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## Publication bias in neuroimaging research: Implications for meta-analyses

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### Abstract

Neuroimaging and the neurosciences have made notable advances in sharing activation results through detailed databases, making meta-analysis of the published research faster and easier. However, the effect of publication bias in these fields has not been previously addressed or accounted for in the developed meta-analytic methods. In this article, we examine publication bias in functional magnetic resonance imaging (fMRI) for tasks involving working memory in the frontal lobes (Brodmann Areas 4, 6, 8, 9, 10, 37, 45, 46, and 47). Seventy-four studies were selected from the literature and the effect of publication bias was examined using a number of regression-based techniques. Pearson's  $r$  correlation coefficient and Cohen's  $d$  effect size estimates were computed for the activation in each study and compared to the study sample size using Egger's regression, Macaskill's regression, and the 'Trim and Fill' method. Evidence for publication bias was identified in this body of literature ( $p < 0.01$  for each test), generally, though was neither task- nor sub-region-dependent. While we focused our analysis on this subgroup of brain mapping studies, we believe our findings generalize to the brain imaging literature as a whole and databases seeking to curate their collective results. While neuroimaging databases of summary effects are of enormous value to the community, the potential publication bias should be considered when performing meta-analyses based on database contents.

### Keywords

Brain imaging; fMRI; databases; Meta-analysis; Publication bias

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#### INFORMATION SHARING STATEMENT:

The BrainMap database is a publicly available database which can be accessed via the web at <http://www.brainmap.org>. Matlab (Mathworks, Natick, MA) was used in our analyses of publication bias, and the program written and used in this research is available from the authors upon request. In addition, many different statistical packages offer programs for diagnosing and correcting for publication bias. A macro (Rendina-Gobioff and Kromrey 2006) has been created in the SAS statistical analysis platform (SAS Institute, Cary, North Carolina) which is useful when comparing two groups, and the 'rmeta' package in R (<http://www.r-project.org>) contains different functions which can be implemented to diagnose and account for the effect of publication bias (Lumley 2009).

## Introduction

In recent years meta-analyses have become increasingly popular in neuroimaging as large databases of structural and functional brain imaging data have been created and employed to aggregate results from across individual studies (Murphy, Nimmo-Smith *et al.* 2003; Neumann, von Cramon *et al.* 2008; Fusar-Poli, Placentino *et al.* 2009). Meta-analytic methods to examine these data have become increasingly refined (Turkeltaub, Eden *et al.* 2002), and these techniques are rapidly becoming particularly important tools for understanding fundamental questions underlying patterns of cognitively induced activity.

The development of highly detailed neuroimaging databases of published results has made quantitative assessment of the available research much easier (Fox, Laird *et al.* 2005), and the ability to pool studies and sample sizes to make inferences about functional brain activity has become increasingly valuable in diagnostics (Peyron, Laurent *et al.* 2000). These resources provide a useful means for combining the results of studies in specific research domains and have offered a unique solution for examining variation in reported activation foci (Nielsen and Hansen 2002).

However, while meta-analyses of functional imaging studies may provide invaluable insights, caution must be taken due to the potential for bias in the current literature, especially, as is common in functional magnetic resonance imaging (fMRI) research, where the published results are primarily small-study effects (Sterne, Gavaghan *et al.* 2000). Since recruitment of subjects is often demanding and having a large sample can be costly, many individual neuroimaging studies have small sample sizes, particularly in many fMRI studies. This practice has been defended by Friston *et al.* (1999), who have argued that fixed-effects analyses are adequately serviced through voxel-wise general linear models and conjunction-based analyses based upon samples of at least 6 subjects, whereas only experiments comparing two or more samples require random-effect analyses and necessarily larger cohort sample sizes. While such assertions seek to justify using small samples for cognitive activation studies in light of sufficient sensitivity, they have produced the somewhat unintended consequence of researchers tending to publish statistically significant brain activation findings merely based on low sample sizes. This can result in a particular form of ‘publication bias’ present in the literature which can severely hamper subsequent meta-analytic assessments from neuroimaging summary data archives containing reported statistical effects.

Generally speaking, publication bias is the tendency of researchers, journal editors, and corporate entities to manage the reporting of experimental findings that are positive (i.e. “significant” findings) differently from findings that are negative (i.e. supporting the null hypothesis) or are otherwise inconclusive (Dickersin 1990). This then leads to bias in the overall published literature toward only those effects considered to be statistically significant. Such bias can occur despite the fact that studies with significant results may not appear to be superior to studies having null results with respect to quality of design (Easterbrook PJ, Berlin JA *et al.* 1991). Statistically significant results are three times more likely to be published than papers affirming a null result (Dickersin, Min *et al.* 1992; Dickersin 1997). Typical reasons for non-publication of non-findings has been attributed to

loss of interest in the study in question by the researcher once a null effect has been observed (Hopewell, Loudon *et al.* 2009). However, not reporting negative effects can bias true average statistical effect sizes and mask particular trends present across studies over time (Schooler 2011). The reporting of only statistically significant findings can be traced to the pressures due to academic career trajectories, the need to secure research funding, and concerns about being considered a top scientist (Fanelli 2010). Often these pressures may force researchers to publish statistically significant results as soon as they have them, despite their study having a low sample size (Rucker, Carpenter *et al.* 2011). Journal editors and reviewers may also prefer publishing articles reporting statistically significant results (Matias-Guiu and Garcia-Ramos 2011) while studies reporting null findings may be rejected or deferred to another periodical. Collectively, these influences toward publishing positive effects while demurring on those that are not contributes to publication bias in the literature. Evidence for publication bias has been observed across a range of disciplines (Awad 2010; Saeed, Paulson *et al.* 2010; Polyzos, Valachis *et al.* 2011; Zhu, Duijvesz *et al.* 2011) and the field of neuroimaging is likely no different. Moreover, given the interest in gathering the summary data from neuroimaging studies of cognitive activation task paradigms into various shared databases (Van Horn and Gazzaniga 2002; Van Horn, Grafton *et al.* 2004), there is a danger that publication bias has been embedded in these archives which may, in turn, affect their subsequent usage in meta-analytic assessments of activation patterns, regional involvement in cognitive systems, comparisons between diagnostic groups, etc. The characterization of publication bias is therefore a necessary and important consideration for the neuroimaging literature and its summary data archives.

