Common Severe Infections in Chronic Granulomatous Disease

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(See the Editorial Commentary by Gennery on pages 1184-5.)

Background. Chronic granulomatous disease (CGD) is due to defective nicotinamide adenine dinucleotide phosphate oxidase activity and characterized by recurrent infections with a limited spectrum of bacteria and fungi as well as inflammatory complications. To understand the impact of common severe infections in CGD, we examined the records of 268 patients followed at a single center over 4 decades.

Methods. All patients had confirmed diagnoses of CGD, and genotype was determined where possible. Medical records were excerpted into a standard format. Microbiologic analyses were restricted to *Staphylococcus*, *Burkholderia*, *Serratia*, *Nocardia*, and *Aspergillus*.

Results. Aspergillus incidence was estimated at 2.6 cases per 100 patient-years; *Burkholderia*, 1.06 per 100 patient-years; *Nocardia*, 0.81 per 100 patient-years; *Serratia*, 0.98 per 100 patient-years, and severe *Staphylococcus* infection, 1.44 per 100 patient-years. Lung infection occurred in 87% of patients, whereas liver abscess occurred in 32%. *Aspergillus* incidence was 55% in the lower superoxide-producing quartiles (quartiles 1 and 2) but only 41% in the higher quartiles (rate ratio, <0.0001). *Aspergillus* and *Serratia* were somewhat more common in lower superoxide producing gp91^{phox} deficiency. The median age at death has increased from 15.53 years before 1990 to 28.12 years in the last decade. Fungal infection carried a higher risk of mortality than bacterial infection and was the most common cause of death (55%).Gastrointestinal complications were not associated with either infection or mortality.

Conclusions. Fungal infections remain a major determinant of survival in CGD. X-linked patients generally had more severe disease, and this was generally in those with lower residual superoxide production. Survival in CGD has increased over the years, but infections are still major causes of morbidity and mortality.

Keywords. bacterial infection; fungal infection; CGD; superoxide production; survival.

Chronic granulomatous disease (CGD) is a genetic immunodeficiency characterized by recurrent infections

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and inflammatory complications. It is caused by defective function of the nicotinamide adenine dinucleotide phosphate (NADPH) oxidase, the enzyme responsible for the phagocyte respiratory burst and superoxide production. Defects in the NADPH complex can be inherited through mutations in any of 5 phagocyte oxidase (*phox*) genes: X-linked gp91^{*phox*} (cytochrome b-245 beta polypeptide), autosomal recessive $p22^{$ *phox* $}$ (cytochrome b-245 alpha polypeptide), autosomal recessive $p47^{$ *phox* $}$ (neutrophil cytosolic factor 1), autosomal recessive $p67^{$ *phox* $}$ (neutrophil cytosolic factor 2), and

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autosomal recessive $p40^{phox}$ (neutrophil cytosolic factor 4) [1–3]. A minimal US rate is approximately 1 per 250 000 live births; rates in other countries are similar but differ in the prevalence of recessive mutations, depending on the rates of consanguinity [4, 5].

Since the first cases described in 1954, prophylactic antibiotics, antifungals, and interferon (IFN)– γ , along with aggressive surgical and medical management, have improved outcomes, but infections still cause significant morbidity and mortality [6–9]. The genetic type of CGD and superoxide production are clearly linked to overall survival, but the reasons are unclear [10]. Currently, bone marrow transplant is the only cure.

While some infections are harder to treat than others, it is unclear whether all infections are equally deleterious or equally distributed by CGD genotype. Furthermore, it remains unclear whether different infections affect survival differently. To understand the relative roles of genetics and superoxide generation in susceptibility to specific infections and to determine the relative roles of specific infections on morbidity and mortality, we examined the infections of a large cohort of CGD subjects followed at a single center over 4 decades.

METHODS

Subjects

Patients with CGD have been followed at the Clinical Center of the National Institutes of Health (NIH; Bethesda, Maryland) on 1 or more approved protocols involving the natural history or treatment of CGD.

