

LONG-TERM COST-EFFECTIVENESS OF UPPER AIRWAY STIMULATION FOR OSA

Long-Term Cost-Effectiveness of Upper Airway Stimulation for the Treatment of Obstructive Sleep Apnea: A Model-Based Projection Based on the STAR Trial

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Study Objectives: Upper airway stimulation (UAS) is a new approach to treat moderate-to-severe obstructive sleep apnea. Recently, 12-month data from the Stimulation Treatment for Apnea Reduction (STAR) trial were reported, evaluating the effectiveness of UAS in patients intolerant or non-adherent to continuous positive airway pressure therapy. Our objective was to assess the cost-effectiveness of UAS from a U.S. payer perspective.

Design: A 5-state Markov model was used to predict cardiovascular endpoints (myocardial infarction [MI], stroke, hypertension), motor vehicle collisions (MVC), mortality, quality-adjusted life years (QALYs), and costs. We computed 10-year relative event risks and the lifetime incremental cost-effectiveness ratio (ICER) in \$/QALY, comparing UAS therapy to no treatment under the assumption that the STAR trial-observed reduction in mean apnea-hypopnea index from 32.0 to 15.3 events/h was maintained. Costs and effects were discounted at 3% per year.

Setting: U.S. healthcare system; third-party payer perspective.

Patients or Participants: 83% male cohort with mean age of 54.5 years.

Interventions: UAS vs. no treatment.

Measurements and Results: UAS substantially reduced event probabilities over 10 years (relative risks: MI 0.63; stroke 0.75; MVC 0.34), and was projected to add 1.09 QALYs over the patient's lifetime. Costs were estimated to increase by \$42,953, resulting in a lifetime ICER of \$39,471/QALY.

Conclusions: Relative to the acknowledged willingness-to-pay threshold of \$50,000–\$100,000/QALY, our results indicate upper airway stimulation is a cost-effective therapy in the U.S. healthcare system.

Keywords: obstructive sleep apnea, cost effectiveness, hypoglossal nerve, implantable neurostimulators, upper airway stimulation

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INTRODUCTION

Obstructive sleep apnea (OSA) is a disorder characterized by recurrent upper airway obstruction during sleep. It affects 6% to 7% of the population^{1,2} and is associated with increased cardiovascular disease, daytime sleepiness, and reduced quality of life.³ These sequelae are especially pronounced in patients with moderate-to-severe OSA, defined as an apnea-hypopnea index (AHI) greater than 15 events per hour. Effective diagnosis and treatment of this condition can reduce and control this substantial disease burden.

Continuous positive airway pressure (CPAP) is the first-line treatment for OSA, and it has been proven effective in reducing AHI, cardiovascular risks, and daytime sleepiness. However, many patients are unable to tolerate CPAP, with resulting adherence rates between 39% and 60%.^{4–6}

Recently, upper airway stimulation (UAS) has been introduced as a new therapeutic approach for patients with moderate-to-severe OSA who are intolerant of CPAP therapy. UAS utilizes an implantable device to stimulate the hypoglossal nerve and activate the genioglossus muscle, thereby promoting upper airway patency and alleviating OSA.⁷

A recent multicenter, prospective, single-group study (the STAR Trial) showed that UAS led to significant objective and subjective improvements in OSA.⁷ Specifically, in a cohort of CPAP-intolerant patients with moderate-to-severe OSA and a mean age of 54.5 years, mean AHI decreased from 32.0 to 15.3 events per hour. A second study phase examined the outcomes of randomized therapy withdrawal, and showed marked increase in AHI among patients withdrawn from UAS therapy, compared to no significant differences from the 12-month AHI value among patients who remained on UAS therapy.

The objective of this study was to assess the long-term cost-effectiveness of UAS from a U.S. payer perspective, as compared to no treatment. CPAP was not considered as a comparator, as UAS treatment is indicated in patients who have been confirmed to be intolerant of positive airway pressure treatment.

METHODS

Overview

We developed a state-transition (Markov) model to assess the impact of UAS treatment using the Inspire Upper Airway Stimulation system (Inspire Medical Systems; Maple Grove, MN) compared to no treatment. The model's disease-progression component was based in significant part on a previously published Markov model for investigating the cost-effectiveness of CPAP therapy.⁸ The model projects 3 clinical endpoints (myocardial infarction [MI], stroke, and hypertension), the incidence of motor vehicle collisions (MVC), mortality, quality-adjusted life years (QALYs), and costs.

A commentary on this article appears in this issue on page 665.

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To compute transition probabilities, we utilized univariate and multivariate risk equations from cohort studies and published data described in greater detail below. Values for other input parameters were derived from systematic searches of literature catalogued in PubMed. Therapy- and procedure-related costs were obtained from average Medicare reimbursement rates for fiscal year 2013. Assumptions made in the base-case analysis were assessed in deterministic and probabilistic sensitivity analyses.

Model Structure and Modeling Framework

The Markov model follows 2 simulated cohorts with moderate-to-severe OSA: UAS-treated and untreated. The same model structure is used for the 2 competing strategies. The model employs a cycle length of 1 month with half-cycle correction. Except where otherwise indicated, all analyses were conducted using a lifetime horizon.

To represent clinical disease progression, the Markov model incorporates 5 primary health states, and tracks the occurrence of stroke, MI, MVC, and death. To account for different mortality risks and costs after the tracked cardiovascular events, the model includes post-stroke and post-MI states in addition to the primary health states. MVC events were tracked but not included in the model as separate states. See Figure 1 for a graphical representation of the model.

The primary outcome measure was the incremental cost-effectiveness ratio (ICER), defined as the incremental direct costs of medical treatment and consequences divided by the incremental health benefits expressed as quality-adjusted life-years (QALYs). All costs were estimated in 2013 dollars. In line with current guidelines,⁹ costs and effects were discounted at 3% per year.

The ICER is a common metric used in health-economic analyses to assess the value of an intervention.¹⁰ It provides the ratio of added costs required to achieve a defined improvement in outcome, measured in QALY, taking into account both gains in survival and health-related quality of life.⁹ A therapy is considered to be a good value investment for the healthcare system, if its associated ICER is below the respective healthcare system's willingness-to-pay threshold. In the U.S., the commonly referred threshold is between \$50,000 and \$100,000 per QALY gained.¹⁰ Recent policy comments suggest both of these estimates are rather conservative, and advocate a range of \$100,000 to \$150,000 per QALY instead.¹¹

Input Parameters

All baseline patient characteristics were modeled identically to those observed in the STAR trial (average age 54.5 years; 83% male; mean AHI 32.0 at baseline). For the modeled UAS cohort, we assumed a mean AHI of 15.3, as was observed at 12 months in the STAR trial. For the untreated cohort, we assumed the baseline mean AHI of 32.0 would be maintained. All other input parameters were derived from systematic searches of the PubMed literature and from published statistics and databases (Table 1).

Therapy Effectiveness

To obtain an estimate for the expected reduction in cardiovascular event risk between the UAS-treated and the untreated cohort (mean AHI 15.3 vs. 32.0), we fitted a polynomial regression

function based on published longitudinal data¹² to estimate the relationship between AHI and elevated cardiovascular risk. Specifically, we employed the observed cardiovascular risk of snorers (AHI of 3.5) to define the baseline cardiovascular event risk of the general population, and then computed hazard ratios for AHI 15.3 and 32.0. These hazard ratios were then multiplied by the baseline probability of cardiovascular events to obtain estimates for the cardiovascular event risks in both modeled cohorts. The approach and detailed computations are outlined in Appendix A.1 (supplemental material).

Using this approach, we obtained hazard ratios of 1.45 and 2.61 for the UAS-treated and untreated cohorts, respectively, suggesting that UAS therapy reduces elevated cardiovascular risk by 71.4%. We further adjusted this cardiovascular risk reduction by assuming that only the 86% of UAS-treated patients who reported daily use of the therapy would incur this risk reduction. For the full cohort, this led to an overall estimate of cardiovascular event risk reduction of 62%.

To confirm the validity of this estimate, we utilized a second measure of treatment effect that is increasingly used by the medical community to estimate overall risk reduction: the percentage of patients who experienced $\geq 50\%$ reduction in mean AHI to an absolute level below 15. In the STAR trial, 61% ($n = 78/126$) of patients met both of these objectives. Under the assumption that these 61% normalized their cardiovascular risk to baseline, consistent with published findings of the referenced long-term observational study,¹² and the conservative assumption that the other 39% of patients did not receive any cardiovascular benefit, this yields an estimated overall cardiovascular risk reduction similar to the 62% obtained from regression analysis above. See Appendix A.1 for further detail.

