

Population pharmacokinetics of ampicillin and sulbactam in patients with community-acquired pneumonia: evaluation of the impact of renal impairment

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WHAT IS ALREADY KNOWN ABOUT THIS SUBJECT

- The dose of ampicillin/sulbactam (2:1) up to 12 g daily is approved in many countries around the world, but until recently labelling in Japan had restricted dosing to 6 g daily.
- Recently the efficacy and tolerability of the higher 12 g daily dose have been demonstrated in Japanese patients with moderate or severe community-acquired pneumonia.
- The pharmacokinetic profile for ampicillin/sulbactam in healthy and renally impaired subjects has been well characterized and recommended dose adjustments due to impaired renal function have been established.

WHAT THIS STUDY ADDS

- A joint population analysis of ampicillin and sulbactam in Japanese patients with moderate or severe community-acquired pneumonia receiving a high dose (12 g daily) has been performed to investigate the factors influencing the pharmacokinetics in this population.
- This study supports the clinical efficacy of the four times daily regimen (12 g daily) demonstrated in the clinical trial using relationships between the pharmacokinetics and minimum inhibitory concentrations and adds evidence for adequacy of the dose adjustment by renal function.

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AIMS

The aims of this study were to develop a population pharmacokinetic (PK) model of ampicillin and sulbactam, to identify patient characteristics influencing the PK, and to explore the relationship between dose regimen and degree of renal impairment with exposure and time above minimum inhibitory concentration (MIC).

METHODS

This analysis was performed on PK data for ampicillin and sulbactam and MIC data from a clinical trial in Japanese patients with community acquired pneumonia. Simulations were performed to investigate the effects of different dosing intervals on exposure and time above MIC in various degrees of renal impairment.

RESULTS

The plasma concentrations from 47 patients were adequately described by a two compartment model with simultaneous fit of ampicillin and sulbactam PK data, where creatinine clearance on clearance and body weight on volume in the peripheral compartment were identified as covariates for both drugs. Creatinine clearance contributed to reducing inter-individual variability of clearance by 16%. Mean clearance (inter-individual variability) for ampicillin and sulbactam was estimated to be 10.7 l h⁻¹ (14.8%) and 10.4 l h⁻¹ (15.2%), respectively. The time above MIC for each pathogen was generally > 50% of the treatment period. Simulations for exposure and time above MIC supported currently recommended dose adjustments.

CONCLUSIONS

This study provided a PK model for ampicillin and sulbactam, the time above MICs for identified pathogens and associated simulation results. These findings provide useful information and augment evidence for the established dosage regimens in patients with various degrees of renal impairment.

Introduction

Unasyn® is an injectable antimicrobial combination, which contains ampicillin sodium, a β -lactam antibiotic, and sulbactam sodium, a β -lactamase inhibitor, in a dose ratio of 2:1. Ampicillin has potent activity against gram-positive bacteria and gram-negative bacteria such as *Escherichia coli*, *Proteus mirabilis* and *Hemophilus influenzae*. Sulbactam extends the antibacterial spectrum to *Proteus vulgaris*, *Acinetobacter species* and *Bacteroides species* [1, 2]. The injectable antimicrobial combination has been marketed in over 60 countries, and prescribed for the treatment of various types of infections such as upper and lower respiratory tract infection, renal and urinary tract infection, intraperitoneal infection, genital infection including gonorrhoeal infection, skin and soft tissue infection, and for prophylactic administration during intraperitoneal surgery.

Ampicillin and sulbactam have been shown to have similar pharmacokinetics (PK) with extensive distribution in the extracellular fluids and tissues being achieved for both drugs [3]. Ampicillin has been found to be approximately 28% reversibly bound to human serum protein and sulbactam approximately 38% reversibly bound [4]. Both drugs are primarily eliminated in urine, principally via glomerular filtration and tubular excretion [3–5]. The half-lives of ampicillin and sulbactam are approximately 1 h in healthy subjects [3, 6] and 1.6–3.7 h in subjects with abnormal renal function [7]. In patients with impairment of renal function, it is recommended to administer the combination drug less frequently depending on their renal function [1].

Antimicrobial activity of β -lactam antibiotics, including ampicillin, is known to be dependent on the time the plasma drug concentrations remain above a minimum inhibitory concentration (MIC) to the antimicrobial agent [8–10]. Therefore, it is considered important to choose an appropriate dose and dosing interval that covers the required time above MIC and reduces unnecessary over exposure. The recommended dosage of ampicillin/sulbactam in adult patients with normal renal function is 1.5 to 3 g every 6 h in the US [1]. The high dose (12 g daily) is also allowed in many countries including Germany, France, China, Taiwan and South Korea depending on the severity of the infection.

In Japan, the injectable combination was approved in 1994 for indicated bacterial strains of *Staphylococcus genus*, *Escherichia coli*, *Proteus genus* and *Haemophilus influenzae*, and for indications of pneumonia, pulmonary abscess, peritonitis and cystitis. Until recently, the recommended dosage in adults for pneumonia, pulmonary abscess and peritonitis had been 3 g twice daily, which was lower than the approved high dose in other countries. Recently, a clinical trial was conducted in Japanese patients with moderate or severe community-acquired pneumonia (CAP) to confirm the efficacy and safety of the

high dose administration (3 g four times daily) [11]. Based on the result, the high dose was approved in 2012. While the PK profile for ampicillin/sulbactam in healthy and renally impaired subjects is well known [3–6, 12], there is no published account of high dose ampicillin/sulbactam PK in Japanese patients with CAP.

In this paper, we present the population PK analysis of the data from this clinical study using a simultaneous fit of ampicillin and sulbactam and a covariate analysis to identify patient characteristics influencing the PK. The time above MICs across the range of common pathogenic bacteria identified from these patients are explored and simulations are conducted to examine the impact of renal impairment on the choice of dose regimen.

Methods

Clinical studies and assay methods

This analysis was performed on the PK and MIC data from a multicentre, open label, non-comparative clinical trial in Japanese patients with CAP [11]. In brief, patients eligible for the trial were 16 years or older, diagnosed with moderate or severe CAP and requiring in-hospital treatment with antimicrobial agents. Patients with severe renal impairment defined as $CL_{cr} < 30 \text{ ml min}^{-1}$ were not allowed to enter the trial. The primary outcomes, % clinical response rate (95% CI) at the end of treatment, the test of cure (7 days after the end of treatment) and follow-up (7 days after the test of cure) were 97.4% (86.5, 99.9), 94.6% (81.8, 99.3) and 94.4% (81.3, 99.3), respectively [11]. Based on the study results, clinical efficacy of the high regimen was demonstrated and the regimen was approved in Japan. In this clinical trial, population PK analysis was planned and performed to support the results of the clinical efficacy using the PK–pharmacodynamic (PD) relationships and to help explain relationships between renal function and exposure. The results of this analysis contributed by adding consistent evidence to what it is known about ampicillin/sulbactam PK. In this clinical trial, prospective approval of the clinical trial protocol was obtained by the independent ethics committees and institutional review boards for all study sites. The protocol was submitted to the regulatory agency of Japan, Pharmaceutical and Medical Device Agency (PMDA) in advance. The study was conducted in accordance with GCP principles. Informed consent was obtained from all the subjects in compliance with GCP and the related requirements. The clinical trial was posted on ClinicalTrials.gov (ClinicalTrials.gov Identifier: NCT01189487).

