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Seizure-dependent mTOR activation in 5-HT neurons promotes autism-like behaviors in mice

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Abstract

Epilepsy and autism spectrum disorder (ASD) are common comorbidities of one another. Despite the prevalent correlation between the two disorders, few studies have been able to elucidate a mechanistic link. We demonstrate that forebrain specific *Tsc1* deletion in mice causes epilepsy and autism-like behaviors, concomitant with disruption of 5-HT neurotransmission. We find that epileptiform activity propagates to the raphe nuclei, resulting in seizure-dependent hyperactivation of mTOR in 5-HT neurons. To dissect whether mTOR hyperactivity in 5-HT neurons alone was sufficient to recapitulate an autism-like phenotype we utilized *Tsc1^{flox/flox};Slc6a4-cre* mice, in which mTOR is restrictively hyperactivated in 5-HT neurons. *Tsc1^{flox/flox};Slc6a4-cre* mice displayed alterations of the 5-HT system and autism-like behaviors, without causing epilepsy. Rapamycin treatment in these mice was sufficient to rescue the phenotype. We conclude that the spread of seizure activity to the brainstem is capable of promoting hyperactivation of mTOR in the raphe nuclei, which in turn promotes autism-like behaviors. Thus our study provides a novel mechanism describing how epilepsy can contribute to the development of autism-like behaviors, suggesting new therapeutic strategies for autism.

Keywords

mTOR; Autism; Epilepsy; 5-HT; TSC

Introduction

Autism spectrum disorders (ASD) are neurodevelopmental disorders which present with core behavioral deficits including impaired social interactions, repetitive behaviors and restricted interests (1). Epilepsy and autism are common comorbidities of one another, with a striking 30% of patients with autism also having epilepsy (2–4). These data indicate a

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prominent link between autism and epilepsy, which has led to the hypothesis that the two disorders share common molecular pathologies.

One candidate pathway is the mechanistic target of rapamycin (mTOR), which functions to control neurite outgrowth, neuronal plasticity, myelination, autophagy and cell survival in the brain (5–10). Epilepsy and autism are common clinical manifestations of numerous TORopathies including tuberous sclerosis complex (TSC), focal cortical dysplasia, and hemimegalencephaly, with TSC being among the most well studied. TSC is a developmental disorder arising from loss of function of either hamartin or tuberin (encoded by *TSC1* and *TSC2* respectively), which complex together to inhibit mTOR signaling (11, 12). Epilepsy is the most common neurological disorder associated with TSC, with a prevalence of 80–90%. The incidence of autism in patients with TSC is approximately 50%, which is strikingly elevated above the general population (13). Furthermore, deletion of another mTOR suppressor, PTEN, has been linked to autism and epilepsy, clinically and in animal models (14–17). Together these data provide compelling evidence for the role of mTOR in causing both epilepsy and ASD, making it an ideal model for studying the relationship between the two disorders. Deletion of *Tsc1* in purkinje cells has been shown to result in autism-like behaviors independent of seizure, suggesting a direct role of mTOR in autism (18). However, evidence of cerebellar pathology is found in approximately 25% of patients, presenting a discrepancy between the high incidence of autism (19, 20). Therefore, exploring other possible contributing factors is warranted. One possibility is that uncontrolled seizures also contribute to the development of autism. In support of this are recent findings which demonstrate early onset seizures are associated with development of autism in humans (21). Additionally, antiepileptic drugs have successfully reduced the incidence of autism-like behaviors in a mouse model of Dravet syndrome (22). Moreover, recent studies have shown using chemoconvulsants, including pilocarpine or flurothyl, to induce seizures is sufficient to promote behavioral alterations in rodent models (23, 24). Despite evidence in support of seizures promoting autism-like behaviors, a mechanistic link remains to be elucidated.

One hypothesis is that autism can be caused by dysfunction of the serotonergic system. Corroborating this claim is the observation that altered levels of serotonin in the blood plasma remain one of the few biomarkers for autism (25–27). Moreover, recent findings demonstrate autism-like behaviors are observed in mice following impairment of 5-HT biosynthesis (28, 29), or altered 5-HT reuptake (30).

We report herein that forebrain specific *Tsc1* deletions causes autism and epilepsy. Furthermore, the serotonergic system is indirectly modified following recurrent seizure, including a hyperactivation of mTOR in 5-HT neurons. We demonstrate that altered mTOR activity in serotonergic neurons alone is sufficient to recapitulate autism-like behaviors. Thus, we propose a model in which uncontrolled seizure activity promotes changes in serotonergic signaling, via hyperactivation of mTOR in 5-HT neurons, which in turn contributes to the development of autism.

Materials and Methods

Animal Care

Tsc1 mice (31)[from The Jackson Laboratory (Bar Harbor, ME)] were crossed with *CaMKII α -Cre* mice [strain T29-1 (Tsien et al., 1996)] or *Slc6a4-Cre* mice[from Mutant Mouse Region Resource Center (MMRRC) (UC Davis, CA)]. The *CaMKII α -Cre* line was received and maintained on a C57BL/6J background. The *Tsc1* line was received on a mixed background (C57BL/6J, BALB/cJ, and 129/SvJae) and was backcrossed onto C57BL/6J for 8 generations in our lab. The *Slc6a4-Cre* mice were on a C57BL/6J background. Animals were housed in a pathogen-free, temperature- and humidity-controlled facility with a 12 h light cycle (lights on at 7:00 A.M.) and *ad libitum* access to food and water. All experiments were performed according to the guidelines set by the Animal Care and Use Committee as well as the National Institutes of Health Guide for the Care and Use of Laboratory Animals. Efforts were made to minimize suffering and unnecessary use of animals.

Test Animal Breeding and Housing Conditions

The breeding scheme utilized to generate knockout mice included harems of 2–3 females per male. Cre transmission was accomplished by the male (*Tsc1^{flox/+}; CaMKII α -Cre* or *Tsc1^{flox/+};Slc6a4-Cre*), and female mice carried the floxed allele (*Tsc1^{flox/flox}* or *Tsc1^{flox/+}*). Cages were regularly checked for pregnancy and pregnant females were moved to single housing with bedding and a mouse hut for enrichment. Litters were maintained with the dam until postnatal day 21 when the litter was weaned and separated by gender. Separated litters were housed in groups of 3–5 gender and age matched mice, containing both control and knockout mice. To minimize the effect of environmental variation littermates were compared whenever possible. Two nights, approximately 48 hrs, were allowed to pass between performing any behavioral tests to allow mice to rest.