The risk of motor vehicle collisions (MVC) was assumed to be reduced to the general population risk under UAS treatment. This assumption was made, first, based on the significant difference in Epworth Sleepiness Scale (ESS) scores observed among subjects in the STAR trial (mean ESS of 11.6 at baseline compared to 7.0 at 12 months, a difference of 4.6), which was almost double the difference of 2.37 reported in a recent meta-analysis of studies comparing CPAP to no treatment.¹³ Second, based on the established evidence that CPAP therapy reduces elevated MVC risk to baseline levels.¹⁴

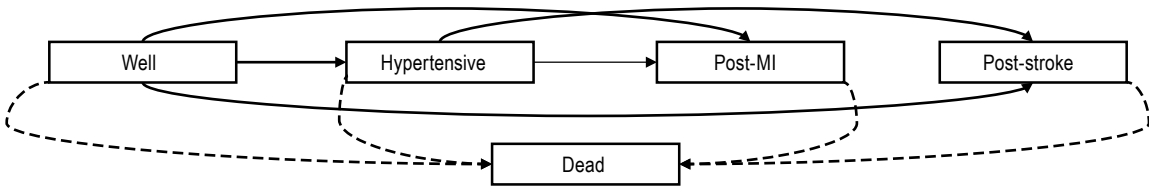
Costs

Costs were considered from a U.S. third-party payer perspective, including only direct healthcare costs. Age-specific baseline healthcare costs were based on average U.S. expenditures.¹⁵ Incremental costs associated with the treatment of hypertension, MI, and stroke—and elevated costs after MI or stroke events—were based on reports in the published literature. Healthcare costs associated with non-fatal and fatal MVCs were based on a U.S. Department of Transportation study.

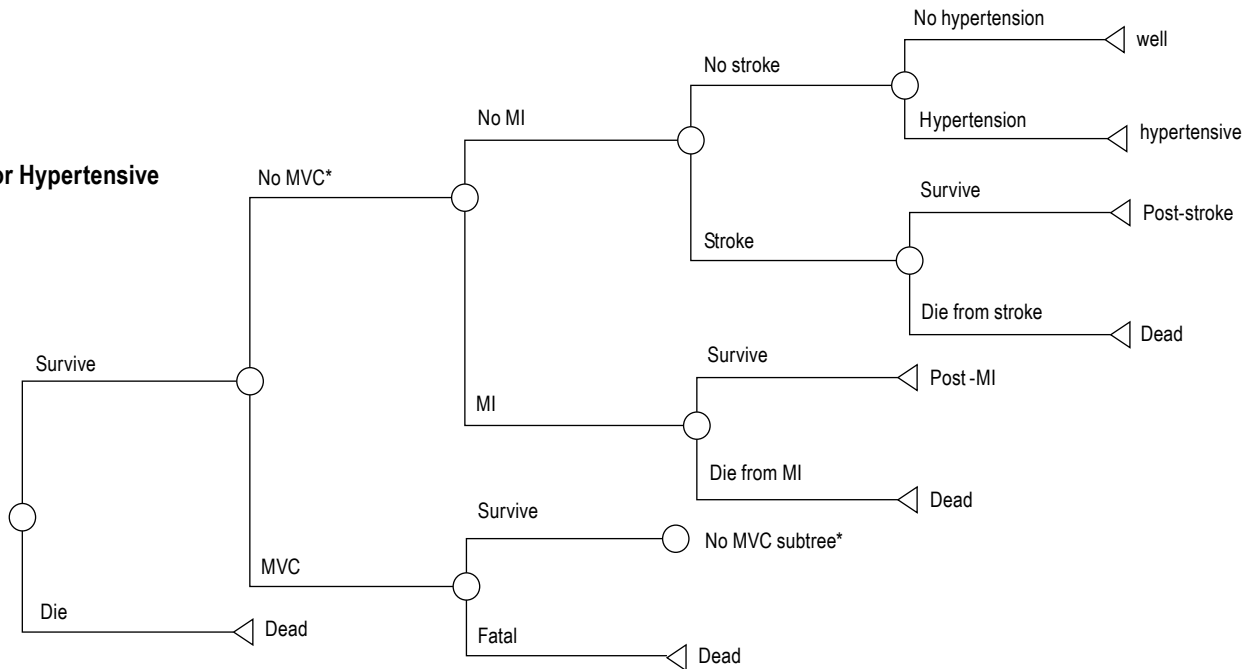
Unless derived from FY 2013 Medicare reimbursement schedules, all cost estimates were converted to 2013 U.S. dollars using the general consumer price index for the United States.¹⁶

UAS costs included all therapy-related preprocedural and periprocedural costs, the cost of actual surgical implantation of the stimulation device, annual follow-up costs subsequent to implantation, and the cost of eventual battery replacement for the stimulator.

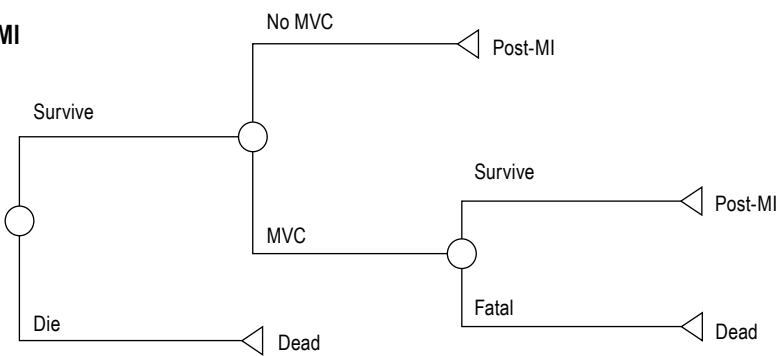
UAS Treatment or No Treatment



Well or Hypertensive



Post-MI



Post-stroke

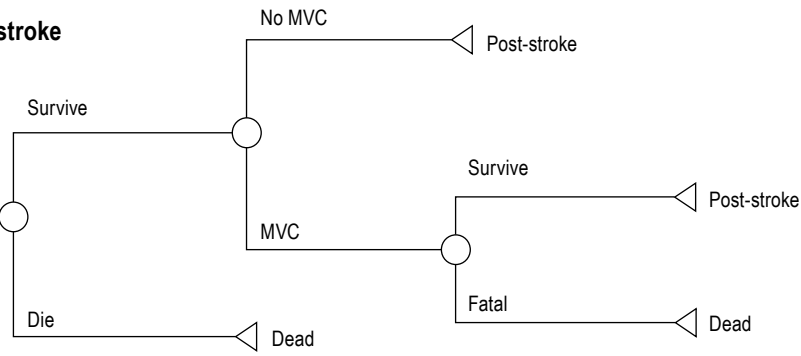


Figure 1

Table 1—Model input parameters.

