



Image-Guided Fine Needle Cytology with Aspiration Versus Non-Aspiration in Retroperitoneal Masses: Is Aspiration Necessary?

Rajiv Kumar Misra · Shaila Mitra
Rishav Kumar Jain¹ · Shilpa Vahikar
Archana Bundela · Purak Misra²

Departments of Pathology and ¹Radiology, B.R.D. Medical College, Gorakhpur; ²Apollo Hospital, New Delhi, India

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Corresponding Author

Shaila Mitra, M.D.
Department of Pathology, B.R.D Medical College,
Gorakhpur, 273013 Uttar Pradesh, India
Tel: +91-7379651447
Fax: +91-0551-2501736
E-mail: shaila.prasad14@yahoo.co.in

Background: Although using fine needle cytology with aspiration (FNC-A) for establishing diagnoses in the retroperitoneal region has shown promise, there is scant literature supporting a role of non-aspiration cytology (FNC-NA) for this region. We assessed the accuracy and reliability of FNC-A and FNC-NA as tools for preoperative diagnosis of retroperitoneal masses and compared the results of both techniques with each other and with histopathology. **Methods:** Fifty-seven patients with retroperitoneal masses were subjected to FNC-A and FNC-NA. Smears were stained with May-Grunwald Giemsa and hematoxylin and eosin stain. An individual slide was objectively analysed using a point scoring system to enable comparison between FNC-A and FNC-NA. **Results:** By FNC-A, 91.7% accuracy was obtained in cases of retroperitoneal lymph node lesions followed by renal masses (83.3%). The diagnostic accuracy of other sites by FNC-A varied from 75.0%–81.9%. By FNC-NA, 93.4% diagnostically accurate results were obtained in the kidney, followed by 75.0% in adrenal masses. The diagnostic accuracy of other sites by FNC-NA varied from 66.7%–72.8%. **Conclusions:** Although both techniques have their own advantages and disadvantages, FNC-NA may be a more efficient adjuvant method of sampling in retroperitoneal lesions.

Key Words: Fine needle aspiration; Non-aspiration technique; Retroperitoneal masses

The retroperitoneum has long been an area of interest in the aspect of diagnostic procedure because diagnostic procedures generally used in other sites fall short of providing the requisite access. Considering the numerous and heterogeneous contents of this region, lesions may be encountered in lymph nodes, soft tissues, adrenal glands, kidneys, ureters, and the aorta and its branches.

Two cytodiagnostic techniques are available to obtain cytology samples. They are fine needle cytology with aspiration (FNC-A) and an alternative “non-aspiration technique” (FNC-NA),^{1,2} which was developed in France.

Although a large volume of data is available to compare FNC-A and FNC-NA sampling in superficial lesions, no literature is available regarding FNC-NA for retroperitoneal masses. Therefore, we have studied the utility of FNC-NA by comparing it with FNC-A and with histopathology.

MATERIALS AND METHODS

The study was carried out in the Department of Pathology

from August 2010 to July 2013 on 57 patients with retroperitoneal masses on ultrasound (USG). After proper workup, including detailed clinical history and examination, FNC-A was performed using a 9 cm, 22–24 gauge spinal needle attached to a 20-mL syringe. FNC-NA was performed with a 22–24 gauge spinal needle without a syringe. Fine needle aspiration sampling was performed as described previously.³

FNC-NA was performed by holding the needle directly with the finger tips and inserting it into the target lesion with USG guidance. After reaching the site, the stylet was removed and the needle was moved back and forth in various directions at different depths. Removal of the stylet at this stage avoids contamination of diagnostic material with other tissues of the needle track. The needle was then taken out from the site and connected to a syringe filled with air. Cellular material was then expelled onto a glass slide. Uniform and thinly spread smears were obtained with the superimposition technique.¹

Both cytotechniques were done at the same time and slides were made by a single operator, avoiding bias in all stages of sampling from patient examination to slide fixation. Smears

Table 1. Modified scoring system used in the interpretation of cytological features