Patients who participated in the clinical trial received 30 min intravenous infusions of 3 g ampicillin/sulbactam (2:1 g) every 6 h (four times daily) for 3 days or up to 14 days depending on each patient's condition. During the treatment period, four to five blood samples were taken from each patient to measure plasma ampicillin and

sulbactam concentrations simultaneously. Guidance was provided in order for two or three blood samples to be taken within the expected distribution phase (0 to 2.5 h post-dose), with the others being taken within the expected elimination phase (2.5 to 6 h post-dose). There was no restriction placed on the timing of the samples relative to the start of treatment or clock time.

Plasma samples were analyzed for ampicillin and sulbactam using a validated liquid chromatography/tandem mass spectrometry (LC-MS/MS) method at SGS Cephac Europe. Plasma samples were extracted with acetonitrile after adding internal standards, ampicillin D5 and sulbactam D2. The supernatant was injected onto an API-4000 LC-MS/MS system (column: Kinetex PFP, mobile phase: an aqueous acetonitrile solution with formic acid). The precision (CV) was $\leq 6.99\%$ for ampicillin and $\leq 6.19\%$ for sulbactam. The accuracy ranged from -3.10% to 1.07% for ampicillin and -2.40% to 3.20% for sulbactam. The lower and higher limits of quantification for both analytes were $0.100 \mu\text{g ml}^{-1}$ and $50.0 \mu\text{g ml}^{-1}$, respectively.

To identify individual pathogens and determine their MICs, sputum was collected from each patient before treatment. Micro-organisms in the specimen were isolated and grown in culture, and then identified [13]. The MIC for ampicillin/sulbactam was determined for identified micro-organisms by dilution antimicrobial susceptibility tests based on consensus processes [14]. All the tests were performed by Mitsubishi Chemical Medience Corporation.

Population pharmacokinetic analysis

The PK of both ampicillin and sulbactam were previously described by a two compartment model [3–6, 12]. The parameterization with clearance (CL), central volume (V1), inter-compartment clearance (Q), and peripheral volume (V2) was used, though the other models such as one and three compartment models were also tested in advance. Given the prior knowledge of the renal clearance, creatinine clearance (CL_{cr}) based on the Cockcroft & Gault equation [15] was tested as a covariate of CL as a part of base model development. A structural PK model of each drug was developed independently. However the simultaneous fit of the concentration data of both drugs was evaluated by the use of the L2 data item in NONMEM [16].

Covariate model

Covariates of interest were tested to develop the final model. Covariates tested were body weight, age, gender, γ -GTP, AST and ALT for CL and body weight and gender for volume of distribution. Since the objective of the covariate modelling was to identify covariates that accounted for unexplained inter-individual variability in the PK parameters, the inclusion of covariates was to be evaluated only for parameters incorporating inter-individual variability.

Continuous variables were tested using a power model. Using the example of CL, the model is expressed as

follows:

$$CL_{i(k)} = CL_{(k)} \times \left(\frac{x_i}{\text{median}(x)} \right)^{\theta_x}$$

where $CL_{i(k)}$ represents the model predicted PK parameter for analyte k (1 for ampicillin, 2 for sulbactam) for the 'typical' individual i with covariate x_i . The $CL_{(k)}$ represents the population central tendency for the individual $CL_{i(k)}$. The $\text{median}(x)$ represents the median value for the covariate in the subjects studied and θ_x represents a scale factor.

The categorical covariates were modelled using the general equation:

$$CL_{i(k)} = CL_{(k)} \times \text{COV}$$

where $\text{COV} = 1 + \theta_x$ for test group and $\text{COV} = 1$ for reference group.

The stepwise covariate modelling was done using an automated procedure of Perl-speaks-NONMEM [17]. The automated procedure involved stepwise testing of relationships in a forwards inclusion (ΔOFV of 3.84, $P < 0.05$, d.f. = 1) and backwards exclusion (ΔOFV of 6.63, $P < 0.01$, d.f. = 1) procedure. Clinical relevance of the relationship was also considered.

Pharmacokinetic error model

Inter-individual variability in the PK parameters was modelled using multiplicative exponential random effects. For example, CL for the i th individual for analyte k (1 for ampicillin, 2 for sulbactam), $CL_{i(k)}$, has the following form:

$$CL_{i(k)} = CL_{(k)} \times e^{\eta_{CLi(k)}}$$

where $CL_{(k)}$ is the typical individual (population mean) value of the parameter and $\eta_{CLi(k)}$ denotes the individual random effect with mean zero and variance $\omega_{CL(k)}^2$.

When the structural PK model of each drug was developed independently, correlation structure for inter-individual random effects (Ω) was investigated for each analyte. For example, inter-individual random effects for CL and V2 for ampicillin ($k = 1$), $\eta_{CLi(1)}$ and $\eta_{V2i(1)}$, have the following variance-covariance matrix:

$$\Omega = \begin{pmatrix} \omega_{CL(1)}^2 & \omega_{CL(1) \times V2(1)} \\ \omega_{CL(1) \times V2(1)} & \omega_{V2(1)}^2 \end{pmatrix}$$

where $\omega_{CL(1) \times V2(1)}$ represents covariance for random effects of CL and V2 for ampicillin.

When structural PK models of both drugs were developed simultaneously, correlation structure for

inter-individual random effects of both drugs were additionally investigated. For example, inter-individual random effects for CL of ampicillin and sulbactam, $\eta_{CLi(1)}$ and $\eta_{CLi(2)}$, have the following variance-covariance matrix:

$$\Omega = \begin{pmatrix} \omega_{CL(1)}^2 & \omega_{CL(1) \times CL(2)} \\ \omega_{CL(1) \times CL(2)} & \omega_{CL(2)}^2 \end{pmatrix}$$

where $\omega_{CL(1) \times CL(2)}$ represents covariance for random effects of CL for ampicillin and sulbactam.

Intra-individual variability was modelled by the log transform both sides approach with an additive error model. An observed plasma concentration for the i th individual at time t_{ij} for analyte k , $Y_{ij(k)}$, was specified by:

$$\log Y_{ij(k)} = \log F_{ij(k)} + \varepsilon_{ij(k)}$$

where $F_{ij(k)}$ represents a compartment model for analyte k . The error terms $\varepsilon_{ij(1)}$ and $\varepsilon_{ij(2)}$ were assumed to follow independently a normal distribution with mean zero and common variance $\sigma_{(1)}^2$ and $\sigma_{(2)}^2$, respectively. When analyzing the data of both drugs simultaneously with the L2 data item, the error vector $\varepsilon_{ij} = \{\varepsilon_{ij(1)}, \varepsilon_{ij(2)}\}$ formed a variance-covariance matrix Σ .

$$\Sigma = \begin{pmatrix} \sigma_{(1)}^2 & \sigma_{(1) \times (2)} \\ \sigma_{(1) \times (2)} & \sigma_{(2)}^2 \end{pmatrix}$$

In the case of different time points or different individuals, the $\varepsilon_{ij(1)}$ and $\varepsilon_{i'j'(2)}$ ($i \neq i'$ or $j \neq j'$) were assumed to be independent (i.e. $\sigma_{(1) \times (2)} = 0$).

