

Published in final edited form as:

N Engl J Med. 2011 September 22; 365(12): 1141-1144. doi:10.1056/NEJMe1108666.

## Asthma Phenotypes and Interleukin-13 — Moving Closer to **Personalized Medicine**

## Monica Kraft, M.D.

Division of Pulmonary, Allergy and Critical Care Medicine, Department of Medicine, Duke University Medical Center, Durham, NC

> Asthma is a chronic inflammatory disease of the airways manifested by reversible airflow obstruction and airway hyperresponsiveness. One of the challenges of treating patients with asthma is the known heterogeneity of the disease and differential responses to standard treatments.<sup>2–4</sup> For example, in a large clinical trial of patients with moderate asthma, one of three patients had asthma that was not well controlled despite the regular use for 1 year of fluticasone, an inhaled glucocorticoid, and salmeterol, a long-acting beta-agonist.<sup>3</sup> In another study involving patients with more severe asthma, 40% were unable to gain control of their asthma with the addition of omalizumab, a biologic agent that binds to IgE.<sup>4</sup> An improved understanding of the mechanisms underlying the heterogeneity of the treatment response in patients with asthma represents an unmet need.

> An asthma subphenotype associated with an "interleukin-13 signature surrogate" or a high type 2 helper T-cell (Th2) phenotype has been described recently. This high-Th2 phenotype has been defined as an IgE level greater than 100 ng per milliliter and more than  $0.14 \times 10^9$ eosinophils per liter in the peripheral blood.<sup>5</sup> In patients with asthma, the high-Th2 phenotype has been associated with an increase in circulating periostin, a matricellular protein induced by interleukin-13 and expressed by airway structural cells. The presence of interleukin-13, which shares a receptor with interleukin-4, is critical to the expression of the Th2 phenotype, which has been shown in studies in animals to lead to the formation of IgE antibody. <sup>6,7</sup> Interleukin-13 is found in the airways of patients with asthma and is thought to mediate several features of asthma, including airway hyperreponsiveness, inflammation, mucous metaplasia, and activation and proliferation of airway fibroblasts, which contribute to adverse airway remodeling<sup>8,9</sup> (Fig. 1). Thus, interleukin-13 is a relevant target for asthma therapy, but it is only one of the pathways that can lead to the expression of an asthma phenotype.

> In this issue of the Journal, Corren and colleagues report the effects of an interleukin-13 inhibitor, lebrikizumab, in a cohort of patients with moderate asthma who were symptomatic despite taking inhaled glucocorticoids and, in most cases, an additional long-acting betaagonist. 10 Although there was an effect on airflow obstruction in all the patients who were treated with lebrikizumab, the effect was greater in patients who had circulating levels of periostin above the median and exhibited the high-Th2 phenotype than in those without this

Kraft Page 2

phenotype. These data provide proof of the concept that anti–interleukin-13 therapy can be targeted to susceptible patients.

As we look toward the goal of treating patients with this heterogeneous disease in a more personalized fashion, it is refreshing to see a trial in which there is acknowledgement that not all patients will respond similarly to an intervention. Although larger studies are needed to verify this observation, future trials should strive to include stratification of patients according to the expected phenotype to help us personalize the response to asthma treatment.

## References

- 1. Busse WW, Lemanske RF Jr. Asthma. N Engl J Med. 2001; 344:350–362. [PubMed: 11172168]
- Szefler SJ, Martin RJ, King TS, et al. Significant variability in response to inhaled corticosteroids for persistent asthma. J Allergy Clin Immunol. 2002; 109:410