Behavioral Testing

The 3 chamber tests and marble burying tests were repeated three times per mouse and the average was calculated per individual mouse. Averages per mouse within an experimental group were then averaged. Notably, a novel C57BL/6J stimulus mouse was presented to each individual test mouse for each repetition of the test. Experiments were performed by the same investigator (J.M.) in the morning between 8:00AM and 12:00PM. Male and female adult mice were tested and were evenly split between groups, such that the following experimental groups were utilized: Figure 1: Control (6M, 5F), *Tsc1^{flox/flox};CaMKII α -cre* (6M, 4F), Figure 6: Control (5M, 5F), *Tsc1^{flox/flox};Slc6a4-cre* (6M, 5F), Figure 8: Control (6M, 4F), *Tsc1^{flox/flox};Slc6a4-cre* (5M, 5F), *Tsc1^{flox/flox};Slc6a4-cre* + Rapamycin (5M,6F), *Tsc1^{flox/flox};Slc6a4-cre* + Fluoxetine (5M,5F). Exact details on the litters used can be found in supplemental information (Supplemental Table 1). Video recording was utilized for the three chamber test with subsequent blinding prior to analysis. Marble burying tests were scored immediately. Following testing mice were returned to their home cages.

The Crawley 3 chamber test for social preference was performed as previously described (32). Briefly, a test mouse was placed into the center chamber of a three chamber system and allowed to explore for 5 min. At this time an empty cage and a cage containing a gender

and age matched mouse of equal or lesser body weight were placed into opposite chambers (the sides were systematically switched to avoid a side preference bias). The caged mouse was on a C57BL/6J background. The test mouse was allowed to freely move between chambers during a 10 min exposure, and the number of times the animal moved from one chamber to another was recorded. Mice were counted to be in one chamber when all four limbs entered that chamber. Social approach was determined by the time a test mouse spent actively interacting (sniffing and nose pokes) with the caged mouse.

The marble burying test was used to assay repetitive behaviors (33) and was performed by placing mice into 30cmx 30cm chambers containing 5cm of corn cob bedding, with 20 marbles spaced evenly in a 5x4 grid. Mice were then placed into the center of the chamber and allowed to freely move about the chamber for a 20 minute period. After 20 minutes mice were immediately removed and the buried marbles were counted. Only marbles that were entirely buried were counted.

8-OH-DPAT Induced Hypothermia

Basal temperature was taken rectally 5 and 10 minutes prior to drug injection with a rectal probe (*RET3; ThermoWorks*) connected to a thermometer (*Microtherma 2T; ThermoWorks*). DPAT was administered by subcutaneous injection at 0.1 mg/kg in saline. Immediately following injection temperature was taken at time 0. Subsequently temperature was taken every 10 minutes over a 60 minute period.

DOI Injection and Head Twitch Assessment

Mice were habituated to a clear test chamber for 10 minutes. DOI was diluted in saline and injected intraperitoneal (i.p.) at 1 mg/kg. Mice were then placed into a chamber and recorded with a digital camera for 30 minutes. Videos were scored blindly by trained researchers. Head twitches were defined as a quick, distinct and clear back and forth movement of the head. The number of head twitch events were counted between 10 and 20 minutes after injection of DOI.

Genotyping

DNA extraction was accomplished by digestion of 2mm mouse tail snips in 500 µl of digestion buffer (50 mM KCl, 10 mM Tris-HCl, 2.5 mM MgCl₂, 0.45% v/v NP-40, and 0.45% v/v Tween 20) with 100 µg/ml proteinase K at 56°C overnight. On the next day, the samples were heated to 95°C for 5 min. PCR was performed using the following primers: *Tsc1* [detects wild-type (WT) and mutant] sense 5'-gtcaccgaccgtaggagaagc, antisense 5'-gaatcaacccccacagagcat; and Cre sense 5'-gcattctggggattgta, antisense 5'-ccggcaaaacaggtagta.

Fixation and Immunohistochemistry

Mice were anesthetized by i.p. injection of pentobarbital (30 mg/kg) supplemented with inhalation of isoflurane. Mice were first perfused with 30 ml of PBS and subsequently perfused with 4% paraformaldehyde. Brains were removed and held in 4% paraformaldehyde for 24 h at 4°C and transferred into 30% sucrose solution at 4°C until they were completely submerged. Brain tissues were then frozen and sectioned into 40 µm

slices using a cryostat and stored at 4°C in PBS with sodium azide. For staining, slides were blocked with 5% BSA with 0.25% Triton X-100 for 1 hour at room temperature (RT). Slices were incubated in a primary antibody overnight at 4°C [anti-phospho-S6 (Cell Signaling); anti-SerT and anti-5-HT(Immunostar)]. Slices were incubated with secondary antibody for 45 min at RT and mounted in fluoromount G. C-fos staining was performed using the ABC elite kit (Vector Labs), and was performed as follows: Endogenous peroxidase activity was quenched in 1% H₂O₂ in water. Slices were blocked in BSA with triton and incubated in primary antibody (1:10,000, Millipore). Subsequently, slices were incubated in biotinylated anti-rabbit secondary (1:200, Vector) followed by ABC reagent. DAB was used to visualize staining and slides were dehydrated in an ethanol gradient, followed by xylene. Mounting was performed using permount. ImageJ was used for image analysis.

Western blot

Mice were sacrificed, and the brains were removed and sliced into 2mm thick sections in a stainless steel matrix. Similar anatomic regions were identified, and a 2 mm-diameter hole punch was used to isolate two punches from the desired regions for each animal. Isolated tissues were homogenized in lysis buffer consisting of 50 mM Tris, pH 7.4, 2 mM EDTA, and a proteinase inhibitor set (Roche). The lysates were then mixed with equal volumes of 2× lithium dodecyl sulfate sample buffer composed of 20% β-mercaptoethanol, 20 mM NaF, 20 mM of Na₃VO₄, and proteinase inhibitor and heated at 95°C for 5 min. Insoluble cell debris was removed by centrifugation at 10,000 × g for 10 min. Protein samples were resolved in an 8% Bis-Tris gel in MES buffer (50 mM MES, 50 mM Tris-HCl, 1 mM EDTA, and 0.1% SDS) and transferred to a 0.45 μm nitrocellulose membrane in transfer buffer (25 mM Tris, 200 mM glycine, and 20% methanol). Membranes were subsequently blocked in 5% nonfat dry milk in TBST (25 mM Tris-HCl, pH 7.4, 1.5 M NaCl, and 0.05% Tween 20) for 1 hour at RT and incubated with rabbit anti-S6, anti-phospho S6(Ser235/236), or anti-GAPDH antibodies (Cell Signaling Inc.) at 1:1000 dilution at 4°C overnight. Membranes were washed in TBST and incubated with an anti-rabbit HRP-conjugated secondary antibody (1:10,000) in 5% milk in TBST for 1 h at RT. Membranes were washed in TBST, followed by a final wash in TBS. Signals were visualized with ECL reagent (Pierce Chemical) and an LAS-4000 luminescent image analyzer (Fujifilm). ImageJ software was used to subtract background and to perform densitometry.