Model qualification

The final model was validated by diagnostic plots including plots of conditional weighted residual (CWRES) [18, 19] and a visual predictive check. The visual predictive checks were performed to validate the final model. One thousand data sets with identical design to the original data set were simulated using the final parameter estimates including inter-individual and residual variability without uncertainty in the model parameters. Plots showing the areas covering 95% CIs of the median, the 10th and the 90th percentiles of the simulated profiles were shown together with the median, 10th and 90th percentiles of the observed concentration–time profiles. The η and ε shrinkage were also calculated to evaluate model adequacy [20].

Pharmacodynamic analyses

The MIC for ampicillin/sulbactam was determined when ampicillin/sulbactam solution (dose ratio 2:1) was added to each identified pathogen. The MIC value was reported

as ampicillin concentration ($\mu\text{g ml}^{-1}$) in this study. The time above MIC during the treatment period for each identified pathogen of each individual was calculated using the following equation:

$$\frac{\partial A}{\partial t} = \frac{(f \cdot C(t))^{\text{GAM}}}{(f \cdot C(t))^{\text{GAM}} + \text{MIC}^{-\text{GAM}}}$$

where $f \cdot C(t)$ represents a free fraction of plasma ampicillin at each time point for the individual, MIC is that for the identified pathogen and GAM is a factor fixed to 99. The free fraction f was set to 0.72 [1]. When $f \cdot C(t) \gg \text{MIC}$ then

$\frac{\partial A}{\partial t} \approx 1$ else $\frac{\partial A}{\partial t} \approx 0$. Integration of this function provides

the time above MIC for the free fraction of plasma ampicillin ($f t_{>\text{MIC}}$). The percentage of time above MIC ($f t_{>\text{MIC}}\%$) was obtained by dividing $f t_{>\text{MIC}}$ by the treatment duration. Treatment duration for each individual was defined as time from initial to last dose of ampicillin/sulbactam. MICs against specific bacteria, which were judged as causative pathogens by investigators, were used to estimate individual $f t_{>\text{MIC}}$. For subjects who had more than one causative pathogen identified the $f t_{>\text{MIC}}$ value was reported for each bacterium.

Simulation exercises

Simulations were performed to investigate effects of different dosing intervals (6, 8, 12 and 24 h) on exposure and $f t_{>\text{MIC}}\%$ for subpopulations with different levels of renal function. The dosing intervals were based on recommendations for different levels of renal impairment from the US label [1]. Each subpopulation of 500 subjects was randomly sampled from a uniform distribution of CL_{cr} (CL_{cr} 60 to 90, 30 to <60, 15 to <30 or 5 to <15 ml min^{-1}) and a uniform distribution of body weight, based on the observed range from the current study (31.3 to 78.7 kg).

For each subpopulation, plasma ampicillin and sulbactam concentration–time data were generated utilizing parameter estimates of the final model across intersubject variability. Based on the simulated data, PK parameters and $f t_{>\text{MIC}}$ were calculated for different renal function ranges and different dosing intervals. Since the required $f t_{>\text{MIC}}\%$ for bacteriostasis and bacteriocidal activity for penicillin is reported to be 30% and 50%, respectively [8, 9], the probability of achieving $f t_{>\text{MIC}}\% \geq 30\%$, 40% or 50% across the population were calculated for different levels of renal impairment and potential dosing intervals.

Software

Plasma ampicillin and sulbactam concentrations vs. time data were analyzed using the non-linear mixed effects modelling methodology as implemented by NONMEM

Version 6 Level 2.0 (ICON Development Solutions, Ellicott City, MA, USA). NONMEM was used to estimate the population parameters, mean and interindividual variability and to identify potential covariates that explain interindividual variability in the parameters. The first order conditional estimation method (FOCE) was used. Both statistical package S-PLUS® Version 7.0 (TIBCO Software Inc, Palo Alto, CA, USA) and R Version 2.5.1 (R Foundation for Statistical Computing, Vienna, Austria) were used for the exploratory analysis and to assist the model building. Perl-speaks-NONMEM (PsN) Version 2.3.0 was used for model evaluation and covariate model building.

Results

Patient characteristics

Data from 47 patients with moderate or severe CAP were used for this analysis. Baseline characteristics of the 47 patients are summarized in Table 1. All subjects were Japanese (21 females and 26 males) with a median age (range) of 67 (28–85) years. Elderly patients defined as 65 years or older accounted for 57% of the population ($n=27$). Fourteen patients (30%) had lower body weight defined as ≤ 45 kg. Renal function based on CL_{cr} [21] varied among the patients, where the number of patients with normal renal function ($CL_{cr} \geq 90$ ml min⁻¹), mild (60 ml min⁻¹ $\leq CL_{cr} < 90$ ml min⁻¹) and moderate (30 ml min⁻¹ $\leq CL_{cr} < 60$ ml min⁻¹) renal impairment was 17 (36%), 10 (21%) and 20 (43%), respectively.

Pharmacokinetic analyses

In total, 444 plasma concentrations (222 each for ampicillin and sulbactam) were available for the population PK modelling. Figure 1 shows plasma concentration vs. time profile for ampicillin and sulbactam, respectively. As expected, PK profiles for ampicillin and sulbactam were similar and suggested bi-phasic elimination.

For ampicillin, a two compartment model resulted in a statistically significant improvement. The three

compartment model did not improve the fit in comparison with the two compartment model ($\Delta OFV = -0.667$). For the two compartment model, inter-individual variability for CL and V_2 were supported by the data. The correlation between η_{CL} and η_{V_2} was not significant ($\Delta OFV = -0.009$). Consistent with the previous knowledge that ampicillin is renally cleared, CL_{cr} was included as a covariate from the base modelling steps ($\Delta OFV = -53.661$). The inter-individual variability was reduced by 16% when adding the effect of CL_{cr} on CL in the base modelling step. For sulbactam, very similar results to those for ampicillin were obtained. Parameter estimates of the base model for each drug are shown in Table 2.

Data from both drugs were integrated and simultaneously modelled using the L2 data item of NONMEM. When correlation was allowed for η_{CL} of ampicillin and sulbactam, the OFV decreased by 53.161 points, whereas correlation between η_{V_2} of ampicillin and sulbactam was not statistically significant ($\Delta OFV = -0.673$). The effects of CL_{cr} on CL for ampicillin and sulbactam were integrated into one common parameter, because the OFV increased only 0.054 points after the integration.

In the stepwise covariate modelling, covariates of interest were tested using the automated stepwise procedure in PsN. For ampicillin, only the effect of body weight on V_2 was significant. Since the estimate was sufficiently close to 1.0 which was considered a standard physiological allometric scaling on volume [21], the fixed scaling was therefore chosen for the final model. Inclusion of any other covariate was not statistically significant. For sulbactam, body weight on V_2 was found to be significant in the forwards inclusion step ($\Delta OFV = -4.358$), whereas it was not included in the backwards exclusion process. Considering the clinical relevance between body weight and distribution volume, body weight on V_2 was ultimately included as a physiological allometric scaling parameter for sulbactam. The final model for both ampicillin and sulbactam is given below ($k=1$ for ampicillin or 2 for sulbactam).

$$CL_{i(k)} = CL_{(k)} \cdot \left(\frac{CL_{cri}}{71} \right)^{\theta_{CL_{cr} \text{ on } CL}} \cdot \exp(\eta_{CLi(k)})$$

$$V1_{i(k)} = V1_{(k)}$$

$$Q_{i(k)} = Q_{(k)}$$

$$V2_{i(k)} = V2_{(k)} \cdot \left(\frac{BWT_i}{51} \right)^{\theta_{BWT \text{ on } V_2}} \cdot \exp(\eta_{V2i(k)})$$

