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OUTCOME AND PROGNOSTIC FACTORS FOR CHILDREN WITH SUPRATENTORIAL PRIMITIVE NEUROECTODERMAL TUMORS TREATED WITH CARBOPLATIN DURING RADIOTHERAPY: A REPORT FROM THE CHILDREN'S ONCOLOGY GROUP

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Abstract

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Background—Supratentorial PNETs (sPNET) are uncommon embryonal malignancies of the central nervous system whose prognosis has historically been poor. We evaluated the outcome and prognostic factors of children with sPNET treated prospectively on a Children’s Oncology Group trial.

Procedure—Following surgery, patients received craniospinal radiotherapy with concurrent carboplatin followed by six months of maintenance chemotherapy with cyclophosphamide and vincristine.

Results—Five-year overall survival (OS) and progression-free survival (PFS) for all patients was $58 \pm 7\%$ and $48 \pm 7\%$. For patients with pineoblastoma ($n=23$), five-year OS and PFS was $81 \pm 9\%$ and $62 \pm 11\%$. Extent of resection but not M-stage was prognostic. Five-year OS and PFS for 37 patients with non-pineal tumors (NPsPNET) was $44 \pm 8\%$ and $39 \pm 8\%$, significantly worse than for PB ($p=0.055$ and 0.009 respectively). Extent of resection and major radiotherapy deviations were prognostic. Five year OS was $59 \pm 11.4\%$ for those undergoing complete resection versus $10.4 \pm 7\%$ for those who did not ($p=0.017$). Central pathologic review called 14 (38%) “classic” sPNET, 8 (22%) “undifferentiated” and 13 (35%) “malignant gliomas”. There was no significant difference between the subgroups, although survival distributions approached significance when the combined “classic” and “undifferentiated” group was compared to the “malignant gliomas”.

Conclusions—Carboplatin during RT followed by 6 months of non-intensive chemotherapy is a feasible treatment strategy for patients with sPNET. Aggressive surgical resection should be attempted if feasible. The classification of supratentorial small cell malignancies can be difficult.

Keywords

supratentorial PNET; brain tumor; radiosensitizer; prognostic factors; pineoblastoma; pediatrics

INTRODUCTION

Supratentorial primitive neuroectodermal tumors (sPNET) are malignant embryonal tumors of the central nervous system, accounting for only 2–3% of childhood brain tumors [1,2]. PNETs can occur in any location in the central nervous system (CNS) and although they share many morphologic and immunohistochemical features, they differ biologically, even within the same locations [3–6]. These biologic differences almost certainly play a role in the differences in prognosis, with the best outcomes reported in PNET of the posterior fossa i.e. medulloblastoma [7–9]. The outcome for patients with non-pineal sPNET (NPsPNET) has historically been the worst [10,11] with little improvement over time. The rarity of these tumors precludes the ability to undertake a study specific to sPNET, so they are typically included in studies for “high-risk” medulloblastomas and treated with full dose craniospinal radiation therapy (RT) and chemotherapy. An understanding of prognostic factors and the optimal treatment for these tumors is lacking.

Carboplatin not only has significant activity against PNET [12,13] but is also a potent radiosensitizer [14,15]. We previously reported the outcome for children with metastatic medulloblastoma treated on a cooperative group trial using carboplatin concurrently with craniospinal (CS) and boost RT [16] and herein report on the results of this approach in children with pineoblastoma and NPsPNET.

PATIENTS AND METHODS

Patients and Eligibility

Patients between the ages of three and 21 years with newly diagnosed sPNET were eligible. Staging evaluation included a post-operative brain and spine MRI and CSF cytology. Patients were classified as M0 when there was no evidence of metastatic disease and M+ if otherwise. Patients were classified as M1 when they had positive CSF cytology without other evidence of metastatic disease, M2 when they had supratentorial without spinal metastases and M3 when they had spinal metastases with (M3b) or without (M3a) supratentorial disease. A gross total resection (GTR) was defined as no evidence of residual tumor on the post-operative MRI. All patients had to begin therapy within 31 days of definitive surgery. Eligibility criteria based on organ function were previously reported [16]. The study is registered with ClinicalTrials.gov (NCT00003203).

Study Design

COG 99701 was a Phase I/II study for patients with “high-risk” PNET that included a dose-escalation phase followed by a comparison of maintenance chemotherapy (MC) with or without cisplatin. The craniospinal axis was treated first and all patients received 36 Gy in 1.8 Gy fractions followed by a boost of 19.8 Gy to the primary tumor site. Focal spinal cord metastases were boosted to 45 Gy if above the termination of the cord and to 50.4 Gy if below. Vincristine 1.5 mg/m² (maximum 2 mg) IV was administered weekly × six during radiation therapy. Patients received carboplatin over 15–20 minutes, one-four hours before each fraction of radiation. The dose and duration of carboplatin was assigned at study entry using a Phase I dose escalation design, as previously reported. [16] starting with 35 mg/m²/dose × 15 doses during the craniospinal component of therapy. Subsequent dose levels increased the number of doses of carboplatin up to 30 and thereafter the dose of carboplatin. Six to 12 patients were treated at each dose level depending on the number of patients experiencing dose limiting toxicity (DLT) during the 12 week evaluation period. Up to 24 additional patients could be enrolled on the highest safe dose level during evaluation of a higher dose. The maximum tolerated dose (MTD) was defined as the dose level immediately below that at which three or more patients in a cohort of six, or 4 or more in a cohort of 12, experienced a DLT.

