



# HHS Public Access

Author manuscript

*Minerva Anesthesiol.* Author manuscript; available in PMC 2015 June 09.

Published in final edited form as:

*Minerva Anesthesiol.* 2015 June ; 81(6): 650–661.

## Measuring and Predicting Long-Term Outcomes in Older Survivors of Critical Illness

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### Abstract

Older adults (age ≥ 65 years) now initially survive what were previously fatal critical illnesses, but long-term mortality and disability after critical illness remain high. Most studies show that the majority of deaths among older ICU survivors occur during the first 6 to 12 months after hospital discharge. Recent studies of older ICU survivors have created a new standard for longitudinal critical care outcomes studies with a systematic evaluation of pre-critical illness comorbidities and disability and detailed assessments of physical and cognitive function after hospital discharge. These studies show that after controlling for pre-morbid health, older ICU survivors experience large and persistent declines in cognitive and physical function after critical illness. Long-term health-related quality-of-life studies suggest that some older ICU survivors may accommodate to a degree of physical disability and still report good emotional and social well-being, but these studies are subject to survivorship and proxy-response bias. In order to risk-stratify older ICU survivors for long-term (6–12 month) outcomes, we will need a paradigm shift in the timing and type of predictors measured. Emerging literature suggests that the initial acuity of critical illness will be less important, whereas pre-hospitalization estimates of disability and frailty, and, in particular, measures of comorbidity, frailty, and disability near the time of hospital discharge will be essential in creating reliable long-term risk-prediction models.

### Keywords

Aged; Critically Ill; Outcomes; Frailty

### MeSH Keywords

Aged; Critically Ill; Outcomes; Outcome Assessment

### Introduction

Older adults (age ≥ 65 years) comprise almost half of all intensive care admissions in developed countries, receive more intensive treatment than in the past, and survive what were previously fatal critical illnesses.<sup>1, 2</sup> With the aging of the world's population, the demand for critical care resources from older adults is growing rapidly.<sup>3</sup> A large Australian and New Zealand cohort study reported a 6% annual increase in the number of adults over

80 years old admitted to ICUs between 2000 and 2005, informing predictions that adults over 80 years old will make up one out of four ICU admissions by 2015.<sup>4</sup>

While some critical illnesses constitute an acute event with minimal sequelae, we now recognize that a substantial proportion of older adults are left with marked disability and an increased risk of mortality, particularly during the first year after critical illness.<sup>5-7</sup> While small cohort studies first reported that survival of ICU patients after hospital discharge was not affected by age,<sup>8,9</sup> more recent larger cohort and population-based studies show that older age is an important and independent predictor of mortality after critical illness even after controlling for the severity of critical illness and comorbidities.<sup>10-14</sup> Understanding the long-term outcomes of older ICU survivors is important for measuring the true value of intensive care for this rapidly growing population, and fundamental to targeting appropriate rehabilitative, therapeutic, and palliative interventions that will improve survival and/or quality-of-life after critical illness.

## Measuring Outcomes in Older Survivors of Critical Illness

### Mortality

Historically, critical illness outcomes studies calculated long-term mortality from the time of ICU admission and included ICU patients who died in hospital.<sup>15</sup> However, given the high hospital mortality associated with older ICU patients and a growing interest in improving outcomes for older ICU survivors, more recent studies have calculated mortality from the date of hospital discharge among those who survived intensive care.<sup>5,16</sup> While this latter approach allows for a clearer assessment of post-hospitalization mortality, it often prevents comparing mortality rates across old and new studies, since inclusion or exclusion of in-hospital deaths may substantially shift mortality estimates. A number of recent studies now calculate separately the short and long-term mortality of older critically-ill patients as 28-day mortality for all older ICU patients, and longer-term mortality for 28-day older ICU survivors, respectively.<sup>12,13</sup>

The choice of control group to assess the influence of critical illness on the long-term risk of disability and death varies between studies. Comparison with age-adjusted hospitalized patients may allow for better isolation of the severity of critical illness as a risk factor,<sup>5,11,17</sup> whereas comparison with the age-adjusted general population provides a better estimate of the total residual risk of death compared to the average person.<sup>5,6,10,18</sup> Survivors of critical illness should ideally be followed until the gradient of their survival curve parallels that of a relevant control group. But, the exact length of time depends upon the specific types of critically-ill patients studied and the chosen control group. Endpoints of long-term outcomes studies of older ICU survivors usually vary between 6 months and 3 years,<sup>5,11,19</sup> with a majority of studies following older ICU survivors for 1 year after hospital discharge.<sup>5,6,8-13,16,17</sup>

