

Permafrost carbon–climate feedback is sensitive to deep soil carbon decomposability but not deep soil nitrogen dynamics

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Permafrost soils contain enormous amounts of organic carbon whose stability is contingent on remaining frozen. With future warming, these soils may release carbon to the atmosphere and act as a positive feedback to climate change. Significant uncertainty remains on the postthaw carbon dynamics of permafrost-affected ecosystems, in particular since most of the carbon resides at depth where decomposition dynamics may differ from surface soils, and since nitrogen mineralized by decomposition may enhance plant growth. Here we show, using a carbon–nitrogen model that includes permafrost processes forced in an unmitigated warming scenario, that the future carbon balance of the permafrost region is highly sensitive to the decomposability of deeper carbon, with the net balance ranging from 21 Pg C to 164 Pg C losses by 2300. Increased soil nitrogen mineralization reduces nutrient limitations, but the impact of deep nitrogen on the carbon budget is small due to enhanced nitrogen availability from warming surface soils and seasonal asynchrony between deeper nitrogen availability and plant nitrogen demands. Although nitrogen dynamics are highly uncertain, the future carbon balance of this region is projected to hinge more on the rate and extent of permafrost thaw and soil decomposition than on enhanced nitrogen availability for vegetation growth resulting from permafrost thaw.

carbon cycle | Earth system models | cryosphere | soil organic matter | permafrost thaw

As Earth warms in response to human CO₂ emissions, a critical uncertainty in the magnitude of expected warming is the degree to which changing climate will lead to changes in the carbon balance of terrestrial ecosystems and thus feed back on climate. High-latitude ecosystems underlain by permafrost soils are a plausible candidate to amplify warming, because they contain an enormous amount of soil organic carbon (1) that is currently stabilized by being frozen or saturated, but may warm and thaw in the future (2). However, high-latitude plant productivity is tightly linked to soil nutrient cycling; in these strongly N-limited ecosystems, increases in decomposition may lead to greater N availability and a consequent increase in plant growth that may mitigate C losses (3). Currently, the high latitudes appear to be undergoing a period of carbon cycle intensification characterized by both greater inputs and outputs (4), and central estimates of a synthesis of site-level observations, regional inversion studies, and process models suggest an overall strengthening of the regional C sink (5). Continued warming of these ecosystems will likely be accompanied by continued increases in plant growth and soil C losses; ecosystem models suggest a near cancellation of C gains and losses (6). However, these estimates may underestimate the role of deeper soil C stored in permafrost, whose magnitude is now thought to be larger than earlier estimates suggested (1). Site-based accounting of permafrost C stocks suggests that the quantity of such carbon made vulnerable with warming can be large (7), and that losses from this deep, old C may be the dominant long-term high-latitude response to warming (8).

Most Earth system models (ESMs), which to date have not accounted for many processes associated with thawing permafrost, project high-latitude carbon sinks accompanying warming (9–11). The unique feature of permafrost-affected soils is that there exists a depth beyond which summertime warmth is insufficient to thaw the soil. This limit leads to a separation between surface layers (in which there are both plant-derived C inputs and respiratory losses) and deep layers, which, while they remain frozen, have little C cycle activity but, upon thaw, can potentially have large respiratory losses that are not compensated by inputs (12). Several recent climate-scale land models have included a vertical dimension to soil biogeochemical cycling to resolve depth-dependent changes in soil organic matter (SOM) respiration rates, with either carbon initialization to match soil C maps (13) or via slow mixing by cryoturbation between the seasonally thawed active layers and deeper permafrost layers (14). Including these processes leads to a sign change in the projected high-latitude carbon response to warming, from net C gains driven by increased vegetation productivity and storage resulting from warming and CO₂ fertilization to net C losses from enhanced SOM decomposition (13, 15). This qualitative result is supported by simplified permafrost models (7, 16, 17). However, many uncertainties remain on the response magnitude, including (*i*) the extent and rate of physical active layer deepening and permafrost loss with warming (18, 19); (*ii*) the role of water-saturated anoxic soils in reducing CO₂ losses (20), increasing CH₄ emissions, and generating fine-scale heterogeneity in responses; (*iii*) the degree that N mineralized with decomposing permafrost C can fertilize

Significance

As the climate warms, the carbon balance of arctic ecosystems will respond in two opposing ways: Plants will grow faster, leading to a carbon sink, while thawing permafrost will lead to decomposition and loss of soil carbon. However, thawing permafrost also releases nitrogen that fertilizes plant growth, offsetting some carbon losses. The balance of these processes determines whether these ecosystems will act as a stabilizing or destabilizing feedback to climate change. We show that this balance is determined by the rate at which permafrost carbon decomposes as it thaws, and that the stabilizing effects of nitrogen from permafrost is weaker than the destabilizing carbon losses from those soil layers.

