





Citation: Yeh M-L, Peng C-Y, Dai C-Y, Lai H-C, Huang C-F, Hsieh M-Y, et al. (2015) Pegylated-Interferon Alpha Therapy for Treatment-Experienced Chronic Hepatitis B Patients. PLoS ONE 10(4): e0122259. doi:10.1371/journal.pone.0122259

Academic Editor: Yury E Khudyakov, Centers for Disease Control and Prevention, UNITED STATES

Received: October 30, 2014

Accepted: February 14, 2015

Published: April 2, 2015

Copyright: © 2015 Yeh et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any

medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper.

Funding: The authors received no specific funding for this work.

Competing Interests: The co-author Ming-Lung Yu is a PLOS ONE Editorial Board member. This does not alter the authors' adherence to PLOS ONE Editorial policies and criteria. The co-author Ming-Lung Yu and Wan-Long Chuang are the members of advisory board of Merck Sharp &Dohme (MSD), Roche, Bristol-Myers Squibb (BMS) and Abbott. This does not alter the authors' adherence to all the PLOS

RESEARCH ARTICLE

Pegylated-Interferon Alpha Therapy for Treatment-Experienced Chronic Hepatitis B Patients

Ming-Lun Yeh^{1,3©}, Cheng-Yuan Peng^{2©}, Chia-Yen Dai^{1,3,4}, Hsueh-Chou Lai², Chung-Feng Huang^{1,4,5,8}, Ming-Yen Hsieh^{1,7}, Jee-Fu Huang^{1,4,6}, Shinn-Cherng Chen^{1,4}, Zu-Yau Lin^{1,4}, Ming-Lung Yu^{1,4,8‡*}, Wan-Long Chuang^{1,4‡*}

- 1 Division of Hepatobiliary, Department of Internal Medicine, Kaohsiung Medical University Hospital, Kaohsiung, Taiwan, 2 Division of Hepatogastroenterology, Department of Internal Medicine, China Medical University Hospital, Taichung, Taiwan, 3 Graduate Institute of Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung, Taiwan, 4 Faculty of Internal Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung, Taiwan, 5 Department of Occupational Medicine, Kaohsiung Municipal Ta-Tung Hospital, Kaohsiung Medical University, Kaohsiung, Taiwan, 6 Departmentof Internal Medicine, Kaohsiung Municipal Hsiao-Kang Hospital, Kaohsiung Medical University, Kaohsiung, Taiwan, 7 Departmentof Internal Medicine, Kaohsiung Municipal Ta-Tung Hospital, Kaohsiung Medical University, Kaohsiung, Taiwan, 8 Graduate Institute of Clinical Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung, Taiwan
- These authors contributed equally to this work.
- ‡ These authors also contributed equally to this work.
- fish6069@gmail.com (MLY); waloch@kmu.edu.tw (WLC)

Abstract

Background

Studies are limited on pegylated interferon (Peg-IFN) therapy for chronic hepatitis B (CHB) patients who failed or relapsed on previous antiviral therapy.

Objectives

We aimed to investigate the effect of Peg-IFN therapy in treatment-experienced CHB patients.

Study Design

A total of 57 treatment-experienced CHB patients at two medical centers were enrolled. All of the patients were treated with Peg-IFN α -2a at 180 μ g weekly for 24 or 48 weeks. The hepatitis B serological markers and viral loads were tested every 3 months until 1 year after stopping Peg-IFN therapy. The endpoints were HBV DNA <2000IU/mL, hepatitis B e antigen (HBeAg) seroconversion, and a hepatitis B surface antigen (HBsAg) loss at 12 months post-treatment.

Results

In HBeAg-positive patients, 25.0%, 29.2%, and 12.5% of the patients achieved HBeAg seroconversion, HBV DNA <2000 IU/mL and a combined response, respectively, at 12 months post-treatment. Prior IFN therapy, a high baseline ALT level, a low creatinine level,



ONE policies on sharing data and materials. No current funding sources for this study as detailed online in "PLOS ONE" guide for authors.

undetectable HBV DNA at 12 weeks and a decline in HBV DNA >2 \log_{10} IU/mL at 12 weeks of therapy were factors associated with treatment response. In HBeAg-negative patients, 9.1%, 15.2%, and 6.1% of the patients achieved undetectable HBV DNA, HBV DNA <2000 IU/mL, and an HBsAg loss, respectively, at 12 months post-treatment. No factor was significantly associated with the treatment response in the HBeAg-negative patients. The median HBsAg level declined from 3.4 to 2.6 \log_{10} IU/mL in all the patients, and the 5-year cumulative rate of the HBsAg loss was 9.8% in the HBeAg-negative patients. Overall, none of the patients prematurely discontinued the Peg-IFN therapy.

Conclusions

Peg-IFN re-treatment is effective for a proportion of HBeAg-positive treatment-experienced patients; it has limited efficacy for HBeAg-negative treatment-experienced patients. Peg-IFN might facilitate HBsAg loss in HBeAg-negative treatment-experienced patients.