Data Collection

Information was retrospectively retrieved through systematic review of CGD patient hospital records from 1969 to 2012. Data collected included demographics (age, sex, and race/ethnicity), genotype (where available), superoxide production (where available), and causative microorganisms. CGD was confirmed by either nitroblue tetrazolium reduction or dihydrorhodamine oxidation, depending on the year of diagnosis. The specific gene lesioned was determined by using immunoblotting or gene sequencing. Genes to be sequenced were guided by immunoblotting results; for p47^{phox} deficiency, only immunoblotting was done. Gene determination was performed on 85% of the total group. Microbiology and electronic medical records (Biomedical Translational Research Information System, NIH) were reviewed to identify patients with documented bacterial or fungal infections. Infection was defined as isolation or detection of a pathogen associated with CGD that was relevant to the symptoms and the site. Severe infection was defined as 1 for which intravenous therapy was given, required hospitalization, or caused death. Although there are numerous organisms that can cause infection in CGD, we

focused on the prevalent pathogens in CGD-Staphylococcus aureus, Burkholderia (Pseudomonas) cepacia complex, Serratia marsescens, Nocardia species, and Aspergillus species-to be able to compare across genotypes and over decades. Organisms clinically suspected of being colonizers or detected in routine surveillance cultures or blood cultures thought to be due to contamination were not considered as infections. All fungal cases met the standard definition for proven invasive fungal infection [11]. When possible, the diagnosis of lung infection was based on medical notes or direct tissue sampling (open or percutaneous lung biopsy); bronchoalveolar lavage was reported only as confirmation data in concordance with clinical history. Liver, skin, soft tissue, bone, and lymph node infections were collected based on medical reports and microbiologic isolation at biopsy. Staphylococcus infection was only analyzed when it was reported from an abscess by invasive culture or biopsy. For this study, the older designation Pseudomonas cepacia was recoded to Burkholderia cepacia complex. Patients who had had >2 biopsies from the same episode (defined as the same hospitalization or process within 3 months) were included as only 1 event.

Incidence rates were calculated as the number of events divided by the sum of patient-years within the cohort. The prevalence of pathogen-specific infections was calculated for each CGD genotype and by superoxide production quartiles [10]. All events were included from the first dates available. Overall patientyears were from date of birth to last completed follow-up visit, date of death, or date of bone marrow transplant.

Statistical Analysis

Student's *t* test was used to compare continuous variables, and χ^2 test or Fisher exact test were used to test for significant differences among proportions of genotypes or between categories of interest, respectively. All *P* values were 2-tailed, and *P* < .05 was considered statistically significant.

CGD genotype, superoxide production, and gastrointestinal manifestations were considered to be potential risk factors for infection. Rate ratios (RRs) with 95% confidence intervals (CIs) for incidence were also calculated for recurrences of specific infections among genotypes.

Mortality is shown as a crude rate, a cause-specific rate, and a fatality rate. Fatality and cause-specific rates were defined by autopsy or confirmed cultures from medical notes. Fatality rate was calculated as [(proportions of deaths due to specific infection/total number of patients with the same infection) \times 100]. Cause-specific rate was calculated as [number of deaths due to a cause/population].

Differences in survival were analyzed using Kaplan–Meier curves followed by log-rank Mantel–Cox test. All tests were performed with Prism 6 software for Mac OSX, and OpenEpi for person-time rates.



Figure 1. Overall proportion of severe infections. The percentage of patients is shown for each genotype.

RESULTS

Cohort Characteristics

We summarized severe infections in 268 patients with confirmed diagnoses of CGD between 1969 and 2012, accounting for 2571 patient-years of direct observation, but reflecting 6188 patient-years of overall survival from birth. This retrospective cohort consisted of 184 X-linked (gp91^{*phox*}) patients, 70 patients with p47^{*phox*} deficiency, 8 patients with p22^{*phox*} deficiency, 6 patients with p67^{*phox*} deficiency, and 1 patient with p40^{*phox*} deficiency.

