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# SUMO-mediated regulation of DNA damage repair and responses

#### Prabha Sarangi<sup>1,2,#</sup> and Xiaolan Zhao<sup>1,\*</sup>

<sup>1</sup>Molecular Biology Program, Memorial Sloan Kettering Cancer Center, New York, NY 10065, USA

<sup>2</sup>Programs in Biochemistry, Cell, and Molecular Biology, Weill Graduate School of Medical Sciences of Cornell University, New York, NY 10021, USA

#### Abstract

Sumoylation plays important roles during DNA damage repair and responses. Recent broad-scope and substrate-based studies have shed light on the regulation and significance of sumoylation during these processes. An emerging paradigm is that sumoylation of many DNA metabolism proteins is controlled by DNA engagement. Such "on-site modification" can explain low substrate modification levels and has important implications in sumoylation mechanisms and effects. New studies also suggest that sumoylation can regulate a process through an ensemble effect or via major substrates. Additionally, we describe new trends in the functional effects of sumoylation, such as bi-directional changes in biomolecule binding and multi-level coordination with other modifications. These emerging themes and models will stimulate our thinking and research in sumoylation and genome maintenance.

#### Overview: the expanding universe of SUMO

SUMO (Small Ubiquitin-like Modifier) is a protein modifier that plays key roles in a wide range of cellular processes, making it essential for the viability of most eukaryotes. Like ubiquitin, SUMO is covalently linked to its substrates by a series of dedicated enzymes (Figure 1 and Box 1). Through dynamic alterations of a substrate's biochemical properties, sumoylation and its reverse reaction, desumoylation, can elicit rapid and reversible biological changes. Research in the late 1990s and 2000s elucidated the enzymology of SUMO conjugation and de-conjugation, as well as the regulatory mechanisms for a few well-characterized substrates [1–4]. The broad-scope biochemical studies that followed, particularly those in recent years, have uncovered thousands of additional substrates in fungi, plants, invertebrates, and vertebrates [5–17]. In the meantime, focused, substrate-based studies have begun to describe in detail the various effects that sumoylation can have

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<sup>\*</sup>To whom correspondence should be addressed: Tel: +1 212 639 5582; Fax: +1 646 422 2062; zhaox1@mskcc.org. #Current Address: Dana-Farber Cancer Institute, Boston, MA 02215, USA

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on the function of individual proteins. These parallel and complementary lines of work have provided new insights into the biological significance of sumoylation on many levels, from the global to the specific, from its pathological consequences for human disease to its molecular influence on a single reaction.

#### Box 1

The principle of the sumovlation and desumovlation cycle. Sumovlation is a highly conserved process mechanistically similar to ubiquitylation but with SUMO (Small Ubiquitin-like Modifier)-specific enzymes. The maturation of SUMO is catalyzed by cysteine proteases called desumoylation enzymes (also referred to as desumolyases or SUMO-specific proteases). This cleavage reveals the terminal di-glycine motif required for conjugation to lysine residues on substrates. Mature SUMO is activated in an ATPdependent manner by the dimeric E1 activating enzyme, and is then transferred to the E2 conjugating enzyme via a thioester transfer step. The E2 can conjugate SUMO to the target lysine through an isopeptide linkage either by direct recognition of the substrate or with the assistance of E3s (or ligases) that serve as substrate adapters. The reaction is reversed by the action of desumoylases, releasing the substrate and free SUMO for further rounds of modification. Most organisms have only one E1 and one E2, but several E3s and desumoylases. Plant and metazoan cells have higher numbers of enzyme isoforms of E3s and desumovlases compared with lower eukaryotic cells. The number of SUMO isoforms also varies among organisms. While yeast and lower eukaryotes encode only one form of SUMO, higher eukaryotes express several. In humans, SUMO-1 is different from SUMO-2/3 in sequence, expression and chain formation ability, and the three forms can target either the same or different substrates.