Whether older populations are selected by country, age, intervention, or diagnosis, most studies show that the majority of deaths among older ICU survivors occur during the first 6 to 12 months after hospital discharge.<sup>5,16,20-22</sup> Wunsch et al. published the largest long-term mortality study of older ICU survivors to date examining a 2.5% sample of American

Medicare beneficiaries aged  $\geq 65$  years who received intensive care.<sup>5</sup> In this study, the 6-month mortality was 14% for all older ICU survivors, 30% for older ICU survivors who received mechanical ventilation, and 26% for older ICU survivors discharged to post-acute care facilities. For those who received mechanical ventilation or who were discharged to post-acute care facilities, approximately 50% of deaths in the 3 years after hospital discharge occurred during the first 6 months.

Smaller cohort studies of older general medical and surgical ICU patients published in the past 4 years report similar mortality rates to those described by Wunsch et al (Table 1).<sup>12, 13, 16, 19, 22, 23</sup> Differences in case-mix between cohort studies likely explain most of the variation in the reported mortalities. For example, in-hospital and long-term mortality was higher for older patients with medical or unplanned surgical ICU admissions compared to those admitted with planned surgical admissions.<sup>13</sup>

Regardless of the initial critical illness diagnosis, older adults who develop a need for prolonged mechanical ventilation (PMV) from critical illness are increasingly recognized to have some of the highest mortality rates among older ICU survivors.<sup>16, 24</sup> These patients suffer from chronic critical illness (CCI), which is characterized by PMV via tracheostomy (the hallmark of CCI), and functional dependence due to some combination of profound weakness, endocrinopathy, poor nutrition, skin breakdown, and brain dysfunction.<sup>25</sup> Several studies from the United States indicate that CCI afflicts primarily older ICU survivors; the mean (SD) age for adult patients undergoing tracheostomy for prolonged mechanical ventilation is 65 (15) years,<sup>26</sup> and for those in specialized weaning facilities it is in the eighth decade.<sup>16, 27</sup> The 1-year mortality of American ICU survivors age  $\geq 65$  years who are discharged to ventilator weaning facilities is 69%.<sup>16</sup> Older age, greater pre-critical illness disability, and a higher burden of comorbidities appear to be the strongest predictors of mortality in CCI patients.<sup>24, 28–30</sup>

## Disability

Inception cohort studies that empanel patients at the time of critical illness diagnosis have shown that survivors of critical illness have an enormous burden of functional and neurocognitive disabilities, regardless of age.<sup>31–33</sup> But, the proportion of disability attributable to critical illness, versus the proportion that could be attributed to the premorbid status or disease that led to the critical illness has been difficult to determine because prospective measurements of function and cognition prior to becoming critically ill usually do not exist and retrospective reports, typically by proxy, may be subject to recall bias.<sup>34, 35</sup>

In order to estimate functional disability, cognitive impairment, and geriatric conditions attributable to critical illness in older ICU survivors, 3 recent studies cleverly linked large, nationally representative longitudinal cohort studies of older American adults with Medicare data.<sup>7, 36, 37</sup> Barnato et al. linked Medicare Current Beneficiary Survey data with Medicare hospitalization records to assess the impact of hospitalization with mechanical ventilation on disability, compared to hospitalization without mechanical ventilation, after accounting for a prospectively assessed pre-hospitalization functional status.<sup>7</sup> They found a 30% greater increase in ADL dependencies and worse mobility among older (mean age  $76 \pm 7$  years)

survivors of mechanical ventilation compared with survivors of hospitalization without mechanical ventilation than would have been predicted from prior functional status.<sup>7</sup>

Iwashyna et al. combined Health and Retirement Study (HRS) data which included prospectively assessed measures of cognition and disability with Medicare claims data to determine differences in cognitive impairment and physical disability among older adults who survived severe sepsis versus those hospitalized without sepsis or critical illness.<sup>36</sup> Older survivors of severe sepsis (mean age  $76 \pm 9$  years) had a nearly three-fold increase in new, moderate or severe cognitive impairment (6% to 17%), whereas those with non-sepsis general hospitalizations had no such change in cognitive impairment. Severe sepsis was associated with an average of 1.5 new limitations in basic or independent ADLs after hospitalization, independent of the presence or absence of premorbid functional limitations. Comparatively, those with general hospitalizations acquired, on average, only 0.5 new dependencies ( $p < 0.05$ ). The large declines in cognition and physical function after severe sepsis persisted for at least 8 years, and likely resulted in a pivotal downturn in patients' ability to live independently.<sup>36</sup>

