Mutations in NDUFB11, Encoding a Complex I Component of the Mitochondrial Respiratory Chain, Cause Microphthalmia with Linear Skin Defects Syndrome

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Microphthalmia with linear skin defects (MLS) syndrome is an X-linked male-lethal disorder also known as MIDAS (microphthalmia, dermal aplasia, and sclerocornea). Additional clinical features include neurological and cardiac abnormalities. MLS syndrome is genetically heterogeneous given that heterozygous mutations in HCCS or COX7B have been identified in MLS-affected females. Both genes encode proteins involved in the structure and function of complexes III and IV, which form the terminal segment of the mitochondrial respiratory chain (MRC). However, not all individuals with MLS syndrome carry a mutation in either HCCS or COX7B. The majority of MLS-affected females have severe skewing of X chromosome inactivation, suggesting that mutations in HCCS, COX7B, and other as-yetunidentified X-linked gene(s) cause selective loss of cells in which the mutated X chromosome is active. By applying whole-exome sequencing and filtering for X-chromosomal variants, we identified a de novo nonsense mutation in NDUFB11 (Xp11.23) in one female individual and a heterozygous 1-bp deletion in a second individual, her asymptomatic mother, and an affected aborted fetus of the subject's mother. NDUFB11 encodes one of 30 poorly characterized supernumerary subunits of NADH:ubiquinone oxidoreductase, known as complex I (cI), the first and largest enzyme of the MRC. By shRNA-mediated NDUFB11 knockdown in HeLa cells, we demonstrate that NDUFB11 is essential for cI assembly and activity as well as cell growth and survival. These results demonstrate that X-linked genetic defects leading to the complete inactivation of complex I, III, or IV underlie MLS syndrome. Our data reveal an unexpected role of cI dysfunction in a developmental phenotype, further underscoring the existence of a group of mitochondrial diseases associated with neurocutaneous manifestations.

The microphthalmia with linear skin defects (MLS) syndrome (MIM 309801) is an X-linked neurocutaneous disorder manifesting exclusively in females, suggesting embryonic lethality in hemizygous males. Affected females typically show uni- or bilateral microphthalmia and/or anophthalmia and linear skin lesions, which are usually restricted to the face and neck and consist of aplastic skin that evolves into healed hyperpigmented areas. Facultative features include a great variety of ocular anomalies, such as microcornea, coloboma, anterior-chamber defects, opticnerve hypoplasia, retinal abnormalities, congenital glaucoma with peripheral anterior synechia, and cataracts. Other clinical characteristics, such as developmental delay, abnormalities of the central nervous system (CNS), short stature, and cardiac defects have also been observed (see GeneReviews in [Web Resources\)](#page-8-0). $1-3$ Although most affected females show the classical phenotype, a few reported individuals have displayed eye abnormalities in an absence of skin defects, 2π and in other individuals, skin defects in an absence of ocular anomalies have been described.⁸⁻¹⁰

MLS syndrome is a genetically heterogeneous disorder given that mutations in two different X-chromosomal genes have been identified to date. 3,11 3,11 3,11 The majority of individuals diagnosed with MLS carry a terminal Xp deletion, detectable by light microscopy. The deletions include HCCS in Xp22.2 (MIM 300056; RefSeq accession number NM 005333.4) (see GeneReviews in [Web Resources\)](#page-8-0). HCCS encodes the mitochondrial holocytochrome c-type synthase. $12,13$ After this initial observation, heterozygous submicroscopic interstitial deletions and intragenic mutations of HCCS were detected in females with the classical MLS phenotype, confirming a pathogenic role for HCCS disruption in MLS.^{1-3,7,14,15} More recently, heterozygous mutations in COX7B (MIM 300885; RefSeq NM_001866.2), located in chromosome band Xq21.1, have been found in girls who had linear skin defects as the predominant feature but who had no HCCS mutation or microphthalmia. 11 COX7B encodes a structural subunit of cytochrome c oxidase, also known as mitochondrial complex IV (cIV).^{[16,17](#page-8-0)} cIV is one of the five enzymatic complexes (cI–cV) of the mitochondrial respiratory chain (MRC), which carries out oxidative phosphorylation (OXPHOS). Both HCCS and COX7B gene products are indispensable for MRC biogenesis and function. HCCS catalyzes the incorporation of heme moieties in both cytochrome c_1 , a structural component of cIII, and cytochrome c , the electron shuttle between cIII and

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Table 1. Clinical Features Reported in MLS-Affected Individuals with a Mutation of HCCS, COX7B, or NDUFB11

Clinical features in this table correspond to those in Table 1 in Indrieri et al.^{[11](#page-8-0)} For each feature listed in the table, the total number of individuals includes only individuals in whom each clinical feature was analyzed and documented. Other anomalies are only given for individuals with an NDUFB11 mutation. Abbreviations are as follows: $-$, absent; $+$, present; NA, not applicable.

^aMutations in HCCS include intragenic alterations and chromosomal abnormalities that result in monosomy for Xp22.