An arena that has seen particularly rapid progress pertains to the multiple processes that govern genome maintenance. Consistent with early findings that dysregulated sumoylation confers genome instability [18, 19], recent screens have found that SUMO substrates are enriched for enzymes and regulators of DNA metabolism [10–15]. These proteins as a group are also tightly regulated by other post-translational modifications (PTMs) [14–16, 20–26], highlighting the fact that preserving genome integrity requires the complex coordination of dynamic events by multiple PTMs. Here, we discuss several paradigms emerging from recent studies on SUMO-based regulation of DNA metabolism. Our topics include the tight regulation of substrate sumoylation and its implications, new features of the biological effects of sumoylation at both global and individual substrate levels, as well as the crosstalk and comparison with other PTMs. For additional topics, we refer readers to some excellent recent reviews [27–29].

#### Low level of substrate sumoylation can be linked to on-site modification

Amongst different PTMs, sumoylation is particularly known for its low level of modification as typically only a small percentage of a protein is sumoylated [3, 4]. While several hypotheses have been proposed to explain this phenomenon, based on recent work on DNA metabolism proteins, we suggest that this phenomenon could be explained by sumoylation only occurring when proteins are engaged with DNA substrates. We refer to

this concept as "on-site sumoylation", and summarize below the supporting evidence and its implications.

An early study demonstrated that sumoylation of the polymerase sliding clamp PCNA (Proliferating Cell Nuclear Antigen) depends on DNA association [30]. Recent characterization of additional substrates shows that this is not an isolated example; rather, the prerequisite of DNA association for sumoylation is a general trend. For instance, the non-homologous end joining (NHEJ) repair protein Yku70, the base excision repair protein Thymine DNA Glycosylase (TDG), the Rad1 nuclease, the viral polymerase processivity factor UL44, and the Fanconi anemia pathway proteins FANCI and FANCD2 all require DNA association for sumoylation [31–35]. In the case of the yeast Yku70 and human TDG proteins, which function upstream in their respective repair pathways, mutations abolishing their DNA binding prevent their sumoylation [34, 35]. Similarly, a DNA binding mutation in the PCNA-like UL44 protein also impairs its sumoylation [31]. In some cases, DNA repair engagement, rather than simply DNA association, is required for sumoylation, as seen for the yeast Rad1 nuclease and human FANCI and FANCD2 proteins [32, 33]. Taken together, these new findings in different organisms suggest that DNA association and repair engagement are conserved requirements for the sumoylation of multiple DNA metabolism proteins. Because typically only a small proportion of proteins is actively engaged with DNA substrates or in repair at a given time, on-site sumoylation provides an explanation for the low abundance of the sumoylated forms of these proteins.

We envision that on-site sumoylation is applicable to many additional substrates involved in DNA transactions based on further correlative evidence. First, sumoylation levels of some of these proteins increase in situations when they are more active. For example, the sumoylation of several DNA repair proteins is induced when cells are treated with the DNA damaging agents that elicit repair activities from that protein, with higher genotoxin dosage having a stronger effect [6, 33, 36]. Along the same lines, sumovlation levels of some substrates peak in the cell cycle stage at which they are most active [15, 37, 38]. Second, the absence of upstream DNA repair factors reduces the sumoylation of downstream proteins in homologous recombination (HR), nucleotide excision repair (NER), and inter-strand crosslink repair [5, 6, 32, 33, 39]. Third, substrates are hyper-sumoylated when their DNA association is prolonged, either by mutation of their catalytic sites or inhibition of downstream reactions. Topoisomerases and Rad1 specifically illustrate the former scenario [33, 40, 41], while the recombination protein Rad52 and the NER factor Rad4 provide examples of the latter [36, 42, 43]. Collectively, these findings show that sumovlation of many DNA metabolism proteins is tightly controlled in a manner correlated with their function. Based on these, we suggest that on-site sumoylation is likely generally applicable for many DNA metabolism proteins.

#### Possible mechanisms and implications of on-site modification

In principle, on-site sumoylation can be achieved by the following non-mutually exclusive possibilities (Figure 2); 1) conformation or property changes upon DNA association make substrates amenable to modification (referred to as "DNA priming"), 2) induced proximity between substrates and SUMO enzymes on DNA favors sumoylation (referred to as

"enzyme-substrate proximity"), and 3) DNA association shields substrates from desumoylation (referred to as "DNA-based shielding"). Among these possibilities, the first two have already received substantial experimental support, as detailed below.