In this article, we seek to explore the notion of publication bias, present several analytic means for assessing publication bias from a meta-analytic treatment of study summary information, measure the evidence for publication bias in the neuroimaging literature as contained in a representative archive of study meta-data, and provide a comment on the importance of assessing the potential for publication bias in shared neuroimaging results resources and meta-analyses that use them.

### Assessment of Publication Bias in Neuroimaging

Irrespective of sample size, *per se*, the failure to report non-significant findings in any field of study is typically known as the ‘file-drawer’ effect (Rosenthal 1979). This occurs because authors are less likely to submit, and editors accept, negative results or non-statistically significant findings, causing such studies to go unpublished (‘left in the file drawer’). While this practice might seem reasonable, it can lead to erroneous measures of mean effect sizes when independently combining statistical results from the published literature under meta-analysis (Scargle 2000). In an extreme case the literature may contain only the 5% of studies which obtained a significant  $p < 0.05$  result by chance alone, with the remaining 95% of non-significant studies unavailable for meta-analytic consideration.

Conversely, as noted above, ‘publication bias’ is the tendency to publish only significant results despite having a low sample size. While it can be challenging to determine the true number of non-significant studies that might exist which would render those that are published only representative of chance occurrence (Scargle 2000), a number of useful

statistical techniques for examining publication bias have been proposed. Each method has its own benefits as well as some limitations (Hayashino, Noguchi *et al.* 2005; Kromrey 2006) but can be helpful for examining not only a specific meta-analytic set of studies but also of potential use in evaluating entire databases of published results. Several of the most prominent approaches are described here:

### The Funnel Plot

Publication bias can be examined by visual inspection of a funnel plot (Light and Pillemer 1984). This graphical technique plots the effect size (in our case, Pearson's  $r$  or Cohen's  $d$  values) by sample size, and allows the observer to determine the existence of publication bias by the symmetry or lack thereof in the generated graph. If publication bias is not present, then the points should form a symmetrical inverted funnel around the overall estimate of the effect, with results from smaller studies scattered more widely about the mean effect at the bottom of the graph (see Deeks, Macaskill *et al.* 2005 for examples). If, however, publication bias is present then the graph may be asymmetrical or skewed. A 'classic' asymmetry involves non-publication of insignificant studies which causes gaps in the bottom left-hand corner of the graph and leaves the plot skewed to the right. Though this method is used frequently in the literature, it is a subjective test and is not always interpreted consistently among different observers (Terrin, Schmid *et al.* 2005). While it is a useful tool, it is also necessary to use more systematic tests that have been developed for detection of publication bias.

**Macaskill's Regression**—A method which draws on the idea of the funnel plot more systematically is Macaskill's regression method, also known as the funnel plot regression method (Macaskill, Walter *et al.* 2001). This linear regression model takes the effect size as the dependent variable and sample size as the independent, or predictor, variable. A weighted least squares regression approach is taken and the effect size is weighted by the inverse variance. This method is often employed due to its low false-positive rate and an outcome giving a significant  $p$ -value indicates the presence of publication bias.

**Trim and Fill**—Another metric based on the funnel plot is the trim and fill method (Duval and Tweedie 2000). This is a non-parametric approach which assumes that in addition to the number of published studies ( $n$ ), there are another  $k_0$  studies which have not been reported due to publication bias. This method ranks studies based on the absolute values of their deviations from the mean effect size; ranks of studies with effect sizes smaller than the mean are given a negative sign, and ranks of studies with effect sizes greater than the mean retain a positive sign. Mathematically the ranks are estimated by:

$$r_i = \text{rank}(|a_i - \bar{a}_i|)$$

with a negative sign given to the  $r_i$  where  $a_i < \bar{a}_i$

$\gamma^*$ , the length of the rightmost run of ranks associated with positive values of the observed  $r_i$ , is defined as:

$$\gamma^* = n - r_h$$

where  $n$  = number of studies in the meta-analysis and  $r_h$  is the largest negative rank in the sample. And  $k_0$  is estimated by  $R_0$ , the “rightmost run” estimator, where:

$$R_0 = \gamma^* - 1$$

$R_0$  is the sample estimate of  $k_0$ , the number of studies which have not been reported due to publication bias. Subsequently, publication bias is evident when  $R_0 > 3$ , as outlined by Duval and Tweedie (2000).

**Egger Regression**—This approach also utilizes a linear regression model to estimate funnel plot asymmetry using a standardized measure of effect (e.g. Cohen’s  $d$ ). The treatment effect is standardized by dividing by its standard error and regressed against precision, defined as the inverse of the standard error, as the predictor (Egger, Davey Smith *et al.* 1997). If the resulting value yields a significant  $p$ -value, then this test indicates the presence of publication bias in the collection of studies. While the Egger method has been shown to be highly sensitive with strong statistical power (Sterne, Gavaghan *et al.* 2000), it also tends to have a relatively high false positive rate, although it can be subject to low power when meta-analytically examining results from only small numbers of studies (Peters, Sutton *et al.* 2006).

**Begg’s Rank Correlation**—Begg’s method is an adjusted rank correlation test proposed as a technique for identifying publication bias in a meta-analysis of random-effects study results. The test statistic is a direct statistical analogue of the popular “funnel-graph.” The number of component studies in the meta-analysis, the nature of the selection mechanism, the range of variances of the effect size estimates, and the true underlying effect size are all observed to be influential in determining the power of the test. The test has been shown to be fairly powerful for large meta-analyses ( $n > 75$  studies), but possesses only moderate power for smaller meta-analyses ( $n < 25$  studies) (Begg and Mazumdar 1994). The test must be interpreted with caution in small meta-analyses and bias cannot be ruled out if the test is not significant.