Introduction

Chronic hepatitis B (CHB) is a global health problem, with an estimated 350 million people being chronically infected. [1] CHB is the most common cause of chronic liver disease and hepatocellular carcinoma (HCC) in Asia. [2] Approximately 15–40% of CHB patients eventually develop cirrhosis, hepatic failure and HCC. A reduced risk of hepatic decompensation and HCC as well as a reversion of hepatic fibrosis by long-term anti-HBV therapy have recently been demonstrated. [3–6]

The current recommended first-line antiviral therapies for CHB include pegylated-interferon (Peg-IFN) α-2a, entecavir (ETV) and tenofovir disoproxil fumarate (TDF). Compared with nucleos(t)ide analogue (NUC) therapy, Peg-IFN therapy has the advantages of a finite duration, an absence of resistance and higher rates of anti-HBe seroconversion with 12 months of therapy. Peg-IFN therapy has the disadvantages of having a moderate antiviral effect, inferior tolerability and a risk of adverse events. Although Peg-IFN therapy has higher rates of anti-HBe seroconversion with 12 months of therapy, the efficacy is unsatisfactory. For Hepatitis B e antigen (HBeAg)-positive patients, one year of Peg-IFN therapy achieved a 22-27% HBeAg seroconversion rate at the end of treatment, which increased to 35% 1-2 years after stopping treatment.[7] For HBeAg-negative patients, one year of Peg-IFN therapy achieved a 63% undetectable HBV DNA rate; the rate rapidly dropped to 15% at 1 year after stopping treatment.[8] Although NUC therapy has the advantage of having a potent antiviral effect, poor durability of the effectiveness after stopping NUC therapy is encountered in the majority of patients. In a study by Reijnders J.G. et al., over 40% and 50% of HBeAg-positive patients experienced HBeAg seroreversion and recurrent viremia, respectively, 4 years after HBeAg seroconversion. [9] One-year and 3-year relapse rates of 44% and 52%, respectively, were reported in HBeAgnegative patients after lamivudine therapy was stopped. [10] Another recent study investigating the off-therapy durability of ETV in HBeAg-negative CHB patients showed that 45.3% of the 95 patients had a clinical relapse within 1-year after stopping ETV therapy.[11]

Re-treatment of CHB is a continuing concern in a substantial proportion of patients after antiviral therapy. Limited studies have been reported on Peg-IFN treatment for patients who failed or relapsed on previous antiviral therapy. We aimed to investigate the efficacy of Peg-



IFN therapy in treatment-experienced CHB patients and the factors associated with treatment efficacy.

Patients and Methods

A total of 57 treatment-experienced CHB patients at 2 medical centers who had failed or relapsed after previous antiviral therapy were enrolled in this study. The inclusion criteria included HBV DNA >20,000 IU/mL and alanine aminotransferase (ALT) levels between 1–10 fold the upper limit of normal (ULN) for the HBeAg-positive patients and HBV DNA >2000 IU/mL and ALT levels between 1–10-fold the ULN for the HBeAg-negative patients. The exclusion criteria included the following: HBV antiviral therapy within 6 months of enrollment; hepatitis C virus or human immunodeficiency virus co-infection; decompensated liver disease (a Child—Pugh score \geq 7); pregnant or breast-feeding women; cytopenia (a white blood count <1500 cells/m³ and hemoglobin <12 mg/dL; a platelet count <90,000 cells/m³); evidence of alcoholism or drug abuse; and any other known disease for which Peg-IFN therapy was not suitable. The HBeAg-positive patients received Peg-IFN α -2a at180 μ g weekly for 24 (as per reimbursement of the National Health Insurance of Taiwan) or 48 weeks (as per the patient's willingness); the HBeAg-negative received the therapy for 48 weeks.

The liver biochemistry values, HBV serological markers, and HBV DNA, and HBsAg levels were tested before treatment and then every 3 months until 1 year after stopping the Peg-IFN treatment. HBeAg was detected by using commercially available enzyme-linked immunosorbent assay kits (Abbott Laboratories, North Chicago, IL, USA). The serum HBV DNA levels were determined using a CobasAmpliPrep/CobasTaqMan HBV assay (CAP/CTM, version 2.0, Roche Diagnostics, Indianapolis, IN, USA; the dynamic range was 20 IU/mL—1.7x10⁸IU/mL). The HBV genotype was determined using a restriction fragment length polymorphism (RFLP) method, as described earlier.[12] The serum HBsAg levels were quantified using an Architect HBsAg QT assay (Abbott Diagnostic, Germany). The sensitivity of the Architect assay ranged from 0.05 to 250 IU/mL. The samples with an HBsAg level higher than 250 IU/mL were further diluted at1: 500 and 1:1000 to obtain a reading within the range of the calibration curve.

The study was conducted according to the guidelines of the Declaration of Helsinki and the principles of Good Clinical Practice and was approved by the ethics committee of Kaohsiung Medical University Hospital. Written informed consent was obtained from all the patients.

The primary efficacy parameters were a combined response, defined as HBeAg seroconversion plus HBV DNA $<\!2000$ IU/mL 12 months post-treatment, in the HBeAg-positive patients and a virological response, defined as HBV DNA $<\!2000$ IU/mL 12 months post-treatment, in the HBeAg-negative patients. The secondary efficacy parameters included HBeAg seroconversion, HBV DNA $<\!2000$ IU/mL and HBsAg loss12 months post-treatment in the HBeAg-positive patients, and undetectable HBV DNA and HBsAg loss12 months post-treatment in the HBeAg-negative patients. The factors associated with primary treatment responses including the baseline biochemistry, baseline and on-treatment HBV DNA levels, and HBsAg levels were analyzed.

Statistical analysis

Descriptive statistics were computed for all the variables, including the median as well as the 25th, and 75th percentiles for the continuous variables. For the categorical variables, the frequencies and percentages were estimated. The Mann-Whitney U test was used for comparing the continuous variables. The chi-square and Fisher's exact tests were used for comparing the categorical variables. Binary logistic regression analysis was used to identify the independent associated factors. All the tests were two-sided, and a P value of <0.05 was considered



statistically significant. All the analyses were performed using the SPSS 17.0 statistical package (SPSS, Inc., Chicago, IL, USA).

