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### THE FAMILIAL RISK OF AUTISM

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#### Abstract

**Importance**—Autism Spectrum Disorders (ASD) aggregates in families, but the individual risk and to what extent this is caused by genetic factors, or shared or non-shared environment remains unresolved.

**Objective**—To provide estimates of familial aggregation of ASD.

**Design, Setting and Participants**—A population based cohort of all Swedish children born 1982–2007. We identified all twins, full siblings, maternal and paternal half siblings and cousin pairs and all diagnosis of ASD to 31-December-2009.

**Main Outcome Measure(s)**—The relative recurrence risk (RR) measure familial aggregation of disease. The RR is the relative risk of autism in an participant given a sibling or cousin has the diagnosis, compared with the risk in a participant with no diseased family member. We calculated RR for both ASD and Autistic Disorder (AD). We estimated how much of the probability of developing ASD can be related to genetic (additive and dominance) and environmental (shared and non-shared) factors.

**Results**—In the sample of 2,049,899 children, 14,516 obtained an ASD diagnosis of which 5,689 were AD. The ASD RR was estimated to 153.0 (95% CI 56.7–412.8; 27 vs 6,273 per 100,000 person-years) for monozygotic twins, 8.2 (95% CI 3.7–18.1; 55 vs 805 per 100,000 person-years) for dizygotic twins, 10.3 (95% CI 9.4–11.2; 49 vs 829 per 100,000 person-years) for full-siblings, 3.3 (95% CI 2.6–4.2; 94 vs 492 per 100,000 person-years) for maternal half siblings, 2.9 (95% CI: 2.2–3.7; 85 vs 371 per 100,000 person-years) for paternal half siblings, and 2.0 (95% CI: 1.8–2.2; 49 vs 155 per 100,000 person-years) for cousins. The RR pattern was similar for AD but of slightly higher magnitude. We found support for a disease etiology including only additive genetic

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Sven Sandin, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

and non-shared environmental effects. The ASD heritability was estimated to 0.50 (95% CI 0.44-0.55) and the AD heritability was estimated to 0.54 (95% CI 0.44-0.64).

**Conclusion and Relevance**—Among children born in Sweden, heritability of ASD and AD were estimated to be approximately 50%. For an individual, the risk of autism is increased 10 fold if a full sibling has the diagnosis and about 2 fold if a cousin has the diagnosis. These findings may inform counseling families with affected children.

#### INTRODUCTION

Autism Spectrum Disorders (ASD) is affecting almost 1% of the population, and defined by impairments in social interaction and communication and the presence of restricted interests and repetitive behaviors. Autistic disorder (AD) is most profound form of ASD<sup>1</sup>.

Family studies found that ASD aggregates in families and early twin studies estimated the proportion of the phenotype variance due to genetic factors (the heritability), to be about 90%<sup>2–6</sup>, making it the most heritable of all developmental disorders. As a consequence, etiological research in ASD, focus predominantly on genetic factors<sup>7</sup>. While recent twin studies support high heritability<sup>5,6</sup> a large twin study<sup>7</sup> indicated substantial role for shared environmental influences. Results of family studies also raise questions about the relative influence of genetic factors<sup>8</sup> and contribute to uncertainty regarding the etiology of ASD.

Furthermore, previous studies have limitations. Twin studies often having only small samples limiting the reliability when studying rare diseases such as ASD. None of the previous studies represent a prospective population based random sample which raises concerns for potential biases introduced by population selection. Restricted follow up time, and possible differences in etiology for different ASD subtypes may also limit reliability.

Also while heritability estimates provide a valuable metric for the effects of genetic factors in the population, they do not provide any information on individual risk. Detailed etiological models will require accounting for risk on a population level, as well as providing quantitative information in a given individual, thus allowing for individualized disease prevention and treatment<sup>9</sup>. Recurrence risk express the risk of yet another affected family member in an already affected family. The relative recurrence risk measure this recurrence in relation to disease in families not yet affected but can be interpreted and compared between groups which may differ in disease prevalence. Consequently, there is a need for reliable estimates of heritability for ASD, as well as combine these population-based estimates with individual-level risk estimates providing a more precise and complete picture of the etiology of ASD.

To that goal we conducted a longitudinal cohort study of all births in Sweden between 1982 and 2007. Using all pairs of monozygote (MZ) and dizygote (DZ) twins, full siblings, half siblings and cousin pairs in the population we determined the family clustering of ASD by estimating relative recurrence risk (RR) within families, and assessed the importance of genetic vs. environmental factors associated with ASD.

