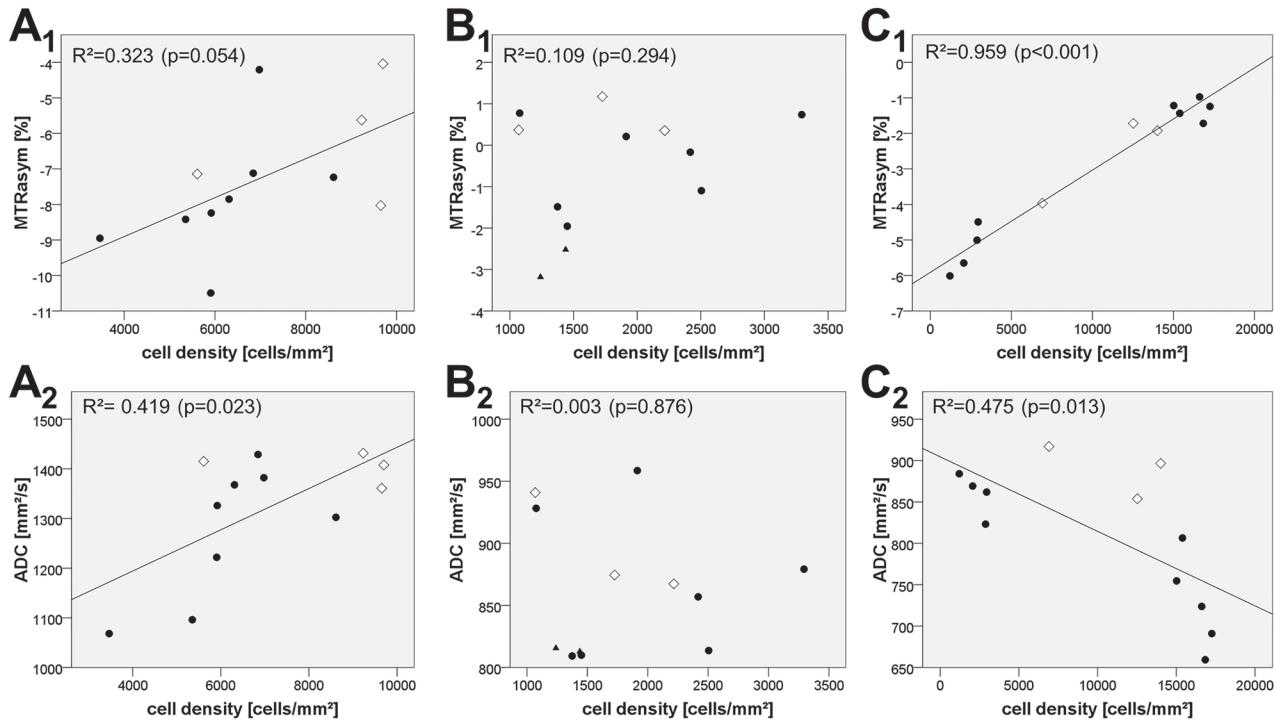
Regarding protein content, a lowered concentration would be a possible explanation for decreased NOE effects. In accordance with this hypothesis, it has been suggested that there is a high water content (or decreased protein content per voxel, respectively) in glioblastoma tumor and necrosis [2, 12] as it has long been known that the water content is increased in tumors of all entities [40]. However, a lowered protein concentration in hypercellular regions seems counterintuitive (even though high cellularity does not necessarily imply high protein content). There are recent investigations that report an increased protein concentration within

**Table 2. Correlation analysis of MTR<sub>asym</sub> values and ADC values with the cell density of corresponding biopsy sites.**

Patient ID	r <sub>Sp</sub> of MTR <sub>asym</sub> and cell density	r <sub>Sp</sub> of ADC and cell density	number of biopsies	maximum cell density [cells/mm <sup>3</sup> ]
Patient 3	0.685* (p = 0.014)	0.545 (p = 0.067)	12	9699
Patient 4	0.126 (p = 0.697)	-0.021 (p = 0.948)	12	3293
Patient 15	0.867* (p = <0.001)	-0.755* (p = 0.005)	12	17256