Results

The baseline demographics of all the HBeAg-positive and -negative treatment-experienced CHB patients are shown in Table 1. Seventeen (29.8%) patients had undergone prior therapy with IFN. The 40 patients with prior NUC experience received lamivudine, including three patients who developed lamivudine resistant YMDD mutations. The treatment responses of the first course included the following: non-response (defined as no HBeAg loss at the end-of-treatment for the HBeAg-positive patients; HBV DNA > 2000 IU/mL at the end-of-treatment for the HBeAg-negative patients); partial response (defined as HBeAg loss without HBeAg seroconversion at the end-of-treatment for the HBeAg-positive patients and detectable HBV DNA but < 2000 IU/ml at the end-of-treatment for the HBeAg-negative patients); and relapse (defined as HBeAg seroconversion at the end-of-treatment for the HBeAg-negative patients and undetectable HBV DNA at the end-of-treatment for the HBeAg-negative patients) in 22 (38.6%), 4 (7.0%) and 31 (54.4%) of the patients, respectively. The genotype was determined in 55 patients, and approximately 60% of patients were genotype B. Most of the patients (70%) had an ALT level of greater than 2-fold ULN. The baseline HBV DNA levels were 6.6, 7.6, and

Table 1. Demographic data and baseline characteristics of all treatment-experienced CHB patients.

	Total(N = 57)	HBeAg-positive(N = 24)	HBeAg-negative(N = 33)
Male gender	45 (78.9)	15 (62.5)	30 (90.9)
Age, years	40.0 (31.5, 47.0)	34.0 (30.3, 40.5)	45.0 (38.5, 50.0)
Prior therapy			
IFN	17 (29.8)	8 (33.3)	9 (27.3)
NUC	40 (70.2)	16 (66.7)	24 (72.7)
Liver cirrhosis	3 (5.3)	0 (0)	3 (9.1)
Platelet, 10 ³ /uL	180 (150, 208)	197 (157, 248)	174 (145, 197)
ALT, U/L	103.0 (71.5, 163.5)	112.0 (74.3, 171.3)	96.0 (66.0, 142.0)
ALT			
1-≤2x ULN	17 (29.8)	7 (29.2)	10 (30.3)
2 - 5x ULN	35 (61.4)	14 (58.3)	21 (63.6)
> 5x ULN	5 (8.8)	3 (12.5)	2 (6.1)
Albumin, g/dL	4.2 (4.1, 4.4)	4.1 (4.0, 4.3)	4.3 (4.2, 4.4)
Total bilirubin, mg/dL	1.0 (0.8, 1.3)	0.9 (0.8, 1.2)	1.0 (0.8, 1.3)
Creatinine, mg/dL	0.9 (0.7, 1.0)	0.9 (0.7, 0.9)	1.0 (0.8, 1.0)
Prothrombin time (INR)	1.04 (1.00, 1.12)	1.04 (1.00, 1.13)	1.04 (1.00, 1.10)
Genotype			
В	34/55 (61.8)	12/22 (54.5)	22 (66.7)
С	21/55 (38.2)	10/22 (45.5)	11 (33.3)
HBV DNA, log ₁₀ IU/mL	6.6 (5.8, 7.8)	7.6 (7.1, 8.1)	6.1 (5.0, 6.8)
HBsAg, log ₁₀ IU/mL	3.4 (2.9, 3.9)	3.8 (3.6, 4.4)	3.2 (2.3, 3.6)

Continuous variables: median (25th, 75th percentiles); categorical variables: numbers(percentages)

Missing data: genotype—2

IFN: interferon; NUC: nucleos(t)ide analogue; ALT: alanine aminotransferase; ULN: upper limit of normal; HBeAg: hepatitis B e antigen; HBsAg: hepatitis B surface antigen

doi:10.1371/journal.pone.0122259.t001



 $6.1 \log_{10} IU/mL$, and the baseline HBsAg levels were 3.4, 3.8, and 3.2 $\log_{10} IU/mL$ in all, HBeAg-positive, and -negative patients, respectively.

The efficacy of Peg-IFN α -2a in treatment experienced HBeAg-positive CHB patients

HBeAg seroconversion. The rates of HBeAg loss were 33.3% (8/24), 33.3% (8/24), and 33.3% (8/24) at the end of therapy, 6 months and 12 months post-treatment, respectively. The treatment responses of Peg-IFN therapy in the HBeAg-positive patients are shown in Fig. 1A. The HBeAg seroconversion rates were 20.8% (5/24), 20.8% (5/24), and 25.0% (6/24) at the end of therapy, 6 months post-treatment and 12 months post-treatment, respectively. The baseline high ALT level was the only significant factor associated with HBeAg seroconversion at 12 months off therapy (median [25th, 75th percentile]: 177.5 [148–268] U/L vs. 88.5 [70.3–144.4] U/L, p = 0.009). Four of the 8 patients (50%) with prior IFN therapy achieved HBeAg seroconversion at 12 months off therapy compared to 2 of the 16 patients (12.5%) with prior NUC therapy (p = 0.129).

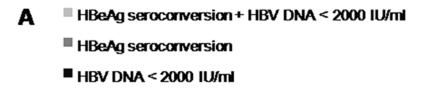
HBV virological response. The rates of achieving HBV DNA <2000 IU/mL were 41.7% (10/24), 33.3% (8/24), and 29.2% (7/24) at the end of therapy, 6 months post-treatment, and 12 months post-treatment, respectively. Three of the 10 HBeAg-positive patients who had HBV DNA <2000 IU/mL at the end of therapy experienced a virological relapse (HBV DNA >2000 IU/mL). One of the 3 patients who had an HBeAg seroconversion at end of treatment had an HBeAg seroreversion and clinical relapse (HBV DNA >2000 IU/mL \pm ALT >2x ULN) 6 months after discontinuation of Peg-IFN therapy. Of two HBeAg-positive patients with a virological relapse, one developed HBeAg-negative CHB and one had persistent positive HBeAg. None of the three relapsers had an HBsAg loss. Prior IFN therapy (62.5%[5/8] vs. 12.5%[2/16] in prior NUC, p = 0.021), a baseline ALT level greater than 5-fold ULN (100.0% [3/3] vs. 19.0% [4/21], p = 0.017), and undetectable HBV DNA at 12 weeks of therapy (100.0% [3/3] vs. 19.0% [4/21], p = 0.017) were significant factors associated with HBV DNA <2000 IU/mL at 12 months off therapy.