Radiation was not withheld for myelosuppression alone but only for a “severe medical condition precluding radiation therapy”, not including fever and neutropenia as long as the patient was clinically stable. If a radiation treatment was not given, carboplatin was also held. Granulocyte-colony stimulating factor (G-CSF) was administered on the weekend if the absolute neutrophil count (ANC) fell > 1,500/μL on any Friday; if the ANC dropped < 1,000/μL on any Monday or Wednesday, GCSF was administered on that day and the following day. No dose modifications of carboplatin were made for myelosuppression. Vincristine was held for Grade 3 or 4 foot drop, paresis, disabling paresthesias, or ileus and resumed at 1 mg/m² once symptoms improved.

Six cycles of maintenance chemotherapy (MC) were administered six weeks after radiation was completed or when the ANC > 1,000/μL and platelets >100,000/μL. Patients were

initially non-randomly assigned to receive Regimen A MC consisting of cyclophosphamide 1,000 mg/m² on day zero and one of each four week course and vincristine 1.5 mg/m² given on day zero and seven. Once the recommended Phase II dose (RP2D) of carboplatin was determined, patients received the same MC with the addition of 75 mg/m² cisplatin on day zero (Regimen B). The cyclophosphamide dose was reduced by 25% if counts had not recovered by the time the next course was due. Audiograms were obtained prior to each course of cisplatin, with dose reductions dependent on the grade of toxicity.

Follow-up imaging was performed four to six weeks after the completion of RT, at three month intervals during chemotherapy and four to 12-month intervals thereafter. Progressive disease was defined as an increase of >25% in the area of residual disease compared to the best response at that site, or the reappearance of tumor in sites that had responded completely to therapy or the appearance of tumor in previously uninvolved sites.

RT Quality Assurance included central review of simulation fields, tumor coverage, dosimetry data, delivered doses and treatment duration. A dose deviation was considered major if the delivered dose differed from the protocol specified prescription dose by more than 10%. A volume deviation was considered major if the volume transected tumor or tumor-bearing areas.

OS and PFS were estimated using the product limit (Kaplan-Meier) method, with standard error via the Peto-Pike formula[17]. Survival distributions among subgroups were compared using the log-rank test. Association of survival distributions with continuous covariates was investigated using Cox proportional hazards regression models.

Central Review

Pathology slides were centrally reviewed by a reviewer blinded to outcome (PCB). Results were not used to determine eligibility. The analysis cohort (Figure 1) consisted of: 1.) all patients considered NPsNET by the enrolling institution with slides available for central review and 2.) pineoblastomas that were either centrally confirmed following slide review or if no slides were available, considered “centrally confirmed” based on review of their pathology reports, which described the tumors as highly cellular, mitotically active and synaptophysin positive (n=2).

A “classic” NPsNET required a densely cellular, at least in part, tumor with evidence of neuronal differentiation, such as the presence of ganglion cells or smaller “ganglioid” cells, neuroblastic (Homer Wright) rosettes, or unequivocal immunoreactivity for synaptophysin. “Malignant gliomas” were defined as highly cellular GFAP-positive tumors with absent or only focally present synaptophysin staining. “Undifferentiated” tumors were densely cellular tumors that were only focally if at all immunoreactive for synaptophysin and GFAP.

RESULTS

Between December, 1998 and September, 2004, 66 patients with sPNET were enrolled. Three patients were considered ineligible: one patient developed a second tumor prior to RT and was determined to have an atypical teratoid-rhabdoid tumor (ATRT) and two patients

who did not undergo a pre-contrast spine MRI. Additionally, one patient with NPsPNET did not have pathology slides available for central review and two patients with pineoblastoma did not meet the criteria for central confirmation. These six patients were excluded from the analysis. Of the remaining 60 patients (median age 11.3 yrs, range 3.1–21.6 yrs, 31 females), 23 had pineoblastomas and 37 had NPsPNET. Median follow-up time for surviving patients is 7.6 years. Five-year OS and PFS for all 60 patients were 58 ± 7 and $48 \pm 7\%$. No patient with sPNET developed a second malignancy.

Toxicity

There were no treatment-related deaths. Delayed myelosuppression occurring 2–3 weeks after the completion of RT and occasionally causing a delay in the initiation of MC was seen in a subset of patients starting at dose level 7 (35 mg/m^2). Specific radiation-related toxicities were not common, occurring in $< 10\%$ of patients at each dose level except at the highest dose level tested ($50 \text{ mg/m}^2 \times 6$ weeks) where 2 of 8 patients developed grade 3 skin breakdown and severe esophagitis. The majority of patients required G-CSF and/or transfusion support toward the end of radiation, particularly at the higher dose levels. Although the MTD was not determined as defined by the protocol, a logistic-regression dose-response analysis showed a clear increase in platelet transfusions as the total dose of carboplatin increased. Dose level 7 ($35 \text{ mg/m}^2 \times 6$ weeks) was therefore chosen as the RP2D.

