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Daily Facial Stimulation Improves Recovery after Facial Nerve Repair

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Abstract

Objective—To establish whether daily mechanical stimulation improves functional recovery of whisking after facial nerve transection injury/ repair in the rodent.

Methods—Forty rats underwent facial nerve transection injury/ repair, and subsequent quantitative facial movement testing. Animals were randomized into two experimental groups (n=20 each). Both groups received daily 5-minute manual stimulation of their whiskers, with one group undergoing whisker protraction and the other whisker retraction. Rats were tested on postoperative weeks 1, 4-8, and 15 using a validated, quantitative whisking kinematics apparatus. Whisks were counted and analyzed for whisking amplitude, velocity and acceleration.

Results—Animals receiving manual stimulation by passive protraction of their whiskers demonstrated significantly improved functional recovery at multiple time points during the 15 weeks compared with historical controls (p<.05, one-tailed t-test). Recovery was similar in the protraction and retraction groups, trending towards better whisking recovery in the protraction group.

Conclusions—The present report demonstrates that daily mechanical whisker stimulation significantly improves recovery of whisking after facial nerve transection/ repair in animals undergoing either protraction or retraction. This finding supports the role of early soft tissue manipulation after facial nerve repair, and may have clinical implications for the postoperative management of patients following facial nerve manipulations.

Introduction

Facial paralysis is a clinical disorder that carries significant adverse social and functional consequences, including decreased ability to communicate using facial expression, incomplete eye closure, external nasal valve collapse, and oral incompetence. Clinically, many studies have demonstrated poor functional recovery after facial nerve transection injury and microsurgical repair¹⁻⁵. The slow rate of facial nerve regeneration following

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certain injury scenarios can lead to degeneration of the motor end organ, with permanent loss of function. Recognizing this, many researchers have used animal models to study manipulations that might accelerate recovery, employing qualitative and semiquanitative methods to measure facial recovery after injury⁶⁻⁸.

A variety of pharmacologic agents have been shown to improve motor nerve regeneration in animals, including FK-506⁸, TJ-23 (Tokishakuyakusan)⁹, angiotensin II (ANG II)¹⁰, nitric oxide $(NO)^{11,12}$, and brain-derived neurotropic factor $(BDNF)^{13}$; however, given the side effects and the difficulties with drug delivery and bioavailability none are in clinical use. Likewise, some non-pharmacologic treatments have been shown to be of benefit. Recently, manual mechanical stimulation of paralyzed facial¹⁴ and tongue¹⁵ musculature has demonstrated promise as a possible treatment option following cranial nerve transection. Despite a wealth of research to date, few treatments are available to accelerate or improve recovery after facial nerve injury, and none prevent the adverse effects of aberrant regeneration and its clinical correlate, synkinesis ¹⁶.

Our laboratory has recently adapted a rodent whisker movement monitoring system to quantitatively measure return of facial nerve function after injury¹⁷⁻²⁰. This system automatically measures the amplitude, velocity, and acceleration of whisks, providing a useful tool for precise quantification of the timing and completeness of facial nerve recovery after injury. We have found that transection-injured animals recover poorly; barely achieving measurable recovery after four months $14,19$. This poor recovery is commensurate with the suboptimal clinical recovery seen in patients after a facial nerve transection and repair or cable grafting^{21,22}. Our objectives in this study were to corroborate the recently reported improved functional recovery of whisking associated with daily mechanical whisker stimulation 14 , and to compare mechanical stimulation performed by daily whisker protraction to daily whisker retraction under the hypothesis that different directions of whisker stimulation would lead to different functional levels of recovery.

METHODS

PREPARATION FOR RIGID HEAD FIXATION

Forty female Wistar-Hannover rats (Charles River Laboratories, Wilmington, Massachusetts), weighing 200 to 250g, were handled daily for 2 weeks prior to surgical manipulation to condition them to behavior testing. Subsequently, all rats underwent surgical insertion of a light-weight titanium head implant that provided a set of four external attachment points for rigid head fixation, as previously described^{19,20}. One week after headfixation device implantation, rats were conditioned to a body restraint apparatus by brief daily placements into a fitted sack. In the third week, head restraint was added to the daily conditioning regimen. After the third week, the rats were sufficiently conditioned to undergo head/body restraint without struggling or signs of stress, and pre-surgical baseline testing was performed. Rats were not food or water deprived prior to testing, and all experimentation was conducted under protocols approved by the Massachusetts Eye and Ear Infirmary Animal Care and Use Committee.