Variable	Base Case	Range	PSA Assumption	Reference
Cohort characteristics				
Age	54.5	30–70	N/A	7
Male gender	83%		N/A	7
Risks associated with events and modeled states				
Motor vehicle collision causing injury or death				
Annual risk, males	0.019	0.01–0.027	Normal, mean = 0.019, SD = 0.0042	22
Annual risk, females	0.008	0.004–0.013	Normal, mean = 0.008, SD = 0.0018	22
Probability of death from a MVC with injury or death	0.0079	0.006–0.01	Beta, a = 71, b = 8929	23
Hypertension				
Prevalence of hypertension, males (varies by age, 40–70 years)	0.239–0.633	± 20% rate	Normal, ± 20% of base case	24
Prevalence of hypertension, females (varies by age, 40–70 years)	0.199–0.788	± 20% rate	Normal, ± 20% of base case	24
Rate (annual per person, until age 85) for incident hypertension, males	0.0195*ln(Age)–0.0551	± 20% rate	Normal, ± 20% of base case	24
Rate (annual per person, until age 85) for incident hypertension, females	$(2.5 \times 10^{-7}) \times \text{Age}^{2.948}$	± 20% rate	Normal, ± 20% of base case	24
Myocardial Infarction				
Rate (annual per person, until age 85) for incident MI, males	$(2.0 \times 10^{-12}) \times \text{Age}^{5.236}$	± 20% rate	Normal, ± 20% of base case	25
Rate (annual per person, until age 85) for incident MI, females	$(9.0 \times 10^{-17}) \times \text{Age}^{7.423}$	± 20% rate	Normal, ± 20% of base case	25
Hazard ratio for incident MI, with hypertension	2.53	2.0–3.5	Gamma, alpha = 102.41, lambda = 40.48	26
Probability of 28-day mortality, males, varies by age	0.15–0.7	± 20% rate	Normal, ± 20% of base case	27,28
Probability of 28-day mortality, females, varies by age	0.20–0.7	± 20% rate	Normal, ± 20% of base case	27,28
Hazard ratio for all-cause mortality, life after MI	1.57	1.35–1.74	Gamma, alpha = 985.96, lambda = 628.00	29
Stroke				
Rate (annual per person, until age 85) for incident stroke	$(3.1 \times 10^{-13}) \times \text{Age}^{5.569}$	± 20% rate	Normal, ± 20% of base case	30,31
Hazard ratio for incident stroke, with hypertension	2.78	2.0–4.6	Gamma, alpha = 48.30, lambda = 17.38	26
Probability of 28-day mortality, varies by age	0.09–0.52	± 20% rate	Normal, ± 20% of base case	31
Hazard ratio for all-cause mortality, life after stroke	2.30	1.1–2.8	Gamma, alpha = 67.47, lambda = 29.34	32
Hazard ratios associated with OSA				
HR for MVC causing injury or death	3.0	2.5–3.5	Gamma, alpha = 144.00, lambda = 48.00	14,33
HR for developing hypertension	1.8	1.3–1.9	Gamma, alpha = 51.84, lambda = 28.80	34
HR for incident MI	2.6	1.9–4.3	Normal*, mean = 2.46, SD = 0.08	12
HR for incident stroke	1.7	1.0–3.5	Gamma, alpha = 18.06, lambda = 10.63	35
UAS effectiveness (Percent returning to non-OSA risk level [1.0 = 100% effectiveness])				
CVD (hypertension, MI, stroke)	0.72	0.25–1.0	Beta*, a = 71.20, b = 45	7, 12, Regression analysis-based estimation
MVC	1.0	0.25–1.0	Triangular, peak = 0.99, min = 0.75, max = 1.0	7, 13, 14
UAS compliance	0.86		N/A	7
UAS battery life (years)	11	8–13	Normal, mean = 11, SD = 1	7, Manufacturer-provided estimate (rounded)

*The distribution is estimated based on the mean AHI (at baseline and/or 12 months) in the STAR trial data and calculated standard error of the mean, and regression analysis (A.2) to estimate the hazard ratios and UAS effectiveness.

Table 1 continues on the following page

Specifically, preprocedural costs included an attended polysomnogram in a sleep lab for 80% of patients, and an otolaryngologist-head and neck surgeon office visit, drug-induced

sleep endoscopy, and costs for preprocedural imaging and lab work for all patients. In line with data from the STAR trial and based on experience of the clinical co-authors (EJK, PJS), it

Table 1 (continued)—Model input parameters.

Variable	Base Case	Range	PSA Assumption	Reference
Costs (2013 US\$)				
UAS treatment				
UAS implant (surgery/device) and follow-up (one-time)	\$27,942	± 30%	Normal (± 20% base case), mean = \$27,942, SD = \$2,794	See Appendix A.2 for full details.
UAS replacement including device/surgery/battery (every 11 years)	\$14,556	± 30%	Normal (± 20% base case), mean = \$14,556, SD = \$1,456	
Annual office visits	\$177	± 30%	Normal (± 20% base case), mean = \$177, SD = \$18	
Acute events (one-time)				
Non-fatal MVC with injuries	\$8,031	50–200%	Normal, mean = \$8,031, SD = \$2,008	23
Fatal MVC	\$30,089	50–200%	Normal, mean = \$30,089, SD = \$7,522	23
Acute MI	\$22,661	8,869–51,794	Normal, mean = \$22,661, SD = \$6,896	36,37
Acute stroke	\$19,979	9,518–70,552	Normal, mean = \$19,979, SD = \$5,231	37,38
Health-state specific costs (annual)				
Baseline, varies by age	\$2,984–\$19,684		N/A	15
Health-state specific care costs (additive to baseline cost)				
Well	0	N/A	N/A	
Hypertension	\$931	218–1,453	Normal, mean = \$931, SD = \$261	37,38
Post-MI	\$4,023	0–11,267	Normal, mean = \$4,023, SD = \$2,012	37–39
Post-stroke, year 1	\$33,037	8,711–70,465	Normal, mean = \$33,037, SD = \$12,163	37,38
Post-stroke, post year 1	\$29,925	14,933–70,465	Normal, mean = \$29,925, SD = \$7,496	37,38
Quality-of-life weights				
Baseline, decreases by age (40–80 years)	0.871–0.736		N/A	19
Health-state specific weights				
Well	1	N/A	N/A	
Hypertension	0.96	0.79–0.98	Beta, a = 9.6, b = 0.4	19
MI-first year	0.76	0.50–0.87	Beta, a = 30.4, b = 9.6	40,41
Stroke-first year	0.63	0.26–0.92	Beta, a = 12.6, b = 7.4	42,43
Post-MI	0.88	0.67–0.94	Beta, a = 13.2, b = 1.8	42
Post-stroke	0.63	0.26–0.92	Beta, a = 12.6, b = 7.4	42,43
Untreated OSA	0.84	0.80–0.93	Beta, a = 126.0, b = 24.0	44,45
Treated OSA	0.93	0.84–0.98	Beta, a = 37.2, b = 2.8	44,45
Non-fatal MVC (lifetime discounted decrement)	0.036	0.031–0.418	Beta, a = 0.036, b = 0.964	46
Disutility of UAS implantation	0.016	0–0.032	Gamma, alpha = 10.24, lambda = 640.00	Assumed

*The distribution is estimated based on the mean AHI (at baseline and/or 12 months) in the STAR trial data and calculated standard error of the mean, and regression analysis (A.2) to estimate the hazard ratios and UAS effectiveness.

was assumed that 20% of patients had already completed their polysomnogram within a year prior to UAS implantation and thus did not require a repeat diagnosis.

Actual implantation was assumed to be performed as an outpatient procedure in 90% of cases, with the remaining 10% performed as an inpatient procedure. This assumption is supported by the STAR trial and early commercial experience with UAS, as well as Medicare’s “two-midnight stay” guidance.¹⁷ This reimbursement guidance was implemented in 2013 and requires stays involving less than two midnights to be treated as outpatient cases. In the STAR trial, 16% of patients were discharged the day of implantation, 79% the next day, and 6% were discharged two days after implantation.

For the outpatient setting, costs included the cost of electrode placement and generator implant, under the assumption that 70% of procedures would be performed in a hospital

outpatient setting, and 30% in an ambulatory surgical center (ASC) setting. In line with current reimbursement rules, implantation costs also included the cost of initial follow-up 7–14 days post-procedure. In the inpatient setting, costs were assumed to be the national average reimbursement amount of the applicable 2013 Medicare Severity Diagnosis-related Group (MS-DRG) code. Costs for the implant are to be covered by providers from the respective reimbursement amounts, both in the outpatient and inpatient case, and are therefore not separately accounted for.

Therapy activation 30 days after implantation included the costs for an evaluation and management visit and for simple programming of the stimulator. Further, the cost of a follow-up in-laboratory titration polysomnogram was included at 60 days after implantation. This visit was assumed to include simple programming. Based on current clinical experience,

Table 2—Health outcomes and incremental cost-effectiveness results (83% male).

Lifetime	Risk of MI	Risk of Stroke	Expected Number of MVC	Cost (\$), Discounted	Effectiveness (QALY), Discounted	ICER (\$/QALY), Discounted
No Treatment	0.481	0.249	1.030	243,543	9.54	
UAS Treatment	0.389	0.240	0.367	286,497	10.63	39,471
Absolute Difference	0.092	0.009	0.663	42,953	1.09	
Relative Risk	0.81	0.96	0.36			
10-years						
No Treatment	0.136	0.067	0.473	90,487	5.44	
UAS Treatment	0.086	0.050	0.160	115,218	5.87	57,773
Absolute Difference	0.050	0.017	0.313	24,731	0.43	
Relative Risk	0.63	0.75	0.34			

we further assumed that 30% of patients required complex programming at 75–120 days post-implant, and an additional 30% would require a follow-up visit with complex programming and repeat titration polysomnogram. To account for costs of procedure-related adverse events, we included costs of one device revision and one explant in the STAR trial cohort of $n = 126$ patients, as well as the costs for 4 chin straps and 3 customized mouth guards.