 $^{\rm b}$ See GeneReviews in [Web Resources](#page-8-0) and Sharma et al.,^{[25](#page-9-0)} Kono et al.,^{[6](#page-8-0)} Zumwalt et al.,^{[26](#page-9-0)} Vergult et al.,^{[15](#page-8-0)} and van Rahden et al.^{[2](#page-8-0)} ^cSee Indrieri et al.^{[11](#page-8-0)}

^dBrain size and morphology were normal; an autopsy showed no corpus callosum agenesis.

IV.[12,13](#page-8-0) Complementation studies in yeast revealed that HCCS deficiency led to severe OXPHOS defects.^{[18](#page-8-0)} Similarly, depletion of COX7B impairs cIV assembly and activity, leading to severe OXPHOS failure. 11

Because the cIII–cIV MRC segment is impaired in individuals with MLS syndrome, this disorder is by definition a mitochondrial disease. However, whereas typical mitochondrial diseases are usually characterized by postnatal organ failure, $19,20$ MLS syndrome is a truly developmental phenotype, in that it involves impaired development and malformation of specific organs, such as the eyes, skin, and CNS. Linear skin defects, which are present at birth, are the major clinical criteria of MLS syndrome. The lesions follow the lines of Blaschko, heal with age, and leave scars in some cases (see GeneReviews in [Web Resources\)](#page-8-0). Downregulation of hccs or cox7b in medaka has been shown to recapitulate the MLS phenotype; the morphant fishes show microphthalmia and microcephaly, indicating the important roles of cIII and cIV in vertebrate CNS development.^{[11,18](#page-8-0)}

High inter- and intrafamilial phenotypic variabilities have been reported in females with MLS syndrome. $3,21-23$ The spectrum of clinical features ranges widely, from a neonatal lethal form of MLS syndrome to no apparent clinical sign in carrier females.^{1-3,7,9,21,22,24} In Table 1 we compare the clinical features described in females positive for the HCCS or COX7B mutation. Somatic mosaicism for the causative mutation and the degree of X chromosome inactivation (XCI) skewing in different tissues have been postulated as explanations for the great clinical variability of MLS[.2,3,24,27,28](#page-8-0) Positive selection of OXPHOS-proficient cells during development could result in extreme skewing of the XCI ratio in females positive for the HCCS or COX7B mutation. $2,11$ Indeed, monoallelic expression of the wildtype X chromosome was shown in lymphoblastoid cells of an MLS-affected female with the heterozygous HCCS nonsense mutation c.589C>T (p.Arg197*).^{[3](#page-8-0)} These results suggest that, during embryonic development, cells with an active wild-type X chromosome are likely to reproduce faster and eventually outgrow cells expressing the COX7Bor HCCS-mutation-bearing X chromosome and that this faster growth leads to skewed XCI and high clinical variability.^{2,29} Thus, MLS syndrome is the result of extremely severe MRC disruption combined with inactivation of the X chromosome. Importantly, because cells expressing the mutated X chromosome are filtered out during development, no obvious biochemical defect of MRC activities is detected in the cells of MLS-affected females (see below).

Figure 1. Pictures of Two Subjects with MLS Syndrome and the NDUFB11 Mutation

(A) Pedigree of subject 1's (S1's) family and segregation analysis of the NDUFB11 mutation c.262C>T.

(B) At the age of 6 weeks, subject 1 had linear skin defects on her face and ears.

(C) Pedigree of subject 2's (S2's) family and segregation analysis of the 1-bp deletion c.402delG in NDUFB11. The mother of subject 2 is a healthy carrier of the NDUFB11 mutation; she aborted a female fetus who had severe brain and heart anomalies and carried the same mutation.

(D and E) Subject 2 (2 months of age) had linear skin defects on the nose, chin, neck, and left ear lobe. Abbreviations are as follows: Mut, NDUFB11 mutation; wk, weeks; WT, wild-type.

In DNA samples taken from 11 MLS-affected females and pooled from two different labs, sequencing and copy-number analysis failed to detect a mutation in either HCCS or COX7B.^{[11](#page-8-0)} In our own cohort of seven individuals, wholeexome sequencing (WES) revealed heterozygous truncating mutations in NDUFB11 (MIM 300403; RefSeq NM_019056.6), located in chromosomal band Xp11.23, in two unrelated female probands. Both had a highly skewed XCI pattern in peripheral blood cells and typical features of MLS syndrome, except for the absence of microphthalmia and sclerocornea [\(Table 1\)](#page-1-0). Subject 1 belongs to a simplex family. Subject 2 was a 15-month-old girl whose asymptomatic mother subsequently aborted a female fetus with severe malformations; all three harbored the same heterozygous mutation in NDUFB11. The five remaining individuals in our cohort showed no mutation in NDUFB11, and WES is underway. In all cases, genetic analysis was performed with informed consent, including consent to use photographs in this report, and was approved by the ethics committee of the Medical Chamber of Hamburg (reference numbers PV3585 and PV3802).