In a separate study by Iwashyna et al., investigators again linked HRS and Medicare data to ascertain whether severe sepsis is associated with an increased risk of geriatric conditions.<sup>37</sup> They found that low BMI, injurious falls, incontinence, and vision loss among older survivors of severe sepsis (mean age  $77 \pm 9$  years) were more prevalent after hospitalization for severe sepsis than during the year prior, and more prevalent than in age-matched older Americans. However, a longitudinal analysis using 3 years of subjects' data prior to hospitalization for severe sepsis demonstrated that only the prevalence of low BMI increased significantly after severe sepsis, and that the other geriatric conditions continued to develop at the same rate as prior to the hospitalization.<sup>37</sup> This study demonstrates that outcomes studies of older ICU survivors that do not fully control for pre-critical illness health and functional trajectories might report false-positive associations between critical illness and the development of geriatric conditions.

These 3 studies have elevated the standard for longitudinal critical-care outcomes studies by systematically evaluating pre-critical illness comorbidities and disability, using a control group, and performing detailed assessments of physical and cognitive function after hospital discharge.<sup>7, 36, 37</sup> Controlling for premorbid physical and neurocognitive function is particularly important for determining disability attributable to critical illness in older adults because the prevalence and severity of physical limitations and cognitive impairment in the general population increases with age, particularly during the last 2 to 4 years of life.<sup>38, 39</sup>

### Health-Related Quality-of-Life

The complexity and heterogeneity of physical, psychological, cognitive, and social deficits in the aftermath of critical illness have led investigators to use health-related quality-of-life (HRQOL) as a patient-centered global outcome assessment of these deficits.<sup>31, 40</sup> The SF-36 and Euro-QoL5D are the most commonly used surveys, and are well validated for critical illness survivors.<sup>41</sup> Studies published over the past 20 years focusing on long-term follow-up for HRQOL in older ICU survivors show discrepant results due to differences in case-mix, HRQOL surveys used, and the duration of follow-up.<sup>42</sup>

Determining the deficits in HRQOL attributable to critical illness is particularly difficult. Prospective measurements of HRQOL prior to critical illness generally do not exist, making it difficult to determine exactly what proportion of these outcomes are new and attributable to critical illness. Furthermore, while retrospective reports of physical disability and cognition by proxy have been shown to be valid in certain instances,<sup>43, 44</sup> serious doubts have been raised about the accuracy of retrospective and proxy assessments of HRQOL,<sup>35</sup> especially regarding psychological function.<sup>45</sup> Some assessments of physical function and cognition can be assessed from proxy observations if a patient is too debilitated to actively participate (e.g. Katz ADLs<sup>46</sup> or the Informant Questionnaire on Cognitive Decline in the Elderly (IQ-CODE)<sup>47</sup>), but determining HRQOL requires subjective responses from a patient which can be difficult or impossible if that patient has cognitive dysfunction. For example, in a 1-year cohort study of patients receiving PMV (mean age  $55 \pm 16$  years), ADLs were assessed in all patients, but one third of patients were too debilitated to complete the Euro-QoL5D HRQOL survey themselves so only proxy assessments of HRQOL were used in the analysis.<sup>30</sup>

Several recent studies that have measured HRQOL in ICU survivors older than 75 or 80 years report worse physical but good emotional well-being and social function scores 1 to 2 years later.<sup>22, 48-51</sup> These studies are single-center cohort studies with <300 participants, and are subject to survivorship or proxy-response bias in three ways: (1) measuring HRQOL in 1-year survivors of critical illness ignores the likely poor HRQOL of the many ICU survivors who die during the first year after critical illness;<sup>22, 48, 50</sup> (2) reporting the HRQOL of only older ICU survivors who are capable of completing these surveys ignores the likely poor HRQOL of those who survive but are too disabled to complete them;<sup>49-51</sup> and (3) collectively analyzing both proxy reports of HRQOL for patients who cannot complete them and reports by patients who complete their own HRQOL survey potentially introduces proxy-response bias.<sup>22</sup> Despite these limitations, these studies add to a body of research that suggests that at least some older long-term survivors of critical illness accommodate to a degree of physical disability and still report good emotional and social well-being.<sup>42, 52</sup>