In the second and all following years, costs of one annual routine follow-up visit were included. These were assumed to include costs for an evaluation and management visit, electronic analysis for 90% of cases, and simple programming (adjustment of settings) for the remaining 10%. Battery replacement was assumed to occur every 11 years in patients using the device daily, and the costs associated with generator replacement (procedure, new device) were included. Full details for UAS-related costs, including specific reimbursement codes, are provided in Appendix A.2 (supplemental material).

For the comparator group (untreated patients), the model assumed only baseline healthcare costs, costs related to the modeled clinical and nonclinical events, and any applicable follow-on costs to these events. No regular annual office or sleep lab visits were considered.

Mortality and Health-Related Quality of Life

Age- and gender-specific baseline mortality rates were based on 2008 U.S. life tables.¹⁸ Adjusted mortality rate excluding CVD mortality to avoid double counting were multiplied with event-specific hazard ratios where applicable (Table 1). Disease-specific mortality rates were adjusted for 1 cycle to reflect increased mortality immediately after the event, which includes the post-MI and post-stroke states.

Utility estimates for the included disease states were obtained from the published literature and were adjusted for different age groups by application of a multiplicative factor.¹⁹ UAS-treated patients were assumed to achieve the same health-related quality of life as patients treated with continuous positive airway pressure (CPAP). This assumption was made based on the STAR trial-observed improvements in values for ESS and the Functional Outcomes of Sleep Questionnaire (FOSQ). STAR trial results suggested that treated patients achieved higher absolute improvement in these scores than reported in recent meta-analyses of CPAP studies.^{13,20} To account for

potential temporary disutility associated with UAS implantation and programming, we assumed a utility decrement of 0.2 for a total of 4 weeks.

Analysis of Uncertainty

Comprehensive one-way and probabilistic sensitivity analyses (PSA) were conducted to evaluate the effects of parameter uncertainty. The parameter ranges (Table 1) were derived from the literature, data from the STAR trial, and, where applicable, from expert opinion. For one-way sensitivity analyses, the ICER was computed for each scenario. For the PSA, we performed Monte Carlo simulations (5,000 samples each) separately for male and female cohorts. A PSA involves randomly selecting values from the known or estimated distributions for all input parameters, running the model, and repeating the process. We drew values from 40 distributions. The set of 5,000 results produced characterizes the probability distributions of outcomes resulting from the uncertainty around the input parameters. Results were presented as cost-effectiveness acceptability curves (CEACs), showing the probability UAS is cost-effective depending on a range of willingness-to-pay thresholds between \$0 and \$100,000 per QALY.

RESULTS

Base Case Results

At base-case assumptions, UAS treatment substantially reduced the risk of cardiovascular events, MVCs, and mortality. The 10-year relative risks of MI and stroke were 0.63 and 0.75, respectively. The 10-year relative risk in expected number of MVCs was 0.34. Over the patient's lifetime, these relative risks were less pronounced (MI 0.81, stroke 0.96, number of MVCs 0.36), owing to the fact that additional events may occur because of increased survival.

The reduction in cardiovascular and MVC event risks resulted in increased mean survival of 1.37 LYs (21.97 vs. 20.60 LYs). Further, this gain in life expectancy, combined with improved health-related quality of life because of fewer clinical events and the generally higher quality of life in treated vs. untreated patients, led to a mean QALY gain of 1.70 over the patient's lifetime (14.90 vs. 13.20 QALYs). Discounted, these gains were 0.73 LYs (15.44 vs. 14.71) and 1.09 QALYs (10.63 vs. 9.54 QALYs). See Table 2 for full details.

Total costs discounted over a lifetime were \$286,497 for UAS compared to \$243,543 for no treatment, an incremental difference of \$42,953. The discounted ICER was \$39,471/QALY gained (Table 2).

Uncertainty Analyses

The performed comprehensive deterministic sensitivity analyses showed that varying parameters across the assumed ranges shown in Table 1 did not materially change the cost-effectiveness results. The ICERs remained below \$50,000 per QALY gained in most cases, except for some extreme assumptions, e.g., no assumed gain in health-related quality of life between UAS-treated and untreated patients. An overview of key scenario results is shown in Table 3, with the full results for all parameters shown in the supplemental material (Table A.3).

Varying the age of the modeled cohort did not materially change the ICER. The ICER exceeded \$50,000/QALY gained only when the mean age was 70 years or higher. Men had a slightly higher ICER than women (at base-case values, men vs. women: \$39,579 vs. \$38,951 per QALY gained).

If the model horizon is chosen as 10 years instead of lifetime, UAS treatment has an ICER of \$57,733/QALY gained. Reducing the model horizon to even shorter timeframes increased the ICER further, because the upfront cost for UAS implantation is fully accounted for in these cases, while associated downstream savings resulting from event reductions are only partially taken into account.

Assuming effectiveness at reducing cardiovascular event risks to be lower than the 71.4% considered in the base case (prior to usage adjustment) leads to gradual increase in the ICER. At 65% effectiveness, the ICER is \$43,491/QALY gained; at 50% effectiveness, the ICER is \$56,683/QALY gained. A reduction in UAS effectiveness in reducing MVC event rates from the base case 100% to 50% yields an ICER of \$41,909/QALY gained.

If there is only a 50% gain in health-related quality of life from OSA treatment (that is, the utility gain is assumed to increase to only 0.885), the ICER of UAS treatment increases to \$53,843/QALY gained.

The STAR trial data showed a larger difference in median AHI between baseline and 12-month values (29.3; 9.0), compared to mean AHI (32.0; 15.3). Using median instead of mean AHI levels for the analysis—and thereby running a scenario that emphasizes effectiveness observed in therapy responders—leads to a reduction in the ICER to \$31,362/QALY gained.

In probabilistic sensitivity analyses, based on separate simulation of male and female cohorts, UAS treatment is preferred in 73% of the time at a willingness-to-pay threshold of \$50,000 per QALY, and 96% of the time at \$100,000 per QALY, with slight variation between the simulated male and female cohorts. Table 4 shows the cost-effectiveness acceptability for both genders at willingness-to-pay thresholds between \$0 and \$100,000 per QALY. Further graphs, including ICE scatter plots, are included in the supplemental material.

DISCUSSION

With an incremental cost-effectiveness ratio below the commonly accepted threshold of \$50,000/QALY over a wide range of assumptions, UAS is a cost-effective strategy when compared

Table 3—Selected deterministic sensitivity analysis results (remaining scenarios shown in the supplemental material).

Scenarios	ICER (\$/QALY)
Median instead of mean AHI reduction	31,362
No discount rate on costs and effects	34,100
High discount rate on costs and effects (5%)	44,536
Male patients	39,562
Female patients	38,934
Age 30	34,356
Age 70	52,343
UAS adherence (daily use) 100%	35,576
UAS adherence (daily use) 76%	44,810
UAS CVD event risk-reduction effectiveness 65%	43,491
UAS CVD event risk-reduction effectiveness 50%	56,683
UAS battery replacement every 8 years	46,393
UAS battery replacement every 13 years	36,686
UAS implantation 100% outpatient	41,272
UAS implantation 80% outpatient	38,161
UAS implantation cost high (\$36,301)	47,179
UAS implantation cost low (\$19,547)	31,773
UAS battery replacement cost high (\$18,923)	43,229
UAS battery replacement cost low (\$10,189)	35,723
Post-procedural adverse event cost assumed to be maintained in all following years	40,529
High HR stroke from OSA (3.5)	24,805
Low HR stroke from OSA (1.0)	48,900
Utility gain for treated vs. untreated OSA only 75% of baseline (0.0675)	45,644
Utility gain for treated vs. untreated OSA only 50% of baseline (0.045)	53,843

Table 4—Probabilistic sensitivity analysis (PSA) results, shown as cost-effectiveness acceptability for male and female cohorts, based on 5,000 Monte Carlo simulations each.

Willingness-to-Pay (WTP, \$)	Probability UAS Cost-Effective (males)	Probability UAS Cost-Effective (females)
0	0.00	0.00
10,000	0.00	0.00
20,000	0.01	0.04
30,000	0.16	0.21
40,000	0.49	0.51
50,000	0.73	0.72
60,000	0.84	0.83
70,000	0.90	0.88
80,000	0.93	0.92
90,000	0.95	0.94
100,000	0.96	0.95

See supplemental material for further PSA result details and graphs.

to other, well-accepted medical treatments. UAS offers great value over time, despite the upfront cost of the device and implantation. The value of UAS stems from the STAR trial data, with expected substantial reductions in clinical events and motor

vehicle collisions projected over the patient's lifetime, as well as resulting gains in quality of life and survival. The projected 10-year reductions in cardiovascular events by 25% to 37% and the projected gain in total quality-adjusted life expectancy of 1.7 years (undiscounted) compare favorably to a wide range of established device-based therapies for chronic medical conditions.