Table 1

Subject demographics for the entire analysis dataset

Demographics variable	<i>n</i>	Median	Range
Male/female	26/21		
Age (years)		67	28–85
Body weight (kg)		51.2	31.3–78.7
Body mass index (kg m ⁻²)		20.4	13.7–29.0
CL_{cr} (ml min ⁻¹)		71.0	34.6–176
Serum creatinine (mg dl ⁻¹)		0.73	0.38–1.40
γ -glutamyl transferase (U l ⁻¹)		32	8–240
Alanine aminotransferase (U l ⁻¹)		19	7–82
Aspartate aminotransferase (U l ⁻¹)		28	15–128

Parameter estimates of the combined final model are shown in Table 2. The inter-individual variability was relatively small (14.8 to 15.2%) and, as expected, inter-individual variability for CL of ampicillin and sulbactam was highly correlated ($\rho=0.858$). The intra-individual variability was also highly correlated ($\rho=0.946$), likely due to the fact that the same sample was used to determine the concentration of each drug.

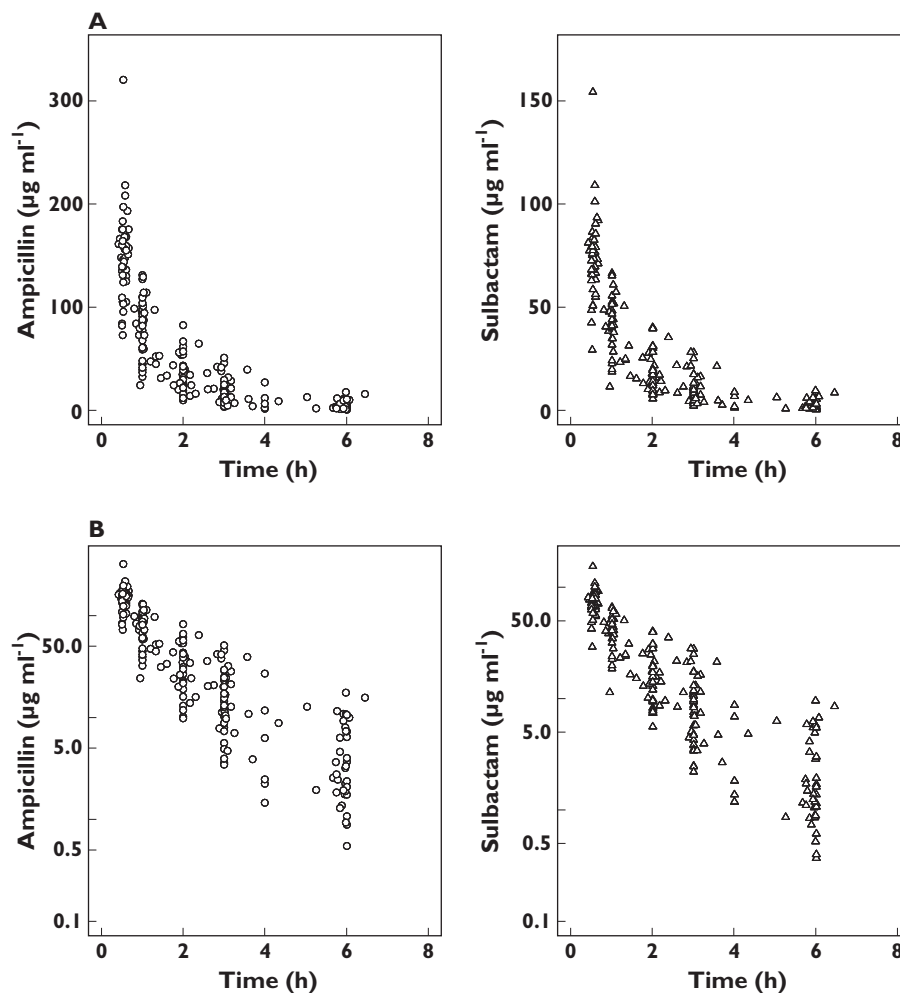


Figure 1

(A) Normal and (B) semilog-scaled observed plasma ampicillin and sulbactam concentrations vs. time profile. The time represents time after the start of the most recent intravenous infusion of ampicillin /sulbactam 3 g four times daily

There was a low to moderate degree of shrinkage in the inter-individual variability. The η shrinkage was 7.8% and 7.1% for CL of ampicillin and sulbactam, respectively and 38% and 37% for V₂ of ampicillin and sulbactam, respectively. The degree of shrinkage in the intra-individual variability (ϵ shrinkage) was low, 10% for both ampicillin and sulbactam. The η shrinkage of V₂ for ampicillin and sulbactam was relatively high. It has been reported previously that when shrinkage is present (usually greater than 20% to 30%), model diagnostics should be based not on empirical Bayesian estimation but on simulation-based diagnostics [20]. In this analysis, the simulation-based diagnostics (visual predictive check) showed adequacy of the model as described below. Diagnostic plots are presented in Figure 2. The plots of observed plasma concentrations (DV) vs. PRED and IPRED indicated central tendency to the identity line (DV = PRED or IPRED) and no major bias was observed. In addition, plots of CWRES vs. PRED or TIME did not show any systematic trend. A visual

predictive check was performed by providing plots of predicted and observed concentrations for ampicillin/sulbactam vs. time based on the final combined model (Figure 3). The observed concentrations at 10th, 50th and 90th percentile points were within the respective predicted 95% intervals. On the whole, the final model adequately described the actual ampicillin/sulbactam PK profile.

Pharmacodynamic analyses

Causal pathogens were identified for 23 of 47 patients prior to treatment. Eight different kinds of pathogens were identified, most were *S. pneumonia*, *H. influenza* or *M. catarrhalis*. The MICs were determined for all the identified pathogens ($n = 32$). Table 3 shows mean (SD) for $f t_{>MIC}\%$ for each pathogen. In all the cases, these means were usually > 50% of the treatment period, which is generally accepted as a good threshold for clinical efficacy [8–10].

Table 2

Parameters estimates (RSE%) for the base models for ampicillin and sulbactam and the combined final model

Parameter	Separate base model		Combined final model	
	Estimate	(RSE%)	Estimate	(RSE%)
Ampicillin (1)				
CL ₍₁₎ (l h ⁻¹)	10.7	(3.40)	10.7	(3.39)
V1 ₍₁₎ (l)	9.45	(6.33)	9.97	(6.07)
Q ₍₁₎ (l h ⁻¹)	4.78	(18.3)	4.14	(21.8)
V2 ₍₁₎ (l)	4.91	(10.3)	4.48	(9.91)
θ _{CL_{cr} on CL}	0.687	(8.31)	0.701	(7.65)
θ _{BWT on V2}	–	–	1.00	Fix
Interindividual variability*				
CV [η _{CL,i(1)}] (%)	15.5	(13.9)	14.8	(15.5)
CV [η _{V2,i(1)}] (%)	26.5	(31.5)	15.2	(36.2)
Intra-individual variability				
CV [ε _{ij(1)}] (%)	23.5	(14.9)	24.2§	(13.5)§
Sulbactam (2)				
CL ₍₂₎ (l h ⁻¹)	10.4	(3.39)	10.4	(3.40)
V1 ₍₂₎ (l)	9.77	(6.72)	10.2	(7.04)
Q ₍₂₎ (l h ⁻¹)	4.90	(22.0)	4.58	(28.2)
V2 ₍₂₎ (l)	4.57	(11.2)	4.04	(12.1)
θ _{CL_{cr} on CL}	0.667	(8.92)	0.701	(7.65)
θ _{BWT on V2}	–	–	1.00	Fix
Inter-individual variability*				
CV [η _{CL,i(2)}] (%)	15.4	(14.4)	15.2	(15.2)
CV [η _{V2,i(2)}] (%)	14.7	(65.7)	14.8	(28.3)
Intra-individual variability				
CV [ε _{ij(2)}] (%)	22.7	(15.7)	23.3§	(14.4)§
ρ[ε _{ij(1)} , ε _{ij(2)}]†	–	–	0.946	(29.5)
ρ[η _{CL,i(1)} , η _{CL,i(2)}]‡	–	–	0.858	(34.8)