To explore the presence of publication bias in the reported neuroimaging literature, we examined papers described in the BrainMap (<http://www.brainmap.org>) database which is a leading online database of published functional neuroimaging (fMRI and PET) experiments with coordinate-based ( $x, y, z$ ) activation locations in Talairach space (Fox and Lancaster 2002; Laird, Lancaster *et al.* 2005). This resource is particularly valuable for such an examination as each study has been published in peer-reviewed journals and whose articles, in many instances, contain the necessary information concerning the statistical test of interest, its magnitude, and the sample size upon which the statistics were performed. In what follows, we describe our approach to study selection/exclusion from this analysis.

## Methods

To select studies for inclusion, we used the Sleuth program (<http://www.brainmap.org/sleuth/index.html>), the BrainMap application that is used to search for papers of interest and read their corresponding meta-data, to find the appropriate studies for our analysis. To narrow down the range of studies we focused our analysis on studies reporting working memory tasks with activation in the frontal lobe using fMRI; searching on keywords “fMRI”, “working memory”, and “frontal lobe” selected 162 papers from the database. The body of literature on working memory in the frontal lobe is extensive, as it has been a central region of study for many years, yielding a rich collection of published research articles. Though the analysis could have been performed on any and all brain regions of interest, in any cognitive domain, effects of working memory in the frontal lobe are studied extensively by researchers focusing on many different fields, including cognitive neuroscience, psychology, and psychiatry, and are applicable to a wide audience. In addition, focusing the analysis on one extensive brain region, one functional domain, in normal subjects allowed for a less heterogeneous collection of studies. While this sample was not completely homogeneous, it represents well the collection of studies which would be formed when performing any meta-analyses on the published literature.

After examining these papers, 77 were selected that gave both a statistical parametric image (SPI) value and an SPI unit (e.g. Z-statistic or Student’s t-test), so that significance and effect size could be estimated. Of the selected 77, only 74 (Fitzgerald 2008, Kim 2003, & Malhi 2007 were excluded) were included that had a working memory task using fMRI on a normal control population. In studies where there were two or more additional groups being compared to control subjects, we utilized only effects reported for the normal subject sample. Articles listed by BrainMap in which insufficient meta-data information was available about their reported effects these studies were omitted from consideration. The effects reported in the frontal lobe were examined and the highest z or t value reported for frontal lobe activity on Sleuth was recorded. For each study, this peak reported focus of activation was noted to characterize the frontal activity for the given study. Studies will routinely report a list of significant effects, however, it is often this most robust effect which confirms the author’s hypotheses pertaining to cognitively-induced regional activation, forms the motivation for examining subsequent effects, or is the main impetus for the interpretation of the results. From this statistically maximal locus of activity, examining a range of secondary activations and multiple statistical contrasts are then justified by study authors in order to explore ever-more subtle experimental distinctions in activation. In this analysis, secondary effects beyond the maximum test statistic were not considered. Tests of publication bias were examined using these largest reported within study statistical effects alone, under our assumption that this was the generally most appropriate means for the consideration of overall publication bias across studies.

Of 74 papers, 68 showed significant results in frontal lobe regions and 6 recorded working memory tasks with non-significant results in the frontal lobe (Figure 1). We took care not to exclude studies of working memory reported in BrainMap which nevertheless failed to report significant statistical results in the frontal lobes. In most studies, the z-statistic or student’s t-statistic was typically only given for significant effects, as it is uncommon to

report statistically non-significant findings which, in fact, were the motivation for this analysis. Therefore, 6 studies examined a main effect of their working memory task though did not report a test statistic with corresponding MNI or Talairach coordinates. Since these studies were specifically exploring working memory tasks, were reported by BrainMap under those categories, but reported no statistically significant activation in the frontal lobes their effect sizes were set to 0, representing no task associated effect. The true effect sizes could most certainly be higher than this value, but without further details (which were not provided in the published studies and, thus, by BrainMap) there was no way to quantify this except for setting these to null values. We considered this the most conservative approach since all 6 non-significant studies also reported significant secondary tasks in working memory among normal subjects in the frontal lobe, though these were not selected for analysis (Sevostianov et al. 2002; Landau et al. 2004; Malisza et al. 2005; Baumann et al. 2007; Sowell et al. 2007; Shamosh et al. 2008). The z values and t values were converted to a Pearson's r effect size as well as Cohen's d effect size (Cohen 1988), and these were used to examine publication bias. A summary of the studies retained for this analysis is given in Table 1.

## Results

### Pearson's r

Pearson's r measure of effect size was computed in addition to Cohen's d because upon conversion of the z-scores some of the Cohen's d values were found to be particularly large (e.g., Grosbas et al.,  $d=1014.97$ ), leading to a number of extreme outlier values. Analyses were performed on the Cohen's d variable, but the results were also examined both with and without the four main extreme effect size values to avoid having them drive the analysis. Additionally, for a visual assessment of publication bias, we plotted the effect sizes (r or d) against the total sample size (n) for each study and examined the resulting funnel plot. Since it was the most easily viewable effect size metric, we present Pearson's r effect by sample size here for all studies ( $n=74$ ), noting considerable evidence of publication bias even by mere visual inspection of the funnel plot alone (Figure 2). Even when a true effect is present, it is expected that some small studies will show non-significant results due to lack of power, corresponding to points in the lower left portion of the plot, and the absence of such points lends to the conclusion that publication bias is present here.

### Cohen's d

In general, a "small" Cohen's d effect size is between 0.2 and 0.3, "medium" is around 0.5, and "large" is greater than or equal to 0.8 (Cohen 1988). While these terms are relative, they are used in common convention and supply a rough overview of the findings. Of the studies that showed an effect ( $n=68$ ), all had a Cohen's d value of  $>1$ , and were therefore "large". While an arbitrary cut-off, we considered any Cohen's d value greater than the 95th percentile (values  $> 72.9$ ) to be an extreme value since the majority of our data ( $64/68 = 94.1\%$ ) fell within a Cohen's d value where:  $1 < d < 25$ . While we were able to calculate Cohen's d effect sizes from the given t-statistic or z-statistic, the effect size variance was not given for each study in the database and was estimated. Methods examining the Cohen's d effect size with the extreme values included ( $n=74$ , evaluating a total of 1106 subjects)

found statistically significant publication bias using the Egger regression method ( $F=6.7$ ,  $p=0.01$ ), Macaskill's regression method ( $F=12.07$ ,  $p=0.0009$ ), and the Trim and Fill method (both tails,  $R_0>3$ ). Visual inspection of the funnel plot was hindered by the presence of four extreme values, which are plotted here for reference (Figure 3 Inset).