Because UAS treatment is a therapy option for patients who cannot use positive airway pressure therapy or other alternatives, our analysis only compared UAS to no treatment. This is clinically meaningful, as it reflects the reality with UAS treatment. Nevertheless, it still seems appropriate to put our findings in perspective with previously conducted cost-effectiveness analyses of CPAP, specifically the study that provided part of the underlying model used in the current analysis.⁸ In that study, CPAP was found to have an incremental cost-effectiveness ratio of \$15,915/QALY when compared to no treatment. The discounted cost and QALY differences were \$26,722 and 1.68, respectively. For the present analysis, using the same cohort assumptions (50-year-old male patients) and compared to no treatment, we found that UAS results in an ICER of \$39,437/QALY, resulting from cost difference of \$45,801 and a QALY gain of 1.16. While both therapies have favorable health-economic profiles, UAS is less cost-effective than CPAP.

Our study is subject to a number of limitations. First, as in any model-based health-economic projection, long-term clinical- and cost-effectiveness were estimated based on short-term clinical data; actual long-term effectiveness of UAS therapy may vary. However, 18-month effectiveness data for UAS that was recently reported in a publicly accessible FDA report²¹ suggests a maintained, stable treatment effect in line with the 12-month results. In our sensitivity analyses, we tested the impact of reduced treatment effectiveness through modeled scenarios of lower effectiveness of cardiovascular event risk reduction and an absence of health-related quality of life benefits, but the cost-effectiveness findings were consistently lower than the accepted threshold levels for health care interventions.

Second, by definition, our model is a simplified representation of actual disease progression that may not always reflect the full spectrum of possible disease pathways. Specifically, the model does not explicitly capture congestive heart failure, diabetes, and cardiovascular arrhythmias—health states that have previously been shown to be improved with effective OSA treatment. Including these additional benefits might have improved the health-economic profile of UAS therapy.

Third, our estimate of cardiovascular event risk reduction relies on a regression analysis-based relationship between AHI and cardiovascular event risk and was based on a study that investigated male patients only.¹² Nevertheless, that study encompassed a reasonably large sample size, and offers the most-comprehensive long-term cardiovascular outcomes data available about treated and untreated OSA patients. An alternative approach to modeling the risk reduction—considering the percentage of patients who experienced at least a 50% reduction in mean AHI to an absolute level below 15—and sensitivity analyses yielded similar estimates for UAS-related reductions in cardiovascular event risk. We do not anticipate major differences between female and male patients, but we are limited by the fact that available data from OSA studies,

including the STAR trial population, are largely from male patients. Further, both the STAR trial and the referenced observational study populations primarily consist of Caucasians. To evaluate the effect of uncertainty in cardiovascular event risk reduction that may result from the limitations of our approach, we performed extensive sensitivity analyses. The resulting ICER changes were not material and, importantly, did not change the conclusions of our study.

Fourth, the STAR trial population consisted of a selective sample of moderate-to-severe OSA patients, e.g., limited to body mass index (BMI) below 32. These selective inclusion criteria might limit the generalizability of our findings to the broader population of OSA patients. However, one of the purposes of our sensitivity analyses was to enable characterization of cost-effectiveness also in those who may not specifically meet all STAR trial eligibility criteria, and our findings suggest that ICERs are not materially different for cohorts with variations in the studied input parameters.

Fifth, although patient adherence to UAS therapy was high at 12 months in the STAR trial—with 86% of patients reporting daily use—it might be expected that some variation in use would occur during the patient's lifetime. Our base case assumes constant utilization of 86%. We also tested the effects of lower adherence rates.

Finally, our estimates of the specific settings of care and related reimbursement coding—for example, 90% of implantations being performed as outpatient procedures in hospital or ambulatory surgical centers, and 10% as hospital inpatient procedures—rely on clinical trial experience with the therapy in the United States. While we believe our assumptions are a reasonable and accurate reflection of real-world clinical practice, we nevertheless tested the effect of variations in total UAS-related cost.

CONCLUSIONS

Our findings indicate that UAS therapy is a cost-effective treatment strategy for moderate-to-severe OSA patients intolerant to CPAP therapy, if trial-demonstrated effectiveness is maintained over the patient's lifetime.

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SUPPLEMENTAL MATERIAL

Appendix A.1. Detailed Computation of UAS Costs

Table A.1—Detailed computation of UAS costs, shown for the two subsets of the overall cohort (US implantation performed in outpatient setting versus in-patient setting).

Cost item	Description	OUTPATIENT (90% of patients)			INPATIENT (10% of patients)	
		Amount	Percent of cohort	Cohort total		Cohort total
Pre-Therapy: OSA Diagnosis						
Sleep study, in-lab	CPT 95810	\$ 645.76	80%	\$ 516.61		\$ 516.61
Pre-Therapy: Surgical Assessment						
Head & Neck assessment (office-based)	CPT 99202	\$ 74.51	100%	\$ 74.51		\$ 74.51
Drug-induced sleep endoscopy	APC 00251	\$ 229.81	100%	\$ 229.81		\$ 229.81
	CPT 92502	\$ 97.31	100%	\$ 97.31		\$ 97.31
	CPTs 99149/99150	\$ 136.15	100%	\$ 136.15		\$ 136.15
Pre-operative assessment (labs, x-rays)						
Electrocardiogram	CPT 93000	\$ 18.37	100%	\$ 18.37		\$ 18.37
Lab work - CBC	CPT 85027	\$ 12.02	100%	\$ 12.02		\$ 12.02
Lab work - Chem 7 Profile	CPT 82374	\$ 9.08	100%	\$ 9.08		\$ 9.08
Lab work - Liver function test (LFT)	CPT 80076	\$ 15.17	100%	\$ 15.17		\$ 15.17
Lab work - PT	CPT 85610	\$ 7.30	100%	\$ 7.30		\$ 7.30
Lab work - PPT	CPT 85730	\$ 11.15	100%	\$ 11.15		\$ 11.15
Lab work - Arterial blood gases (ABG)	CPT 82803	\$ 35.94	100%	\$ 35.94		\$ 35.94
X-ray chest, 2 views	CPT 71020	\$ 30.96	100%	\$ 30.96		\$ 30.96
Device Implant						
Electrode placement, generator implant (Hospital outpatient)	CPT 64568	\$ 612.26	70%	\$ 428.58		
	APC 318	\$ 26,955.00	70%	\$ 18,868.50	MS-DRG 983	\$ 9,917.00
Electrode placement, generator implant (ASC)	CPT 64568	\$ 612.26	30%	\$ 183.68	CPT 64568	\$ 612.26
		\$ 25,755.00	30%	\$ 7,726.50		
Post-implant follow-up						
Office or outpatient E/M (30d post, therapy activation), including simple programming	CPT 99214	\$ 106.83	100%	\$ 106.83		\$ 106.83
	CPT 95971	\$ 60.22	100%	\$ 60.22		\$ 60.22
Sleep study, in-lab (60d post), plus simple programming	CPT 95810	\$ 645.76	100%	\$ 645.76		\$ 645.76
	CPT 95971	\$ 60.22	100%	\$ 60.22		\$ 60.22
Complex programming (75-120d post implant), 30% of patients	CPT 95972	\$ 109.89	30%	\$ 32.97		\$ 32.97
Follow-up visit to "dial in therapy" (30% of patients), includes complex programming and in-	CPT 95972	\$ 109.89	30%	\$ 32.97		\$ 32.97
	CPT 95810	\$ 645.76	30%	\$ 193.73		\$ 193.73
Costs of treating adverse events and costs of adjunctive supplies						
UAS explant (1 of 126 patients in STAR trial)	CPT 64570	\$ 696.45	1%	\$ 5.53		\$ 5.53
	APC 0221	\$ 2,481.00	1%	\$ 19.69		\$ 19.69
UAS revision (1 of 126 patients in STAR trial)	CPT 64569	\$ 788.99	1%	\$ 6.26		\$ 6.26
	APC 0040	\$ 4,399.00	1%	\$ 34.91		\$ 34.91
Mouthguards: 'generic' chin straps (4 of 126 patients in STAR trial)	1 item	\$ 22.50	3%	\$ 0.71		\$ 0.71
Mouthguards: customized (3 of 126 patients in STAR trial)	1 item	\$ 357.00	2%	\$ 8.50		\$ 8.50
Total implantation and periprocedural cost:				\$ 29,609.94		\$ 12,931.94