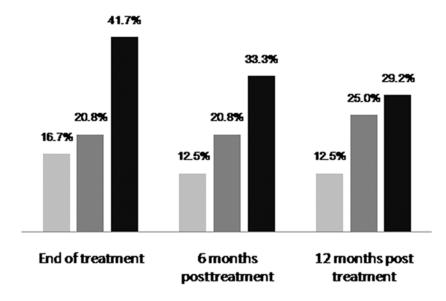
Combined response. Of the patients, 16.7% (4/24), 12.5% (3/24), and 12.5% (3/24) achieved HBeAg seroconversion plus HBV DNA <2000 IU/mL at the end of therapy, 6 months post-treatment, and 12 months post-treatment, respectively. Female gender, prior IFN therapy, a baseline high ALT level, a low creatinine level, undetectable HBV DNA at 12 weeks and a decline in HBV DNA >2 \log_{10} IU/mL at 12 weeks of therapy were significant factors associated with HBeAg seroconversion plus HBV DNA <2000 IU/mL at 12 months post-treatment (p = 0.042, 0.028, 0.040,0.041, 0.032 and 0.042, respectively). (Table 2)

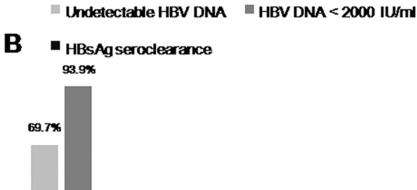
Efficacy of Peg-IFN α -2a in treatment experienced HBeAg-negative CHB patients

At the end of therapy, 69.7% (23/33) and 93.9% (31/33) of the HBeAg-negative patients achieved undetectable HBV DNA levels and HBV DNA <2000 IU/mL, respectively. (Fig. 1B) The rates dramatically decreased to 6.1% (2/33) and 24.2% (8/33), respectively, at 6 months post-treatment and to 9.1% (3/33) and 15.2% (5/33), respectively, at 12 months post-treatment. Of the HBeAg-negative patients, 26 of the 31 patients who had HBV DNA < 2000 IU/mL at end of therapy experienced a virological relapse. Four of the 26 patients had a clinical relapse after discontinuation of the Peg-IFN therapy. No factor was significantly associated with HBV DNA <2000 IU/mL at 12 months off therapy. (Table 3) Undetectable HBV DNA levels at 12 weeks of therapy tended to be associated with undetectable HBV DNA at 12 months post-









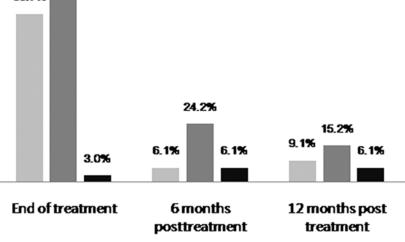


Fig 1. Response rates in HBeAg-positive and -negative patients.

doi:10.1371/journal.pone.0122259.g001



Table 2. Factors associated with HBeAg seroconversion plus HBV DNA <2000 IU/mL 12 months post-treatment in treatment experienced HBeAg-positive CHB patients.

	HBeAg seroconversion plu		
	Yes (N = 3)	No (N = 21)	P
Female gender	3 (100)	6 (28.6)	0.042
Age, years	34.0 (31.0, 37.0)	34.0 (29.5, 41.0)	0.965
Prior IFN therapy	3 (100)	0 (0)	0.028
Platelet, 10 ³ /uL	201 (165, 275)	193 (153, 245)	0.680
Baseline ALT, U/L	196.0 (157.0, 484.0)	98.0 (72.5, 165.0)	0.040
\geq 2x ULN (vs< 2x ULN)	3 (100)	14 (66.7)	0.530
≥5 x ULN (vs< 5x ULN)	1 (33.3)	2 (9.5)	0.343
Albumin, g/dL	3.9 (3.9, 4.3)	4.1 (4.0, 4.4)	0.354
Total bilirubin, mg/dL	1.2 (0.9, 1.4)	0.9 (0.7, 1.2)	0.354
Creatinine, mg/dL	0.7 (0.4, 0.7)	0.9 (0.7, 1.0)	0.041
Prothrombin time (INR)	1.16 (1.13, 1.19)	1.01 (0.99, 1.10)	0.052
Genotype B	1 (33.3)	11/19 (57.9)	0.571
Baseline HBV DNA, log ₁₀ IU/mL	7.9 (7.3, 8.1)	7.6 (7.0, 8.2)	0.600
Undetectable DNA at week 12	2 (66.7)	1 (4.8)	0.032
Decline in HBV DNA >2 log at week 12	3 (100)	6 (28.6)	0.042
Baseline HBsAg, log ₁₀ IU/mL	4.3 (3.4, 4.3)	3.8 (3.6, 4.4)	0.920
HBsAg < 1500 IU/mL at week 12	1 (33.3)	4 (19.0)	0.521
HBsAg > 20000 IU/mL at week 24	0 (0)	5 (23.8)	1.000
48 weeks Peg-IFN therapy	1 (33.3)	6 (28.6)	1.000

Continuous variables: median (25th, 75th percentiles); categorical variables: numbers(percentages)

IFN: interferon; ALT: alanine aminotransferase; ULN: upper limit of normal; HBeAg: hepatitis B e antigen; HBsAg: hepatitis B surface antigen

doi:10.1371/journal.pone.0122259.t002

treatment (p = 0.067), and none of the 19 patients with detectable HBV DNA at 12 weeks of therapy achieved undetectable HBV DNA at 12 months post-treatment.