The mean follow-up per patient was 10.01 ± 8.2 years, ranging from a single visit to 32 years of follow-up. Twenty-seven percent of the patients have been incorporated into the cohort since 2007. The median age of the active cohort at the time of this report was 25 years, ranging from 3 to 55 years. The overall population was diagnosed at a mean age of 5.4 years. The mean age of diagnosis for X-linked CGD was 3.2 years, whereas the mean age for autosomal recessive CGD (mostly p47^{phox} deficient) was 11 years ($P \le .0001$), similar to previous reports [12, 13].

Most CGD patients were treated with long-term antibiotic and antifungal prophylaxis. Ninety-seven percent of patients reported using antibiotic prophylaxis. Most used trimethoprimsulfamethoxazole (6 mg/kg/day of trimethoprim divided twice daily) [14], but some used cephalosporin or ciprofloxacin prophylaxis due to adverse events or intolerance. Continuous antifungal prophylaxis was used in 70% of patients, mostly with itraconazole 100 mg or 200 mg daily according to weight [15].



Figure 2. Frequency (%) of organisms isolated according to site of infection.

In the last several years, some patients have used posaconazole or voriconazole as secondary prophylaxis. Among all CGD patients, 40% received steroids at some point as treatment for inflammation or as an adjuvant to specific treatment. In the surviving active cohort of 170 patients, 40% were on IFN- γ prophylaxis 50 µg/m² subcutaneously 3 times weekly. Forty-one patients received bone marrow transplant, of whom 31 remain alive. In general, the decision to pursue bone marrow transplant was based on recurrent or severe infections or severe refractory colitis [16].

Severe Infections

At the time of this report, only 3% of patients had not had a severe infection at some point during life: 1 patient with $p40^{phox}$ deficiency and 4 X-linked patients, with predominantly gastrointestinal manifestations. The small group in which no severe infections occurred had predominantly gastrointestinal complications that started early in life, leading to transplant. Only 5% had infections limited to skin, without vital organs involved, all of whom had autosomal recessive forms of CGD. A small group (17%) has suffered only 1 severe infection (Figure 1).

Localization

The lung was the most common site of infection. At least 1 episode of pulmonary infection occurred in 87% of patients; 48% had >1 infection. Lung biopsy in general had a 50% (161 of 323) rate of positive organism isolation. Bronchoalveolar lavage (BAL) alone had a 30% (44 of 143) rate of positive isolation. When both were performed for the same event, BAL had only a 44% concordance rate with biopsy isolation over 63 episodes recorded.

Liver abscess occurred in 32% of the cohort. Over the last 10 years, 21 patients (of an active cohort of 170) had confirmed liver abscess by biopsy, 47% of whom had recurrent liver infection. Forty-eight percent of the biopsies yielded a positive culture, and *S. aureus* was the predominant organism isolated (86%); the other organisms isolated were coagulase-negative staphylococci (3 cases) and a gram-negative rod (1 case). Liver infections were recorded predominantly in the X-linked genotype (71% of the cases), corresponding to their proportion of the overall cohort (Figure 2).

At least 25% of the cohort had an episode of lymphadenitis for which an invasive culture was performed. The rate of positive culture was 62%, and *S. aureus* was the predominant organism isolated (48% of the positive cultures). Therefore, 31% of episodes of lymphadenitis grew *S. aureus*.

Pathogens

Overall, there were 429 episodes of infection, for a total infection rate of 7.26 per 100 patient-years, 4 times less than the previously reported rate during the IFN- γ prophylaxis protocol [17]. *Aspergillus* incidence was 2.6 cases per 100 patient-years,