Video/EEG recording of spontaneous seizures

Spontaneous seizure activities were detected by continuous video monitoring and simultaneous EEG monitoring of *Tsc1^{fllox/fllox};CaMKIIα-cre* mice from 8:00 P.M. until 8:00 A.M., starting at 3–4 weeks of age. 12 hour long video monitoring sessions were administered 3–4 times per week. For EEG surgery mice were sedated using isoflurane inhalation and placed into a stereotaxic chamber. Two electrodes were linked to stainless steel surgical screws (J.I. Morris) which were implanted at AP –1.8, ML 1.8 (left and right) and served as differential recording electrodes. For deep recordings from the dorsal raphe nuclei an additional electrode was lowered into position using stereotaxic coordinates from lambda (AP –0.5, ML 0.0 DV –3.5). A third screw was placed into the skull serving as a ground. Electrodes were held in place using dental cement (Harvard Apparatus). EEG recordings were performed in free-moving mice hooked to a Pinnacle 8200 EEG system

(Pinnacle Technology). EEG data were analyzed with the Sirenia Seizure program (Pinnacle Technology), and seizures were manually identified by characteristic high-frequency and high-amplitude firing. Additionally, most seizures were followed by brief postictal suppression. All electrographic seizures were verified behaviorally by video recording. Videos were captured using DVR video surveillance software. Of note, mice used for EEG/video monitoring consisted of a distinct cohort from those used for behavioral testing. Behavioral testing on *Tsc1^{flox/flox};CaMKII α -cre* mice was performed following the identification of spontaneous seizures. Briefly, seizures were detected during routine handling, or during a brief video monitoring sessions lasting less than 4 hours. Mice which were not observed to display seizures were excluded from future studies.

Electrophysiology

The excitability of serotonergic neurons in DRN (dorsal raphe nuclei) in the brainstem of *Tsc1^{flox/flox};CaMKII α -cre* and control (*Tsc1^{flox/flox}*) mice was examined via electrophysiological recording. Briefly, following rapid decapitation, brains were removed and blocked in cold sucrose-substituted artificial cerebrospinal fluid (aCSF). Brainstem at 0 to 1.5 mm caudal to the lambda, which contains the DRN, was cut in coronal sections at 200- μ m thickness using a vibratome at ice-cold temperature. The slices were maintained in aCSF at room temperature for 1 hr before recording. Neurons were visualized with a microscope equipped for near-IR differential interference contrast. We performed cell-attached extracellular recordings using voltage-clamp. The spontaneous, basal firing rate was recorded and measured in the presence of 3 μ M phenylephrine hydrochloride and 40 μ M L-tryptophan in all solutions to maintain the spontaneous firing rate. 5-HT_{1A}-mediated suppression of excitability (basal firing) is a feature of serotonergic neurons. Accordingly, following recording of basal firing, slices were further perfused with 5-HT at various concentrations.

Drug Treatment

For rescue experiments vehicle or rapamycin was given to adult *Tsc1;Slc6a4-cre* mice via i.p. injection at 5 mg/kg diluted to 1 mg/ml in 4% EtOH and 5% Tween-20 from a stock dilution in DMSO. Rapamycin was given daily for 3 days and then every other day for the duration of the testing. Behavioral tests were conducted starting on the fourth day of rapamycin treatment and were performed on days when animals did not receive injections, or prior to injections to avoid effects of stress related to the injection. Fluoxetine was added to the drinking water of mice at 77mg/L as previously described(29) and behavioral testing began 7 days after fluoxetine treatment began.

Statistical analysis

Statistics were performed using GraphPad Prism and included t tests for comparisons between two groups and one-way ANOVA with a Tukey's post hoc test for three or more groups.

Results

Forebrain *Tsc1* Deletion Causes Epilepsy and Autism-like Behaviors in Mice

To identify whether autism-like behaviors were present in a mouse model with spontaneous seizures we utilized a conditional *Tsc1^{lox/lox};CaMKII α -cre* line. This line has been previously characterized to manifest severe recurrent spontaneous seizures, but has not been reported to show autism-like behaviors (34). Seizures in *Tsc1^{lox/lox};CaMKII α -cre* were severe and progressive starting as early as week 3–4 (Supplemental Figure 1). All *Tsc1^{lox/lox};CaMKII α -cre* mice were confirmed to develop behavioral seizures by 6 weeks of age via video recording and routine monitoring. Mice identified to display spontaneous seizures were subject to behavioral testing starting at 4–6 weeks of age. We found *Tsc1^{lox/lox};CaMKII α -cre* mice showed a loss of social preference in the three chamber sociability test, without displaying any significant change in locomotor activity as assayed by chamber crosses (Figures 1a–d). *Tsc1^{lox/lox};CaMKII α -cre* mice also displayed significantly increased repetitive behaviors as assayed by the marble burying test (Figure 1e). These results demonstrate that *Tsc1^{lox/lox};CaMKII α -cre* mice recapitulate the core behavioral changes associated with ASD.

Serotonergic Signaling is Disrupted in *Tsc1^{lox/lox};CaMKII α -cre* Mice

As alterations of 5-HT signaling have been demonstrated to induce autism-like behaviors in mice (28–30), we next determined whether 5-HT signaling was disrupted in the *Tsc1^{lox/lox};CaMKII α -cre* model. First, we performed immunohistochemical staining for the serotonin transporter (SerT) to assay SerT projections in the prefrontal cortex region. *Tsc1^{lox/lox};CaMKII α -cre* mice displayed a profound loss of SerT projections (Figure 2a, b), indicating either a reduction of cortical projections or depletion of SerT expression. We also detected a significant reduction in the levels of 5-HT in the cortex (Figure 2c). Next we monitored for changes in the serotonergic system by evaluating the response of mice to 5-HT agonists. DOI is an agonist which has been shown to elicit a dose dependent head twitch response via activation of the 5-HT_{2C} receptors (35, 36). We found *Tsc1^{lox/lox};CaMKII α -cre* mice displayed a significant increase in head twitches (Figure 2d). *Tsc1^{lox/lox};CaMKII α -cre* mice also displayed an exaggerated hyperthermic response following administration of the 5-HT_{1A} agonist 8-OH-DPAT (Figure 2e). Together these data show a pronounced alteration of the serotonergic system. To distinguish whether reduced 5-HT levels reflected altered serotonergic excitability we performed whole cell electrophysiology in brain slices to assay intrinsic changes in firing properties of serotonergic neurons. 5-HT neurons of *Tsc1^{lox/lox};CaMKII α -cre* mice displayed a reduced threshold for excitation and an increased rate of firing compared to controls (Figures 2f–h).