On-treatment HBsAg level decline and off-treatment HBsAg loss

The median HBsAg level from baseline to 12 months post-treatment declined from 3.4 to 2.6 \log_{10} IU/mL in all the patients, from 3.8 to 2.7 \log_{10} IU/mL in the HBeAg-positive patients, and from 3.2 to 2.5 \log_{10} IU/mL in the HBeAg-negative patients. (Fig. 2) There was no difference in the HBsAg decline between the HBeAg-positive patients with and without HBeAg sero-conversion. The HBeAg-negative patients who achieved HBV DNA <2000 IU/mL at 12 months post-treatment showed continued an HBsAg decline after stopping Peg-IFN. A rebound in the HBsAg level after stopping Peg-IFN was found in the HBeAg-negative patients who did not achieve an HBV DNA <2000 IU/mL level at 12 months post-treatment. (Fig. 3)

HBsAg loss was achieved in 1 (3.0%), 2 (6.1%), and 2 (6.1%) patients at the end of therapy, 6 months post-treatment, and 12 months post-treatment, respectively. (Fig. 1B) One of the patients with an HBsAg loss had seroconversion to anti-HBs. One patient who achieved an HBsAg loss 6 months post-treatment suffered an HBsAg reversion at 12 months post-treatment. Another patient had an HBsAg loss at 2 years post-treatment. For the HBeAg-negative patients with a median follow-up duration of 36 months (25th, 75th percentiles: 18, 48 months), the 5-year cumulative HBsAg loss rate was 9.8%. (Fig. 4)



Table 3. Factors associated with HBV DNA <2000 IU/mL 12 months post-treatment in treatment experienced HBeAg-negative CHB patients.

	HBV DNA <		
	Yes (N = 5)	No (N = 28)	P
Female gender	0 (0)	3 (10.7)	1.000
Age, years	40.0 (27.5, 48.0)	46.0 (39.0, 51.3)	0.200
Prior IFN therapy	1 (20)	8 (28.6)	1.000
Liver cirrhosis	1 (20)	2 (7.1)	0.400
Platelet, 10 ³ /uL	174 (144, 204)	174 (144, 196)	0.802
Baseline ALT, U/L	107.0 (78.0, 217.0)	93.5 (64.5, 140.3)	0.393
≥ 2x ULN (vs< 2x ULN)	4 (80)	19 (67.9)	1.000
≥5 x ULN (vs< 5x ULN)	1 (20)	1 (3.6)	0.284
Albumin, g/dL	4.3 (4.0, 4.5)	4.3 (4.2, 4.4)	0.899
Total bilirubin, mg/dL	1.3 (0.8, 1.4)	1.0 (0.8, 1.3)	0.436
Creatinine, mg/dL	0.9 (0.9, 1.0)	1.0 (0.8, 1.1)	0.821
Prothrombin time (INR)	1.02 (0.97, 1.16)	1.05 (1.00, 1.10)	0.920
Genotype B	4 (80)	18 (64.3)	0.643
Baseline HBV DNA, log ₁₀ IU/mL	5.7 (5.0, 6.9)	6.1 (5.0, 6.9)	0.763
Undetectable DNA at week 12	4 (80)	10 (35.7)	0.138
Decline in HBV DNA >2 log at week 12	4 (80)	18 (64.3)	0.643
Undetectable DNA at week 24	4 (80)	9 (32.1)	0.066
Baseline HBsAg, log ₁₀ IU/mL	2.6 (2.3, 4.1)	3.2 (2.1, 3.6)	0.814
No HBsAg decline or HBV DNA decline < 2 log at week 12	2 (40)	10 (35.7)	1.000
HBsAg < 150 IU/mL at week 12	2 (40)	6 (21.4)	0.574
Decline in HBsAg \geq 10% at week 24	5 (100)	19 (67.9)	0.290

Continuous variables: median (25th, 75th percentiles); categorical variables: numbers(percentages) IFN: interferon; ALT: alanine aminotransferase; ULN: upper limit of normal; HBsAg: hepatitis B surface antigen

doi:10.1371/journal.pone.0122259.t003

Safety

None of the patients prematurely discontinued Peg-IFN α -2a therapy, and the dosage was not modified in any of the patients. Fifty-four patients (94.7%) completed 12 months of post-treatment follow-up. Three patients (5.3%) did not complete the follow-up because of liver decompensation related to a hepatitis B flare at 2, 6, and 7 months post-treatment, and they began NUC therapy immediately, with subsequent normalization of liver function.

Discussion

This study demonstrated that Peg-IFN therapy for treatment-experienced CHB was effective for HBeAg-positive patients in terms of HBeAg seroconversion (25%) and the virological response (29%). Peg-IFN retreatment was unsatisfactory for combined serological and virological responses (12.5%) in HBeAg-positive patients and for the virological response (15%) in HBeAg-negative patients. Peg-IFN therapy was well tolerated in treatment-experienced CHB and might facilitate HBsAg loss in HBeAg-negative CHB patients.