Subject 1 was an infant girl born to healthy, non-consanguineous parents (Figure 1A and [Table 1\)](#page-1-0). Her birth weight was 3,060 g (10^{th} – 25^{th} centile), her length was 50 cm (50^{th}) centile), and her occipito-frontal head circumference (OFC) was 36.5 cm ($90th$ centile). Linear skin defects that disappeared in the first few months were noted on her nose, chin, and neck (Figure 1B). Axial hypotonia was present from birth. Failure to thrive was documented at 1 month of age. Ocular examination revealed lacrimalduct atresia but neither microphthalmia nor sclerocornea. Blood lactate levels were consistently in the normal range. She was admitted to the hospital at 6 months of age for cardiac arrest. She was repeatedly treated for ventricular fibrillation and tachycardia but died a few weeks after admission. An autopsy revealed histiocytoid cardiomyopathy and thyroid abnormalities consisting of large and multiple

sites of oncocytic metaplasia. Immunohistochemical staining showed that oncocytic cells were thyroid transcription factor-1 (TTF-1) positive and cytokeratin 19 (CK19) negative. An anti-calcitonin antibody showed several sites of C cell hyperplasia (more than 50 C cells in at least three microscope fields $[100 \times$ magnification]).

Subject 2 was a 15-month-old girl, the first child of German, non-consanguineous, healthy parents (Figure 1C and [Table 1](#page-1-0)). During pregnancy, corpus-callosum agenesis as well as dilated lateral ventricles were detected by ultrasound and confirmed by prenatal magnetic resonance imaging (MRI) of the brain. Measurements at birth (41st week of gestation) were normal and included a weight of $3,570\,\mathrm{g}\,(50^\mathrm{th}\text{--}75^\mathrm{th}$ centile), a length of $51\,\mathrm{cm}\,(25^\mathrm{th}\text{--}50^\mathrm{th}$ centile), and an OFC of 34.5 cm $(25th-50th$ centile). After birth, linear and atrophic hyperpigmented streaks on her face and neck (Figures 1D and 1E) as well as on her left index finger were noticed. At 2months of age, seizures, which responded well to anticonvulsants, occurred. At the same age, dilated cardiomyopathy ensued, prompting a heart transplantation at 6 months of age. At 15 months of age, an ophthalmologic examination revealed myopia, nystagmus, and strabismus, but neither microphthalmia nor sclerocornea. Over time, severe psychomotor developmental delay became evident; she was able to roll at 15 months of age and to sit at 18 months. She started walking at 3 years of age. At 7 years of age, she was able to speak in simple sentences and had sphincter control. At 15 months of age, her height (73.5 cm, -2.1 SD), weight (7.5 kg, -2.7 SD), and OFC (41 cm, -4.4 SD) were below the third centile. Severe muscular hypotonia and delayed dentition were present. A brainstem auditory evoked response demonstrated normal hearing. Conventional cytogenetic analysis revealed normal results. At 2.5 years of age, MRI of the brain confirmed agenesis of corpus callosum and enlarged ventricles. Blood lactate was measured at the ages of 6 months and 4.5 years and was in the normal range both times.

In the next pregnancy of subject-2's mother, an ultrasound examination of the female fetus at the $24th$ week of gestation showed a thickened myocardium and pericardial effusion, corpus-callosum dysgenesis, a small cerebellum, and a connection between a lateral ventricle and the cavum septum pellucidum, as well as intrauterine growth retardation [\(Table 1](#page-1-0)). The pregnancy was terminated ([Figure 1C](#page-2-0)).

High-resolution molecular karyotyping with the Agilent 180K Oligo Array (Agilent Technologies) revealed no disease-associated copy-number variant (CNV) in subject 1. Array comparative genomic hybridization (CGH) with the Agilent Human Genome Microarray Kit 244K was performed on the DNA sample obtained from the aborted fetus of subject-2's mother and detected a heterozygous deletion of at least 70 kb at 2p16.3, containing part of NRXN1 (data not shown). Quantitative real-time PCR confirmed the microdeletion in the fetal DNA and identified the same CNV in both subject 2 and her mother but excluded this CNV in the father (data not shown). Heterozygous intragenic mutations and deletions of NRXN1 are enriched in cohorts of persons with autism spectrum disorder and neurodevelopmental disorders when these individuals are compared to control individuals. Incomplete penetrance has been described; some healthy parents carry the same deletion as the affected child. $30-32$ Therefore, we cannot exclude the possibility that the NRXN1 deletion might have contributed to the neurological phenotype in subject 2 (for instance, by worsening developmental delay). However, this copy-number loss is highly unlikely to be causative for the multiple congenital anomalies observed in this girl.

We next determined the XCI pattern by quantitative examination of the methylation status at the AR (androgen receptor) locus.^{[2,33](#page-8-0)} Leukocyte-derived DNA of subject 1 showed a highly skewed XCI pattern with a 10:90 ratio, whereas her healthy mother had a less skewed XCI ratio (20:80). In peripheral blood cells of subject 2 and her healthy mother, we detected complete skewing (100:0). Likewise, an XCI ratio of 99:1 was found in DNA from the aborted fetus of subject-2's mother (data not shown). Given that complete or highly skewed XCI was observed in COX7B- or HCCS-mutation-positive females, $2,3,7,11$ these findings strongly suggest that subjects 1 and 2 harbored mutations affecting a currently unidentified X-linked gene.