Seizure-dependent Hyperactivation of mTOR Occurs in the Raphe Nuclei

We next sought to determine what molecular changes were occurring in 5-HT neurons. One possibility was that forebrain *Tsc1* deletion was driving an increase in mTOR activity. To test this we looked at levels of phosphorylated s6 (P-s6), a marker of mTOR activity. We find P-s6 accumulation occurs in serotonergic neurons of *Tsc1^{lox/lox};CaMKII α -cre* mice, as identified by costaining for 5-HT and P-s6 (Figure 3a). Western blot of lysates from the raphe nuclei confirmed a significant increase in the levels of P-s6 compared to controls

(Figures 3b and c). To delineate whether the disinhibition of mTOR was direct (a cell-autonomous response to *Tsc1* deletion in 5-HT neurons) or indirect, we utilized *CaMKII α -cre;GFP^{stoplox}* reporter mice. We observed GFP expression in the forebrain (Data Not Shown), but GFP was absent from neurons in the raphe (Figure 4a), suggesting that mTOR activation in serotonergic neurons is not directly from cell-autonomous *Tsc1* deletion. To confirm the increase in P-s6 was due to the development of seizures we dissected the temporal expression of mTOR hyperactivation in the raphe as it related to the onset of seizures in *Tsc1^{flox/flox};CaMKII α -cre* mice. We observed that in mice 3–4 weeks of age, in which *Tsc1* deletion has occurred but no overt seizure phenotype had yet developed, we found no significant hyperactivation of mTOR in the raphe (Figure 4b and c). When P-s6 was monitored in *Tsc1^{flox/flox};CaMKII α -cre* mice who had previously developed seizures we observed a significant increase in P-s6 in the raphe (Figure 4b and c). These findings support the notion that seizures are a major contributor to hyperactivation of mTOR in 5-HT neurons.

Recurrent Seizures Propagate to the Brainstem

In *Tsc1^{flox/flox};CaMKII α -cre* mice seizures presumably originate in the forebrain, the site of *Tsc1* deficient neurons. As seizure events have been demonstrated to induce mTOR activation, one possibility is that epileptiform activity spreads to the brainstem, leading to mTOR hyperactivity (37–39). We performed c-fos immunostaining, as a readout of neuronal activity, and found a striking increase in c-fos accumulation in the raphe (Figure 5a). In order to confirm epileptiform activity occurred in the brainstem we implanted recording electrodes in the cortex and dorsal raphe nuclei of *Tsc1^{flox/flox};CaMKII α -cre* mice. EEG showed initial ictal events were detected in the cortex (Figure 5b) and not in the brainstem. Following repeated severe seizures, epileptiform activity was also detected in the brainstem (Figure 5b). Thus brainstem epileptiform events correlate well with aberrant mTOR activation in the raphe.

Hyperactivation of mTOR in 5-HT Neurons is Sufficient to Promote Autism-like Behaviors

To elucidate whether hyperactivation of mTOR in serotonergic neurons alone was sufficient to promote autism-like behaviors we generated *Tsc1^{flox/flox};Slc6a4-cre* mice, in which disinhibition of mTOR occurs selectively in serotonergic neurons. *Slc6a4-cre;GFP^{stoplox}* reporter mice displayed GFP expression restricted to 5-HT positive neurons in the raphe nucleus, indicating that *Slc6a4-cre*-mediated deletion of *Tsc1* occurs selectively in serotonergic neurons (Figure 6a). Increased P-s6 levels were observed in the raphe nuclei of *Tsc1^{flox/flox};Slc6a4-cre* mice, confirming mTOR hyperactivation (Figure 6b–c). Importantly *Tsc1^{flox/flox};Slc6a4-cre* mice were not observed to develop spontaneous seizures. In the 3 chamber test *Tsc1^{flox/flox};Slc6a4-cre* mice show a loss of social preference (Figure 6d–g). *Tsc1^{flox/flox};Slc6a4-cre* mice also showed increased repetitive behaviors in the marble burying test (Figure 6h). To ascertain whether perturbation of the serotonergic system occurred in *Tsc1^{flox/flox};Slc6a4-cre* mice, we performed SerT immunostaining, electrophysiological recording and agonist challenge using 8-OH-DPAT and DOI. We found that *Tsc1^{flox/flox};Slc6a4-cre* mice did not display a marked decrease in serotonergic SerT projections in the cortex (Figure 7a–b), suggesting that cortical seizures may contribute to the loss of SerT projections seen in *Tsc1^{flox/flox};CaMKII α -cre* mice. Additionally, we did not

see a significant change in firing rates of 5-HT neurons in *Tsc1^{flox/flox};Slc6a4-cre* (data not shown). However, *Tsc1^{flox/flox};Slc6a4-cre* mice did display exaggerated responses to both 8-OH-DPAT induced hypothermia and DOI head twitch response (Figure 7c and d). Furthermore, 5-HT levels were significantly reduced within the cortex of *Tsc1^{flox/flox};Slc6a4-cre* mice, when compared to controls (Figure 7e). Therefore, hyperactivation of mTOR in 5-HT neurons is sufficient to disrupt the serotonergic system and cause autism-like behaviors.

We next determined whether either pharmacological inhibition of mTOR or SSRI treatment were sufficient to attenuate autism-like behaviors. To test this we first treated *Tsc1^{flox/flox};Slc6a4-cre* mice with vehicle, rapamycin (mTOR inhibitor) or fluoxetine (serotonin reuptake inhibitor). Rapamycin was successful in reducing mTOR hyperactivation (Figure 8a and b). Behavioral tests revealed that rapamycin treatment was sufficient to attenuate changes in social preference and in marble burying. Fluoxetine on the other hand, displayed only a marginal, and statistically insignificant improvement in the social preference test (Figure 8c–g). However, fluoxetine was able to fully rescue from repetitive behaviors (Figure 8h). This data suggests that, in certain conditions, pharmacologic intervention with rapamycin or analogs may be beneficial in reversing the core behavioral symptoms associated with autism.

Discussion

Herein we report the *Tsc1^{flox/flox};CaMKII α -cre* mouse model of TSC previously characterized to have spontaneous seizures also displays autism-like behaviors. We observe pronounced alterations of the 5-HT system, including loss of cortical 5-HT, exaggerated response to 5-HT agonists, and hyperactivation of mTOR in 5-HT neurons. Furthermore, we show evidence that hyperactivation of mTOR in 5-HT neurons is not caused directly by deletion of *Tsc1* in our model. Rather, based on the detection of abnormal EEG signal and induction of *c-fos* in the brain stem, we demonstrate that the spread of epileptiform events into the raphe nuclei is the primary cause of elevated mTOR signaling. Moreover, hyperactivation of mTOR targeted to serotonergic neurons was sufficient to recapitulate autism-like behaviors in the absence of seizures, indicating that hyperactivation of mTOR in 5-HT neurons is sufficient to cause changes in 5-HT signaling and autism-like behaviors. Thus, we demonstrate that epilepsy-induced mTOR hyperactivation in the raphe promotes autism-like behavior.