Table 1. Incidence Rate of Specific Severe Infections

Group: cases/patient year (events)	Aspergillus Infection	Burkholderia Infection	<i>Serratia</i> Infection	<i>Nocardia</i> Infection	Staphylococcus Infection
Total cohort: cases/py (events)	0.026 (161)	0.0106 (66)	0.0098 (61)	0.0081 (51)	0.0181 (112)
n = 268	n = 125	n = 46	n = 42	n = 49	n = 90
% affected	46%	17%	15%	18%	33%
Age at first event (overall)	13 y	16 y	14 y	17 y	16 y
	(range, 0.1–62 y)	(range, 0.6–41 y)	(range, 0.1–40 y)	(range, 1.5–53 y)	(range, 3–37 y)
gp91 ^{phox} : cases/py (events)	0.0325 (118)*	0.0088 (32)	0.013 (47)*	0.0093 (34)	0.0190 (69)
n = 184	n = 90	n = 27	n = 36	n = 30	n = 62
% affected	48%	14%	19%	16%	30%
Autosomal recessive: cases/py (events)	0.0171 (43)	0.0135 (34)	0.0055 (14)	0.0067 (17)	0.0171 (43)
n = 84	n = 35	n = 19	n = 12	n = 16	n = 27
% affected	41%	22%	14%	19%	32%
p47 ^{phox} : cases/py (events)	0.0160 (35)	0.0141 (31)	0.0046 (10)	0.0064 (14)	0.0178 (39)
n = 70	n = 28	n = 16	n = 9	n = 13	n = 25
% affected	40%	23%	13%	18%	35%
p22 ^{phox} : cases/py (events)	0.0246 (5)	0.0147 (3)	0.0147 (3)	0.0147 (3)	0.0098 (2)
n = 8	n = 5	n = 3	n = 2	n = 3	n = 1
% affected	62%	37%	25%	37%	12%
p67 ^{phox} : cases/py (events) n = 6 % affected	0.0224 (3) n = 2 33%		0.0074 (1) n = 1 16%		0.0149 (2) n = 1 16%
Quartiles 1–2: cases/py (events) (lower superoxide) n = 105 % affected	0.041 (91)* n = 58 55%	0.0096 (21) n = 18 17%	0.0156 (34)* n = 25 23%	0.0101 (22) n = 20 19%	0.0257 (56)* n = 26 25%
Quartiles 3–4: cases/py (events) (higher superoxide) n = 117 % affected	0.0197 (62) n = 49 41%	0.0124 (39) n = 21 18%	0.0070 (22) n = 19 16%	0.0060 (19) n = 19 16%	0.014 (44) n = 42 36%

Data are presented as cases per patient-years (No. of events). Quartiles were calculated based on superoxide production, used as a continuous variable [10]. The comparisons are in relation to quartiles 3–4 (higher superoxide producing).

* Statistically significant ($P \le .05$).

Burkholderia 1.06 per 100 patient-years, *Nocardia* 0.81 per 100 patient-years; *Serratia* 0.98 per 100 patient-years, and severe *Staphylococcus* infection 1.44 per 100 patient-years (Table 1).

In 44% (125 patients), *Aspergillus* species were isolated at least once, and 20% had >1 *Aspergillus* infection, making this the most common pathogen in our cohort. *Aspergillus fumi-gatus* was the most prevalent, with lower incidences for other *Aspergillus* species (*A. nidulans, A. terreus, A. tanneri*). The median age at first fungal infection was lower in the gp91^{*phox*}-deficient patients (12 years [range, 0.1–38 years]) than in the p47^{*phox*}-deficient patients (17 years [range, 4–62 years]) (*P* = .014). The incidence of *Aspergillus* infection was 55% in the lower superoxide production quartiles and 41% in the higher superoxide production quartiles, independent of genotype (*P* ≤ .0001).

Ninety percent of the 60 cases of *Burkholderia* infection were identified by isolation from lung biopsy. Two patients presented with lymph node infections, and 4 patients presented with bacteremia. *Burkholderia* had a 26% rate of recurrence of infection [18]. Among 16 patients with p47^{phox} genotype who had

Burkholderia infections, 8 had >1; only 3 patients with X-linked CGD had >1 episode due to this organism (P = .0064).

Nocardia infections were predominantly pulmonary, but only 10% of patients had recurrences.

Serratia marsescens had a recurrence rate of 18%, the sites of which were diverse. The predominant sites of infection were lymph nodes and skin abscesses in 44% of cases; 36% were isolated from lung biopsy, and 8% were osteomyelitis. *Nocardia*, *Burkholderia*, and *Aspergillus* were present in lymph node culture in 10% of the cases reported here, mostly in the setting of disseminated infection.