## Predicting Outcomes in Older Survivors of Critical Illness

### Severity of Critical Illness

Existing ICU risk-stratification models that predict in-hospital mortality rely heavily on physiologic variables during the first 24 hours after ICU admission.<sup>53-55</sup> The Acute Physiologic and Chronic Health Evaluation (APACHE) II score has been shown to not independently predict 1-year mortality in ICU patients older than 75 or 80 years,<sup>20, 21</sup> and the Simplified Acute Physiology Score (SAPS) II score at ICU admission does not independently predict 6-month mortality in older ICU survivors.<sup>19</sup> These results are not surprising given that these models were specifically derived to predict in-hospital mortality, and not longer-term mortality. Still, these studies suggest that measures of the initial severity of critical illness may be less important than other measures of health when predicting outcomes for older ICU survivors.

## Burden of Comorbidity

Several studies have shown that the pre-existing burden of comorbidity is one of the most important predictors of mortality after critical illness in older adults. The Charlson Comorbidity Index score<sup>5657</sup> was found to contribute more to predicting long-term mortality in ICU survivors than the APACHE-II score, ventilator days, vasopressor use, use of renal replacement therapy, peak number of organ failures, and gender.<sup>14</sup> Among older ICU survivors, the Charlson Comorbidity Index score contributed more to a 6-month mortality prediction model than age, SAPS-II score, or use of mechanical ventilation.<sup>19</sup> Since comorbidities may be acquired from critical illness (e.g. renal insufficiency after surviving septic shock), future studies should examine whether measuring comorbidities just prior to hospital discharge captures better the burden of comorbidity for older ICU survivors.

## Disability

Studies have found that disability or a need for skilled-care (a surrogate marker of disability) both prior to and immediately following hospitalization for critical illness are strong independent predictors of 6 and 12-month disability and mortality in older ICU survivors.<sup>4, 19, 20, 23, 28, 58</sup> One recent study of octogenarian ICU patients did not find functional status prior to admission to be associated with long-term mortality,<sup>22</sup> but a high prevalence of functional limitations and pre-existing fatal diseases in the cohort were thought to have suppressed this association.<sup>59</sup> Retrospective assessments of pre-hospitalization disability with Katz ADLs from either the subject or proxy have been shown to have predictive validity,<sup>60</sup> but more detailed assessments of function have not yet been validated for such retrospective use (e.g. the Barthel Index<sup>61</sup>). Sacanella et al. recently showed that lower Barthel index scores (i.e., greater disability) at hospital discharge independently predicted partial versus full functional recovery among 1-year older ICU survivors.<sup>23</sup> These findings suggest that the degree of disability at hospital discharge may help differentiate between those who most need post-ICU rehabilitative interventions and those who are most likely to recover on their own.

## Frailty

Frailty is a measurable clinical phenotype in which there is an increase in an individual's vulnerability for developing increased disability and/or mortality when exposed to a stressor.<sup>62</sup> In essence, frailty is a measure of physiologic age, and while it is correlated with chronological age, it is not inevitably present in all older adults.<sup>63</sup> There is a consensus that physical frailty is characterized by a loss of physiologic reserve with declines in muscle mass, metabolic rate, energy expenditure, strength, and endurance.<sup>64</sup> These deficits that typically take years to accumulate in the outpatient geriatric population, rapidly develop or worsen in older ICU patients.<sup>65</sup>

Several measures of frailty predict morbidity and mortality in community-dwelling older adults, independent of comorbidities and disability.<sup>64</sup> Fried and colleagues developed what is perhaps the most widely adopted measure of physical frailty based upon 5 possible components (>10% weight loss in the past year, weak hand-grip strength, slow walk speed, reduced baseline physical activity, and feelings of exhaustion) that mark an underlying physiological state of multisystem energy dysregulation. Traditionally, community-dwelling



older adults who have 1–2 or 3 components are considered intermediate-frail or frail, respectively.<sup>62</sup> Another commonly used frailty index is the Rockwood Clinical Frailty Scale (CFS), a well-validated 9-point assessment tool which incorporates multi-morbidity and dementia in the frailty assessment, and scoring is based on clinical judgment.<sup>66</sup>