The association between autism and epilepsy has been well documented, with recent findings showing 30% of patients with autism also have epilepsy. Furthermore, as many as 85% of patients with autism have been reported to display abnormal EEG patterns, including those who do not display overt epilepsy (40). Yet the mechanisms underlying these correlations remain largely enigmatic. Recent studies have begun to elucidate evidence supporting a causal role of epilepsy in the development of autism. For example, a clinical study demonstrates that early onset of epilepsy is correlated with a substantial increase in the likelihood of developing autism later in life (21). Additionally, in a mouse model of dravet syndrome the use of antiepileptic drugs has been demonstrated to reverse autistic-like behaviors in epileptic mice. Furthermore, a rodent status epilepticus model of acquired

epilepsy also demonstrated reduced social behaviors (23). Recent reports also show pharmacological inhibition of either AMPAR or mTOR can prevent autism-like behaviors following neonatal seizures (41, 42). These findings are of particular interest as seizures precede changes in social behavior, allowing for dissection of cause and effect.

Due to the high incidence of autism and epilepsy in TORopathies, the mTOR pathway is often implicated as a likely common mechanism. Clinical conditions and mouse models causing loss of function of upstream inhibitors of mTOR, including TSC and PTEN, have been shown to develop epilepsy and/or autism-like behaviors (14–16, 18, 31, 34, 43–45). Despite this, in patients with TSC it remains unclear whether epilepsy is a major contributor to the development of autism. Our unique approach utilizes a *Tsc1^{fllox/fllox};CaMKII α -cre* model, in which the direct effects of *Tsc1* are primarily confined to the forebrain, allowing for dissection of indirect, seizure-dependent modifications to other brain regions. However, we are unable to discriminate in the *Tsc1^{fllox/fllox};CaMKII α -cre* model whether seizure-dependent modifications are necessary to promote autism-like behaviors, or conversely, whether cell-autonomous deletion of *Tsc1* in forebrain neurons contributes to the behavioral alterations. In order to address whether the seizure-dependent hyperactivation of mTOR in the raphe is sufficient to drive autism-like behaviors, we utilize 5-HT neuron selective *Tsc1^{fllox/fllox};Slc6a4-Cre* mice. *Tsc1^{fllox/fllox};Slc6a4-Cre* mice recapitulate hyperactivation of mTOR in the raphe in the absence of seizures.

Our data support that recurrent seizures promote pathogenic modifications to the brainstem. This is of particular importance as other generalized seizure disorders, with etiologies lying outside of mTOR disinhibition, may similarly cause aberrant changes to the raphe. Supporting this is a recent paper that discovered that pilocarpine induced status epilepticus resulted in a long term dramatic reduction of 5-HT and loss of serotonergic neurons (46). Moreover, the pilocarpine model of temporal lobe epilepsy has also been shown to induce altered social behaviors and aggressive behaviors (23, 47). These findings suggest the possibility of a more general role of seizure-dependent raphe modulation in autism. Clinically it has been observed that drug resistant and severe cases of epilepsy are more commonly associated with autism spectrum disorders (48–50). This is consistent with what we observed in our *Tsc1^{fllox/fllox};CaMKII α -cre* model, in which mice tend to develop frequent and generalized seizures. Clinical evidence also supports the notion that serotonergic signaling is altered in cases of both autism and epilepsy (51, 52). Furthermore, dietary restriction of tryptophan, a 5-HT precursor, can worsen autism (53). Additionally, serotonin has recently been shown to be a major contributor to social reward (54). Taken together, previous studies provide precedent for implicating seizures in modifying 5-HT signaling and in causing autism.

Identifying molecular mechanisms through which seizures are capable of causing autism is vital to the identification of novel therapeutics which may benefit patients who suffer from epilepsy, autism or both. Importantly, in our model pharmacological intervention via rapamycin post-onset of the autism-like phenotype was sufficient to reverse the behavioral alterations, indicating it may hold therapeutic value in reversing autism behaviors. Fluoxetine proved efficacious in reducing repetitive behaviors, but was not significantly effective at improving social behavior. This is congruent with previous reports finding

beneficial effects of SSRI treatment in patients and mice in alleviating specific autism-like behaviors (55–57), although the efficacy of SSRIs clinically is still under debate. Future preclinical and clinical studies addressing the efficacy of mTOR inhibitors in the treatment, prevention and reversal of epilepsy and/or autism will be essential in validating mTOR as a therapeutic target.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations

ASD	autism spectrum disorders
TSC	tuberous sclerosis complex
mTOR	mechanistic target of rapamycin
PTEN	phosphatase and tensin homolog
5-HT	5-hydroxytryptophan
SerT/<i>Slc6a4</i>	serotonin transporter
<i>CaMKIIα</i>	Ca ²⁺ /calmodulin-dependent protein kinase II alpha
DRN	dorsal raphe nuclei
8-OH-DPAT	8-Hydroxy-DPAT hydrobromide
DOI	2,5-Dimethoxy-4-iodoamphetamine
EEG	electroencephalography

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Highlights

- *Tsc1* deletion in neurons causes epilepsy and autism-like behaviors in mice
- Epileptiform activity spreads to the brainstem
- mTOR becomes hyperactivated in 5-HT neurons following seizure onset
- mTOR hyperactivity in 5-HT neurons causes autism behaviors
- Autism-like behaviors can be reversed following treatment with rapamycin

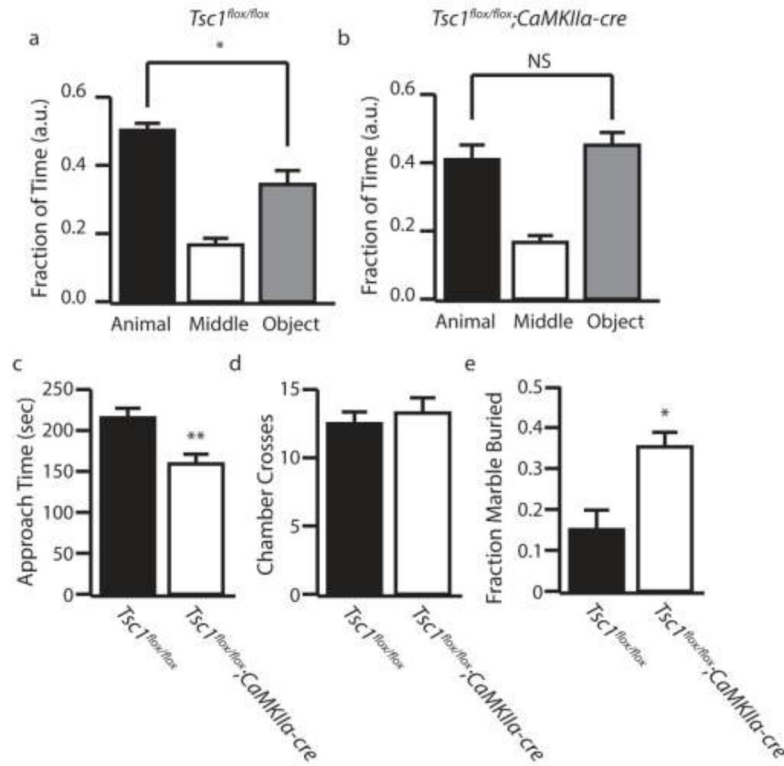


Figure 1.