The "DNA priming" model is bolstered by the observation that most of the aforementioned substrates undergo conformational changes upon DNA binding [44–48]. In addition, *in vitro* sumoylation of Rad52 is stimulated by single stranded DNA (ssDNA), which induces a conformational change in Rad52 [44]. Furthermore, sumoylation of PCNA by the SUMO ligase Siz1 is enhanced by double stranded DNA (dsDNA) even when the Siz1 DNA binding site is mutated [30]. Both these studies suggest a direct role for DNA in priming the substrate for modification. In these cases, we envision that DNA can serve as an allosteric factor for the sumoylation of DNA metabolism proteins through priming the substrates. An important implication of this idea is that it in turn provides an explanation for the conundrum that a few SUMO enzymes can specifically modify large numbers of substrates, as selectivity may be achieved by changes in the substrate, rather than by changes on the enzyme side. Future work will be needed to test this model more rigorously using additional substrates and with higher resolution techniques, including biophysical tools.

The second possibility of "enzyme-substrate proximity" is also supported by several lines of evidence [6, 27, 49, 50]. For example, the PIAS SUMO ligases were shown to colocalize with DNA double strand breaks (DSBs) and break repair substrates in mammalian cells [49, 50], and the Ubc9 SUMO E2 and Siz2 ligase were shown to interact with the DNA break resection protein Mre11 in yeast [6]. It is likely that the two mechanisms, namely "DNA priming" and "enzyme-substrate proximity", operate simultaneously to achieve maximal onsite sumoylation levels. To our knowledge, no direct evidence has yet been reported for "DNA-based shielding" from desumoylation, but this will be an interesting possibility to test in the future. Additional work will also be needed to clarify the step-wise requirements for substrate sumoylation during on-site sumoylation. We note that DNA-independent control of sumoylation of DNA metabolism proteins does occur, an example of which is the tumor suppressor protein p53 [51]. Further exploration of both on-site and DNA-independent sumoylation for proteins involved in diverse DNA metabolism processes, such as DNA replication and transcription, will provide additional understanding of the control of sumoylation in genome maintenance.

## From small to big: small changes collectively lead to large biological effects

The highly specific sumoylation events described above disfavor the idea that low level sumoylation is attributable to random bystander events and thus phenotypically irrelevant. Detailed examination of over a dozen DNA metabolism proteins has revealed that specific biological defects arise from loss of sumoylation in the case of every substrate (Table 1). In some cases, loss of sumoylation of a single substrate generates strong defects, but in most other cases, this causes much milder phenotypes than does loss of global sumoylation. These different effects can be explained by two models. We refer to the first as the "ensemble effect" model, whereby SUMO exerts a strong influence by inducing small changes in multiple proteins, and the second as the "star effect" model in which SUMO exerts a strong

influence by eliciting large changes in the function of one or a few key factors (Figure 3). In this section, we discuss the main evidence for both models and their implications.

Two recent studies in yeast showed that loss of sumoylation of several proteins involved in HR confers a stronger phenotype than eliminating the sumoylation of only one substrate. In the first study, only mutating the sumoylation sites on five HR proteins involved in DNA strand exchange or annealing, namely Rfa1, Rfa2, Rfa3, Rad52 and Rad59, produced detectable defects in recombination and DNA damage resistance, whereas mutating those on a single protein did not [6]. In the second study, limiting the sumoylation of the DNA end resection factors Sae2 (Sporulation in the Absence of Spo Eleven) and the Mre11-Rad50-Xrs2 (MRX) complex resulted in a stronger defect in processing DNA ends at DSBs than compromising that of either [52]. The ensemble effect also appears to occur at telomeres [37, 53–56]. For example, sumoylation of the telomerase regulators, namely Cdc13 and Tpz1 in budding and fission yeast, respectively, restrains telomerase function by facilitating the action of the telomerase inhibitor complex Stn1-Ten1 [37, 53, 54]. However, eliminating Cdc13 sumoylation causes less severe telomere defects than those arising from impaired global sumoylation in a SUMO E2 mutant [37]. As several other telomere proteins are also sumoylated, it is possible that their sumoylation can compensate for the lack of Cdc13 sumoylation [37, 56]. Validation of this notion awaits the identification and simultaneous mutation of sumoylation sites in additional telomere proteins.