Criteria	Description		Point score
Background, blood clot	Large amount	Great compromise to diagnosis	0
	Large amount	Diagnosis still possible	0.5
	Moderate	Diagnosis possible	1
	Moderate	Diagnosis evident	1.5
	Minimal	Excellent quality	2
Amount of cellular material	Absent	Diagnosis not possible	0
	Minimal	Diagnosis still possible	0.5
	Moderate	Sufficient for diagnosis	1
	Moderate to abundant	Diagnosis evident	1.5
	Abundant	Diagnosis simple, excellent quality	2
Degree of cellular degeneration	Marked	Diagnosis impossible	0
	Marked	Diagnosis still possible	0.5
	Moderate	Diagnosis possible	1
	Moderate	Diagnosis evident	1.5
	Minimal	Diagnosis easy	2
Degree of cellular trauma	Marked	Diagnosis impossible	0
	Marked	Diagnosis still possible	0.5
	Moderate	Diagnosis possible	1
	Moderate	Diagnosis evident	1.5
	Minimal	Diagnosis easy	2
Retention of appropriate architectures	Minimal to absent	Diagnosis impossible	0
		Diagnosis still possible	0.5
	Moderate	Some preservation	1
		Follicles, papillae, acini, flat sheets, syncytia, single cells, etc. Diagnosis evident	1.5
	Moderate	Excellent architectural display closely reflecting histological diagnosis	2
	Excellent		2

were stained with May-Grunwald Giemsa and hematoxylin and eosin stain. An individual slide was objectively analysed using a point scoring system⁴ to enable comparison between FNC-A and FNC-NA techniques as shown in Table 1. On the basis of the five criteria tabulated, a cumulative score between 0–10 points was allocated to each fine needle specimen, which was then categorized as unsuitable for cytodiagnosis (score, 0–2), suitable for cytodiagnosis (score, 3–6) or diagnostically superior (score, 7–10). Accuracy of the cytological diagnoses was assessed by two different pathologists through comparison with the histological diagnosis. All the results so obtained were interpreted statistically using the student's t-test.

RESULTS

Fifty-seven cases of retroperitoneal lesions were studied in patients ranging from 6–80 years of age. Most patients (56.1%) were 50–60 years old; 37 patients (64.9%) were male and 20 (35.0%) were female.

Thirty cases (52.6%) were from the kidney followed by 12 cases (21.0%) from retroperitoneal lymph nodes. Eleven cases (19.2%) were from soft tissues and miscellaneous organs, while only four cases (7.0%) were from the adrenal glands.

Among 30 cases of renal masses, eight (14.0%) were polycystic kidney disease, 16 cases (28.0%) were renal cell carcinoma and six (10.5%) were neuroblastoma. Out of twelve cases of retroperitoneal lymphadenopathy, tuberculous lymphadenitis was found in six cases (10.5%), while non-Hodgkin lymphoma and metastatic seminoma were found in three cases each (5.2%). Out of 11 cases (19.2%) of soft tissue tumours, six cases (10.5%) were diagnosed as liposarcoma, three cases (5.2%) as malignant fibrous histiocytoma, and two cases (3.5%) as fibrosarcoma. All four cases (7.0%) of adrenal mass were pheochromocytoma. The relatively high incidence of neuroblastoma does not reflect the epidemiological incidence of this area, because our center is a referral center and caters to the referred patients from eastern parts of India and Nepal. Although polycystic kidney does not present as a renal mass, a provisional diagnosis of cystic renal lesion was rendered in radiological workup. Therefore, cytological examination was performed to arrive at an accurate diagnosis and to differentiate among cystic lesions such as benign renal cysts, cystic renal cell carcinoma and polycystic kidney.

FNC-A had more blood contamination than FNC-NA smears in all cases and the difference between the techniques was statistically significant in all cases except adrenal masses (Table 2, Fig. 1).

Table 2. Comparison of cytological features in the retroperitoneal organs

Site	Background, blood clot	Amount of cellular material	Degeneration	Cell trauma	Maintenance of architectural/cellular arrangement	Average
Kidney (n=30)						
FNC-NA	1.630±0.556	1.060±0.365	1.580±0.648	1.360±0.614	1.260±0.520	6.530±1.846
FNC-A	1.160±0.580	1.260±0.520	1.000±0.574	1.300±0.534	0.520±0.210	5.090±1.246
p-value	<.01	.09	.03	.31	<.01	<.01
Adrenal (n=4)						
FNC-NA	1.00±0.707	0.75±0.830	1.020±0.72	1.000±0.707	0.980±0.707	2.000±1.590
FNC-A	0.75±0.830	0.99±0.810	0.680±0.789	0.750±0.830	0.980±0.707	1.500±1.660
p-value	.66	.69	.55	.25	>.99	.68
RPLN (n=12)						
FNC-NA	1.33±0.346	0.580±0.3	1.200±0.484	1.600±0.648	0.916±0.493	5.960±2.780
FNC-A	0.916±0.277	1.290±0.62	1.023±0.348	1.000±0.578	0.916±0.493	6.500±2.160
p-value	<.01	<.01	.11	.03	>.99	.60
Miscellaneous (n=11)						
FNC-NA	1.020±0.417	0.660±0.486	1.020±0.417	1.020±0.319	0.630±0.298	6.360±1.846
FNC-A	0.59±0.298	1.000±0.574	0.590±0.312	0.520±0.312	0.997±0.660	5.090±1.246
p-value	<.01	.13	.04	.001	.05	.07
Total (n=57)						
FNC-NA	1.105±0.325	1.139±0.464	1.233±0.426	1.267±0.455	1.067±0.456	5.833±1.403
FNC-A	1.102±0.425	1.161±0.611	0.911±0.339	0.642±0.321	1.170±0.488	4.884±1.146
p-value	.26	.82	<.01	<.01	.15	<.01