To further examine possible publication bias, we did a second analysis based on Cohen's  $d$  in which the four extreme values were removed (Grosbas 2001,  $d=1014.97$ ; Heide 2001,  $d=455.82$ ; Quintana 2003,  $d=72.9$ ; Ricciardi 2006,  $d=260.05$ ), with publication bias analyses performed on the remaining studies. Methods examining the Cohen's  $d$  effect size without extreme values ( $n=70$ , evaluating the contributions from a total of 1076 subjects) found evidence of statistically significant publication bias using the Egger regression method ( $F=8.17$ ,  $p=0.006$ ), Macaskill's regression method ( $F=9.92$ ,  $p=0.002$ ), the Trim and Fill method (right tail,  $R_0>3$ ), as well as being evident by inspection of the funnel plot (Figure 3). Though we omitted the four extreme observations, the observation that these four points had extreme effect sizes with very small sample sizes (all  $n \leq 10$ ) only strengthen the evidence of publication bias noticeable by an obvious right-tailed bias. As Begg's method is a non-parametric approach specifically designed for assessing random effects tests between distinct groups, it was not employed in our analysis of fixed effects activation studies.

### Structural and Behavioral Domains

We also divided the studies and examined publication bias according to Brodmann Area as well as by cognitive/behavioral domain and found that publication bias was present in all sub-groups. Examination of publication bias by Brodmann Area was performed using the same metrics outlined previously to determine if one or more regions specifically studied in fMRI was driving the overall presence of publication bias. Briefly, the most commonly reported areas were BA6 ( $n=33$ ) and BA9 ( $n=15$ ). Other areas of activity included BA4 ( $n=4$ ), BA8 ( $n=2$ ), BA10 ( $n=3$ ), BA37 ( $n=1$ ), BA45 ( $n=2$ ), BA46 ( $n=4$ ), BA47 ( $n=3$ ), and unreported or non-significant BA ( $n=7$ ) for a total  $N=26$ . Analyses were carried out by examining the presence of publication bias in BA6, BA9, and in the 'other' regions (due to the low numbers of studies reporting these sub-regions). In the examination of each Brodmann's Area sub-group of studies, evidence of publication bias was evident by Egger's method, Macaskill's method, or both, thus, findings of publication bias did not appear to be regionally dependent. In addition to these independent analyses by BA, a multivariate extension of Macaskill's regression was performed across the three regions of interest, both with and without outliers. An overall finding of publication bias was found, once again (with and without outliers  $p<0.0001$ ), and between group differences were examined using pairwise t-tests. No differences were found to be significant between BA6 and BA9 (with outliers  $p=0.5$ , without outliers  $p=0.4$ ), BA9 and BA 'other' (with outliers  $p=0.7$ , without outliers  $p=0.6$ ), or BA6 and BA 'other' (with and without outliers  $p=0.3$ ).

The most common cognitive/behavioral domain (as defined by the Sleuth tool in BrainMap) was a strict working memory task ( $n=55$ ; e.g. the N-back task, etc). Of the remaining 19 studies, there were working memory tasks that focused on perception ( $n=7$ ), emotion ( $n=4$ ), attention ( $n=3$ ), language ( $n=2$ ), interoception ( $n=1$ ), reasoning ( $n=1$ ), and space ( $n=1$ ). Analyses were performed examining the strict working memory task compared with the



‘other’ tasks (again, due to low sample sizes in each domain). While these domains did not differ with respect to the presence of publication bias when examined individually, it was of interest to note that three out of the four reported extreme Cohen’s  $d$  values were from the perception domain. The multivariate Macaskill regression also showed that there was an overall presence of publication bias ( $p < 0.0001$  both with and without outliers), but that there were no differences between the two groups with respect to the presence of publication bias (with and without outliers  $p = 0.4$ ). Since these results did not differ from our overall findings, there appeared to be no systematically different bias based on functional domain. It appears that the presence of publication bias is not restricted by sub-region of the brain or cognitive/behavioral paradigm but is likely to be broadly present across the literature.

## Discussion

Evidence of publication bias was observed in this body of literature using a number of different statistical techniques and examining two different metrics of effect size. However, in certain instances the bias is so prominent that it is clearly evident when simply graphing data via the funnel plot. While we specifically focused our examination at fMRI activation in the frontal lobe during a working memory task in normal subjects, this region and functional domain were chosen arbitrarily from all available brain regions and cognitive domains. However, the findings reported here are likely to be characteristic of the functional imaging literature as a whole. With such small sample sizes per study, we would expect that there should exist a large number of negative (i.e. supporting the null hypothesis) or non-significant findings due to a lack of power alone. Since we did not restrict our analysis by age (groups ranged from children to seniors, minimum age 7, maximum age  $>61$ ), one might further expect more studies with inconclusive findings in children since their patterns of activation tend to vary much more widely than adults (Thomason, Burrows *et al.* 2005; Thomason, Chang *et al.* 2008). Further work might be done to examine such hypotheses in detail.

Though publication bias appears to exist in the published cognitive activation literature assessed here, the importance of openly accessible data repositories should not be overshadowed by this outcome. With the amount of available results rapidly growing each year, the creation of BrainMap as a universal coordinate database for functional neuroimaging is both necessary and important (Fox, Mikiten *et al.* 1994; Laird, Lancaster *et al.* 2005). The ability to store and share meta-data for analysis, especially in the functional imaging field, is invaluable and having a way to then easily access this information is even more essential.