We then performed WES of peripheral blood DNA from subject 1 and her parents as well as from subject 2 and her mother by using the Illumina TruSeq Exome Enrichment Kit and an Illumina HiSeq 2500 sequencer. We aligned the sequence to the human genome reference sequence (UCSC Genome Browser hg19) by using the Burrows-Wheeler Aligner $(BWA).$ ^{[34](#page-9-0)} We used SAMtools to remove potential PCR duplicates from the alignments and the Genome Analysis Toolkit (GATK) for calling single-nucleotide variants (SNVs) and indels. 35 In addition, SAMtools was used for calling a second set of variants from the dedu-

plicated alignments.^{[36](#page-9-0)} Variant effects were predicted with SnpEff. 37 For each individual, the two sets of variant calls were used for variant filtration and prioritization. In both families, we identified common variants (minor-allele frequency \geq 1%) by filtering exome data against data in dbSNP version 137, the NHLBI Exome Variant Server, and the ExAC Browser. A detailed description of WES can be found elsewhere.^{[38](#page-9-0)} Exome read-depth metrics are summarized in Table S1.

Highly skewed XCI in subject 1 and slight skewing in her mother suggested the presence of an X-chromosomal de novo mutation in subject 1. In contrast, severely skewed XCI in subject 2, her asymptomatic mother, and the severely affected fetus led us to hypothesize that the mother carried an X-chromosomal variant that was inherited by both of her children. Indeed, carrier females with an HCCS mutation or terminal Xp deletion but with no clinical features of MLS syndrome have been described.^{[2,3,24](#page-8-0)} By applying this filter strategy, we identified the heterozygous de novo variant c.262C>T in NDUFB11 (Xp11.23) in subject 1. This variant is predicted to lead to the introduction of a premature stop codon (p.Arg88*) ([Figure 2A](#page-4-0) and Table S2). Notably, the mutated base thymine was present in 42 sequence reads and the wild-type base cytosine in 84, suggesting that the mutation was present in mosaic state in subject-1's lymphocytes (data not shown). In subject 2 and her healthy mother, three heterozygous X-chromosomal sequence variants were identified: two missense variants, c.1496A>G (p.Asn499Ser) in ARSH (MIM 300586; RefSeq NM_001011719.1) and c.3498G>T (p.Lys1166Asn) in DGKK (MIM 300837; RefSeq NM_001013742.3), and the frameshift mutation c.402delG (p.Arg134Serfs*3) in NDUFB11 [\(Figure 2B](#page-4-0) and Table S2). The variants in NDUFB11 and ARSH were absent in dbSNP, 1000 Genomes, the NHLBI Exome Variant Server, and the ExAC Browser, whereas the rare DGKK variant was annotated (Table S2). Validation of all variants was achieved with standard bidirectional Sanger methods (primer sequences are available on request). Further evidence of mosaicism of the NDUFB11 c.262C>T mutation came from Sanger sequencing of DNA isolated from leukocytes and fibroblast cells of subject 1; the two different sequence profiles showed a low signal for the mutant variant (thymine) superimposed on the wild-type sequence (cytosine) ([Figure 2](#page-4-0)A). Sanger sequencing in the family of subject 2 excluded the three X-chromosomal variants in the subject's father (paternity confirmed; Table S2). The two missense variants in ARSH and DGKK are predicted by SIFT, SNAP, PolyPhen-2, and/or MutationTaster to not interfere with protein function (Table S2). Together, the identification of two unrelated individuals who had typical features of MLS syndrome and each carried a heterozygous loss-of-function mutation in NDUFB11 indicated pathogenicity of the sequence changes c.262C>T (p.Arg88*) and c.402delG (p.Arg134Serfs*3). This conclusion is further supported by the presence of the 1-bp deletion c.402delG

subject 2: mRNA - leukocytes

Figure 2. NDUFB11 Mutations in Subjects 1 and 2

(A) Sequence electropherograms of part of NDUFB11 exon 2 from subject 1's genomic DNA (gDNA) isolated from blood (upper panel) and gDNA and mRNA (cDNA) of dermal skin fibroblasts (middle and lower panels, respectively). Nucleotide triplets and encoded amino acids (three-letter code) are indicated above the electropherograms. The red arrow points to the double peak in the two upper electropherograms; the lower peak of the mutant base (T) is superimposed on the higher peak of the wild-type base (C). Subject 1 carries the mosaic NDUFB11 mutation $c.$ [= $/262C>$ T] $(p.[=/Arg88*)$.

(B) Sequence electropherograms of part of NDUFB11 exon 3 from gDNA of subject 2 (upper panel), the aborted fetus (second from the top panel), and their healthy mother (second from the bottom panel). Nucleotide triplets and encoded amino acids of the wild-type and mutated allele are indicated above the electropherograms. The red arrow points to the first double peak in the electropherograms and indicates the start of the frameshift.