Pineal sPNET (pineoblastoma)

Twenty-three patients with centrally confirmed pineoblastomas were enrolled; all but 2 patients were treated on Regimen A. Median age was 10.7 years (range 3.5–17.9 years); 14 (61%) were male. Seventeen patients (74%) had localized tumors (M0) while six patients had metastatic disease to the spine (M3a, $n=3$) or throughout the brain and spine (M3b, $n=3$) at the time of diagnosis. OS and PFS are $86 \pm 7\%$ and $77\% \pm 9\%$ at three years and $81 \pm 9\%$ and $62 \pm 11\%$ at five years. Median time to failure for those who developed progressive disease was 2.5 years (range: 1.4–4.6), and median time to death for those who died was 4.1 years (range: 1.9–5.8 years). Two of the five recurrences among 17 M0 patients had no local component (Table I).

In patients with localized disease, extent of surgical resection was a significant prognostic factor for PFS ($p=0.04$) (Figure 2) and approached significance for OS ($p=0.09$). Five year PFS was $87.5 \pm 12\%$ for those who underwent a GTR ($n=8$) versus $41.7 \pm 18.4\%$ for those who did not ($n=9$). There was no difference in outcome distributions based on M stage (M+ versus M0) ($p=0.49$ and 0.53 for PFS and OS, respectively). Only one of 16 patients with available RT records had a major deviation, precluding further analysis.

Non-Pineal sPNET

Thirty-seven patients with centrally reviewed NPsPNET were enrolled: 33 were treated on Regimen A and 4 on Regimen B. Median age was 12 years, (range 3.1–21.6 years); 15 (40%) were male. Three and 5 year OS and PFS for the group as a whole were $50 \pm 8\%$ and $45 \pm 8\%$ and $44 \pm 8\%$ and $39 \pm 8\%$, significantly worse than for those with pineoblastoma ($p=0.055$ and 0.009 respectively) (Figure 3). Median time to failure for those who developed progressive disease was 0.81 years (range: 0.15–7.9 years), and median time to

death was 1.5 years (range: 0.6–6.7 years). Seventeen of 20 (85%) recurrences among 30 M0 patients had at least a component of local failure (Table I).

Prognostic factors—Seven of 37 (18.9%) patients with sPNET had M+ disease at the time of diagnosis, including two patients with primary leptomeningeal tumors (both with M3 disease) and three patients with thalamic or intraventricular tumors with positive ventricular fluid cytologies (M1). No patient had M2 disease. There was no significant difference in outcome based on M stage ($p=0.21$ and 0.36 for PFS and OS respectively).

Extent of surgical resection was a significant prognostic factor for OS ($p=0.017$) in patients with localized disease and approached significance for PFS ($p=0.056$). Five-year OS was $59 \pm 11.4\%$ for those who underwent a GTR versus $10 \pm 7\%$ for those who did not (Figure 4). Eight of 29 (28%) patients with radiation therapy records available for review had a major deviation, which negatively impacted survival ($p=0.023$ and 0.13 for PFS and OS respectively). Five-year PFS was $55 \pm 11\%$ for those who received RT as per the protocol guidelines versus $12 \pm 8\%$ for those with a major RT deviation. All of the major deviations involved the administration of inadequate brain or boost volumes; no patient received a lower dose to the CS axis or primary tumor site.

Impact of central pathology review—Fourteen of 37 (38%) patients were centrally called “classic” PNETs and eight were considered to be “undifferentiated”. The remaining central pathologic diagnoses included: “malignant gliomas” (high-grade glioma, not otherwise specified, $n=9$; glioblastoma, $n=4$), anaplastic ependymoma ($n=1$), and sarcoma ($n=1$). Two of the “malignant glioma” cases were verified to be glioblastomas either at the time of second look surgery or at autopsy. There was no statistically significant difference in PFS and OS between the three subgroups of patients ($p=0.19$ and 0.21 , respectively). However, the five-year OS and PFS for the combined “classic” and “undifferentiated” group (Figure 5) was $47 \pm 11\%$ and $44 \pm 11\%$ versus $31 \pm 11\%$ and $23 \pm 10\%$ for those called “malignant glioma” by central review, with survival distribution differences that approached significance, $p=0.08$ and 0.07 respectively. Subset analysis of prognostic factors was precluded by the small number of patients.