The effects of sumoylation of fission yeast Tpz1 are much stronger than that of budding yeast Cdc13 [37, 53, 54], suggesting an organism-based difference and providing an example for the star effect model. Another example for this model is that of the FANCI and FANCD2 proteins whose sumoylation appears to be critical for their function in DNA repair [32]. We note that both models represent extreme case scenarios, and an intermediate model wherein sumoylation of some substrates outweighs that of others in terms of biological significance likely applies in certain situations.

From a fitness perspective, the ensemble effect confers robustness, buffering the whole system against effects engendered by the loss of modification of a few substrates. The star effect hinges upon a single or few modifications, the lack of which would compromise function. Though less robust, this may be useful in situations when SUMO serves as a pivotal molecular switch. As many sumoylated proteins are found in the six main DNA repair pathways (Table 2), and in other DNA metabolism processes, such as DNA replication and chromatin regulation [5, 6, 11, 42, 57], expanding the research on additional substrates in the future will further evaluate the applicability of both models.

Examples fitting both models have also been shown for other PTMs in the DNA damage response (DDR). For example, checkpoint-mediated phosphorylation targets multiple DNA repair factors, and this division of labor ensures the ability to mount an efficient and flexible response to a large spectrum of insults, albeit within it, modification of some substrates elicits larger effects than that of others [58–64]. Similarly, multiple ubiquitylation events at DNA repair sites in mammalian cells coordinately promote the DDR such that elimination of single ubiquitylation events results in different extents of defects in DNA damage

#### Several emerging trends in the varied functional effects of SUMO

Studies of sumoylation substrates in different cellular processes have demonstrated that SUMO influences protein function in multiple ways [69]. A wide spectrum of functional effects of SUMO is also seen for DNA metabolism proteins (Table 1 and Figure 4). Examples include effects on protein stability, solubility, localization, activity, and association with proteins and DNA. Below, we highlight some emerging trends on the biological effects of sumoylation in the DDR based on the most recent work.

One newly observed trend is that SUMO can exert multiple effects on a single substrate. For example, sumoylation of Rad52 reduces its ssDNA binding and annealing activities, and promotes its interaction with the Ufd1 segregase subunit for its removal from DNA [44, 70]. Both effects limit recombination. In contrast, sumoylation of Rad52 was also shown to prevent its degradation and foster its interaction with Rad51, a key recombination factor [36, 70], thus having pro-recombination effects. A challenge for the future will be to determine whether these different regulatory effects occur in a temporal sequence at different stages of recombination, or if they occur in different repair contexts based on cell cycle stage, genomic locus, or recombination subpathway. This information will help us grasp more completely the range of effects that sumoylation can elicit from a single protein.

Several recent studies also highlight the possibility that SUMO acts as a molecular glue to promote multiple interactions within a group of interacting proteins (Figure 4A). This idea was originally suggested for the PML (Pro-Myelocytic Leukemia) body nuclear structure [71], and more recently for the HR, DNA damage checkpoint, and SLX4 proteins [6, 72– 74]. In these cases, several sumoylated proteins also possess SUMO-interacting motifs (SIMs), and thus can support multivalent interactions within the group of proteins through multiple SUMO-SIM interactions. In the case of PML, the "SUMO glue" supports the formation of a membrane-free compartment [71, 75]. It will be interesting to see how generally applicable this effect is. While SUMO can underlie group interactions in several DDR processes, it is important to note that the effects of SUMO on protein-protein interactions are diverse and not restricted to the above multivalent glue mode. For example, SUMO-SIM interactions can support simple binary protein interactions [76-79], and SUMO can even disfavor protein interactions in some cases, a so-called "anti-glue" effect [52, 80-82] (Figure 4B). In addition, when the pro- and anti- protein interaction effects of sumoylation occur on the same substrate toward different binding partners, sumoylation may lead to a binding partner switch [83, 84] (Figure 4C). As protein ubiquitylation and phosphorylation also modulate protein-protein interactions by mediating group- and pairwise interactions during the DDR [85-87], a future question is how the different modifiers foster specific protein interactions in the DDR particularly when the same sets of proteins are subject to multiple kinds of modifications.