Annual routine follow-up costs (incl. first year)

Cost item	Description	Amount		Cost	Cost
E/M office visit, incl. electronic analysis (90%) or simple programming (10%)	CPT 99214	\$ 106.83	100%	\$ 106.83	\$ 106.83
	CPT 95970	\$ 71.79	90%	\$ 64.61	\$ 64.61
	CPT 95971	\$ 60.22	10%	\$ 6.02	\$ 6.02
Total annual routine follow-up cost:				\$ 177.46	\$ 177.46

UAS battery replacement cost

Cost item	Description	Amount		Cost	Cost
Battery replacement (Replacement of neurostimulator pulse generator); assumed to be required every 11 years in patients who use the therapy daily	APC 0039	\$ 16,394.00			
	CPT 61885	\$ 531.78		\$ 16,925.78	\$ 16,925.78

Appendix A.2. Estimation of UAS Effectiveness in Reducing Cardiovascular Events

In the STAR Trial, the mean pre-treatment (baseline) AHI was 32.0. Twelve months post UAS implantation, the mean AHI was 15.3. Using this information, we estimated the UAS therapy effectiveness in reducing cardiovascular event risk (non-fatal and fatal combined) as follows:

We used data from Marin et al.¹² that reported long-term cardiovascular outcomes in CPAP-treated vs. untreated male OSA patients. The Marin study included 264 healthy men, 377 simple snorers, 403 with untreated mild-moderate obstructive sleep apnea-hypopnea, 235 with untreated severe disease, and 372 with the disease and treated with CPAP. Subjects were followed for 10 years to compare incidence of fatal and non-fatal cardiovascular events between cohorts. Table A.2 below shows the subset of nonfatal and fatal cardiovascular event rates reported by Marin et al. that are relevant for our study, and the resulting total event rate, which we computed based on the Marin data.

We used the obtained data points to estimate a relationship between AHI and cardiovascular event rates, using a nonlinear (polynomial) regression function. Figure A.1 shows this resulting regression function, as well as the Marin et al.-derived cardiovascular event rates.

Using this regression function, we then obtained estimates of cardiovascular event rates that could be expected in patients at the AHI levels observed in the STAR Trial. For the baseline mean AHI of 32.0, the resulting CV event rate per 100 person years was 2.26. For an AHI of 15.3 (as observed at 12 months under UAS treatment), the resulting event rate was 1.30.

Next, we computed hazard ratios (HR), using the simple snorer group (AHI of 3.5) as the reference group. This group was chosen because it more closely resembles the baseline AHI that might be observed in the general population; and the general population in turn used as the basis for a number of

event hazard ratios used in our overarching health-economic model. Using this approach, we obtained HRs of 2.46 and 1.42, compared to simple snorers, for the STAR Trial baseline vs. 12 month under UAS treatment.

Using these HRs and the simple snorer baseline (HR 1.0), we obtain an overall estimate of risk reduction of OSA-related CV events of $(2.46-1.42)/(2.46-1.0) = 71.4\%$.

In the health-economic model we further assumed that only the 86% of patients who reported using UAS on a daily basis would benefit from this risk reduction, while the remaining 14% would not achieve any CV event risk reduction. For the full UAS cohort, this led to an assumption of ultimate UAS effectiveness in reducing CV event risk of $71.4\% \times 86\% = 61.4\%$.

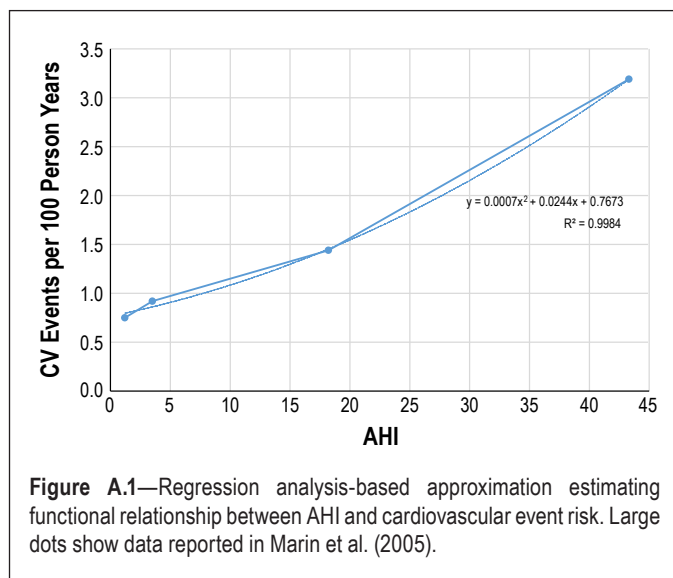


Figure A.1—Regression analysis-based approximation estimating functional relationship between AHI and cardiovascular event risk. Large dots show data reported in Marin et al. (2005).

Table A.2—Overview of subset of data used from Marin et al. study,¹² and resulting total nonfatal or fatal cardiovascular events per 100 person years.

Marin et al. (2005) data	Healthy men (n=264)	Simple snorers (n=403)	mild-moderate OSAH (n=235)	severe OSAH (n=372)
Mean AHI	1.2	3.5	18.2	43.3
<i>Non-fatal cardiovascular events</i>				
Number of events	12	22	36	50
Events per 100 person years	0.45	0.58	0.89	2.13
<i>Cardiovascular death</i>				
Number of events	8	13	22	25
Events per 100 person years	0.3	0.34	0.55	1.06
<i>Own computations from Marin et al. data shown above:</i>				
Total nonfatal or fatal cardiovascular events per 100 person years	0.75	0.92	1.44	3.19

Appendix A.3. Detail of Performed Deterministic Sensitivity Analyses

Table A.3—Detailed results of performed sensitivity analyses (the low and high values are listed in Table 1 of the main text and are only shown in abbreviated form here.

Description	Strategy	Cost (\$)	Effectiveness (QALY)	Incr. CE (ICER, \$/QALY)
Base Case 54.5 years old lifetime	No Treatment	243,543	9.54	
	UAS Treatment	286,497	10.63	39,471
Base Case 10 years	No Treatment	90,487	5.44	
	UAS Treatment	115,218	5.87	57,773
Base case 15 year	No Treatment	138,615	7.18	
	UAS Treatment	170,862	7.80	51,484
Replacement 8 years	No Treatment	243,640	9.53	
	UAS Treatment	294,089	10.62	46,393
Replacement 13 years	No Treatment	243,640	9.53	
	UAS Treatment	283,538	10.62	36,686
UAS 65% CVD eff	No Treatment	243,640	9.53	
	UAS Treatment	286,219	10.51	43,491
UAS 50% CVD effective	No Treatment	243,640	9.53	
	UAS Treatment	285,454	10.27	56,683
UAS 25% CVD effective	No Treatment	243,640	9.53	
	UAS Treatment	284,456	9.90	111,733
UAS 75% MVC eff	No Treatment	243,640	9.53	
	UAS Treatment	287,410	10.61	40,688
UAS 50% MVC eff	No Treatment	243,640	9.53	
	UAS Treatment	288,228	10.60	41,909
UAS 25% MVC eff	No Treatment	243,640	9.53	
	UAS Treatment	289,045	10.59	43,155
Median AHI reduction (20.3, from 29.3 to 9.0)	UAS Treatment	243,640	9.53	
	No Treatment	287,795	10.94	31,362
no discount (0%)	No Treatment	377,717	13.20	
	UAS Treatment	435,839	14.90	34,100
high discount (5%)	No Treatment	189,414	7.94	
	UAS Treatment	227,046	8.79	44,536
age 30	No Treatment	209,353	16.28	
	UAS Treatment	259,016	17.72	34,356
age 40	No Treatment	229,763	13.68	
	UAS Treatment	276,373	15.00	35,229
age 60	No Treatment	239,368	7.77	
	UAS Treatment	281,272	8.73	43,477
age 70	No Treatment	203,972	4.96	
	UAS Treatment	244,218	5.72	52,343
MVC incidence low (0.01 in males, 0.004 in females)	No Treatment	241,406	9.56	
	UAS Treatment	285,819	10.63	41,568
MVC incidence high (0.27 in males, 0.013 in females)	No Treatment	245,853	9.50	
	UAS Treatment	287,357	10.61	37,531
Prob of death from MVC low (0.006)	No Treatment	243,924	9.54	
	UAS Treatment	286,696	10.63	39,528
Prob of death from MVC high (0.01)	No Treatment	243,327	9.52	
	UAS Treatment	286,474	10.62	39,444
Low HR of MVC due to OSA (2.5)	No Treatment	242,849	9.55	
	UAS Treatment	286,590	10.62	40,630
High HR of MVC due to OSA (3.5)	No Treatment	244,430	9.52	
	UAS Treatment	286,590	10.62	38,379
Low incident rate of hypertension (-20%)	No Treatment	243,235	9.58	
	UAS Treatment	286,329	10.67	39,623
High incident rate of hypertension (+20%)	No Treatment	244,019	9.49	
	UAS Treatment	286,846	10.58	39,410

Table A.3 continues on the following page

Table A.3 (continued)—Detailed results of performed sensitivity analyses (the low and high values are listed in Table 1 of the main text and are only shown in abbreviated form here.