DISCUSSION

Because the outcome for sPNET has historically been poor, and data for risk-stratification have been lacking, recent strategies have focused on intensifying treatment, either by using more intensive chemotherapy [18,19] or as in our study, by intensifying the up-front radiation component of treatment through the use of carboplatin, a radiosensitizer that also has efficacy against PNET[12,13]. The Goldie-Coldman model of therapeutic resistance predicts that the use of multiple therapeutic agents during the initial component of treatment reduces the likelihood of the emergence of resistant clones; radiation being modeled as a non-cross-resistant therapeutic agent in this regimen [20]. We previously reported the results using this regimen in medulloblastoma or PNET of the posterior fossa [16]. The regimen is well tolerated and of relatively short duration. Several studies in very young children < 3 years of age treated with chemotherapy alone [10,21], as well as in older children treated with radiation with or without chemotherapy [19,22,23] have illustrated the essential role of

radiation therapy in the treatment of sPNET. No study has shown that radiation therapy can be abandoned without sacrificing survival. We showed that major RT violations involving the volume of radiation administered to either the brain or primary tumor site resulted in inferior survival in patients with NPsPNET, further emphasizing the essential role of radiation therapy. The propensity to metastasize, as with all PNETs, makes CSRT the most conservative approach and it is currently considered part of the current standard of care, unless precluded by age. However, with the advent of more sophisticated biologic diagnostic tools to distinguish between sPNETs and high grade gliomas, the decision to use CSRT in the future should certainly take into account the biologic diagnosis, more so than the histologic diagnosis.

Although sPNETs can be at least temporarily responsive to chemotherapy [18,21] the role of adjuvant chemotherapy is less clear. A meta-analysis of the outcome of patients with pineoblastoma showed marginal, if any benefit with the addition of chemotherapy to surgery and radiation therapy [24]. Pizer [19] showed that the administration of pre-RT chemotherapy provided no benefit compared with RT alone in patients with sPNET. Our results in both the non-pineal and pineal groups compare favorably to previously published cooperative group series using CSRT with or without standard chemotherapy. The International Society for Paediatric Oncology (SIOP) PNET 3 study [19] reported a five year OS and event-free survival (EFS) of 42.5% (95% CI: 29.3–55.7) and 40.7% (95% CI: 27.6–53.8) for NPsPNET and 71.4% (95% CI: 47.8–95.1) and 71.4% (95% CI: 47.8–95.1) for PB. The Children's Oncology Group 921 study reported a five year OS of $34 \pm 20\%$ for NPsPNET [25] and a three year OS and PFS of $73 \pm 12\%$ and $61 \pm 13\%$ for pineoblastoma [10]. The German HIT 88/89 and 91 trial reported a three year PFS of 33.9% (95% CI: 20–47) for NPsPNET and 63.6% (95% CI: 35.2–92.1) for PB [22]. Two smaller studies in patients with sPNET evaluated the role of higher dose chemotherapy with stem cell support and risk adapted radiotherapy. One found a $24 \pm 10\%$ five-year EFS [26] while the other found a $68 \pm 14\%$ five year EFS [27]. The small size of these studies, particularly when subdivided by location, limits the ability to draw definitive conclusions.

We also found that patients with both pineal and NPsPNETs who underwent a gross total resection had a better outcome than those who did not, confirming results from a systematic literature review of pineoblastoma [24] as well as trends reported in smaller studies [8,25]. Therefore, even though the locations of these tumors may make surgical resection risky, neurosurgeons should at least consider an attempt at gross total resection.

The rate of discordance between institutional and central review diagnoses (14/37) was similar to a previous Children's Oncology Group study for high-risk PNET where 11/38 patients with sPNET were not centrally confirmed [25]. This reflects clinical reality, as pediatric small cell supratentorial malignancies can be notoriously difficult to categorize, particularly in cases without specific histological or immunohistochemical features [28]. Whereas pineal sPNETs are typically compact lesions and strongly synaptophysin positive, many NPsPNET are infiltrative, with little synaptophysin immunoreactivity as seen in the 8 patients classified as "undifferentiated". Thirteen of the 15 remaining cases were felt to be malignant gliomas by central review and two of these were confirmed to be glioblastomas at the time of second-look surgery or autopsy. An increasing number of studies are confirming

the biologic heterogeneity of tumors that morphologically appear to be sPNET [6,29,30]. In a molecular analysis of 142 institutionally categorized sPNETs, transcriptional and copy number profiles identified three molecular subgroups, categorized as being of primitive neural, oligoneural (likely glial) and mesenchymal lineage [6]. Moreover, further stratification of these tumors on the basis of their molecular features may support genomically-based categorization and potentially, treatment in future studies [30].

In conclusion, the use of carboplatin during RT followed by six months of non-intensive chemotherapy for patients with sPNET is well tolerated with at least comparable results to those seen with more intensive and longer-duration regimens. Gross total resection should be attempted if possible. Finally, classification of pediatric supratentorial non-pineal malignant neoplasms by histology alone is fraught with difficulties and future studies should prospectively incorporate the results of molecular studies.