While the role of SUMO in mediating protein-protein interactions has been long recognized and well acknowledged, recent work has revealed widespread effects of sumoylation on

association with DNA or chromatin. Early work had shown that sumoylation of TDG impairs its DNA binding [88]. Similar effects have recently been reported for several other substrates, such as Rad52, Rad1, the NHEJ factor Lif1, the helicase PICH (Plk1-Interacting Checkpoint Helicase) and p53 [33, 44, 51, 89, 90] (Figure 4D). In all cases, the sumoylated protein exhibits reduced DNA binding in vitro. The mechanism of this effect is best understood for TDG: conjugation of SUMO at the C-terminal domain of TDG causes a conformational change that affects its N-terminal DNA binding domain [91]. In the case of Rad1, SUMO may pose a steric hindrance to DNA binding, as sumoylation occurs in a domain implicated in DNA binding in the mammalian homolog [92]. In addition to these in vitro studies, in vivo chromatin association assays have provided additional examples wherein sumoylation disfavors DNA association directly or indirectly. For example, sumovlation of FANCI, FANCD2 and the DNA damage sensor MDC1 leads to their removal from chromatin by the SUMO-targeted ubiquitin ligase (STUbL) RNF4 [32, 93]. In addition, deficiency in sumovlation correlates with higher chromatin association for TopoII [38]. As some transcription factors also show this trend [94–96], it is likely that SUMOmediated chromatin dissociation, either via direct alteration of DNA interaction or through stripping enzymes such as segregase or STUbL, is a general regulatory mode shared by several classes of DNA-binding proteins. The biological significance of such effects may be to remove an enzyme after function, as suggested for TDG and Rad1 [33, 88] (Figure 2), or to prevent non-productive DNA binding, as suggested for p53 [51], among other possibilities.

There are fewer reports for a positive role of SUMO in protein association with DNA or chromatin (Figure 4E). An early example is that of the Top2-SUMO fusion promoting its localization at centromeric chromatin [97]. A more recent example is that of the yeast Yku70 protein whose sumoylation at its C-terminal tail favors its DNA association [34] (Figure 4). As the Yku70 tail regulates its DNA binding [46, 47], sumoylation at this region may provide additional DNA binding interfaces through SUMO [98, 99], or impede the Ku ring from sliding inward on DNA [34].

It is noteworthy that the effects of SUMO on substrate DNA association in several cases are moderate [33, 34, 97], suggesting that sumoylation is suitable for fine-tuning this attribute of DNA repair. This could be important for proteins subject to intricate regulation such as those with multiple functions, or for specific situations, such as high lesion burdens as was shown for Rad1, where the effect of sumoylation is detectable only when lesion levels are high [33]. Understanding how SUMO can promote or weaken substrate-DNA interaction will require both mechanistic studies using purified sumoylated proteins, and *in vivo* approaches such as FRAP (fluorescence recovery after photo bleaching) for insight into mobility and chromatin association times. While these studies and others determining the range of substrates whose DNA binding is affected by SUMO are still awaited, the emerging trend from recent studies is that sumoylation provides an important tool to sculpt the landscape of proteins on chromatin.

The diverse regulatory effects of sumoylation discussed above (Table 1) are shared by other PTMs such as phosphorylation and ubiquitylation [27, 85, 87]. Such regulatory versatility is perfectly apt to control DNA metabolism processes that are both static at cytological levels

by occurring within certain nuclear domains, and at the same time also highly dynamic through rapid alterations of protein and DNA interactions. It is possible that multivalent interactions, such as those mediated by multiple SUMO-SIM interactions, are more useful for the static aspects of these processes, while others, such as binary SUMO-SIM interactions and SUMO-dependent changes in DNA association, are effective in supporting the dynamic ones. The seamless integration of these different levels of control ultimately leads to a robust DDR.