Two studies have prospectively measured frailty and outcomes in older ICU survivors with notable differences in the timing and type of frailty measurements made.<sup>67, 68</sup> Bagshaw et al. measured the CFS at ICU admission in 421 adults age 50 years across 6 Canadian hospitals. Defining frailty as a CFS score >4, they found that frailty predicted both in-hospital and 1-year mortality independent of other important demographic and clinical variables. Compared with non-frail survivors, frail survivors were significantly more likely to be discharged with new disability (71% versus 52%), and were more likely to die within 1 year (48% versus 25%).<sup>67</sup>

Baldwin et al. measured Fried's frailty index in 22 older (age 65 years) medical-ICU survivors of mechanical ventilation just prior to discharge from a single tertiary-care hospital in the United States.<sup>68</sup> They found in unadjusted analyses that each 1-point increase in Fried's frailty score was associated with a 3-fold increase in 6-month mortality (RR: 3.0, 95% CI 1.4–6.3). The small sample size of the study precluded multivariable analyses, but age, pre-existing disability, Charlson Comorbidity Index score, APACHE II score, and chronic critical illness status explained only 45% of the variance of frailty. Given that easily measured and important dimensions of physical health in older ICU survivors explain less than half the variance in Fried's frailty score, frailty likely represents a previously unmeasured phenotype of interest in older ICU survivors.

There are advantages and disadvantages to using either the Rockwood or Fried Frailty index either at ICU admission or just prior to hospital discharge. The Rockwood index has an inherent element of informed subjectivity, but using the index at ICU admission may quickly provide more accurate prognostication and identify a vulnerable population that is less likely to have long-term benefit from intensive care. The Fried index takes more time to measure just prior to hospital discharge, but the components of Fried's frailty have potential to be in and of themselves targets for post-ICU rehabilitative and therapeutic interventions aimed at treating ICU-acquired debilitation. For example, ICU survivors who are weak or slow may benefit from novel exercise interventions (e.g. bedside cycle ergometry<sup>69</sup> or diaphragmatic strength training<sup>70, 71</sup>) or pharmacologic therapies (e.g. myostatin agonists to decrease and prevent muscle loss<sup>72</sup> or vitamin D supplementation to improve muscle function<sup>73</sup>), and those who have weight loss and exhaustion may benefit from protein-calorie supplementation.<sup>74</sup>

### **Assessing Older ICU Survivors for Post-ICU Care**

Despite ICU-based interventions that have decreased disability after critical illness (e.g. sedation minimization and early mobilization<sup>75</sup>), the number of older ICU survivors with significant disability and high 6-month mortality is increasing.<sup>5, 7, 76, 77</sup> Now more than ever, we need reliable 6–12 month disability and mortality prediction models for this rapidly growing population of older adults so that we can risk-stratify them for post-acute care interventions aimed at improving their quality-of-life and/or survival. To do this, we should

shift the primary focus of our measurements away from the physiologic variables used in existing ICU risk-stratification models designed to predict in-hospital mortality,<sup>54, 55</sup> and instead direct our attention toward estimates of pre-critical illness disability and frailty and direct measurements of comorbidity, disability, and frailty just prior to hospital discharge (Figure 1). Indeed, Baldwin et al. retrospectively derived and externally validated an accurate 6-month mortality prediction model for older medical-ICU survivors using some of these measurements.<sup>19</sup>

Several studies already offer guidance with assessing prognosis for older ICU survivors (Table 3). First, older ICU survivors with a low burden of comorbidities and little to no disability at hospital discharge have generally good outcomes.<sup>19, 23, 48, 50, 51</sup> Second, older ICU survivors who were autonomous prior to critical illness and disabled at hospital discharge may still be able to recover and may be appropriate candidates for post-ICU rehabilitation that may speed that recovery.<sup>23</sup> Third, older ICU survivors of mechanical ventilation with pre-critical illness ADL disabilities and signs of frailty (e.g. low BMI or weight loss), a high number of ADL disabilities or a need for skilled-care at the time of hospital discharge, and a Do-Not-Resuscitate (DNR) preference have a particularly high 6-month mortality rate.<sup>5, 19, 78</sup> These more chronically debilitated older patients should have their goals of care addressed, and if appropriate, be offered hospice or home-hospice services prior to hospital discharge.<sup>79</sup> Many older ICU survivors who have mild to moderate degrees of comorbidity, disability, and frailty are particularly susceptible to permanent disability and death in the first year after critical illness. Future research aimed at understanding how these variables interact will be fundamental to risk-stratifying and identifying these patients for appropriate post-ICU care.