#### METHODS

#### **Study Population**

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A birth-cohort of all children born alive in Sweden January 1, 1982 to December 31, 2006 was established using data from Swedish national registers including the Medical Birth Register<sup>10</sup>, Multi Generation Register<sup>11</sup>, Patient Register<sup>12–14</sup>, Twin register<sup>15</sup> and Statistics Sweden registers for vital statistics. Single-child families were excluded from the cohort. Twin zygosity was obtained from the Twin Registry, and was determined by DNA analysis in 86% of same-sex twins. For the remainder, an algorithm based on five parent-reported items assessing twin similarity, was used. The Swedish Multi Generation register contain identifiers for the parents of all children born 1932 and onwards. This allowed us to determine family relations; full- and maternal and paternal half-siblings and cousins using the unique identifiers of the parents and grand fathers of all Swedish children born 1982 through 2006. Cousins were derived between full siblings only. Further details in online appendix A. The study was approved by the ethics committee at the Karolinska Institutet, Stockholm, Sweden. Informed consent was waived by the ethics committee. Data are collected routinely by Swedish government agencies and were merged and anonymized by an independent government agency (Statistics Sweden), and the code linking the personal identification numbers to the new case numbers was destroyed immediately after merging. Therefore, informed consent was not required.

#### Ascertainment of autism and psychiatric diagnosis

In Sweden all infants and preschool children regularly undergo routine medical and developmental examinations. At age 4 a mandatory developmental assessment (motor, language, cognitive and social development) is conducted. Children with suspected developmental disorders are referred for further assessment by a specialized team in a child psychiatry unit or habilitation service. Diagnostic information is reported to the Patient Register. The register has nearly complete national coverage<sup>12</sup> of psychiatric diagnoses since 1973. With a rare disease the sensitivity is a smaller problem than the specificity of the diagnostic codes. For this we rely on previous validation studies of psychiatric codes generally<sup>12,14</sup> and for autism specifically<sup>16</sup>. With prospective follow-up until 31 December 2009. Autistic disorder (AD) was defined by codes from the "International Classification of Diseases", version 9 (ICD-9) 299. A/B/X and version 10 (ICD-10) F84.0 while ASD also included ICD-10 F84.1 (Atypical autism), F84.5 (Asperger's syndrome), F84.8 (Other pervasive developmental disorders) and F84.9 (Pervasive developmental disorder, unspecified).

#### Covariates

We considered several factors that might confound or modify the familial associations. Parental psychiatric history has been associated with autism in the offspring. Parental psychiatric history was classified as present/not-present for each parent separately using any psychiatric diagnosis at any time before the birth of the oldest child in a siblings or cousins pair using ICD 7th–10th revisions (eTable 4). We also obtained information on paternal and maternal age at birth of the child, birth year and sex.

#### Statistical methods

**Relative recurrence risk**—The RR for siblings is the risk of autism diagnosis in a sibling of an autistic child compared with a sibling to a non-autistic child. We calculated RR in families of different genetic relatedness; full-siblings, maternal and paternal half-siblings and cousins. Cousin-pairs were defined as cohort members having the same grandparents, but no parents in common. To allow a direct comparison between cousin RR and sibling RR we did not consider cousins between single-child-families.

We estimated the RR for ASD by Cox proportional hazards regression using the sibling attained age as underlying time scale<sup>17</sup>. Each individual in a sibling or cousin pair was entered into the cohort and followed for a diagnosis of autism starting from the age of one or from 1 January 1987, which ever came last. Each sibling/cousin was then followed to his first autism diagnosis, death, emigration or death or emigration of his non-autistic sibling or 31 December 2009, whichever came first. The exposure (autistic or non-autistic sibling) was treated as a time-varying covariate in the models. Each sibling in a family typically contribute to the calculations in two ways: as an exposed sibling and as a proband per pair. A sibling may also occur in more than one pair. Consequently we used robust standard errors to account for the dependence between (pairs of) individuals in a family<sup>18</sup>. Further details of the RR calculations is given in online appendix A.

For descriptive purposes we calculated the cumulative probability of ASD up to the age of 20 years (i.e. the prevalence) using the Cox regression. For the calculation of RR the Cox regression makes an implicit assumption of hazards ratios constant across time (age of the sibling). We verified the validity of this assumption by plotting the Schoenfeld residuals<sup>19</sup>.

A change in RR for later birth cohorts may be due to truncation of follow-up time or due to changes in incidence. The children born 1982 are followed for 28 years while the children born 2006 are only followed for three years. In the Cox model this could show up as a violation of the proportional hazards assumption which we tested for. To address this further we calculated the RR by birth cohorts using all available follow-up time.