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Glossary

CSRT	Craniospinal radiation therapy
GFAP	Glial fibrillary acidic protein
GTR	Gross total resection
M-stage	Metastatic stage
MC	Maintenance chemotherapy
MTD	Maximum tolerated dose
NPsPNET	Non-pineal supratentorial PNET
OS	Overall Survival
PFS	Progression free survival
PNET	Primitive neuroectodermal tumor
RP2D	Recommended Phase II dose
RT	Radiation Therapy
sPNET	Supratentorial primitive neuroectodermal tumor

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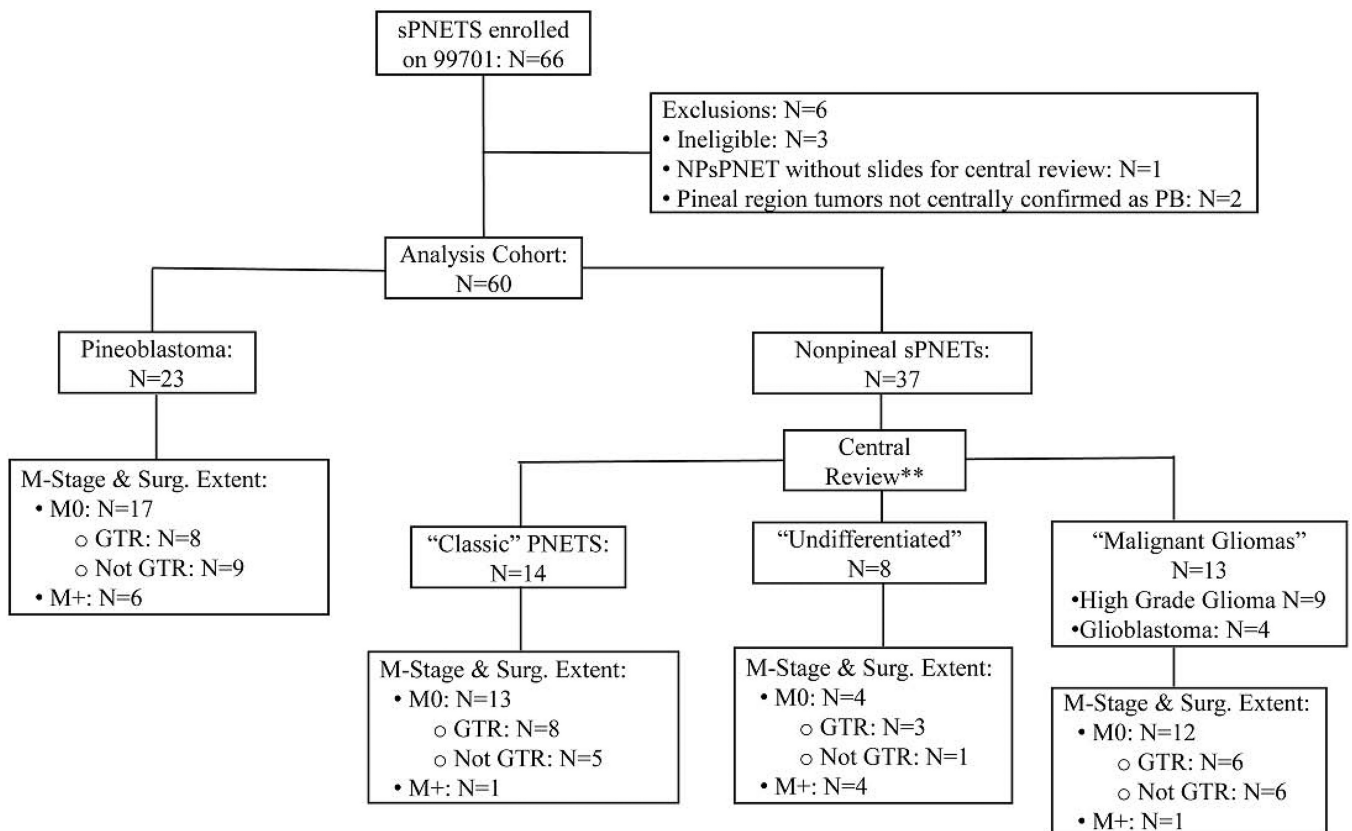
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** One patient with M+ anaplastic ependymoma and one with M0 sarcoma on central review were excluded from further analysis.

Figure 1.
Flow diagram of the analysis cohort and central review subgroups of NPsPNET