Tsc1;CaMKIIa-cre Mice Develop Core Autism-like Behaviors. (a–b) Fraction of time control and *Tsc1;CaMKIIa-cre* mice spent in the animal (black bars), middle (white bars), and object (gray bars) chambers in the three chamber sociability test. (c) Amount of time the test mouse spent actively approaching the caged mouse. (d) Number of times the test mouse crossed chambers (e) Fraction of marbles buried by control (black bars) and *Tsc1;CaMKIIa-cre* (white bars) mice in a marble burying test. a.u. indicates arbitrary units, n=10–11 * indicates $p < 0.05$, ** indicates $p < 0.01$ by ANOVA or Students t-test.

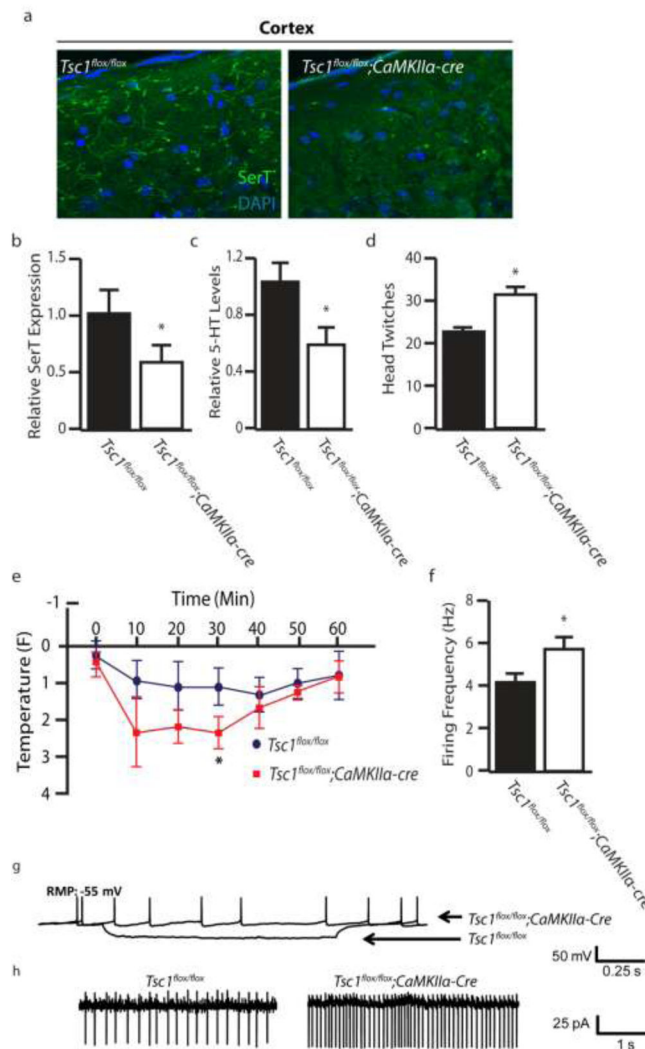


Figure 2. Disruption of the 5-HT System in *Tsc1;CaMKIIa-cre* Mice (a) Serotonin transporter (SerT) staining in the cortex of control and *Tsc1;CaMKII-cre* mice. (b) Relative expression levels of SerT in the cortex of control and *Tsc1;CaMKII-cre* mice. (c) Levels of 5-HT in cortical tissue by HPLC in control and *Tsc1;CaMKII-cre* mice. (d) DOI (1 mg/kg, i.p.) induced head twitch response in control and *Tsc1;CaMKII-cre* mice. (e) Hypothermic response to 8-OH-DPAT (0.1 mg/kg, s.c.) in control and *Tsc1;CaMKII-cre* mice. (f) Firing rate of control and *Tsc1;CaMKII-cre* mice when held at a constant resting membrane potential (RMP) of 55 mV. (g-h) Representative firing traces and quantification of control and *Tsc1;CaMKII-cre* mice during constant current injection. n=3-7 * indicates p<0.05, ** indicates p<0.01 by Students t-test.

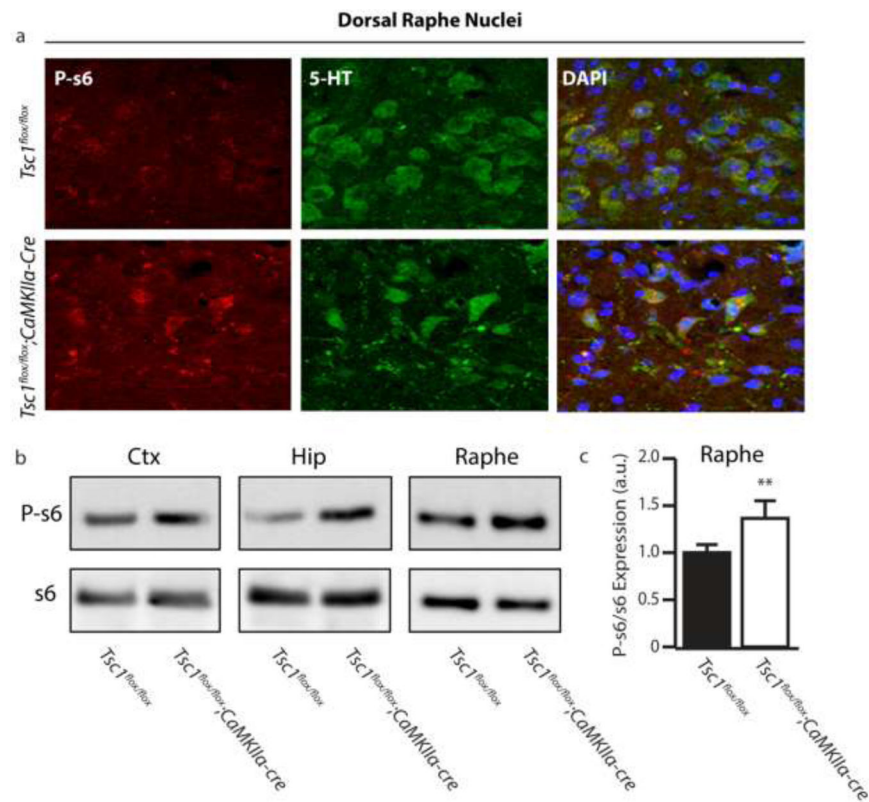


Figure 3. Hyperactivation of mTOR Occurs in Serotonergic Neurons Following Repeated Seizure (a) Co-immunofluorescent staining for phospho-s6 (P-s6) and 5-HT in the dorsal raphe nuclei (DRN) of control and *Tsc1;CaMKII-cre*. (b) Representative western blot of P-s6 and total s6. (c) Quantification of P-s6 in the raphe nuclei. a.u. indicates arbitrary units, n=3–4 * indicates p<0.05, ** indicates p<0.01 by Students t-test.