The registration trial of Peg-IFN α -2a for HBeAg-positive CHB demonstrated that 32% and 14% of patients achieved HBeAg seroconversion and HBV DNA <400 copies/mL 6 months



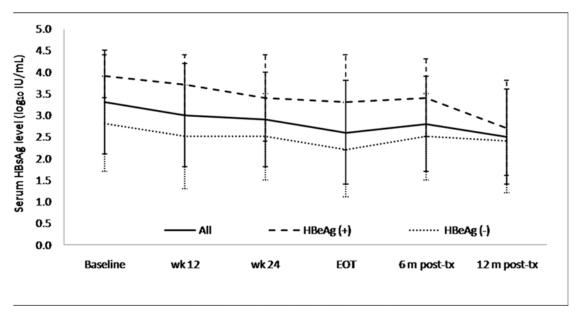


Fig 2. HBsAg kinetics during and after Peg-IFN therapy. The median HBsAg level from baseline to 12 months post-treatment declined from 3.4 to 2.6 log₁₀ IU/mL in all the patients, from 3.8 to 2.7 log₁₀ IU/mL in the HBeAg-positive patients, and from 3.2 to 2.5 log₁₀ IU/mL in the HBeAg-negative patients.

doi:10.1371/journal.pone.0122259.g002

post-treatment, respectively. [7] A recent randomized control trial, the NEPTUNE study, further confirmed that 48 weeks of Peg-IFN α -2a at 180 μ g/week is the most appropriate regimen, with the highest rates of HBeAg seroconversion, DNA suppression and combined response. [13] The data on Peg-IFN therapy in treatment-experienced CHB in the literature are limited. We demonstrated that 20.8%, 33.3% and 12.5% of treatment-experienced HBeAg-positive CHB patients achieved HBeAg seroconversion, DNA <2000 IU/mL, and a combined response 6 months off Peg-IFN retreatment, respectively. In the NEPTUNE study, 22.9% and 11.4% of the patients achieved HBeAg seroconversion and HBV DNA <2000 IU/mL, respectively, at 6 months off 24 weeks of Peg-IFN therapy, whereas these rates increased to 36.2% and 30%, respectively, with 48 weeks of therapy. [13] Approximately 70% of our HBeAg-positive patients received 24 weeks of Peg-IFN because of the reimbursement policy, which might be related in

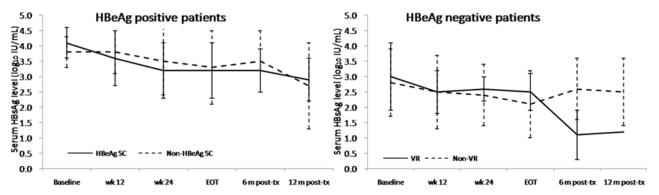


Fig 3. HBsAgkineticsduring and after Peg-IFN therapy according to the treatment response. There was no difference between the HBeAg-positive patients with and without HBeAgseroconversion in the HBsAg decline. The HBeAg-negative patients who achieved HBV DNA <2000 IU/mL at 12 months post-treatment showed continued HBsAg decline after stopping Peg-IFN. A rebound in the HBsAg level after stopping Peg-IFN was found in the HBeAg-negative patients who did not achieve HBV DNA <2000 IU/mL at 12 months post-treatment. (VR indicates HBV DNA <2000 IU/mL 12 months post-treatment)

doi:10.1371/journal.pone.0122259.g003



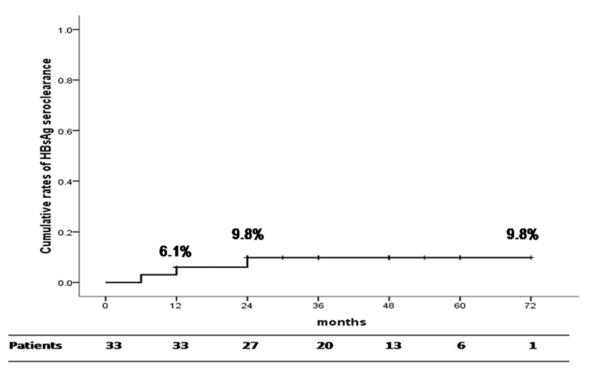


Fig 4. Cumulative rates of HBsAg loss in HBeAg-negative patients.

doi:10.1371/journal.pone.0122259.g004

part to the lower response rates. The duration of therapy appeared to be unrelated to the treatment response in our study, although the small number of cases might limit the statistical analysis. The poor response was predominantly found in the patients with prior NUC therapy. Retreatment with Peg-IFN achieved an equal or better response in the patients with prior IFN therapy than in the naïve patients. The causation of the relatively poor response in patients with prior NUC therapy requires further investigation.

Marcellin P. et al. reported the initial phase III study of Peg-IFN therapy in patients with HBeAg-negative CHB and demonstrated that 48 weeks of Peg-IFN Alfa-2a mono therapy achieved HBV DNA < 400 and < 20,000 copies/mL 24 weeks post-treatment in 19% and 43% of patients, respectively. [8] Of the Peg-IFN mono therapy group, 10% of the patients had undergone prior lamivudine (4%) or IFN alfa (6%) treatment. A long-term follow-up study showed that 31% and 5% of the patients achieved HBV DNA ≤2000 IU/mL and HBsAg clearance at 1 year post-treatment, respectively. [14] Our study showed an even lower rate of HBV DNA ≤2000 IU/mL (15.2%), with a similar HBsAg clearance rate (6.1%) in the treatment-experienced patients. The rapid re-appearance of HBV DNA after stopping Peg-IFN in the HBeAg-negative patients was observed in our study as well. The results suggest the need for a more stringent selection of treatment-experienced patients for retreatment with Peg-IFN and closer monitoring after stopping Peg-IFN.

A decline in HBV DNA >2 log₁₀ IU/mL at week 12 was recently reported, which predicts treatment response in HBeAg-negative patients with Peg-IFN therapy.[15] In our study, the results showed that a decline in HBV DNA >2 log₁₀ IU/mL at week 12 is significantly associated with the treatment response in the HBeAg-positive patients (p = 0.042) and not in the HBeAg-negative patients (p = 0.643). Further study is needed to clarify the predictive value of an ontreatment decline in HBV DNA in HBeAg-positive and -negative patients.