FNC-NA, fine needle cytology with non-aspiration; FNC-A, fine needle cytology with aspiration; RPLN, retroperitoneal lymph node.

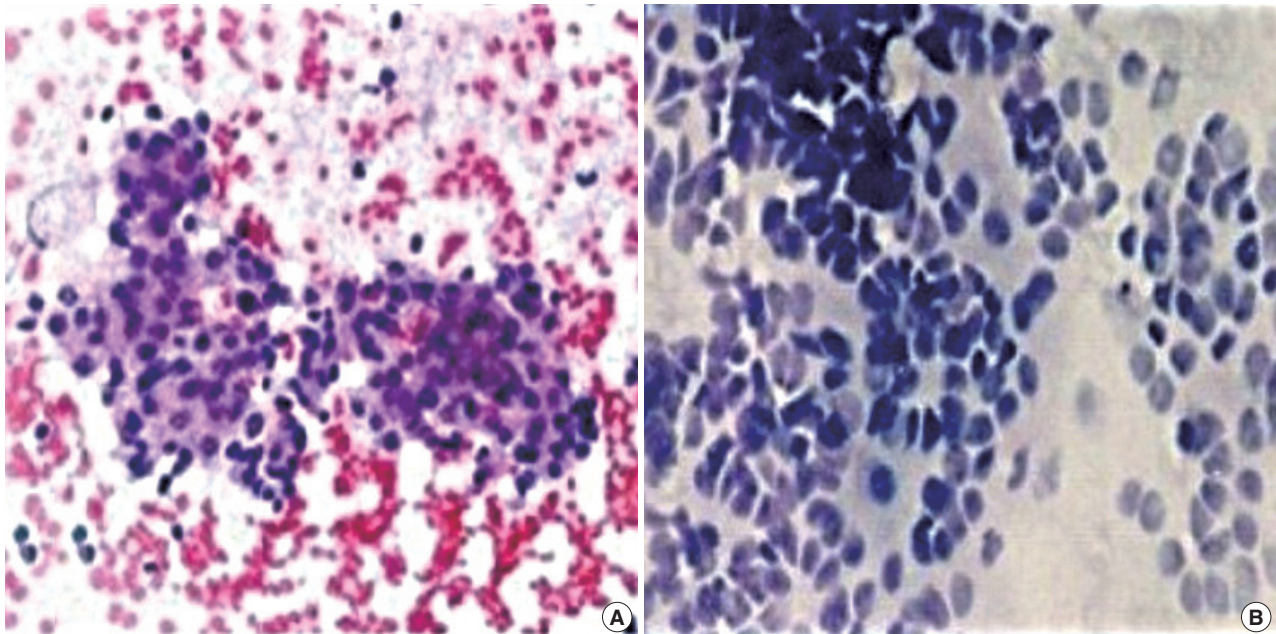


Fig. 1. (A) Fine needle aspiration cytology of neuroblastoma showing sheets and clusters of round, monomorphic tumor cells on a hemorrhagic background. (B) Non-aspiration cytology of neuroblastoma showing clusters and dispersed small, round cells with a high nucleocytoplasmic ratio and scant cytoplasm.

FNC-A smears revealed more dislodged cellular material across the slides than FNC-NA smears but statistical superiority was seen only for retroperitoneal lymph nodes ($p < .01$) (Table 2).

Cellular degeneration was greater in FNC-A in all cases, but this difference was statistically significant for kidney ($p = .03$)

and miscellaneous groups ($p = .04$) only.

Greater trauma was observed in FNC-A smears as evidenced by increased blood contamination, clumping of cells, and shrinkage artefacts along with chromatin smearing and smudging while cellular preservation was better in FNC-NA.