*The CV and its RSE were calculated based on Taylor approximation of square root of ω^2 with SE of ω^2 . †Correlation coefficient between the intra-individual variability for ampicillin and sulbactam. ‡Correlation coefficient between the random effects on CL for ampicillin and sulbactam. §The CV and its RSE were calculated based on Taylor approximation of square root of σ^2 with SE of σ^2 .

Simulation results

The typical C_{max}, AUC and t_{1/2} for ampicillin and sulbactam following 30 min intravenous infusions of 3 g ampicillin/sulbactam at different recommended dosing intervals in accordance with CL_{cr} are shown in Table 4. The predicted ranges for C_{max} and AUC across CL_{cr} ranges of 60 to 90, 30 to <60, 15 to <30 and 5 to <15 ml min⁻¹ for the four times daily, three times daily, twice daily and once daily regimens, were similar.

The results of the population based simulation over a 3 day treatment duration (minimal treatment duration specified in the clinical trial) are shown in Figures 4 and 5. Figure 4 shows the simulated distributions for AUC across the dosing intervals compared with the highest individual AUC observed in the clinical trial (empirical Bayesian estimate). The recommended dosing intervals for CL_{cr} ranges of 30 to <60, 15 to <30 and 5 to <15 ml min⁻¹ resulted in AUC distributions, which in comparison with shorter dosing intervals, did not significantly exceed the highest individual AUC observed in the clinical trial. For 60 to 90 ml min⁻¹, the AUC distribution following the four times daily dosing was significantly lower than the highest individual AUC observed in the clinical trial.

Figure 5 shows that the probability of achieving $f t_{>MIC}$ % ≥ 30, 40 or 50% increases as the dosing interval is shortened. Simulations indicated that the high dose (ampicillin/sulbactam 3 g four times daily) provided the highest probability of exceeding the $f t_{>MIC}$ % across the range of causative pathogen MIC (0.06 to 16.0 µg ml⁻¹). When the dosing interval was reduced to three times daily, twice daily and once daily, for CL_{cr} ranges of 30 to <60, 15 to <30 and 5 to <15 ml min⁻¹, respectively, the probability-MIC curves were similar to those achieved with four times daily for patients with CL_{cr} of 60 to 90 ml min⁻¹. For example, all the regimens (four times daily, three times daily, twice daily and once daily dosing for CL_{cr} ranges of 60 to 90, 30 to <60, 15 to <30, and 5 to <15 ml min⁻¹) provided at least 80% probability of exceeding $f t_{>MIC}$ % equal to 30% for an MIC of 16 µg ml⁻¹ over a 3 day treatment period. Similarly, all the regimens provided at least 80% probability of exceeding $f t_{>MIC}$ % equal to 50% for an MIC of 4 to 8 µg ml⁻¹ over a 3 day treatment period.

Discussion

The present analysis has demonstrated that the PK following 30 min infusions of the high dose (3 g ampicillin/