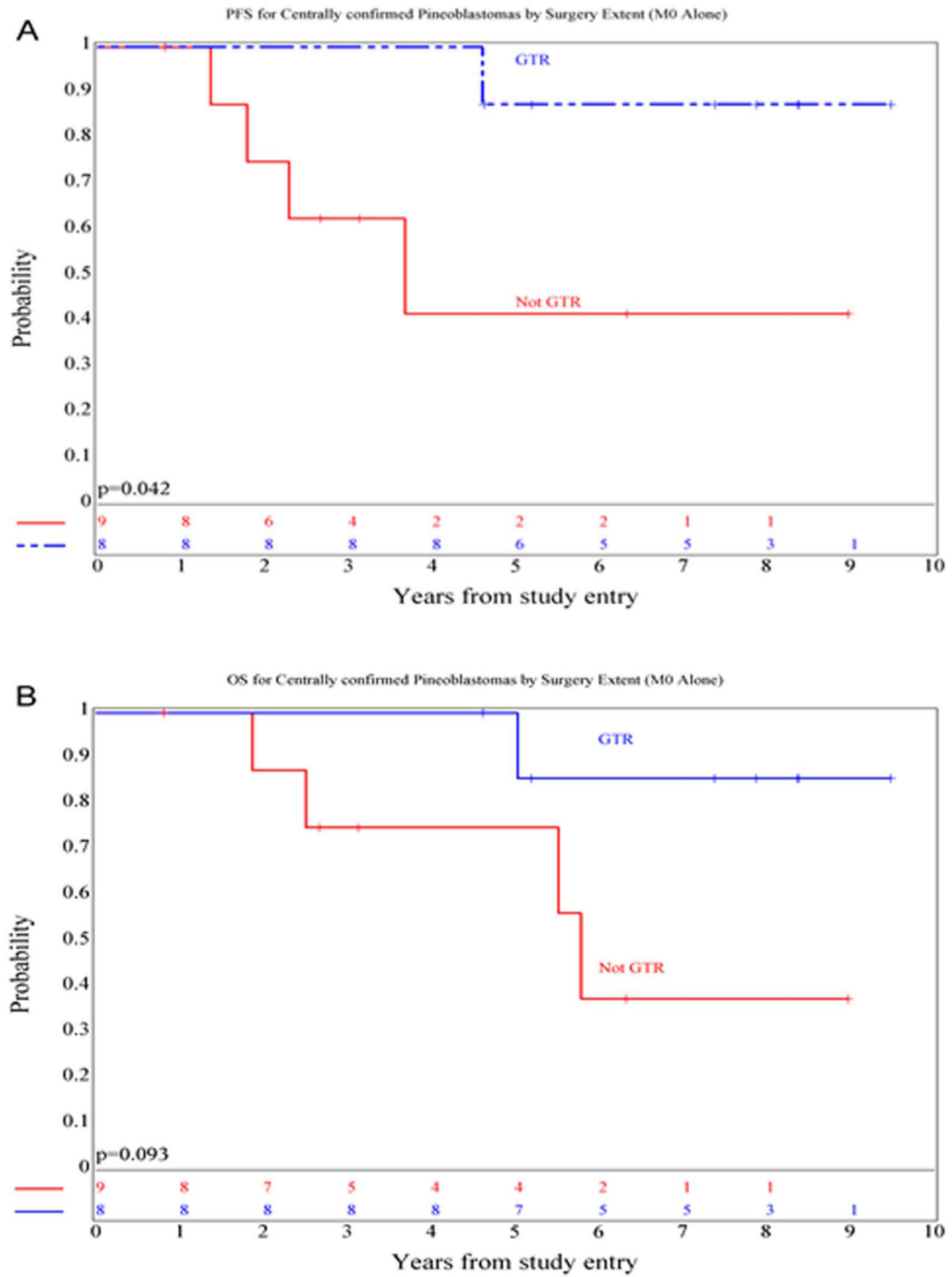


Figure 2. Kaplan-Meier curves showing PFS (A) and OS (B) distributions comparing patients with non-metastatic pineoblastoma who did or did not undergo a gross-total resection