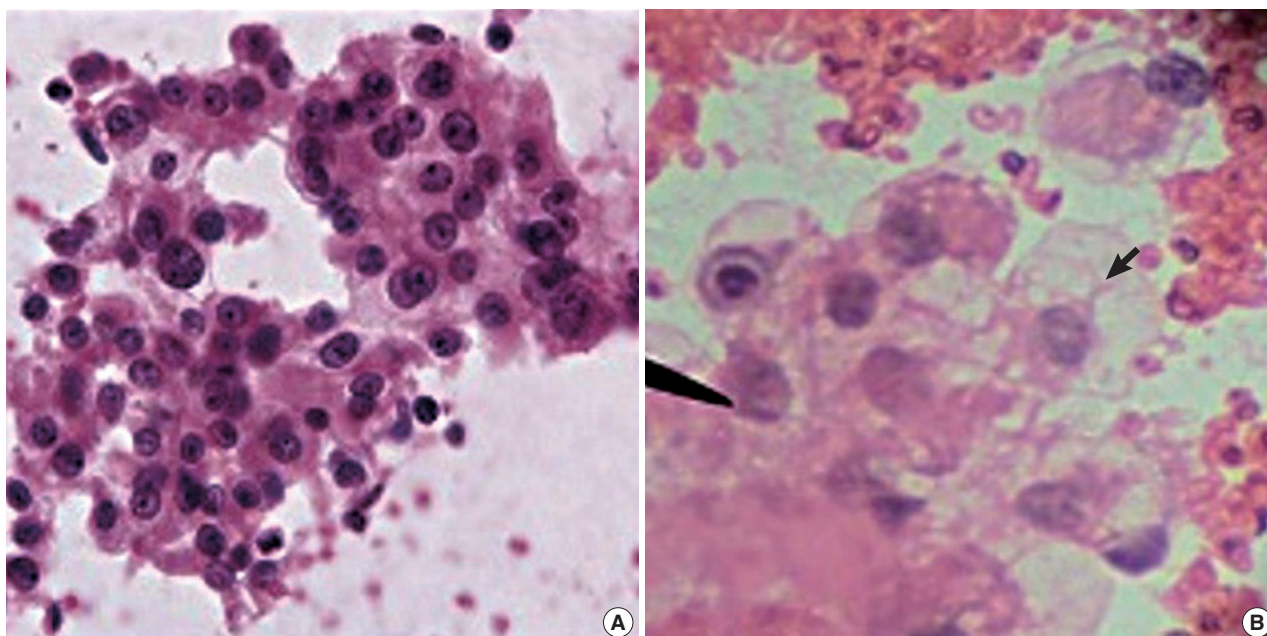


Fig. 2. (A) Fine needle cytology with non-aspiration smear of renal cell carcinoma showing sheets and clusters of cells with abundant, delicate, wispy, finely vacuolated cytoplasm and enlarged nuclei, fine chromatin, prominent nucleoli and thick irregular nuclear border on a relatively clean background. (B) Fine needle cytology with aspiration smear of renal cell carcinoma showing a sheet of cells with abundant vacuolated cytoplasm (arrow) and enlarged nuclei on a haemorrhagic background.

Table 3. Comparison of quality of smears obtained by FNC-A and FNC-NA

Quality of smear	FNC-A	FNC-NA	p-value
Superior (7–10)	20 (35.1)	27 (47.4)	.18
Diagnostic (3–6)	31 (54.4)	20 (35.1)	.03
Superior+Diagnostic (3–10)	51 (89.4)	47 (82.4)	.28
Insufficient (0–2)	6 (10.5)	10 (17.5)	-

Values are presented as number (%).

FNC-A, fine needle cytology with aspiration; FNC-NA, fine needle cytology with non-aspiration.

When comparing the architectural arrangements of cells in smears obtained by both techniques, such as rosette formations in neuroblastoma, dissociated cells in lymphoma, glandular tissue fragments in adenocarcinoma, and papillary fragments in papillary tumors, the difference was statistically insignificant in all cases except the kidneys where FNC-NA was superior to FNC-A ($p < .01$).

There was a statistically insignificant difference in sampling technique score in all cases except in the kidney, where the FNC-NA score was statistically significant ($p < .01$) (Table 2, Fig. 2).