Serum HBsAg levels have been associated with treatment response to Peg-IFN therapy. Ontreatment declines in HBsAg level could predict treatment response or failure in HBeAg-positive patients. [16,17] Further studies have identified the positive predictive role of an HBsAg level <1500 IU/mL at week 12 and a negative predictive role of an HBsAg level >20,000 IU/mL at week 24. [13,18-20] For HBeAg-negative patients, an on-treatment HBsAg decline of $< 0.5 \log$ at week 12, of <10% at week 24, and an absence of any decline in the HBsAg level with a <2 log decline in the HBV DNA level at week 12 were reported as negative predictors of HBV DNA suppression and/or HBsAg loss after stopping Peg-IFN therapy.[20-22] For HBeAg-negative patients, one study from Italy demonstrated that HBsAg at the end of the treatment could predict the long-term virological response. [23] In this study, the patients with a virological response had even higher HBsAg levels at the end of treatment compared to those without a virological response. They had, instead, a significant decline after discontinuation of PegIFN therapy. This result might be a novel finding for Eastern patients and require a large-scale study for confirmation. Additionally, our recent study demonstrated that a serum HBsAg cut-off of 150 IU/mL at week 12 strongly predicts a sustained response with HBV DNA <312 copies/mL at 24 weeks off Peg-IFN therapy in HBeAg-negative CHB patients infected with genotype B or C.[24] The same predictive effect for the HBsAg level was not shown in this study although the genotype distribution and racial population were identical, possibly because of the small number of patients. The role of the HBsAg level in the prediction of a response to Peg-IFN therapy in treatmentexperienced CHB patients remains to be studied.

None of our patients developed HCC during the follow up period, regardless of whether the treatment failed or succeeded. The patients who failed the Peg-IFN therapy might be more prone to developing HCC in succeeding years and should have a strict follow-up.

One limitation of this study was its relatively small sample size. Whether our results could be extrapolated to treatment-experienced patients in general remains to be studied. Another limitation of this study was that a major proportion of the HBeAg-positive patients received only 24 weeks of Peg-IFN therapy, which might have a negative effect on the response rate. A prospective study with a larger cohort of patients treated with 48 weeks of Peg-IFN is warranted. Given the unmet need for the retreatment of CHB patients and the limited literature data, our results provide important information regarding the role of finite therapy with Peg-IFN in treatment-experienced patients.

The retreatment of CHB with Peg-IFN was effective for HBeAg-positive patients in terms of HBeAg seroconversion and the virological response, particularly for patients with prior IFN treatment experience. Although the virological response to the re-treatment of HBeAg-negative patients was unsatisfactory, Peg-IFN might facilitate HBsAg loss in the clinical setting.

Author Contributions

Conceived and designed the experiments: M. Yeh CYP CYD M. Yu WLC. Performed the experiments: M. Yeh CYP CYD HCL CFH MYH JFH SCC ZYL M. Yu WLC. Analyzed the data: M. Yeh CYP CYD HCL CFH MYH JFH SCC ZYL M. Yu WLC. Contributed reagents/materials/ analysis tools: M. Yeh CYP CYD HCL CFH MYH JFH SCC ZYL M. Yu WLC. Wrote the paper: M. Yeh CYP M. Yu WLC.

References

- Dienstag JL. Hepatitis B virus infection. N Engl J Med. 2008; 359: 1486–1500. doi: 10.1056/ NEJMra0801644 PMID: 18832247
- Chang TT, Gish RG, de Man R, Gadano A, Sollano J, Chao YC, et al. A comparison of entecavir and lamivudine for HBeAg-positive chronic hepatitis B. N Engl J Med. 2006; 354: 1001–1010. PMID: 16525137