The RR was calculated separately for monozygotic and dizygotic twins, full siblings, maternal and paternal half siblings as well as for cousins. We excluded twins from the sibling analyzes. We considered several factors that might confound the RR including parental psychiatric history, parental age, birth year and sex of the exposing sibling. As parental psychiatric history and parental age may be on a causal path between familial risk and adverse developmental outcome we fitted models adjusting for confounding with and without these covariates. We treated the covariates categorically as sex of the exposed sibling and of the proband, birth cohort (1982–86, 1987–91, 1992–96, 1997–2001, 2002–06), maternal age ( 35 years, >35 years) and paternal age ( 40 years, >40 years) of the exposed sibling, and paternal and maternal psychiatric history (yes/no) at birth of the oldest sibling.

**Heritability**—Autism diagnosis is a dichotomy (yes/no). By assuming a continuous normally distributed trait is underlying the observed autism diagnosis the correlation of autism diagnosis between family members can be estimated. These are called tetrachoric

correlations and are frequently calculated in family and twin studies to obtain approximate estimate of the genetic and non-genetic influences. Next we fitted liability-threshold models<sup>20(pp43–77)</sup> pp43–77. using MZ- and DZ twins, full siblings and paternal and maternal half siblings and cousins to decompose the variance in liability into a factor for additive genetic effect reflecting inherited additive effects of different alleles, non-additive genetic factors reflecting interaction effects between alleles at the same gene locus, shared environmental factors reflecting non-genetic influences that contribute to similarity within pairs of siblings and non-shared environmental factors reflecting experiences that make sibling pairs dissimilar. From each family one sibling pair was randomly included in the calculations.

Using likelihood ratio tests we compared the full model versus different smaller sub-models obtained by dropping both or only one of the four genetic and environment parameters in order to explain the observed data and pattern of variance using as few parameters as possible. The proportion of the ASD liability contributed by genetic factors, the heritability, was then calculated as the variance associated with the genetic term(s) divided with the total variance. Details of the models are presented in online appendix X.

All calculations were done for ASD and AD separately. All tests of statistical hypothesis were done on the two-sided 5% level of significance. We used SAS software version 9.3 and the R software version 2.15.2 (Linux 64-bit package 'survival' for Cox regression; package 'OpenMx' version 1.3.1–2179<sup>22</sup> for heritability).

Finally we also performed a few sensitivity analyses. We calculated ASD RR adjusting for 1-year birth cohorts using natural splines. To challenge that the ASD RR were dependent on family size, due to stoppage or fertility related, we calculated the full siblings RR in subgroups of family size (eTable 5).

#### RESULTS

The cohort included a total of 2,049,973 unique siblings/cousins; 2,642,064 full sibling pairs, 432,281 maternal half sibling pairs, 445,531 paternal half sibling pairs and 37,570 twins and 5,799,875 cousin pairs. We found 14,516 cases of ASD of which 5,689 (39%) had a diagnosis of AD (Table 1). The male/female sex ratio was 2.7 for ASD cases and 2.4 for AD cases.

For individuals with a sibling with ASD the cumulative probability of an ASD diagnosis at age 20 was estimated to 13% compared with 1.2% for individuals without an ASD sibling (figure 1).

#### Relative recurrence risk

Figure 2 presents adjusted RR for ASD and associated two-sided 95% confidence intervals for the different degrees of genetic distance between family relatives. The RR remained stable after adjustment for sex, parental psychiatric history and parental age. There was some support for confounding attributable to birth cohorts (figure 2, bottom panel). When adjusting for 5-year birth cohorts, sex, parental age and parental psychiatric history the RR

was 153.0 (95%CI 56.7–412.8; 27 vs 6,273 per 100,000 person-years) for monozygotic twins, 8.2 (95%CI 3.7–18.1; 55 vs 805 per 100,000 person-years) for dizygotic twins, 10.3 (95%CI 9.4–11.2; 49 vs 829 per 100,000 person-years) for full siblings, 3.3 (95%CI 2.6–4.2; 94 vs 492 per 100,000 person-years) for maternal half siblings, 2.9 (95%CI 2.2–3.7; 85 vs 371 per 100,000 person-years) for paternal half siblings and 2.0 (95%CI 1.8–2.2; 49 vs 155 per 100,000 person-years) for cousins. For crude RR see eTable 3.