\* significant correlations are marked. r<sub>Sp</sub> = Spearman rho

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**Fig 5. Tumor cell density and the corresponding MTR<sub>asym</sub> values/ADC values at the origin of the biopsy.** • Biopsy from contrast-enhancing parts on CE-T1. ◊ Biopsy from necrotic areas as visible on CE-T1. ▲ Biopsy from non-enhancing areas on CE-T1 (“edema”). **A<sub>1</sub>/A<sub>2</sub>**) 12 biopsies were obtained along a trajectory in a 67-year-old female with glioblastoma (patient 3). Linear regression of cell density and MTR<sub>asym</sub> values yielded  $R^2 = 0.323$  ( $p = 0.054$ ). Linear regression of cell density and ADC values yielded  $R^2 = 0.419$  ( $p = 0.023$ ). **B<sub>1</sub>/B<sub>2</sub>**) 12 biopsies were obtained along a trajectory in a 60-year-old female with glioblastoma (patient 4). Linear regression of cell density and MTR<sub>asym</sub> values yielded  $R^2 = 0.109$  ( $p = 0.294$ ). Linear regression of cell density and ADC values yielded  $R^2 = 0.003$  ( $p = 0.876$ ). **C<sub>1</sub>/C<sub>2</sub>**) 12 biopsies were obtained along a trajectory in a 45-year-old male with glioblastoma (patient 15). Linear regression of cell density and MTR<sub>asym</sub> values yielded  $R^2 = 0.959$  ( $p < 0.001$ ). Linear regression of cell density and ADC values yielded  $R^2 = 0.475$  ( $p = 0.013$ ).

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glioblastoma tumor and necrosis [41] which would conflict this thesis. Xu et al [39] also found that the rat 9L glioma tumors had slightly, but not significantly higher protein concentrations. As it remains inconclusive how protein concentration and NOE signals are linked in glioblastoma, it has also been hypothesized that protein unfolding or misfolding might be responsible for a decreased NOE signal. As a tumor cell is exposed to a higher level of stress (nutrition supply, proliferation), misfolding and unfolding of proteins will occur [42]. This triggers an unfolding protein response with mechanisms to resolve the protein-folding defects or to guide the cell into apoptosis and an upregulation of these mechanisms is known to serve cancer cells as a survival strategy [42]. Again, whether an increased incidence of unfolded or misfolded proteins in areas of high cellularity is responsible for a drop in the NOE-signal cannot be confirmed by our experiment, but it remains an interesting hypothesis.

Finally, altered protein mobility might result in changes in NOE effects: In glioblastoma, a disruption of cell structures might increase the mobility of proteins and thus decrease the exchange relayed NOE signals due to the greater distances between exchanging protons.

Ultimately, an influence of the pH on the NOE-signal has to be considered as other studies have shown a small increase of the NOE-effects with pH (approximately 0.6% per pH) [2, 26]. Extracellular pH is known to decrease in tumor cells from 7.4 in healthy tissue down to 6.7 in anoxic tumor regions [43]. Consequently, through regulation pathways, the pH increases in the intracellular compartment of brain tumors (pH = 7.3) compared to normal brain tissue (pH = 7.25) [44–46]. pH values between 7.15 and 7.3 were found in necrotic tumor tissue [47].

As the described variances of pH within tumor tissue do not exceed 1.0 pH-unit [48, 49], we expect the influence of the pH on the NOE-signal *in vivo* to be negligible.

### T2 peritumoral edema region

In regard to the peritumoral edema on T2, we found a tendency towards weakly positive correlations of ADC and MTR<sub>asym</sub> values ( $0.09 < r_{sp} < 0.53$ ,  $p < 0.05$ ,  $n = 9$ ), although 6 patients attenuate this trend displaying negligible ( $r_{sp} \approx 0$ ,  $p < 0.05$ ) or insignificant ( $p > 0.05$ ) correlations. Regarding the whole tumor volume, defined as CE-T1 tumor plus peritumoral edema, it has already been stated before that ADC and MTR<sub>asym</sub> show similar qualitative properties. ADC is lower in the T2 peritumoral edema and increases towards the central necrosis [22], as does the MTR<sub>asym</sub> signal (always implying that the actual NOE effects behave contrarily to the MTR<sub>asym</sub> and decrease towards the tumor core) [2, 12, 23]. Therefore, a positive correlation of ADC and MTR<sub>asym</sub> was to be expected even without knowing the underlying pathophysiology that influences the contrasts' behavior. In addition, the gradient of both contrasts towards the tumor core might become more eminent in the zone of T2 peritumoral edema as it covers a larger volume and is more homogenous in its structure compared to CE-T1 tumor with its different cell types, vasculogenesis and especially necrotic foci. There have been several studies examining diffusion in peritumoral edema. The overall increased ADC compared to normal appearing white matter is attributed to several factors such as pure vasogenic edema, glial alterations or the breakdown of the extracellular matrix by infiltrating tumor cells. The latter is characteristic for the peritumoral edema of high-grade gliomas [50–53]. Interestingly, Saraswathy et al. [54] found that only the volume of low ADC values throughout the whole tumor (including the T2 peritumoral edema) is predictive of overall survival suggesting that the inclusion of the edematous region is essential for tumor assessment and that an association of ADC with tumor cellularity might only become apparent in this area. The fact that we only observed a weak positive correlation between ADC and MTR<sub>asym</sub> could be explained by the distinct underlying principles of both contrasts (diffusion of water molecules and protein properties, respectively) which are both linked via cellularity but must have more complex contributions.