- Singal AK, Salameh H, Kuo YF, Fontana RJ. Meta-analysis: the impact of oral anti-viral agents on the incidence of hepatocellular carcinoma in chronic hepatitis B. Aliment Pharmacol Ther. 2013; 38: 98–106. doi: 10.1111/apt.12344 PMID: 23713520
- Wong GL, Chan HL, Mak CW, Lee SK, Ip ZM, Lam AT, et al. Entecavir treatment reduces hepatic events and deaths in chronic hepatitis B patients With liver cirrhosis. Hepatology. 2013; 58: 1537–47. doi: 10.1002/hep.26301 PMID: 23389810
- Hosaka T, Suzuki F, Kobayashi M, Seko Y, Kawamura Y, Sezaki H, et al. Long-term entecavir treatment reduces hepatocellular carcinoma incidence in patients with hepatitis B virus infection. Hepatology. 2013; 58: 98–107. doi: 10.1002/hep.26180 PMID: 23213040
- Lin SM, Yu ML, Lee CM, Chien RN, Sheen IS, Chu CM, et al. Interferon therapy in HBeAg positive chronic hepatitis reduces progression to cirrhosis and hepatocellular carcinoma. J Hepatol. 2007; 46: 45–52. PMID: 17107734
- Lau GK, Piratvisuth T, Luo KX, Marcellin P, Thongsawat S, Cooksley G, et al. Peginterferon Alfa-2a, lamivudine, and the combination for HBeAg-positive chronic hepatitis B. N Engl J Med. 2005; 352: 2682–2695. PMID: 15987917
- Marcellin P, Lau GK, Bonino F, Farci P, Hadziyannis S, Jin R, et al. Peginterferon alfa-2a alone, lamivudine alone, and the two in combination in patients with HBeAg-negative chronic hepatitis B. N Engl J Med. 2004; 351: 1206–1217. PMID: <u>15371578</u>
- Reijnders JG, Perquin MJ, Zhang N, Hansen BE, Janssen HL. Nucleos(t)ide analogues only induce temporary hepatitis B e antigen seroconversion in most patients with chronic hepatitis B. Gastroenterology. 2010; 139: 491–498. doi: 10.1053/j.gastro.2010.03.059 PMID: 20381492
- Liu F, Wang L, Li XY, Liu YD, Wang JB, Zhang ZH, et al. Poor durability of lamivudine effectiveness despite stringent cessation criteria: a prospective clinical study in hepatitis B e antigen-negative chronic hepatitis B patients. J Gastroenterol Hepatol. 2011; 26: 456–460. doi: 10.1111/j.1440-1746.2010. 06492.x PMID: 21332542
- Jeng WJ, Sheen IS, Chen YC, Hsu CW, Chien RN, Chu CM, et al. Off-therapy durability of response to entecavir therapy in hepatitis B e antigen-negative chronic hepatitis B patients. Hepatology. 2013; 58: 1888–96. doi: 10.1002/hep.26549 PMID: 23744454
- Mizokami M, Nakano T, Orito E, Tanaka Y, Sakugawa H, Mukaide M, et al. Hepatitis B virus genotype assignment using restriction fragment length polymorphism patterns. FEBS Lett. 1999; 450: 66–71. PMID: 10350059
- Liaw YF, Jia JD, Chan HL, Han KH, Tanwandee T, Chuang WL, et al. Shorter durations and lower doses of peginterferon alfa-2a are associated with inferior hepatitis B e antigen seroconversion rates in hepatitis B virus genotypes B or C. Hepatology. 2011; 54: 1591–1599. doi: 10.1002/hep.24555 PMID: 22045673
- 14. Marcellin P, Bonino F, Yurdaydin C, Hadziyannis S, Moucari R, Kapprell HP, et al. Hepatitis B surface antigen levels: association with 5-year response to peginterferon alfa-2a in hepatitis B e-antigen-negative patients. Hepatol Int. 2013; 7: 88–97. PMID: 23518903
- 15. Rijckborst V, Hansen BE, Ferenci P, Brunetto MR, Tabak F, Cakaloglu Y, et al. Validation of a stopping rule at week 12 using HBsAg and HBV DNA for HBeAg-negative patients treated with peginterferon alfa-2a. J Hepatol. 2012; 56: 1006–1011. doi: 10.1016/j.jhep.2011.12.007 PMID: 22245886
- Chan HL, Wong VW, Chim AM, Chan HY, Wong GL, Sung JJ. Serum HBsAg quantification to predict response to peginterferon therapy of e antigen positive chronic hepatitis B. Aliment Pharmacol Ther. 2010; 32: 1323–1331. doi: 10.1111/j.1365-2036.2010.04474.x PMID: 21050234
- Sonneveld MJ, Rijckborst V, Boucher CA, Hansen BE, Janssen HL. Prediction of sustained response to peginterferon alfa-2b for hepatitis B e antigen-positive chronic hepatitis B using on-treatment hepatitis B surface antigen decline. Hepatology. 2010; 52: 1251–1257. doi: 10.1002/hep.23844 PMID: 20830787
- **18.** Ma H, Yang RF, Wei L. Quantitative serum HBsAg and HBeAg are strong predictors of sustained HBeAg seroconversion to pegylated interferon alfa-2b in HBeAg-positive patients. J Gastroenterol Hepatol. 2010; 25: 1498–1506. doi: 10.1111/j.1440-1746.2010.06282.x PMID: 20796146
- Piratvisuth T, Marcellin P, Popescu M, Kapprell HP, Rothe V, Lu ZM. Hepatitis B surface antigen: association with sustained response to peginterferon alfa-2a in hepatitis B e antigen-positive patients. Hepatol Int. 2013; 7: 429–436.
- 20. Chan HL, Thompson A, Martinot-Peignoux M, Piratvisuth T, Cornberg M, Brunetto MR, et al. Hepatitis B surface antigen quantification: why and how to use it in 2011—a core group report. J Hepatol. 2011; 55: 1121–1131. doi: 10.1016/j.jhep.2011.06.006 PMID: 21718667
- 21. Moucari R, Mackiewicz V, Lada O, Ripault MP, Castelnau C, Martinot-Peignoux M, et al. Early serum HBsAg drop: a strong predictor of sustained virological response to pegylated interferon alfa-2a in HBeAg-negative patients. Hepatology. 2009; 49: 1151–1157. doi: 10.1002/hep.22744 PMID: 19115222



- 22. Brunetto MR, Moriconi F, Bonino F, Lau GK, Farci P, Yurdaydin C, et al. Hepatitis B virus surface antigen levels: a guide to sustained response to peginterferon alfa-2a in HBeAg-negative chronic hepatitis B. Hepatology. 2009; 49: 1141–1150. doi: 10.1002/hep.22760 PMID: 19338056
- 23. Brunetto MR, Marcellin P, Cherubini B, Yurdaydin C, Farci P, Hadziyannis SJ, et al. Response to peginterferon alfa-2a (40KD) in HBeAg-negative CHB: on-treatment kinetics of HBsAg serum levels vary by HBV genotype. J Hepatol. 2013; 59: 1153–1159. doi: 10.1016/j.jhep.2013.07.017 PMID: 23872601
- Peng CY, Lai HC, Li YF, Su WP, Chuang PH, Kao JT. Early serum HBsAg level as a strong predictor of sustained response to peginterferon alfa-2a in HBeAg-negative chronic hepatitis B. Aliment Pharmacol Ther. 2012; 35: 458–468. doi: 10.1111/j.1365-2036.2011.04973.x