## Conclusion

Outcomes in older survivors of critical illness vary widely as a function of the interaction between the acute critical illness, comorbid disease, pre-and post-critical illness functional status, and physiologic reserve. For most older ICU survivors, the most severe disability and highest mortality occur during the first 6 to 12 months after hospital discharge, suggesting that there may be a window for future studies and potential interventions to improve outcomes. While existing ICU risk-stratification models that predict in-hospital mortality rely heavily on physiologic variables around the time of ICU admission,<sup>54, 55</sup> future prognostic models for older ICU survivors will have to incorporate measures of disability, comorbidity, and frailty both before and immediately after the critical illness in order to more accurately risk-stratify and identify patients most suitable for post-ICU palliative, rehabilitative, and therapeutic interventions that may improve survival and/or quality-of-life after critical illness.

## Acknowledgments

**Sources of Support:** Dr. Baldwin is supported by the National Institutes of Health [KL2 TR000081, and by a Loan Repayment Grant from the National Institute on Aging].

The author is grateful for input and editorial assistance from Darryl Abrams, MD, and David J. Lederer, MD, MS.



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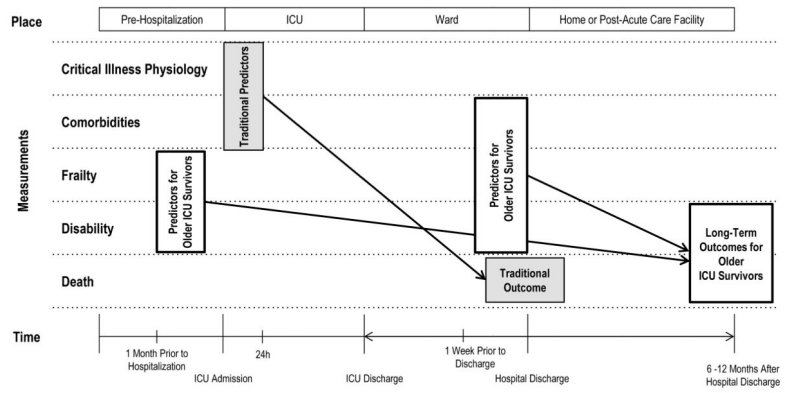
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### Key Messages

- The majority of deaths in older ICU survivors occur during the first 6–12 months after hospital discharge.
- Recent studies of older ICU survivors have created a new standard for critical care outcomes studies by carefully controlling for pre-morbid health, using a control group, and obtaining detailed assessments of physical and cognitive function after hospital discharge. They have shown that older ICU survivors experience large and persistent declines in cognitive and physical function after critical illness.
- Estimations of disability and frailty prior to the onset of critical illness and direct measurements of comorbidity, disability, and frailty just prior to hospital discharge will be essential in creating reliable 6–12 month risk-prediction models for older ICU survivors.





**Figure 1.** Existing ICU risk-stratification models\* designed to predict in-hospital or 28-day mortality rely heavily on physiologic variables around the time of ICU admission. Recent literature suggests that pre-hospitalization estimates of disability and frailty, and in particular measures of comorbidity, frailty, and disability near the time of hospital discharge, may be the most important predictors of long-term (6–12 month) disability and mortality for older ICU survivors. \*Acute Physiologic and Chronic Health Evaluation (APACHE), Simplified Acute Physiologic Score (SAPS)

**Table 1**  
Recent long-term mortality studies of older general medical and surgical ICU patients

Study Type & Author (Reference #)	Publication Year	Study Period	n	Restrictions	Ages (Years)	In-Hospital or 28-day Mortality	Only ICU-Survivors <sup>a</sup>	Long-Term Mortality			
								6-months	1-year	2-years	3-years
<b>Population Studies</b>											
Nielsson (13)	2013	2005–2011	6,266		80	-	Yes	-	-	-	-
			2,332	Medical	80	44%	Yes	-	25%	-	-
			2,581	Acute Surgery	80	40%	Yes	-	27%	-	-
			1,353	Elective Surgery	80	12%	Yes	-	12%	-	-
Wunsch (5)	2010	2003	35,308		65	-	Yes	14%	22%	31%	40%
			2141	MV	65	-	Yes	30%	39%	49%	58%
			33167	No MV	65	-	Yes	13%	20%	30%	38%
Kahn (16)	2010	1997–2006	18,660	Discharge to LTAC	65	-	Yes	42%	51%	-	-
<b>Cohort Studies</b>											
Fuchs (12)	2013	2001–2008	7,265		65	26%	Yes	-	25%	-	-
Baldwin (19)	2013	2005–2009	2,536	Medical-ICU only	65	-	Yes	28%	-	-	-
Roch (22)	2011	2001–2006	299		80	55%	Yes	-	37%	53%	-
Sacanelia (23)	2011	Not Stated	230	No Prior Functional or Cognitive Impairment	65	30%	Yes	-	21%	-	-
<b>Range</b>							Yes	13–42%	12–51%	30–53%	38–58