RR for AD are presented in figure 3. Adjusting for 5-year birth cohorts, sex, parental age and parental psychiatric history the RR was 116.8 (95%CI 16.7–814.2; 14 vs 4,748 per 100,000 person-years) for monozygotic twins, 16.9 (95%CI 5.1–55.7; 25 vs 776 per 100,000 person-years) for dizygotic twins, 14.6 (95%CI 12.5–17.1; 124 vs 486 per 100,000 person-years) for full sibling 4.3 (95%CI 2.5–7.5; 33 vs 240 per 100,000 person-years) for maternal half siblings, 2.9 (95%CI 1.5–5.9; 31 vs 124 per 100,000 person-years) for paternal half siblings and 2.3 (95%CI 1.8–2.8; 18 vs 61 per 100,000 person-years) for cousins.

There was no statistically significant difference in RR between boy or girl offspring or in RR from male or female proband (figure 2, figure 3). The model goodness-of-fit supported the assumption of hazards being proportional over the time of follow-up. For the sensitivity analyzes of ASD RR for full siblings; adjusting for 1-year birth cohorts did not change the results (RR=9.9 (95%CI 9.0–10.8) ) and the ASD RR did not change in sub-groups of family size (online eTable 5).

#### Heritability

The unadjusted ASD tetrachoric correlation was estimated to 0.54 (SD=0.20) for MZ twins; 0.25 (SD=0.13) for DZ twins; 0.25 (SD=0.02) for full siblings; 0.11 (SD=0.04) for maternal half siblings and to 0.07 (SD=0.05) for paternal half siblings; (eTable1). The correlations for AD are presented in eTable2. The tetrachoric correlations adjusted for sex and birth cohort were almost identical (eTable1, eTable 2).

The model including additive genetic, shared and non-shared environment parameters was chosen as the full model under which nested sub-models were tested. The best fitting model was the model only including additive genetic and non-shared environment parameters (Table 2). Using this model the ASD heritability was estimated to 0.50 (95% CI 0.46–0.56) and the non-shared environmental influence was 0.50 (95% CI 0.44–0.55).

In the full model, also including the shared environment, the variance associated with the shared environment was estimated to 0.04 (95% CI 0.00–0.15), non-shared environment to 0.54 (95% CI 0.44–0.66) and heritability to 0.42 (95% CI 0.19–0.55). Using twins only the heritability was estimated to 0.52.

For AD the model only including additive genetic and non-shared environment parameters was the best fitting model as well (Table 2) and the AD heritability was estimated to 0.54 (95% CI 0.44–0.64).

#### DISCUSSION

Including more than 1.6 million families, to the best of our knowledge, this is the largest population based longitudinal study evaluating familial risk of ASD. The RR of ASD increased with increasing genetic relatedness. Genetic and non-genetic influences on the liability for ASD and AD were similarly important. The RR of ASD is 10.3 (95%CI 9.4–11.3; 49 vs 829 per 100,000 person-years), 3.3 (95%CI 2.6–4.2; 94 vs 492 per 100,000 person-years), 2.9 (95%CI 2.2–3.7; 85 vs 371 per 100,000 person-years) and 2.0 (95%CI 1.8–2.2; 18 vs 61 per 100,000 person-years) for full, maternal and paternal half-siblings and cousins respectively. Heritability of ASD was estimated to 50% (95%CI 46–56), suggesting that genetic factors explain half of the liability for autism. This is considerably lower than the 90% in earlier twin studies<sup>2–4</sup> and closer to the 38% (14–67) reported in a recent California twin study<sup>7</sup> but estimated with substantially higher precision In a Swedish twin cohort<sup>23</sup> of 12,000 children heritability of between 49% and 72% was reported for autistic-like traits (social impairment, communication impairment and restricted and repetitive behavior and interests).

Earlier twin studies showed only minimal non-shared environmental contribution to liability to ASD. The California twin study, in contrast, suggested substantial shared environmental influences. The large family data in our study indicated that such influences have only a negligible effect on ASD etiology. Despite differences in shared maternal prenatal environment, dizygotic twins and full siblings and maternal half siblings and paternal half siblings had comparable risks for ASD. In the presence of a familial confounding, factors effecting all members of a family, the RR is expected to be lower for the dizygotic twin compared with full siblings and for the maternal half-siblings compared with the paternal half-siblings. The interpretation of the RR of autism can be done in a wider context by comparing with the RR of schizophrenia, another neurodevelopmental disease that affect individuals later in life than does autism, with earlier overlap in diagnosis and with shared clinical an etiological features<sup>24</sup>. In a sample overlapping with the parents and grandparents of our study the RR was estimated to 8.5 for full siblings, 2.5 for half siblings and 2.3 for cousins<sup>25</sup>.