#### Crosstalk with other PTMs in DNA repair and DDR

The DDR elicits waves of multiple PTMs and extensive crosstalk among them. The bestcharacterized example of this is the response at DSBs, in which ubiquitin ligases and DNA damage checkpoint kinases serve as recruitment and retention signals for modification enzymes and DNA repair factors in higher eukaryotes [29, 85, 100]. More recently, important roles for SUMO in activating both ubiquitin- and checkpoint kinase-mediated signaling pathways were revealed. Initially, two simultaneous reports showed that SUMO ligases are required for the formation of ubiquitin conjugates and for DNA repair [49, 50]. Since then, additional studies have revealed a variety of ways in which SUMO can regulate ubiquitin and checkpoint signaling at DSBs. We highlight a few examples of these effects.

Several recent studies show that SUMO influences the localization of ubiquitin ligases to DNA lesion sites. Sumoylation of three ubiquitin ligases, namely BRCA1 (breast cancer 1, early onset), HERC2 (HECT and RLD domain containing E3 ubiquitin protein ligase 2), and BMI1 (B lymphoma Mo-MLV insertion region 1 homolog), promotes their accrual at damage sites [50, 101, 102]. In the case of BRCA1, hybrid chains formed by ubiquitin and SUMO are bound more strongly by the SIMs and ubiquitin-interacting motifs (UIMs) of the repair scaffold protein RAP80 to facilitate BRCA1 accumulation [103, 104]. In addition, two studies suggest that a new SUMO ligase SLX4 can use its SIMs and UIMs for its targeting to different sites of DNA metabolism [73, 74]. The SIMs of SLX4 are important for targeting to fragile replication sites and DSB zones, while UIMs are required for its localization to interstrand crosslink sites [73, 74, 105, 106]. Thus, the same protein can bind to ubiquitin or SUMO to influence different types of genome stress responses.

Crosstalk between sumoylation and DNA damage checkpoint kinase pathways has also been recently revealed. Sumoylation promotes the full activation of checkpoint phosphorylation in yeast [5], whereas this requirement becomes much greater in mammals, as sumoylation of ATRIP (ATR-interacting protein), the binding partner of the main DNA damage checkpoint kinase ATR (ATM and Rad3-related), is required for checkpoint activation [72]. Sumoylation of ATRIP facilitates the recruitment of ATRIP and downstream proteins to DNA damage sites, likely via SUMO chain-mediated group interactions amongst the ATR pathway proteins [72]. Another paradigm shared between lower and higher eukaryotic cells is that compromised checkpoint signaling leads to increased sumoylation [5, 6, 107]. In human cells, this is followed by a decline in the levels of chromatin-bound sumoylated proteins due to RNF4-mediated degradation [107]. Interestingly removal of RNF4 rescues the replication fork collapse seen in ATR-deficient cells, suggesting an intricate interplay among sumoylation, ubiquitylation and checkpoint [107].

Besides crosstalk at the level of PTM enzymes as exemplified above, combinatorial modifications are seen at the level of substrates. Examples of independent modifications are Sae2 and the NER factor Rpb1 [52, 108]. In the case of Sae2, sumoylation and phosphorylation act concertedly to promote its solubility and function [52]. A very nice example of sequential cascades of modification is seen in the FEN1 nuclease: phosphorylation primes sumoylation, and sumoylation in turn leads to ubiquitylation and proteolysis [109]. These examples of intricate relationships among modification enzymes and modifications on shared substrates highlight the crosstalk between PTMs. It is likely that the combinatorial power of PTMs in the regulation of cellular functions is far greater than our current knowledge, and much more is yet to be uncovered about the additional means by which they support the spatial and temporal regulation of critical functions.