SURGICAL PROCEDURE

Rats were anesthetized with an intramuscular injection of ketamine (50 mg/kg) (Fort Dodge Animal Health, Fort Dodge, Iowa) and medetomidine hydrochloride (0.5 mg/kg) (Orion Corporation, Espoo, Finland) in saline. The left infraauricular area was shaved and sterilely prepared. Left facial nerve exposure involved a pre-auricular incision, reflection of the parotid gland, and visual identification of the main trunk of the facial nerve. The common trunk was electrically stimulated with a nerve stimulator (Montgomery Nerve Stimulator; Boston Medical Products, Westford, Massachusetts) at a setting of 1mV to verify complete hemifacial movement. The nerve was then transected and the cut ends were microsurgically reconnected with two 9-0 nylon sutures (Ethilon, Somerville, New Jersey). The wound was then closed in a single layer with absorbable suture, and the anesthetic was reversed with a subcutaneous injection of 0.05mg/kg of atipamezole hydodrochloride. Rats were allowed to recover on a warming pad, and were monitored post-operatively for signs of discomfort including changes in grooming, social interaction and maintenance of normal body weight.

MECHANICAL STIMULATION

All experimental animals received systematic mechanical stimulation to the whiskers five days per week, starting on the first postoperative day. Over a five minute period, with the animal held securely against the body of the handler to limit head movement, approximately forty-five stokes per minute were delivered to the vibrissae in either a posterior- anterior direction (protraction group) or in and anterior-posterior direction (retraction group), using a soft-bristled paint brush (Figure 1). Care was taken to avoid stroking the whisker pad during stimulation. Animals rapidly habituated to the procedure and did not show signs of stress during or after manipulation.

FUNCTIONAL RECOVERY TESTING

Baseline whisking testing was performed pre-operatively, and initial post-surgical testing was performed one week after facial nerve manipulation. Weekly post-operative testing of the animals continued across postoperative weeks 4-8, and was conducted one final time at week 15. Whisking recovery was monitored by our previously validated testing apparatus^{19,20}. Briefly, on the day of testing, animals were placed in the body restraint device, right and left C-1 whiskers were marked using polyimide tubes (SWPT-045, SWPT-008, Small Parts Inc.) to increase their detectability, and then rats were placed into the monitoring apparatus. The horizontal movement of the marked C-1 whiskers was independently tracked using commercial laser micrometers (MetraLight, Santa Mateo CA) and a data acquisition computer²⁰. A computer-controlled air valve was used to deliver 10 second sustained flows of scented air toward the snout in order to elicit whisking behavior at two random time points during each 5-minute data recording session per animal.

DATA ANALYSIS

The three largest amplitude whisks were detected and analyzed in automated fashion for each rat on each day of recording using software adapted from Bermejo et al., 1998, 2002. The data were normalized for each animal, across the two sides of the face by dividing the whisking amplitude on the injured side by the amplitude on the uninjured side, giving the

relative recovery. This is based on prior observations that right/left whisking is generally symmetrical, and to account for behavioral changes in whisking effort^{17,18}. A group average for relative recovery of amplitude was calculated. Independent, two sample, one tailed t-tests were then performed for weeks 4, 7, and 15 between the experimental groups (protraction and retraction) and our normative data set (in press). An independent, two sample, two tailed t-test was performed between the protraction and retraction groups under the hypothesis that mechanical stimulation of the whiskers would affect recovery. The same data analysis was performed for the three whisks with the largest velocity and the three whisks with the greatest acceleration.

We further analyzed the top three performers in each group for post-operative weeks 4, 7, and 15. The top three amplitude values were then normalized to the uninjured side and the average was calculated. This was repeated for velocity and acceleration.

RESULTS

Rats were housed in groups of 2-3 animals per cage, and demonstrated normal social, grooming and feeding behavior in the 15 weeks of post-operative observation. There were no post-operative wound infections after either the head fixation device placement or the facial nerve transection procedure. There was an attrition of 20% of the rats across the 15 week post-surgical survival period, due to head fixation device failure or generalized poor adaptation to the testing apparatus. Two rats in the retraction group and three rats in the protraction group were not included at any time point due to early implant failure. Three additional rats in the protraction group were euthanized after postoperative weeks 4, 7, and 8 secondary to implant failure. Therefore, at the 15 week post-surgical time-point there were 18 rats in the retraction group and 14 rats in the protraction group.