The differences visa-vi earlier research may be attributed to sampling, case ascertainment and analytic approach. Our study used a population based sample continuously following participants from birth. Previous twin studies relied on considerably less robust methodologies for case ascertainment, including self-referral, service registers, and parental reports on diagnosis. Even when detailed diagnostic assessment was done the participation rates were low and it could not be ruled out that participation was associated with presence of an autistic child in the family<sup>2</sup>, limiting generalizability. We adjusted for birth cohorts, addressing biases due to differences in length of follow-up with study participants in different birth years<sup>26</sup>. It is unclear how this was addressed and effected previous studies. We believe the effect of such a bias could inflate the shared environment component. Our low precision in RR for MZ and DZ twins illustrate well the problem in earlier small sized twin studies.

Factors effecting the variance for non-shared environment includes a misclassification of cases. This could possibly be due to differences in etiology across the different forms of ASD symptoms. Our data do not support this though as our results for the liability of ASD and AD were essentially the same.

The RR between different pairs of family members reflects the genetic influences on the trait and offers a quantitative measure of familial risk. Thus, the RR has an important interpretation which distinguishes it from the more theoretical measures of heritability. For example while genetic factors account for 50% of individual differences in liability to ASD, a sibling of a proband with ASD who shares 50% of the genes has a 10-fold increase in risk. This can potentially be applied at an individual level for family counseling.

Only few earlier studies have had the possibility to calculate the RR<sup>8,27,28</sup>. Two studies are presenting self-selected samples<sup>8,28</sup> and with limited family data. A recent Danish study provide reliable estimates using an excellent epidemiological sample similar to ours. They show lower RR, RR=7.5 for full siblings but with similar relative relation between full siblings and maternal and paternal half-siblings. Our sample include twice as many cases of ASD and more detailed family data including monozygotic and dizygotic twins and cousins. Our bigger sample also allowed us to investigate sex of offspring in some more detail. Several earlier studies have reported absolute sibling recurrence risk<sup>28–34</sup> but absolute risk is a cumulative measure which depends on the length of follow up (higher at age 15 years than at age 5 year) and will differ between populations. As elsewhere in epidemiology, where the relative risk is a preferred measure of disease risk, the RR circumvent these limitations.

This study has multiple strengths including the large, full-nation population-based sample with prospective follow-up and a health system with equal access. In addition to sibling pairs we were also able to include cousins and twins including zygosity information and to adjust for parental psychiatric history. To estimate the RR we used time-to-event methods to avoid introduction of bias due to differences in follow-up time for different participants. Analyzing risk between siblings and not requiring the risk to act from an older to a younger sibling as frequently done will also adjust for potential bias due to changes in prevalence of autism in later years where later born siblings may be expected to have a higher risk of being diagnosed.

Our cohort approach with prospective follow-up, following all participants from birth using clinical registers, avoid selection-biases due to disease status or factors such as parental education. It also avoid problems associated with self-reports and retrospective collection of data.

Limitations include lack of information on parental education or socioeconomic status. In Sweden there is free and equal access to health services minimizing the risk of selection biases. There is a well documented gender bias in autism<sup>35</sup>, and it has been suggested that females may require greater familial etiologic load to manifest the autistic phenotype<sup>36</sup>. We did not find support for any sex specific differences in the RR.

#### CONCLUSION

Among children born in Sweden, heritability of ASD and AD were estimated to be approximately 50%. For an individual, the risk of autism is increased 10 fold if a full sibling has the diagnosis and about 2 fold if a cousin has the diagnosis. These findings may inform counseling families with affected children.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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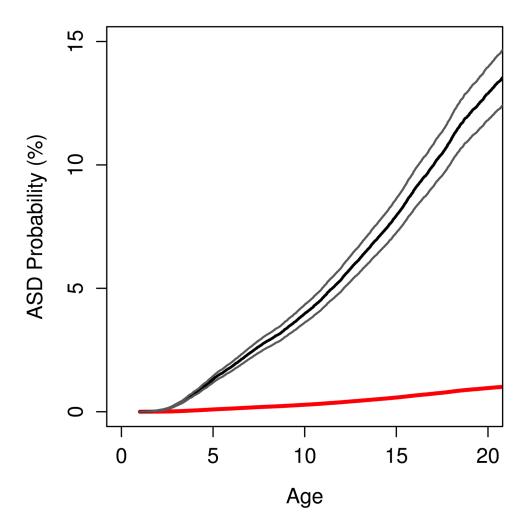
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#### Figure 1.

Age-cumulative probabilities for ASD diagnosis in sibling with and without a sibling with an earlier ASD diagnosis. 95% two-sided point wise confidence bands for exposed siblings. Dashed line: Cumulative probability of an autism diagnosis up to this age for siblings with a sibling proband with an autism diagnosis. Solid line: Cumulative probability of an autism diagnosis up to this age for siblings with a sibling proband free from an autism diagnosis.