The three individuals are heterozygous for the NDUFB11 mutation c.402delG (p.Arg134Serfs*3). The sequence electropherogram from leukocyte-derived mRNA (cDNA) of subject 2 shows only wild-type NDUFB11 transcripts (bottom panel).

in NDUFB11 in DNA isolated from the severely malformed fetus of subject-2's mother ([Table 1](#page-1-0) and [Figures 1D](#page-2-0) and 2B). The NDUFB11 gene product is the 17.3-kDa subunit 11 of the NADH dehydrogenase (ubiquinone) 1 beta subcomplex and is part of the MRC cI. NDUFB11 comprises three exons; exon 2 is alternatively spliced.^{[39](#page-9-0)} The c.262C>T transition is located in exon 2, upstream of the alternatively used splice donor site (last 30 nucleotides), and the c.402delG mutation is located in exon 3. The longer transcript encodes a 163 amino acid (aa) protein, while the shorter one encodes a more abundant protein consisting of 153 aa.^{[39](#page-9-0)} Human NDUFB11, also called NP17.3 (neuronal protein 17.3), corresponds to the ESSS protein subunit in the nomenclature of bovine heart $cI.40,41$ $cI.40,41$ Complex I, or NADH:ubiquinone oxidoreductase, is the largest enzyme of the mitochondrial electron-transport chain and has two critical functions: NADH oxidation and formation of membrane potential via proton translocation. Bovine, and presumably human, cI is composed of 45 subunits, seven of which are encoded by the mitochondrial DNA[.42](#page-9-0) Only 14 conserved core subunits are essential for energy transduction. 43 The function of the 30 supernumerary subunits, including NDUFB11, is still poorly understood. They might participate in complex stabilization, protection of the core subunits against oxidative stress, assembly of the complex, and/or regulation of complex activity. $44,45$ Together, the products of HCCS and COX7B, the two other genes with a known association to MLS, as well as the products of NDUFB11, are all involved in OXPHOS system biogenesis, $12,13,16,17,40,46$ underscoring the existence of a new group of mitochondrial diseases

with neurodevelopmental and skin defects as the major clinical features. To test the idea that the extremely skewed XCI found in our MLS-affected subjects was due to strong selection against OXPHOS-faulty cells expressing mutant NDUFB11, we performed RT-PCR analysis followed by Sanger sequencing of reverse-transcribed total RNA. As expected, we identified only wild-type NDUFB11 transcripts in fibroblast cells of subject 1 (Figure 2A) as well as in leukocytes of subject 2 and her mother (Figure 2B and data not shown). In concordance with these data, cells from an affected individual do not show a cI defect (Table S3) because NDUFB11 is exclusively expressed by the normal gene present on the wild-type X chromosome. Consequently, fibroblasts from affected females are unsuitable for use in investigations of the biochemical consequences of defective NDUFB11. To overcome this problem, we decided to silence NDUFB11 in HeLa cells by stably expressing each of five different shRNAs (shRNA-1 to shRNA-5), all targeting the NDUFB11 mRNA. HeLa cells transduced with the empty pLKO.1 vector were used as a control. The degree of NDUFB11 silencing was evaluated with immunoblot analysis of the corresponding protein. The amount of NDUFB11 was decreased in all five NDUFB11-depleted cell lines; the lowest amount was achieved in the shRNA-1-expressing cells, where NDUFB11 was virtually undetectable, and shRNA-2-expressing cells, which showed a residual protein amount of ~5% of the amounts in controls ([Figure 3](#page-5-0)A). Interestingly, the amount of another cI membrane-arm subunit, NDUFB8, was as decreased as NDUFB11 in the five NDUFB11-knockdown cell lines (shRNA-1 to shRNA-5) ([Figure 3A](#page-5-0)). The low

Figure 3. Impaired Assembly and Activity of CI in NDUFB11-Depleted HeLa Cells (A) HeLa cells were stably transfected with one of five different MISSION shRNA1-5 clones (TRCN0000107101–105, Sigma-Aldrich) targeting the NDUFB11 mRNA or with the empty vector pLKO.1. Immunoblotting with an anti-NDUFB11 antibody (Proteintech) allowed NDUFB11 depletion to be monitored. The antibodies against NDUFB8, NDUFS3, MTCO1 (cytochrome c oxidase subunit 1; theoretical molecular mass of 57 kDa, but migrating at an apparent molecular mass of 37 kDa in SDS-PAGE), and cytochrome $b-c_1$ complex subunit 2 (UQCRC2) were from Abcam (formerly Mitosciences). An anti-b-actin antibody (Sigma-Aldrich) was used as the loading control.

(B) IGA of cI and cIV on $1D-BNGE^{47}$ in the five NDUFB11-knockdown cell lines (shRNA1-5) and the control (pLKO.1). 1D-BNGE was performed on mitoplasts solubilized with 1% DDM (n -Dodecyl β -D-maltoside). 48 The intensity of the cI-specific signals representing the active monomer (CI) and the active cI associated with the other MRC complexes in the form of supercomplexes (SCs) was markedly reduced in HeLa cells stably expressing NDUFB11 shRNA-1 or -2 (upper panel). In contrast, cIV-IGA was comparable in all the samples.