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plant productivity to offset C losses (21, 22); and (iv) the rate and extent to which decomposition occurs in deeper soils after thawing.

The purpose of this paper is to explore the relative magnitudes accompanying warming of carbon losses due to enhanced decomposition versus carbon gains due to increased vegetation productivity in response to elevated CO₂ mole fraction, ameliorated growing condition, and N fertilization resulting from enhanced decomposition. In particular, we are interested in the question of how deep SOM initially in permafrost layers may behave after thawing, via its role as both a source of C to the atmosphere and N to stimulate vegetation productivity.

Materials and Methods

We have included within the Community Land Model, version 4.5 (CLM4.5BGC) (23–27), a basic set of permafrost processes to allow projection of permafrost carbon–climate feedbacks. This model differs from previous permafrost C cycle models by also including a full N cycle, which allows consideration of N limitations on plant productivity, and therefore allows changing soil decomposition to affect productivity via N availability.

Soil C turnover in CLM4.5 is based on a vertical discretization of first-order multipool SOM dynamics (23, 24),

$$\frac{\partial C_i(z)}{\partial t} = R_i(z) + \sum_{j \neq i} (1 - r_j) T_{ji} k_j(z) C_j(z) - k_i(z) C_i(z) + \frac{\partial}{\partial z} \left(D(z) \frac{\partial C_i}{\partial z} \right) + \frac{\partial}{\partial z} (A(z) C_i),$$

where C_i is the carbon in pool i at vertical level z , R_i are the carbon inputs to pool i , T_{ji} is a transfer matrix of decomposition from pool j to pool i , k_i is the decay constant of pool i , and D and A represent vertical transport by diffusion and advection, respectively. The k_i is modified by the soil environment for all pools,

$$k_i = k_{0,i} r_T r_w r_O r_z,$$

with $k_{0,i}$ an intrinsic, pool-specific rate, r_T the direct temperature control ($Q_{10} = 1.5$), r_w the liquid moisture control, r_O the oxygen control, and r_z the direct depth control, which is defined as

$$r_z = \exp\left(-\frac{z}{Z_c}\right)$$

with Z_c a depth control parameter discussed below. We use a vertical grid with 30 levels that has a high-resolution exponential grid in the interval 0–0.5 m and fixed 20-cm layer thickness in the range of 0.5–3.5 m to maintain resolution through the base of the active layer and upper permafrost, and reverts to exponentially increasing layer thickness in the range 3.5–45 m to allow for large thermal inertia at depth. All other parameters are as listed in ref. 24.

To understand the role of N cycle in mediating C cycle responses, we define a C-only model, following the approach of ref. 28, in which gross primary productivity (GPP) is not limited by soil mineral nitrogen, but instead each Plant Functional Type (PFT) has a constant fractional reduction in the rate of photosynthesis to give equivalent preindustrial net primary productivity (NPP). This reduction is calculated by finding the time-constant N limitation factor for each PFT that gives the same total PFT-integrated NPP for preindustrial conditions (repeating 1901–1920 meteorology, 1850 CO₂) as the coupled C–N model (*SI Appendix, Table S1*).

Our experimental design is an offline analog to a Coupled Carbon Cycle Climate Model Intercomparison Project (C⁴MIP) experimental setup (29) under an unmitigated CO₂ increase scenario, and includes (i) control; (ii) biogeochemically forced, i.e., plants experience the physiological effects of elevated CO₂ while climate is not impacted by CO₂ radiative effects; (iii) climatically forced, i.e., ecosystems respond to warming but not the physiological effects of CO₂; and (iv) fully forced, so that both physiological and climate effects of increasing CO₂ are considered. Land use and N deposition are identical for all cases.

We force CLM4.5BGC with time-varying meteorology, CO₂ concentration, N deposition, and land use change to estimate the C cycle response to global change. The atmospheric forcing data for 1850–2005 are taken from the combined Climatic Research Unit and National Center for Environmental Prediction (CRUNCEP) dataset (data available at dods.ipsl.jussieu.fr/igcmg/IGCM/BC/OOL/OL/CRU-NCEP/), which merges high-frequency variability from the National Centers for Environmental Prediction–National Center for Atmospheric Research reanalysis (30) with the monthly mean climatologies from the CRU temperature and precipitation datasets (31). Projection period forcing is calculated by applying monthly climate anomalies/seasonal factors from a Community Earth System Model, version 1 (CESM1)

simulation for the scenarios Representative Concentration Pathway 8.5 (RCP8.5) for the years 2006–2100 and Extended Concentration Pathway 8.5 (ECP8.5) for the years 2100–2300 to repeating 1996–2005 CRUNCEP meteorology. For constant climate (control and biogeochemically forced) runs, atmospheric data are repeated over the period 1901–1920. CO₂ concentrations follow transient historical (1850–2005), RCP8.5 (2006–2100), and ECP8.5 (2101–2300) concentrations for biogeochemically and fully forced runs, and remain fixed at 1850 levels (284.7 ppm) for control and climatically forced runs. Atmospheric N deposition is from coupled atmospheric chemistry–climate runs (32), land use follows the historical (1850–2005) and RCP8.5 (2006–2100) scenarios (33), and both are transient in all cases. After 2100, land use is static and wood harvest is zero.