	MZ Twin-	<b>RR (95% CI</b> 153.0 (56.7-412.8
	(Rate=27 vs 6274, P-Year=130720 vs 96)	,
	DZ Twin	8.2 (3.7-18.1
Family Types	(Rate=55 vs 805, P-Year=385521 vs 869)	
Iy	Full Sib+	10.3 (9.4-11.)
ly'	(Rate=49 vs 829, P-Year=35486922 vs 76481)	
Ē	MH Sib	3.3 (2.6-4.2
Fa	(Rate=94 vs 492, P-Year=4641500 vs 16273)	
	PH Sib	2.9 (2.2-3.)
	(Rate=85 vs 371, P-Year=4651888 vs 15638)	
	Cousin 🗢	2.0 (1.8-2.2
(Ra	tte=49 vs 155, P-Year=148053914 vs 313739)	
(sq	1982-86	7.3 (5.9-9.
Si	(Rate=26 vs 463, P-Year=8750181 vs 14467)	
ull	1987-91	6.6 (5.6-7.
E	(Rate=45 vs 728, P-Year=12268494 vs 24580)	
Birth cohorts (Full Sibs)	1992-96	7.3 (6.3-8.
ho	(Rate=63 vs 1014, P-Year=8650801 vs 21206)	
00	1997-2001 —	8.1 (6.9-9.
th	(Rate=71 vs 962, P-Year=4116561 vs 11743)	
Bii	2002-2006	10.4 (8.3-13.
	(Rate=64 vs 1338, P-Year=1700884 vs 4485)	
Gender risk (Full Sibs)	Male-Male 🖛	9.4 (8.3-10.
SI	(Rate=67 vs 1036, P-Year=9378760 vs 28587)	9.4 (0.5-10.
Fu	Male-Female	10.4 (8.7-12.
k (	(Rate=28 vs 512, P-Year=8871116 vs 27527)	10.4 (0.7-12.
ris	Female-Male	11.1 (9.2-13.
er	(Rate=68 vs 1254, P-Year=8878053 vs 10045)	11.1 ().2 13.
pu	Female-Female	12.5 (9.6-16.
G	(Rate=30 vs 688, P-Year=8358993 vs 10323)	(, ., , 10
	0.5 1 2 4 8 16 32 64 128 256	512

#### Figure 2.

ASD adjusted relative recurrence risks for full and maternal (MH) and paternal (PH) half siblings, cousins and DZ twins. Point estimates and two-sided 95% confidence intervals. MZ twins not shown.

Male-Female indicate risk in female exposed to a male relative. The star for MZ twin indicate a truncated right confidence too wide to fit the figure. Adjusted: Models adjusting for birth cohort and sibling and proband sex and paternal and maternal psychiatric history at birth of the child and older maternal age (35, > 35) and older paternal age (40, > 40); MH: Maternal half siblings, PH: Paternal half siblings; Old Pa: Paternal age > 40; Yng Pa: Paternal age 40; Old Ma: Maternal age > 35; Yng Ma: Maternal age 35; Fa Psych; With a paternal psychiatric history; With a maternal psychiatric history; Ma Psych: With a paternal psychiatric history; With a maternal psychiatric history; Ma Psych: With a maternal psychiatric history; Parental psychiatric

		RR (95% CI
	MZ Twin (Rate=15 vs 4748, P-Year=130855 vs 42)	116.8 (16.7-814.2
	DZ Twin	16.9 (5.1-55.7
es	(Rate=25  vs  776, P-Year=386501  vs  387)	10.9 (3.1-33.7
Family Types (Bate=3	Full Sib	14.6 (12.5-17.1
y T	(Rate=20 vs 486, P-Year=35574718 vs 34138)	14.0 (12.5 17.1
lin .	MH Sib-	4.3 (2.5-7.5
(Rate=3	33 vs 240, P-Year=4661940 vs 6250)	
	PH Sib	2.9 (1.5-5.9
(Rate=31 vs 1	24, P-Year=4670597 vs 6436)	saute National Indian
	Cousin	2.3 (1.8-2.8
(Rate=18 vs 6	51, P-Year=148418487 vs 133335)	
()		
di	1982-86	12.4 (7.0-22.1
SI	(Rate=7 vs 139, P-Year=8770373 vs 5032)	10.0 (7.1.14.1
Birth cohorts (Full Sibs)	1987-91 (Rate=15 vs 362, P-Year=12302896 vs 9386)	10.0 (7.1-14.1
ts (	1992-96	9.5 (7.2-12.7
101	(Rate=27 vs 440, P-Year=8673579 vs 10234)	9.5 (7.2-12.7
coh	1997-2001	11.9 (9.1-15.6
-	(Rate=40 vs 662, P-Year=4124828 vs 6642)	11.9 (9.1-15.0
Sir	2002-2006	14.8 (10.6-20.5
щ	(Rate=46 vs 1266, P-Year=1703041 vs 2844)	11.0 (10.0 20.5
~		
Gender risk (Full Sibs)	Male-Male-	13.6 (10.9-16.9
Ę	(Rate=28 vs 647, P-Year=9411567 vs 12987)	
E	Male-Female	12.2 (8.3-17.9
sk	(Rate=11 vs 219, P-Year=8892456 vs 12343)	
.E	Female-Male	16.6 (11.7-23.5
der	(Rate=29 vs 792, P-Year=8899609 vs 4422)	
ene	Female-Female	24.7 (15.7-38.9
	(Rate=11 vs 456, P-Year=8371086 vs 4386)	