(C) Immunodetection of cI-assembly intermediates on 2D-BNGE immunoblot with antibodies against NDUFB11, NDUFA9 (Abcam), and NDUFS3. The NDUFS3-containing assembly intermediates detected in both control (pLKO.1) and NDUFB11 shRNA-1-depleted cells are marked by black arrowheads; the NDUFS3-containing subcomplexes of the cI peripheral arm accumulated only in the shRNA-1 cells are marked by gray arrowheads. An anti-COX4 antibody (Abcam; theoretical molecular mass of 19.5 kDa but migrating at an apparent molecular mass of 15 kDa in SDS-PAGE) was used as a loading control.

amount of NDUFB8 in the knockdown cells was not due to reduced mRNA levels, given that these were even slightly higher than mRNA levels in control cells (Figure S1). This result excludes an off-target effect of the shRNA silencing NDUFB11, suggesting that the absence of the NDUFB11 subunit might destabilize the region where both NDUFB11 and NDUFB8 subunits are located in the cI membrane $arm.⁴²$ This is further supported by the observation that NDUFS3, a cI subunit that takes part in the peripheral arm of the complex, was detected in a normal amount in shRNA-transduced cells (Figure 3A). Likewise, cIII and cIV were not affected by NDUFB11 silencing, given that the amount of both UQCRC2 (ubiquinol-cytochrome c reductase core protein II, part of cIII) and MTCO1 (mtDNA-encoded cytochrome c oxidase subunit 1, part of cIV) was the same in all tested cell lines (Figure 3A). These results suggest that NDUFB11 is necessary for the assembly of the membrane arm of cI, and thus for the assembly of the cI holocomplex as well.

We next assessed cI in-gel activity (cI-IGA) by performing one-dimensional blue-native gel electrophoresis (1D-BNGE) in mitochondrial extracts from the five NDUFB11 knockdown cell lines and the pLKO.1 control cell line. The specific cI-reactive band was markedly reduced in HeLa cells expressing shRNA-1 and shRNA-2 and was reduced but clearly visible in shRNA-3 cells, whereas the

signal detected in shRNA-4 and shRNA-5 cell lines was comparable to that of the pLKO.1 control cell line (Figure 3B). In contrast, comparable cIV in-gel activity was observed in control and all NDUFB11-depleted cell lines (Figure 3B). Accordingly, the cI enzymatic activity was extremely low in the shRNA-1 cells with respect to the pLKO.1 control cells, but the other complex activities were comparable to the control values (Table S4). These results demonstrate a direct relationship between the decreased NDUFB11 amount and the catalytic activity of the cI holocomplex.

To further investigate how the knockdown of NDUFB11 affects cI assembly, we performed second-dimensional (2D) BNGE on shRNA-1 and pLKO.1 cell lines (Figure 3C). Immunoblot analysis was carried out with antibodies that probed three topologically distinct cI subunits (a-NDUFB11, a-NDUFA9, and a-NDUFS3). a-NDUFB11 revealed a barely detectable signal in shRNA-1 cells (right panel in Figure 3C), whereas pLKO.1 control cells displayed a strong reactive band corresponding to the fully assembled cI and to different membrane-arm subcomplexes (left panel in Figure 3C). NDUFA9 is a cI subunit that is incorporated late during assembly and is located at the joint connecting the membrane and the peripheral arms.^{[49](#page-10-0)} The NDUFA9-specific signal was weak and nonfocalized in shRNA-1 cells (right panel in Figure 3C),

Figure 4. NDUFB11 Is Necessary for Respiratory Activity

The oxygen consumption rate was measured in NDUFB11-knockdown (shRNA-1 and -2) and control (pLKO.1) cells with the high-resolution oxygraph OROBOROS (Oroboros Instrument) as
described previously.^{[53](#page-10-0)} Cellular respiration was measured in basal conditions (endogenous) in the presence of oligomycin (leaking) and in the presence of FCCP (uncoupled). For calculation of the respiratory rates, the oxygen consumption per minute was normalized to the cell number. Statistical significance was determined by ANOVA and is indicated as follows: *p<0.05; **p < 0.01; ****p < 0.0001; n = 3. The plotted values correspond to the mean \pm SD.

whereas it was readily detected in pLKO.1 control cells (left panel in [Figure 3C](#page-5-0)). NDUFS3 is incorporated early in the assembly pathway into sub-assembled species that eventually form the peripheral arm. 50 Although the amount of NDUFS3-specific signal was comparable in both shRNA-1 and pLKO.1 control cells [\(Figure 3](#page-5-0)A), 2D-BNGE immunoblotting clearly showed the presence of several aberrant sub-assembly intermediates containing NDUFS3 in the shRNA-1 cells but hardly any cI holocomplex. On the contrary, most of α -NDUFS3 was present in the cI holocomplex in pLKO.1 control cells (left panel in [Figure 3C](#page-5-0)). Normal assembly of the cIV complex was demonstrated in both shRNA-1 and pLKO.1 control cells ([Figure 3C](#page-5-0)).