Low HR of hypertension due to OSA (1.3)	No Treatment	243,054	9.61	41,192
	UAS Treatment	286,391	10.66	
High HR of hypertension due to OSA (1.9)	No Treatment	243,725	9.52	39,268
	UAS Treatment	286,621	10.62	
Low HR for MI from hypertension (2.0)	No Treatment	247,547	9.64	38,915
	UAS Treatment	289,862	10.72	
High HR for MI from hypertension (3.5)	No Treatment	237,898	9.38	40,353
	UAS Treatment	281,555	10.46	
Low HR for stroke from hypertension (2.0)	No Treatment	237,823	9.61	40,469
	UAS Treatment	282,336	10.71	
High HR for stroke from hypertension (4.6)	No Treatment	255,668	9.39	37,660
	UAS Treatment	295,619	10.45	
Low incident rate of MI (-20%)	No Treatment	248,378	9.67	38,860
	UAS Treatment	290,672	10.76	
High incident rate of MI (+20%)	No Treatment	239,550	9.41	40,071
	UAS Treatment	282,943	10.50	
Low HR for MI from OSA (1.9)	No Treatment	250,273	9.73	39,337
	UAS Treatment	290,008	10.74	
High HR for MI from OSA (4.3)	No Treatment	232,152	9.19	39,802
	UAS Treatment	279,628	10.38	
Low HR post-MI for ACM (1.35)	No Treatment	245,875	9.60	39,667
	UAS Treatment	288,283	10.67	
High HR post-MI for ACM (1.74)	No Treatment	242,136	9.49	39,367
	UAS Treatment	285,454	10.59	
Low incident rate of stroke (-20%)	No Treatment	238,320	9.61	40,194
	UAS Treatment	282,583	10.71	
High incident rate of stroke (+20%)	No Treatment	248,711	9.47	38,866
	UAS Treatment	290,455	10.54	
Low HR stroke from OSA (1.0)	No Treatment	232,003	9.69	48,900
	UAS Treatment	282,130	10.72	
High HR stroke from OSA (3.5)	No Treatment	267,094	9.24	24,805
	UAS Treatment	296,509	10.42	
Low HR for ACM from stroke (1.1)	No Treatment	263,362	9.73	38,359
	UAS Treatment	304,048	10.79	
High HR for ACM from stroke (2.8)	No Treatment	238,668	9.49	39,805
	UAS Treatment	282,238	10.58	
UAS implant cost low (-30%)	No Treatment	243,640	9.53	31,785
	UAS Treatment	278,208	10.62	
UAS implant cost high (+30%)	No Treatment	243,640	9.53	47,200
	UAS Treatment	294,973	10.62	
UAS replacement cost low (-30%)	No Treatment	243,640	9.53	35,740
	UAS Treatment	282,509	10.62	
UAS replacement cost high (+30%)	No Treatment	243,640	9.53	43,245
	UAS Treatment	290,672	10.62	
UAS annual cost low (-30%)	No Treatment	243,640	9.53	38,737
	UAS Treatment	285,768	10.62	
UAS annual cost high (+30%)	No Treatment	243,640	9.53	40,249
	UAS Treatment	287,412	10.62	
MVC injury cost low (50%)	No Treatment	240,697	9.53	41,249
	UAS Treatment	285,558	10.62	
MVC injury cost high (200%)	No Treatment	249,526	9.53	35,979
	UAS Treatment	288,655	10.62	
MVC death cost low (50%)	No Treatment	243,552	9.53	39,545
	UAS Treatment	286,559	10.62	

Table A.3 continues on the following page

Table A.3 (continued)—Detailed results of performed sensitivity analyses (the low and high values are listed in Table 1 of the main text and are only shown in abbreviated form here.

MVC death cost high (200%)	No Treatment	243,816	9.53	39,388
	UAS Treatment	286,652	10.62	
Acute MI cost low (\$8,869)	No Treatment	239,321	9.53	40,428
	UAS Treatment	283,289	10.62	
Acute MI cost high (\$51,794)	No Treatment	252,763	9.53	37,517
	UAS Treatment	293,564	10.62	
Acute stroke cost low (\$9,518)	No Treatment	241,954	9.53	39,637
	UAS Treatment	285,061	10.62	
Acute stroke cost high (\$70,552)	No Treatment	251,793	9.53	38,793
	UAS Treatment	293,983	10.62	
Hypertension state cost low (\$218)	No Treatment	239,138	9.53	39,180
	UAS Treatment	281,749	10.62	
Hypertension state cost high (\$1,453)	No Treatment	246,936	9.53	39,721
	UAS Treatment	290,135	10.62	
MI state cost low (\$0)	No Treatment	237,105	9.53	41,334
	UAS Treatment	282,058	10.62	
MI state cost high (\$11,267)	No Treatment	255,407	9.53	36,176
	UAS Treatment	294,751	10.62	
Stroke state cost low (\$14,933)	No Treatment	227,804	9.53	42,086
	UAS Treatment	273,574	10.62	
Stroke state cost high (\$70,465)	No Treatment	286,464	9.53	32,480
	UAS Treatment	321,788	10.62	
1st year stroke state cost low (\$8,711)	No Treatment	240,490	9.53	39,851
	UAS Treatment	283,829	10.62	
1st year stroke state cost high (\$70,465)	No Treatment	248,487	9.53	38,942
	UAS Treatment	290,839	10.62	
No utility decrement UAS procedure (0)	No Treatment	243,640	9.53	38,920
	UAS Treatment	286,590	10.64	
High utility decrement UAS procedure (0.016)	No Treatment	243,640	9.53	40,082
	UAS Treatment	286,590	10.61	
Utility hypertension low (0.79)	No Treatment	243,640	8.80	43,656
	UAS Treatment	286,590	9.79	
Utility hypertension high (0.98)	No Treatment	243,640	9.62	39,050
	UAS Treatment	286,590	10.72	
Utility post-MI low (0.67)	No Treatment	243,640	9.20	36,232
	UAS Treatment	286,590	10.39	
Utility post-MI high (0.94)	No Treatment	243,640	9.64	40,650
	UAS Treatment	286,590	10.70	
Utility post-stroke low (0.26)	No Treatment	243,640	9.14	37,276
	UAS Treatment	286,590	10.29	
Utility post-stroke high (0.92)	No Treatment	243,640	9.84	41,428
	UAS Treatment	286,590	10.88	
Utility decrement non-fatal MVC low (0.031)	No Treatment	243,640	9.54	39,589
	UAS Treatment	286,590	10.62	
Utility decrement non-fatal MVC high (0.418)	No Treatment	243,640	9.25	33,845
	UAS Treatment	286,590	10.52	
Same OSA treated and untreated utility	No Treatment	243,640	9.53	85,502
	UAS Treatment	286,590	10.04	
UAS Adherence very low (66%)	No Treatment	243,640	9.53	51,702
	UAS Treatment	285,684	10.35	
UAS Adherence low (76%)	No Treatment	243,640	9.53	44,810
	UAS Treatment	286,114	10.48	
UAS Adherence very high (100%)	No Treatment	243,640	9.53	35,576
	UAS Treatment	289,583	10.83	

Table A.3 continues on the following page

Table A.3 (continued)—Detailed results of performed sensitivity analyses (the low and high values are listed in Table 1 of the main text and are only shown in abbreviated form here.