Severe infection caused by *S. aureus* was confirmed in 33% (90 patients) of the whole population (268 patients), being cultured from lymph nodes or liver abscesses. No pneumonia exclusively due to *S. aureus* was identified on our review, but *S. aureus* grew from lung biopsies in 4 patients, concomitant with fungal infection. The patients who had *Staphylococcus* species infections had a recurrence rate of 25%. *Staphylococcus epidermidis* was isolated as the probable cause of infection in 38 patients, most of whom (80%) had histories of *S. aureus* infection as well.

Risk Factor Association

As reported previously, but still to our surprise, we found no evidence that colitis or inflammatory bowel disease was associated with higher risks of severe infection with the organisms described (RR, 1.3 [95% CI, .9–1.99]). There was a slight but significant difference in the rates of infection between gp91^{phox}- and p47^{phox}-deficient patients (RR, 1.4 [95% CI, 1.1–1.74]). Aspergillus and Serratia were somewhat more common in gp91^{phox}-deficient patients in the lower superoxide production quartiles (1 and 2) than in those in the upper quartiles (3 and 4). Burkholderia infection was more recurrent in the p47^{phox} cohort (P = .06). No cofactors or clinical factors could be associated with the recurrence. Nocardia infection did not segregate by genotype. Age at first Aspergillus infection was earlier in the gp91^{phox}- than the p47^{phox}-deficient groups (P = .006), but age at first infection for the other organisms did not significantly differ.

Mortality

Forty-seven (17%) of the patients had died by the time of data extraction. Nine patients were excluded from analysis due to having received bone marrow transplant. Among the remaining 38 patients, 29 (76%) were X-linked and 9 had autosomal recessive CGD (8 $p47^{phox}$, 1 $p67^{phox}$). Infection was the cause of death in 81% of the cases. The remaining 7 deaths were unrelated to infection.

Of 21 autopsies, the lung was the predominant site of infection in 76%, as confirmed by culture or pathology. Of 26 patients with available data, 70% of the fatalities occurred in the lower superoxide production quartiles [10]. However, superoxide production data were not available for all fatal cases, and most of those lacking data were $p47^{phox}$ patients. However, estimating that all the $p47^{phox}$ fatalities would be in the third or fourth quartiles, mortality is still higher at the lower levels of superoxide production.

Fungal infection accounted for 21 deaths (21/38 [55%]). Overall *Aspergillus*-specific mortality was 9%. Death due to fungal infection was confirmed in 37% of the $p47^{phox}$ and 62% of the gp91^{*phox*} cases. *Aspergillus fumigatus* was isolated from 10 patients, *A. tanneri* was isolated from 2 patients, and *A. nidulans* was identified from 1 fatal fungal infection. The fatality rate for *Aspergillus* infections within the X-linked group was 11%, but only 5.7% within the $p47^{phox}$ group (P = .14).

Other fatal fungi isolated were *Neosartorya* species (1 patient) and *Phaeoacremonium parasiticum* (2 patients). In 3 cases, *Candida* was isolated as a possible cause of death. In a few cases, coinfections might have been related to cause of death: 1 patient with human immunodeficiency virus had multiple organisms recovered in autopsy culture (*A. fumigatus, Pseudomonas aeruginosa, Klebsiella pneumoniae*, and *Candida glabrata*). Another patient who had been treated with infliximab had fatal pan-lobar pneumonia that grew *Acinetobacter baumannii*, *P.*



Figure 3. CGD Deaths over the period 1970–2012. *A*, Ages at death for the entire cohort over time. *B*, Ages at death separated by $p47^{phox}$ (open squares) and $gp91^{phox}$ (closed circles). *C*, Ages at death separated by higher (open squares) and lower (closed circles) superoxide production. The Y axis is age at death, the X axis is years. The dotted line in figures *B* and *C* is average age at death (23 years) over the entire cohort over the entire period. The solid lines represent the fitted correlations. Abbreviations: CGD, chronic granulomatous disease; SO, superoxide.

aeruginosa, and mucoid *Burkholderia multivorans* [19]. Fifteen percent of deaths were related to bacterial infections, yielding a specific mortality of 4%. Fatal *Burkholderia* pneumonia occurred in 5 patients, 4 with *B. cepacia*, for a *Burkholderia* fatality rate of 6% [20]. Only 1 death was due to *Nocardia* infection, in an X-linked patient. Overall, fungal infections had a higher risk of mortality than bacterial infections (P = .03), and mortality rates were higher among those who had histories of fungal infections than in those who did not (RR, 1.8 [95% CI, 1.06–3.06]). *Staphylococcus* infections were higher overall in those patients who died independent of genotype (46% vs 30%; P = .12).