We consider sensitivity of the model to two separate processes: N modulation of C cycle feedbacks and the role of deep versus shallow SOM. To examine N control on C cycle feedbacks, we compare the C–N and C-only model configurations discussed above.

To understand the role of deep versus shallow C, we vary the decomposability of deep C in the model. As discussed above, heterotrophic respiration (HR) is limited in CLM4.5 by temperature, moisture, and oxygen (resolved controls). Our previous work with CLM4.5 showed that these resolved controls are insufficient to predict both observed total C and ¹⁴C SOM profiles in temperate soils (23). This result is consistent with some (34), but not all (35), recent modeling analyses using similar vertically resolved carbon decomposition models. To address this issue, we defined in CLM4.5 an e-folding distance, Z_r , that modifies HR by decreasing the respiration flux from each pool as an exponential function of depth (23). This depth control of HR is intended to represent net impacts of soil microbial controls, pore-scale oxygen transport, mineral sorption, priming effects, aggregation, and other unresolved processes, which observations suggest reduce decomposition rates at depth beyond the limitations of temperature, moisture, and bulk oxygen availability (36, 37). To the extent that such direct depth effects are due to long-term processes such as limitation by microbial activity or priming, they may not apply to highly nonequilibrium cases such as thawing permafrost, and because there is a large amount of SOM C in the 1- to 3-m depth interval in permafrost regions (1, 7), the decomposability of this carbon to warming represents a potentially important feedback with climate. We explore the sensitivity of the permafrost carbon–climate feedback to vertical (0–3 m) gradients in soil decomposability with a perturbed parameter experiment, comparing cases with high ($Z_r = 0.5$ m), medium ($Z_r = 1$ m), and low ($Z_r = 10$ m) additional limitation on decomposition with depth.

A recent model intercomparison (38) highlighted the large uncertainties in a broad suite of terrestrial carbon cycle models. We note that the model used here (CLM4.5) was not present in that intercomparison but does perform relatively well compared with the FLUXNET (39) estimates for GPP (*SI Appendix, Fig. S1*). We also note that, while CLM does include the hydrological impedance of drainage by permafrost (27), it does not include subgridscale heterogeneity in soil moisture, and therefore may overestimate overall respiration rates as it does not maintain a fully saturated fraction of grid cells.

Results and Discussion

The imposed warming leads to large losses of near-surface permafrost area and volume by 2300 (Fig. 1A and *SI Appendix, Fig. S2*); most of the thaw occurs in the period 2050–2150, which is somewhat delayed relative to losses seen in fully coupled land–atmosphere modeling experiments using an earlier version of CLM (40). This relative delay is due partially to our use here of observationally derived atmospheric forcing data, which leads to colder simulated preindustrial soil temperatures. Taking the mean across the permafrost domain, defined as areas initially having permafrost within 3 m of the surface, the environmental changes have a strong effect on soil decomposition rates (Fig. 2A–D). The direct temperature effect is modest, as the temperature control is represented with a Q_{10} value of 1.5. The stronger controls on SOM turnover are liquid moisture availability, which is a function of unfrozen water content and therefore is sharply increased when soils thaw, and oxygen, which becomes a weaker limitation when permafrost thaws and water is able to drain from the soil. The product of these terms thus reverses its vertical profile from the initial period in which decomposition proceeds more slowly at depth (in permafrost) than at the surface (active layer) to one in which decomposition proceeds more rapidly at depth (in perennially thawed talik) than at the surface (seasonally frozen ground).

gaseous and dissolved N losses, plant N uptake and storage dynamics, fine-scale processes such as polygon dynamics and heterogeneous thaw processes including the possibility of rapid carbon mobilization due to thermokarst, and biogeophysical climate feedbacks, in addition to the overarching uncertainties in permafrost thaw rates and soil C dynamics. Reducing these uncertainties will require experimental designs to measure coupled C and N dynamics during thaw progression to better understand both the decomposability of permafrost C and how the N mineralized during decomposition affects ecosystem productivity.

The results presented here—that large C losses are possible from the permafrost region, whose magnitude is strongly governed by the dynamics of deeper decomposition, and that large losses are unlikely to be compensated by N fertilization accompanying decomposition—underscore the importance of considering permafrost carbon dynamics in ESMs. Permafrost soils may produce a strong, albeit delayed, C response to global change, and must

therefore be included in assessments of long-term C cycle feedbacks to climate change.

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