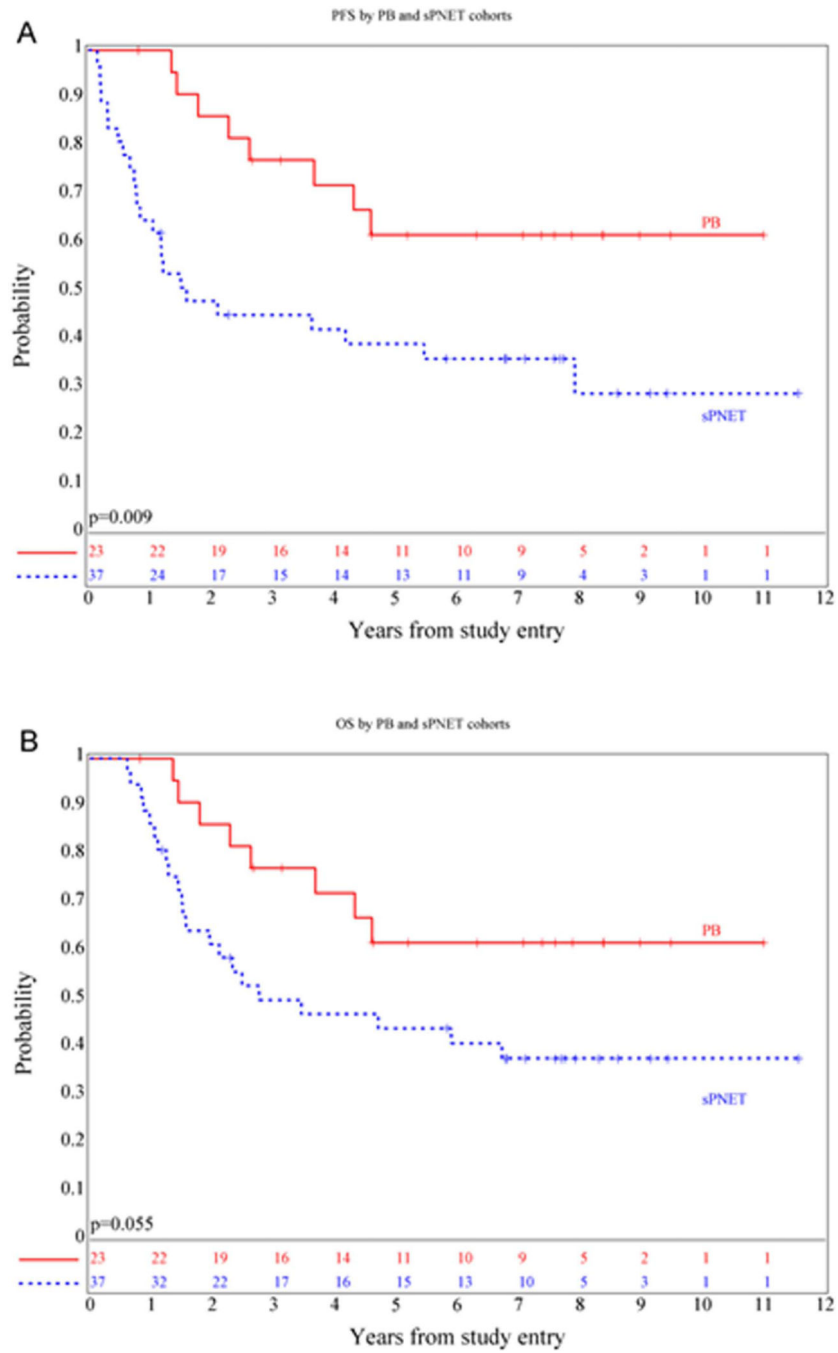


Figure 3. Kaplan-Meier curves showing PFS (A) and OS (B) distributions for patients with non-pineal sPNET compared with pineal region PNET.

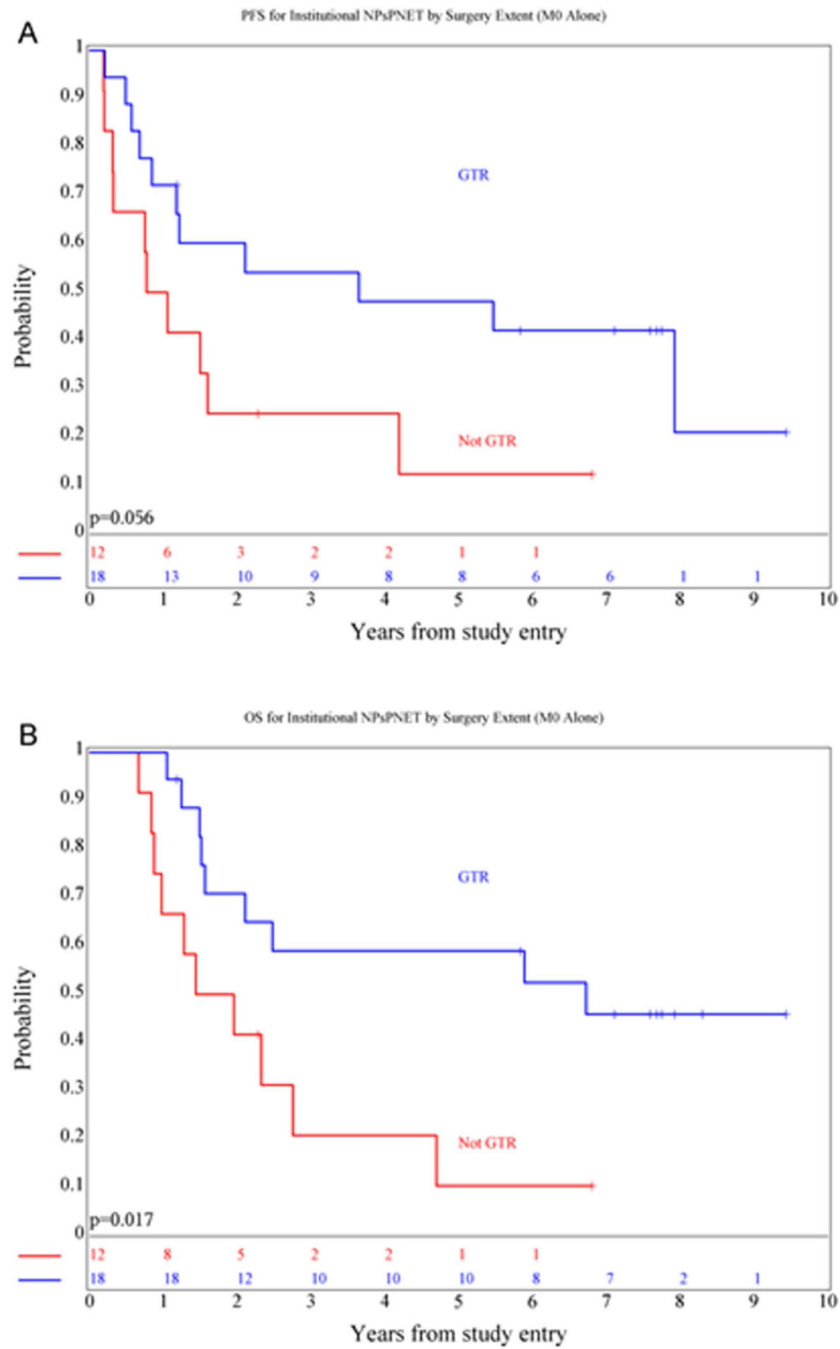


Figure 4. Kaplan-Meier curves showing PFS (A) and OS (B) distributions comparing patients with non-metastatic non-pineal sPNET who did or did not undergo a gross-total resection.