Finally, we tested whether defective cI assembly and activity upon NDUFB11 knockdown could impair mitochondrial respiration. Endogenous, leak (or state 4), and uncoupled (maximal rate) respiration were sequentially determined with high-resolution respirometry (Oroboros). That is, by measuring oxygen consumption without any external additions; in the presence of oligomycin, an inhibitor of the mitochondrial ATP-synthase (cV); and finally in the presence of the OXPHOS-uncoupler FCCP (carbonyl cyanide-p-trifluoromethoxyphenyl-hydrazone)..^{[51,52](#page-10-0)} Compared to respiration-rate values in pLKO.1 control cells, respiration-rate values were reduced 4-fold in shRNA-1 NDUFB11-depleted cells (Figure 4). In HeLa cells expressing shRNA-2, oxygen consumption was also decreased, but not as profoundly as in shRNA-1 cells (Figure 4). This is in accordance with the drastically reduced amount of NDUFB11 in shRNA-1 compared to shRNA-2 knockdown HeLa cells ([Figure 3](#page-5-0)A). Together, these data demonstrate that the NDUFB11 subunit is

indispensable for assembly of the cI membrane arm, for maturation of the cI holocomplex, and for cI-dependent mitochondrial respiration.

Our data clearly demonstrate the importance of NDUFB11 for cI biogenesis and mitochondrial respiration, suggesting that severe cI deficiency underlies the developmental defects in MLS-affected individuals with the NDUFB11 mutation. A combined effect of OXPHOS defects and enhanced cell death has been shown to underlie the brain and eye abnormalities observed in a hccs-deficient medaka fish model. 18 18 18 In order to investigate whether NDUFB11-knockdown cells have a proliferative disadvantage in comparison to control cells, we monitored cell growth of the shRNA-transduced versus control HeLa cells and demonstrated that cells in which the knockdown of NDUFB11 was most severe (shRNA-1) showed significantly reduced growth (Figure S2). To determine whether the reduced growth of NDUFB11 knockdown cells correlates with increased apoptosis in these cells, we quantified an early marker of apoptosis (i.e., externalization of phosphatidylserine in the cell membrane) by using the specific apoptosis marker Annexin V (FITC conjugated to Annexin V). As compared to that of pLKO.1 cells, the proportion of shRNA-1 NDUFB11-depleted cells positive for Annexin V was significantly increased ([Figure 5\)](#page-7-0). In conclusion, a reduced amount of NDUFB11 is associated with slower cell growth and increased apoptosis, suggesting that cells expressing the NDUFB11 mutant allele are likely to be offset by those expressing the normal allele and eventually to be selected out.

Our results show that X-linked gene mutations leading to severe MRC impairment, including severe cI deficiency, in addition to already documented defects of cIII and cIV, underlie MLS syndrome. cI is the biggest MRC enzyme and a major entry point of respiration. cI strips two electrons from NADH and transfers them to ubiquinone by using the redox potential to pump four protons across the inner mitochondrial membrane and thus contributing to the maintenance of the electrochemical gradient. 43 cI deficiency is the most common respiratory-chain defect in human mitochondrial disorders. To date, mutations in genes coding for all 14 core subunits, including seven mtDNA-encoded subunits, have been reported to cause isolated cI deficiency. In addition, mutations in 11 genes encoding supernumerary subunits, including mutations reported here in NDUFB11, have been found in individuals with cI deficiency, indicating that these genes play an essential role in cI assembly and/or stability.^{45,54} The phenotypic spectrum associated with cI deficiency ranges broadly, from fatal neonatal lactic acidosis and infantile-onset Leigh syndrome to childhood-onset mitochondrial encephalopathy, lactic acidosis, and stroke-like episodes (MELAS) syndrome. Single organ involvement has also been reported; examples include isolated hypertrophic cardiomyopathy, isolated skeletal myopathy, and Leber's hereditary optic neuropathy (LHON).⁴⁵ Remarkably, early-onset cardiomyopathy

Figure 5. NDUFB11-Depleted HeLa Cells Show Enhanced Apoptosis

(A) The data were generated by the NucleoCounter NC-3000 system (Chemo-Metec). This assay uses Hoechst 33342 to stain DNA and detect the total amount of cells. Staining cells with a conjugate of Annexin V and FITC allowed detection of cells in which phosphatidylserine is flipped inside out and exposed to the outer layer of the plasma membrane, a phenomenon occurring in the early execution phase of apoptosis. Cells were also stained with propidium iodide (PI) so that the non-viable (i.e., necrotic and late apoptotic) ones could be detected. The scatter plots show the intensity of Annexin-V-FITC versus PI fluorescence. Low Annexin-V-FITC/low PI intensity (lower left quadrant) corresponds to normal cells; high Annexin-V-FITC/low PI intensity (lower right quadrant) corresponds to cells in early apoptosis.