#### **Concluding remarks**

Large-scale screens for sumoylated proteins and targeted studies of individual substrates have shed much light on seminal questions regarding the regulation of sumoylation and its roles in the DDR and genome maintenance. Much of the evidence points to a far-reaching model wherein SUMO fine-tunes different functions of numerous proteins in situ to achieve a large biological effect. Key technical hurdles in the field have thus far been the preservation and detection of low abundance sumoylated forms and the unambiguous identification of sumoylation sites. There has been technical progress in these areas, such as the use of modified SUMO to aid purification and mass spectrometry analysis and the development of antibodies recognizing specific forms of SUMO or SUMO remnants [10, 11, 14–17]. Additionally, as SUMO often elicits small functional changes, uncovering the phenotype of a non-sumoylatable mutant is frequently challenging. Combining sumoylation mutants of multiple proteins functioning in the same step or pathway can be informative. Furthermore, better in vitro purification and characterization of sumoylated proteins are required to complement in vivo genetic and cell biological analyses of non-sumoylatable mutants. Lastly, while many insights continue to be gained from simple model systems such as yeast, further analysis of substrates and regulation in higher eukaryotic cells are necessary to flesh out key similarities and differences among species. Applying these approaches will continue to reveal unifying themes in SUMO-based regulation of genome maintenance across organisms in the future.

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#### Highlights

- Sumoylation of many DNA metabolism proteins is tightly controlled by DNA engagement.
- SUMO can influence a process through an ensemble effect or via major substrates.
- SUMO causes diverse effects, such as bi-directional changes in biomolecule binding.
- Crosstalk between SUMO and other modifiers occurs at multiple levels during DDR.



#### Figure 1. The dynamic SUMO cycle

The maturation, activation, conjugation and deconjugation of the SUMO moiety (shown in 3D structure rendering) are depicted. SUMO enzymes are colored pink, and the substrate is in blue.

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#### Figure 2. Possible mechanisms of on-site sumoylation

Three models are drawn. Note that they can occur independently or sequentially, and only the latter is depicted for simplicity. Substrates (blue, circle) can undergo conformational changes upon binding to DNA lesions, as reflected by the change in the shape of the blue symbol, which may promote sumoylation via the proposed "DNA priming" model ①. Alternatively or additionally, DNA lesion binding may bring substrates in close proximity with sumoylation enzymes (pink oval). This mechanism, namely "enzyme-substrate proximity" ②, can function together with the DNA priming mechanism to promote substrate sumoylation at lesion sites, as indicated by the blue symbol with the miniature of SUMO

structure on it. Sumoylation of proteins can lead to various effects, two of which are drawn here, namely DNA dissociation (left) or ubiquitin-dependent degradation (right). In the former case, the dissociated protein can be quickly desumoylated to allow recycling of the protein. By associating with DNA or repair substrates, the protein may be protected from desumoylation in a model called "DNA-based shielding" (3).



#### Figure 3. Two models for SUMO-based regulation of DNA metabolism functions

SUMO is depicted as its 3D structure rendering. Blue ovals represent substrates. The magnitude of the effects of sumoylation is reflected by the sizes of ovals and the thickness of the arrow underneath them. The ensemble effect model (left) suggests that sumoylation of each substrate has moderate effects, which collectively lead to strong biological consequences. The star effect model (right) suggests that sumoylation of key substrate(s) has strong biological effects, while that of others does not produce any effect. We note that these models are depicted to reflect extreme scenarios for the ease of discussion; intermediate situations can occur as well (not shown).



Figure 4. A few examples of the diverse functional effects of SUMO on DNA metabolism proteins SUMO-SIM (SUMO-interacting motif) binding promotes group interactions amongst proteins acting in the same pathway by multivalent interaction (protein group glue, A).
Sumoylation can also promote dispersal from multimers (anti-glue, B), or a dynamic switch in protein interactions (C). In addition, SUMO can enhance either dissociation from DNA (D) or protein association with DNA (E, adapted from the study on Yku70 [34]). SUMO is depicted as an oval marked S.