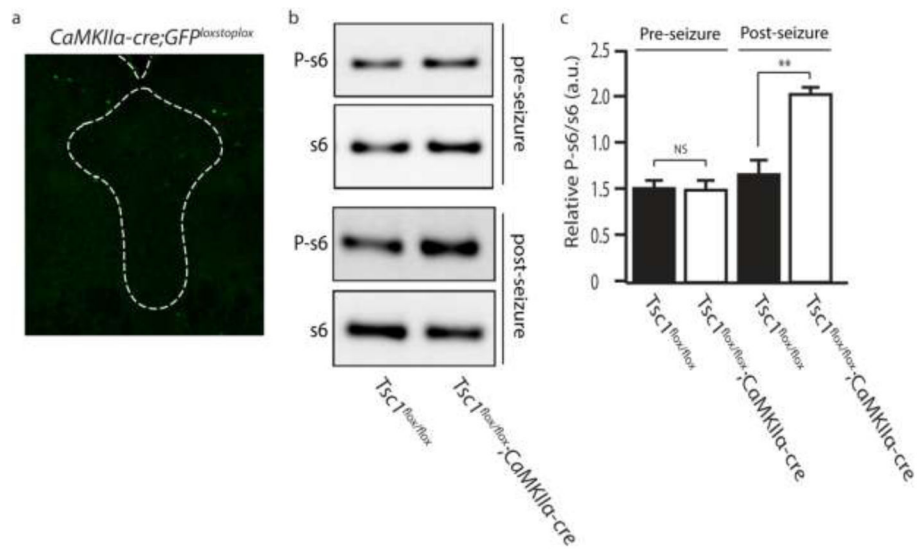


Figure 4.

Tsc1 Deletion Does Not Occur in the DRN and is Not the Primary Cause of Hyperactivation of mTOR in the DRN. (a) Representative image showing a lack of Cre driven GFP expression in the DRN of *CaMKIIa-cre;eGFP* mice. (b) Representative western blot for P-s6 in *Tsc1;CaMKIIa-cre* prior to the onset of seizure (upper panel) and after repeated seizure episodes (bottom panel). (c) Quantification of P-s6 expression in (b). a.u. indicates arbitrary units, n=3–4 ** indicates p<0.01 by Students t-test.

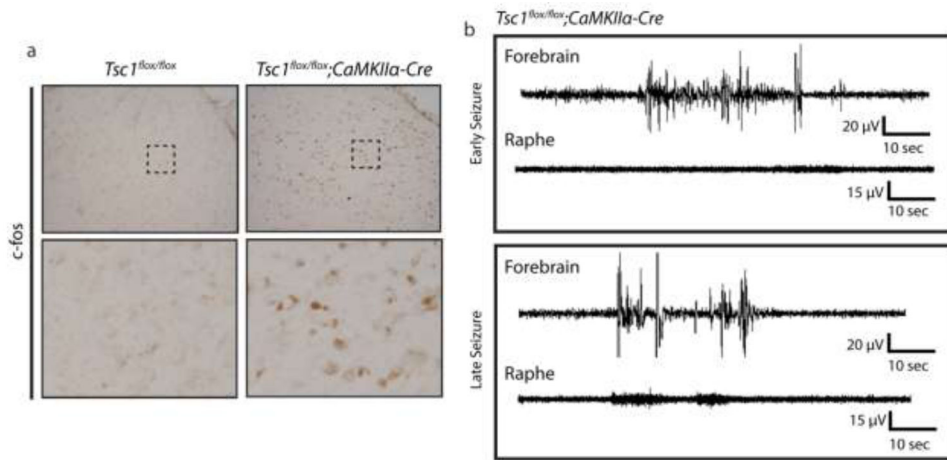
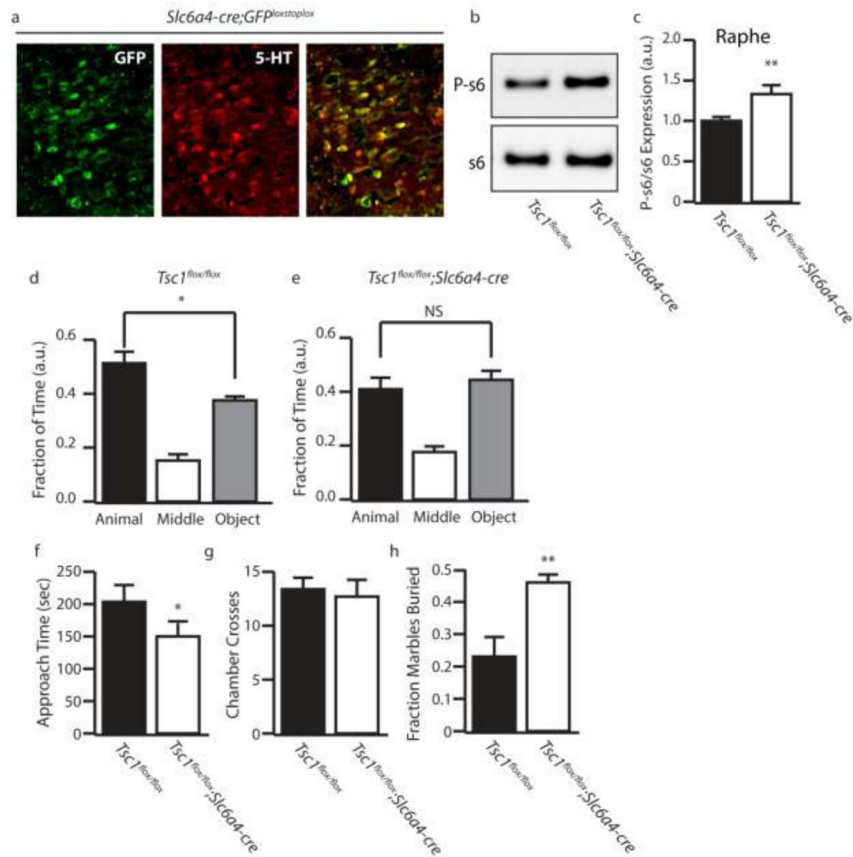


Figure 5. *Tsc1;CaMKII-cre* Mice Display Ictal Events in the Raphe Nucleus Following Repeated Seizure. (a) c-fos staining in the DRN from control and *Tsc1;CaMKII-cre* mice. (b) Representative forebrain (cortical) and raphe EEG traces during an early and late seizure event. n=4–5

**Figure 6.**

Tsc1;Slc6a4-cre Mice Recapitulate Autism-like behaviors. (a) Representative image of the DRN of *Slc6a4-cre;eGFP* mice stained with 5-HT. (b) Representative P-s6 and total s6 western blot. (c) Quantification of P-s6/s6. (d-e) Fraction of time control and *Tsc1;Slc6a4-cre* mice spent in the animal (black bars), middle (white bars), and object (gray bars) chambers in the three chamber sociability test. (f) Amount of time the test mouse spent actively approaching the caged mouse. (g) Number of times the test mouse crossed chambers (h) Fraction of marbles buried by control (black bars) and *Tsc1;Slc6a4-cre* (white bar) mice in a marble burying test. a.u. indicates arbitrary units, n=3–5 (immunostaining and western) n=10–11 (behavior) * indicates p<0.05, ** indicates p<0.01 by ANOVA or Students t-test.