Smears obtained by FNC-A and FNC-NA techniques were then categorized on the basis of scores obtained (Table 3). FNC-A produced a greater number of diagnostically adequate smears (31 cases, 54.4%) than FNC-NA (20 cases, 35.1%) ($p = .03$). FNC-NA provided more diagnostically “superior quality smears”

Table 4. Comparison of sitewise and overall diagnostic accuracy of FNC-NA and FNC-A

Site	Histopathology obtained	Diagnostic accuracy		p-value
		FNC-NA	FNC-A	
Kidney	30	28 (93.4)	25 (83.3)	.42
Adrenal	4	3 (75.0)	3 (75.0)	> .99
RPLN	12	8 (66.7)	11 (91.6)	.31
Miscellaneous	11	8 (72.8)	9 (81.9)	> .05
Total	57	47 (82.4)	48 (84.2)	.80

Values are presented as number (%).

FNC-NA, fine needle cytology with non-aspiration; FNC-A, fine needle cytology with aspiration; RPLN, retroperitoneal lymph node.

(27 cases, 47.4%) than FNC-A (20 cases, 35.1%), but the difference was statistically insignificant ($p = .18$). Diagnosis could be made in 51 cases (89.4%) by FNC-A as compared to 47 cases (82.4%) by FNC-NA when combining superior and diagnostic quality scores. FNC-NA had more smears showing inadequate material for diagnosis (10 cases, 17.5%) than FNC-A (6 cases, 10.5%).

The overall diagnostic accuracy was 82.4% in FNC-NA and 84.2% in FNC-A ($p = .08$). Accuracy of 91.7% was obtained in retroperitoneal lymph node lesions while 83.3% accuracy was obtained in renal masses by FNC-A. The diagnostic accuracy of other sites by FNC-A varied from 75.0%–81.9%. Diagnostically accurate results of 93.4% were obtained in the kidney and

75.0% diagnostically accurate results were obtained in adrenal masses by FNC-NA. The diagnostic accuracy of other sites by FNC-NA varied from 66.7%–72.8%, with lowest being in the retroperitoneal lymph nodes (Table 4).

DISCUSSION

FNC-A is widely accepted as the primary method for diagnosis of palpable masses.⁵ In 1930, Martin and Ellis³ first presented a tumor diagnosis by needle aspiration and termed it “aspiration biopsy.” Franzen *et al.*⁶ in 1955 introduced a special syringe holder and thus improved the technique.^{7,8}

FNC-NA was developed in France by Brifford *et al.*¹ in 1982. It avoids aspiration and relies on capillary pressure to suck cells inside the needle core. The French authors termed this technique as “cytopuncture.” It has been shown that with the application of an objective scoring system, FNC-NA produces a comparable cellular yield, and has a similar diagnostic accuracy to the classic fine needle aspiration technique.^{9–11} Many studies have proved that FNC-NA seems to be better for diagnosing malignant lesions while FNC-A appeared better for diagnosing benign lesions.^{12–14} Malignant cells, being fragile, are more prone to degeneration and trauma of suction. The application of suction to draw cells through a fine needle traumatizes fragile cells, resulting in artifacts that can lead to diagnostic error. They opined that FNC-NA was more patient friendly, gave more cellular yield with less blood contamination and improved quality of the smears. FNC-A was considered as the procedure of choice for cystic lesions as the fluid could be collected for cytological evaluation. According to them better diagnostic results could be obtained if both the techniques are used together.^{9,11–15}

The function of negative pressure is not to tear the cells from the tissue but to hold the tissue against the sharp cutting edge of the needle. Santos and Leiman¹⁶ explained the scientific basis of the FNC-NA technique. This technique which employs the insertion of a fine needle into a lesion without attachment of a syringe, depends on the property of capillary tension in a narrow channel (outer diameter of needle, 0.6 mm). A fluid in a narrow channel is governed by the formula $h = 2T/pgr$, where h is the height attained, T is the surface tension of the fluid, p is the density of that fluid, g is the gravity and r is the radius. They performed a more exhaustive comparative analysis using both FNC-A and FNC-NA techniques on 50 thyroid lesions. In their study, diagnostically superior material was obtained in 22 (44.0%) of the non-aspiration samples versus four of aspiration samples (8.0%) ($p = .0033$). This is probably because in FNC-NA, con-

centrated cellular material that was less distorted by blood had better preservation of architecture and excellent picture quality.