Since there may be inherent publication bias present in archives of neuroimaging study summary data, however, it is important to be aware of this possibility, and apply appropriate consideration to account for this when performing meta-analyses using these resources. Though we used the trim and fill method as a diagnostic to measure the presence or absence of publication bias, this method can be implemented in a way to account and adjust for publication bias in the literature (it “fills in” the *missing* non-significant studies presumed to be absent from the published literature) (Duval and Tweedie 2000). Other techniques estimate the minimum number of non-significant, unpublished studies (i.e. those with null

results). This number of “filed” studies, or the tolerance for future null results, is evaluated to test for whether the effect detected by meta-analysis would be overturned if only a few more non-significant studies were added (Rosenthal 1979). If this estimated number is small, often called the “fail-safe file drawer” estimate, then the findings in the meta-analysis are not robust enough, and not resistant to the file drawer threat.

### **Publication Bias and Reports of Inflated Correlations between fMRI Activity and Experimental Variables**

Important concerns about the reporting of extremely high correlations in fMRI studies have recently been highlighted in the literature. Vul et al. (2009) examined how non-independent region of interest (ROI) analyses (where the correlations are both the selection criteria and the secondary statistics) inflate the correlations presented in functional imaging research, also known as non-independence or circular analysis. While the specific claims of the Vul et al. article have been hotly contested (Lieberman, Berkman *et al.* 2009), and the claim that many of the reported correlations were “impossibly high” may have been overstated (Poldrack and Mumford 2009), the study highlighted important issues in the functional imaging literature and the need for stringent and robust statistical techniques when analyzing data and reporting results. In particular, multiple comparison corrections should be utilized so that false-positive results based on chance are accounted for. However, tasks in working memory are stimulus-response driven and do not tend to suffer from non-independent ROI analysis, since behavior and survey data are not examined alongside BOLD activation (so are therefore “blinded” to subject performance). Vul et al.’s criticisms were restricted only to studies reporting linear correlations between regional fMRI activity and a behavioral or personality measure. Related to selecting bias, Yarkoni (2009) has described the effects that low sample size and power can have on the inflation of reported correlations, which is closely related to the type of publication bias tested for here. Clearly, the identification and reporting of inflated correlation results with a low sample size is a likely contributor to publication bias. Collectively, these biases are likely part of a family of potential biases that can affect observed statistical results, and testing for the effect of publication bias in meta-analyses provides a further tool to analyze various bias components.

### **Potential Limitations in Our Analysis**

One potential criticism of our approach to assessing publication bias in neuroimaging is that the use of the BrainMap database may have limited the number and type of studies examined in this analysis. The BrainMap database does not index every neuroimaging study appearing in the literature (Derrfuss and Mar 2009) - capturing only about 20% of the studies listed in PubMed in any given year. This might be taken to mean that the results obtained here are not reflective of the entire neuroimaging literature on frontal lobe activity or any other sample of studies drawn from this resource. This might suggest that our assessment of bias is itself biased toward only those studies contained in BrainMap. The entry of information into BrainMap occurs both through voluntary upload using the BrainMap Scribe tool or occurs through the activities of BrainMap curatorial staff. We are unaware that studies are filtered in any way according to task domain, brain region, sample size, level of effect size, etc – the criterion for entry is that they simply appeared in the peer-reviewed published literature. So conversely, if evidence exists for publication bias in this

sample of the literature as indexed into this resource, it could be equally argued that perhaps the issue of publication bias is actually much more wide spread than this analysis indicates.

We might have also chosen other archives from which to draw the results data to assess publication more widely in the literature, such as SumsDB (Van Essen 2009) – an activation foci coordinate database similar to BrainMap. Sums DB captures a slightly smaller percentage of published articles than BrainMap and likely contains many of the same studies and accompanying summary data. Still other archives may not contain lists of activation foci but may contain results maps or the raw data itself (e.g. the OpenfMRI Project, <http://openfmri.org>), may not have sufficient numbers of samples, or may necessitate additional, labor intensive data processing to extract the relevant sets of activation coordinates. In focusing on the BrainMap archive, however, we sought to note what would likely be present in any particular archive of published results available from such archives that others may use to perform other forms of coordinate-driven meta-analytic assessment. BrainMap, in particular, has been used to conduct such meta-analyses previously (Fox, Laird *et al.* 2005; Laird, Lancaster *et al.* 2005; Laird, Eickhoff *et al.* 2009) and can be expected to continue that role in future.

We do not wish to suggest that the BrainMap database, or any similar archive, is itself flawed in any way or that any specific cautions are needed in using the information contained therein beyond the consideration of reported effect sizes relative to sample sizes. On the contrary, the BrainMap database is ideally suited for examining publication bias because it specifically focuses on published activation foci results in the form of Talairach or MNI coordinates. The curators of this archive are to be commended for thoroughly and accurately presenting the types of study summary data that permit meta-analytic examinations such as these.

Indeed, we believe that publication bias may be evident in similar results data contained in many other neuroimaging archives containing the results from peer-reviewed articles. This article, however, focused on the most available and economical resource for testing for the presence of publication bias. We advise that careful examination of other archives by their curators should be undertaken to measure the degree of potential bias in publication across the collection of articles and these results made open for users to take into consideration.

We hope that by illuminating this potential issue future meta-analyses can test and account for publication bias consistently and systematically. While such tests are commonly applied to meta-analyses of epidemiological studies (Bracken 2005) and studies examining cancer causing agents (Vandenbroucke 1988), to our knowledge such methods have not been used consistently in biological studies, especially in neuroimaging and neuroscience, generally. Ours is the first such examination. Further research into the extent of publication bias in neuroimaging is likely necessary on an archive-by-archive basis to determine mitigating factors such as pressure to publish, requirements for funding, year of study effects (Van Horn and McManus 1992), the number of co-authors, among other potential variables that might give rise to such bias.