  –418. [PubMed: 11897984]
- 3. Bateman ED, Boushey HA, Bousquet J, et al. Can guideline-defined asthma control be achieved? The Gaining Optimal Asthma Control study. Am J Respir Crit Care Med. 2004; 170:836–844. [PubMed: 15256389]
- 4. Humbert M, Beasley R, Ayres J, et al. Benefits of omalizumab as add-on therapy in patients with severe persistent asthma who are inadequately controlled despite best available therapy (GINA 2002 step 4 treatment): INNOVATE. Allergy. 2005; 60:309–316. [PubMed: 15679715]
- Woodruff PG, Modrek B, Choy DF, et al. T-helper type 2-driven inflammation defines major subphenotypes of asthma. Am J Respir Crit Care Med. 2009; 180:388–395. [Erratum, Am J Respir Crit Care Med 2009;180:796.]. [PubMed: 19483109]
- Grünig G, Warnock M, Wakil AE, et al. Requirement for IL-13 independently of IL-4 in experimental asthma. Science. 1998; 282:2261–2263. [PubMed: 9856950]
- Zhu Z, Homer RJ, Wang Z, et al. Pulmonary expression of interleukin-13 causes inflammation, mucus hypersecretion, sub-epithelial fibrosis, physiologic abnormalities, and eotaxin production. J Clin Invest. 1999; 103:779–788. [PubMed: 10079098]
- 8. Saha SK, Berry MA, Parker D, et al. Increased sputum and bronchial biopsy IL-13 expression in severe asthma. J Allergy Clin Immunol. 2008; 121:685–691. [PubMed: 18328894]
- 9. Ingram JL, Huggins MJ, Church TD, et al. Airway fibroblasts in asthma manifest an invasive phenotype. Am J Respir Crit Care Med. 2011; 183:1625–1632. [PubMed: 21471104]
- 10. Corren J, Lemanske RF Jr, Hanania NA, et al. Lebrikizumab treatment in adults with asthma. N Engl J Med. 2011; 365:1088–1098. [PubMed: 21812663]

Kraft Page 3

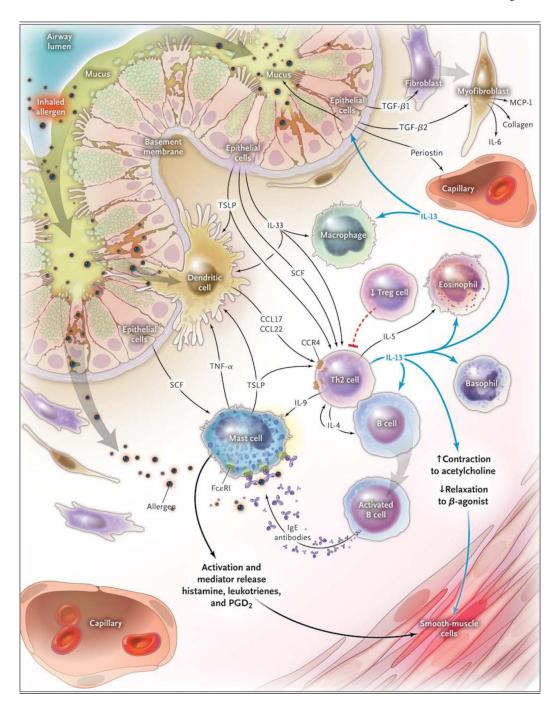


Figure 1. Interleukin-13 and Non–Interleukin-13 Inflammatory Pathways in Asthma Inhaled allergen activates mast cells, which are maintained by stem-cell factor (SCF) produced by epithelial cells and by dendritic cells, through cross-linking with IgE on their cell surfaces via Fc $\epsilon$ R1 to release mediators that induce bronchoconstriction, such as histamine, cysteinyl leukotrienes, and prostaglandin D<sub>2</sub> (PGD<sub>2</sub>). Allergens are processed by dendritic cells, which are induced to secrete the CC chemokine ligand (CCL) 17 and CCL22 by thymic stromal lymphopoietin (TSLP). Dendritic cells then attract and activate type 2 helper T-cells (Th2) by the binding of CCL17 and CCL22 with CC chemokine receptor 4

Kraft Page 4

(CCR4) on the Th2 cell surface. Another driver of the allergen sensitization process is interleukin-33 (IL-33), produced by airway epithelial cells, which activates dendritic cells and Th2 through mast-cell–derived tumor necrosis factor alpha (TNF- $\alpha$ ). Th2 secrete IL-4 and IL-13, which induce B cells to produce IgE; IL-5, which is necessary for the development and survival of eosinophils; and IL-9, which activates mast cells. T regulatory (Treg) cells inhibit this inflammatory cascade, and there are data that suggest that they may be reduced in asthma, thus promoting ongoing Th2 inflammation. Once IL-13 is produced, it can promote the survival and migration of eosinophils and promotes activation of macrophages to create an M2, or an allergic cell phenotype. Through modulation of the barrier function of airway epithelial cells and subsequent production of transforming growth factor  $\beta$ 1 (TGF- $\beta$ 1), the permeability of airway epithelial cells and the production of mucous are increased, and airway fibroblasts transform to myofibroblasts, with subsequent production of monocyte chemoattractant protein 1 (MCP-1), IL-6, and collagen. IL-13 also has direct effects on airway smooth muscle, leading to increased contraction to agonists such as acetylcholine and decreased relaxation with beta-agonists.