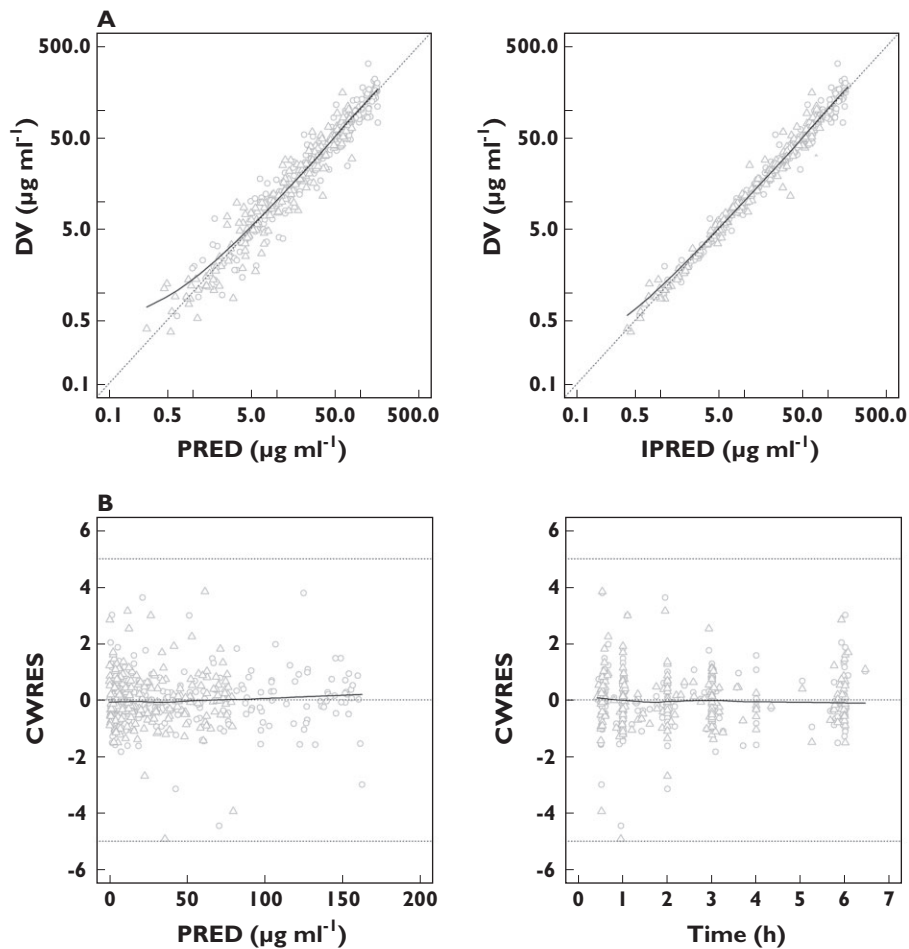


Figure 2

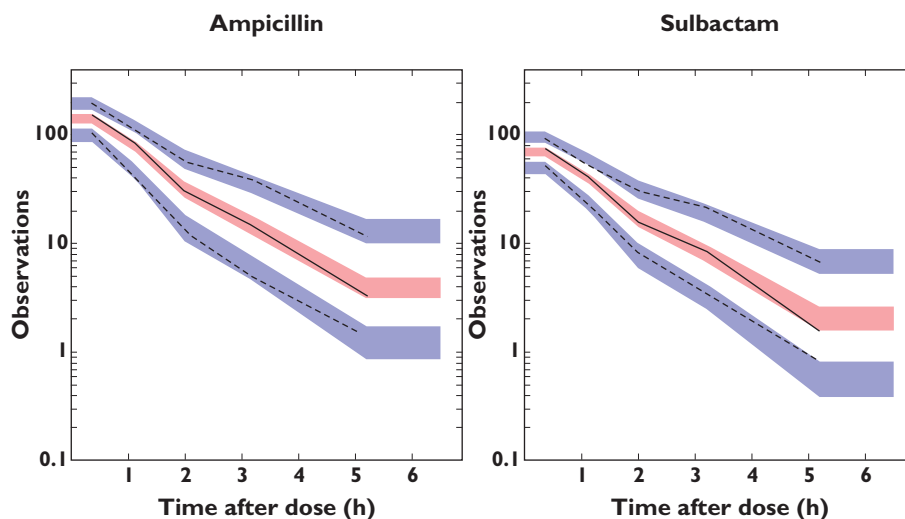
(A) Scatter plots of observed plasma concentrations (DV) vs. population predicted concentrations (PRED) and DV vs. individual predicted concentrations (IPRED) for the final model. Each dotted line represents the concordance line ($Y = X$). (B) Scatter plots of residuals normalized by the SD of the data (conditional weighted residuals [CWRES]) vs. PRED and CWRES vs. time after the last dose for the final model. Dotted lines represent $CWRES = 0$ or ± 5 . Note: circles and triangles represent ampicillin and sulbactam, respectively. Each solid line indicates a non-parametric regression with robust local linear fit

sulbactam four times daily) is adequately described by a two compartment model with simultaneous fit of ampicillin and sulbactam PK data, where CL_{cr} on CL and body weight on V2 were identified as covariates for both drugs. It is important to mention that even though body weight was not identified as a covariate on CL in our analysis, it is indirectly included through CL_{cr} , since body weight is used to estimate CL_{cr} through the Cockcroft & Gault equation.

Noguchi *et al.* [5] reviewed the PK of ampicillin and sulbactam in healthy volunteers. Total clearance was reported to range from 17.8 to 20.9 and 12.1 to 16.0 l h⁻¹ for ampicillin and sulbactam, respectively. The steady-state volumes of distribution ranged from 14.2 to 15.1 and 12.2 to 16.3 l for ampicillin and sulbactam, respectively (based on a body weight of 51 kg). In the present analysis, the population parameter for CL ($CL_{cr} = 120$ ml min⁻¹) was estimated to be 15.5 and 15.0 l h⁻¹ for ampicillin and sulbactam, respectively. Population mean values of V1 plus V2 were estimated to be 14.5 and 14.2 l, respectively.

The mean ampicillin CL of this patient population was lower when compared with that of healthy volunteers. The difference might be due to the difference in renal function between the two populations. However, the difference was small and the other parameter values for ampicillin and sulbactam were similar. The PK model established in this analysis was generally consistent with PK models previously reported in healthy volunteers.

The strong influence of renal function on exposure to these drugs is consistent with prior knowledge. The PK of a single dose of 2 g ampicillin and 1 g sulbactam in subjects with reduced renal function has been previously reported [7]. The mean (SD) AUC in subjects with CL_{cr} 30 to 60 ml min⁻¹ ($n = 6$) was 217 (73.5) and 121 (45.0) µg ml⁻¹ h for ampicillin and sulbactam, respectively. The mean (SD) of AUC for empirical Bayesian estimates from this analysis with the same level of renal impairment ($n = 20$) was estimated to be 262 (44.7) and 135 (25.3) µg ml⁻¹ h for ampicillin and sulbactam, respectively. Similarly, the mean (SD)

**Figure 3**

Visual predictive check plots representing concentration for ampicillin (left panel) and sulbactam (right panel) ($\mu\text{g ml}^{-1}$) vs. time after the most recent administration (h). Each panel shows observed 10, 50 and 90th percentile points (black lines) and model predicted 95% CIs for 10, 50 and 90th percentile points (blue and red areas)

Table 3

Summary of identified pathogens, MICs and time above MICs

Identified bacteria	Number of patients	MIC ($\mu\text{g ml}^{-1}$)*		$f t_{>\text{MIC}}\%$ †	
<i>S. pneumonia</i>	11	0.06	0.06–2.00	98.1	(6.46)
<i>H. influenza</i>	8	0.50	0.12–4.00	84.7	(23.4)
<i>M. catarrhalis</i>	8	0.12	0.06–0.12	100	(0.00)
<i>E. coli</i>	1	4.00	NA	94.0	(NA)
<i>K. oxytoca</i>	1	16.00	NA	44.7	(NA)
<i>S. aureus</i>	1	0.12	NA	100	(NA)
<i>K. pneumonia</i>	1	4.00	NA	100	(NA)
<i>E. aerogenes</i>	1	4.00	NA	78.5	(NA)

NA = not applicable. *Median (range) as ampicillin concentration. †Mean (SD) fraction of time above MIC for treatment period as unbound plasma ampicillin concentration assuming the free fraction was 0.72.

AUC in subjects with CL_{cr} 7 to 30 ml min^{-1} ($n = 4$, mean CL_{cr} 21.8 ml min^{-1}) was previously reported to be 380 (59.6) and 262 (77.3) $\mu\text{g ml}^{-1} \text{ h}$, respectively. Although the present population did not include patients with CL_{cr} below 30 ml min^{-1} , the predicted typical values of AUC for CL_{cr} equal to 21.8 ml min^{-1} were 428 and $220 \mu\text{g ml}^{-1} \text{ h}$ for ampicillin and sulbactam, respectively. The model-based results were therefore considered sufficiently similar to the previously reported data in subjects with lower renal functions, to allow extrapolation of this model to patients with severe renal impairment.

The Cockcroft & Gault equation as a surrogate for renal function is reported to have proven very useful due to its simplicity and relative accuracy [22]. However, the equation has limitations. For example, it overestimates GFR

[23], it is difficult to obtain an accurate estimate at serum creatinine $<1 \text{ mg dl}^{-1}$ [24], and bias of the equation is influenced by body weight and BMI [25]. Although there are other methods available to estimate kidney function, like the Modification of Diet in Renal Disease (MDRD) study equation, this also has limitations. The MDRD equation needs to be multiplied by each patient's ratio of body surface area/1.73 for PK studies to guide dosage adjustment for individual patients [22]. In addition, the equation is recommended to be used for reporting the specific value only if the estimated GFR is less than $60 \text{ ml min}^{-1} 1.73 \text{ m}^{-2}$ [26]. While there are some benefits and limitations for each equation, consistency of the measuring method to previous studies should also be considered. With regard to ampicillin and sulbactam, effects of renal impairment on exposure had been historically assessed by using CL_{cr} as a surrogate for renal function. It is therefore considered adequate to evaluate the effect of renal function on the PK using an estimated CL_{cr} of the Cockcroft & Gault equation.