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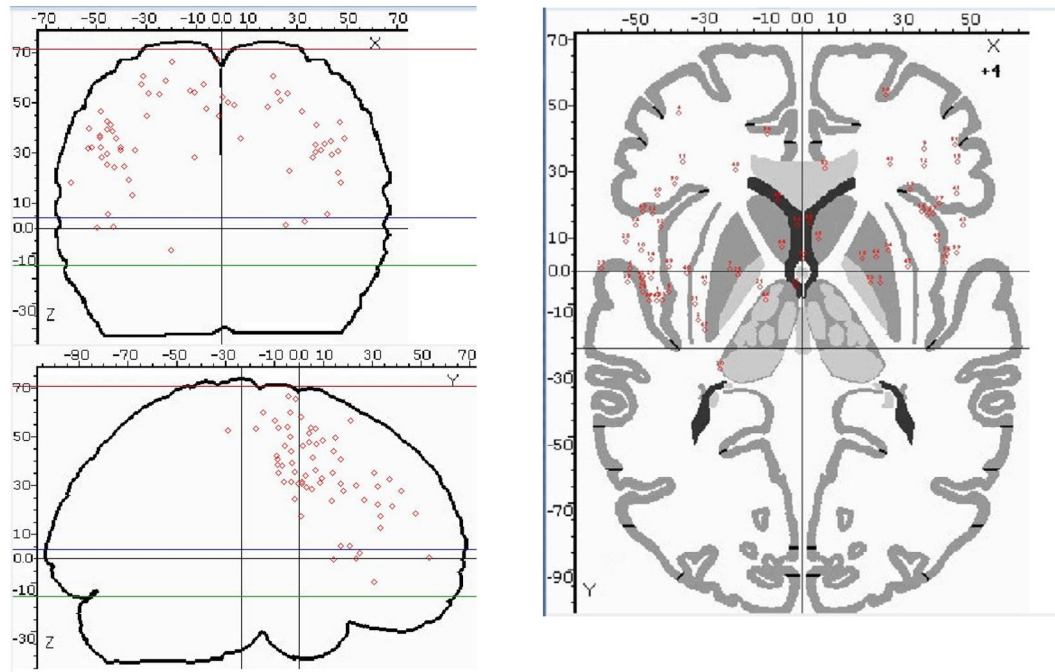
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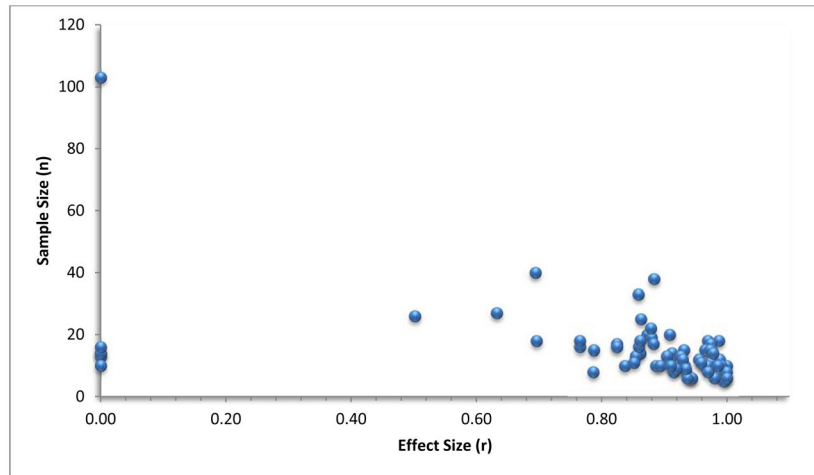
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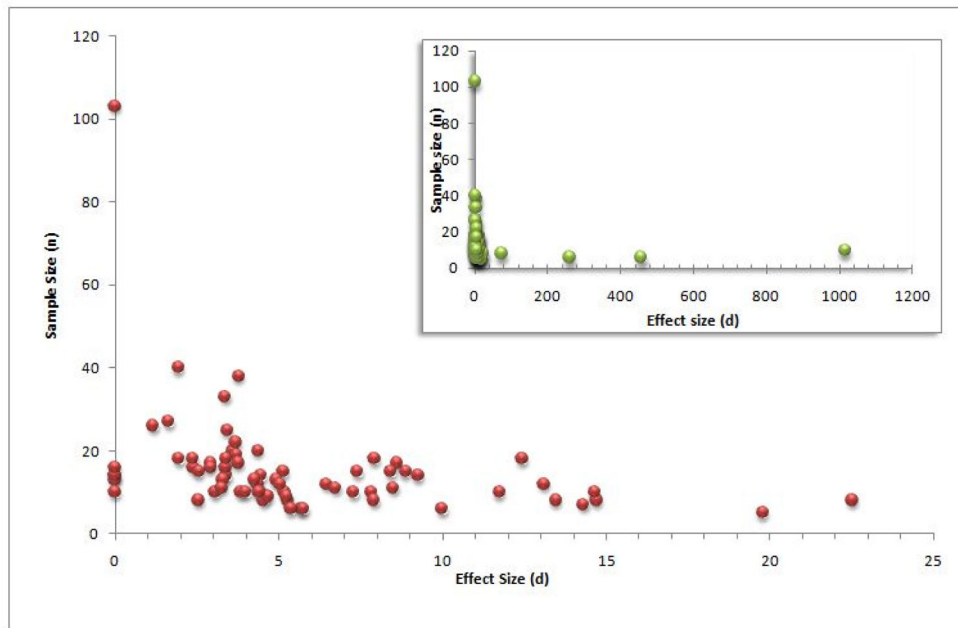
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**Figure 1.** Results for studies, plotted on a standard glass brain in Talairach space using BrainMap, showing each reported study local maxima located in the frontal lobes (n=68).



**Figure 2.** Funnel plot of Pearson's  $r$  by sample size for each study ( $n=74$ ). This funnel plot shows the 'classic' funnel plot asymmetry, with small, non-significant studies absent in the available research.



**Figure 3.** Funnel plot of Cohen's  $d$  by sample size for studies without extreme values ( $n=70$ ). While a 'large' Cohen's  $d$  value is usually  $d > 0.8$ , most of our values are between 1 and 25, with funnel plot asymmetry due to the heavy right-tail evident here. Figure 3 Inset: Funnel plot of Cohen's  $d$  by sample size for each study ( $n=74$ ), showing the four extreme outlier values.