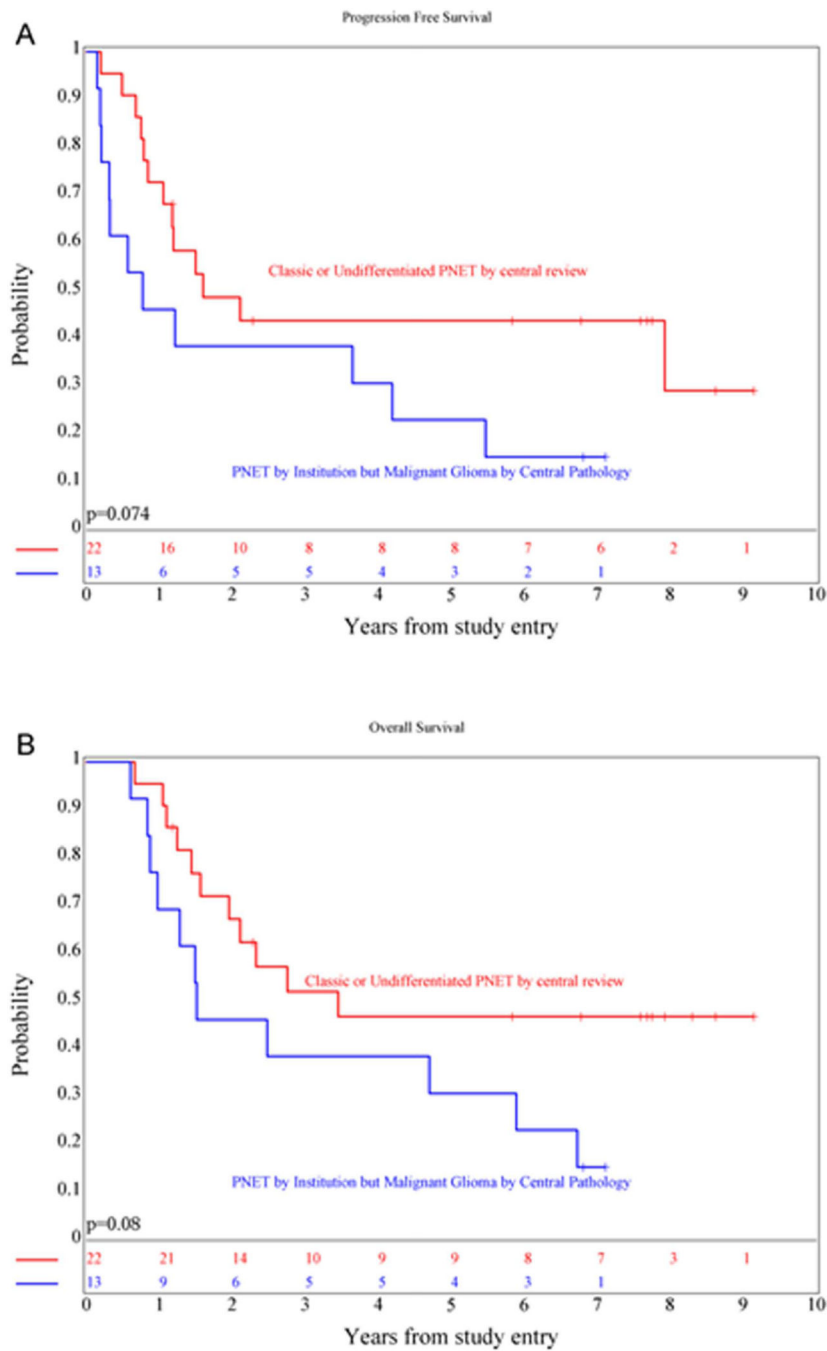


Figure 5. Kaplan-Meier curves showing PFS (A) and OS (B) distributions comparing NPsPNET patients who were called “classic PNET” or “undifferentiated” by central review versus those who were felt to have malignant gliomas.

Table 1

Sites of relapse for M0 patients

Institutional Pathology	Central Pathology	Relapse Type			All Patients
		Local	Distant	Local and Distant	
Pineoblastoma	Pineoblastoma	2	2	1	17
sPNET	Classic sPNET	5	1	0	13
	Malignant Glioma	8	0	2	13
	Undifferentiated Malignant Neoplasm/PNET	2	2	0	4