100% outpatient	No Treatment	243,640	9.53	41,255
	UAS Treatment	288,507	10.62	
80% outpatient	No Treatment	243,640	9.53	38,144
	UAS Treatment	285,123	10.62	

The following one-way sensitivity analyses on OSA utilities is shown for completeness only. They cannot be properly evaluated, as the corresponding utility of treatment/no treatment is kept constant. For effect of reduced utility gain from OSA treatment, please see sensitivity analyses showing effects of 50% and 75% of base case utility gain for treated vs. untreated OSA in Table 3.

Utility untreated OSA low (0.80)	No Treatment	243,640	9.16	32,954
(Caveat: utility treated OSA is kept at 0.93 in this SA)	UAS Treatment	286,590	10.46	
Utility untreated OSA high (0.93)	No Treatment	243,640	10.39	71,390
(Caveat: utility treated OSA is kept at 0.93 in this SA)	UAS Treatment	286,590	10.99	
Utility treated OSA low (0.84)	No Treatment	243,640	9.53	85,502
(Caveat: utility untreated OSA is kept at 0.84 in this SA)	UAS Treatment	286,590	10.04	
Utility treated OSA high (0.98)	No Treatment	243,640	9.53	30,418
(Caveat: utility untreated OSA is kept at 0.84 in this SA)	UAS Treatment	286,590	10.95	

Appendix A.4. Detail of Performed Probabilistic Sensitivity Analysis (PSA)

A total of 5,000 Monte Carlo simulations each were performed for male and female cohorts, sampling from the distributions shown in Table 1.

Below, we show two representations of the results for both the male and female cohorts. The first is the Incremental Cost-effectiveness (ICE) scatter plot, the second the Cost-effectiveness acceptability Curve (CEAC).

The ICE scatter plot shows each of the 5,000 resulting ICERs on the cost-effectiveness plane. The ellipse shows the 95% confidence interval. The dotted line shows the \$100,000 per QALY willingness-to-pay threshold.

The CEACs provide a probability that UAS therapy—when compared to no therapy—is cost-effective at the respective Willingness-to-pay threshold (shown are WTPs between \$0 and \$100,000 per QALY), using common methodological practice of the net-monetary-benefits approach.

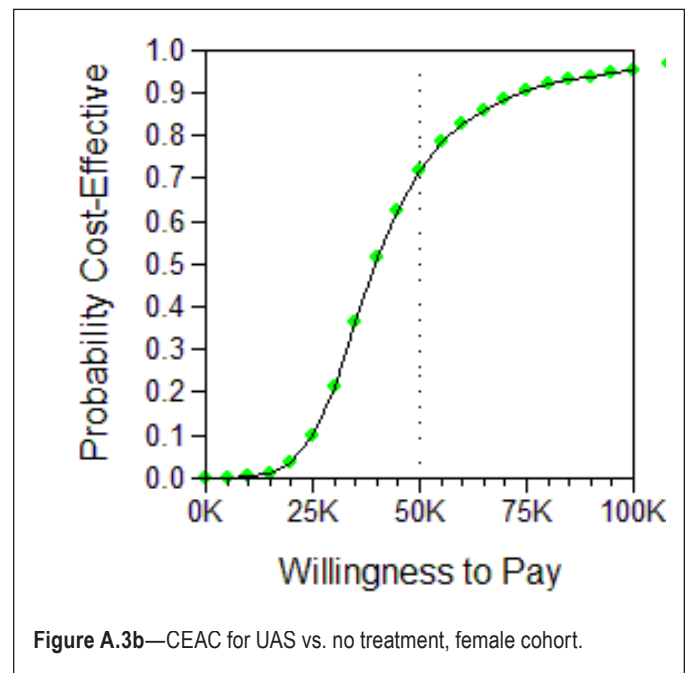
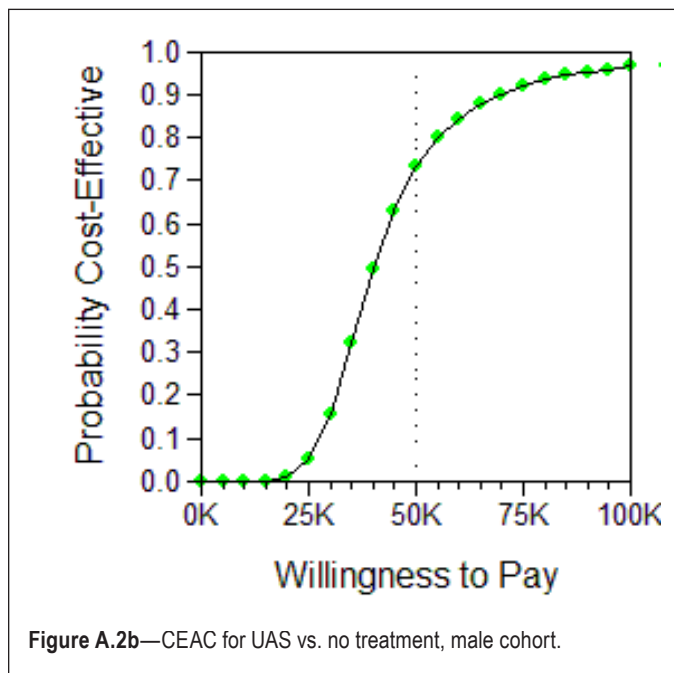
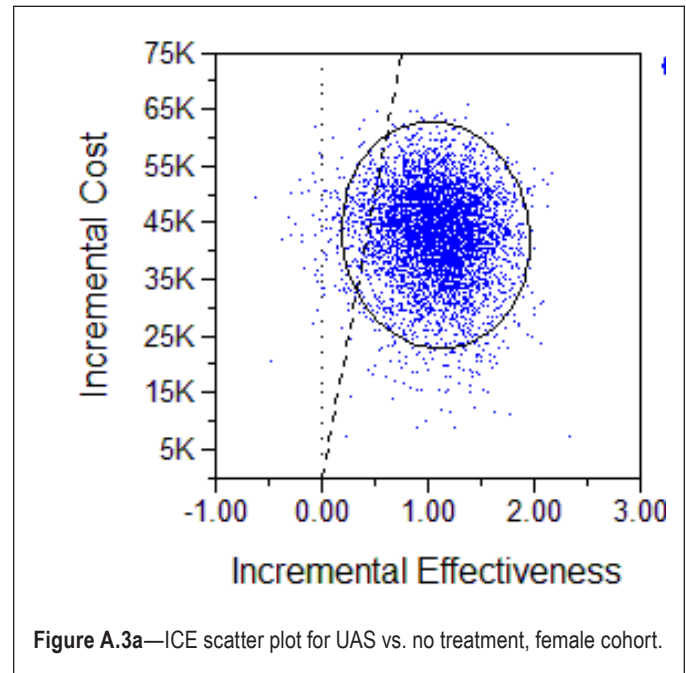
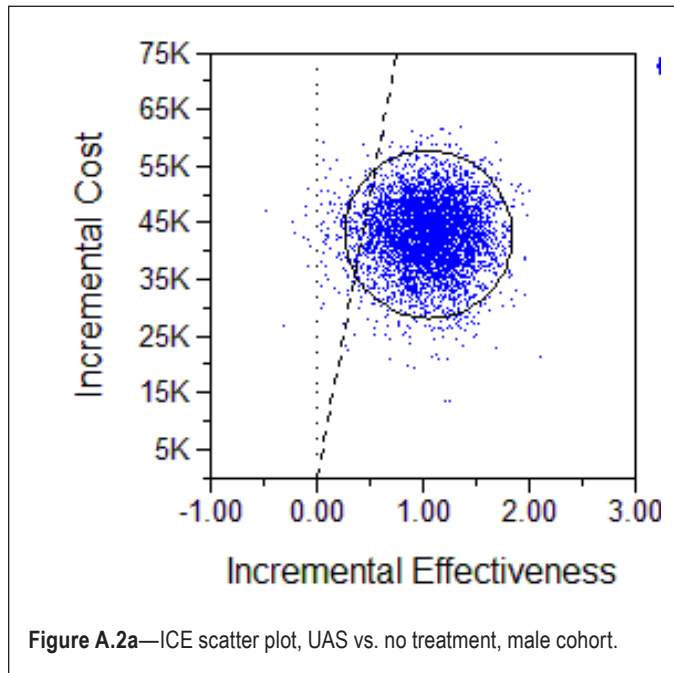


Figure A.2b—CEAC for UAS vs. no treatment, male cohort.

Figure A.3b—CEAC for UAS vs. no treatment, female cohort.