The age at death has increased substantially over time in CGD. The median age at death in this cohort before 1991 was 15.53 years; by 2001 it was 20.17 years; and by 2012 it was 28.12 years. (P = .003; Figure 3). Although the crude mortality rates by genotype were not statistically different (18% for X-linked, 15% for autosomal recessive; P = .59), the median age at death was quite different between the groups. Autosomal recessive $p47^{phox}$ -deficient patients had a median age at death of 32 years, whereas X-linked patients died at a median of 23 years (P = .03).

DISCUSSION

We examined severe infections in a large cohort of CGD patients followed over a long period of time in an effort to identify genetic or functional features that would inform how and why infections contribute to morbidity and mortality in CGD. In previous reports *Aspergillus* was the most commonly encountered pathogen, and this remains the case [19, 21–23]. *Burkholderia, Nocardia,* and *Serratia* infections occurred at lower rates than *Aspergillus* infections, but the total infection frequency was still significant. Among those organisms, severity was most marked for *Burkholderia* species, whereas only 1 case of fatal *Nocardia* infection and no fatal *Serratia* infections were recorded.

Invasive or severe infections continued to occur throughout life [24]. Both inheritance pattern and superoxide production confirmed previous observations that X-linked patients generally have more severe disease, and this generally in those with lower superoxide production.

Whereas infection continued to be the cause of death in most cases, the ages at which patients died have increased significantly. There have been differences in the timeline of development of infections as well as differences in treatment over the last decade, reflected in a considerable increase in age at death [12, 13, 25]. Despite the different mortality rates between the groups, both p47^{phox}- and gp91^{phox}-deficient patients suffered mostly from the same pathogens. However, fungal infections, as determined by both incidence and mortality, were worse in the gp91^{phox} cohort. Although antifungal therapies and more generalized prophylaxis are likely to have contributed to the improvement in survival over the last decade [26-29], the probability of getting an invasive infection remains high. Therefore, determining the causal pathogen is essential to effective management. The age at death has continued to increase over time, with survival curves higher than previous reports [4, 13, 25].

Gastrointestinal involvement remains independent of the history of infections and unlinked to mortality, suggesting that it is a manifestation of an aspect of CGD that is distinct from bacterial susceptibility and separate from the issues driving liver disease, another critical cause of mortality in CGD [30].

There are several limitations to this report. First, because microbiologically documented infections were required for inclusion, only prevalent organisms were analyzed. Therefore, the number of actual infections is necessarily higher. We limited our analysis to confirmed cases to be certain about infections per se and their impact. Incidence estimates were limited to the prevalent organisms in CGD patients, and diagnoses were obtained from biopsies in the majority of the events. Second, retrospectively searching over a long period of time encompasses a broad and changing spectrum of prophylaxis, antimicrobials, and therapies [31, 32].

Severe infections continue to affect patients with CGD, with fungal infections still a major determinant of survival [33]. Bacterial infections showed a modest impact on overall mortality, but their recurrence may contribute to chronic lung, liver, or kidney disease [34]. The reasons for the remarkable rate of Burkholderia recurrence in p47^{phox} deficiency remain elusive, but suggest another distinct aspect of p47^{phox} deficiency, in addition to the higher rates of diabetes and heart disease [35]. Mortality was higher in gp91^{phox}-deficient patients, but mostly in the lower quartile of superoxide production, suggesting that the critical determinant of mortality remains superoxide production, even after adjusting for rates of infection. Gaining a better understanding of the rates and consequences of infections in CGD is a step toward determining whether there are windows of increased risk identifying possible targets for intensified prophylaxis and treatment.

Notes

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