(B) Quantification plot of the percentage of healthy and early apoptotic cells in control (pLKO.1) and NDUFB11

knockdown (shRNA-1) cells. Statistical significance was determined by ANOVA and is indicated as follows: **p < 0.01; ***p < 0.001; n = 3. The plotted values correspond to the mean \pm SD.

was present in subjects 1 and 2 as well as in the aborted female fetus from family 2. After autopsy, histiocytoid cardiomyopathy was diagnosed in subject 1. Hypertrophic and oncocytic (histiocytoid) cardiomyopathy has been reported in a few individuals with MLS syndrome. $8,9,55$ In particular, in one deceased girl with an HCCS nonsense mutation, histiocytoid cardiomyopathy was found after a post-mortem examination.^{[2](#page-8-0)} Interestingly, dilated or hypertrophic cardiomyopathy was observed in a proportion of female mice with heart-specific inactivation of one Hccs copy.²⁹ Our results, combined with the marked expression of Ndufb11 observed in the mouse heart (Bio-GPS database), 56 suggest that NDUFB11 is an attractive candidate for involvement in isolated histiocytoid cardio-myopathy.^{[57](#page-10-0)}

HCCS, COX7B, and NDUFB11, which are involved in cellular respiration, are ubiquitously expressed (BioGPS database),^{[56](#page-10-0)} but developmental defects in MLS-affected individuals are mainly restricted to the skin, eyes, and CNS [\(Table 1](#page-1-0)). Given that skin involvement is uncommon in canonical mitochondrial disorders, these findings imply the existence of a new pathogenetic mechanism affecting skin development in severe, X-linked forms of OXPHOS failure. Our data suggest that severe cI deficiency in specific cell types of females with a heterozygous NDUFB11 mutation might cause increased cell death and underlie the developmental defects in individuals with MLS syndrome. A proliferative advantage of cells with an active wild-type X chromosome might compensate for the loss of NDUFB11-deficient cells, as demonstrated in mice with heart-specific *Hccs* inactivation.^{[29](#page-9-0)} In support of this idea, we showed that NDUFB11 knockdown also

caused slower cell growth and increased apoptosis, although the knockdown experiments in cell culture cannot perfectly recapitulate functional mosaicism in female subjects as a result of random X-chromosome inactivation. The regenerative capacity and compensatory proliferation of healthy cells during embryonic development might lead to the most favorable outcome (i.e., no apparent sign of MLS syndrome). This possibility is supported by incomplete penetrance in oligo-symptomatic individuals as well as in apparently healthy female carriers, 2 including the *NDUFB11*-mutation-positive mother of subject 2.

In summary, MLS syndrome is a developmental disorder caused by the complex interplay between X inactivation and OXPHOS defects of cIII, cIV, or, as shown here, cI. Because of the primary OXPHOS failure, it is possible that marked ATP depletion, abnormal reactive oxygen species (ROS) generation, and increased cell death are interrelated mechanisms contributing to the pathogenesis of MLS syndrome. Indeed, MRC impairment and increased production of ROS have been shown to trigger an increase in caspase-dependent apoptosis in the eyes and brains of hccs-deficient medaka embryos, suggesting that the developmental defects seen in individuals with MLS syndrome are caused by a combined effect of OXPHOS defects and enhanced cell death, possibly through the synergic contri-bution of decreased ATP and increased ROS production.^{[18](#page-8-0)} Although more work is warranted to test these hypotheses mechanistically in in vivo models of NDUFB11 deficiency, our results in shRNA-1 HeLa cells do suggest that apoptosis plays a relevant pathogenetic role in NDUFB11-associated MLS syndrome.

Supplemental Data

Supplemental Data include two figures and four tables and can be found with this article online at [http://dx.doi.org/10.1016/j.ajhg.](http://dx.doi.org/10.1016/j.ajhg.2015.02.002) [2015.02.002.](http://dx.doi.org/10.1016/j.ajhg.2015.02.002)

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Web Resources

The URLs for data presented herein are as follows:

1000 Genomes, <http://browser.1000genomes.org>

BioGPS, <http://biogps.org/>

dbSNP, <http://www.ncbi.nlm.nih.gov/projects/SNP/>

ExAC Browser, <http://exac.broadinstitute.org/>

GeneReviews, Morleo, M., and Franco, B. (2009). Microphthalmia with Linear Skin Defects Syndrome, [http://www.ncbi.nlm.nih.](http://www.ncbi.nlm.nih.gov/books/NBK7041) [gov/books/NBK7041](http://www.ncbi.nlm.nih.gov/books/NBK7041)

MutationTaster, <http://www.mutationtaster.org/>

NHLBI Exome Sequencing Project (ESP) Exome Variant Server, <http://evs.gs.washington.edu/EVS/>

OMIM, <http://www.omim.org/>

PolyPhen-2, <http://genetics.bwh.harvard.edu/pph2/>

SIFT, <http://sift.bii.a-star.edu.sg/>

SNAP, <https://www.rostlab.org/services/snap/>

UCSC Genome Browser, <http://genome.ucsc.edu>

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