Table 1

First Author	Year	Sample Size (n)	Percent Male	Age mean (min, max)	MINI (x, y, z)	Talairach (x, y, z)	SPI value	SPI unit	r	d	g	Brodmann Area
Altamura	2007	18	0.61	27	(7, 17, 48)	(4.9, 9.9, 48.5)	8	z	0.99	12.43	12.08	6
Audoin	2005	18	.	25	(-19, 10, 63)	(-19.6, -1.1, 65.9)	4	t	0.70	1.94	1.89	6
Baumann	2007	13	0.54	25 (20, 28)	.	.	.	.	0	0	0	.
Bedwell	2005	14	0.57	29 (22, 40)	(-55, 4, 37)	(-52.9, -3, 39.5)	8.03	t	0.91	4.45	4.29	6
Beneventi	2007	12	0.50	(21, 29)	(0, 21, 43)	(-1.5, 14.1, 44.3)	6.53	z	0.99	13.10	12.54	6
Braver	1997	8	0.75	(18, 25)	(37, 37, 33)	(37, 37, 33)	5.24	z	0.99	13.46	12.59	9
Breitenstein	2005	14	0.57	(19, 26)	(36, 27, -6)	(32.8, 24.7, 2.2)	4.31	z	0.86	3.39	3.27	47
Bunge	2001	16	0.81	27 (18, 40)	(-42, -2, 32)	(-40.3, -6.2, 31.8)	3.76	z	0.77	2.38	2.31	6
Cabeza	2002	20	0.65	23	(-45, 0, 36)	(-43.6, -6.7, 38.1)	7.8	t	0.87	3.58	3.49	6
Cairo	2004	18	0.44	28 (18, 35)	(-12, 2, 56)	(-12.8, -4.7, 54.1)	7.02	z	0.97	7.92	7.70	6
Caldwell	2005	8	1.00	36 (30, 43)	(-48, 20, 0)	(-45.4, 17.1, 5.1)	19.46	t	0.99	14.71	13.76	45
Chang	2004	10	1.00	14 (10, 17)	(4, 24, 44)	(2.2, 14.9, 49.9)	4.37	z	0.93	5.17	4.90	8
Chen	2004	8	1.00	28	(-50, 0, 32)	(-48.1, -3.4, 31.8)	6	t	0.91	4.54	4.24	6
Cohen	1994	12	0.58	(20, 29)	(-36, 33, 13)	(-36, 33, 13)	7.2	t	0.91	4.34	4.16	46
Cohen	1997	10	0.50	(18, 34)	(37, 32, 30)	(37, 32, 30)	3.82	z	0.89	3.84	3.65	9
Cross	2007	27	0.59	21	(-10, -2, 56)	(-10.9, -8.5, 53.7)	4.16	t	0.63	1.63	1.60	6
Deckersbach	2008	17	0.00	26	(-46, -4, 42)	(-44.1, -8.9, 40.5)	4.39	z	0.82	2.91	2.82	4
Desmond	2003	13	1.00	56	(0, 7, 64)	(-1.8, -4.2, 66.8)	4.07	z	0.85	3.28	3.16	6
Dohnel	2008	16	0.50	61	(9, 38, 31)	(7, 30.9, 35.3)	4.61	z	0.86	3.37	3.26	6
Dolcos	2006	15	0.00	22 (18, 31)	(46, 38, 22)	(46, 38, 22)	9.6	t	0.93	5.13	4.96	46
Frangou	2008	7	0.29	39	(52, 12, 40)	(46.7, 5.7, 41.6)	17.52	t	0.99	14.31	13.24	6
Grosbras	2001	10	0.00	(22, 30)	(-32, -8, 64)	(-31.4, -14.6, 60)	10.63	z	0.999	1014.97	962.89	6
Gruber	2010	18	0.39	34	(-48, -4, 44)	(-46, -9.1, 42.3)	7	t	0.86	3.40	3.30	4
Hamilton	2009	38	0.61	33 (18, 64)	(50, 28, 28)	(46.3, 23.5, 30.4)	7.62	z	0.88	3.77	3.72	9
Harvey	2005	10	0.50	29 (18, 42)	(-45, 18, 21)	(-42.9, 13.4, 23.7)	10.91	t	0.96	7.27	6.90	10
Hautzel	2002	17	1.00	26	(-48, 8, 28)	(-45.8, 3.5, 29.1)	17.19	t	0.97	8.60	8.34	9
Heide	2001	6	.	(27, 41)	(-48, -4, 40)	(-45.9, -8.8, 38.7)	7.39	z	0.999	455.82	416.10	6