WHISKING RECOVERY

Pre-operative testing reveled that protraction, retraction, and control animals demonstrated symmetric right and left whisking, with a relative amplitude of 1.0 (standard error .023) and 1.0 (standard error .030) and .9 (standard error .191), respectively. Animals had complete absence of whisking function on post-operative week 1, and measureable whisking recovery by post-operative week 4, in both the protraction and retraction groups. This was significantly greater than the recovery observed without mechanical stimulation, in our normative data set (in press). There were statistically significant differences in relative amplitude between the protraction group and our normative data set (p <.05, one-tailed ttest), and the retraction group and our normative data set $(p<0.05$, one-tailed t-test) at multiple time points (Figure 2). In addition, there were statistically significant differences in relative velocity and acceleration between the protraction and the retraction groups and our normative data at multiple time points (Table 1). At 7 weeks the protraction group demonstrated a statistically significant improvement in acceleration as compared to the retraction group. For all other time points and kinematic measures the protraction group (n= 15-18) trended toward improved functional recovery as compared to the retraction group (n=18); however, no statistical significance was demonstrated between the experimental groups (p>.05, two tailed t-test) (Table 1).

Examination of the top three performers in each group during post-operative weeks 4, 7, and 15 revealed a dramatic improvement in the protraction group as compared with the retraction and the control groups (Figure 3). One animal in the protraction group obtained nearly symmetric whisking by week 7 (Figure 4).

A plateau of recovery was achieved for whisking amplitude, velocity, and acceleration on the operated sides of all animals between postoperative weeks 7 and 15. On post-operative week 15, animals continued to exhibit a significant difference for amplitude, velocity, and acceleration between the operated and non-operated sides (p<.005, one tailed t-test), indicating that complete recovery of whisking function was not achieved at the 15 week post-operative period for either mechanically stimulated group.

COMMENT

Facial nerve transection and epineural suture repair typically results in poor whisker function^{14,19}, even after 16 weeks of recovery or longer¹⁹ (in press). Because the results of most surgical nerve repairs remain generally disappointing, the discovery by Angelov et al in 2007 that mechanical stimulation improves functional recovery of whisking compared with surgical repair of nerve transection alone was remarkable 14 . The current effort endeavored to verify that interesting finding, further quantify the whisking kinematics during the recovery process, and to examine whether the particular nature of mechanical stimulation (protraction vs. retraction) is important in enhancing functional recovery.

Recent evidence shows ipsilateral mechanical stimulation of the whisker pad and protraction of the vibrissae leads to recovery of normal whisking function¹⁴ and manual stimulation of the orbicularis oculi muscle improves blink responses $14,23$. Normal whisking motion is also recovered after facial nerve transection and repair in blind rat strains, where functional demands on whisking and whisker sensory feedback are heightened^{15,24}. In addition, mechanical stimulation under the mandible (submental region) has been shown to improve functional recovery and reduce polyneuronal reinnervation of tongue musculature after hypoglossal nerve transection and repair¹⁵. In these situations, although polyneuronal reinnervation is reduced compared to non-stimulated or visually intact rats, aberrant peripheral reinnervation is not, suggesting that the return of normal whisking function is attributable to central neuromotor reorganization^{14,15}. Integrity of the sensory trigeminal system has been shown to influence the recovery of the facial nucleus in rodents²⁵. Recent evidence shows that in a mixed nerve, using a median nerve transection model, manual stimulation after transection and repair does not improve functional outcome, degree of axonal sprouting, or the extent of motor endplate polyinnervation²⁶. Evidence also suggests decreased muscle fibrosis with whisker pad massage, perhaps allowing superior functional behavior after neuroregeneration occurs¹⁴.

The fact that we were able to find a significant improvement in whisking function with mechanical stimulation in our automated apparatus should draw the attention of facial reanimation specialists, because of the pressing need for more effective treatments for patients with facial paralysis¹⁶. Neuromuscular retraining has been shown in a few small evidencebased clinical studies to improve facial function²⁷⁻²⁹. However, there is lack of consensus regarding which manipulations and modes of sensory feedback are most effective. Current

treatments include elecromyographic and visual feedback^{27,29}, physiotherapy³⁰, and the motor rehabilitation method of Kabat^{28,31}; however, the underlying neural and physiological mechanisms for improved functional outcomes are not known. This points to the importance of a quantitative animal model in which to study the mechanisms of improved recovery.