Effects of s	sumoylation on DNA	repair proteins			
Substrate <sup>d</sup>	Function(s) $b$	Molecular effect(s) of sumoylation	Modified lysine(s)	E3(s) <sup>c</sup>	Ref(s)
DNA associa	ition				
Yku70	DNA end binding	Enhance DNA association	K588,591,592,596,597	Mms21, Siz1,2	[34]
Rad1	DNA endonuclease	Lower DNA binding	K32	Siz1, 2	[33]
Protein inter	ractions				
RPA	ssDNA binding	Promote RAD51 interaction	K449, 577	N/D	[110]
ATRIP	ATR kinase partner	Promote checkpoint protein interaction	K234, 289	N/D	[72]
Srs2	DNA translocase	Inhibit SUMO-PCNA interaction	K1081, 1089, 1142	Siz1,2	[80]
PCNA	Polymerase clamp	Favor Srs2 interaction, may disfavor Ecol interaction	K127, 164	Siz1,2	[76, 77, 84]
Saw1	Nuclease scaffold	Favor Slx4 interaction, disfavor Rad1 interaction	K221	Siz1,2	[83]
Protein level	l and stability				
FENI	Flap endonuclease	Promote its degradation	K168	N/D	[109]
MDC1	DNA checkpoint	Promote its degradation	K1840	PIAS4	[63]
FANCI	Damage recognition	Promote its degradation	K4,638,646,715,1248, 1288	PIAS1, 4	[32]
XPC	Damage recognition	Stabilize the protein	K655	N/D	[39]
Protein solul	bilty				
Sae2	DSB end clipping	Increase soluble Sae2 protein levels	K97	Siz1,2	[52]
Activity					
BRCA1	Ubiquitin E3	Stimulate its E3 activity in vitro	N/D	PIAS1, 4	[50]
Localization	/recruitment				
XRCC4	DNA ligase scaffold	Promote nuclear localization	K210	PIAS1, $x\beta$	[111]
TDP1	Phosphodiesterase	Promote DNA damage site localization	K111	N/D	[112]
Top2	Topoisomerase	Promote centromeric localization	K1220, 1246, 1277	Siz1,2	[97, 113, 114]
Multiple effe	ects				
Lif1	DNA ligase scaffold	Reduce ssDNA binding and self-association	K301	Siz1,2	[89]

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[115, 116]

N/D

K317, 331, 334, 347

Promote RAD51 interaction, PML localization

DNA translocase

BLM

Table 1

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Substrate <sup>a</sup>	$\operatorname{Function}(\mathrm{s})^b$	Molecular effect(s) of sumoylation	Modified lysine(s)	$E3(s)^{C}$	Ref(s)
Rad52	Recombination mediator	Lower ssDNA binding/annealing, promote stability, nucleolar exclusion, Rad51/Ufd1 interaction	K10, 11, 220	Siz2	[36, 44, 70, 117]
TDG	DNA glycosylase	Decrease DNA binding, promote intermolecular SIM binding	K330	N/D	[88, 91, 118- 120]

 $^{a}$ Yeast protein names are with capitalized first letters followed by small letters, whereas mammalian protein names are all capitalized. The table lists only those substrates whose sumoylation effects have been studied in detail. Substrates are grouped by the effects of sumoylation.

 $b_{\mathrm{Only}}$  the major function is indicated for each substrate.

<sup>c</sup>The SUMO E3s in budding yeast include Siz1, Siz2 and Mms21. The mammalian SUMO E3s shown in the table include PIAS1, 4, and xβ. N/D denotes not determined.

#### Table 2

#### DNA repair pathways and examples of sumoylated proteins in each pathway

DNA repair pathway	DNA lesions <sup><i>a</i></sup>	Examples of sumoylated proteins <sup>b</sup>
Base excision repair	Base lesions, single strand breaks	Apn1, Mag1, Ogg1, Ntg1
Mismatch repair	Small insertions, deletions or mismatches	Mlh1, Msh3, Msh6, Pms1
Nucleotide excision repair	Bulky helix-distorting lesions	Saw1, Rad7, Rad25, Rad16
Post-replicative repair	Replication gaps, collapsed forks	PCNA, Rad5
Homologous recombination	Double-strand breaks, gaps	Sae2, Rad52, Rad59, Srs2, Sgs1
Non-homologous end joining	Double-strand breaks	Lif1, Yku70, Yku80

 $^{\it a}$  The main categories of DNA lesions recognized by each repair pathway are listed.

 $^{b}$ Examples of sumoylated substrates are from yeast studies [5, 6, 42].