Zajdela *et al.*¹⁷ 1987, studied a large series of mammary tumors in order to compare the results of FNC-A with those of FNC-NA. In their study FNC-A was employed in all the cases prior to 1981, and after that FNC-NA was used. Therefore, these techniques were not used together on the same tumours or patient populations. With FNC-NA, more precise entry into the mass was possible and this is particularly important in locations like the orbit and thyroid, to avoid injury to the eyeball and trachea.¹⁸

Other workers^{19–21} tried to explain the reason for the lesser degree of blood contamination by FNC-NA. They reported that this could be because the specimen is obtained by a spontaneous capillary action without much trauma to tissues. Thus, it gives a clean and clear picture to the cytopathologist. In FNC-A, significant quantity of blood is aspirated, especially in vascular organs.

Cellular yield was more or less comparable for both techniques except in the kidneys, where FNC-NA was significantly better. USG-guided percutaneous FNC-A of renal masses was first reported by Kristensen *et al.*²¹ The present findings are consistent with findings of Renshaw *et al.*²² However, Mair *et al.*⁴ and Zajdela *et al.*¹⁷ did not find any significant difference in the smears prepared by both techniques. Jayaram and Gupta²³ observed that cellularity was higher in aspiration smears than in non-aspiration smears in goiters. Zhou *et al.*²⁴ mentioned that FNC-A may be more suitable than FNC-NA for sampling nodules that measure from 5.1 to 10.0 mm and > 20.0 mm. Stewart *et al.*²⁵ directly compared FNC-A and needle core biopsy in 141 patients undergoing image guided sampling of abdominal lesions and noticed that FNC-A cytology was more sensitive and accurate than biopsy.

Available literature on cellular trauma, degeneration and retention of architecture revealed less cellular degeneration and cellular trauma in FNC-NA as compared to FNC-A.^{14,15} The high suction pressure that is maintained during FNC-A causes some increase in cellular trauma.^{26,27} In FNC-NA, since suction pressure is not used, concentrated cellular material shows better preservation of the architecture with less traumatic distortion and less contamination by blood. FNC-NA smears showed better retention of architecture and excellent picture quality, whereas FNC-A smears had good quantity of material. Ghosh *et al.*²⁸ also observed the same findings in their study of FNC-NA on thyroid lesions. Better preservation of architecture and excellent picture quality was the only parameter in which FNC-NA scored

much better than FNC-A, as reported by other authors.^{14,15,18,28}

Low cellularity and fibrous lesions appear to be a main cause of FNC-NA failure. However Zajdela *et al.*¹⁷ found that the frequency of insufficient cellular yield was less by FNC-NA (5.5%) than FNC-A (6.0%).

In this study, non-aspiration sampling was used as the first sampling method in all cases. This design was based on the interventional radiology literature, which suggests that if both methods are to be used, the less traumatic method (i.e., non-aspiration sampling) must precede the negative pressure method (FNC-A) to ensure the initial samples will contain less bloody material and be more amenable to rapid staining and analysis. The drawback of using this technique was that initial biopsies with non-aspiration sampling may have caused tissue damage and bleeding, putting subsequently collected FNC-A specimens at a disadvantage.

In most cases, sufficient diagnostic material was dislodged over the slide by FNC-A, thereby increasing its diagnostic accuracy ($p = .03$). Out of 57 cases of retroperitoneal lumps, diagnosis was made in greater number of cases by FNC-A compared to FNC-NA, while the quality of smears was superior in FNC-NA.

We used a long, 24-gauge lumbar puncture needle of 24-gauge instead of a short hypodermic needles with good results, as cells are detached by the cutting edge of the needle and conducted into the lumen by capillary force. The caliber of the needle is more important than the length as noted by the physical principle that ascent of fluid into a narrow channel is governed by the formula $h = 2T/pg$.

In retroperitoneal masses, USG-guided FNC-NA may be a more efficient adjuvant method of sampling. Non-aspiration (FNC-NA) provides "superb quality" of smears with superior diagnostic value and is less traumatic, simple, and easy to perform with better patient compliance. It also produces better quality of cellularity and less field obscuration by blood, and allows for much better control of the needle while in the lesion. In addition, direct contact with the needle allows a more sensitive fingertip feeling of the consistency of the tumor tissue during sampling. Preferably, FNC-NA should be performed initially, followed by FNC-A in order to attain a clear and accurate cytological diagnosis. In highly cellular lesions where abundant material was obtained, FNC-NA was most likely to be diagnostically superior, although FNC-A can also diagnose most lesions. In less cellular lesions, however, FNC-A was most likely to be diagnostically superior to FNC-NA. In addition, simple benign lesion or abscesses can be drained by aspiration for ther-

apeutic purposes.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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