### Possible clinical implications and limitations of the study

Generally, there are promising results in regard to the clinical value of NOE mediated imaging. Previously, we were able to show that NOE mediated CEST at 7T provides information on tumor heterogeneity and extent that cannot be obtained from CE-T1 and T2 weighted images. Furthermore, we demonstrated the potential of this endogenous contrast to identify tumor satellites without the use of a contrast agent [23]. The MTR<sub>asym</sub> maps in this study do confirm these findings descriptively. Furthermore, it is of high interest that NOE mediated CEST provides information on glioblastoma that cannot be acquired with conventional MRI sequences (CE-T1, T2, SWI or DWI). Together with our finding from this study that suggests an association of MTR<sub>asym</sub> values and tumor cellularity, NOE mediated CEST might be able to contribute to the planning of biopsies, neurosurgical resections or radiation therapy by adding valuable information on tumor infiltration or the identification of most malignant tumor parts.

There are several good arguments to perform CEST-based sequences at 7T: It is known that CEST at 3T suffers from the lower spectral resolution leading to a broadening of both direct water saturation and the CEST effects. Combining this with the lower signal-to-noise-ratio (SNR) makes CEST at lower field strengths very challenging. Per contra, B<sub>1</sub> and B<sub>0</sub> field inhomogeneities increase for higher static fields. Therefore, we corrected B<sub>0</sub> variations as described in Paech et al [23]. Moreover, the absence of a signal variation within the same tissue type from the center of the CEST image to its edges suggests only a small remaining B<sub>1</sub> influence.

However, there are limitations to this study that need to be discussed:

Firstly, the presented NOE-contrast is based on asymmetry analysis which can be contaminated by other effects such as relaxation changes which were reported to alter the CEST-contrast [39, 55, 56]. Especially T1 can be altered in the area of CE-T1 tumor, which might also explain the large variance of NOE mediated CEST effects here.

Secondly, when calculating the MTR<sub>asym</sub>, there is an interference of several effects such as NOE, APT, direct water magnetization and magnetization transfer of semi-solid tissue components which has to be considered in the evaluation of data. Semi-solid magnetization transfer as a confounder can be neglected in this study as it mainly occurs for higher irradiation powers [4, 57, 58]. The closer to the resonance frequency of water a CEST-effect occurs, the more it is affected by direct water saturation (DS). To correct for DS, the basic and commonly used approach is to subtract the upfield side of the Z-spectrum from the downfield side (yielding MTR<sub>asym</sub>) which was also applied here. However, higher-order correction steps would be needed to obtain a contrast that is exclusively based on the CEST-effect of interest since DS dilutes the CEST-signal and fewer water magnetization is effectively left for the exchange via saturation transfer [27].

Thirdly, a possible inaccuracy lies in the rigid coregistration of the images of sequences with different geometric distortions and might influence both the voxelwise correlation and the correlation with histology and reduce their power. However, the visual inspection of the registration by an experienced neuroradiologist (AR) revealed that EPI distortion artifacts did not lead to a remarkable ROI deviation.

Finally, this is a proof-of-concept study with a relatively small patient collective which has to be borne in mind when interpreting the result. Our findings have to be validated in a larger patient group to determine the contribution of cell density to the NOE-mediated CEST-effects.

In conclusion, NOE-mediated CEST imaging is a new contrast promising insight into pathophysiologic processes in glioblastoma in terms of cell density and protein content. DWI is based on a different methodology as the ADC measures the mean diffusion of water molecules within a defined voxel. However, for the area of T2 peritumoral edema, being less heterogeneous than CE-T1 tumor, our study supposes an association of both contrasts as their underlying principles, protein properties and diffusion of water molecules, are linked via cellularity. Ultimately, within the CE-T1 tumor, NOE mediated CEST based on MTR<sub>asym</sub> might represent tumor cellularity more accurately than ADC which needs to be verified in future studies with larger patient collectives and histopathological correlation.

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## Author Contributions

Conceived and designed the experiments: DP SB MZ AR. Performed the experiments: DP SB JW JEM MZ AR. Analyzed the data: DP SB JW JEM MZ AR. Contributed reagents/materials/analysis tools: DP SB JW JEM MZ JON AR. Wrote the paper: DP SB JW JEM MZ OE PK MN BW FS ROF JON WW SH MB HPS MEL PB AR.

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