ICU: Intensive Care Unit; MV: Mechanical Ventilation; LTAC: Long-Term Acute Care

<sup>a</sup> Long-term mortality of ICU survivors was calculated from only those who survived to hospital discharge, and excluded patients who died in-hospital. Studies that did not calculate long-term mortality for ICU survivors instead calculated cumulative mortality that is defined as the cumulative percentage of patients dying after admission to ICU.

**Table 2**  
Recent long-term disability studies in older survivors of critical illness that control for pre-critical illness function

Author (Reference #)	Publication Year	Study Period	n	Mean (SD) Age in years	Pre and Post-Critical Illness Measurements	Interval Between Measurements	Duration of Follow-up After Critical Illness	Primary Finding
Barnato (7)	2011	1996–2003	26,072	76 (7)	Mobility and ADL disabilities	3 mo	1 yr	30% greater increase in ADL disabilities and worse mobility among MV survivors compared to those hospitalized without MV than would be predicted from pre-hospitalization functional status.
Iwashyna (36)	2010	1998–2005	1,194	76 (9)	Cognitive function and ADL + iADL disabilities	2 yr	up to 8 yr	Severe sepsis was associated independently with new moderate to from 6% to 17% and 1.5 new ADL or iADL limitations on average.
Iwashyna (37)	2012	1998–2006	623*	77 (9)	Geriatric Conditions†	2 yr	2 yr	Severe sepsis was associated with increased rates of only a subset of geriatric conditions, not all. Examining longitudinal trends in geriatric conditions for 3 years prior to severe sepsis, only low BMI increased significantly after severe sepsis. Failing to measure pre-illness levels and trajectories may lead to false-positive associations with impairments after severe sepsis.

MV: Mechanical Ventilation; ADL and iADL: basic Activities of Daily Living and independent Activities of Daily Living; BMI: Body Mass Index

\* sepsis hospitalizations (516 individuals)

† Falls, incontinence, low body mass index, poor vision, poor hearing, severe pain

**Table 3**

Characteristics associated with disability and/or death in older ICU survivors

Characteristic or Exposure	Measurement	Comment	Supporting Studies
Age		Independent predictor in more recent larger cohort studies, but not in older smaller cohort studies	10–14
Pre-Existing Disability	Admission from skilled-care facility, Katz ADLs, Barthel Index	Pre-hospitalization estimates of disability using more detailed surveys than the Katz ADLs need validation (e.g. Barthel Index).	4, 19, 20, 28, 58
Pre-Existing Frailty	CFS	Subjective measure that quickly identifies at risk patients	67
Severe Sepsis		Persistent physical disability and neurocognitive impairment for up to 8 years after treatment of the initial infection	36
Medical or Unplanned Surgical ICU Admission			13
Use of Mechanical Ventilation			5, 16
Chronic Critical Illness	PMV via tracheostomy 10 days	Highest reported mortality among older ICU survivors	16, 24
Burden of Comorbidity	High Charlson Comorbidity Score		14, 19
DNR Preference	DNR order at hospital discharge	DNR decision reflects a patient preference, and may also reflect a severity of chronic illness and frailty not captured with other measurements	19
Disability at Hospital Discharge	Discharge to skilled-care facility, Katz ADLs, Barthel Index	Less disability is predictive of full-functional recovery among 1-year older ICU survivors (23)	5, 16, 19, 23, 28
Frailty at Hospital Discharge	CFS or Fried's Index	Fried's frailty measurements identify deficits that may be targets for post-ICU interventions	19, 68

ADL: Activities of Daily Living; CFS: Clinical Frailty Scale; PMV: Prolonged Mechanical Ventilation; DNR: Do-Not-Resuscitate