The good estimated $f t_{>\text{MIC}}\%$ coverage for the majority of the identified pathogens in the clinical trial was consistent with the good reported clinical response in the treatment of the CAP with 3 g ampicillin/sulbactam (2:1 g) four times daily in this population [11]. Of the 23 patients whose pathogen(s) were identified, only one patient was considered to have an ineffective clinical response [11]. The causative pathogens (MIC $\mu\text{g ml}^{-1}$) in this patient were *H. influenza* (0.50), *M. catarrhalis* (0.12), *S. aureus* (0.12) and *S. pneumonia* (0.06). The $f t_{>\text{MIC}}\%$ for the pathogens was almost 100%, and therefore under exposure can be ruled out as the reason for the ineffective response. As shown in

Table 4

Predicted range of C_{max} , AUC, and $t_{1/2}$ for ampicillin and sulbactam following 30 min intravenous infusions of 3 g ampicillin/sulbactam in a typical individual with different CL_{cr} and different dosing intervals

CL_{cr} category ($ml\ min^{-1}$)	Dosing interval	Ampicillin			Sulbactam		
		C_{max} ($\mu g\ ml^{-1}$)	AUC(0,48 h) ($\mu g\ ml^{-1}\ h$)	$t_{1/2}$ (h)	C_{max} ($\mu g\ ml^{-1}$)	AUC(0,48 h) ($\mu g\ ml^{-1}\ h$)	$t_{1/2}$ (h)
60–90	Four times daily	139–151	1260–1670	1.20–1.42	68.6–74.2	650–861	1.09–1.33
30–<60	Four times daily	151–173	1690–2690	1.43–2.02	74.4–85.1	872–1380	1.34–1.96
30–<60	Three times daily	149–166	1270–2030	1.43–2.02	73.3–81.5	655–1050	1.34–1.96
15–<30	Twice daily	162–176	1400–2190	2.06–3.06	79.5–86.4	718–1120	2.00–3.03
5–<15	Once daily	170–185	1160–2310	3.20–6.27	83.1–90.7	599–1190	3.16–6.28

Note: The predicted range represents C_{max} , AUC or $t_{1/2}$ values for a typical individual (51 kg body weight) with maximum or minimum CL_{cr} of each category.

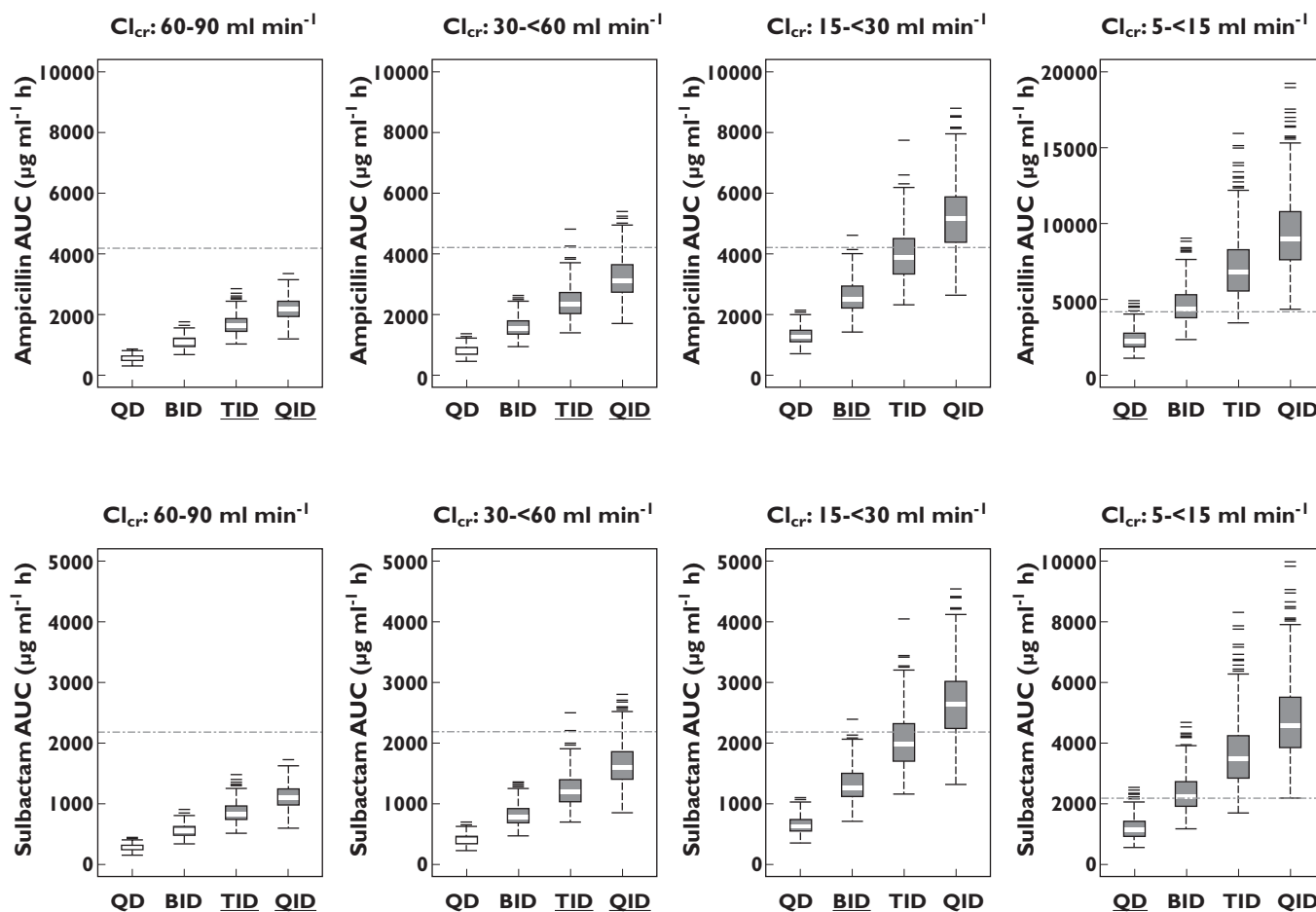


Figure 4

Box plots of simulated AUC for ampicillin (upper panels) and sulbactam (lower panels) following multiple 30 min intravenous infusions of 3 g ampicillin/sulbactam for 3 days of treatment by different renal functions with different dosing intervals. The dotted line represents the highest observed AUC (empirical Bayesian estimate) following intravenous administrations of ampicillin/sulbactam 3 g four times daily in CAP patients. Note: dosing intervals with under bar (in x-axis) represent recommended dosing intervals. QD once daily dosing, BID twice daily dosing, TID three times daily dosing, QID four times daily dosing