First Author	Year	Sample Size (n)	Percent Male	Age mean (min, max)	MINI (x, y, z)	Talairach (x, y, z)	SPI value	SPI unit	r	d	g	Brodmann Area
Jeong	2005	10	0.20	30 (16, 45)	(-27, -21, 54)	(-25, -29, 4, 52.8)	3.38	z	0.84	3.06	2.90	4
Johnson	2006	18	0.83	37	(-50, 2, 44)	(-48.3, -5.9, 46)	4.9	t	0.77	2.38	2.31	4
Kanayama	2004	10	0.60	28	(54, 20, 32)	(48.6, 13.8, 35.2)	6.66	t	0.91	4.44	4.21	9
Kirschen	2005	16	0.44	25	(-63.4, 4.6, 14.5)	(-60.5, 0.9, 17.7)	4.25	z	0.82	2.91	2.82	6
Koch	2007	13	0.62	27	(42, 25, 34)	(37.8, 17, 41)	8.51	t	0.93	4.91	4.72	8
Koch	2007	40	0.53	31.5	(-6, 30, 56)	(-7.2, 21.3, 56.6)	6.02	t	0.69	1.93	1.90	6
Koppelstaetter	2008	15	1.00	(25, 47)	(-40, 32, 17)	(-38.6, 26.6, 24.3)	16.61	t	0.98	8.88	8.58	46
Koshino	2008	11	0.91	29 (18, 40)	(-36, 4, 30)	(-34.7, -0.5, 30.7)	13.44	t	0.97	8.50	8.10	6
Kumari	2006	13	1.00	33 (18, 55)	(36, 8, 46)	(31.8, 1.5, 46.4)	7.34	t	0.90	4.24	4.07	6
Lagopoulos	2007	10	0.00	32 (20, 54)	(46, 24, -2)	(41.6, 20.6, 5.1)	6.07	z	0.99	14.64	13.89	45
Landau	2004	10	.	(22, 27)	.	.	.	.	0	0	0	.
LoPresti	2008	19	0.32	23 (20, 26)	(-46, 26, -6)	(-43.5, 23.2, 0.3)	7.84	t	0.88	3.70	3.60	47
Malisza	2005	14	0.57	.	.	.	.	.	0	0	0	.
Matsuo	2007	15	0.40	38	(-30, 0, 46)	(-29.6, -3.4, 44.5)	6.23	z	0.97	7.39	7.14	6
Meisenzahl	2006	12	0.92	34 (22, 48)	(48, 11, 23)	(43.6, 5.2, 28.5)	8.34	t	0.93	5.03	4.82	9
Mendrek	2004	8	0.75	28	(48, 8, 32)	(34, 2.7, 34.1)	5.88	z	0.99	22.52	21.06	6
Mendrek	2005	12	0.75	28	(-44, -4, 36)	(-42.2, -8.4, 35.2)	5.27	z	0.96	6.46	6.18	6
Mu	2005	10	1.00	28	(-56, 14, 30)	(-53.2, 8.9, 31.3)	11.72	t	0.97	7.81	7.41	9
Mu	2005	33	1.00	29 (18, 45)	(-48, 2, 24)	(-45.7, -1.8, 25)	9.47	t	0.86	3.35	3.30	9
Neuner	2007	15	1.00	33	(-42, 6, 30)	(-40.2, 1.4, 30.8)	6.5	z	0.97	8.42	8.13	6
Nystrom	2000	8	0.75	22 (18, 25)	(47, 33, 18)	(47, 33, 18)	2.65	z	0.79	2.55	2.38	46
Petit	1998	5	1.00	(21, 27)	(18, 4, 48)	(18, 4, 48)	4.3	z	0.99	19.78	17.69	6
Pochon	2001	8	0.50	(20, 25)	(27, 3, 51)	(23.4, -3.5, 50.3)	3.88	z	0.94	5.28	4.94	6
Pochon	2002	6	0.67	(18, 30)	(-39, 54, 12)	(-37.1, 47.7, 19)	6.35	t	0.94	5.68	5.18	10
Prado	2007	20	0.35	21 (19, 26)	(45, 16, 27)	(40.7, 9.4, 33.1)	5.89	z	0.91	4.36	4.25	9
Quintana	2003	8	0.75	29	(-50, 14, 0)	(-50, 14, 0)	7.14	z	0.999	72.90	68.20	.
Ragland	2002	11	0.55	32 (21, 53)	(36, 18, 28)	(36, 18, 28)	5.1	z	0.96	6.73	6.42	9
Ragland	2004	15	0.60	28	(-48, 18, 28)	(-48, 18, 28)	3.8	z	0.79	2.56	2.47	9
Ricciardi	2006	6	1.00	28	(0, 4, 52)	(0, 4, 52)	7	z	0.999	260.05	237.39	6

First Author	Year	Sample Size (n)	Percent Male	Age mean (min, max)	MNI (x, y, z)	Talairach (x, y, z)	SPI value	SPI unit	r	d	g	Brodmann Area
Rowe	2000	6	0.83	(24, 34)	(-22, 8, 60)	(-22.1, 0.6, 58)	6.42	t	0.94	5.74	5.24	6
Rypma	1999	6	0.33	25	(-52, 1, 32)	(-52, 1, 32)	3.28	z	0.94	5.37	4.90	6
Rypma	2001	6	0.33	25 (22, 29)	(25, 53, 1)	(25, 53, 1)	4.07	z	0.98	9.98	9.11	10
Sanchez-Carrion	2008	14	0.79	24	(24, 4, 62)	(20.5, -3.6, 60.1)	16.67	t	0.98	9.25	8.91	6
Schmidt	2009	25	1.00	34	(26, 12, 54)	(22.4, 4.6, 53.7)	8.37	t	0.86	3.42	3.35	6
Sevostianov	2002	14	0.57	(19, 44)	.	.	.	.	0	0	0	.
Shamosh	2008	103	0.43	23 (18, 40)	.	.	.	.	0	0	0	.
Sheridan	2007	10	0.00	15 (12, 17)	(30, 38, 16)	(26.6, 32.1, 22.2)	5.97	t	0.89	3.98	3.78	9
Shikata	2003	9	0.56	27	(-30, -12, 57)	(-29.5, -17.8, 53.4)	4.14	z	0.93	5.24	4.94	6
Simmons	2005	9	0.33	(18, 45)	(-21, 33, -18)	(-20.2, 30.6, -9.4)	6.6	t	0.92	4.67	4.40	47
Smith	2006	10	0.00	57 (50, 60)	(-5, 14, 47)	(-6.2, 7.2, 47.2)	5.74	z	0.99	11.73	11.13	37
Sowell	2007	16	0.4375	11 (7, 15)	.	.	.	.	0	0	0	.
Tan	2006	26	0.69	32	(44, 23, 27)	(39.4, 17.1, 30.9)	2.81	z	0.50	1.16	1.14	9
Veltman	2003	22	0.32	23	(-51, 12, 36)	(-48.6, 6.5, 36.5)	5.7	z	0.88	3.68	3.60	9
Vinogradov	2008	8	0.50	28 (25, 33)	(-10, 48, 18)	(-10.5, 41.5, 28)	4.5	z	0.97	7.90	7.39	9
Volle	2005	11	0.64	28 (22, 34)	(-33, -3, 60)	(-32.3, -9.6, 56.9)	3.69	z	0.85	3.25	3.10	6
Walter	2007	17	.	27	(-51, 3, 36)	(-48.6, -1.9, 35.7)	5.04	z	0.88	3.76	3.65	6
Yoo	2005	10	0.80	23 (20, 30)	(30, 14, 53)	(26.2, 6.5, 53.1)	4.07	z	0.91	4.39	4.17	6

Descriptive statistics of each study (n=74), the corresponding SPI value, unit, and corresponding Pearson's r (used for plotting), and Cohen's d value used to assess publication bias. Hedge's g estimates are provided for reference.