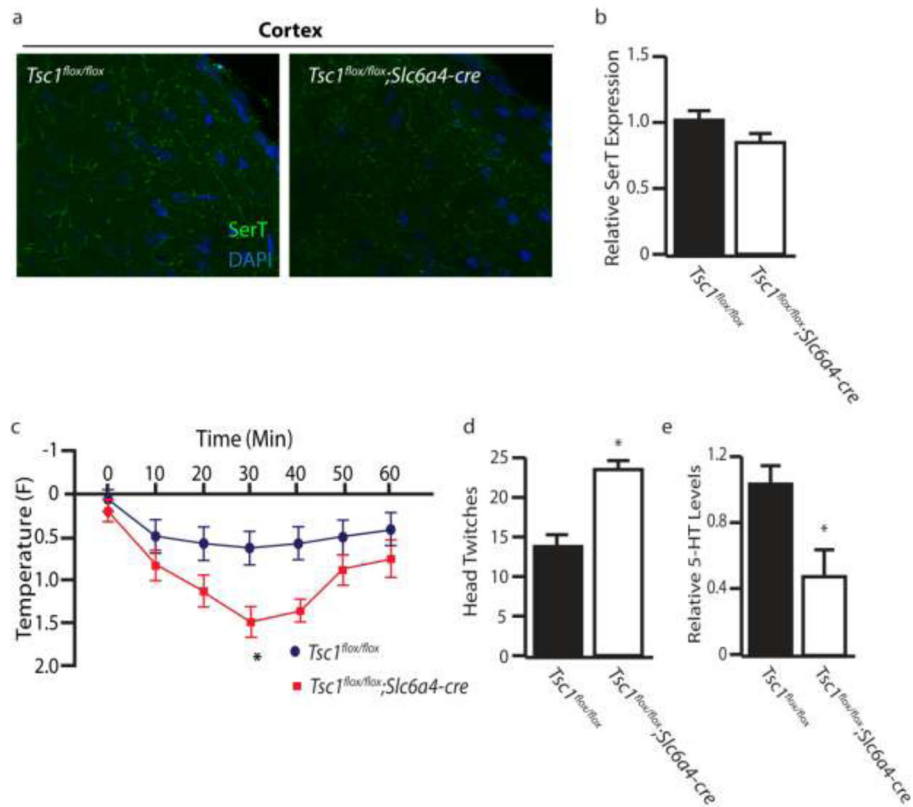
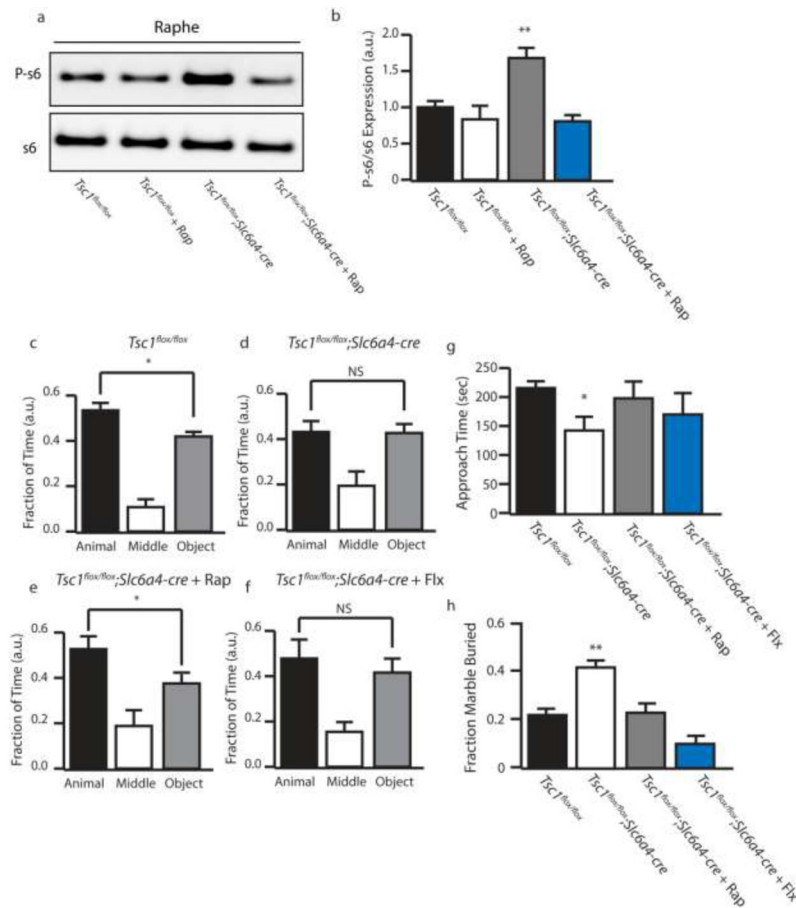


Figure 7. Disruption of the Serotonergic System in *Tsc1;Slc6a4-cre* Mice. (a) Serotonin transporter (SerT) staining in the cortex of control and *Tsc1;Slc6a4-cre* mice. (b) Relative expression levels of SerT in the cortex of control and *Tsc1;Slc6a4-cre* mice. (c) Hypothermic response to 8-OH-DPAT (0.1 mg/kg, s.c.) in control and *Tsc1;Slc6a4-cre* mice. (d) DOI (1 mg/kg, i.p.) induced head twitch response in control and *Tsc1;Slc6a4-cre* mice. (e) Levels of 5-HT in cortical tissue by HPLC in control and *Tsc1;Slc6a4-cre* mice. n=5–7 * indicates p<0.05, ** indicates p<0.01 by Students t-test.

**Figure 8.**

Pharmacological mTOR Inhibition is Sufficient to Rescue Altered Behaviors. (a) Representative P-s6 and total s6 western blot in control or *Tsc1;Slc6a4-cre* with vehicle or rapamycin treatment. (b) Quantification of P-s6/s6. (c–f) Fraction of time spent in each chamber in the three chamber test by control, *Tsc1;Slc6a4-cre*, *Tsc1;Slc6a4-cre + Rapamycin*, and *Tsc1;Slc6a4-cre + fluoxetine*. (g) Amount of time the test mouse spent actively approaching the caged mouse. (h) Fraction of marbles buried by control, *Tsc1;Slc6a4-cre*, *Tsc1;Slc6a4-cre + Rapamycin*, and *Tsc1;Slc6a4-cre + fluoxetine*. a.u. indicates arbitrary units, n=10–11 * indicates p<0.05, ** indicates p<0.01 by ANOVA.