#### Figure 3.

AD adjusted relative recurrence risks for full and maternal (MH) and paternal (PH) half siblings, cousins and DZ twins. Point estimates and two-sided 95% confidence intervals. MZ twins not shown.

Male-Female indicate risk in female exposed to a male relative. The star for MZ twin indicate a truncated right confidence too wide to fit the figure. Adjusted: Models adjusting for birth cohort and sibling and proband sex and paternal and maternal psychiatric history at birth of the child and older maternal age (35, > 35) and older paternal age (40, > 40); MH: Maternal half siblings, PH: Paternal half siblings; Old Pa: Paternal age > 40; Yng Pa: Paternal age 40; Old Ma: Maternal age > 35; Yng Ma: Maternal age 35; Fa Psych; With a paternal psychiatric history; With a maternal psychiatric history; Ma Psych: With a paternal psychiatric history; With a maternal psychiatric history; Ma Psych: With a maternal psychiatric history; Parental psychiatric

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## Table 1

Confounder and baseline characteristics across sibling relations; the study participant and his exposing proband. Count, percent, median and percentiles of the participants.

Variable	Full Siblings	Maternal Half Siblings	Paternal Half Siblings	Cousins	DZ Twins	MZ Twins
Participants	1,788,009	288,671	286,705	1,241,166	29,032	8,338
Participant Pairs (discordant)	2,641,822 (34,465)	432,114 (8,896)	445,335 (8,179)	5,798,842 (73,615)	29,424 (411)	8,354 (56)
Boys (%)	51.5%	51.2%	51.1%	51.5%	51.0%	47.2%
ASD cases (%)	12,033 (0.67%)	2,955 (1.02%)	2,538 (0.89%)	8,073 (0.65%)	215 (0.74%)	41 (0.49%)
AD cases (%)	4,762 (0.27%)	1,000 (0.35%)	877 (0.31%)	2,996 (0.24%)	97 (0.33%)	21 (0.25%)
Maternal Psych History (%) $^{\#}$	39,233 (2.2%)	18,419 (6.4%)	14,475 (5.0%)	25,180 (2.0%)	908 (3.1%)	196 (2.4%)
Paternal Psych. History (%) $^{\#}$	38,427 (2.1%)	15,666 (5.4%)	16,137 (5.6%)	23,778 (1.9%)	792 (2.7%)	200 (2.4%)
Maternal age 35 (%)	209,941 (11.7%)	41,019 (14.2%)	37,624 (13.1%)	132,881 (10.7%)	1,216 (14.6%)	6,590 (22.7%)
Paternal age 35 (%	149,650 (8.3%)	26,685 (9.2%)	40,900 (14.3%)	79,668 (6.4%)	776 (9.3%)	4,020 (13.8%)
Birth Year, Median (p5-p95)	1993 (1984–2005)	1993 (1983–2005)	1993 (1983–2005)	1993 (1984–2004)	1996 (1983–2005)	1994 (1982–2002)
Age at ASD diagnosis, Median (p5-p95)	13 (4–22)	13 (4–22)	13 (4–23)	13 (4–22)	11 (4–21)	10 ( 4–25)
Person Years, Median (p5- p95)	14 (4–23)	10 (3–20)	10 (3–20)	15 (4–24)	13 (4–25)	14 (7–26)

ASD: Autism Spectrum Disorder; AD: Autistic Disorder (infantile autism); p5: 5th percentile, p95: 95th percentile.

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Note: Besides the "Sibling pairs", the statistics (cound, percent, median and percentiles) are calculated across unique participants in each group.

 $\overset{*}{}_{\rm Pairs}$  are the pairs of siblings (cousins) who enter the statistical analysis;

 $\#_{\rm At}$  birth of the proband, that is, at the birth of the oldest sibling in the family

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# Table 2

ASD and AD Heritability. Model goodness of fit and variance component estimates.