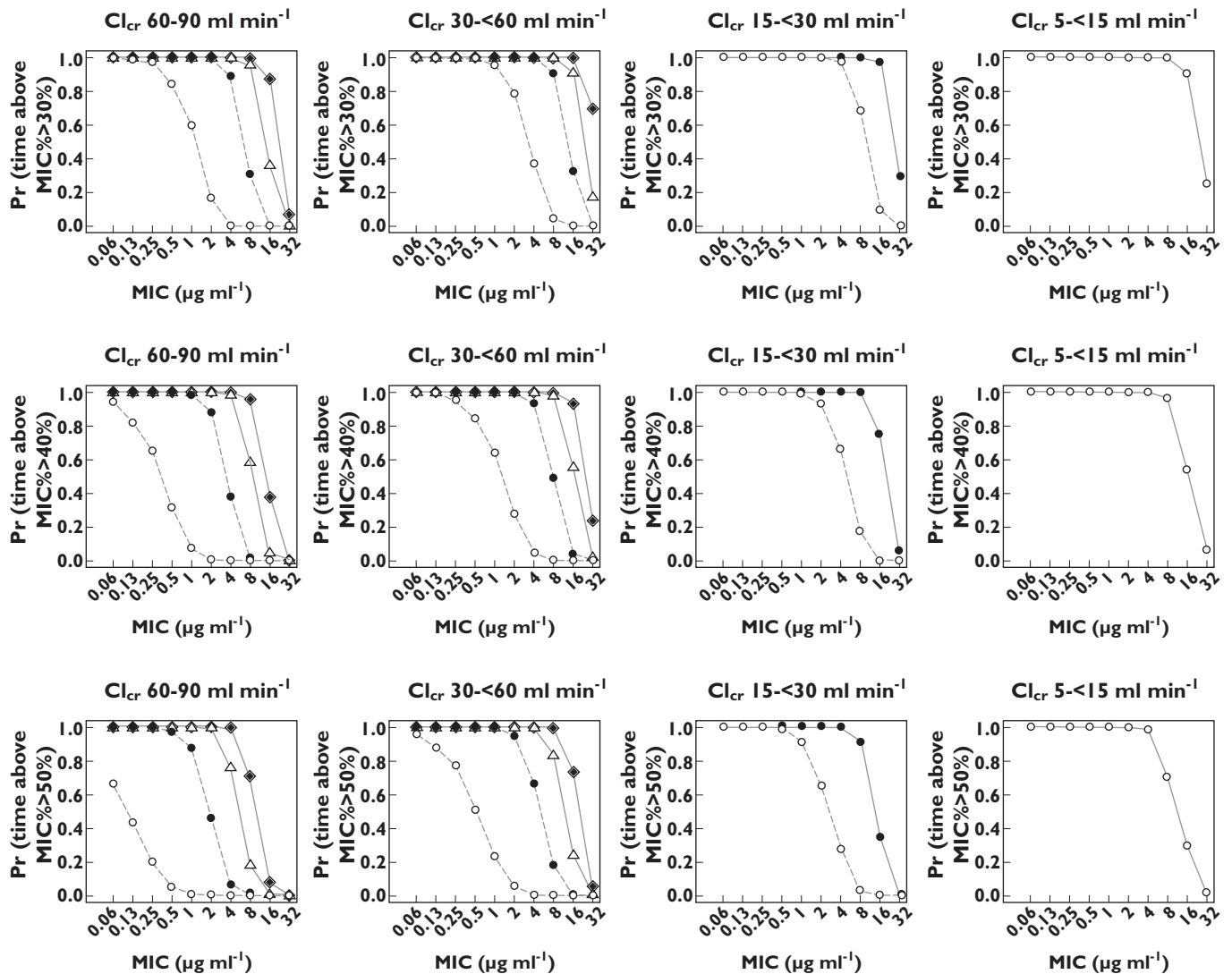


Figure 5

Probability of fraction of time above MIC for treatment period as unbound plasma ampicillin concentration ($f t_{>MIC}$) $\geq 30, 40$ and 50% following multiple 30 min intravenous infusions of 3 g ampicillin/sulbactam for 3 days of treatment. The lines represent the probability by each dosing interval (○ once daily, ● twice daily, △ three times daily, ◆ four times daily). The solid lines represent recommended dosing intervals

Table 3, most of the MICs for identified pathogens ranged from 0.06 to 4.00 $\mu\text{g ml}^{-1}$, with the highest MIC 16.0 $\mu\text{g ml}^{-1}$ found in one patient, whose causal pathogen was *K. oxytoca*. Although the $f t_{>MIC}$ % for the patient (44.7%) was borderline for bactericidal activity (50%), the response in this patient was determined as being clinically effective.

The recommended dosage in the US label [1] for $CL_{cr} \geq 30, 15$ to 29, and 5 to 14 ml min^{-1} 1.73 m^{-2} , for which value from the Cockcroft & Gault equation may be substituted, is 1.5 to 3.0 g every 6 or 8 h, every 12 h and every 24 h, respectively. The population based simulation indicated that the simulated AUC distributions were generally below the highest observed exposure from the clinical trial, when the dosing interval was adjusted in accordance

with the recommendation of the US label. It was also shown that this dose adjustment provided a similar probability of achieving bacteriostatic ($f t_{>MIC} \geq 30\%$) and bactericidal ($f t_{>MIC} \geq 50\%$) activity in comparison with four times daily dosing in patients with mild reductions in GFR (CL_{cr} 60 to 90 ml min^{-1}). In particular, the simulations indicated that the recommended regimens provided at least 80% probability of patients achieving exposure coverage associated with bactericidal and bacteriostatic activity for pathogens with MICs of up to 4 to 8 $\mu\text{g ml}^{-1}$ and 16 $\mu\text{g ml}^{-1}$ over a 3 day treatment period, respectively.

In some cases of our simulation, probability of $f t_{>MIC} > 30, 40$ or 50% is identical between the three times daily and four times daily dosing regimens for certain MIC values. For example, probability of $f t_{>MIC} > 50\%$ in

patients with CL_{cr} 60–90 ml min⁻¹ (bottom left panel of Figure 5) was identical between three times daily and four times daily at $MIC \leq 2 \mu\text{g ml}^{-1}$. Meanwhile, the difference appears at $MIC \geq 4 \mu\text{g ml}^{-1}$. Based on EUCAST (European Committee on Antimicrobial Susceptibility Testing) reference [27], microorganisms with $MIC < 8.0 \mu\text{g ml}^{-1}$ are generally susceptible to ampicillin/sulbactam. At the threshold ($MIC = 8.0 \mu\text{g ml}^{-1}$) in patients with CL_{cr} 60–90 ml min⁻¹, the simulated probabilities of $f t_{>MIC} > 50\%$ in three times daily and four times daily were 18% and 71%, respectively. It is suggested that the probability of $f t_{>MIC\%} > 30, 40$ or 50% by the dosage regimen (three times daily or four times daily) is likely similar at low MIC values, but different at high MIC values.

The maximum observed AUC from the actual study to set an arbitrary 'upper' exposure level was used to assess the potential of unnecessary over exposure. This level is higher than that which would be achieved in patients with normal renal function ($> 90 \text{ ml min}^{-1}$) given 64% of the population had mild to moderate reduction in GFR ($< 90 \text{ ml min}^{-1}$), but we consider it a reasonable initial guide given the good observed toleration profile in the patient population [11].

Our simulation results assume no impact of renal function on protein binding. However, given that both sulbactam and ampicillin are relatively unbound, a change here should not drastically change our results. Similarly, it should be noted that despite good comparability with the established literature, our predictions for CL_{cr} below 30 ml min^{-1} still depend on extrapolation, so caution is required even with once daily dosing in these patients.

Despite these promising clinical trial results and subsequent simulations, the clinical effectiveness and toleration of a high dose ampicillin/sulbactam (3 g four times daily) depends on the disease, the pathogen and the infection site. These predictions should therefore only be used as a guide to inform standard clinical management of patients with CAP being treated with this product. However the same approach as that presented here can be used to determine time above MIC for other drugs where the effectiveness is related to the time of exposure and in other diseases.

In conclusion, this study, using a population modelling approach, provided PK models for ampicillin and sulbactam, the time above MICs for identified pathogens, and associated simulation results. These findings provide useful information and augment evidence for the established dosage regimens in patients with various degrees of renal impairment.

Competing Interests

All authors have completed the Unified Competing Interest form at http://www.icmje.org/coi_disclosure.pdf (available on request from the corresponding author) and

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