Our study was designed to reproduce and further elucidate the actions responsible for the positive effects of mechanical stimulation found by Angelov et al, 14 by altering the technique used to deliver mechanical stimulation. In their study¹⁴, the whiskers and whisker pad were simultaneously stimulated in the direction of whisker protraction (with passive retraction) by finger massage. In the current report, we compared whisker protraction with retraction, and attempted to avoid direct mechanical stimulation of the whisker pad to isolate the stimulatory effects to the whiskers alone. We found that massage of the whisker pad along with the whiskers is not necessary for enhanced whisking recovery (compared to historical controls), and that whisker protraction stimulation appears to produce better functional recovery compared to whisker retraction, though recovery was modest compared with that found by Angelov et al.¹⁴. However, when the top performers in each group were evaluated there was dramatic functional improvement in the protraction group compared to the control group, with one animal in the protraction group regaining symmetric whisking function.

Several possible explanations exist for the improved functional outcome observed in this study. Both protraction and retraction of rodent vibrissae are under active muscular $control^{32,33}$. The nasalis initiates protraction, and the intrinsic muscles pivot the vibrissae further forward. Retraction involves relaxation of the nasalis and the intrinsic muscles, and contraction of the caudal extrinsic muscles, nasolabialis and maxillolabialis, pulling the vibrissae backward $32,33$. Passive mechanical protraction of the vibrissae stretches the caudal extrinsic muscles and involves a large arch of motion. Retraction of the vibrissae stretches the intrinsic muscles; but involves a smaller arch of motion. The fact that both retraction and protraction actions stretch whisking musculature could explain the benefit to recovery that both manipulations demonstrated. The protraction group may have trended towards improved recovery because of the greater degree of movement provided by passive mechanical protraction as compared to retraction, due to the whiskers starting from a relatively retracted position at rest (between strokes). The improved recovery found by Angelov et al ¹⁴ may represent an additional benefit of direct whisker pad manipulation, providing greater somatosensory input than by isolated whisker manipulation. In addition, neither daily handling nor an enhanced environment was shown to improve recovery unless daily whisker pad stimulation was also provided. These finding points toward the potential importance of both somatosensory input and proprioceptive input in recovery of the facial nerve function after injury.

CONCLUSION

The present study demonstrates improved functional recovery from daily mechanical retraction or protraction of whiskers after facial nerve transection injury and repair. These results are consistent with prior findings of enhanced facial nerve recovery after mechanical whisker protraction in rats, and further indicate that the benefit of such stimulation may be

derived from trigeminal nerve feedback. Future research will be directed toward better understanding the mechanisms of enhanced functional recovery brought about by mechanical stimulation, so that similar interventions can be explored in human facial palsy.

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Figure 1.

Postoperative mechanical stimulation technique. (Top) Manual protraction of the left vibrissae. (Bottom) Manual retraction of the left vibrissae. Care was taken in both groups not to directly stimulate the whisker pad with the soft bristled brush.

Relative Recovey of Whisking Amplitude

Figure 2.

Relative recovery of amplitude during the period of maximal recovery. Asterisks indicate the time points that were significantly different from the normative data set (p <.05, onetailed t-test). Stand error bars are shown.

Figure 3.

Average relative recovery of amplitude for the top three performers in each group. Note the improvement in the protraction group as compared with the retraction and control groups. Standard error bars are shown.

Figure 4.

Representative whisking tracing during olfactory stimulus for one animal in the protraction group on postoperative week 7. Note the symmetry of the whisking patterns for the uninjured side (right -side, top tracing) and the manipulated side (left side, bottom tracing).

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Relative Whisking Kinematic Data for the Transected Side. Relative Whisking Kinematic Data for the Transected Side.

*** An indicates a significant improvement as compared to the control group (p<.05, one-tailed t-test)

 $^+$ indicates a significant improvement as compared to the retraction group
(p<.05, two-tailed t-test). *+*indicates a significant improvement as compared to the retraction group(p<.05, two-tailed t-test).