		Model com	Model comparison measures	ares		Estimated var	Estimated variances (two-sided 95% confidence intervals)	onfidence intervals)	
Models, terms included	d#	-2 LL	Diff -2 LL	p-value	Additive Genetic ("Heritability, narrow sense")	Dominant Genetic	Shared environment	Non-shared environment	Total Genetic ('Broad sense heritability'')
					ASD - Autism Spectrum Disorder	ectrum Disorder			
Full model	14	143,910	х	х	0.33 (0.00–0.55)	$0.16\ (0.00-0.59)$	0.05 (0.00-0.17)	0.46 (0.24–0.65)	0.49 (0.21–0.75)
Excluding the dominant genetic term	13	143,910	0.7	0.41	0.42 (0.19–0.55)	Х	0.04 (0.00–0.15)	0.54 (0.45–0.66)	0.42 (0.19–0.55)
Excluding the shared environment term	13	143,911	0.8	0.38	0.44 (0.24–0.55)	0.13 (0.00–0.51)	х	0.43 (0.23–0.55)	0.57 (0.45–0.77)
Excluding the additive genetic term	13	143,913	3.0	0.08	х	0.45 (0.18–0.71)	0.14 (0.07–0.20)	0.41 (0.21–0.62)	0.45 (0.18–0.71)
Additive genetic + Non- shared enviroment	12	143,911	1.2	0.55	0.50 (0.45–0.56)	х	х	0.50 (0.44–0.55)	0.50 (0.45–0.56)
Dominant genetic + Non- shared environment	12	143,934	23.8	<0.001	x	1.00 (1.00–1.00)	x	0.00 (0.00–0.00)	1.00 (1.00–1.00)
Shared + non-shared environment term	12	143,923	13.3	0.001	х	х	0.24 (0.21–0.26)	0.76 (0.73–0.79)	Х
Non-shared enviroment term only	11	144,178	268.8	<0.001	х	Х	Х	1.00 (1.00–1.00)	Х
					AD - Autistic Disorder	c Disorder			
Full model	14	64,586	х	х	0.49 (0.00–0.64)	0.00 (0.00–0.61)	0.02 (0.00–0.24)	0.48 (0.18–0.72)	0.49 (0.04–0.82)
Excluding the dominant genetic term	13	64,586	0.0	0.99	0.49 (0.04–0.64)	х	0.03 (0.00–0.24)	0.48 (0.36–0.72)	0.49 (0.04–0.64)
Excluding the shared environment term	13	64,586	0.1	0.81	0.54 (0.25–0.64)	0.00 (0.00–0.54)	х	0.46 (0.17–0.56)	0.54 (0.44–0.83)
Excluding the additive genetic term	13	64,591	4.5	0.03	х	0.65 (0.00–0.84)	0.11 (0.04–0.30)	0.23 (0.10–0.79)	0.65 (0.00–0.84)
Additive genetic + Non- shared enviroment	12	64,586	0.1	0.97	0.54 (0.44–0.64)	х	х	0.46 (0.36–0.55)	0.54 (0.44–0.64)
Dominant genetic + Non- shared environment	12	64,646	59.4	<0.001	х	1.00 (1.00–1.00)	х	0.00 (0.00–0.00)	1.00 (1.00–1.00)
Shared + non-shared environment term	12	64,591	4.7	0.096	х	x	0.26 (0.21–0.31)	0.74 (0.69–0.79)	х

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		Model com	Model comparison measures	ures		Estimated vai	Estimated variances (two-sided 95% confidence intervals)	confidence intervals)	
Models, terms included	d#	-2 LL	Diff -2 LL	offf - 2 LL p-value	Additive Genetic (''Heritability, narrow sense'')	Dominant Genetic	Shared environment	Dominant Genetic Shared environment Non-shared environment	Total Genetic ("Broad sense heritability")
Non-shared enviroment term only	11	64,683	96.8	<0.001	х	х	х	1.00 (1.00–1.00)	х

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-2 LL: 2 \* difference in log-likelihood between the model and the ADCE model; p-value: p-value for the testing the hypothesis the parameters not in the model but in the ADCE model are all equal to zero; #p: Number of parameters in the model; -2 LL: -2\*log-likelihood; Diff df: Number of degrees of freedom for the -2 LL (difference in number of parameters between the model and the ADCE model); Diff The "Broad-sense heritability" including both the additive and the dominant genetic while the "narrow-sense heritability" only include the additive genetic component. x: Not applicable. Note: All models adjusted for gender and birth cohort. CI: 95% two-sided confidence interval